

Complex cobordism, Hamiltonian loops and global Kuranishi charts.

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(Work is joint with Mohammed Abouzaid and Ivan Smith.)

Main Results

- ▶ Let P be a closed symplectic manifold.
- ▶ Let $\pi : P \longrightarrow \mathbb{C}P^1$ be a smooth submersion, whose fibers are symplectic submanifolds and let (X, ω) be a fiber.
- ▶ **Theorem 1:** (Abouzaid, M., Smith). We have an additive isomorphism

$$H^*(P; \mathbb{Z}) \cong H^*(X; \mathbb{Z}) \otimes H^*(\mathbb{C}P^1; \mathbb{Z}).$$

- ▶ **Theorem 2:** (Abouzaid, M., Smith). More generally, we have an additive isomorphism

$$H^*(P; \mathbb{E}) \cong H^*(X; \mathbb{E}) \otimes_{H^*(pt; \mathbb{E})} H^*(\mathbb{C}P^1; \mathbb{E})$$

for any complex oriented cohomology theory \mathbb{E} (such as complex cobordism MU).

Main Results

- ▶ Lalonde, McDuff and Polterovich proved that such a splitting holds with \mathbb{Z} coefficients for monotone symplectic manifolds.
- ▶ McDuff proved this splitting with \mathbb{Q} coefficients in general.
- ▶ Both theorems are new in the case where $\pi : P \rightarrow \mathbb{C}\mathbb{P}^1$ is a morphism of smooth projective varieties (which was proven with \mathbb{Q} -coefficients by Deligne).

Examples

- ▶ Theorem 1 holds for Hamiltonian fibrations over $\mathbb{C}P^1$, but it does not hold for all symplectic fibrations over $\mathbb{C}P^1$ (such as the Hopf surface $S^1 \times S^3 \rightarrow S^2$). One needs a symplectic form on the total space P .
- ▶ Also Theorem 2 does not hold for all generalized cohomology theories.
- ▶ For example the Hirzebruch surface $F_1 = \mathbb{C}P^2 \# \overline{\mathbb{C}P^2}$ is a $\mathbb{C}P^1$ bundle over $\mathbb{C}P^1$. But $H^*(F_1, KO)$ is not isomorphic to $H^*(\mathbb{C}P^1) \otimes_{H^*(pt; KO)} H^*(\mathbb{C}P^1)$ where KO is real K -theory (Bahri and Bendersky).

Alternative Description of P .

- ▶ The fibration π can be described in a different way by the *clutching construction*.
- ▶ Take a loop $\phi : S^1 \rightarrow \text{Ham}(X, \omega)$ of Hamiltonian symplectomorphisms of a closed symplectic manifold (X, ω) .
- ▶ Define

$$P = P_\phi := (\mathbb{D} \times X)_{(1)} \sqcup (\mathbb{D} \times X)_{(2)} / \sim$$

where $\mathbb{D} \subset \mathbb{C}$ is the closed disk and \sim identifies $(z, x) \in (\partial\mathbb{D} \times X)_{(1)}$ with $(z, \phi(z)(x)) \in (\partial\mathbb{D} \times X)_{(2)}$.

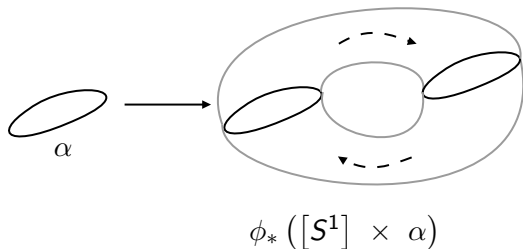
- ▶ $\pi : P \rightarrow \mathbb{C}P^1$ is the natural projection map to $\mathbb{C}P^1 = \mathbb{D}_{(1)} \sqcup \mathbb{D}_{(2)} / \sim$ where \sim identifies $\partial\mathbb{D}_{(1)}$ with $\partial\mathbb{D}_{(2)}$ via the identity map.

