

# Hamiltonian Floer theory:

Let  $(M, \omega)$  - closed symplectic manifold  $\hookrightarrow H: M \rightarrow \mathbb{R}$  - Hamiltonian. Since  $\omega$ -nondeg,  $\exists!$   $\omega$ -dual to  $dH$ , a vector field  $X_H$ .

Locally,  $M$  is  $(\mathbb{R}^{2n}, \omega_0)$ -position-momentum space  $\hookrightarrow X_H$  gives dynamics/laws of motion determined by

$\dot{\gamma} = X_H(\gamma)$ ; Note:  $\omega(X_H, X_H) = dH(X_H) \Rightarrow X_H$  preserves level sets of  $H$ ; view as preserving energy; conservation of energy.  
 i.e.  $H \circ \phi_t^H = H$

Arnold: Are there orbits of  $X_H$ ? If so, how many?

Conjecture: For nondegenerate  $H$  (which is most  $H$ ), the # of <sup>1-</sup>periodic orbits  $\approx \sum b_i(M)$ .  
 We can view 1-periodic orbits as fixed pts of  $\phi_1$ -time 1-flow. So this conjecture predicts more than classical topology's prediction of  $\sum |H^i|$   $b_i(M) = \chi(M)$ . (lets solve fixed pt then) need some conditions like aspherical

Rough Idea: Let  $\mathcal{L}M =$  loop space of contractible loops. Do "Morse theory" on  $A_H(\gamma) = -\int_0^1 \dot{\gamma}^* \omega - \int_0^1 H(\gamma(t)) dt$  b/c

So Ham 1-per orbits are exactly the crit pts.

Let  $CF_*^*(M, H)$  be generated by  $\nabla$  over  $\mathbb{Z}/2$ .

$\partial$  defined by count of  $u: \mathbb{R} \times S^1 \rightarrow M$  satisfying  $\partial_s u + J \partial_t u = \nabla H$   
 $J$ -generic  
 (crit. J-) gives metric.

$(dA_H)_\gamma(\xi) = -\int_0^1 \omega(\xi, \dot{\gamma}(t) - X_H(\gamma(t))) dt$   
 $= 0 \Leftrightarrow \dot{\gamma} = X_H(\gamma)$ .

Need Conley-Zehnder index.

Pf of Arnold's conjecture:  $HF_*^*(M, H) \cong HM_*^*(M, H) \cong H_*(M)$ .  $\square$

Arnold's conjecture:  $\exists$  orbits of given period

Weinstein conjecture:  $\exists$  orbits of given energy level (when the energy level is contact type).

Def:  $\Sigma \subset (M, \omega)$  is a contact hypersurface if  $\exists$  vector field  $X$   $\nabla \Sigma$  st.  $\mathcal{L}_X \omega = \lambda$ .  $\lambda = \iota_X \omega$  is called the Liouville 1-form. (defined in neighborhood of  $\Sigma$ )

Def: If  $(M, \omega)$  has  $\partial M =$  contact type, we want  $X$  to be outward pointing. Suppose also that  $X$  is globally defined. Then  $\omega = d\lambda$  is we call  $(M, \omega)$  a Liouville domain.

We have R-Reeb field on  $\partial M$  is completion  $\hat{M}$  (Shubao should discuss these the week prior).

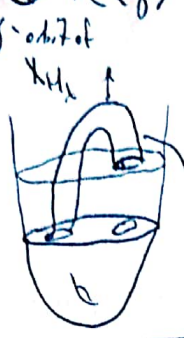
A neighborhood of  $\Sigma = \partial M$  is foliated  $\cong \left[ \Sigma \times [1-\delta, 1+\delta], d(e^t \lambda) \right]$ ;  $X_H \in \ker \omega|_{T\Sigma}$   $\wedge$   $\lambda(X_H) \neq 0$ . 1

If  $H: M \rightarrow \mathbb{R}$  has  $\Sigma$  as level set, the orbits of  $X_H \leftrightarrow$  orbits of  $R$ . Hence, dynamics of  $H$  don't really depend on  $H$  but on  $\Sigma$ !

So, we would like to choose "admissible"  $H_\lambda: \Sigma \times [1-\delta, \frac{\infty}{\lambda}] \rightarrow \mathbb{R}$  w/  $H(p,t) = h(t)$ ,  $h: [1-\delta, \infty) \rightarrow \mathbb{R}$  where  $h'' > 0$ ;  $h_\lambda = \lambda t$  on  $[1, \infty)$ . Then  $X_{H_\lambda}(p,t) = -h'(t)R$ ; so  $\{1\}$ -periodic orbits of  $X_H$  on level  $\lambda$ .

