

Rigidity for Rotational Dynamics

Willie Rush Lim

Stony Brook University

AMS Sectional Meeting
April 2024

Invariant Line Field

Given a degree $d \geq 2$ rational map $f : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$, an **invariant line field** of f is a measurable Beltrami differential $\mu = \mu(z) \frac{d\bar{z}}{dz}$ on $\hat{\mathbb{C}}$ where

- $f^* \mu = \mu$ a.e.,
- $\text{supp}(\mu) =$ positive area subset of $J(f)$,
- $|\mu(z)| = 1$ on $\text{supp}(\mu)$.

Invariant Line Field

Given a degree $d \geq 2$ rational map $f : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$, an **invariant line field** of f is a measurable Beltrami differential $\mu = \mu(z) \frac{d\bar{z}}{dz}$ on $\hat{\mathbb{C}}$ where

- $f^* \mu = \mu$ a.e.,
- $\text{supp}(\mu) =$ positive area subset of $J(f)$,
- $|\mu(z)| = 1$ on $\text{supp}(\mu)$.

Conjecture (NILF)

If f is not a Lattés example, the Julia set $J(f)$ supports no invariant line field of f .

Invariant Line Field

Given a degree $d \geq 2$ rational map $f : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$, an **invariant line field** of f is a measurable Beltrami differential $\mu = \mu(z) \frac{d\bar{z}}{dz}$ on $\hat{\mathbb{C}}$ where

- $f^* \mu = \mu$ a.e.,
- $\text{supp}(\mu) =$ positive area subset of $J(f)$,
- $|\mu(z)| = 1$ on $\text{supp}(\mu)$.

Conjecture (NILF)

If f is not a Lattés example, the Julia set $J(f)$ supports no invariant line field of f .

Having NILF is a rigidity property: if ϕ is a QC conjugacy between two rational maps f, g ,

$$f \text{ has NILF} \implies \bar{\partial}\phi = 0 \text{ a.e. on } J(f).$$

Invariant Line Field

Given a degree $d \geq 2$ rational map $f : \hat{\mathbb{C}} \rightarrow \hat{\mathbb{C}}$, an **invariant line field** of f is a measurable Beltrami differential $\mu = \mu(z) \frac{d\bar{z}}{dz}$ on $\hat{\mathbb{C}}$ where

- $f^* \mu = \mu$ a.e.,
- $\text{supp}(\mu) =$ positive area subset of $J(f)$,
- $|\mu(z)| = 1$ on $\text{supp}(\mu)$.

Conjecture (NILF)

If f is not a Lattés example, the Julia set $J(f)$ supports no invariant line field of f .

Having NILF is a rigidity property: if ϕ is a QC conjugacy between two rational maps f, g ,

$$f \text{ has NILF} \implies \bar{\partial}\phi = 0 \text{ a.e. on } J(f).$$

Also, the conjecture implies...

Conjecture (Density of hyperbolicity)

Hyperbolic rational maps form a dense open subset of Rat_d .

Consider a finitely generated Kleinian group $\Gamma < \mathrm{PSL}_2\mathbb{C}$.

Theorem (Sullivan '85)

The limit set $\Lambda(\Gamma)$ of Γ supports no invariant line field.

Historical background 1

Consider a finitely generated Kleinian group $\Gamma < \mathrm{PSL}_2\mathbb{C}$.

Theorem (Sullivan '85)

The limit set $\Lambda(\Gamma)$ of Γ supports no invariant line field.

Somewhat related results:

Theorem (Sullivan '84, Tukia '84, Bishop-Jones '97)

$\dim(\Lambda(\Gamma)) < 2$ if and only if Γ is geometrically finite.

Theorem (Agol '04, Calegari-Gabai '06)

Either $\Lambda(\Gamma) = \hat{\mathbb{C}}$ or $\Lambda(\Gamma)$ has zero area.

Similar results have been established, e.g.:

Theorem (McMullen '00)

If every critical point in $J(f)$ is pre-periodic (geometrically finite), then

$$\text{either } J(f) = \hat{\mathbb{C}} \text{ or } \dim(J(f)) < 2.$$

Theorem (Przytycki, Urbański '01)

If every critical point in $J(f)$ is non-recurrent, then

$$\text{either } J(f) = \hat{\mathbb{C}} \text{ or } \dim(J(f)) < 2.$$

Similar results have been established, e.g.:

Theorem (McMullen '00)

If every critical point in $J(f)$ is pre-periodic (geometrically finite), then

$$\text{either } J(f) = \hat{\mathbb{C}} \text{ or } \dim(J(f)) < 2.$$

Theorem (Przytycki, Urbański '01)

If every critical point in $J(f)$ is non-recurrent, then

$$\text{either } J(f) = \hat{\mathbb{C}} \text{ or } \dim(J(f)) < 2.$$

Qn: What happens when critical points are recurrent?