Hamiltonian Loops

- ▶ For any loop $\phi : S^1 \rightarrow \text{Ham}(X, \omega)$, define the *sweepout map*

$$\delta_\phi : H_*(X; \mathbb{Z}) \rightarrow H_{*+1}(X; \mathbb{Z})$$

to be the map sending a cycle α to $\phi_*([S^1] \times \alpha)$.



- ▶ **Corollary** (Abouzaid, M., Smith). The sweepout map vanishes.

Proof of Corollary

Recall that we have a Serre spectral sequence computing $H_*(P_\phi)$ with E^2 page:

$$\begin{array}{ccccc} H_{p+1}(X) & & 0 & & H_{p+1}(X) \\ & \swarrow & & \searrow & \\ & \delta_\phi & & & \\ H_p(X) & & 0 & & H_p(X) \\ & \swarrow & & \searrow & \\ & \delta_\phi & & & \\ H_{p-1}(X) & & 0 & & H_{p-1}(X) \end{array}$$

By Theorem 1, we know that this spectral sequence degenerates and so $\delta_\phi = 0$. A similar argument using Theorem 2 shows that the sweepout map vanishes for any complex oriented homology theory.

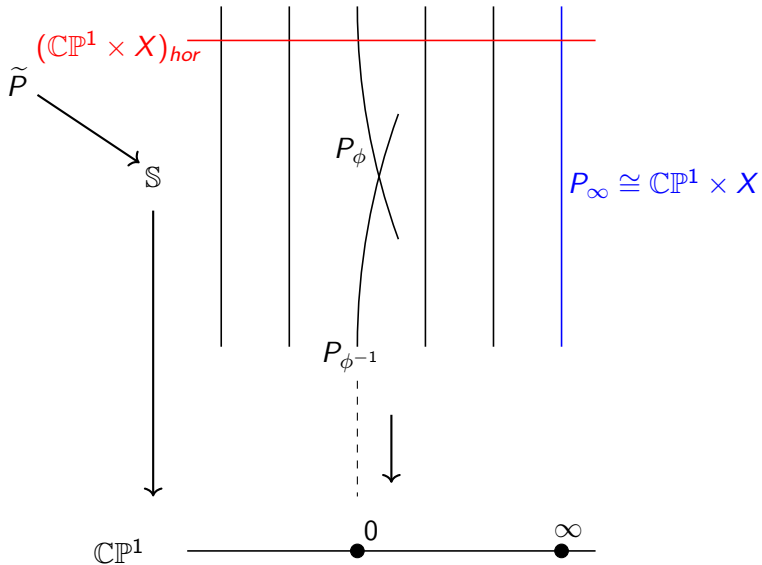
Main Argument

- ▶ We will first give an outline of the proof of Theorem 1 under the assumption that our moduli spaces are smooth closed manifolds and where all evaluation maps are submersions.
- ▶ It is sufficient for us to construct a section $s : H^*(P_\phi) \rightarrow H^*(X)$ of the natural fiber restriction map $H^*(P_\phi) \rightarrow H^*(X)$.
- ▶ This section will then give us our isomorphism:

