

OWED FROM LAST TIME:

PROOF OF LOCAL NORMAL FORM THM.

Thm:  $f \in \mathcal{O}(U)$  NONCONSTANT IN A NBHD OF  $p \in U$ ,  $f(p) = q$

THEN WE HAVE  
 NEIGHBORHOODS  $V \ni p$   
 $W \ni q$   
 SO THAT  $\xrightarrow{\quad}$   
 FOR  $m \geq 1 \in \mathbb{Z}^+$

$$\begin{array}{ccc} p \in V & \xrightarrow{f} & q \in W \\ \downarrow \varphi & & \downarrow \psi \\ 0 \in \mathbb{D} & \xrightarrow{w \mapsto w^m} & 0 \in \mathbb{D} \end{array}$$

PF/ SINCE  $f \in \mathcal{O}(U)$  ~~with~~ WITH  $f(p) = q$ ,

• WE CAN WRITE  $f(z) - q = (z-p)^m g(z)$   
 WITH  $g(p) \neq 0$ ,  $m = \text{ord}(f-q, p)$   $g \in \mathcal{O}(U)$ .

WE WANT TO CONSTRUCT AN "M<sup>TH</sup> ROOT" OF  $g$  NEAR  $p$ .

SINCE  $g(p) \neq 0$ , THERE IS  $r > 0$  SO THAT FOR

$D = \mathbb{D}_r(p)$  WE HAVE  $g(z) \neq 0$ .

THUS  $\frac{g'(z)}{g(z)}$  IS HOLOMORPHIC ON  $D$  AND SO HAS

A PRIMITIVE  $H \in \mathcal{O}(D)$

~~SINCE  $H$~~  OBSERVE  $(g e^{-H})' = (g' - g H') e^{-H} = 0$  IN  $D$ .  
 SINCE  $H' = \frac{g'}{g}$

SO  $g(z) = C e^{H(z)}$  FOR SOME CONST.  $C \neq 0$ .  
 ADD A CONSTANT TO  $H(z)$  TO GET  $g(z) = e^{H(z)}$

LET  $\varphi_1(z) = (z-p) \exp\left(\frac{h(z)}{m}\right)$ .

NOTE  $\varphi_1 \in \mathcal{O}(D)$ ,  $\varphi_1(p) = 0$ ,  $\varphi_1'(p) = \exp\left(\frac{h(z)}{m}\right) \neq 0$

THUS  $\varphi_1$  IS A BIHOLOMORPHISM FROM  $V \rightarrow \mathbb{D}_\varepsilon(0)$  ON SOME NBHD  $V$  OF  $p$ ,  $V \subset D$

NOW RESCALE:

$\varphi(z) = \frac{1}{\varepsilon} \varphi_1(z)$        $\psi(z) = \frac{1}{\varepsilon^m} (z-g)$

AND WE HAVE

$\varphi: V \rightarrow \mathbb{D}$  AND  $\psi: \mathbb{D}_{\varepsilon^m}(g) \rightarrow \mathbb{D}$

BIHOLOMORPHISMS.

$\psi(f(z)) = \frac{f(z)-g}{\varepsilon^m} = \frac{(\varphi_1(z))^m}{\varepsilon^m} = (\varphi(z))^m$

HOLDS FOR  $z \in V$ .



OPEN MAPPING THM:  
LET  $U \subset \mathbb{C}$  DOMAIN,  $f \in \mathcal{O}(U)$  NON CONSTANT. THEN  $f(U)$  OPEN

PF/ APPLY LOCAL NORMAL FORM:  
EVERY  $p \in U$  HAS AN OPEN  $V(p) \subset U$  WITH  $f(V)$  OPEN.

NOW TAKE UNIONS:

$f(U) = f\left(\bigcup_{p \in U} V(p)\right) = \bigcup_{p \in U} f(V(p))$ .

~~HOLomorphic Inverse:~~

(3)

COR: LET  $f: U \rightarrow \mathbb{C}$  BE INJECTIVE ON A DOMAIN  $U$ ,  $f \in \mathcal{O}(U)$   
THEN  $f'(z) \neq 0$  FOR ALL  $z \in U$ , AND  
 $f: U \rightarrow f(U)$  IS A BIHOLOMORPHISM

PF: IF  $f'(p) = 0$  FOR SOME  $p \in U$ ,  $f$  IS NOT INJECTIVE AT  $p$   
(BY LOCAL NORMAL FORM, eg).