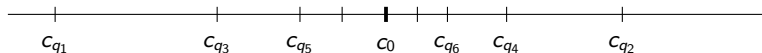
⇒ a common source of recurrence: **rotational dynamics**

Rigid rotation

Consider the rigid rotation

$$R_\theta : S^1 \rightarrow S^1, \quad z \mapsto e^{2\pi i\theta} z.$$

The closest returns of the orbit $\{c_i := R_\theta^i(c)\}_{i \geq 0}$ back to any point $c \in S^1$ are:



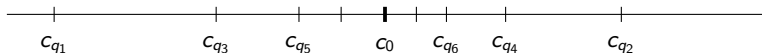
where p_n/q_n are the n^{th} best rational approximations of θ .

Rigid rotation

Consider the rigid rotation

$$R_\theta : S^1 \rightarrow S^1, \quad z \mapsto e^{2\pi i\theta} z.$$

The closest returns of the orbit $\{c_i := R_\theta^i(c)\}_{i \geq 0}$ back to any point $c \in S^1$ are:



where p_n/q_n are the n^{th} best rational approximations of θ .

We say that an irrational number $\theta \in (0, 1)$ is of **bounded type** if there is some $B \in \mathbb{N}$ such that $\sup_n a_n \leq B$ where

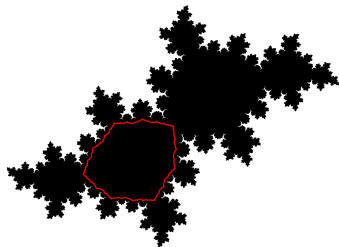
$$\theta = \frac{1}{a_1 + \frac{1}{a_2 + \frac{1}{a_3 + \dots}}}.$$

Then,

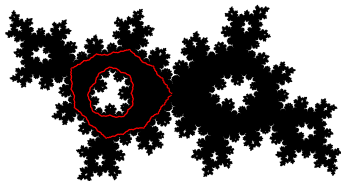
$$\text{bounded type} \iff \log |c_{q_n} - c_0| \asymp -n.$$

Theorem (GF Zhang '11)

If D is a rotation domain of a rational map with bounded type rotation number, then every component of ∂D is a quasicircle containing a critical point.



$$f(z) = z^2 + c \text{ where } c \approx -0.3905 - 0.5868i$$



$$f(z) = e^{2\pi it} z^2 \frac{z-4}{1-4z} \text{ where } t \approx 0.61517$$

Rotation curves

A **rotation curve** X of a rational map f is a periodic Jordan curve on which $f^p|_X$ is conjugate to irrational rotation $R_\theta(z) = e^{2\pi i\theta}z$.

Rotation curves

A **rotation curve** X of a rational map f is a periodic Jordan curve on which $f^p|_X$ is conjugate to irrational rotation $R_\theta(z) = e^{2\pi i\theta}z$.

If $\text{rot}(f|_X)$ is bounded type and $X \subset J(f)$, then either

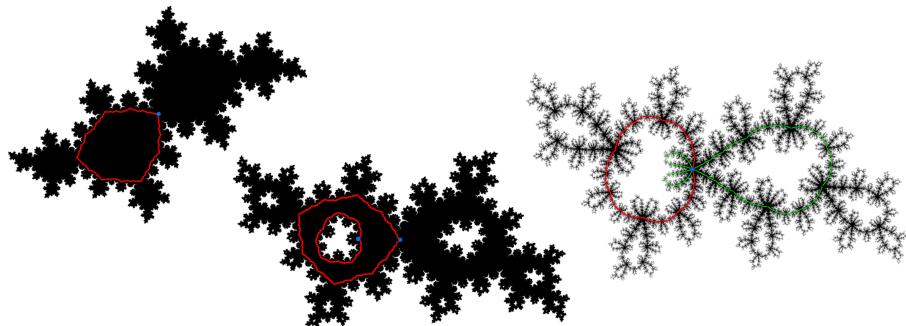
- (1) X is the boundary of a rotation domain, or
- (2) X is not (1) (**Herman curve**) and contains both "inner" and "outer" critical points.

Rotation curves

A **rotation curve** X of a rational map f is a periodic Jordan curve on which $f^p|_X$ is conjugate to irrational rotation $R_\theta(z) = e^{2\pi i\theta}z$.

If $\text{rot}(f|_X)$ is bounded type and $X \subset J(f)$, then either

- (1) X is the boundary of a rotation domain, or
- (2) X is not (1) (**Herman curve**) and contains both "inner" and "outer" critical points.



Note: all of the examples above are actually quasicircles too!