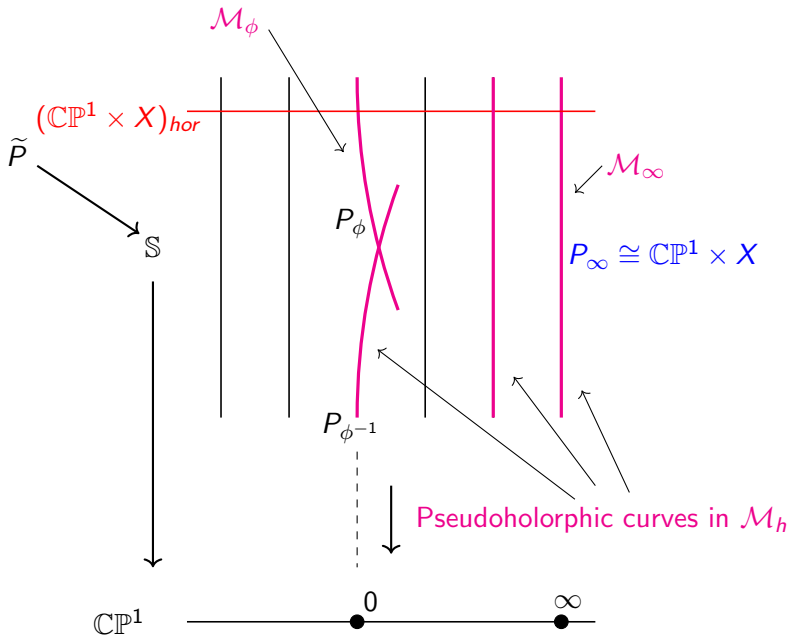
$$H^*(X) \otimes H^*(\mathbb{C}P^1) \xrightarrow{\cong} H^*(X) \oplus H^*(P_\phi, P_\phi - X) \xrightarrow{s \oplus q^*} H^*(P_\phi)$$

where q is the natural quotient map.

- ▶ Let $\mathbb{S} = Bl_{(0,0)}(\mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1)$ be the blowup at $(0, 0)$.
- ▶ Now construct a Hamiltonian fibration $\pi_{\mathbb{S}} : \tilde{P} \rightarrow \mathbb{S}$ with fiber (X, ω) so that:
 1. The restriction of $\pi_{\mathbb{S}}$ to the exceptional divisor of \mathbb{S} is the fibration $\pi : P_{\phi} \rightarrow \mathbb{C}\mathbb{P}^1$.
 2. The restriction of $\pi_{\mathbb{S}}$ to $\mathbb{C}\mathbb{P}^1 \times \{\infty\} \subset \mathbb{S}$ is the trivial fibration $P_{\infty} := \mathbb{C}\mathbb{P}^1 \times X$.
 3. Also \tilde{P} restricted to the proper transform of $\{0\} \times \mathbb{C}\mathbb{P}^1$ is the trivial fibration $\mathbb{C}\mathbb{P}^1 \times X$ which we will write as $(\mathbb{C}\mathbb{P}^1 \times X)_{hor}$.



- ▶ Choose an almost complex structure making $\pi_{\mathbb{S}}$ holomorphic and which is a product near P_{∞} and $(\mathbb{CP}^1 \times X)_{hor}$.
- ▶ We let \mathcal{M}_h be the moduli space of genus 0 pseudo-holomorphic maps to \tilde{P} with two marked points representing $[\mathbb{CP}^1 \times pt] \in H_2(P_{\infty}) \subset H_2(\tilde{P})$ so that one marked point maps to $(\mathbb{CP}^1 \times X)_{hor}$ and the other is free.
- ▶ Let $ev : \mathcal{M}_h \rightarrow \tilde{P} \times (\mathbb{CP}^1 \times X)_{hor}$ be the evaluation map.
- ▶ Define $\mathcal{M}_{\bullet} := ev^{-1}(P_{\bullet} \times (\mathbb{CP}^1 \times X)_{hor})$ for $\bullet = \phi$ or ∞ (in other words, the restriction of \mathcal{M}_h to $P_{\phi} \cup P_{\phi^{-1}}$ and P_{∞} respectively).



- ▶ We then have pushpull maps:

$$\Psi_{\bullet} : H^*((\mathbb{CP}^1 \times X)_{hor}) \xrightarrow{\text{ev}^*} H^*(\mathcal{M}_{\bullet}) \xrightarrow{-\cap[\mathcal{M}_{\bullet}]} H^*(\mathcal{M}_{\bullet})$$

$$H_{\dim(P_{\bullet})-*}(\mathcal{M}_{\bullet}) \xrightarrow{\text{ev}_*} H_{\dim(P_{\bullet})-*}(P_{\bullet}) \cong H^*(P_{\bullet})$$

for $\bullet = \phi$ or ∞ .

- ▶ Similarly we have a pushpull map

$$\Psi_h : H^*((\mathbb{CP}^1 \times X)_{hor}) \longrightarrow H^*(\tilde{P})$$

associated to \mathcal{M}_h .

