

# Khovanov homology of framed and signed chord diagrams.

Oleg Viro

December 2, 2006

## Knots and links

- Classical link diagrams
- 1D-picture
- Gauss diagram
- Reconstruction of knot

## Virtual links

## Moves

## Kauffman bracket

## Gauss diagrams of a poor man

## Khovanov homology

## Orientation of chord diagrams

## Khovanov complex of framed chord diagram

# Knots and links

# Classical link diagrams

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### ● **Classical link diagrams**

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A *knot* is a smooth simple closed curve in the 3-space.

# Classical link diagrams

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That is a circle smoothly embedded into  $\mathbb{R}^3$ .

# Classical link diagrams

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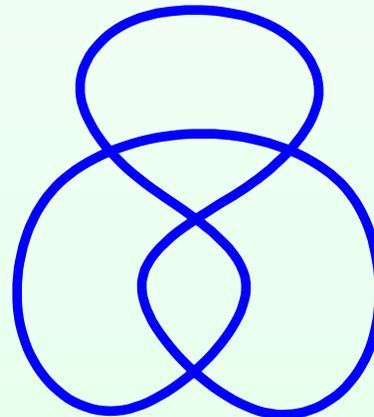
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To describe a knot graphically, project it to a plane



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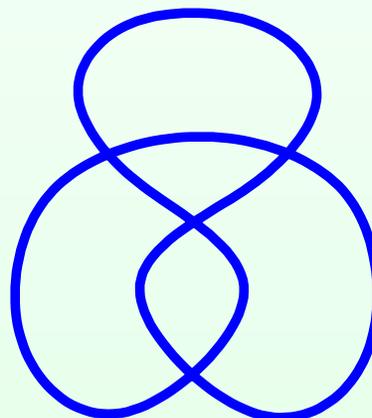
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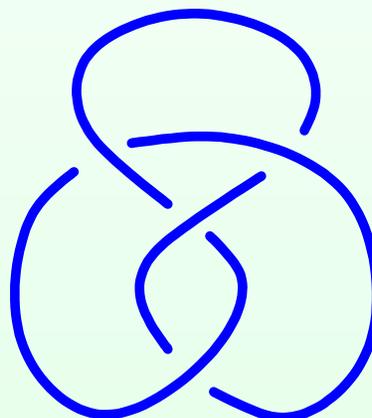
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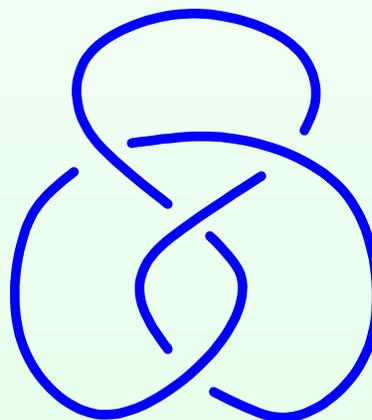
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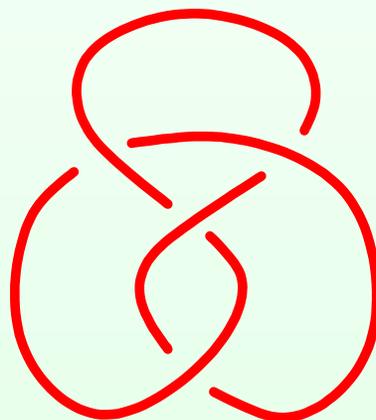
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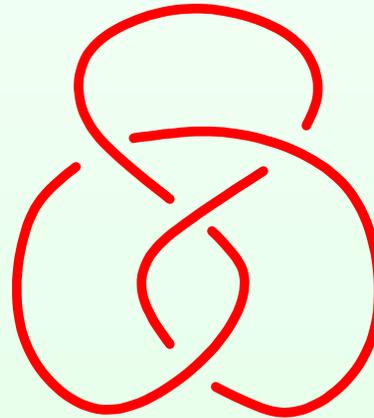
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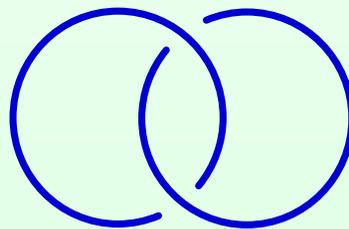
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A *link diagram*:



# 1D-picture

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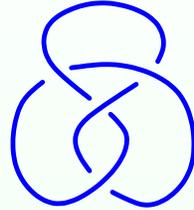
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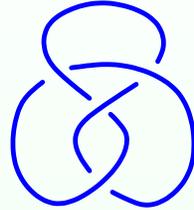
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is a 2D picture of knot.

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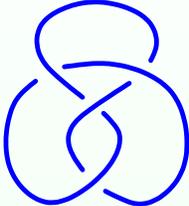
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A knot diagram  is a 2D picture of knot.

In many cases **1D picture** serves better.

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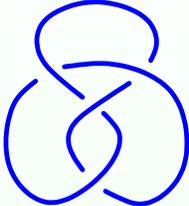
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1D picture comes from a parameterization.

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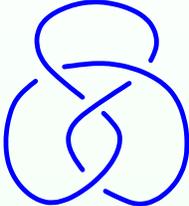
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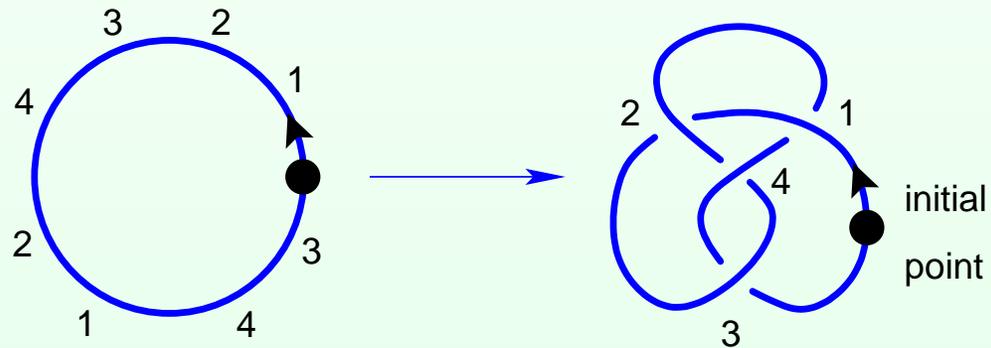
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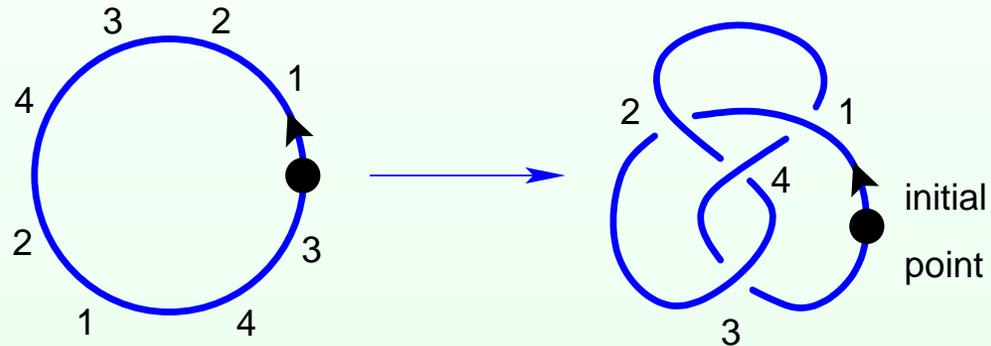
## Gauss diagrams of a poor man

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Decorate the source:



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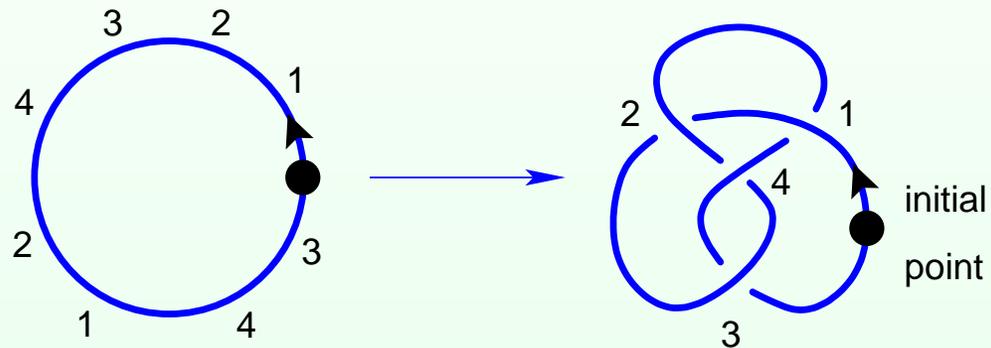
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Decorate the source:

- with **arrows** from overpass to underpass,



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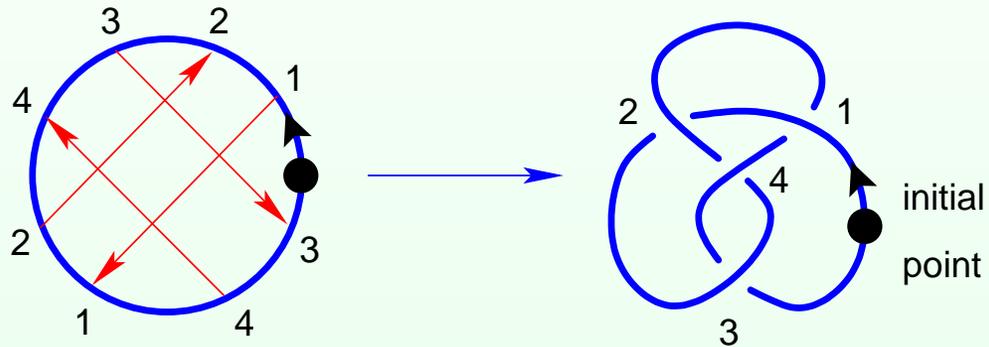
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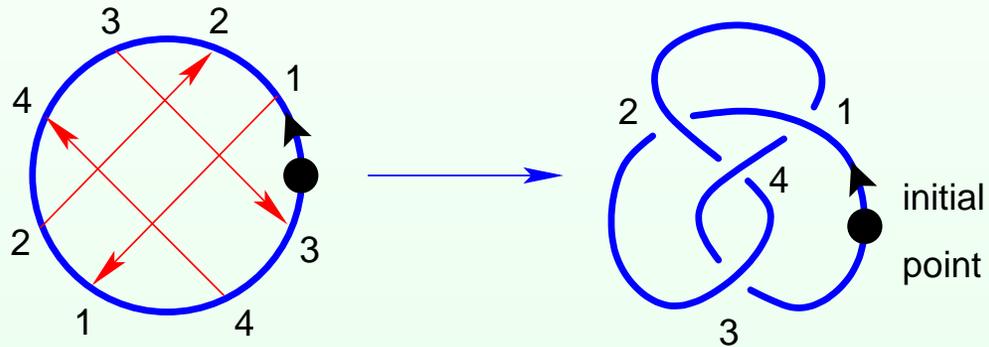
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Decorate the source:

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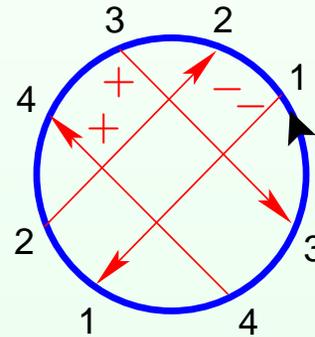
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**Signs:**

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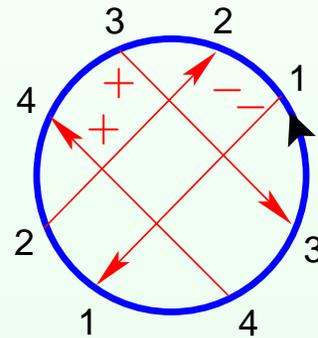
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**Signs:** positive

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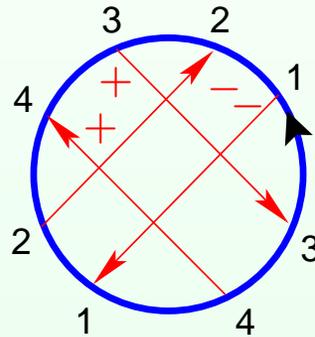
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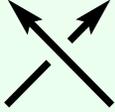
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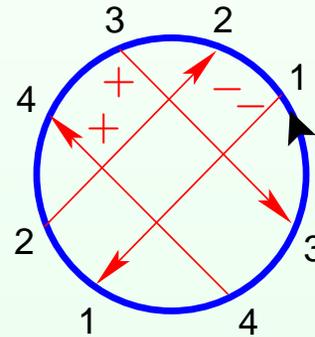
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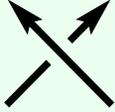
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**Signs:** positive , negative . The result

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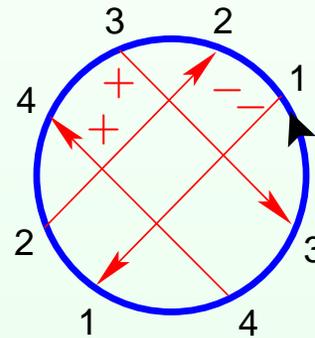
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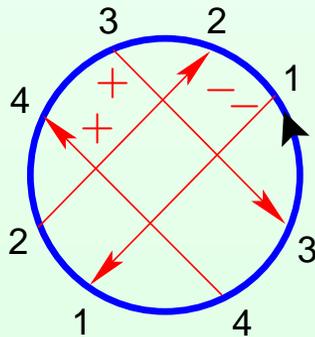
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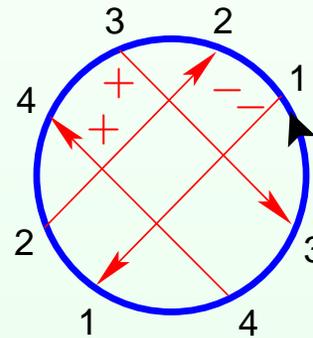
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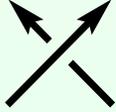
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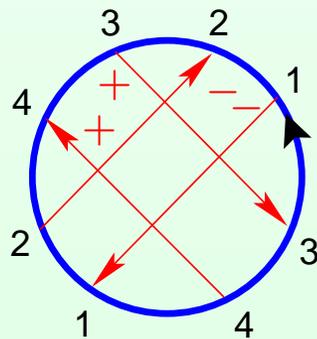
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Signs: positive , negative . The result,



, is called a **Gauss diagram** of the knot.

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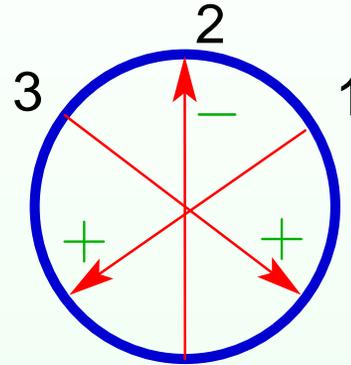
## Gauss diagrams of a poor man

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Take any such diagram, say,



and try to reconstruct the knot.

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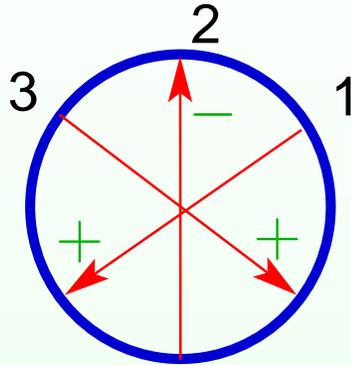
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Start with crossings:

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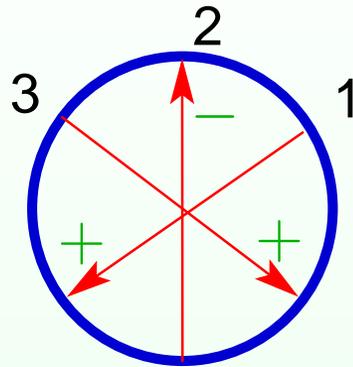
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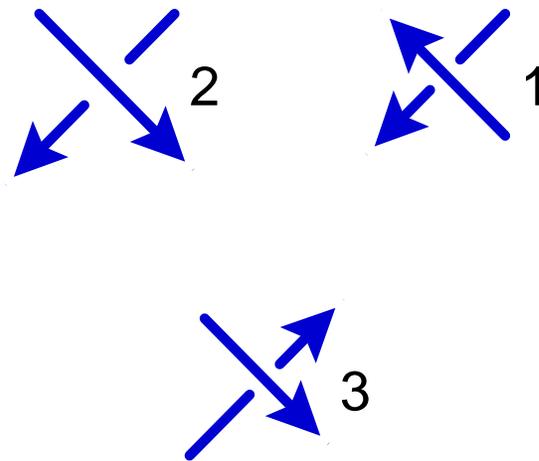
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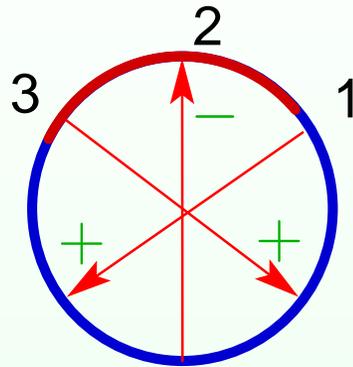
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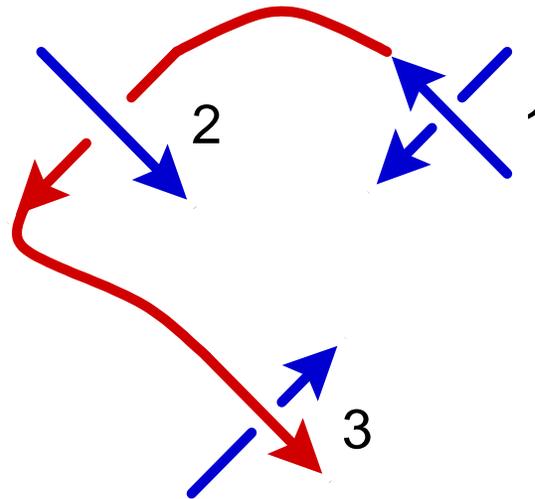
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Connect them step by step:



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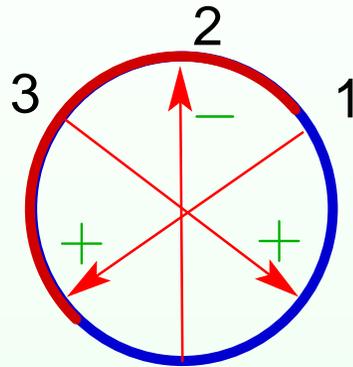
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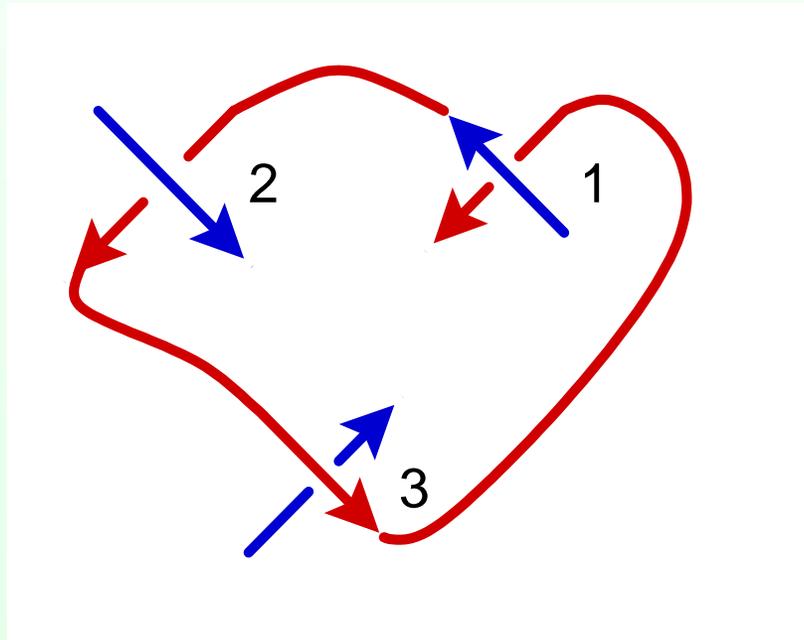
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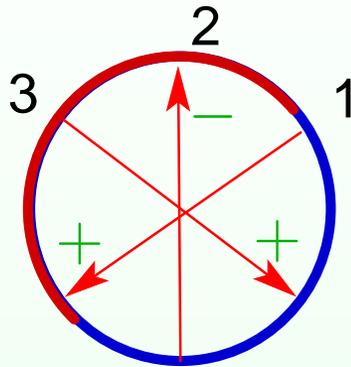
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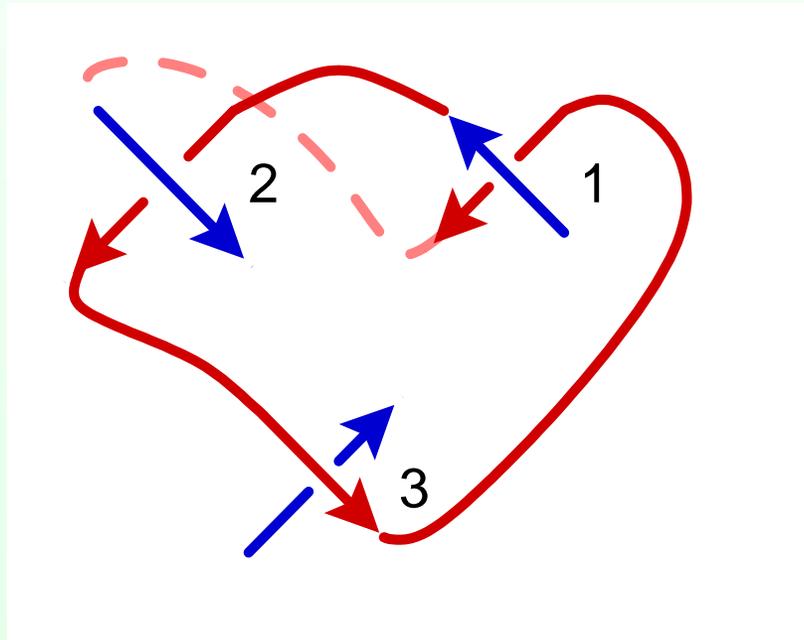
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The next step does not work!



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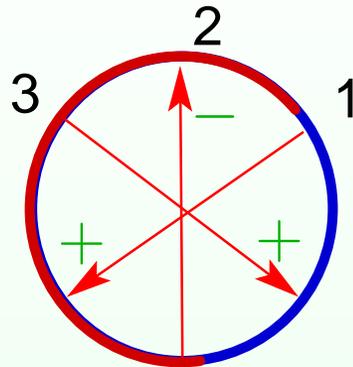
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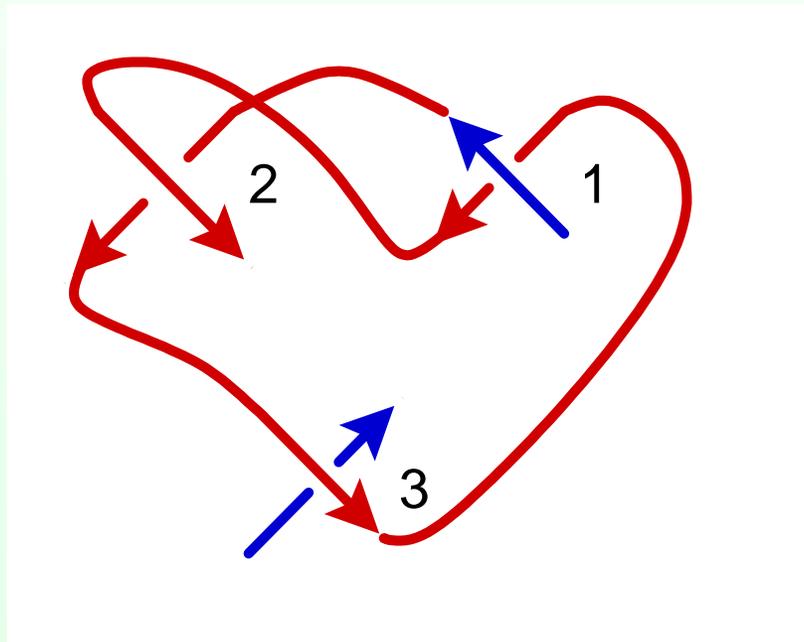
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But let us continue!