~~SINCE~~  $f(U)$  IS OPEN AND BY THE OPEN MAPPING  
THM, AND  $f^{-1}$  IS HOLOMORPHIC BY HOLO. INV. THM

MAXIMUM PRINCIPLE FOR OPEN MAPS:

LET  $f: U \rightarrow \mathbb{C}$  BE AN OPEN MAP.

- (i)  $|f|$  CANNOT HAVE A LOCAL MAXIMUM IN  $U$
- (ii)  $|f|$  CANNOT HAVE A LOCAL MINIMUM IN  $U$   
IF  $f(z)$  IS NONZERO FOR  $z \in U$

PROOF: TAKE  $p \in U$ . SINCE  $U$  OPEN,  $\exists r > 0$  WITH  $D_r(p) \subset U$

(i) SINCE  $f(p) \in f(D)$  AND  $f(D)$  IS OPEN,  
THERE MUST BE  $z \in D$  WITH  $|f(z)| > |f(p)|$ .

THUS,  $p$  IS NOT A MAXIMUM OF  $|f|$ .  
SINCE  $p$  ARBITRARY, THERE ARE NONE.

(ii) SIMILARLY, IF  $f(p) \neq 0$ , THERE IS  $w \in D$  WITH  
 $|f(w)| < |f(p)|$ , SO NO MINIMUM EITHER.

THM/COR:

IF  $U$  IS A BOUNDED DOMAIN WITH  $f \in \mathcal{O}(U)$   
AND  $f$  CONTINUOUS ON  $\bar{U}$ , THEN

(i)  $|f(z)| \leq \sup_{\zeta \in \partial U} |f(\zeta)|$  FOR ALL  $z \in U$

(ii) IF  $f \neq 0$  FOR ALL  $z \in U$ , THEN  $|f(z)| \geq \inf_{\zeta \in \partial U} |f(\zeta)|$

IF EQUALITY HOLDS IN EITHER CASE AT SOME  $z$ ,  $f$  IS A CONSTANT

THAT IS "A HOLONOMIC FUNCTION ACHIEVES ITS MAXIMUM ON THE BOUNDARY"

PA/

(i) IF THERE IS A  $z \in U$  WITH  $|f(z)| \geq \sup_{\zeta \in \partial U} |f(\zeta)|$

~~THEN  $f$  HAS A~~

THEN  $\sup |f|$  IS ATTAINED ON THE COMPACT SET  $\bar{U}$  INSIDE  $U$ , IE  $f$  HAS A LOCAL MAX. BUT THEN  $f$  IS NOT AN OPEN MAP, SO IT MUST BE CONSTANT.

(ii) IF  $f$  HAS A ZERO ON  $\partial U$ , WE ARE DONE.

OTHERWISE  $f(z) \neq 0$  FOR ALL  $z \in \bar{U}$ , AND

WE CAN APPLY (i) TO  $\frac{1}{f}$ , HOLONOMIC IN  $U$ .

EX: IF  $X \subset \mathbb{R}^n$  IS CONVEX AND  $p, q \in X$ , THEN ANY TWO CURVES  $\gamma_0$  AND  $\gamma_1$  FROM  $p$  TO  $q$  ARE HOMOTOPIC IN  $X$  (6)

• GIVEN  $\gamma, \eta: [0, 1] \rightarrow X$  WITH  $\gamma(1) = \eta(0)$

WE CAN FORM THE PRODUCT (= CONCATENATION)

$$\gamma \cdot \eta(t) = \begin{cases} \gamma(2t) & t \in [0, 1/2] \\ \eta(2t-1) & t \in [1/2, 1] \end{cases}$$



THIS IS NOT ASSOCIATIVE, BUT

$$\text{IMAGE}(\gamma \cdot (\eta \cdot \xi)) = \text{IMAGE}(\gamma \cdot (\eta \cdot \xi))$$

SO THEY ARE THE SAME AFTER REPARAMETERIZING

• GIVEN  $\gamma: [0, 1] \rightarrow X$ , THERE IS A REVERSE CURVE  $\bar{\gamma}: [0, 1] \rightarrow X$

$$\text{WITH } \bar{\gamma}(t) = \gamma(1-t).$$

$$*(\eta \cdot \bar{\gamma})(t) = (\bar{\gamma} \cdot \eta)(t)$$

• IF  $p \in X$  IS A POINT, THE CONSTANT MAP  $\epsilon_p(t) = p$  IS DENOTED  ~~$\epsilon_p$~~

THM 6 HOMOTOPY  $\simeq$  IS AN EQUIVALENCE RELATION ON THE SET OF ALL CURVES IN  $X$

PF/ EASY.