Our map s is constructed, and shown to be a section, by starting at the following commutative diagram:

$$\begin{array}{ccccc}
 & & & H^*(P_\infty) & \\
 & & \psi_\infty = (pr_X)_* & \nearrow & \\
 & & & & \text{res} \\
 H^*(X) & \xrightarrow{pr^*} & H^*((S^2 \times X)_{hor}) & \xrightarrow{\psi_h} & H^*(\tilde{P}) & \xrightarrow{\text{res}} & H^*(X) \\
 & \searrow & \psi_\phi & \searrow & \text{res} & \nearrow & \\
 & & & & H^*(P_\phi) & & \\
 & & & & & & \text{res}
 \end{array}$$

The diagram includes the following additional features:

- A blue curved arrow labeled id from $H^*(X)$ to $H^*(X)$ passing above $H^*(\tilde{P})$.
- A red curved arrow labeled s from $H^*(X)$ to $H^*(\tilde{P})$ passing below $H^*(\tilde{P})$.
- Vertical arrows labeled res connect $H^*(P_\infty)$ to $H^*(\tilde{P})$ and $H^*(\tilde{P})$ to $H^*(P_\phi)$.
- Diagonal arrows labeled res connect $H^*(P_\infty)$ to $H^*(X)$ and $H^*(P_\phi)$ to $H^*(X)$.

where $pr_X : (S^2 \times X)_{hor} \rightarrow 0 \times X \subset P_\infty$ is the projection map to $0 \times X \subset P_\infty$.

QED

Problems

- ▶ Now, we have a problem, which is that the (virtual) fundamental class $[\mathcal{M}_\bullet]$, $\bullet = \phi, \infty, h$ is usually defined over \mathbb{Q} (since our moduli spaces are usually not nice smooth manifolds).
- ▶ How do we deal with \mathbb{Z} ?
- ▶ First of all, it is sufficient for us to prove our theorem over $\mathbb{Z}/p^k\mathbb{Z}$ for every prime power p^k .
- ▶ The key idea (of Abouzaid and Blumberg) is to use *Morava K-theory* which 'approximates' $\mathbb{Z}/p^k\mathbb{Z}$ -cohomology and which also gives our moduli spaces a virtual fundamental class.

- ▶ In order to construct such a fundamental class, we need an appropriate topological description of our moduli space.
- ▶ **Definition:** A *global Kuranishi chart* is a tuple (G, \mathcal{T}, E, s) where
 1. G is a compact Lie group,
 2. \mathcal{T} is a manifold (called the *thickening*) admitting a G -action with finite stabilizers,
 3. E is a G -vector bundle and
 4. s is a G -equivariant section whose zero locus is compact.
- ▶ **Definition:** Such a chart *describes* a metric space M if M is homeomorphic to $s^{-1}(0)/G$ (here, M will be our moduli space).
- ▶ **Theorem:** (we will explain this later). There are global Kuranishi charts as above describing our moduli spaces with \mathcal{T} and E complex G -vector bundles.

- ▶ Given a global Kuranishi chart (G, \mathcal{T}, E, s) describing M , how do we put a fundamental class on $M \cong s^{-1}(0)/G$?
- ▶ This will be a map $\text{vfc} : H^*(M; \mathbb{K}) \longrightarrow \mathbb{K}_* := H^*(pt; \mathbb{K})$ where $H^*(-, \mathbb{K})$ is an appropriate generalized cohomology theory.
- ▶ Define $H^*(A|B; \mathbb{K}) := H^*(A, A - B; \mathbb{K})$.
- ▶ We will write $H^*(A) = H^*(A; \mathbb{K})$ to avoid clutter.

Sketch of vfc construction.

▶ ASSUMPTION:

1. G -equivariant Thom isomorphism holds:

$$H_G^*(E|\mathcal{T}) \xrightarrow{\text{Thom}} H_G^{*+e}(\mathcal{T})$$

where $e = \dim(E)$.