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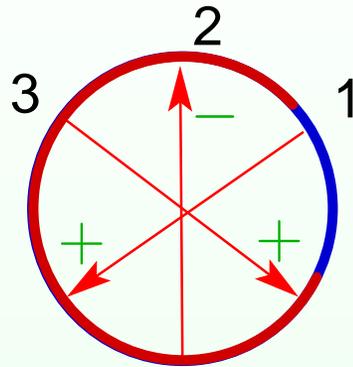
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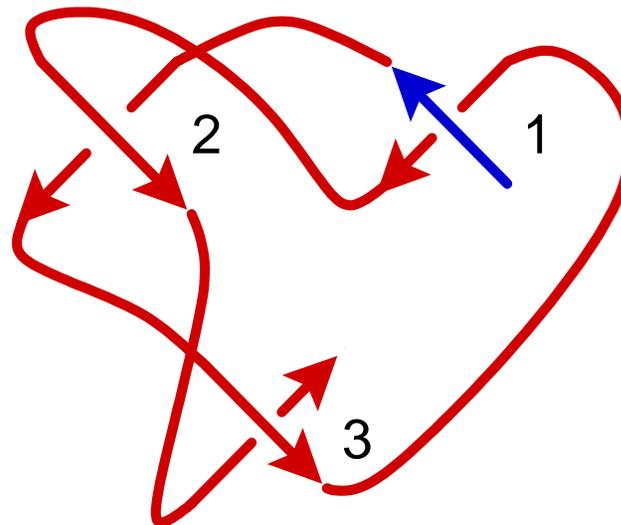
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Yet another obstruction!



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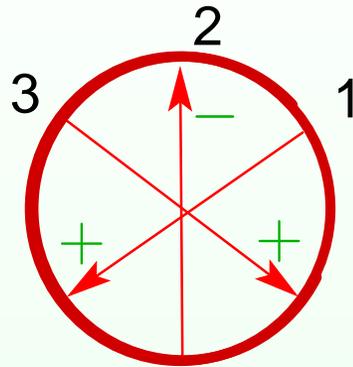
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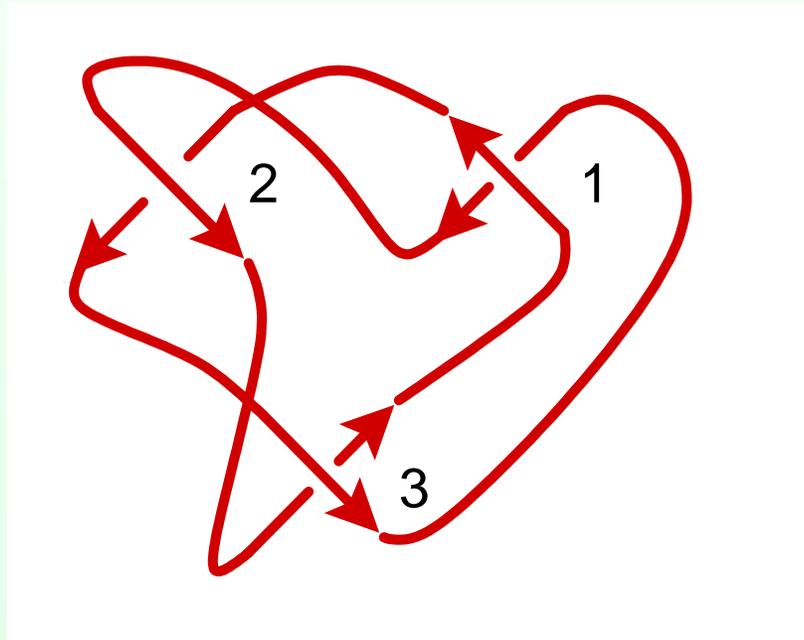
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We did it!



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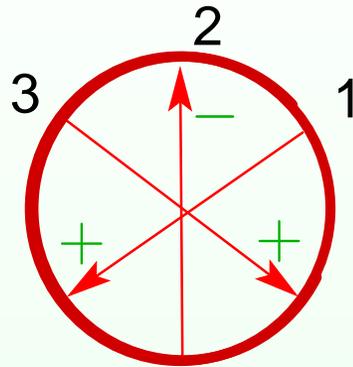
## Kauffman bracket

## Gauss diagrams of a poor man

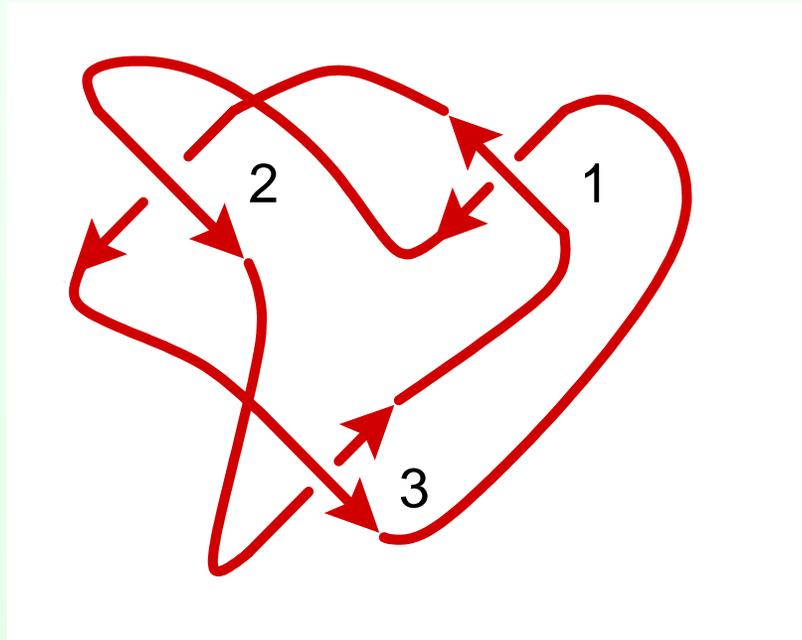
## Khovanov homology

## Orientation of chord diagrams

## Khovanov complex of framed chord diagram



We did it! But what is the result?



# Reconstruction of knot

## Knots and links

- Classical link diagrams
- 1D-picture
- Gauss diagram
- Reconstruction of knot

## Virtual links

## Moves

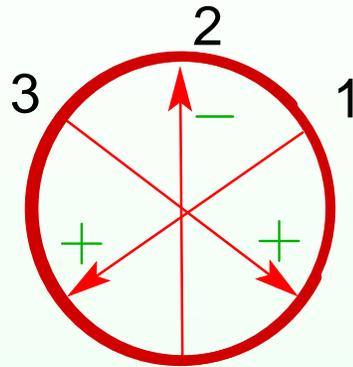
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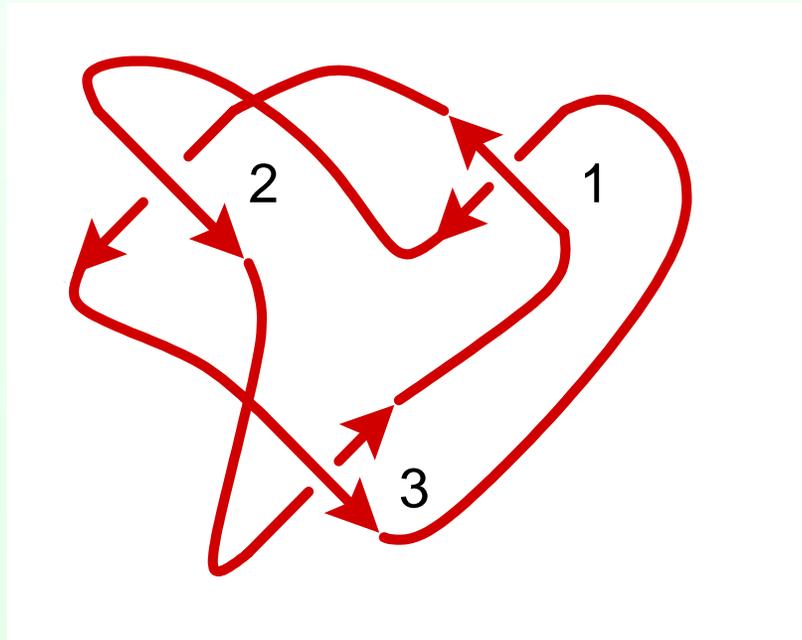
## Khovanov homology

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We did it! But what is the result?



The result is called a **virtual knot diagram**.

Knots and links

Virtual links

- Virtual knot diagrams
- Diagram on a surface

Moves

Kauffman bracket

Gauss diagrams of a  
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# Virtual links

# Virtual knot diagrams

Knots and links

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A virtual knot diagram has crossings of 2 types:

# Virtual knot diagrams

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A virtual knot diagram has crossings of 2 types:  
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# Virtual knot diagrams

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A virtual knot diagram has crossings of 2 types:  
**classical** or **real**

# Virtual knot diagrams

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A virtual knot diagram has crossings of 2 types:  
**classical** or **real** decorated like in a knot diagram

# Virtual knot diagrams

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A virtual knot diagram has crossings of 2 types:  
**classical** or **real** decorated like in a knot diagram  
and **virtual**

# Virtual knot diagrams

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A virtual knot diagram has crossings of 2 types:  
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Who can help to get rid of virtual crossings?

# Virtual knot diagrams

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# Virtual knot diagrams

Knots and links

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● **Virtual knot diagrams**

● Diagram on a surface

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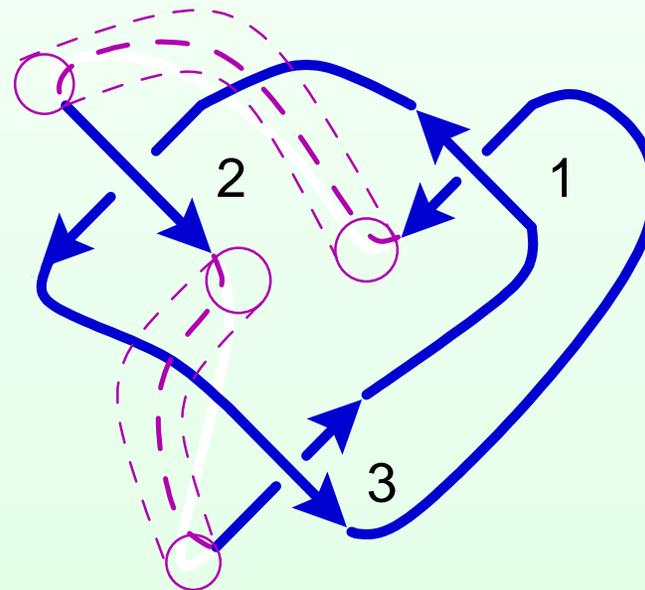
Orientation of chord  
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A virtual knot diagram has crossings of 2 types:  
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# Diagram on a surface

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A knot diagram drawn on orientable surface  $S$

# Diagram on a surface

Knots and links

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A knot diagram drawn on orientable surface  $S$ ,  
instead of the plane

# Diagram on a surface

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A knot diagram drawn on orientable surface  $S$ , instead of the plane, defines a knot in a thickened surface  $S \times I$ .

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A knot diagram drawn on orientable surface  $S$ , instead of the plane, defines a knot in a thickened surface  $S \times I$ . It defines also a Gauss diagram.

# Diagram on a surface

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For each Gauss diagram there is the **smallest** surface

# Diagram on a surface

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For each Gauss diagram there is the **smallest** surface with a knot diagram defining this Gauss diagram.

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Virtual knot diagrams emerge as projections to plane

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Virtual knot diagrams emerge as projections to plane of knot diagrams on a surface.

The surfaces is not unique:

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For each Gauss diagram there is the **smallest** surface with a knot diagram defining this Gauss diagram.

Virtual knot diagrams emerge as projections to plane of knot diagrams on a surface.

The surfaces is not unique: one can add handles.

Knots and links

Virtual links

**Moves**

- Moves
- Moves of virtual link diagram
- Moves of Gauss diagrams
- Combinatorial incarnation of knot theory
- Topological meaning of virtual knot theory
- Isotopy problem

Kauffman bracket

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# Moves

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What happens to a link diagram, when the link moves?

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Knots and links

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What happens to a link diagram, when the link moves?  
Link diagram moves, too.

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Reidemeister moves:

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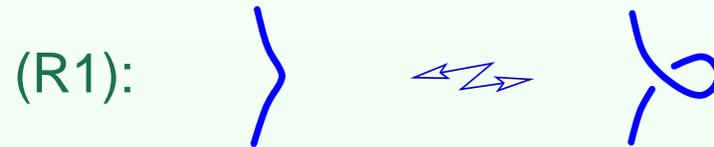
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# Moves

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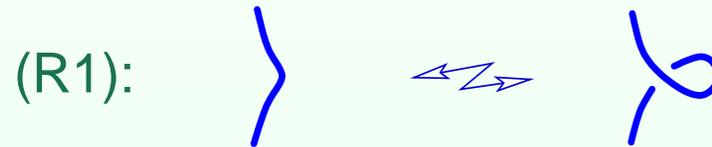
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(R2):

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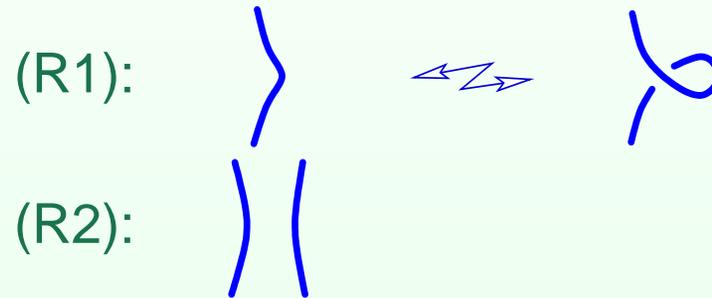
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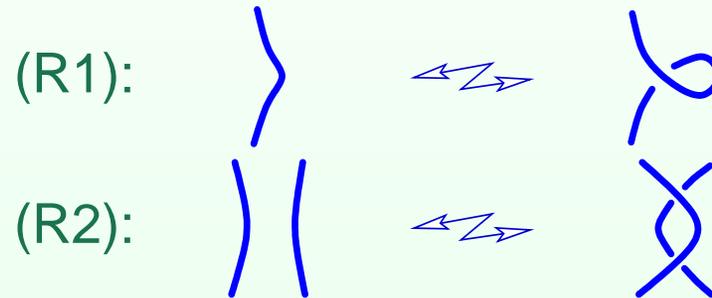
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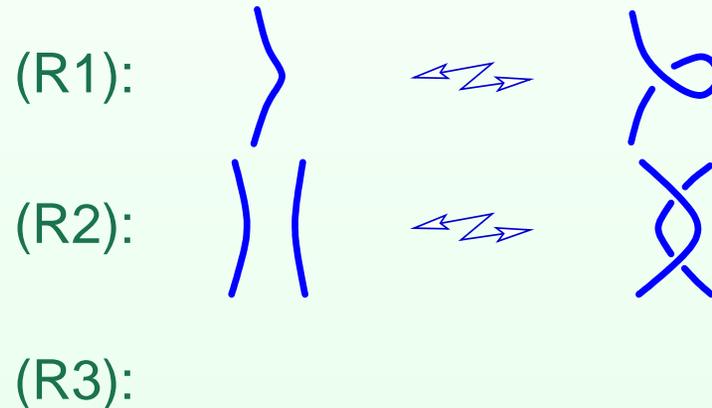
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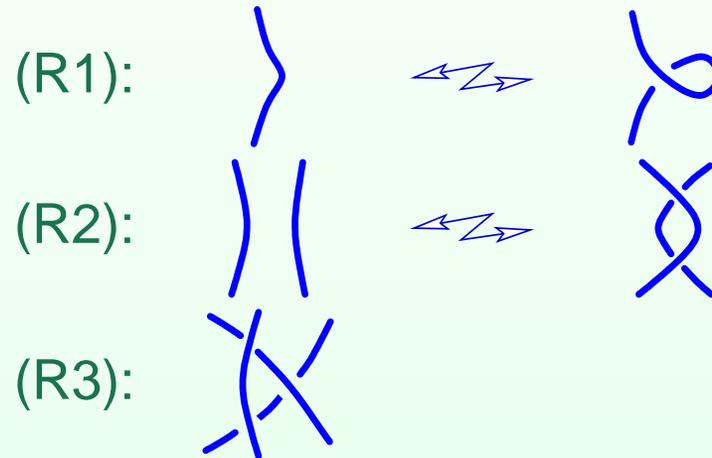
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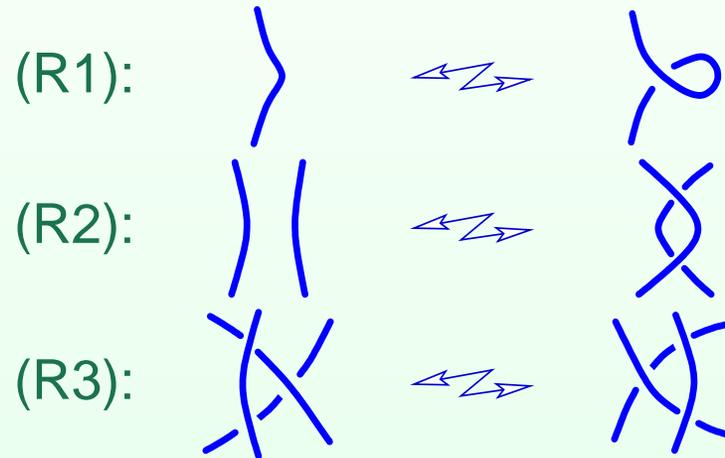
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What happens to a link diagram, when the link moves?  
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Reidemeister moves:



# Moves of virtual link diagram

Knots and links

Virtual links

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A virtual link diagram

(i.e., a plane projection of a link diagram on a surface)

# Moves of virtual link diagram

Knots and links

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A virtual link diagram moves like this:

# Moves of virtual link diagram

Knots and links

Virtual links

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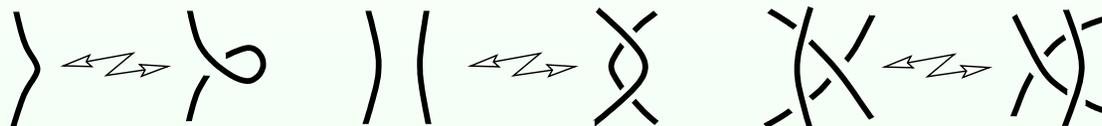
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A virtual link diagram moves like this:

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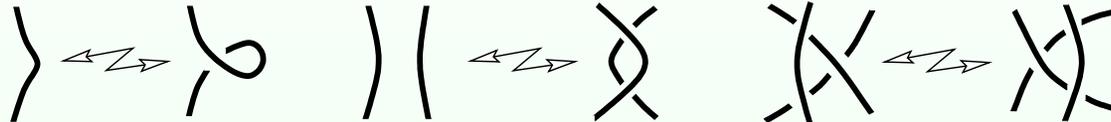
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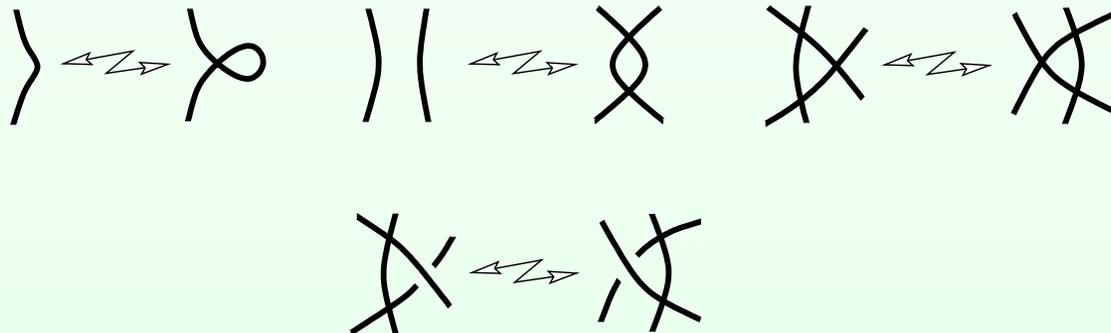
Khovanov complex of framed chord diagram

A virtual link diagram moves like this:

Reidemeister moves:



Virtual moves:



# Moves of virtual link diagram

Knots and links

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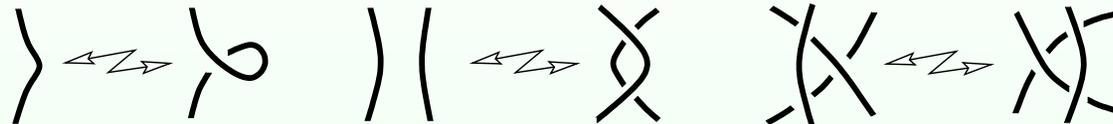
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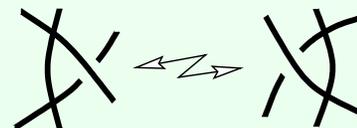
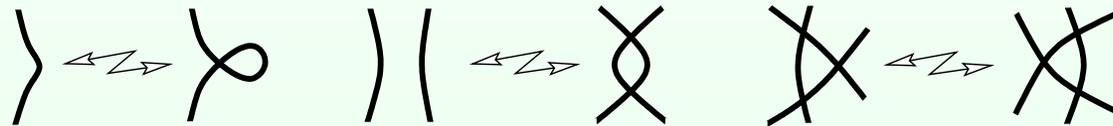
Khovanov complex of framed chord diagram

A virtual link diagram moves like this:

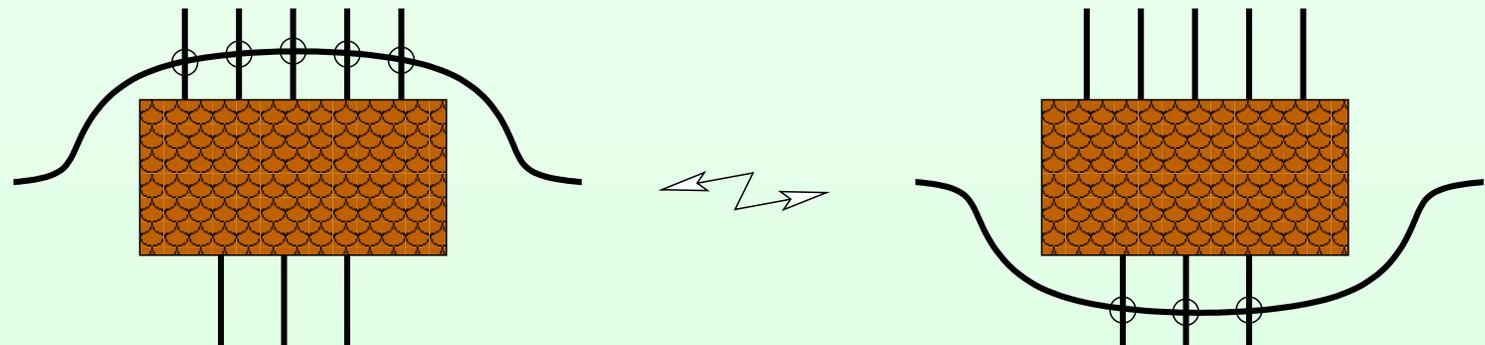
Reidemeister moves:



Virtual moves:



All virtual moves can be replaced by detour moves:



# Moves of Gauss diagrams

Knots and links

Virtual links

Moves

- Moves
- Moves of virtual link diagram
- **Moves of Gauss diagrams**
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Gauss diagrams of a poor man

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Khovanov complex of framed chord diagram

Gauss diagrams has nothing to do with virtual crossings!

# Moves of Gauss diagrams

Knots and links

Virtual links

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Gauss diagrams has nothing to do with virtual crossings!  
They do not change under virtual moves.

