

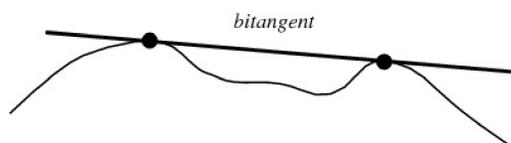
The Siegel Modular Form Defining $\Omega\mathcal{M}_3^{odd}(4)$

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Background

A general plane quartic has 28 bitangents. When the two bitangent points coincide, we call the four-fold point a *hyperflex*. Admitting a hyperflex is a closed condition that cuts out a Cartier divisor (denoted by \mathcal{HF}) in \mathcal{M}_3 .



A plane quartic with a chosen bitangent corresponds to a point in $\Omega\mathcal{M}_3^{odd}(2, 2)$, while the locus \mathcal{HF} is the image of the stratum $\Omega\mathcal{M}_3^{odd}(4)$ in \mathcal{M}_3 by forgetting the differential.

Outline

- We determine an explicit modular form defining the locus \mathcal{HF} ;
- recompute the class of \mathcal{HF} as in [Cuk89];
- investigate the deeper boundary strata of \mathcal{HF} .

Theta Functions in Genus Three

- **Riemann theta function with characteristics** $(\epsilon, \delta) \in (\mathbb{Z}/2\mathbb{Z})^g \times (\mathbb{Z}/2\mathbb{Z})^g$:

$$\theta\left[\begin{smallmatrix} \epsilon \\ \delta \end{smallmatrix}\right](\tau, z) = \sum_{k \in \mathbb{Z}^g} \exp\left(\pi i \left(k + \frac{\epsilon}{2}\right)^t \tau \left(k + \frac{\epsilon}{2}\right) + 2\pi i \left(k + \frac{\epsilon}{2}\right)^t \left(z + \frac{\delta}{2}\right)\right).$$

- The parity of $\theta\left[\begin{smallmatrix} \epsilon \\ \delta \end{smallmatrix}\right](\tau, z)$ in $z \longleftrightarrow$ The parity of the characteristics $e(\epsilon, \delta) := \epsilon \cdot \delta$.
- $\text{grad} \theta\left[\begin{smallmatrix} \epsilon \\ \delta \end{smallmatrix}\right](\tau, 0)$ with odd characteristics \longleftrightarrow 28 bitangent lines of the canonical image of the quartic on $\mathbb{P}^2 \simeq \mathbb{P}H^0(C, K_C)$.
- Theta constants $\theta\left[\begin{smallmatrix} \epsilon \\ \delta \end{smallmatrix}\right](\tau, 0)$ are **modular forms** of weight $\frac{1}{2}$; $\text{grad} \theta\left[\begin{smallmatrix} \epsilon \\ \delta \end{smallmatrix}\right](\tau, 0)$ are vector-valued modular forms.

Main Ideas

- To simplify notation: $(\epsilon, \delta) \leftrightarrow (i, j)$
 $i = 4\epsilon_1 + 2\epsilon_2 + \epsilon_3, j = 4\delta_1 + 2\delta_2 + \delta_3$, e.g. $([1, 1, 0], [0, 1, 1]) = (6, 3)$.
- In [DPFSM14], **the equation of a plane quartic in terms of its bitangents globally over \mathcal{M}_3** was derived (see lemma).
- By carefully investigating the condition for the hyperflex to exist, using the lemma, we get the modular form expression.

Lemma [DPFSM14, Cor. 6.3]

The equation of the canonical image of C is the determinant of the symmetric matrix:

$$Q(\tau, z) := \begin{vmatrix} 0 & \frac{D(31,13,26)}{D(77,31,26)}b_{77} & \frac{D(22,13,35)}{D(77,31,26)}b_{64} & \frac{D(77,64,46)}{D(77,31,26)}b_{51} \\ * & 0 & \frac{D(22,13,35)}{D(77,46,51)}b_{13} & \frac{D(77,13,31)}{D(77,31,26)}b_{26} \\ * & * & 0 & \frac{D(64,13,22)}{D(77,31,26)}b_{35} \\ * & * & * & 0 \end{vmatrix},$$

where τ denotes the period matrix of C , $b_{ij} := \text{grad}_z \theta_{ij}(\tau, z)|_{z=0}$, and $D(n_1, n_2, n_3) := b_{n_1} \wedge b_{n_2} \wedge b_{n_3}$.