2. G -equivariant Poincaré duality holds

$$H_G^*(\mathcal{T}) \xrightarrow{PD} H_{d-k-*}^G(\mathcal{T}, \partial\mathcal{T}),$$

$d = \dim(\mathcal{T})$, $k = \dim(G)$.

- ▶ These hold over \mathbb{Q} .
- ▶ But not over \mathbb{Z} since G might have non-trivial stabilizers.
- ▶ They do for Morava K -theories when \mathcal{T} and E are complex.

Sketch of vfc construction continued...

- ▶ For each G -equivariant relatively compact open neighborhood U of $s^{-1}(0)$, we have a map

$$\mathrm{vfc}_U : H_G^{*-vdim}(U) \xrightarrow{PD} H_{-e-*}(U, \partial U) \quad (1)$$

$$\xrightarrow{s_*} H_{-e-*}(E|\mathcal{T}) \xrightarrow{Thom} H_{-*}(\mathcal{T}) \longrightarrow \mathbb{K}_{-*}. \quad (2)$$

where $vdim := d - k - e$.

- ▶ The virtual fundamental class is:

$$\mathrm{vfc}_{\mathbb{K}} : H^{*-vdim}(M) \longrightarrow \varinjlim_U H_G^{*-vdim}(U) \xrightarrow{\lim_U \mathrm{vfc}_U} \mathbb{K}_{-*}.$$

- ▶ Actually it is quite handy to work with vfc_U sometimes.

What is Morava K -theory?

Proposition: For any prime power p^k and any $n \in \mathbb{N}$, there is a generalized cohomology theory $H^*(-, K_{p^k}(n))$ called *Morava K -theory* satisfying the following properties:

1. The coefficient ring is

$$K_{p^k}(n)_* := H_*(pt, K_{p^k}(n)) = (\mathbb{Z}/p^k\mathbb{Z})[v_n, v_n^{-1}] \text{ with } |v_n| = 2(p^n - 1).$$

2. Any stably complex vector bundle is $K_{p^k}(n)$ -oriented and so the G -equivariant Thom isomorphism theorem holds.
3. (Cheng): G -equivariant Poincaré duality holds for manifolds admitting a G -equivariant stable almost complex structure.

- ▶ As a result, we can construct virtual fundamental classes in Morava K -theory.
- ▶ For any CW complex Y , the *Atiyah-Hirzebruch spectral sequence* (AHSS) tells us that there is a spectral sequence converging to $H^*(Y; \mathbb{K})$ whose E_2 -page is $H^p(Y; H^q(pt; \mathbb{K}))$, for any generalized cohomology theory $H^*(-, \mathbb{K})$.
- ▶ Now if our CW complex Y is finite dimensional and the parameter n is large, then AHSS for $H^*(Y; K_{p^k}(n))$ must degenerate for degree reasons. Therefore $H^*(Y; K_{p^k}(n)) \cong H^*(Y; \mathbb{Z}/p^k\mathbb{Z})[v_n, v_n^{-1}]$.
- ▶ Using all these facts, we can prove our splitting theorem $H^*(P; \mathbb{Z}/p^k\mathbb{Z}) \cong H^*(Y; \mathbb{Z}/p^k\mathbb{Z}) \otimes H^*(\mathbb{C}P^1; \mathbb{Z}/p^k\mathbb{Z})$ for all prime powers p^k and hence over \mathbb{Z} too.