# Moves of Gauss diagrams

Knots and links

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Reidemeister moves acts on Gauss diagram:

# Moves of Gauss diagrams

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Reidemeister moves acts on Gauss diagram:

Move's name	Reidemeister move	Its action on Gauss diagram
Positive first move		
Negative first move		

# Moves of Gauss diagrams

Reidemeister moves acts on Gauss diagram:

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# Moves of Gauss diagrams

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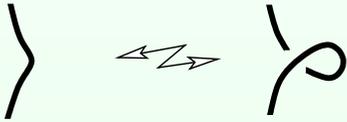
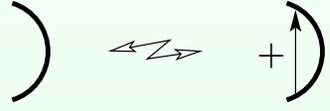
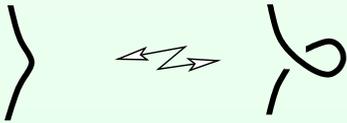
Gauss diagrams of a poor man

Khovanov homology

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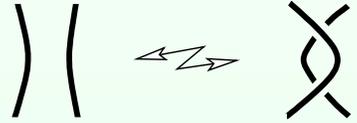
Khovanov complex of framed chord diagram

Reidemeister moves acts on Gauss diagram:

Move's name	Reidemeister move	Its action on Gauss diagram
Positive first move		
Negative first move		

# Moves of Gauss diagrams

Reidemeister moves acts on Gauss diagram:

Move's name	Reidemeister move	Its action on Gauss diagram
Second move		
Third move		

Knots and links

Virtual links

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# Moves of Gauss diagrams

Reidemeister moves acts on Gauss diagram:

Move's name	Reidemeister move	Its action on Gauss diagram
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# Moves of Gauss diagrams

Reidemeister moves acts on Gauss diagram:

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Reidemeister moves acts on Gauss diagram:

Move's name	Reidemeister move	Its action on Gauss diagram
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# Combinatorial incarnation of knot theory

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Classical Links



Link diagrams

# Combinatorial incarnation of knot theory

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Classical Links

→

Link diagrams

Isotopies

→

Reidemeister moves

# Combinatorial incarnation of knot theory

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Classical Links

→

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Isotopies

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Reidemeister moves

## Combinatorial incarnations of virtual knot theory

# Combinatorial incarnation of knot theory

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Classical Links  $\rightarrow$  Link diagrams  
Isotopies  $\rightarrow$  Reidemeister moves

## Combinatorial incarnations of virtual knot theory

Gauss Diagrams  $\leftarrow$  Virtual Links (?)  $\rightarrow$  Virtual Link Diagrams  
Reidemeister Moves  $\leftarrow$  Virtual Istopies (?)  $\rightarrow$  Reidemeister and Detour moves

# Topological meaning of virtual knot theory

Knots and links

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Third incarnation of virtual knot theory is provided by Kuperberg's theorem.

# Topological meaning of virtual knot theory

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Third incarnation of virtual knot theory is provided by Kuperberg's theorem.

Virtual links up to virtual isotopies

=

Irreducible links in thickened orientable surfaces up to orientation preserving homeomorphisms.

# Topological meaning of virtual knot theory

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Virtual links up to virtual isotopies

=

Irreducible links in thickened orientable surfaces up to orientation preserving homeomorphisms.

Implies that virtual links generalize classical ones.

# Topological meaning of virtual knot theory

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Virtual links up to virtual isotopies

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Irreducible links in thickened orientable surfaces up to orientation preserving homeomorphisms.

Implies that virtual links generalize classical ones.

Bridges combinatorics

# Topological meaning of virtual knot theory

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Third incarnation of virtual knot theory is provided by Kuperberg's theorem.

Virtual links up to virtual isotopies

=

Irreducible links in thickened orientable surfaces up to orientation preserving homeomorphisms.

Implies that virtual links generalize classical ones.

Bridges combinatorics (= 1D topology)

# Topological meaning of virtual knot theory

Knots and links

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Third incarnation of virtual knot theory is provided by Kuperberg's theorem.

Virtual links up to virtual isotopies

=

Irreducible links in thickened orientable surfaces up to orientation preserving homeomorphisms.

Implies that virtual links generalize classical ones.

Bridges combinatorics with (3D-) topology.

# Isotopy problem

## Isotopy Problem:

Knots and links

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Isotopy Problem: **Are given two classical links isotopic?**

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Isotopy Problem: **Are given two classical links isotopic?**

Combinatorial reformulation:

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Combinatorial reformulation:

**Can given two Gauss diagrams be related by moves?**

# Isotopy problem

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Virtual Isotopy Problem:

# Isotopy problem

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Combinatorial reformulation:

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Virtual Isotopy Problem:

**Can given two Gauss diagrams be related by moves?**

**Invariants needed!**

# Isotopy problem

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Combinatorial reformulation:

**Can given two Gauss diagrams be related by moves?**

Virtual Isotopy Problem:

**Can given two Gauss diagrams be related by moves?**

**Invariants needed!**

The most classical link invariant is the *link group*.

# Isotopy problem

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Virtual Isotopy Problem:

**Can given two Gauss diagrams be related by moves?**

**Invariants needed!**

The most classical link invariant is the *link group*, the fundamental group of the link complement  $\mathbb{R}^3 \setminus \text{link}$ .

# Isotopy problem

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**Can given two Gauss diagrams be related by moves?**

**Invariants needed!**

The most classical link invariant is the *link group*.

It was generalized.

# Isotopy problem

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Combinatorial reformulation:

**Can given two Gauss diagrams be related by moves?**

Virtual Isotopy Problem:

**Can given two Gauss diagrams be related by moves?**

**Invariants needed!**

The most classical link invariant is the *link group*.

It was generalized, **even in two ways!**

# Isotopy problem

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Combinatorial reformulation:

**Can given two Gauss diagrams be related by moves?**

Virtual Isotopy Problem:

**Can given two Gauss diagrams be related by moves?**

**Invariants needed!**

The most classical link invariant is the *link group*.

It was generalized: **upper and lower!**

# Isotopy problem

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Isotopy Problem: **Are given two classical links isotopic?**

Combinatorial reformulation:

**Can given two Gauss diagrams be related by moves?**

Virtual Isotopy Problem:

**Can given two Gauss diagrams be related by moves?**

**Invariants needed!**

The most classical link invariant is the *link group*.

It was generalized: **upper and lower!**

In terms of links in a thickened surface this is the fundamental group of the complement, but with one of two sides of the boundary contracted to a point.

# Isotopy problem

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Isotopy Problem: **Are given two classical links isotopic?**

Combinatorial reformulation:

**Can given two Gauss diagrams be related by moves?**

Virtual Isotopy Problem:

**Can given two Gauss diagrams be related by moves?**

**Invariants needed!**

The most classical link invariant is the *link group*.

It was generalized: **upper and lower!**

In terms of links in a thickened surface this is the fundamental group of the complement, but with one of two sides of the boundary contracted to a point.

*Kauffman bracket* is more **practical and elementary** invariant.

Knots and links

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**Kauffman bracket**

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# Kauffman bracket

# Kauffman bracket

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$$\langle \text{Link diagram} \rangle \in \mathbb{Z}[A, A^{-1}]$$

# Kauffman bracket

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$$\langle \text{Link diagram} \rangle \in \mathbb{Z}[A, A^{-1}]$$

(a Laurent polynomial in  $A$  with integer coefficients).

# Kauffman bracket

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$$\langle \text{Link diagram} \rangle \in \mathbb{Z}[A, A^{-1}]$$

$$\langle \text{unknot} \rangle =$$

# Kauffman bracket

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$$\langle \text{Link diagram} \rangle \in \mathbb{Z}[A, A^{-1}]$$

$$\langle \text{unknot} \rangle =$$

$$\langle \bigcirc \rangle =$$

# Kauffman bracket

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$$\langle \text{Link diagram} \rangle \in \mathbb{Z}[A, A^{-1}]$$

$$\langle \text{unknot} \rangle =$$

$$\langle \bigcirc \rangle = -A^2 - A^{-2}$$

# Kauffman bracket

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$$\langle \text{Link diagram} \rangle \in \mathbb{Z}[A, A^{-1}]$$

$$\langle \text{unknot} \rangle =$$

$$\langle \bigcirc \rangle = -A^2 - A^{-2}$$

$$\langle \text{Hopf link} \rangle =$$

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$$\langle \text{Link diagram} \rangle \in \mathbb{Z}[A, A^{-1}]$$

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$$\langle \bigcirc \bigcirc \rangle =$$

# Kauffman bracket

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$$\langle \text{Link diagram} \rangle \in \mathbb{Z}[A, A^{-1}]$$

$$\langle \text{unknot} \rangle =$$

$$\langle \bigcirc \rangle = -A^2 - A^{-2}$$

$$\langle \text{Hopf link} \rangle =$$

$$\langle \bigcirc \bigcirc \rangle = A^6 + A^2 + A^{-2} + A^{-6}$$

# Kauffman bracket

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$$\langle \text{Link diagram} \rangle \in \mathbb{Z}[A, A^{-1}]$$

$$\langle \text{unknot} \rangle =$$

$$\langle \bigcirc \rangle = -A^2 - A^{-2}$$

$$\langle \text{Hopf link} \rangle =$$

$$\langle \bigcirc \bigcirc \rangle = A^6 + A^2 + A^{-2} + A^{-6}$$

$$\langle \text{empty link} \rangle =$$

$$\langle \rangle =$$

# Kauffman bracket

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$$\langle \text{Link diagram} \rangle \in \mathbb{Z}[A, A^{-1}]$$

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$$\langle \text{Hopf link} \rangle =$$

$$\langle \bigcirc \bigcirc \rangle = A^6 + A^2 + A^{-2} + A^{-6}$$

$$\langle \text{empty link} \rangle =$$

$$\langle \rangle = 1$$

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$$\langle \text{Link diagram} \rangle \in \mathbb{Z}[A, A^{-1}]$$

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$$\langle \bigcirc \rangle = -A^2 - A^{-2}$$

$$\langle \text{Hopf link} \rangle =$$

$$\langle \bigcirc \bigcirc \rangle = A^6 + A^2 + A^{-2} + A^{-6}$$

$$\langle \text{empty link} \rangle =$$

$$\langle \rangle = 1$$

$$\langle \text{trefoil} \rangle =$$

# Kauffman bracket

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$$\langle \text{unknot} \rangle =$$

$$\langle \bigcirc \rangle = -A^2 - A^{-2}$$

$$\langle \text{Hopf link} \rangle =$$

$$\langle \bigcirc \bigcirc \rangle = A^6 + A^2 + A^{-2} + A^{-6}$$

$$\langle \text{empty link} \rangle =$$

$$\langle \rangle = 1$$

$$\langle \text{trefoil} \rangle =$$

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Uniqueness is obvious.

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Uniqueness is obvious.

Invariant under R2 and R3, under R1 multiplies by  $-A^{\pm 3}$ .

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A *state* of diagram is a distribution of *markers* over all crossings.

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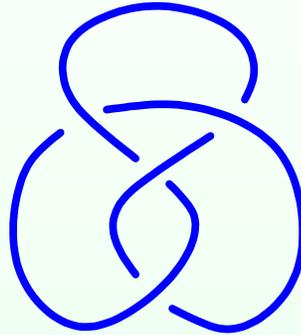
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Knot diagram:



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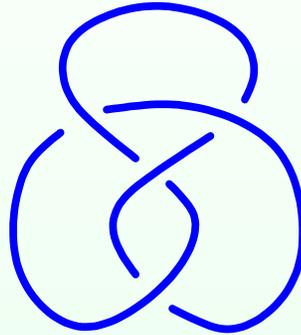
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Knot diagram:



and its states:

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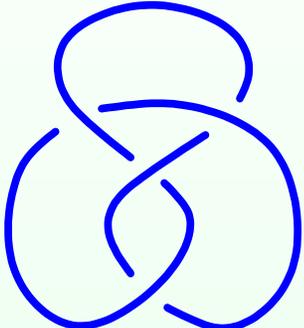
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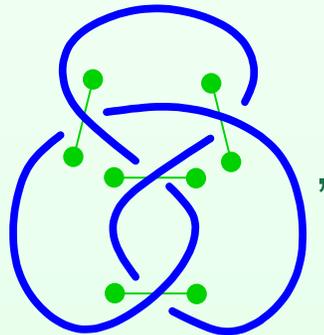
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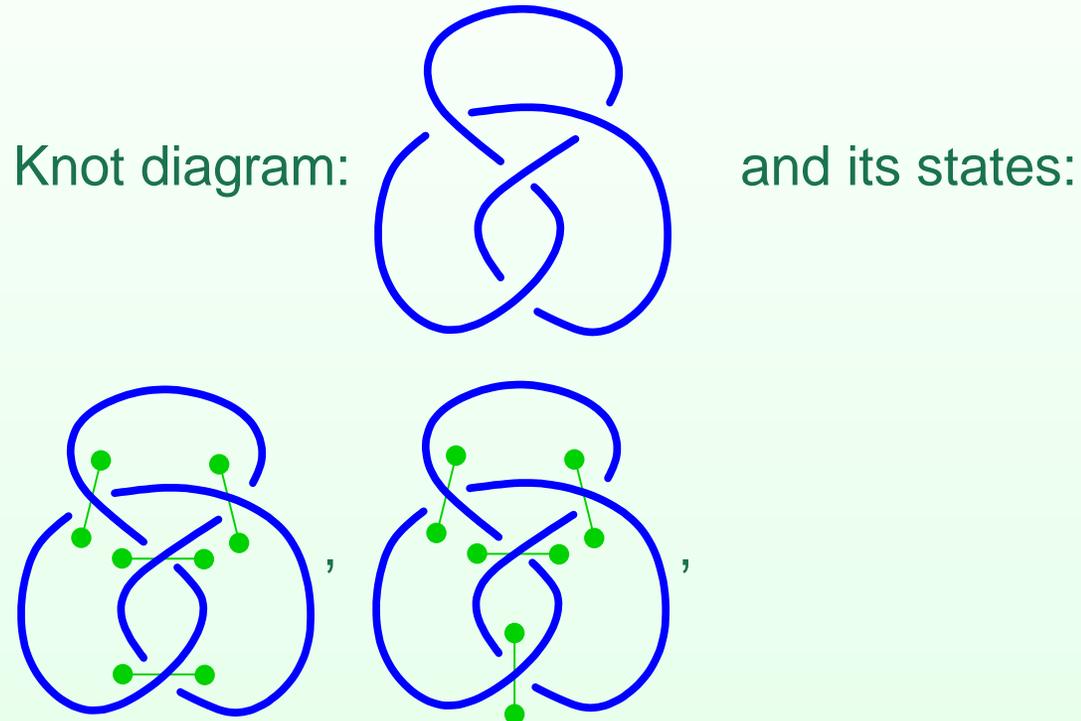
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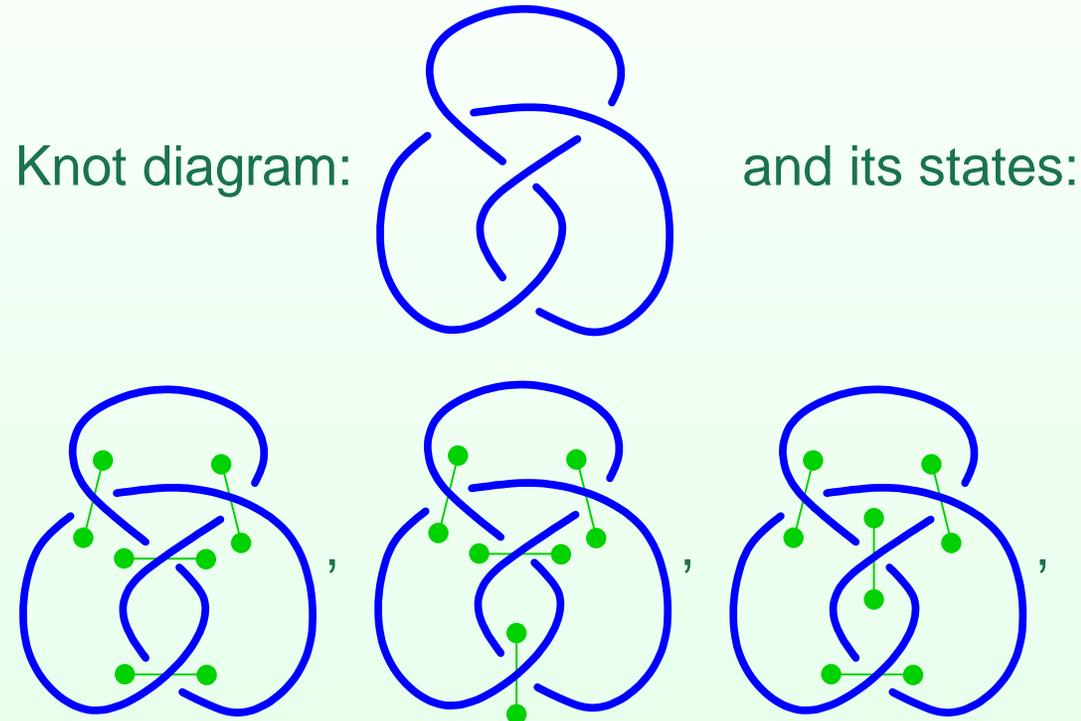
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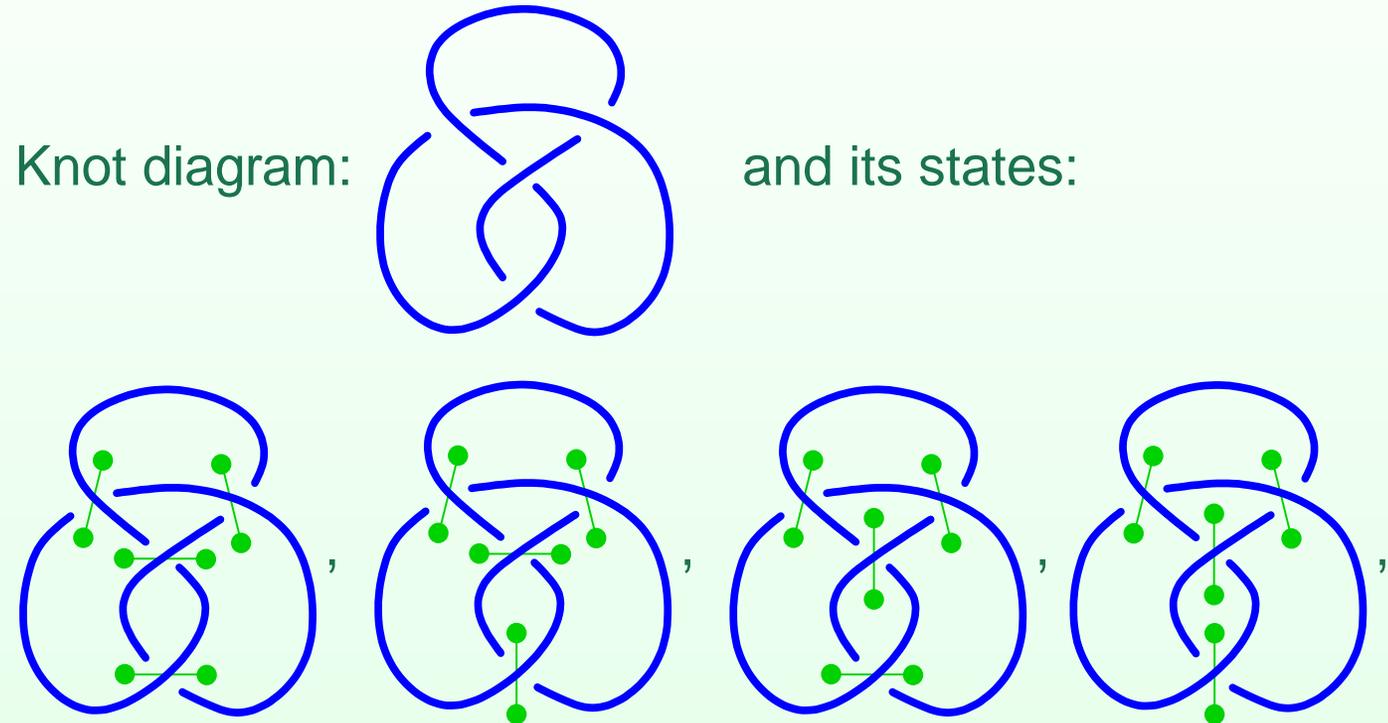
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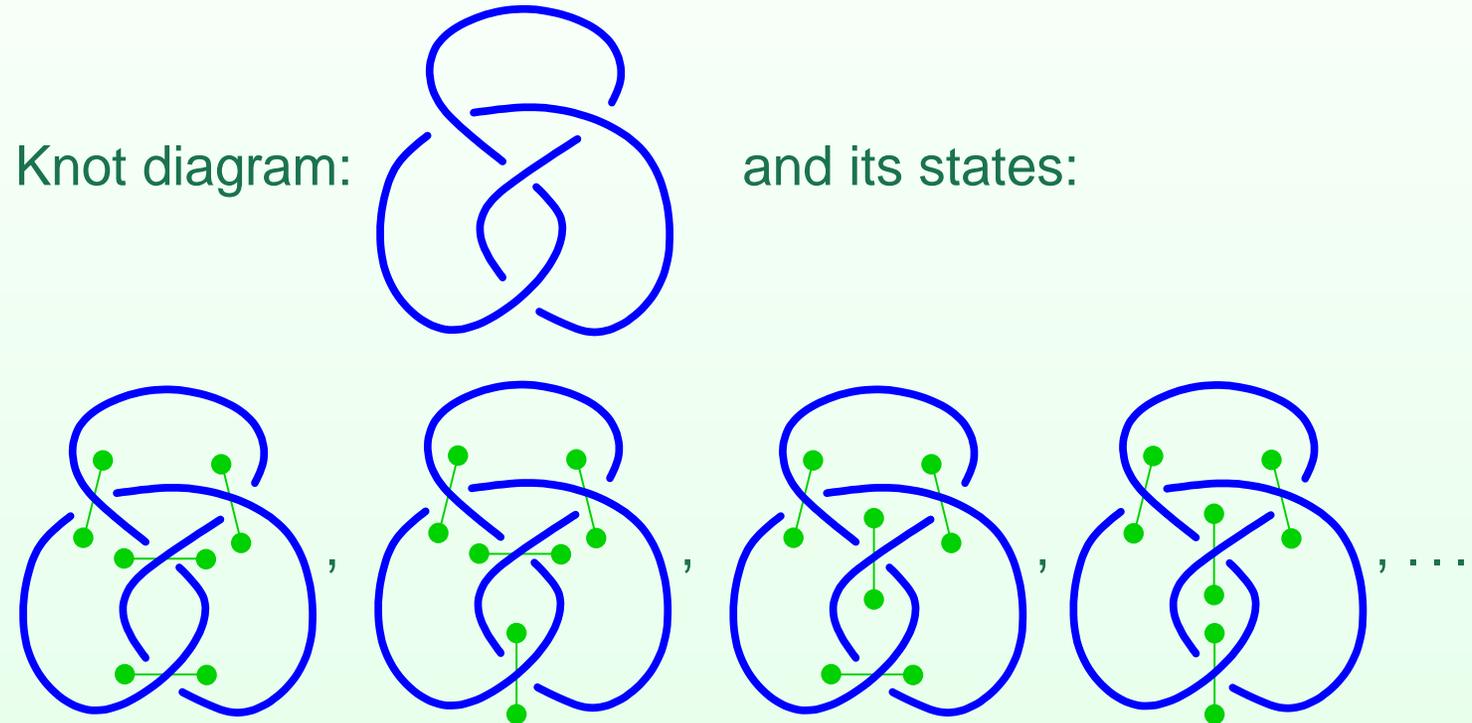
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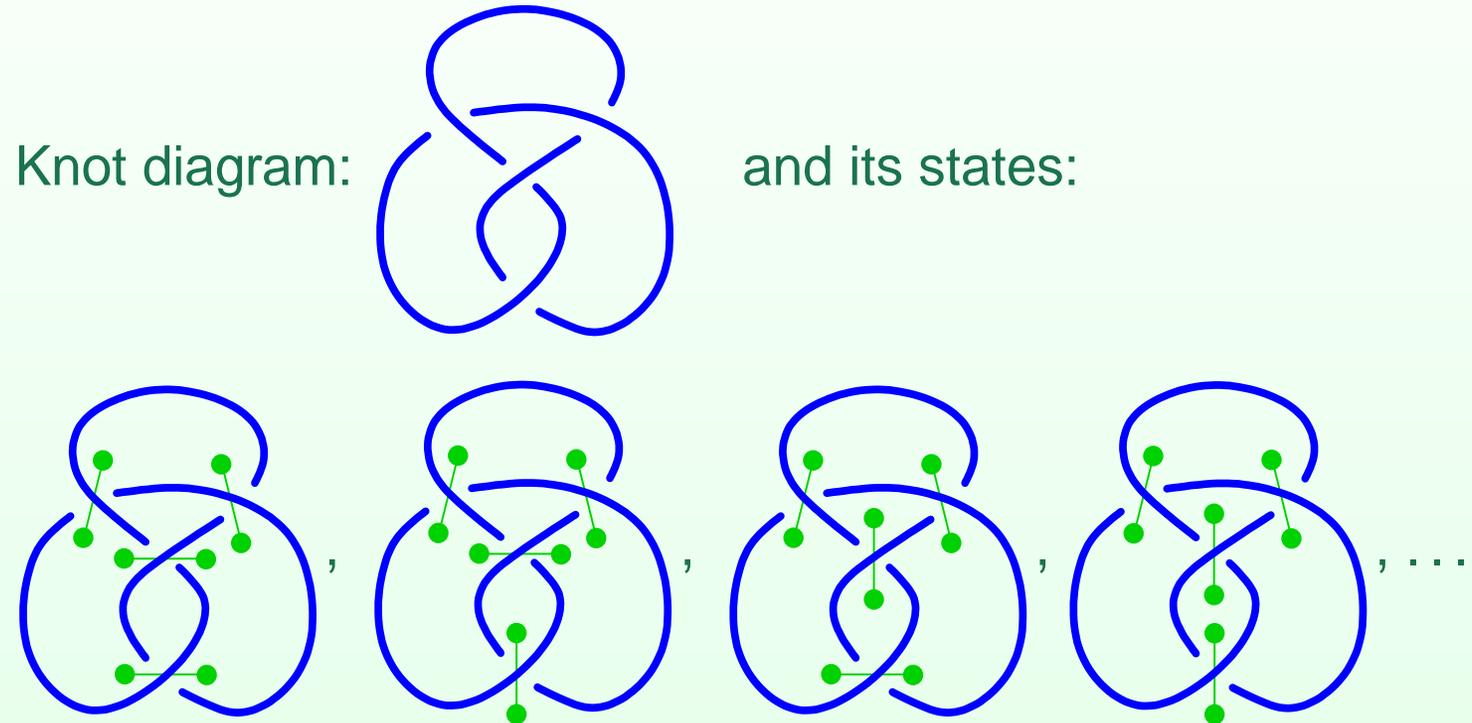
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A *state* of diagram is a distribution of *markers* over all crossings.