Rigidity of J-rotational rational maps

A rational map f is **J-rotational** if it admits bdd type rotation quasicircles X_1, X_2, \dots, X_k such that

$$P(f) \cap J(f) = \bigcup_{i=1}^k X_i \cup \{\text{finite set}\}.$$

Any recurrent critical point is in one of the X_i 's.

Rigidity of J-rotational rational maps

A rational map f is **J-rotational** if it admits bdd type rotation quasicircles X_1, X_2, \dots, X_k such that

$$P(f) \cap J(f) = \bigcup_{i=1}^k X_i \cup \{\text{finite set}\}.$$

Any recurrent critical point is in one of the X_i 's.

Theorem (L. '23)

Consider a J-rotational rational map f .

- 1 $J(f)$ supports no invariant line field.
- 2 If f has no Herman curves, $\text{area}(J(f)) = 0$.
- 3 If f has no Herman curves and $\{\text{finite set}\} = \emptyset$, then $\dim(J(f)) < 2$.

Rigidity of J-rotational rational maps

A rational map f is **J-rotational** if it admits bdd type rotation quasicircles X_1, X_2, \dots, X_k such that

$$P(f) \cap J(f) = \bigcup_{i=1}^k X_i \cup \{\text{finite set}\}.$$

Any recurrent critical point is in one of the X_i 's.

Theorem (L. '23)

Consider a J-rotational rational map f .

- 1 $J(f)$ supports no invariant line field.
- 2 If f has no Herman curves, $\text{area}(J(f)) = 0$.
- 3 If f has no Herman curves and $\{\text{finite set}\} = \emptyset$, then $\dim(J(f)) < 2$.

Question: If $P(f) \cap J(f)$ = a single Herman curve, can $J(f)$ have positive area?
The complexity is similar to Feigenbaum Julia sets.

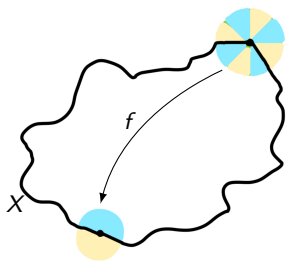
critical quasicircle map = $\left\{ \begin{array}{l} \text{holomorphic self homeomorphism } f \text{ of a quasicircle } X \\ \text{with a unique critical point on } X \end{array} \right.$

Beyond the realm of rational maps

critical quasicircle map = $\left\{ \begin{array}{l} \text{holomorphic self homeomorphism } f \text{ of a quasicircle } X \\ \text{with a unique critical point on } X \end{array} \right.$

There are three obvious invariants:

- θ = rotation number,
- d_0 = inner criticality of the critical point,
- d_∞ = outer criticality of the critical point.



Example of
 $(d_0, d_\infty) = (2, 3)$

The total local degree of the critical point is $d_0 + d_\infty - 1$.

Consider two critical quasicycle maps

$$f_1 : X_1 \rightarrow X_1 \quad \text{and} \quad f_2 : X_2 \rightarrow X_2$$

of the same criticalities (d_0, d_∞) and bounded type rotation number θ .

Consider two critical quasicycle maps

$$f_1 : X_1 \rightarrow X_1 \quad \text{and} \quad f_2 : X_2 \rightarrow X_2$$

of the same criticalities (d_0, d_∞) and bounded type rotation number θ .

One can adapt techniques for critical circle maps (de Faria-de Melo '99) as well as quasicyritical circle maps (Avila-Lyubich '22) to prove:

Theorem (L. '23)

There is a QC conjugacy ϕ between f_1 and f_2 on an annular neighborhood of X_1 .

Rigidity of critical quasicycle maps

Consider two critical quasicycle maps

$$f_1 : X_1 \rightarrow X_1 \quad \text{and} \quad f_2 : X_2 \rightarrow X_2$$

of the same criticalities (d_0, d_∞) and bounded type rotation number θ .

One can adapt techniques for critical circle maps (de Faria-de Melo '99) as well as quasicyritical circle maps (Avila-Lyubich '22) to prove:

Theorem (L. '23)

There is a QC conjugacy ϕ between f_1 and f_2 on an annular neighborhood of X_1 .

Moreover, due to our NILF Theorem and a deep point argument, we have:

Theorem (L. '23)

The conjugacy ϕ is $C^{1+\alpha}$ on X_1 .

Consequences of $C^{1+\alpha}$ rigidity

Given a critical quasicircle map $f : X \rightarrow X$ with bdd type rotation number θ and criticalities (d_0, d_∞) ,

- 1 $\dim(X)$ is universal (depending only on θ, d_0, d_∞);
- 2 $d_0 = d_\infty \iff X$ is C^1 smooth $\iff \dim(X) = 1$;
- 3 if θ is a quadratic irrational, X is self-similar at the critical point with universal scaling factor;
- 4 *renormalizations* $\mathcal{R}^n f$ converge exponentially fast to a unique \mathcal{R} -invariant horseshoe attractor.

Thank you!