For large  $\lambda$ , we see lots of orbits. Extend  $H$  as a small Morse  $f^n$  to  $M$ .  $\leftrightarrow$  {Reeb orbits of period  $-h'(t)$  on  $\Sigma$ }.

Def:  $SC^*(M, H_\lambda, K) = \bigoplus \mathbb{K}\langle \gamma \rangle$ ; let  $J$  be a cylindrical ACS; i.e. compatible w/  $\omega$ ;  $JX = R$ . ; differential  $\partial$  counts solutions  $u: \mathbb{R} \times S^1 \rightarrow \hat{M}$  to  $\partial_s u + J(\partial_t u - X_{H_\lambda}) = 0$ .  
 Liouville vector field where  $\lim_{s \rightarrow \pm \infty} u(s,t) = \gamma^\pm(t)$



Our choices allow  $\partial^2 = 0$ ; we have compactness of moduli.

not possible due to max principle.

Def:  $SH^*(M, K) = \lim_{\lambda \rightarrow \infty} SH^*(M, H_\lambda, K)$ .

Properties:

0.  $SH^*(M, K)$  is  $\mathbb{Z}/2$ -graded; if  $c_1(M) = 0$ , we have  $\mathbb{Z}$ -grading (requires choices unless  $H^1(M) = 0$ )

1.  $\exists H^{*+n}(M) \rightarrow SH^*(M)$ ; its failure to be an isomorphism  $\Rightarrow \exists$  a periodic Reeb orbit in  $\Sigma = \partial M$ .

2. If the Reeb flow gives a free circle action on  $\partial M$ ,  $c_1(M) = 0$ ,  $\exists \partial M$  is connected,  $\exists$  Spectral seq

$$E_1^{p,q} = \begin{cases} H^{q+n}(M, K) & p=0 \\ H^{p+q+n-p}(M, K) & p < 0 \\ 0 & p > 0 \end{cases} \Rightarrow SH^*(M).$$

For  $M = \mathbb{R}^{2n}$ ,  $\mu = 2n$ , the differential  $d_1$  is acyclic so  $SH^*(\mathbb{R}^{2n}) = 0$

3.  $SH^*(M \times N) \cong SH^*(M) \otimes SH^*(N)$

4. (Cieliebak) = Subcrit Weinstein domains  $M$  is def equiv to  $N \times \mathbb{C}$ , hence by K\"unneth,  $SH^*(M) = 0$ .

5. If  $\omega_s$  is an isotopy of Liouville forms on  $M$ , then  $SH^*(M, \omega_0) \cong SH^*(M, \omega_1)$ .

In particular  $SH^*$  is independent of the contact form on  $\partial M$ , just depends on the contact struc. (mean Euler char is a contact invariant of  $\partial M$ )

eg.  $SH^*(\mathbb{C}^*) \cong \mathbb{Z}\langle x, x^{-1} \rangle$ ,  $|k|=1$ . So  $SH^*$  is not bounded from above or below in degree.



Remark: What Seidel calls cohomology is defined by a direct limit; the differential has deg  $-1$ ; so it appears more like homology.

<sup>Viterbo Functoriality</sup>  
 Suppose  $W \xrightarrow{j} M$  is a codim 0 embedding of a Liouville subdomain. Also, suppose  $\exists ACS J$  s.t. all its Floer trajectories limiting to orbits in  $W$ , stay in  $W$ . Then we have the following diagrams

$$\begin{array}{ccc} SH^*(M) & \xrightarrow{F_j^*} & SH^*(W); \text{ } F_j^* \text{ is a unital ring morph} \\ \uparrow c_+ & & \uparrow c_+ \\ H^{n+1}(M) & \xrightarrow{j^*} & H^{n+1}(W) \\ \parallel & & \parallel \\ H_{n-x}(M, \partial M) & & H_{n-x}(W, \partial W) \end{array}$$

$\xrightarrow{\text{dually}}$

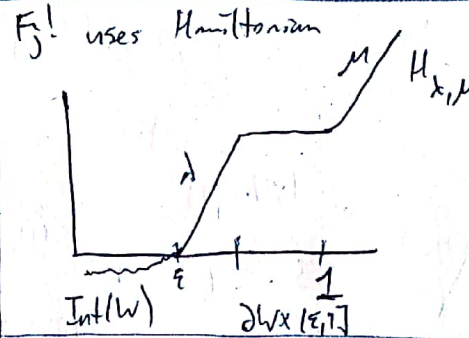
$$\begin{array}{ccc} SH_*(M) & \xleftarrow{F_j^!} & SH_*(W) \\ \downarrow c_+ & & \downarrow c_+ \\ H_{n-x}(M) & \xleftarrow{j^!} & H_{n-x}(W) \end{array}$$

where  $F_j^*$ ,  $F_j^!$  are defined by certain curve counts.