Totally  $2^c$  states, where  $c$  is the number of crossings.

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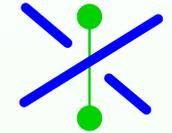
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Three numbers associated to a state  $s$ :

1. the number  $a(s)$  of *positive* markers



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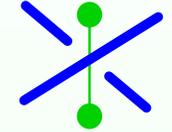
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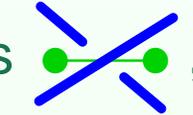
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Three numbers associated to a state  $s$ :

1. the number  $a(s)$  of *positive* markers



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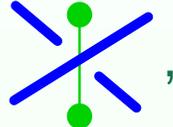
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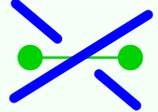
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Three numbers associated to a state  $s$ :

1. the number  $a(s)$  of *positive* markers ,

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3. the number  $|s|$  of components of the curve obtained by smoothing along the markers:

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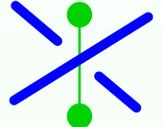
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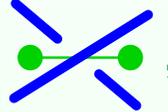
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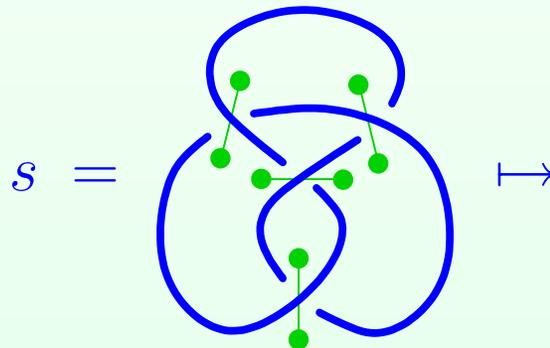
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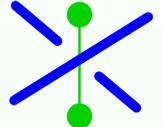
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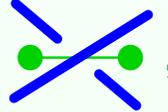
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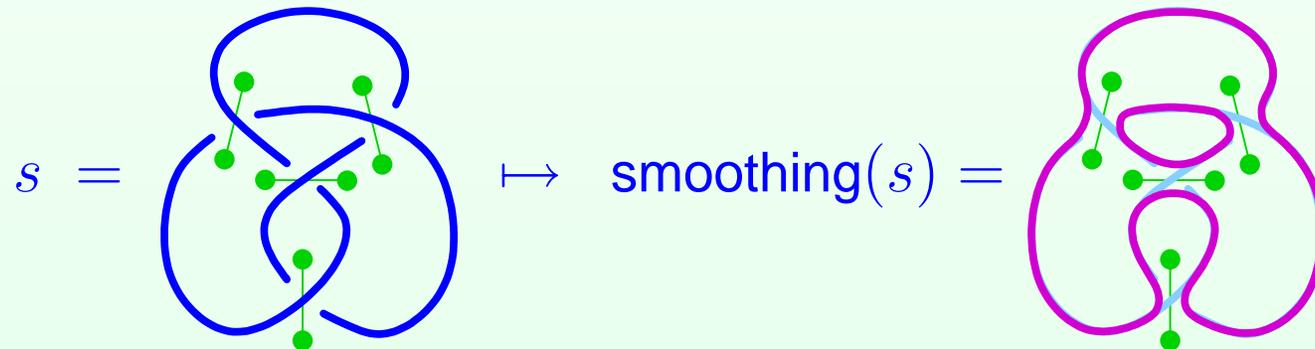
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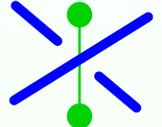
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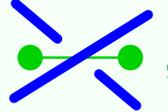
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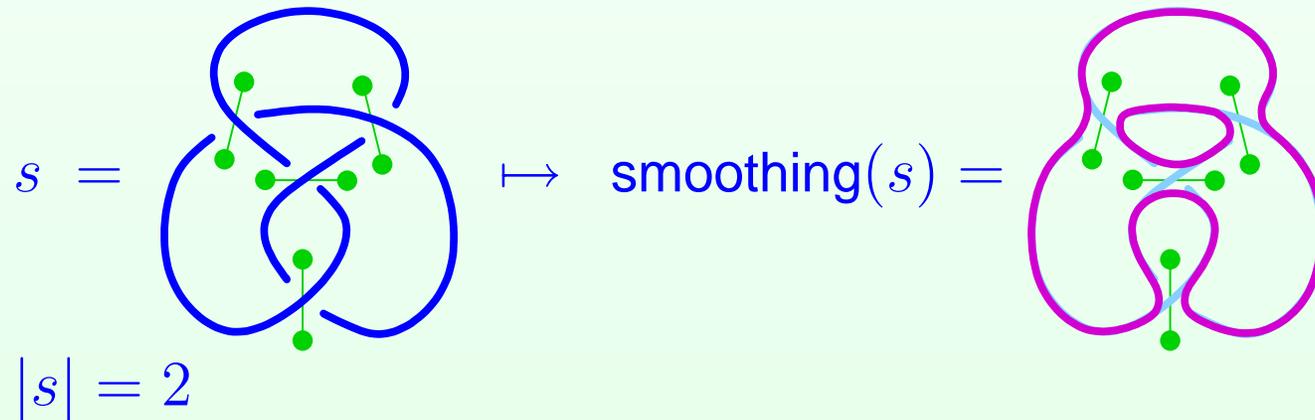
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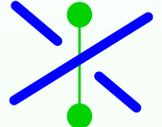
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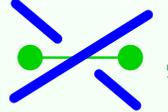
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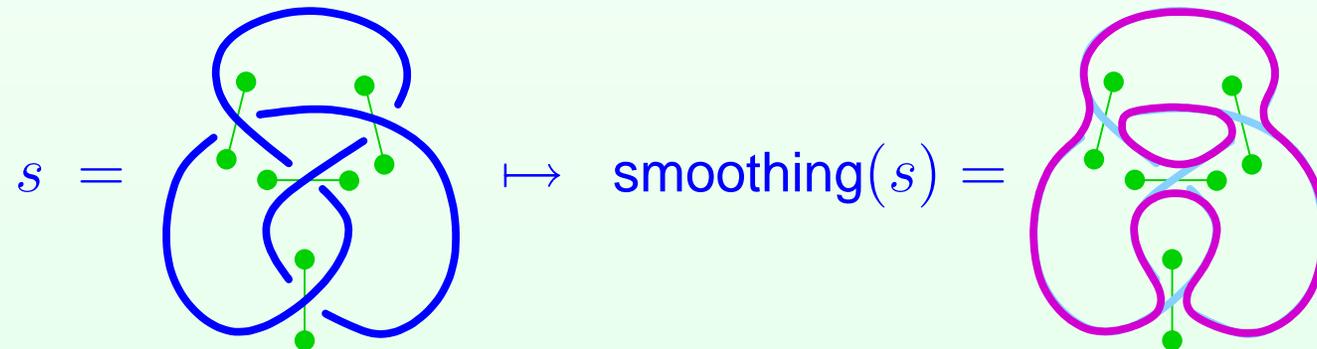
Khovanov complex of framed chord diagram

Three numbers associated to a state  $s$ :

1. the number  $a(s)$  of *positive* markers ,

2. the number  $b(s)$  of *negative* markers ,

3. the number  $|s|$  of components of the curve obtained by smoothing along the markers:



$$|s| = 2$$

$$\text{State Sum: } \langle D \rangle = \sum_{s \text{ state of } D} A^{a(s)-b(s)} (-A^2 - A^{-2})^{|s|}$$

# Example

Knots and links

Virtual links

Moves

Kauffman bracket

- Kauffman bracket
- Kauffman state sum. I
- Kauffman state sum. II

● **Example**

- Kauffman state sum model for Gauss diagrams

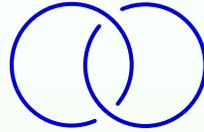
Gauss diagrams of a poor man

Khovanov homology

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Hopf link,



# Example

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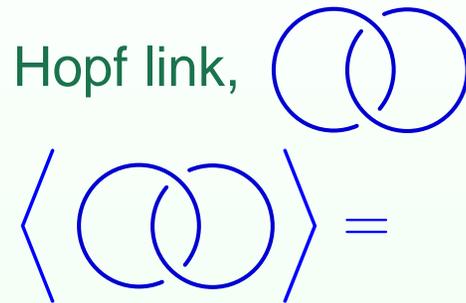
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# Example

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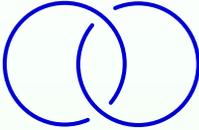
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Gauss diagrams of a poor man

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Orientation of chord diagrams

Khovanov complex of framed chord diagram

Hopf link, 

$$\langle \text{Hopf link} \rangle =$$

$$\langle \text{State 1} \rangle + \langle \text{State 2} \rangle + \langle \text{State 3} \rangle + \langle \text{State 4} \rangle =$$

# Example

Knots and links

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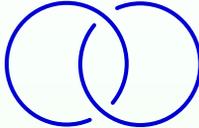
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Gauss diagrams of a poor man

Khovanov homology

Orientation of chord diagrams

Khovanov complex of framed chord diagram

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$$\langle \text{Hopf link} \rangle =$$

$$\langle \text{state 1} \rangle + \langle \text{state 2} \rangle + \langle \text{state 3} \rangle + \langle \text{state 4} \rangle =$$

$$A^2(-A^2 - A^{-2})^2 + 2(-A^2 - A^{-2}) + A^{-2}(-A^2 - A^{-2})^2 =$$

# Example

Knots and links

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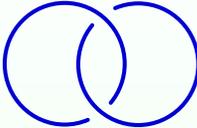
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$$A^2(-A^2 - A^{-2})^2 + 2(-A^2 - A^{-2}) + A^{-2}(-A^2 - A^{-2})^2 = A^6 + A^2 + A^{-2} + A^{-6}$$

# Kauffman state sum model for Gauss diagrams

Knots and links

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● **Kauffman state sum model for Gauss diagrams**

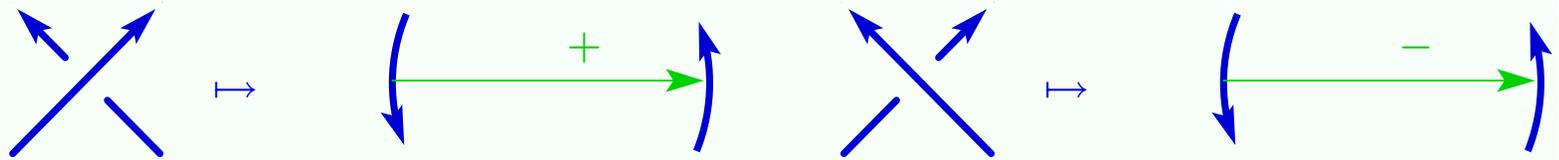
Gauss diagrams of a poor man

Khovanov homology

Orientation of chord diagrams

Khovanov complex of framed chord diagram

Crossing  $\mapsto$  arrow.



# Kauffman state sum model for Gauss diagrams

Knots and links

Virtual links

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II

● Example

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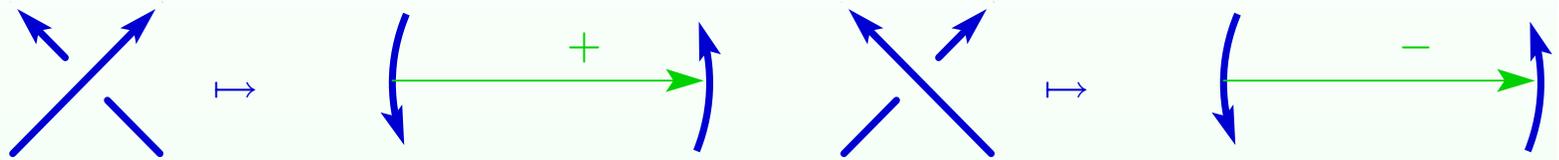
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Smoothing of a crossing  $\mapsto$  a surgery along the arrow.

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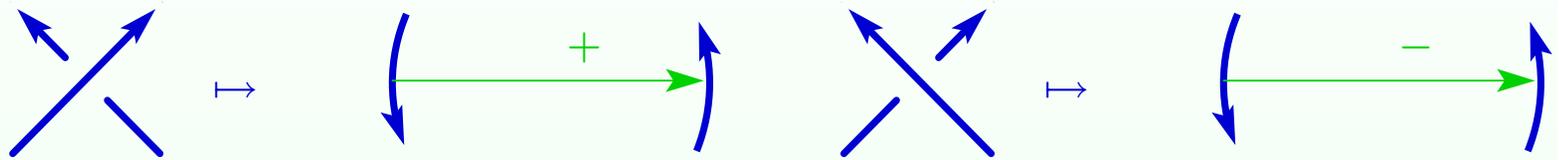
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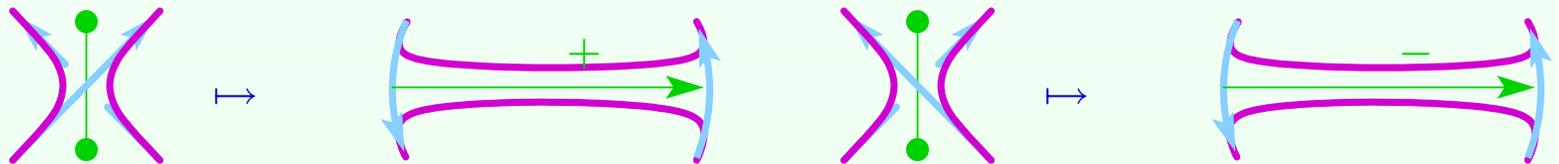
Orientation of chord diagrams

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positive marker, positive crossing

negative marker, negative crossing

# Kauffman state sum model for Gauss diagrams

Knots and links

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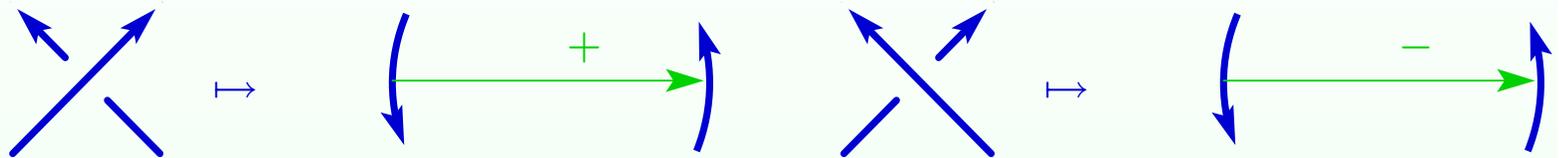
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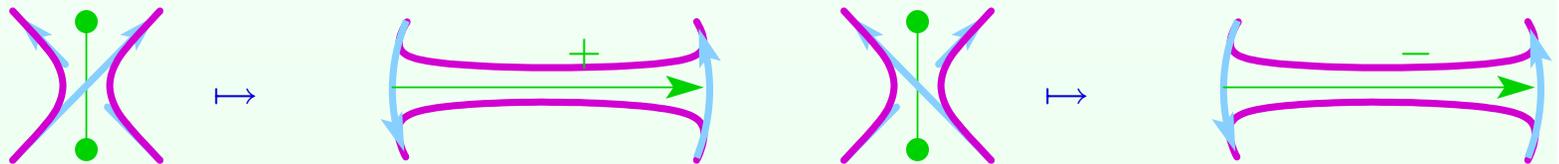
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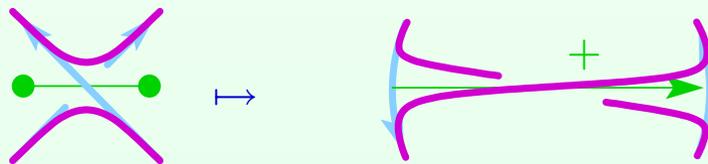


Smoothing of a crossing  $\mapsto$  a surgery along the arrow.

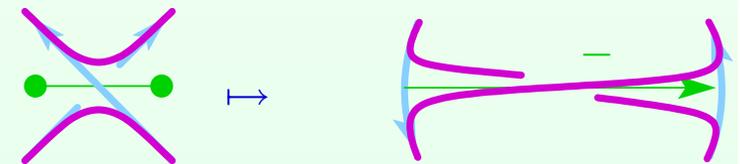


positive marker, positive crossing

negative marker, negative crossing



negative marker, positive crossing



positive marker, negative crossing

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Knots and links

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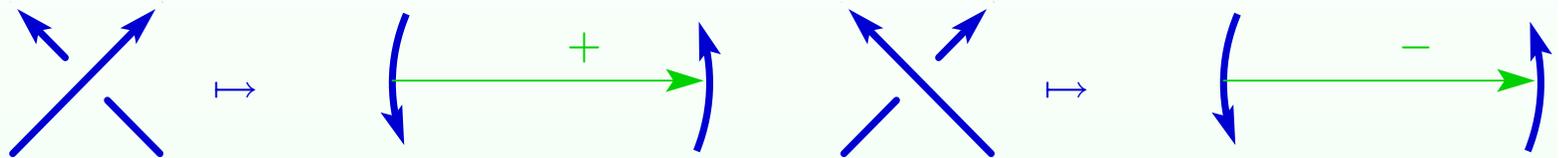
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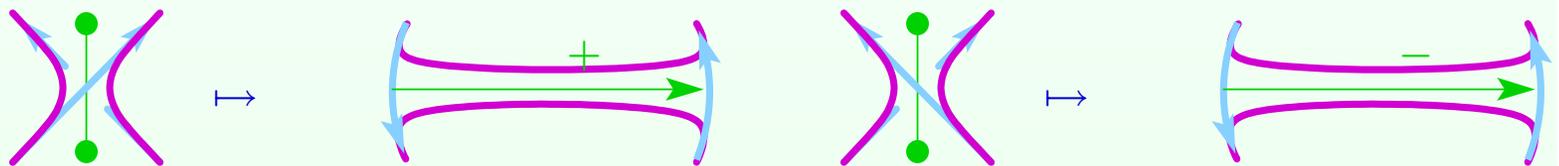
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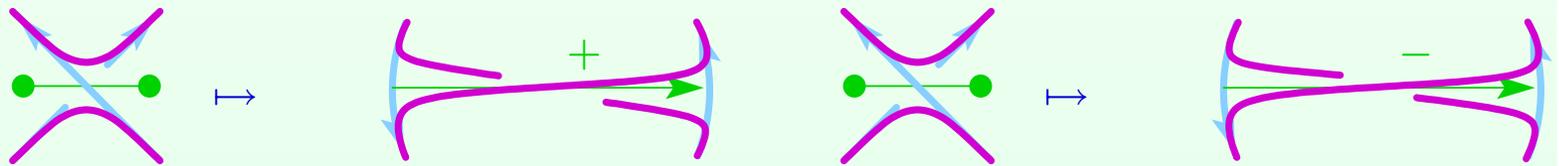


Smoothing of a crossing  $\mapsto$  a surgery along the arrow.



positive marker, positive crossing

negative marker, negative crossing



negative marker, positive crossing

positive marker, negative crossing

Smoothing depends only of the signs of marker and crossing.

# Kauffman state sum model for Gauss diagrams

Knots and links

Virtual links

Moves

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II

- Example

● **Kauffman state sum model for Gauss diagrams**

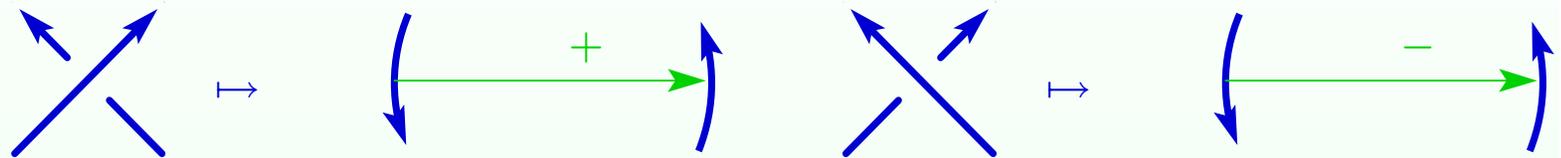
Gauss diagrams of a poor man

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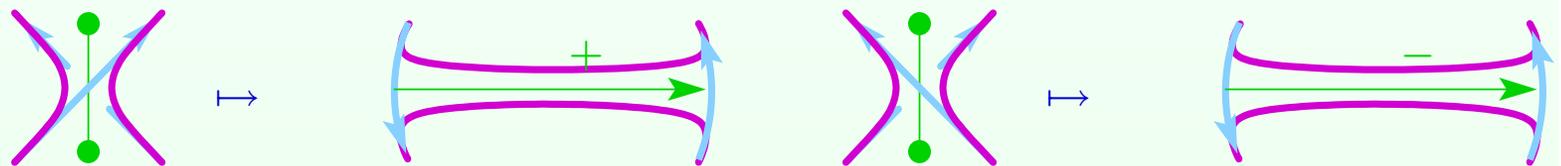
Orientation of chord diagrams

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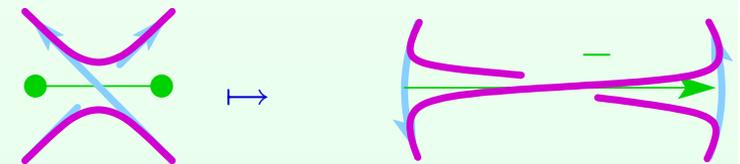
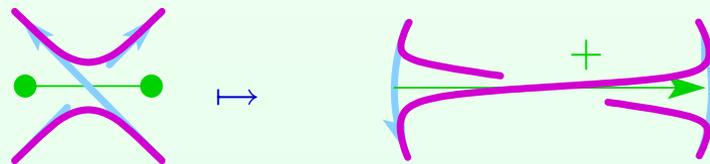


Smoothing of a crossing  $\mapsto$  a surgery along the arrow.



positive marker, positive crossing

negative marker, negative crossing



negative marker, positive crossing

positive marker, negative crossing

Smoothing depends only of the signs of marker and crossing.

No need in direction of the arrow!

# Kauffman state sum model for Gauss diagrams

Knots and links

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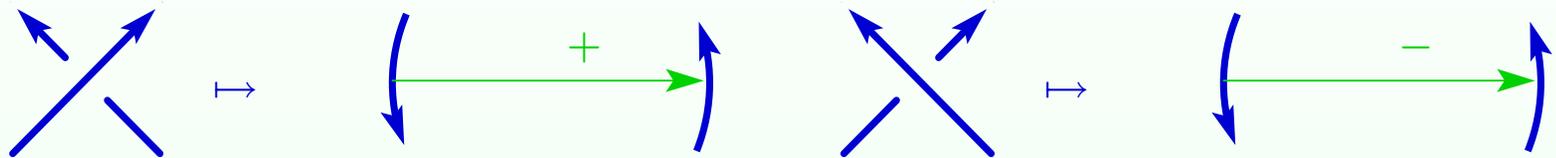
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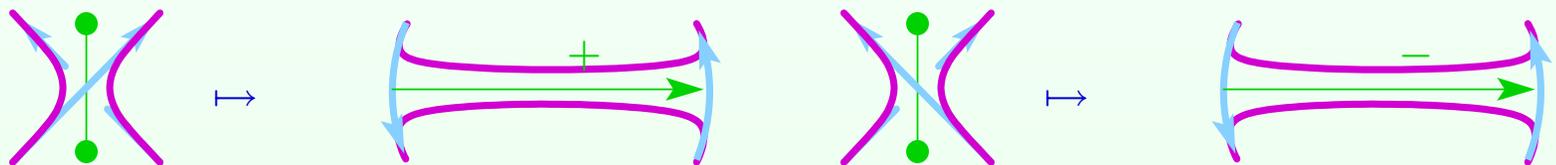
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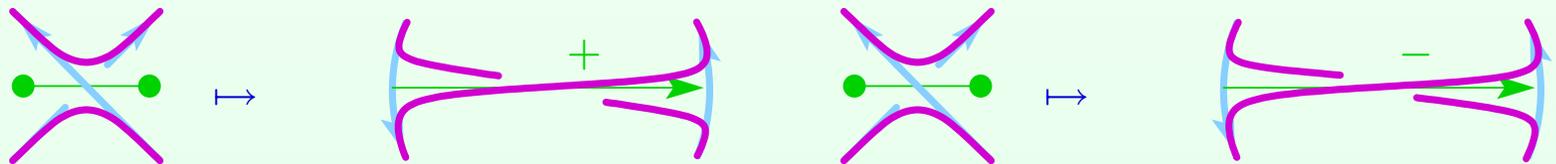


Smoothing of a crossing  $\mapsto$  a surgery along the arrow.



positive marker, positive crossing

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negative marker, positive crossing

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Smoothing depends only of the signs of marker and crossing.