(7)

THE HOMOTOPY CLASS  $[\gamma]$  OF A CURVE  $\gamma$   
 IS THE EQUIVALENCE CLASS OF ALL CURVES  $\eta$  IN  $X$   
 HOMOTOPIC TO  $\gamma$ .

OBSERVE  $[\eta] \cdot [\gamma] = [\eta \cdot \gamma]$  IS WELL DEFINED  
 (ASSUMING  $\eta(1) = \gamma(0)$ ).

DEF

LET  $X$  BE A TOPOLOGICAL SPACE,  $p \in X$ .

THEN THE SET OF ALL HOMOTOPY CLASSES  
 OF CLOSED CURVES  $\gamma: [0,1] \rightarrow X$  WITH  $\gamma(0) = \gamma(1) = p$   
 FORMS A GROUP UNDER  $\cdot$ .

THIS GROUP IS THE FUNDAMENTAL GROUP  
 $\pi_1(X, p)$  WITH BASEPOINT  $p$

IN  $\pi_1(X, p)$ ,  $[e_p]$  IS THE IDENTITY ELEMENT  
 $[\gamma^{-1}]$  IS THE INVERSE OF  $[\gamma]$

SUPPOSE  $f: X \rightarrow Y$  IS CONTINUOUS.

IF  $H: [0,1]^2 \rightarrow X$  IS A HOMOTOPY BETWEEN  $\gamma_0$  AND  $\gamma_1$ ,  
 THEN  $f \circ H$  WILL BE A HOMOTOPY BETWEEN  $f \circ \gamma_0$  AND  $f \circ \gamma_1$ .

THUS,  $f_*([\gamma]) = [f \circ \gamma]$  IS A GROUP HOMOMORPHISM  
 BETWEEN  $\pi_1(X)$  AND  $\pi_1(Y)$ .

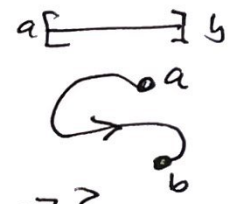
# HOMOTOPY BASICS

## DEFS

A CURVE  $\gamma: [a, b] \rightarrow \mathbb{X}$  IS  
 A CLOSED CURVE IF  $\gamma(a) = \gamma(b)$ .

A TOPOLOGICAL SPACE,  
 eg  $\mathbb{C}$ .

OTHERWISE,  $\gamma(a)$  IS THE INITIAL POINT  
 $\gamma(b)$  IS THE ENDPOINT



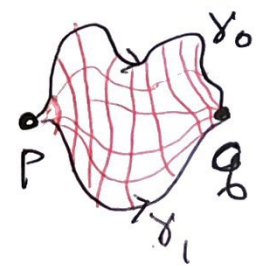
- THE IMAGE  $\{x \in \mathbb{X} \mid \exists t \in [a, b] \text{ such that } \gamma(t) = x\}$   
 WILL BE DENOTED  $\{\gamma\}$  (BOOK USES  $|\gamma|$ )  
 OR  $\text{Image}(\gamma)$ .

WE CAN ALWAYS RESCALE SO THAT  $[a, b] = [0, 1]$

## DEF

LET  $P, Q \in \mathbb{X}$  WITH

~~$\gamma_0, \gamma_1$~~   $\gamma_0, \gamma_1: [0, 1]$  BE CURVES FROM  
 $P$  TO  $Q$



A HOMOTOPY  ~~$\gamma_0$~~  BETWEEN  $\gamma_0$  AND  $\gamma_1$   
 IS A CONTINUOUS FUNCTION  $H: [0, 1] \times [0, 1] \rightarrow \mathbb{X}$

SO THAT

- $H(t, 0) = \gamma_0(t)$  ,  $H(t, 1) = \gamma_1(t)$  FOR ALL  $t \in [0, 1]$
- $H(0, s) = P$  ,  $H(1, s) = Q$  FOR  $s \in [0, 1]$