View as low energy orbits (Morse theory)

So  $c_+ : SH_*(M) \rightarrow SH_*(M)_{[a, \epsilon]}$   $a < 0, \epsilon > 0$  small  
 is induced from restriction  $H^{n+1}(M, \partial M)$

Thom iso  $S \hookrightarrow M$ ,  $M \xrightarrow{\text{collapse}} M/(M \setminus N(S)) = Th(N(S))$   
 codim  $k$   
 gives  $H^{*+k}(S) \cong H^*(Th(N(S))) \rightarrow H^*(M)$   
 $j^!$



Cor: Suppose  $SH_n^*(M) \xrightarrow{c_+} H^{2n}(M, \partial M) \cong \mathbb{Z}/2$  is not surj. Then  $\nexists L \hookrightarrow M$ , exact Lagrangian.

pf: Suppose  $\exists$  such  $L \subset M$ . By Weinstein neighborhood thm,  $D^*L \hookrightarrow M$  so we have the diagram.

$$\begin{array}{ccc} SH_n(D^*L) & \rightarrow & SH_n(M) \\ \downarrow c_+ & & \downarrow c_+ \\ H^{2n}(D^*L, \partial D^*L) & \xrightarrow{j^!} & H^{2n}(M, \partial M) \end{array}$$

Note:  $H^{2n}(D^*L, \partial D^*L) \cong_{\text{Thom}} H^n(L)$   
 $SH_n(D^*L) \cong_{\text{gfp}} H^n(L)$  (Viterbo)

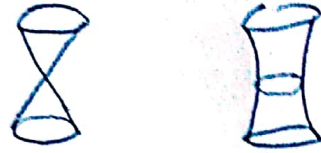
w/ these iso,  $c_+ : H^n(L) \rightarrow H^n(M) \cong \mathbb{Z}/2$  is in fact, the map induced by  $L \hookrightarrow M$ . This map is surjective. But if  $SH_n(M) \xrightarrow{c_+} \mathbb{Z}/2$  is not surj; i.e. is  $D^*L$  also  $j^! \neq 0$ , then the diagram does not commute.  $\square$

So if  $M$  has an exact Lagr, then  $SH^*(M) \neq 0$  over  $\mathbb{Z}/2$  or, if  $L$  is spin, use any coeff.

Also: If  $W \hookrightarrow M$   $SH^*(M) = 0$ , then since  $SH^*(M) \rightarrow SH^*(W)$  is a unital ring morph,  $0 = 1_M \mapsto 1_W = 0_W$ .

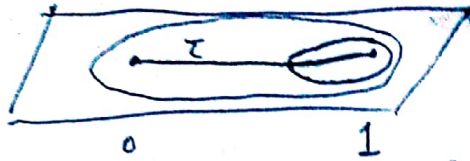
So we have  $SH^*(W)$  as an obstruction to embedding.

Average, Wall Crossing: Let  $M = \mathbb{C}^2 \setminus \{x^2 + y^2 = 1\}$   
 is T-Duality



We have product (Clifford); (Lefschetz tori); they are Lagrangian  
 isotopic but not Hom iso:

Choose  $\lambda$  can rotate up to height  $\lambda$  & pass over the  
 singularity, then come back down. But this  
 doesn't preserve signed area & hence, not a  
 Hom iso.



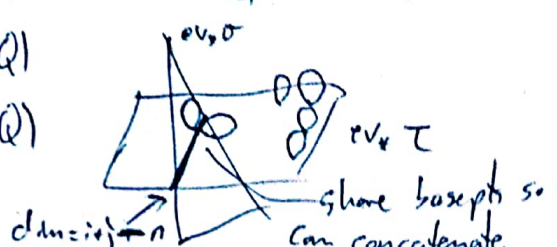
the tori are distinguished by the Lefschetz thimble; the  
 vanishing cycle is removed so there is no obstruction for the  
 tori to be exact.