No need in direction of the arrow!

Kauffman state sum is defined for *signed chord diagrams*.

Knots and links

Virtual links

Moves

Kauffman bracket

**Gauss diagrams of a  
poor man**

- Signed chord diagrams
- State of signed chord diagram
- Smoothing of a signed chord diagram
- Framing
- Framed chord diagrams
- Signed to framed
- Orientable thickenings of non-orientable surfaces
- Abstract construction of an orientable thickening
- A link in orientable thickening of a non-orientable surface

Khovanov homology

Orientation of chord diagrams

Khovanov complex of framed chord diagram

# Gauss diagrams of a poor man

# Signed chord diagrams

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Khovanov homology

Orientation of chord  
diagrams

Khovanov complex of  
framed chord diagram

A chord diagram  $(B, c_1, \dots, c_n)$

# Signed chord diagrams

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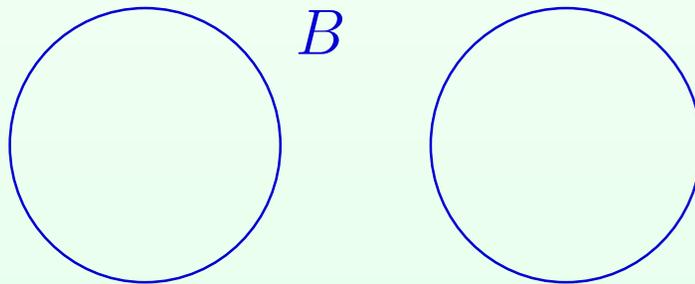
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Khovanov homology

Orientation of chord  
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Khovanov complex of  
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A chord diagram  $(B, c_1, \dots, c_n)$   
( a closed 1-manifold  $B$  (*base*), and



# Signed chord diagrams

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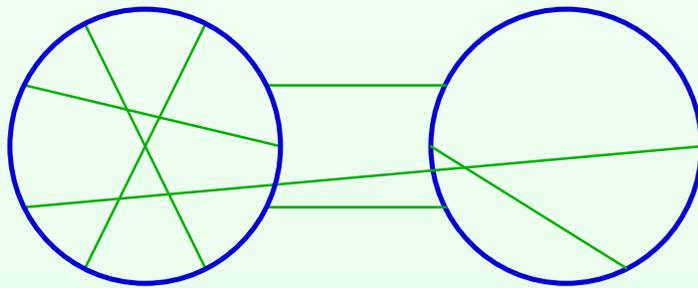
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# Signed chord diagrams

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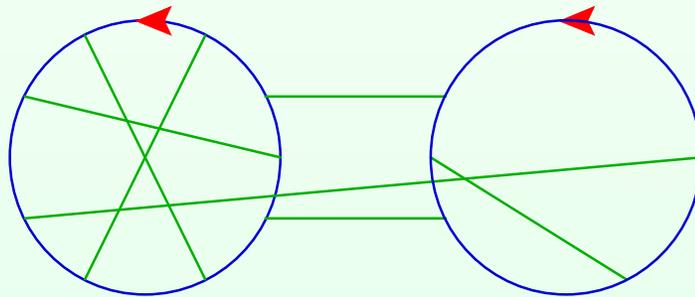
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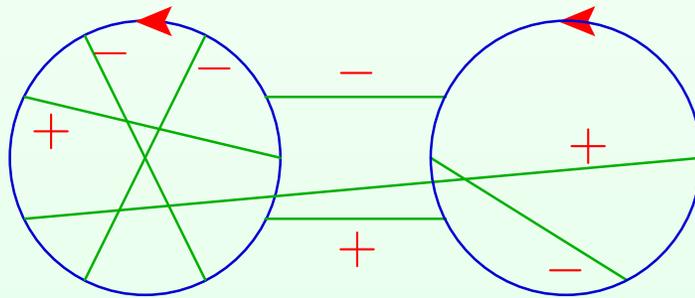
A chord diagram  $(B, c_1, \dots, c_n)$   
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● in which  $B$  is *oriented* and



# Signed chord diagrams

A chord diagram  $(B, c_1, \dots, c_n)$   
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- in which  $B$  is **oriented** and
- each chord is equipped with a **sign**



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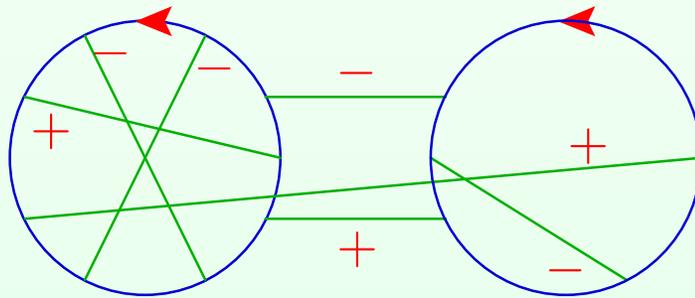
Orientation of chord  
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Khovanov complex of  
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# Signed chord diagrams

A chord diagram  $(B, c_1, \dots, c_n)$   
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disjoint chords  $c_1, \dots, c_n$  with end points on the base.)

- in which  $B$  is **oriented** and
- each chord is equipped with a **sign**  
is called a **signed chord diagram**.



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# State of signed chord diagram

A *state* of the signed chord diagram is a distribution of another collection of signs over the set of all chords.

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Khovanov homology

Orientation of chord diagrams

Khovanov complex of framed chord diagram

A *state* of the signed chord diagram is a distribution of another collection of signs over the set of all chords.

These are *marker signs*,

# State of signed chord diagram

A *state* of the signed chord diagram is a distribution of another collection of signs over the set of all chords.

These are *marker signs*, the original signs are *structure signs*.

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# Smoothing of a signed chord diagram

A *smoothing* of a chord diagram  $(B, c_1, \dots, c_n)$  is the result of Morse modifications of index 1 performed on  $B$  along each of its chords.

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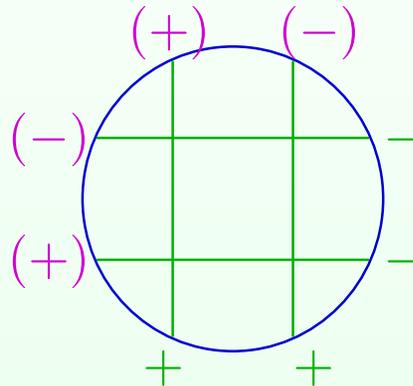
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- Framed chord diagrams

- Signed to framed

- Orientable

- Thickening of non-orientable surfaces

- Abstract construction of an orientable thickening

- A link in orientable thickening of a non-orientable surface

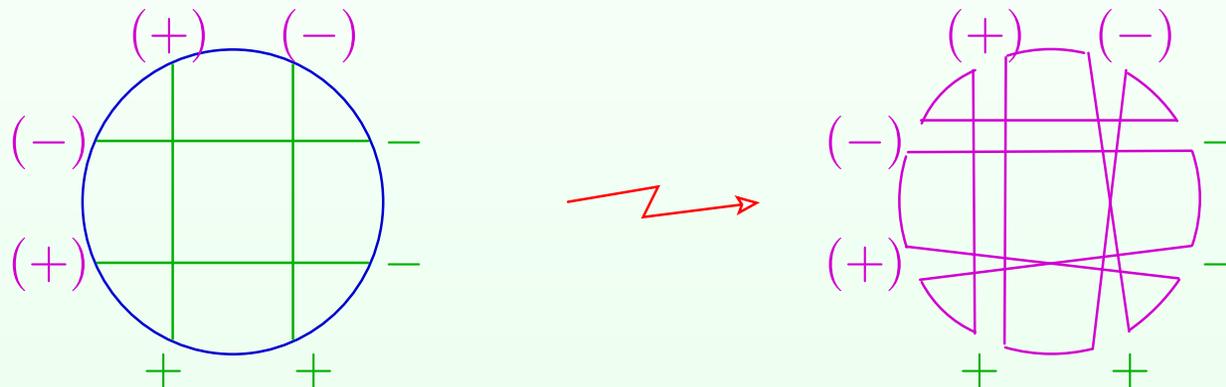
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● Signed to framed

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of an orientable  
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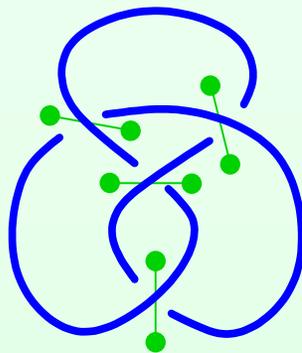
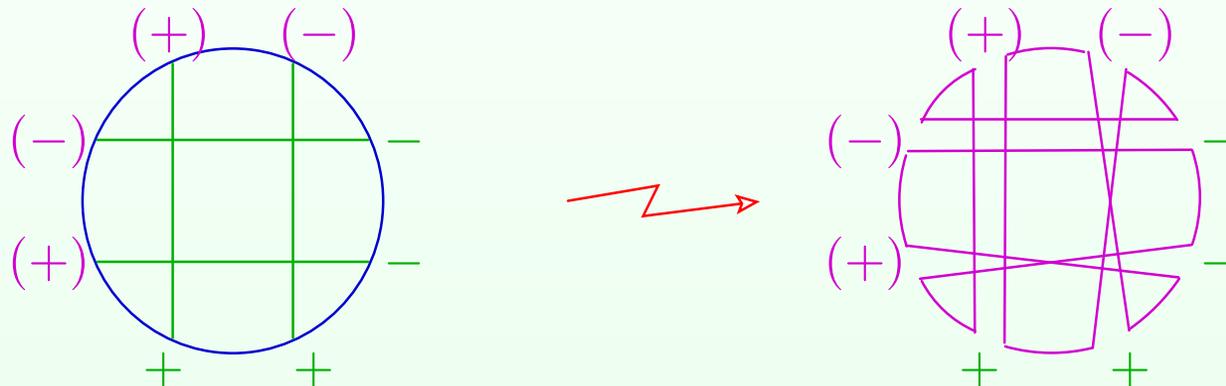
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Knots and links

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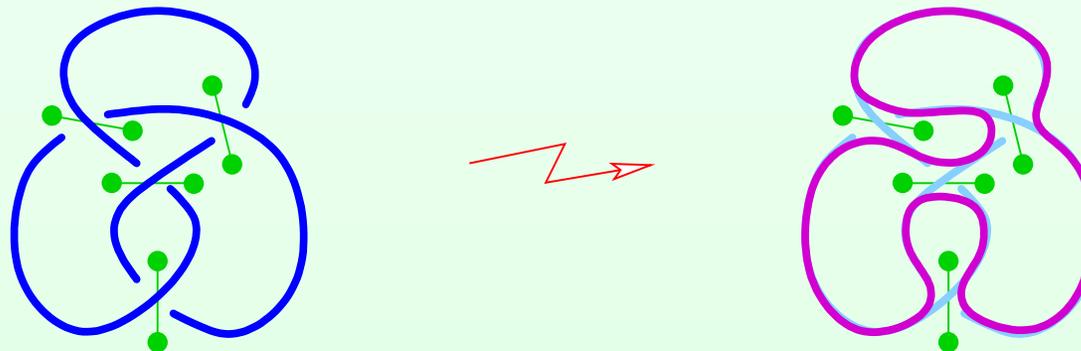
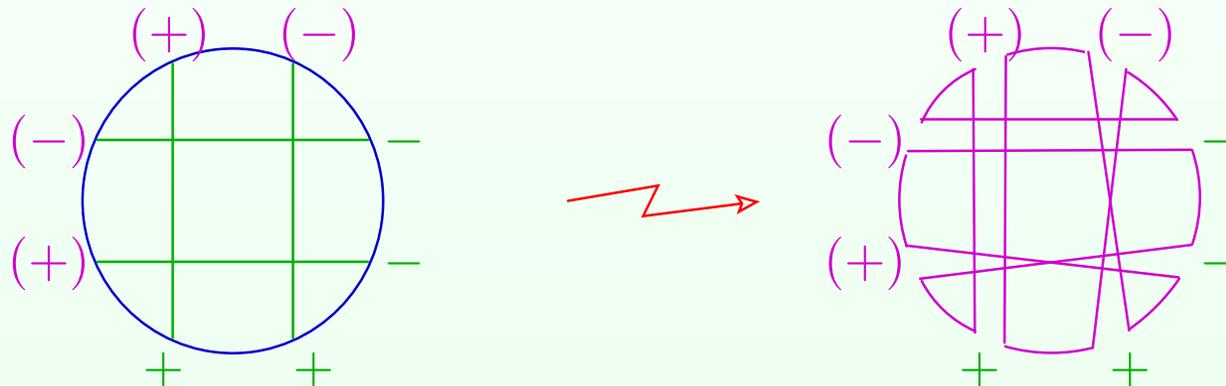
Khovanov homology

Orientation of chord diagrams

Khovanov complex of framed chord diagram

# Smoothing of a signed chord diagram

A *smoothing* of a chord diagram  $(B, c_1, \dots, c_n)$  is the result of Morse modifications of index 1 performed on  $B$  along each of its chords.



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● Framing

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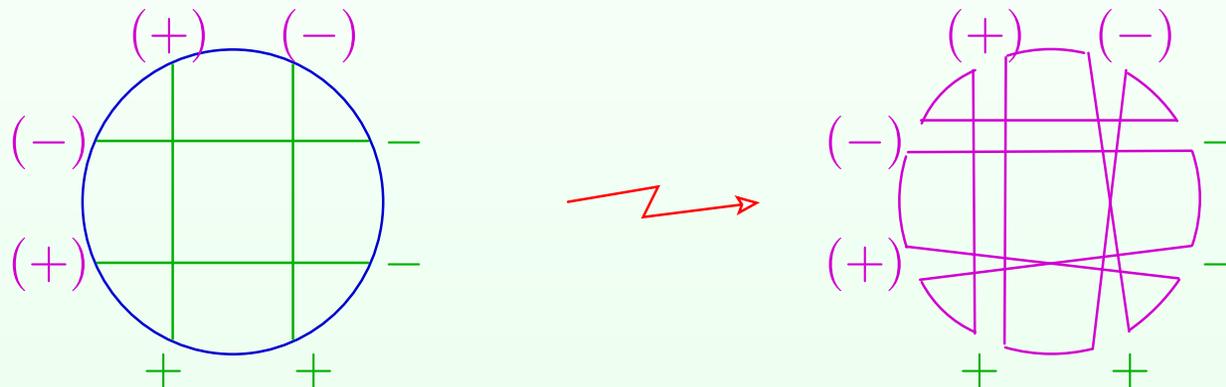
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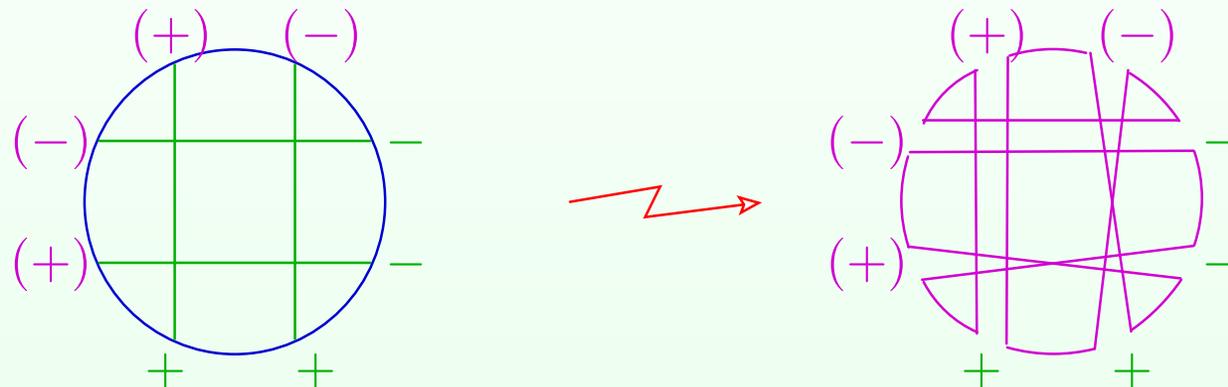
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Denote by  $\sigma$  the product of the structure and the marker signs.

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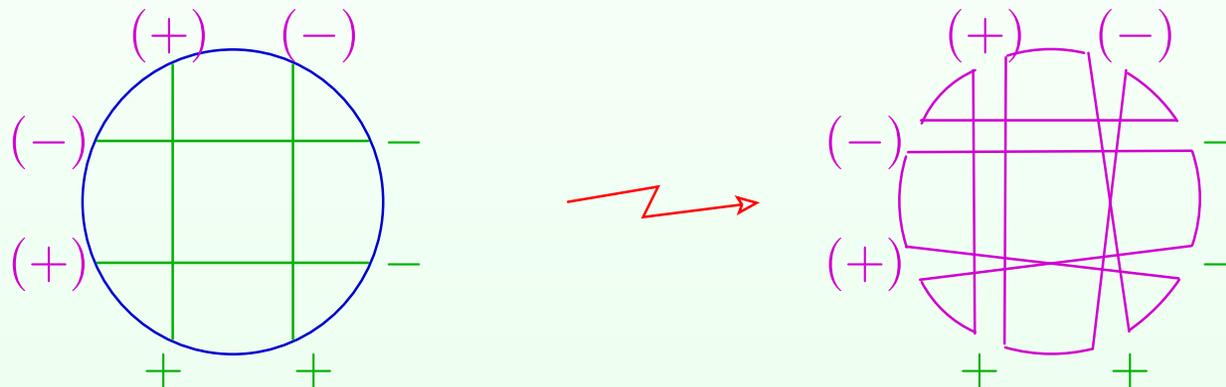
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If  $\sigma = +$ , the Morse modification preserves the structure orientation.

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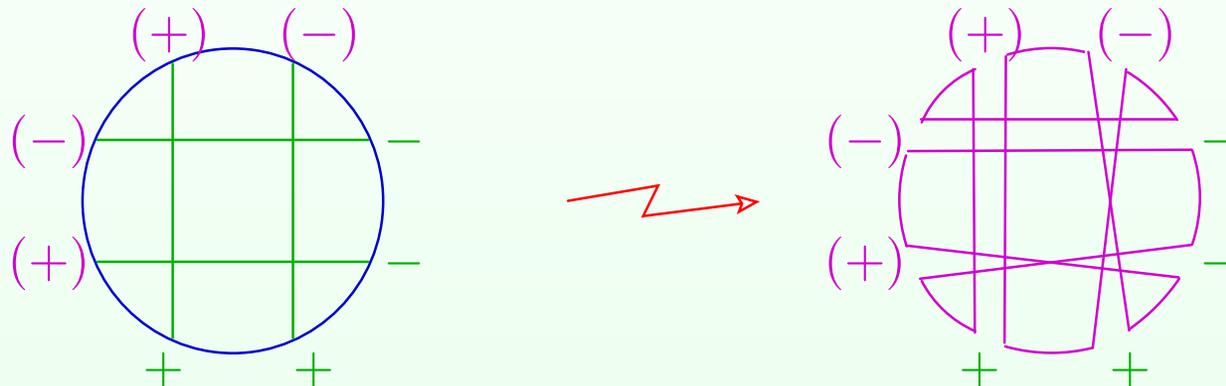
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Denote by  $\sigma$  the product of the **structure** and the **marker signs**.

If  $\sigma = +$ , the Morse modification preserves the structure orientation.

If  $\sigma = -$ , the Morse modification destroys the orientation.

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# Framing

A sign of an arrow in Gauss diagram of a classical link depends on **orientation of the link**.

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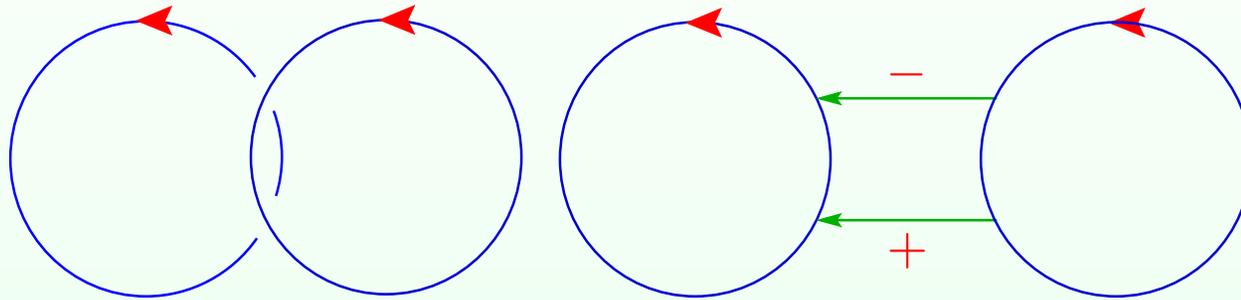
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A sign of an arrow in Gauss diagram of a classical link depends on **orientation of the link**.



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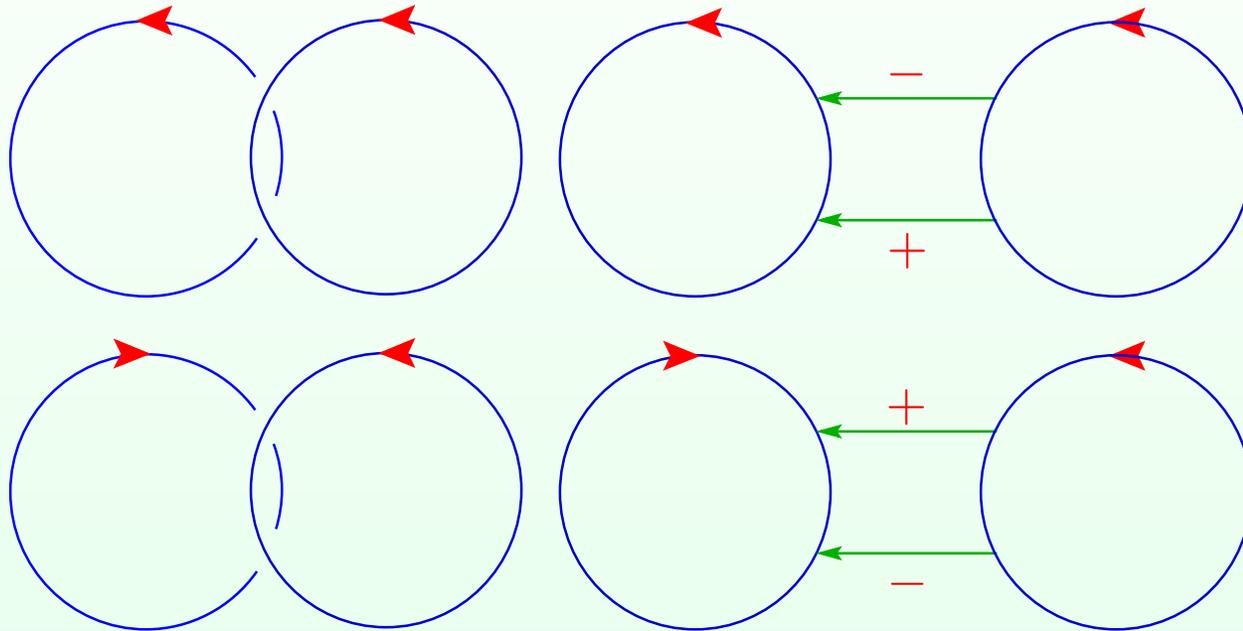
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A sign of an arrow in Gauss diagram of a classical link depends on **orientation of the link**.