Main Theorem

On $\mathcal{A}_3(2)$, the modular form $\Omega_{77}(\tau)$ defined by:

$$\begin{aligned} \Omega_{77}(\tau) := & [\theta_{01}\theta_{10}\theta_{37}\theta_{43}\theta_{52}\theta_{75}\theta_{42}\theta_{06}\theta_{30}\theta_{21}\theta_{55} + \theta_{02}\theta_{25}\theta_{34}\theta_{40}\theta_{67}\theta_{76}\theta_{33}\theta_{05}\theta_{14}\theta_{60}\theta_{42}]^2 \\ & - 4\theta_{01}\theta_{02}\theta_{10}\theta_{25}\theta_{34}\theta_{37}\theta_{40}\theta_{43}\theta_{52}\theta_{67}\theta_{75}\theta_{76}\theta_{00}\theta_{04}\theta_{57}\theta_{70}\theta_{61}\theta_{73}\theta_{20}\theta_{07}\theta_{00}\theta_{16}. \end{aligned}$$

where $\theta_{ij} := \theta_{ij}(\tau, 0)$, vanishes at the period matrix τ of a smooth plane quartic iff the bitangent line corresponding to $(i, j) = (7, 7)$ is a hyperflex.

Divisor Class of the Locus

- **Weight of the modular form = The coefficient of λ** (by definition).
- **Period Matrixes:** By [Fay73] and [Yam80], for a one parameter plumbing family C_s whose limit curve lies
 - in Δ_0 : $\tau_s = \begin{bmatrix} \ln s & b \\ b' & \tau' \end{bmatrix} + O(s)$;
 - in Δ_1 : $\tau_s = \begin{bmatrix} \tau_1 & 0 \\ 0 & \tau_2 \end{bmatrix} - s \begin{bmatrix} 0 & R \\ R' & 0 \end{bmatrix} + O(s)$.
- **Fourier-Jacobi expansion:** compute

$$\text{ord} \theta_m(\tau, 0) \quad \text{ord} \text{grad}_z \theta_m(\tau, z)|_{z=0}$$
 on the pre-image of Δ_0 and Δ_1 on $\overline{\mathcal{A}_3(2)}$.

Corollary 1 [Cuk89]

The divisor class of the closure of the hyperflex locus in $\text{Pic}_{\mathbb{Q}} \mathcal{M}_3$ is

$$[\mathcal{HF}] = 308 \cdot \lambda - 32 \cdot \delta_0 - 76 \cdot \delta_1.$$

Deeper Boundary Strata

One can use the generalized Fourier-Jacobi expansion of a modular form near any boundary stratum to determine the intersection of the closure of $\Omega\mathcal{M}_g$ with it.

Example: The locus T of "banana curves": two genus one curves intersecting at two nodes.

Corollary 2 [Che15]

The boundary locus $T \subset \mathcal{HF}$.

Main tool [Tan89]: For a plumbing family C_s , the function

$$f_{h,k}(s) := \exp(2\pi i \tau_{h,k}(s)) \cdot \prod_{i=1}^n s_i^{-N_{i,h} \cdot N_{i,k}}$$

is holomorphic for small enough s , where $N_{i,j} := S_i \times B_j$, and $\tau_{h,k}(s)$ is the period matrix for C_s .

Future Research

- Use the modular form to study the $SL_2\mathbb{R}$ -orbit closure in $\Omega\mathcal{M}_3^{odd}(4)$, especially rank 1.
- Similar ideas can be applied to study the hyperelliptic locus using the theta-null modular form.

References

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