

# homework assignment 10

SECTION 6.3 pp. 197-198

**EXERCISE 1.** Let  $V$  be a finite dimensional vector space. What is the minimal polynomial for the identity operator on  $V$ ? What is the minimal polynomial for the zero operator?

**SOLUTION:** The minimal polynomial for the identity operator is  $p(x) = x - 1$ . It is monic, of degree 1 and it annihilates the identity operator. The minimal polynomial for the zero operator is  $p(x) = x$ .

**EXERCISE 3.** Let  $A$  be the  $4 \times 4$  real matrix

$$A = \begin{pmatrix} 1 & 1 & 0 & 0 \\ -1 & -1 & 0 & 0 \\ -2 & -2 & 2 & 1 \\ 1 & 1 & -1 & 0 \end{pmatrix}$$

Show that the characteristic polynomial for  $A$  is  $x^2(x - 1)^2$  and that it is also the minimal polynomial.

**SOLUTION:** Calculating  $\det(xI - A)$  we get  $x^2(x - 1)^2$ . The minimal polynomial should have the same degree 1 factors, i.e.  $x$  and  $(x - 1)$ . Calculating the remaining possibilities we get:  $A(A - I) \neq 0$ ,  $A^2(A - I) \neq 0$ ,  $A(A - 1)^2 \neq 0$ . Therefore the minimal polynomial is the characteristic polynomial.

**EXERCISE 4.** Is the matrix  $A$  of Exercise 3 similar over the field of complex numbers to a diagonal matrix?

**SOLUTION:** One can easily check that the matrices  $A$  and  $A - I$  have rank 3. Hence,  $A$  has exactly two eigenvectors: one with eigenvalue 0, and the other with eigenvalue 1. So  $A$  does not have a basis of eigenvectors, and thus is not similar to a diagonal matrix over the complex field.

**EXERCISE 5.** Let  $V$  be an  $n$ -dimensional vector space and let  $T$  be a linear operator on  $V$ . Suppose that there exists some positive integer  $k$  so that  $T^k = 0$ . Prove that  $T^n = 0$ .

**SOLUTION:** If  $T^k = 0$  then the minimal polynomial divides  $x^k$ , therefore the minimal polynomial must be  $x^s$  for some  $s$  between 1 and  $n$  (because the minimal polynomial has degree at most  $n$ ), but then  $T^s = 0$ . Therefore we are reduced to the case when  $T^k = 0$  with  $k \leq n$ . In that case  $T^n = T^{n-k}T^k = T^{n-k} \cdot 0 = 0$ .

**EXERCISE 6.** Find a  $3 \times 3$  matrix for which the minimal polynomial is  $x^2$ .

**SOLUTION:** Let

$$A = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

Clearly  $A^2 = 0$  but  $A \neq 0$ . Therefore the minimal polynomial is a monic polynomial which divides  $x^2$  and is not  $x$ . i.e. it is  $x^2$ .

## SECTION 6.4 pp. 205-206

**EXERCISE 3.** Let  $c$  be a characteristic value of  $T$  and let  $W$  be the space of characteristic vectors associated with the characteristic value  $c$ . What is the restriction operator  $T_W$ ?

**SOLUTION:** Let  $w$  be any vector in  $W$ . Then  $w$  must satisfy  $T(w) = cw$ . But  $T_W(w) = T(w)$ . Therefore  $T_W(w) = cw$ , that is,  $T = cI$ .

**EXERCISE 4.** Let

$$A = \begin{pmatrix} 0 & 1 & 0 \\ 2 & -2 & 2 \\ 2 & -3 & 2 \end{pmatrix}.$$

Is  $A$  similar to a triangular real matrix? If so, find such a triangular matrix.

**SOLUTION:** Compute the characteristic polynomial of  $A$ . It is  $x^3$ . Therefore  $A$  is similar to a triangular matrix (the minimal polynomial divides  $x^3$  and thus it is a product of linear factors). Find one eigenvector  $v_1$  with eigenvalue 0 (solve the system  $AX = 0$  we get  $v_1 = (-1, 0, 1)$ ). Now find a vector  $v_2$  such that  $Av_2 = v_1$  (solve the system  $AX = v_1$ , we get  $(-1, -1, 0)$ ). Then find a vector  $v_3$  such that  $Av_3 = v_2$  (solve the system  $AX = v_2$ , we get  $v_3 = (-\frac{3}{2}, -1, 0)$ ). In the basis  $\mathcal{B} = \{v_1, v_2, v_3\}$  the operator whose matrix in the standard basis is  $A$ , will have an upper triangular matrix with zeroes on the diagonal, namely

$$[A]_{\mathcal{B}} = \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}$$

**EXERCISE 7.** Let  $T$  be a linear operator on a finite-dimensional vector space over the field of complex numbers. Prove that  $T$  is diagonalizable if and only if  $T$  is annihilated by some polynomial over  $\mathbb{C}$  which has distinct roots.

**SOLUTION:** Suppose that  $T$  is diagonalizable, then by theorem 6 its minimal polynomial  $p(x)$  factors as a product of polynomials of degree 1 with distinct roots, hence we are done.

Suppose now that  $T$  is annihilated by some polynomial  $q(x)$  over  $\mathbb{C}$  which has distinct roots, i.e.  $q(x) = (x - c_1) \cdots (x - c_k)$  with  $i \neq j \Rightarrow c_i \neq c_j$ . Let  $p(x)$  be the minimal polynomial of  $T$ . We know that  $p(x) \mid q(x)$ , i.e.  $q(x) = p(x)r(x)$ . Since any polynomial over  $\mathbb{C}$  factors as a product of linear factors, we only need to check that  $p(x)$  has no multiple roots, to conclude that  $T$  is diagonalizable (again by theorem 6). Suppose that  $p(x)$  has a multiple root  $a$ , i.e.  $(x - a)^2 \mid p(x)$ , then we must have  $(x - a)^2 \mid q(x)$  (which contradicts our assumption on  $q(x)$ ). Therefore  $p(x)$  has no multiple roots and we are done.

**EXERCISE 9.** Let  $T$  be the indefinite integral operator

$$(Tf)(x) = \int_0^x f(t)dt$$

on the space of continuous functions on the interval  $[0, 1]$ . Is the space of polynomial functions invariant under  $T$ ? The space of differentiable functions? The space of functions which vanish at  $x = \frac{1}{2}$ ?

**SOLUTION:** Using the fundamental theorem of calculus we get that the integral of a polynomial is a polynomial and the integral of a differentiable function is differentiable. Therefore the answer to the first two questions is yes. To answer the last question consider the function  $f(x) = (x - \frac{1}{2})^2 = x^2 - x + \frac{1}{4}$ . Note that  $f(\frac{1}{2}) = 0$  and  $(Tf)(\frac{1}{2}) = \int_0^{\frac{1}{2}} f(t)dt = \frac{37}{24}$ , hence, the answer to the last question is no.