# Framing

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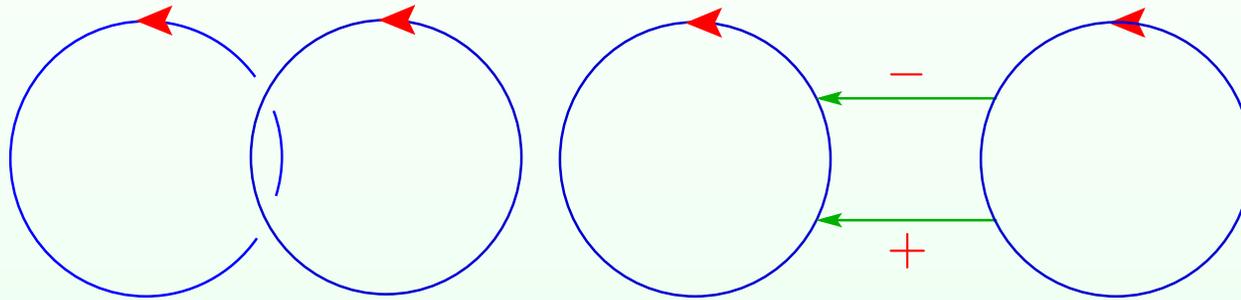
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A sign of an arrow in Gauss diagram of a classical link depends on **orientation of the link**.



If the link is **not oriented**, specify the *framing* on the chords giving **positive** smoothing.

# Framing

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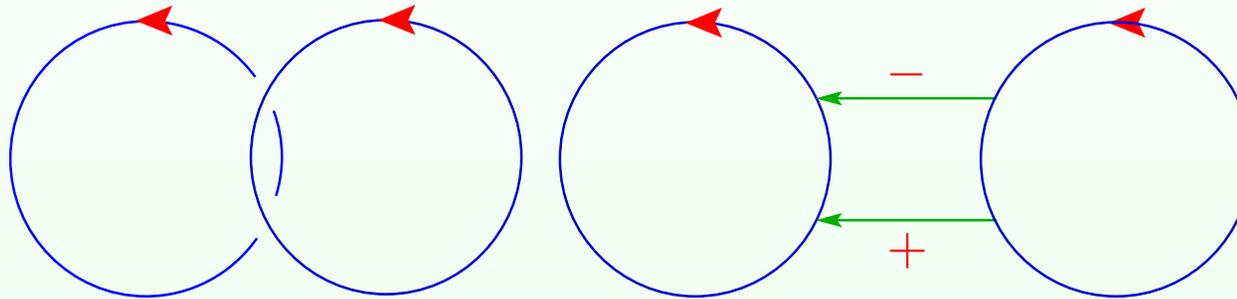
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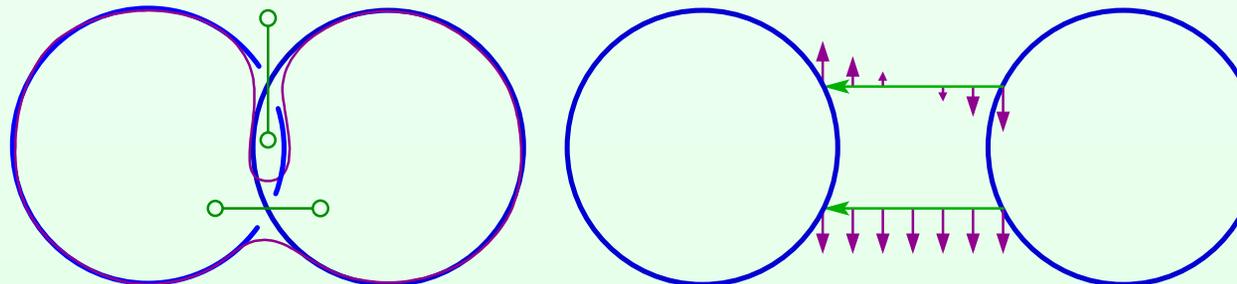
Khovanov homology

Orientation of chord diagrams

A sign of an arrow in Gauss diagram of a classical link depends on **orientation of the link**.



If the link is **not oriented**, specify the *framing* on the chords giving **positive** smoothing.



Khovanov complex of framed chord diagram

# Framing

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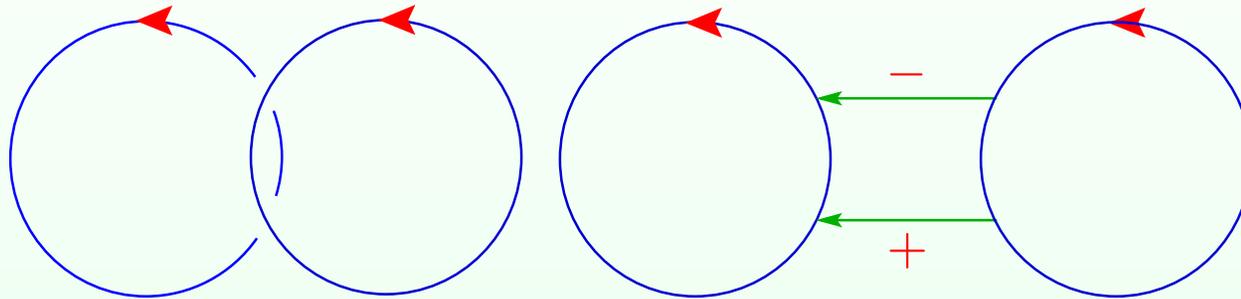
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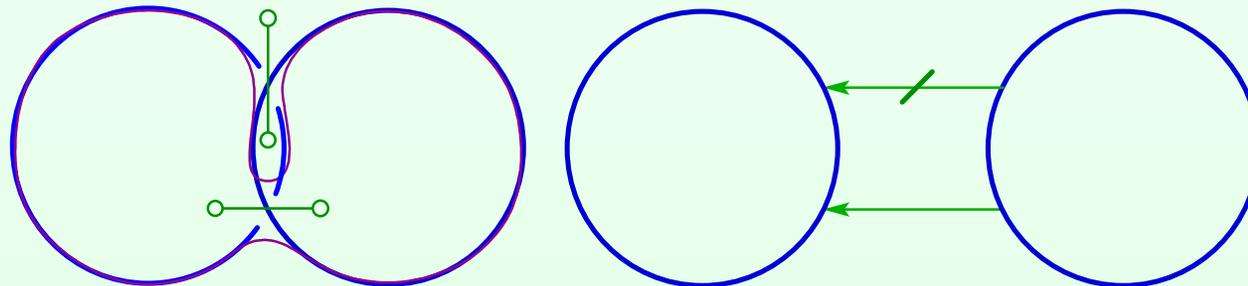
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Orientation of chord diagrams

A sign of an arrow in Gauss diagram of a classical link depends on **orientation of the link**.



If the link is **not oriented**, specify the *framing* on the chords giving **positive** smoothing.



**shorthand notation**

Khovanov complex of framed chord diagram

# Framed chord diagrams

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A chord diagram  $(B, c_1, \dots, c_n)$

# Framed chord diagrams

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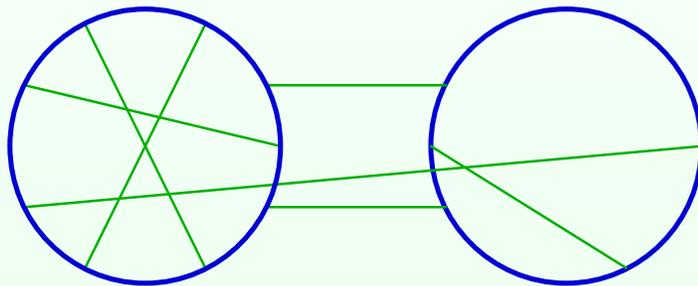
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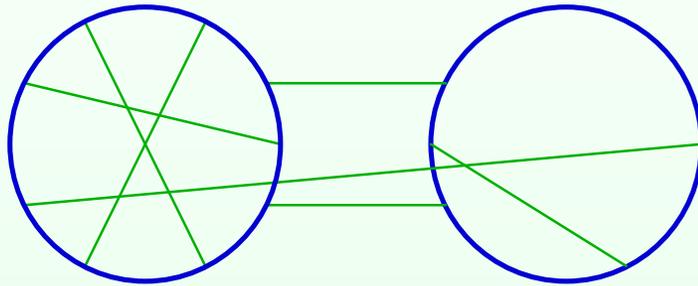
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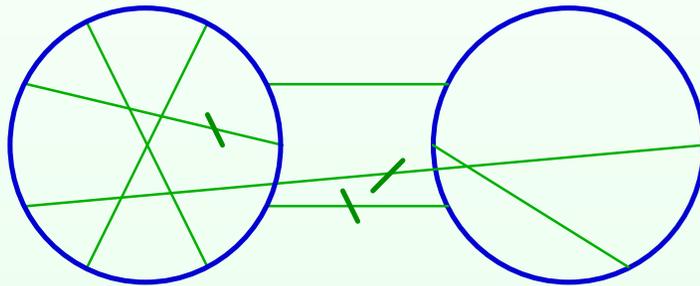
Khovanov complex of framed chord diagram

A chord diagram  $(B, c_1, \dots, c_n)$   
in which each chord is equipped with a framing



# Framed chord diagrams

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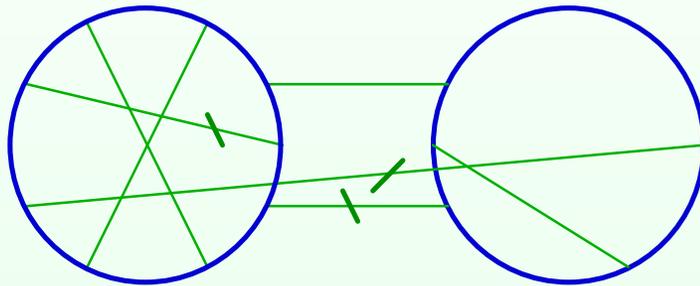
Khovanov homology

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A chord diagram  $(B, c_1, \dots, c_n)$  in which each chord is equipped with a **framing** is called a **framed chord diagram**.



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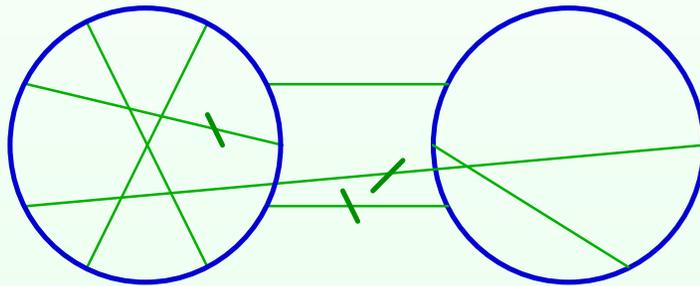
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Kauffman bracket state sum is defined for a framed chord diagram.

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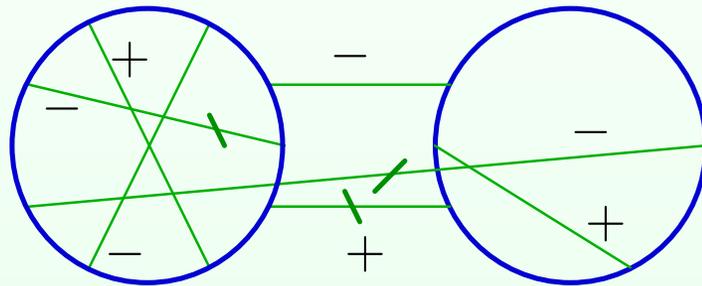
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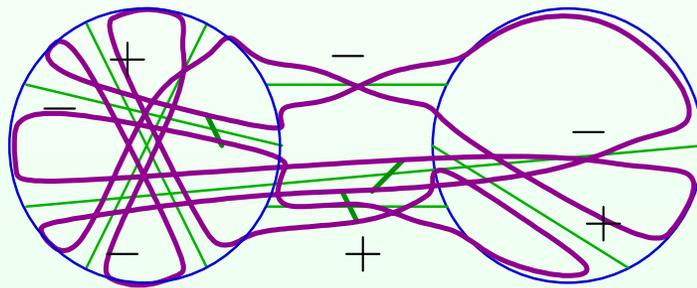
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Kauffman bracket state sum is defined for a framed chord diagram.

A **state** is a distribution of signs over the set of chords. The **smoothing** defined by a state is according to the framing along the chords marked with  $+$  and the opposite one otherwise.

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# Signed to framed

A signed chord diagram turns canonically to a framed one:

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On a chord with  $+$  take the framing surgery along which preserves the orientation

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A signed chord diagram turns canonically to a framed one:  
On a chord with  $+$  take the framing surgery along which preserves the orientation,  
on a chord with  $-$  take the framing surgery along which reverses the orientation.  
Forget the orientation.

# Orientable thickenings of non-orientable surfaces

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Non-orientable surface can be thickened  
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Example:

Thicken a Möbius band  $M$  in  $\mathbb{R}^3$ .

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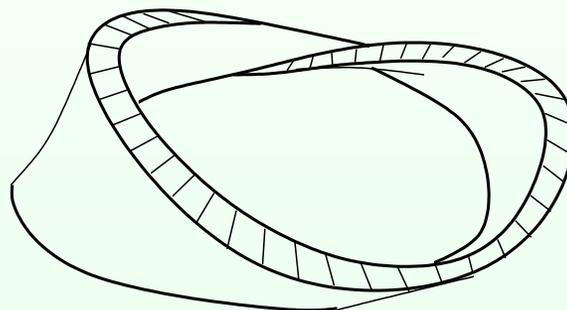
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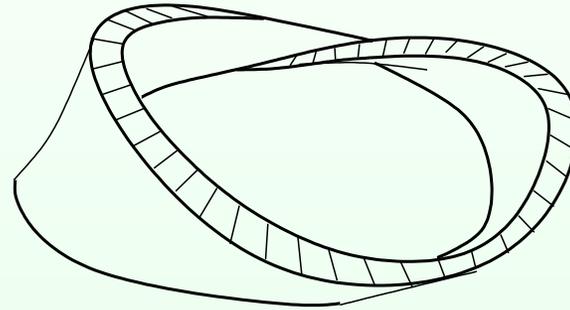
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Non-orientable surface can be thickened to an oriented 3-manifold!

Example:

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A neighborhood of  $M$  in  $\mathbb{R}^3$  is orientable and fibers over  $M$ .

# Abstract construction of an orientable thickening

Thicken a non-orientable surface  $S$  :

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# Abstract construction of an orientable thickening

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Thicken a non-orientable surface  $S$  :

1. Find an *orientation change line*  $C$  (like *International date line*) on  $S$ .

# Abstract construction of an orientable thickening

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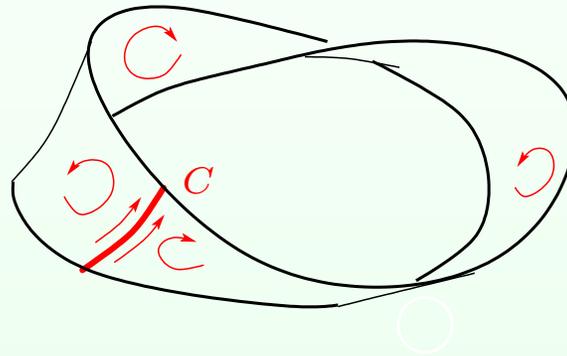
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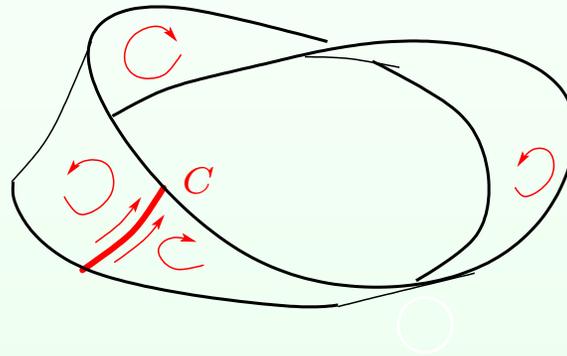
Khovanov homology

Orientation of chord diagrams

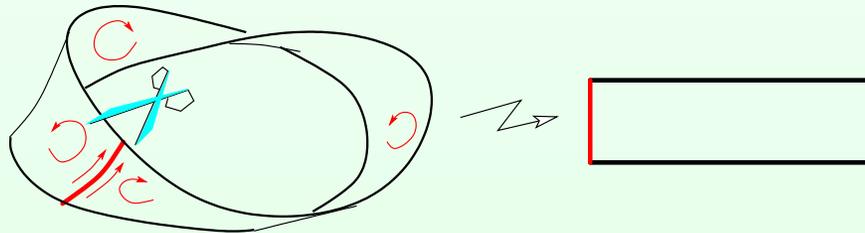
Khovanov complex of framed chord diagram

Thicken a non-orientable surface  $S$ :

1. Find an **orientation change line**  $C$  (like *International date line*) on  $S$ .



2. Cut  $S$  along  $C$ :  $S \mapsto S \setminus C$



# Abstract construction of an orientable thickening

Knots and links

Virtual links

Moves

Kauffman bracket

Gauss diagrams of a poor man

- Signed chord diagrams
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- Smoothing of a signed chord diagram

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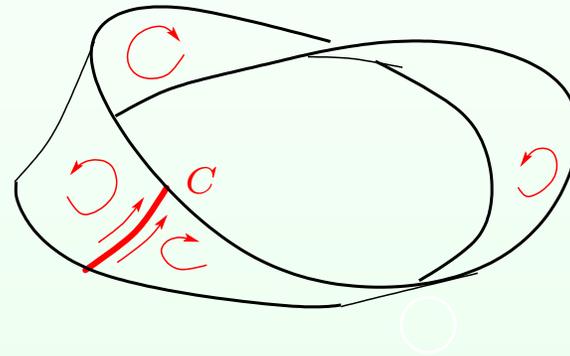
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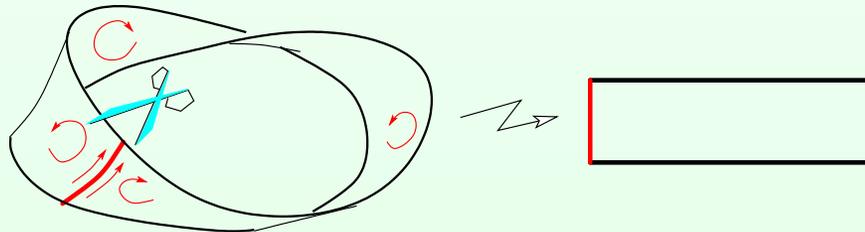
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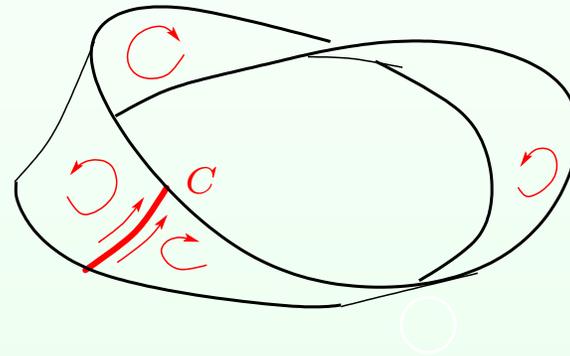
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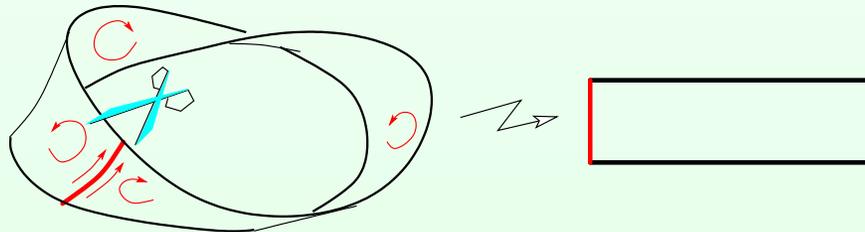
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4. Paste over the sides of the cut  $(x_+, t) \sim (x_-, -t)$ .

# A link in orientable thickening of a non-orientable surface

---

A diagram on the surface.

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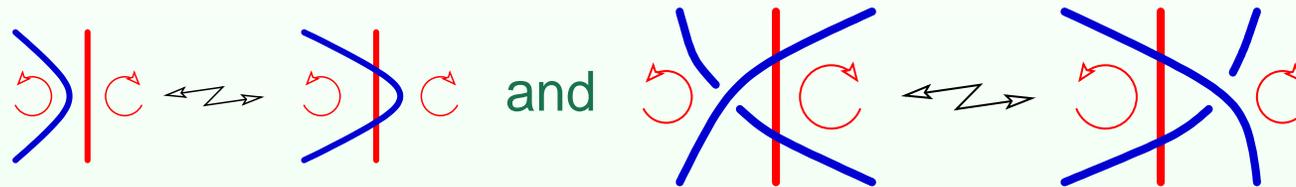
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Reidemeister moves plus two more moves:



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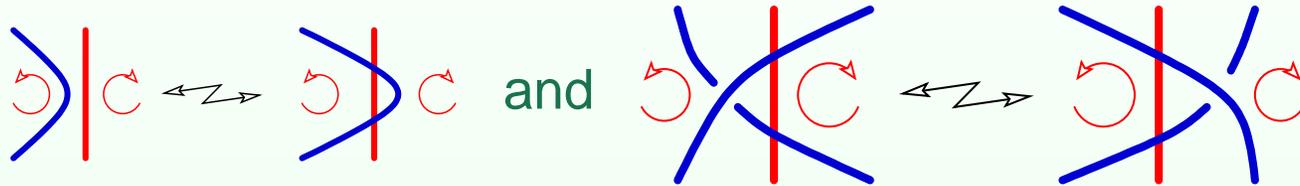
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Twisted Gauss diagram

=

Gauss diagram with a finite set of dots marked on the circle.

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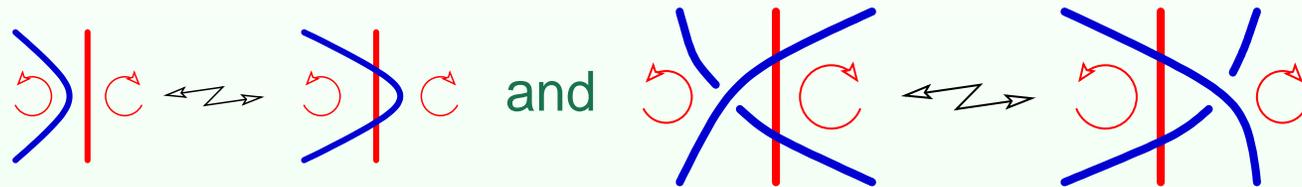
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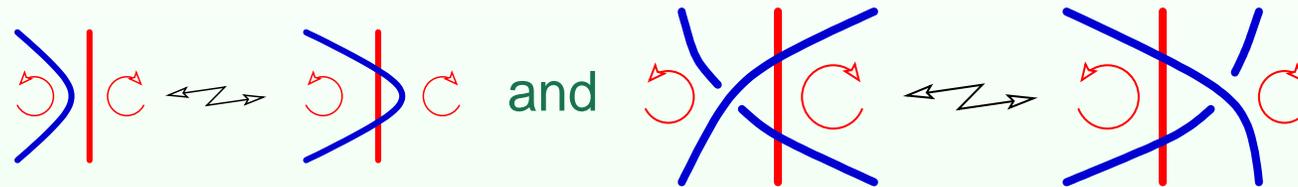
Khovanov homology

Orientation of chord diagrams

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A diagram on the surface.

Reidemeister moves plus two more moves:



Twisted Gauss diagram = Gauss diagram with a finite set of dots marked on the circle.

Two more moves:



Forgetting dots and arrows turns a twisted Gauss diagram into a signed chord diagram.

# A link in orientable thickening of a non-orientable surface

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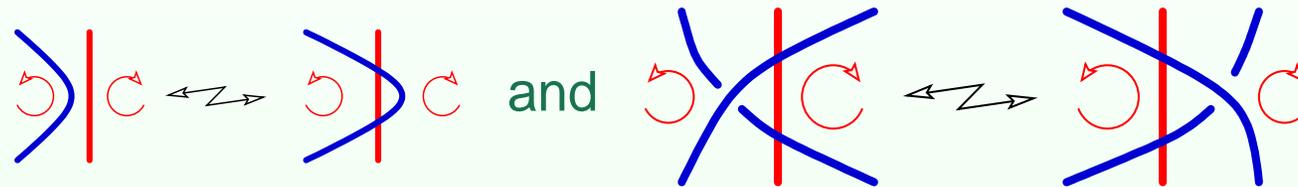
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(together with moves)

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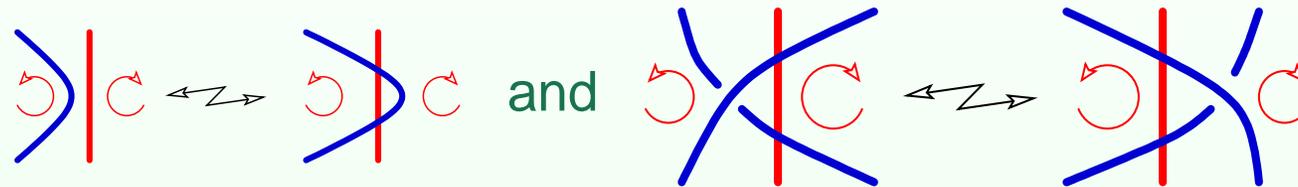
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Forgetting dots and arrows turns a twisted Gauss diagram into a signed chord diagram.