IF SUCH A HOMOTOPY EXISTS,  $\gamma_0$  IS HOMOTOPIC TO  $\gamma_1$

$$\gamma_0 \simeq \gamma_1$$



FURTHERMORE, IF  $g: Y \rightarrow Z$  IS ALSO CONTINUOUS, (8)  
 THEN  $(g \circ f)_* = g_* \circ f_*$

IF  $f: X \rightarrow Y$  IS A HOMEOMORPHISM, THEN  
~~THEN~~ THEN  $f_*$  IS A GROUP ISOMORPHISM FROM  
 $\pi_1(X) \rightarrow \pi_1(Y)$  WITH INVERSE  $(f^{-1})_*$

HOMEOMORPHIC SPACES HAVE ISOMORPHIC FUNDAMENTAL GROUPS

NOTE THAT IF  $X$  IS PATH CONNECTED, THE DEPENDENCE ON THE BASEPOINT IS IRRELEVANT.



GIVEN ANY CLOSED PATH  $\gamma$  WITH BASEPOINT  $p$ , WE CAN CREATE A CLOSED PATH  $\eta \cdot \gamma \cdot \eta^{-1}$ , WHERE  $\eta(0) = g$  and  $\eta(1) = p$ .

~~SO THE MAP~~

THIS GIVES US AN ISOMORPHISM

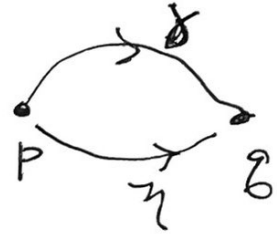
$$\pi_1(X, p) \rightarrow \pi_1(X, g)$$

DEF: A PATH-CONNECTED TOPOLOGICAL SPACE  $X$  IS SIMPLY CONNECTED IF  $\pi_1(X, p)$  IS TRIVIAL FOR SOME  $p \in X$  (AND HENCE ALL  $p$ )



THM: A PATH CONNECTED SPACE  $X$  IS ~~STRIPPY~~ SIMPLY CONNECTED IF AND ONLY IF ANY TWO CURVES WITH SAME INITIAL AND FINAL POINTS ARE HOMOTOPIC

PF/ LET  $X$  BE SIMPLY CONNECTED  
 $\gamma \simeq \eta$  ~~BE~~ PATHS FROM  $p$  TO  $q$ .  
 BUT  $\gamma \cdot \eta^{-1}$  IS NULL HOMOTOPIC, I.E



$$[\gamma] \cdot [\eta]^{-1} = [\gamma \cdot \eta^{-1}] = [\epsilon_p] = \text{id}.$$

SO  $\pi_1(X)$  IS TRIVIAL.

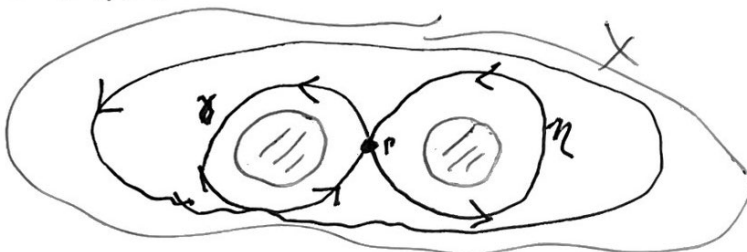
SOMETIMES NO BASEPOINT IS BETTER ...

DEF CLOSED CURVES  $\gamma_0, \gamma_1: [0,1] \rightarrow X$  ARE FREELY HOMOTOPIC IF THERE IS  $H: [0,1] \times [0,1] \rightarrow X$

SO THAT

- $H(t,0) = \gamma_0(t)$      $H(t,1) = \gamma_1(t)$  FOR  $t \in [0,1]$
- $H(0,s) = H(1,s)$  FOR  $s \in [0,1]$ .

THAT IS,  $\gamma_0$  ~~AND~~ CAN BE DEFORMED INTO  $\gamma_1$  THROUGH A CONTINUOUS FAMILY OF CLOSED ~~ONE~~ CURVES.



~~gamma and eta~~  
 $\eta \cdot \gamma$  IS NOT HOMOTOPIC THRU  $p$   
 BUT IF IS FREELY HOMOTOPIC