(they lag by parallel transport; fibers are symplectic  
 so they intersect their  $\omega$ -orthogonal complement  
 transversally)

Product Space:  $SH^i(M) \otimes SH^j(M) \xrightarrow{p} SH^{i+j-n}(M)$ ;



cf. loop product (Chas-Sullivan):  $Q$ -closed, smooth manifold  $\Lambda Q \xrightarrow{ev} Q$ ,  $\sigma \in H_i(\Lambda Q)$   
 $\delta \mapsto \delta(1)$ ,  $\tau \in H_j(\Lambda Q)$



Gives prod  $H_i(\Lambda Q) \otimes H_j(\Lambda Q) \xrightarrow{p} H_{i+j-n}(Q)$ .

Thm (Abbandandolo-Schwartz): Let  $Q$  be closed & symplectic. Then over any field,  $(SH^*(T^*Q), p) \cong (H_*(Q), \ell)$ .

e.g.  $\Omega S^n \hookrightarrow \Lambda S^n$ ;  $\downarrow S^n$ ;  $\text{Aquadre: } \Lambda S^n \cong \Omega S^n \times S^n$  iff  $n=1,3,7$ .  
 Lemma:  $\forall n, H_*(\Omega S^n) = \begin{cases} \mathbb{Z}, & x=0, n-1, 2(n-1), 3(n-1), \dots \\ 0, & \text{else} \end{cases}$

Hence,  $SH^*(T^*S^1, \mathbb{C}) \cong \mathbb{C}[t, t^{-1}]$ ; observe  $\text{Spec } \mathbb{C}[t, t^{-1}] \cong \mathbb{C}^*$ .

Conjecture: Mirror of log CY  $X$  is  $\text{Spec } SH^*(X)$ .

$SH^*$  also has Lie bracket & BV operator.

Let  $M$  be a symplectic Liouville domain;  $\Delta \hookrightarrow (M \times M, \omega \oplus \omega)$  the diagonal, which is Lagrangian.

Then  $SH^*(M) \cong HW^*(\Delta, \Delta)$ .

Lastly: If  $M$  is Weinstein, there are maps  $HH_{\neq -n}^*(W(M)) \rightarrow SH^*(M) \rightarrow HH^*(W(M))$  that are both isomorphisms.

(Ganatra, 2015)

Last time: Viterbo functoriality; let  $W \xrightarrow[\text{codim } 0]{j} M$  embedding of Liouville domains; i.e.  $j^* \lambda_M = \lambda_W + dK$

Suppose  $\exists J$  s.t. its fiber traj /w orbits of  $W$ , stay in  $W$ . Then  $\exists$  a map  $F_j^*: SH^*(M) \rightarrow SH^*(W)$  which is a unital ring morphism, fitting into the diagrams:

$$\begin{array}{ccc} \uparrow c^* & \circlearrowleft & \uparrow c^* \\ H^{u+u}(M) & \xrightarrow{j^*} & H^{u+u}(W) \end{array}$$

The map is functorial wrt Liouville inclusions of this type.

Remarks: <sup>1</sup> every map is a ring map. The dual diagram then has a counital coalgebra map.

When we have an exact Lagr  $L \subset M$ , we can embed  $D^*L \hookrightarrow M$ . Weinstein noted that says we can always do this symplectically but the exactness condition is needed for the embedding to be one of Liouville domains.

3. Let  $L \hookrightarrow T^*N$ . We then get a diagram

$$\begin{array}{ccc} H^*(\Lambda L) & \xrightarrow{F_j^*} & H^*(\Lambda N) \\ \text{ev}_0^* \uparrow i^* & \circlearrowleft & i^* \uparrow \text{ev}_0^* \\ H^*(L) & \xrightarrow{j^*} & H^*(N) \end{array}$$

(dual)

There is a spectral enrichment by Kragh:

$$\begin{array}{ccc} \Lambda N^{-TN} & \longrightarrow & \Lambda L^{-TL+q} \\ \uparrow & & \uparrow \\ N^{-TN} & \longrightarrow & L^{-TL} \end{array}$$

these are Thom spectra; view  $-TL$  as a virtual bundle or as some stabilized normal bundle of  $L \hookrightarrow \underbrace{S^M}_{\text{large sphere}}$

I mention this (not b/c I understand it) b/c it is used to prove:

Thm (Abouzaid-Kragh): When  $n \equiv 1, 3, 5 \pmod{8}$ ,  $\exists$  a class of Lagr immersions of  $S^n \hookrightarrow T^*S^n$  in the homotopy class of the 0 section which do not admit embedded representatives.