**Corollary** (Bourgoin). *Links in orientable thickenings of surfaces have well-defined Kauffman bracket.*

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# Khovanov homology

Khovanov homology categorifies Jones polynomial.

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$$D \mapsto H_{p,q}(D), \quad \langle D \rangle = \sum_{p,q} (-1)^p A^q \text{rk } H_{p,q}(D).$$

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$D \mapsto H_{p,q}(D)$ ,  $\langle D \rangle = \sum_{p,q} (-1)^p A^q \text{rk } H_{p,q}(D)$ . Relation to the original Khovanov homology:

# Khovanov homology

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In other words:  $H_{p,q}(D) = \mathcal{H}^{i,j}(D)$  iff  $q + 2j = 3w(D)$  and  $j - i + p = w(D)$ .

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Orientation of chord diagrams

Khovanov complex of framed chord diagram

Enhance states involved in the Kauffman state sum by attaching a sign to each component of the smoothing along the state.

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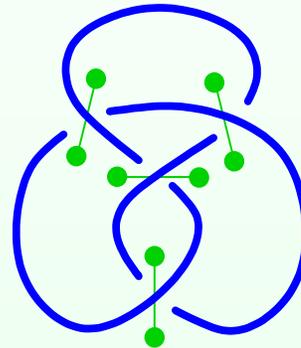
● What about virtual  
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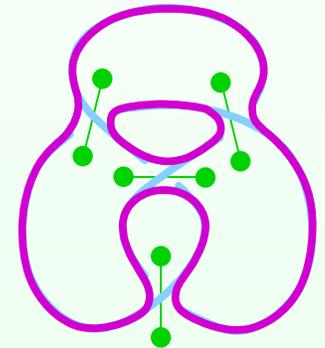
Khovanov complex of  
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Enhance states involved in the Kauffman state sum by attaching a sign to each component of the smoothing along the state.

For example: state



with smoothing



gives rise to 4 enhanced states

# Enhanced states

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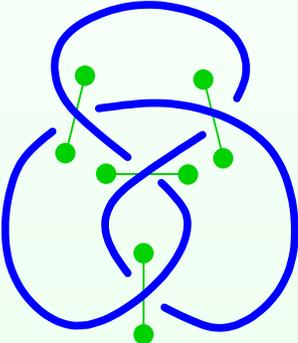
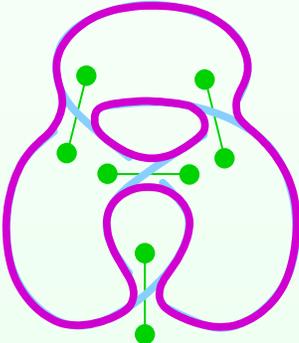
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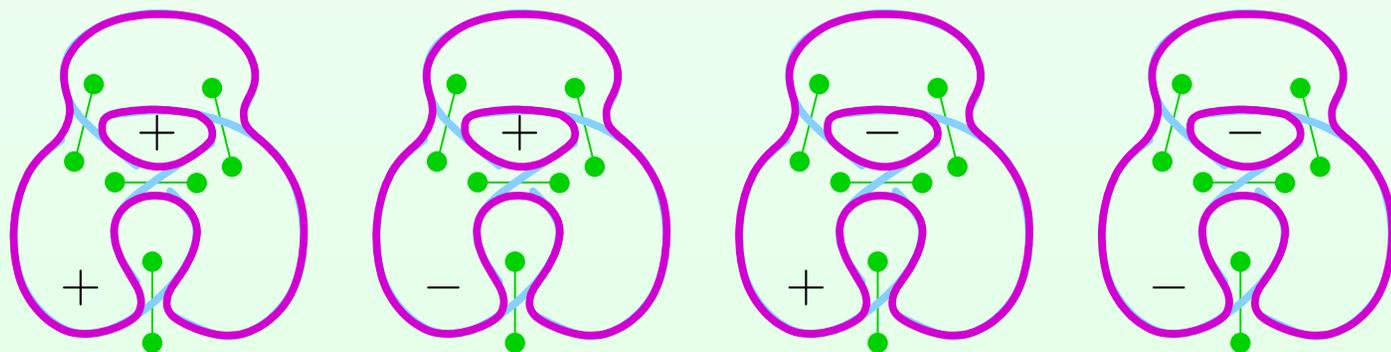
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Orientation of chord diagrams

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For enhanced state  $S$ , set  $\tau(S) = \#(\text{pluses}) - \#(\text{minuses})$   
and  $\langle S \rangle = (-1)^{\tau(S)} A^{a(S)-b(S)-2\tau(S)}$ .

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Let  $C_{p,q}(D)$  be a free abelian group generated by enhanced states  $S$  of  $D$  with:

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Invariance of  $H_{p,q}(D)$  under Reidemeister moves **wanted!**

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$\partial(S) = \sum \pm T$  with  $T$ , which differ from  $S$  by a single marker and appropriate signs on the circles passing near the vertex.

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Invariance of  $H_{p,q}(D)$  under Reidemeister moves **wanted!**

$\partial(S) = \sum \pm T$  with  $T$ , which differ from  $S$  by a single marker and appropriate signs on the circles passing near the vertex.

$$(|T| - |S|) = 1 \text{ is needed to have } \tau(T) = \tau(S) - 1.$$

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Orientation of chord  
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Khovanov complex of  
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$$\Delta : \mathcal{A} \rightarrow \mathcal{A} \otimes \mathcal{A}, \quad \Delta(1) = X \otimes 1 + 1 \otimes X,$$
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For a state  $s$  of a link diagram  $D$   
associate a copy of  $\mathcal{A}$  with each component of  $D_s$ .

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Equip  $V_s$  with the second grading equal to the first grading shifted by  $a(s) - b(s) - |s|$ .

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$$\bigoplus_{p,q} C_{p,q}(D) = \bigoplus_s V_s$$

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$$\bigoplus_{p,q} C_{p,q}(D) = \bigoplus_s V_s$$

Differentials are defined by the multiplication and co-multiplication in  $\mathcal{A}$ .

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This works for classical links, but does not for virtual!

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● **What about virtual links?**

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This works for classical links, but does not for virtual!

For virtual links, it works with  $\mathbb{Z}_2$  coefficients.

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Over integers  $d^2 \neq 0!$

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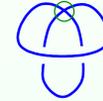
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Consider virtual diagram of the unknot:



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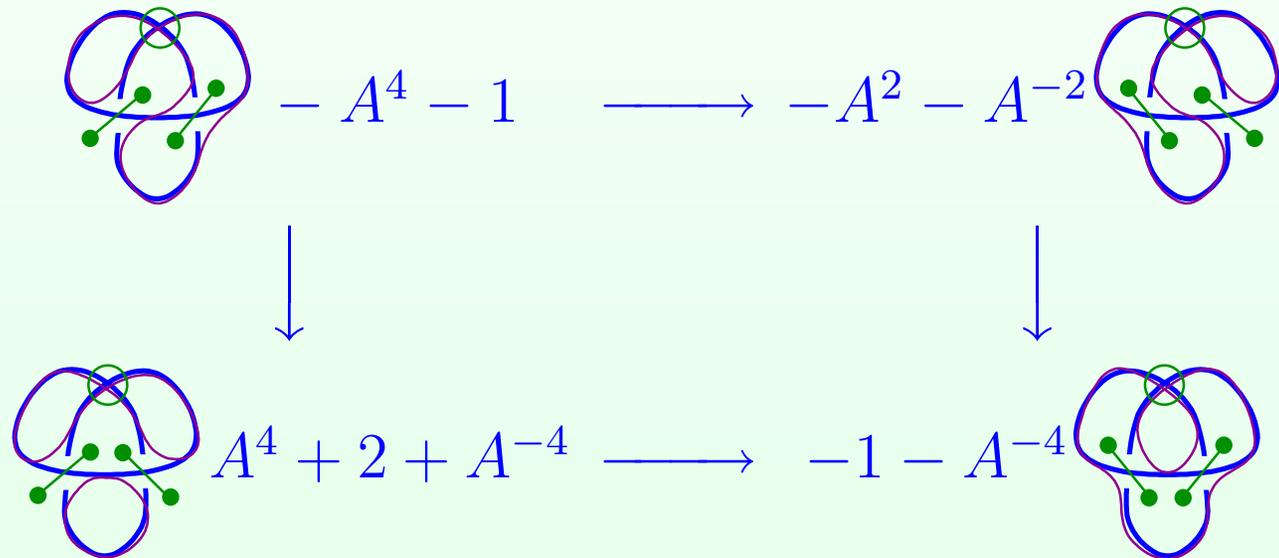
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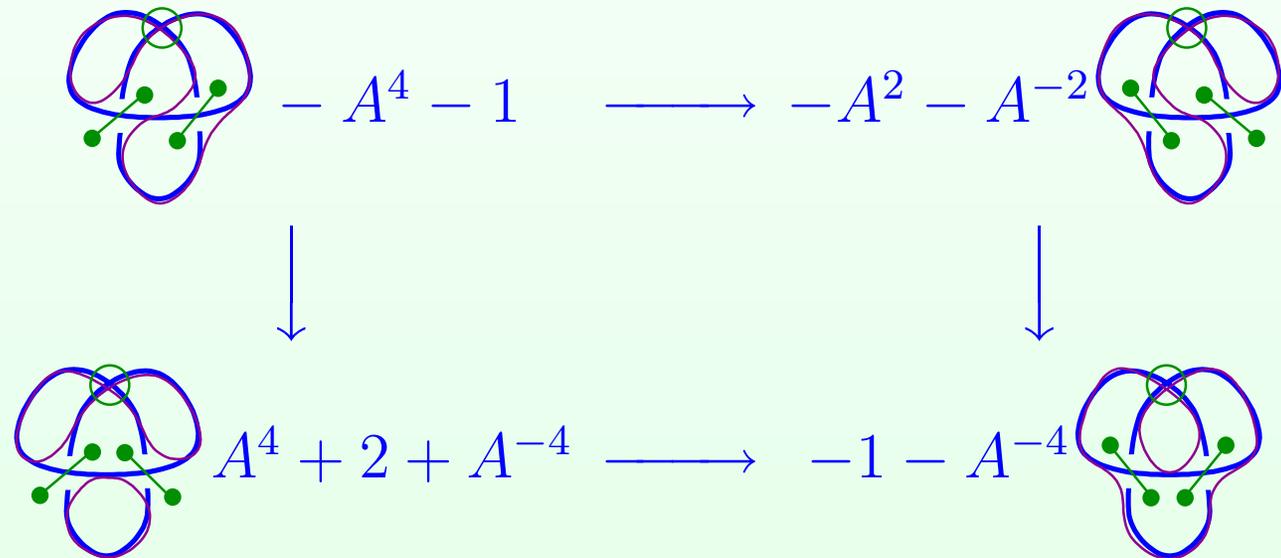
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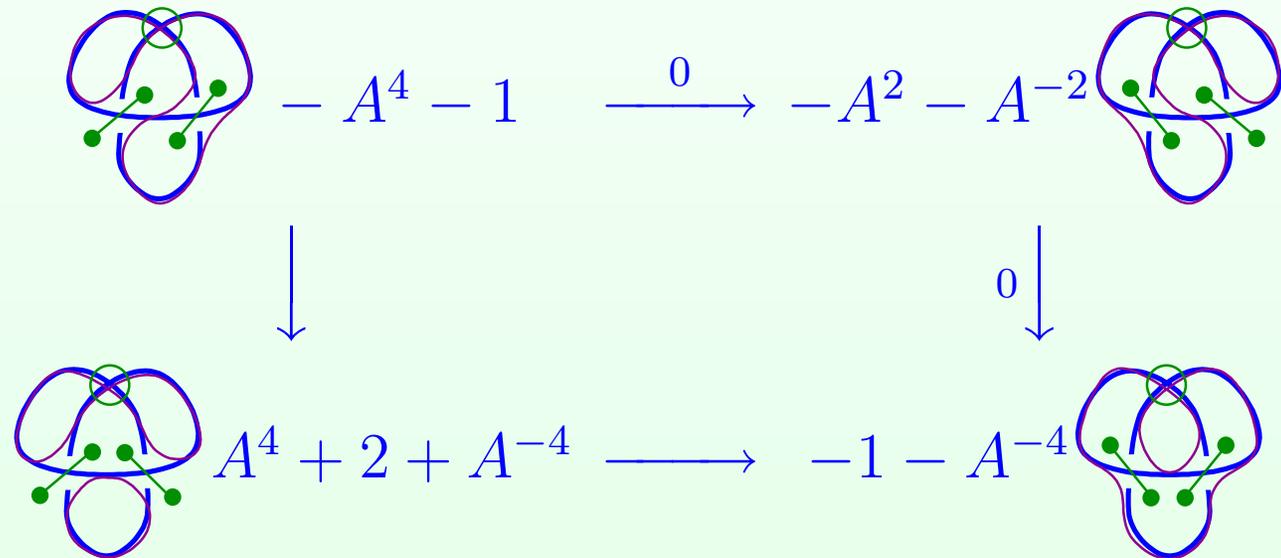
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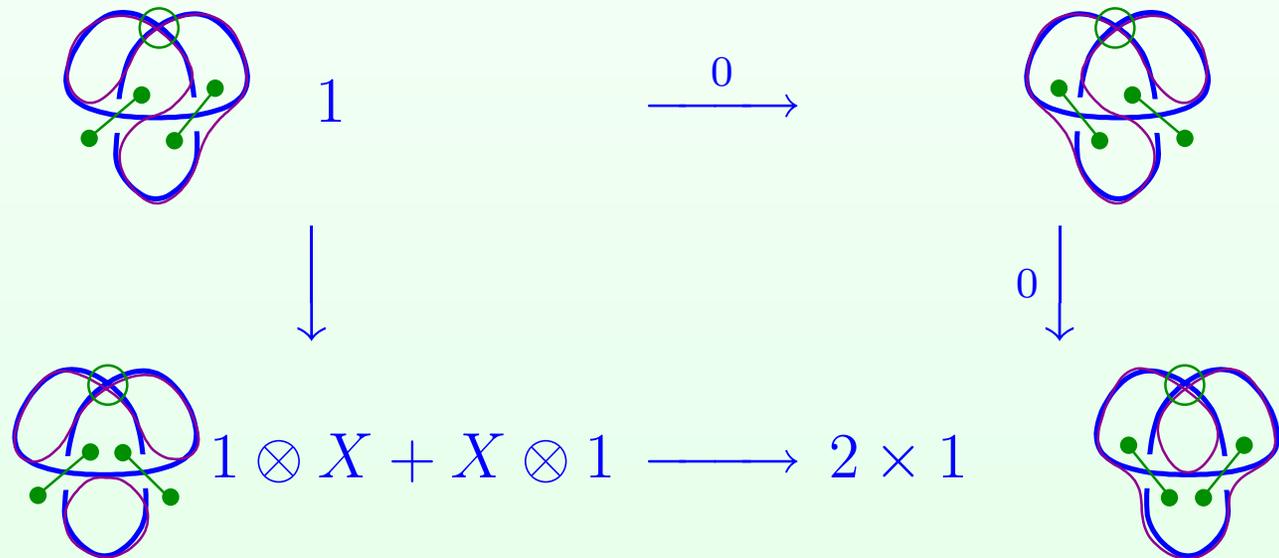
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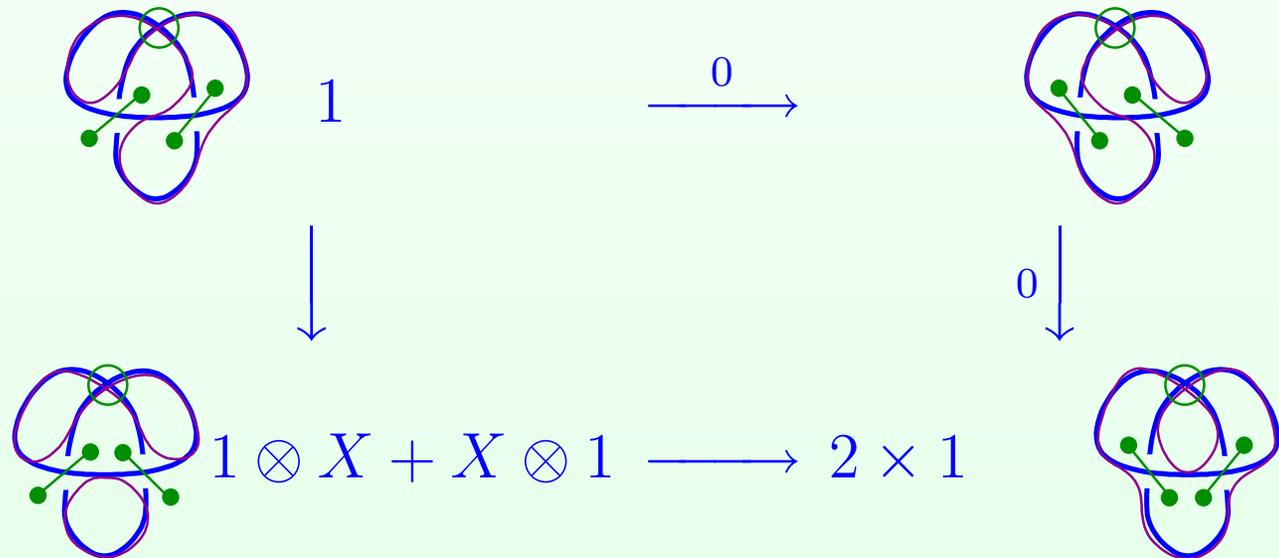
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This does not happen if the chord diagram is *orientable*!

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# Orientation of a chord diagram

= orientations of chords and arcs

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= orientations of chords and arcs  
such that the chain with integer coefficients

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$$\sum \text{arcs} + \sum 2 \text{ chords}$$

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such that the chain with integer coefficients

$$\sum \text{arcs} + \sum 2 \text{ chords} \quad \text{is a cycle.}$$

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such that the chain with integer coefficients

$\sum \text{arcs} + \sum 2 \text{ chords}$  is a **cycle**.

That is  $\partial (\sum \text{arcs} + \sum 2 \text{ chords}) = 0$ .

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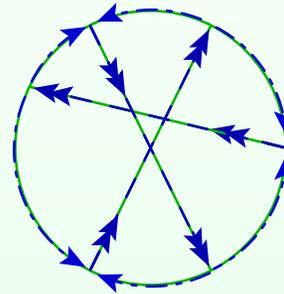
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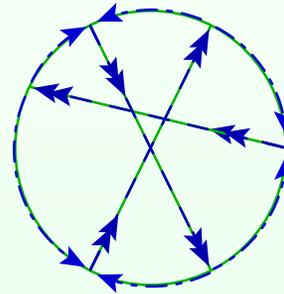
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A chord diagram is called *orientable* if it admits an orientation.

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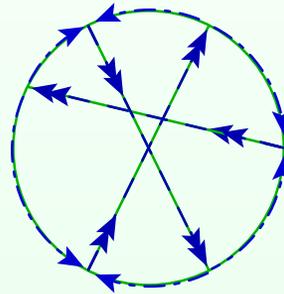
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Orientability of chord diagram with connected base is  
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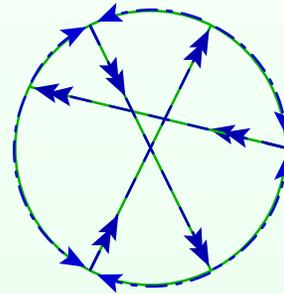
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The number of endpoints of chords on each arc bounded by endpoints of a chord is *even*.

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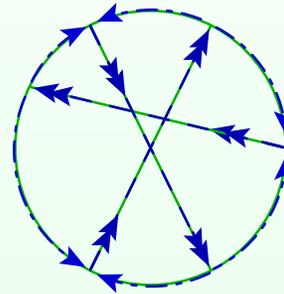
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The simplest nonorientable chord diagram:  $\otimes$  .

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Try to orient a chord diagram.

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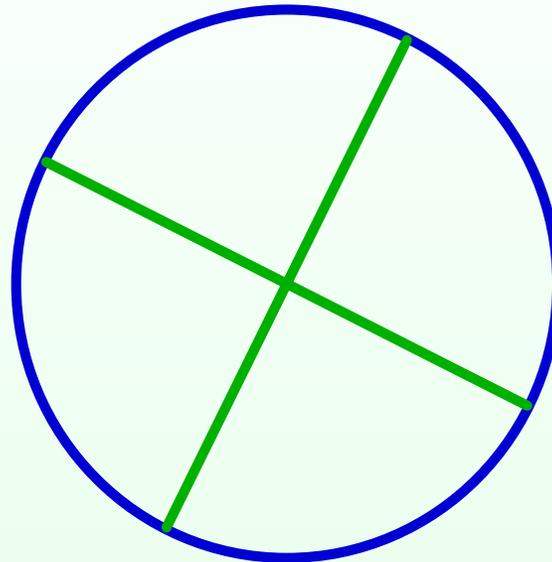
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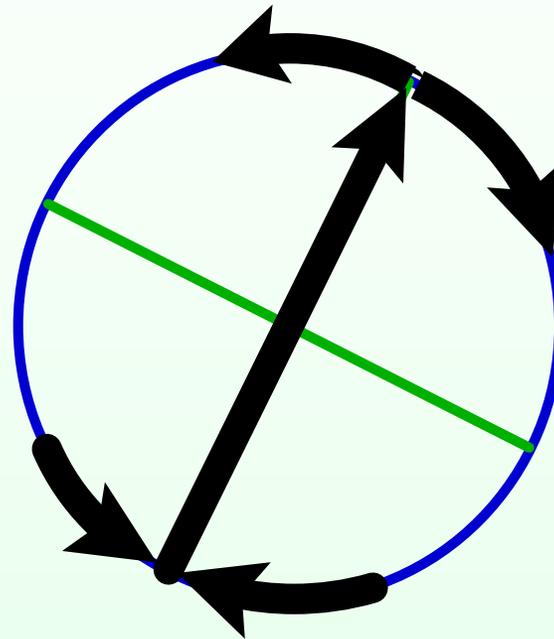
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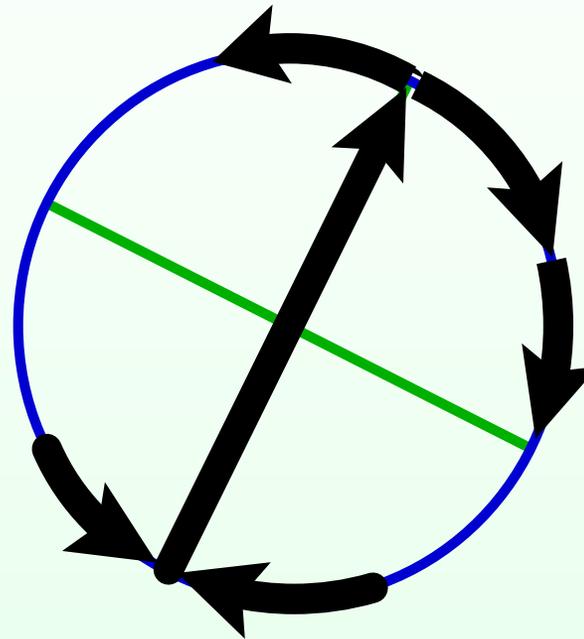
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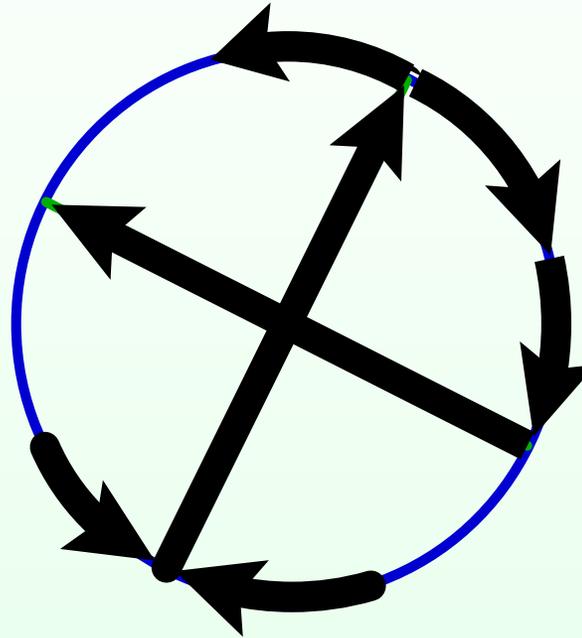
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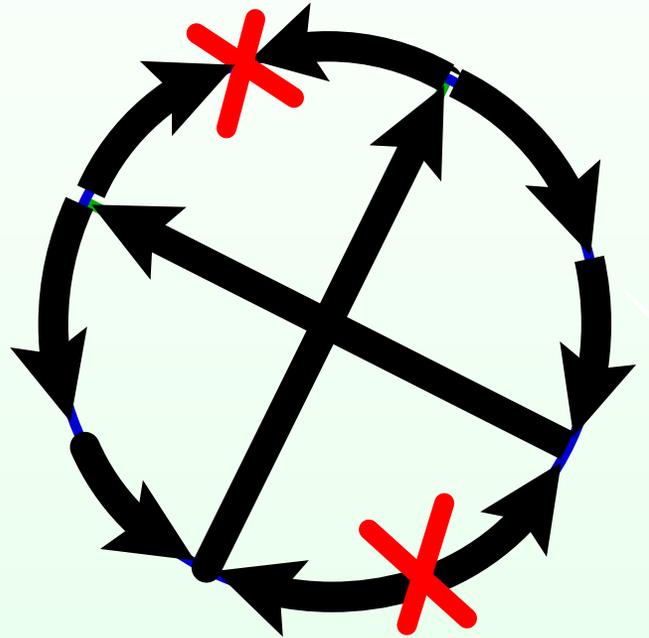
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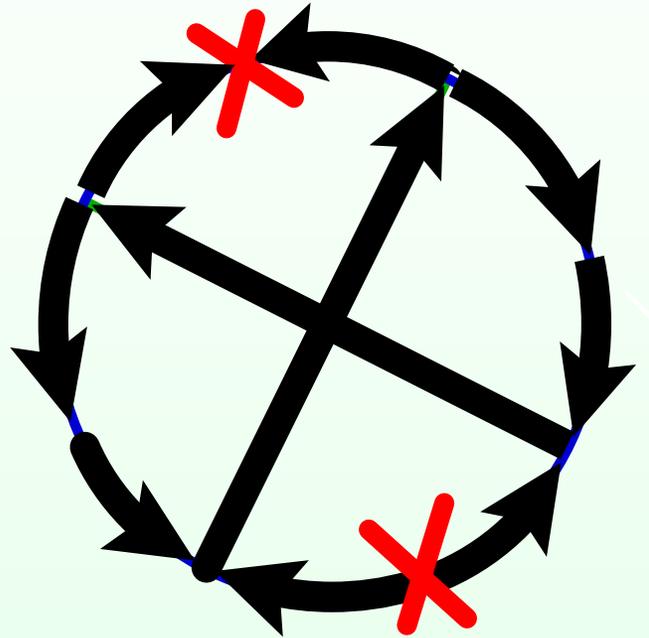
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We have met an obstruction.

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Gauss diagrams of a  
poor man

Khovanov homology

Orientation of chord  
diagrams

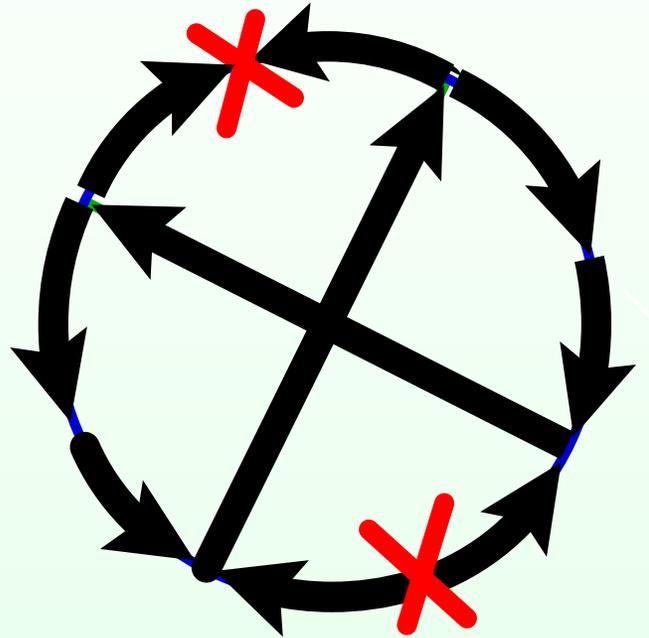
● Orientation of a chord  
diagram

● **Obstruction to  
orientability**

● Orientation of a  
smoothened chord  
diagram

Khovanov complex of  
framed chord diagram

Try to orient a chord diagram.



We have met an obstruction.

The obstruction to orientability of a chord diagram

$(B, c_1, \dots, c_n)$  is an element of  $H^1(B, \cup_{i=1}^n \partial c_i; \mathbb{Z}_2)$ .

# Obstruction to orientability

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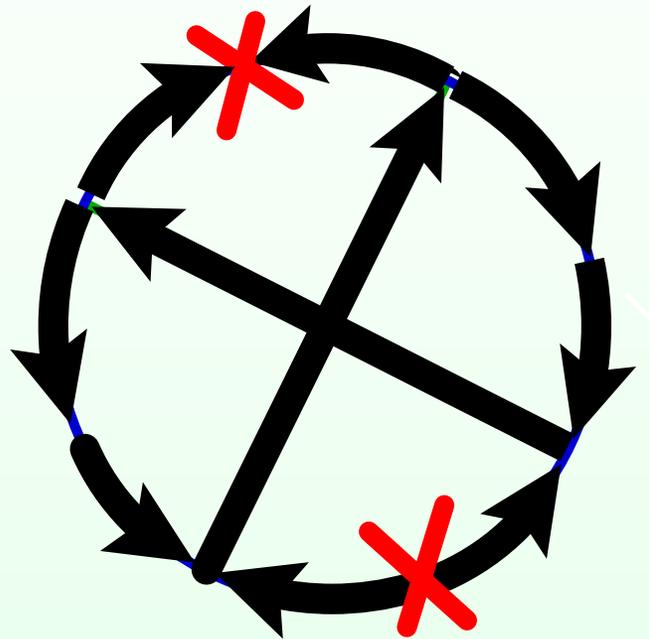
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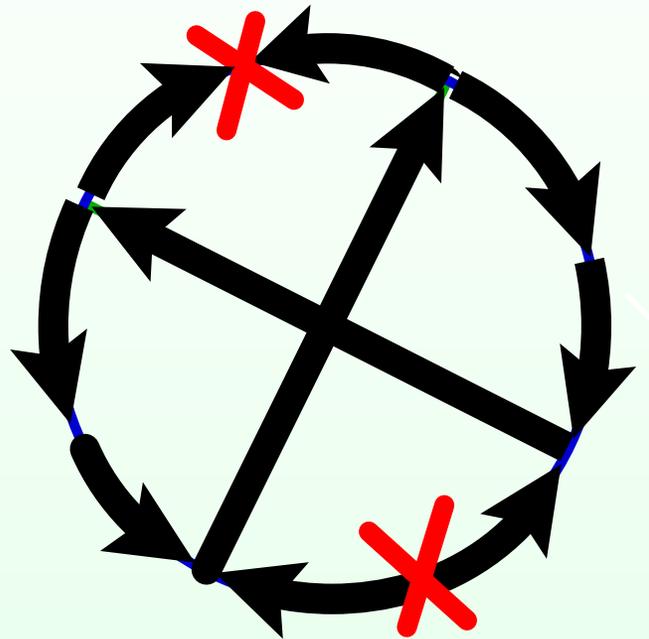
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Orient the complement of the 0-cycle realizing it,

to get *vice-orientation* of the chord diagram.

# Orientation of a smoothed chord diagram

If a chord diagram

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# Orientation of a smoothed chord diagram

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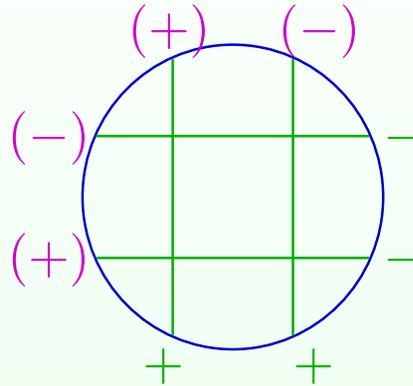
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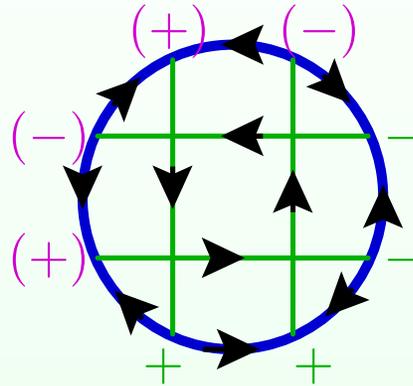
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If a chord diagram is oriented,



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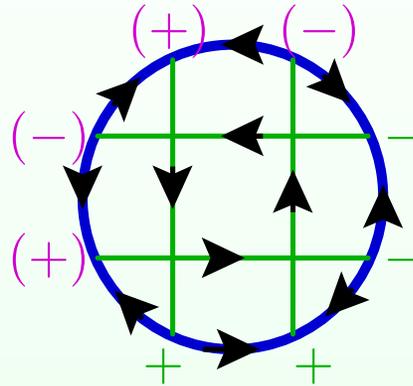
Khovanov homology

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Khovanov complex of  
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If a chord diagram is oriented,



its orientation induces an orientation of each result of its smoothing.

# Orientation of a smoothed chord diagram

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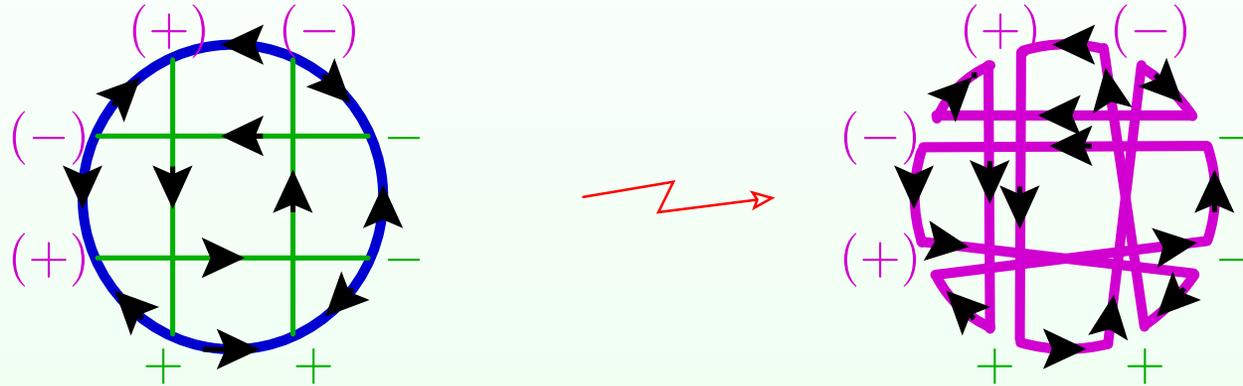
Khovanov homology

Orientation of chord diagrams

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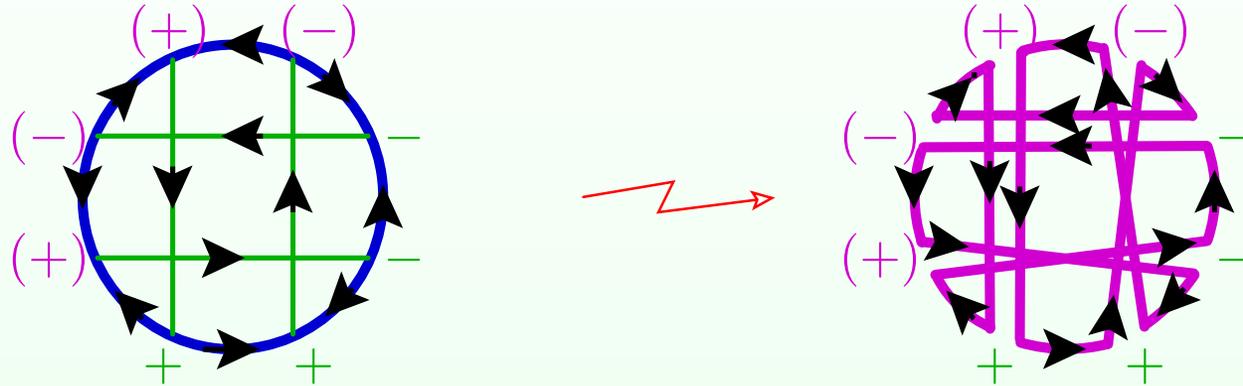
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If a chord diagram is oriented,



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Similarly, a **vice**-orientation of a signed chord diagram induces a **vice**-orientation of each result of its smoothing.

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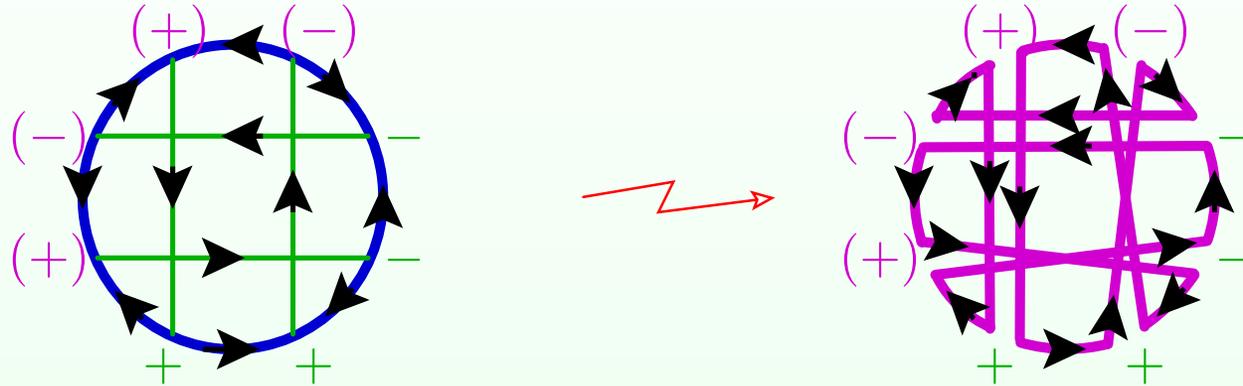
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its orientation induces an orientation of each result of its smoothing.

Similarly, a **vice**-orientation of a signed chord diagram induces a **vice**-orientation of each result of its smoothing.

**Theorem** (Manturov, Viro) *Definition of the Khovanov complex extended straightforwardly to an oriented framed chord diagram gives a complex invariant under Reidemeister moves preserving the orientation.*

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# Khovanov complex of framed chord diagram

# Structure used in the construction

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## 1. Framed chord diagram.

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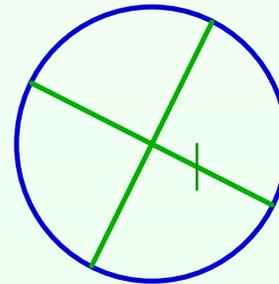
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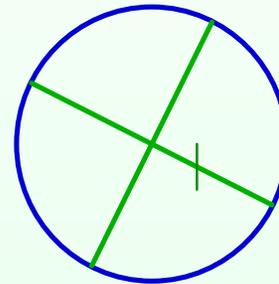
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1. Framed chord diagram.
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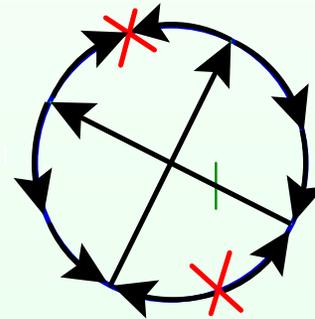
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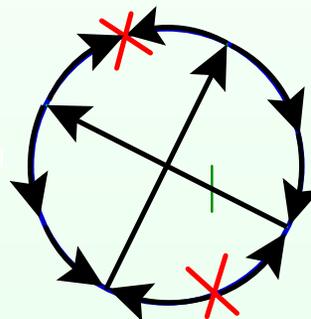
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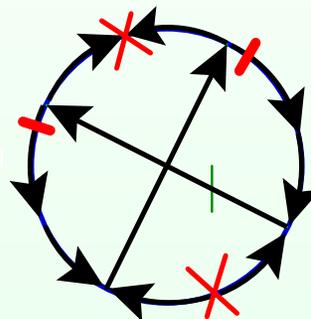
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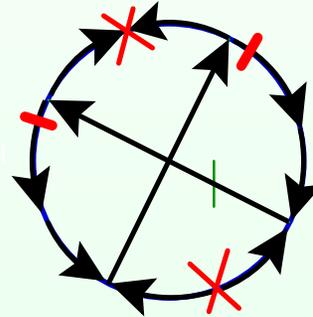
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The chain groups are the same as in the Khovanov construction:

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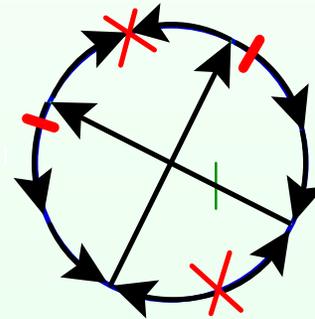
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$$\bigoplus_{p,q} C_{p,q}(D) = \bigoplus_s V_s$$

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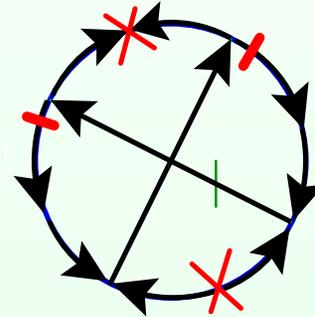
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algebraically (up to isomorphisms).

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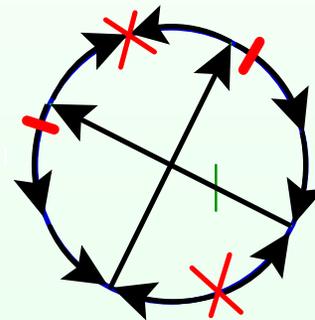
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The structure is needed for a collection of the isomorphisms

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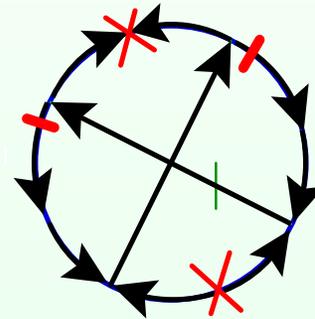
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The structure is needed for a collection of the isomorphisms needed for construction of differentials.

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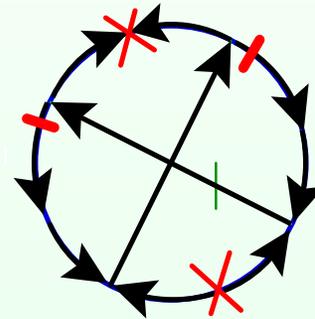
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algebraically (up to isomorphisms).

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Homology does not depend on the structure.

# Involution in the Frobenius algebra

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- Structure used in the construction
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Remind that  $\mathcal{A}$  is a **Frobenius algebra** generated by  $1$  and  $X$  with  $X^2 = 0$ .

with **Grading**:  $\deg(1) = 0$ ,  $\deg(X) = 2$ .

and **Comultiplication**:

$$\Delta : \mathcal{A} \rightarrow \mathcal{A} \otimes \mathcal{A}, \quad \Delta(1) = X \otimes 1 + 1 \otimes X,$$

$$\Delta(X) = X \otimes X.$$

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**Involution**  $\text{conj} : \mathcal{A} \rightarrow \mathcal{A} : 1 \mapsto 1, X \mapsto -X$ .

# Involution in the Frobenius algebra

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**Notice**:  $\text{conj}(ab) = \text{conj}(a) \text{conj}(b)$ .

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$$\text{But } \Delta(\text{conj}(1)) = \Delta(1) = X \otimes 1 + 1 \otimes X \\ = -\Delta(X) \otimes \Delta(1) - \Delta(1) \otimes \Delta(X).$$

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$$\text{But } \Delta(\text{conj}(1)) = \Delta(1) = X \otimes 1 + 1 \otimes X \\ = -\Delta(X) \otimes \Delta(1) - \Delta(1) \otimes \Delta(X).$$

and

$$\Delta(\text{conj}(X)) = \Delta(-X) = -X \otimes X = -\Delta(X) \otimes \Delta(X).$$

# Space associated to a state

Given a state  $s$  of a framed chord diagram  $D$ .

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Given a state  $s$  of a framed chord diagram  $D$ .  
Orient each connected component of  $D_s$ .

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Orient each connected component of  $D_s$ .  
Order the set of components.

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Given a state  $s$  of a framed chord diagram  $D$ .

Orient each connected component of  $D_s$ .

Order the set of components.

Associate a copy of  $\mathcal{A}$  to each component of  $D_s$ .

## Space associated to a state

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Orient each connected component of  $D_s$ .

Order the set of components.

Associate a copy of  $\mathcal{A}$  to each component of  $D_s$ .

Denote by  $V_s$  the tensor product of these copies of  $\mathcal{A}$ .

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Orient each connected component of  $D_s$ .

Order the set of components.

Associate a copy of  $\mathcal{A}$  to each component of  $D_s$ .

Denote by  $V_s$  the tensor product of these copies of  $\mathcal{A}$ .

This construction depends on the **orientations** and **ordering**.

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Orient each connected component of  $D_s$ .

Order the set of components.

Associate a copy of  $\mathcal{A}$  to each component of  $D_s$ .

Denote by  $V_s$  the tensor product of these copies of  $\mathcal{A}$ .

This construction depends on the **orientations** and **ordering**.

The results corresponding to the different choices of them are related by isomorphisms:

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Permutations of the components corresponds to the permutation isomorphism of the tensor product **multiplied by the sign of the permutation**.

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Let  $s$  and  $t$  be adjacent states of a framed chord diagram  $D$  which is equipped with a vice orientation and markers.

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Let  $s$  and  $t$  be adjacent states of a framed chord diagram  $D$  which is equipped with a vice orientation and markers.

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Let  $s$  and  $t$  be adjacent states of a framed chord diagram  $D$  which is equipped with a vice orientation and markers. Let  $t$  differs from  $s$  only by a marker sign at chord  $c$ , positive in  $s$  and negative at  $t$ .

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Construct  $V_s \rightarrow V_t$ .

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Construct  $V_s \rightarrow V_t$ .

Put it to be 0 if  $|s| = |t|$ .

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Construct  $V_s \rightarrow V_t$ .

Put it to be 0 if  $|s| = |t|$ .

Otherwise, order the components of  $D_s$  and  $D_t$  so that:

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Construct  $V_s \rightarrow V_t$ .

Put it to be 0 if  $|s| = |t|$ .

Otherwise, order the components of  $D_s$  and  $D_t$  so that:

- The first component passes through the marker at  $c$ .

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Construct  $V_s \rightarrow V_t$ .

Put it to be 0 if  $|s| = |t|$ .

Otherwise, order the components of  $D_s$  and  $D_t$  so that:

- The first component passes through the marker at  $c$ .
- On the second place put the other component passes though  $c$  (if there is one).

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Construct  $V_s \rightarrow V_t$ .

Put it to be 0 if  $|s| = |t|$ .

Otherwise, order the components of  $D_s$  and  $D_t$  so that:

- The first component passes through the marker at  $c$ .
- On the second place put the other component passes through  $c$  (if there is one).
- Other components (which are common for  $D_s$  and  $D_t$ ) are to be ordered coherently.

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Orient the first components according to the vice orientation at  $c$ .

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Construct  $V_s \rightarrow V_t$ .

Put it to be 0 if  $|s| = |t|$ .

Otherwise, order the components of  $D_s$  and  $D_t$  so that:

- The first component passes through the marker at  $c$ .
- On the second place put the other component passes through  $c$  (if there is one).
- Other components (which are common for  $D_s$  and  $D_t$ ) are to be ordered coherently.

Orient the first components according to the vice orientation at  $c$ . In these representations of  $V_s$  and  $V_t$ , define the map by multiplication or co-multiplication.