Moduli Spaces of Curves

- ▶ How do we construct a global Kuranishi chart for the moduli space of genus 0 curves?
- ▶ Let (M, ω) be a closed symplectic manifold and J an ω -tame almost complex structure and $\beta \in H_2(M)$.
- ▶ Recall $\mathcal{M}_{(0,0)}(J, \beta)$ is the space of J -holomorphic maps $\Sigma \rightarrow M$ where Σ is a genus zero nodal curve representing β up to equivalence:

$$\begin{array}{ccc} \Sigma & \longrightarrow & M \\ \mathbb{R} \downarrow & \nearrow & \\ \Sigma' & & \end{array}$$

- ▶ **First Problem:** The domain Σ isn't fixed. In order to do analysis, one really should identify this domain with something 'standard'.
- ▶ One typical way of doing this is adding marked points to Σ , until the domain becomes stable. This then identifies Σ with an element of $\overline{\mathcal{M}}_{0,n}$.
- ▶ Another way, suggested by Siebert, is to choose a basis of holomorphic sections of an ample line bundle on Σ . These sections then identify Σ with a curve mapping to projective space. We will use this approach.

Framed Curves

- ▶ Fix a Hermitian line bundle $L \rightarrow M$ whose curvature is $-2i\pi\Omega$ where Ω is a symplectic form taming J .
- ▶ **Definition:** A *framed curve* is a triple (u, Σ, F) where $u : \Sigma \rightarrow M$ is a smooth map from a nodal curve to M representing β and $F = (f_0, \dots, f_d)$ is an orthonormal basis of $H^0(u^*L)$. We also require Ω to have positive degree on each irreducible component of Σ .
- ▶ Given any such framed curve, there is a natural degree d map

$$\phi_F : \Sigma \rightarrow \mathbb{C}\mathbb{P}^d, \quad \phi_F(\sigma) = [f_0(\sigma), \dots, f_d(\sigma)].$$

Framed Curves

- ▶ Therefore, the domains Σ of framed curves (u, Σ, F) are identified with the fibers of the universal curve \mathcal{C} over the automorphism free locus $\mathcal{F} \subset \mathcal{M}_{(0,0)}(\mathbb{C}\mathbb{P}^d, d)$.
- ▶ As a result, a framed curve is equivalent to a smooth map $u : \mathcal{C}|_x \rightarrow X$ from a fiber $\mathcal{C}|_x$ over $x \in \mathcal{F}$.
- ▶ There is a natural *Gromov topology* on the space of framed curves coming from the Hausdorff distance metric on the set of graphs of such curves, viewed as subsets of $M \times \mathcal{C}$.

- ▶ **Second problem:** The linearized Cauchy-Riemann equation is not surjective.
- ▶ To solve this, we need to find a natural vector space to surject onto the cokernel.
- ▶ Natural candidates are holomorphic sections of pullbacks of vector bundles over $X \times \mathcal{C}$.

- ▶ Choose large integer $k \gg 1$. And let \mathcal{L} be an ample line bundle on our universal curve \mathcal{C} .
- ▶ For each framed curve (u, Σ, F) let $\iota_F : \Sigma \hookrightarrow \mathcal{C}$ be the natural domain inclusion map.
- ▶ **Definition.** We will define the *thickened moduli space* \mathcal{T} to be the moduli space of tuples (u, Σ, F, η) where
 1. (u, Σ, F) is a framed curve.
 2. and $\eta \in H^0(\overline{\text{Hom}}(\iota_F^* TC, u^* TX) \otimes \iota_F^* \mathcal{L}^k) \otimes \overline{H^0(\iota_F^* \mathcal{L}^k)}$.

satisfying

$$\bar{\partial}_J u + \langle \eta \rangle \circ d\iota_F = 0$$

where $\langle \cdot, \cdot \rangle : H^0(\overline{\text{Hom}}(\iota_F^* TC, u^* TX) \otimes \iota_F^* \mathcal{L}^k) \otimes \overline{H^0(\iota_F^* \mathcal{L}^k)} \longrightarrow C^\infty(\iota_F^* TC, u^* TX)$ is the natural pairing.

- ▶ There is a bundle E over \mathcal{T} whose fiber over (u, Σ, F, η) is $H^0(\overline{\text{Hom}}(\iota_F^* TC, TX) \otimes \iota_F^* \mathcal{L}^k) \otimes \overline{H^0(\iota_F^* \mathcal{L}^k)}$.
- ▶ This bundle has a canonical section s sending (u, Σ, F, η) to η .
- ▶ There is also a natural $U(d+1)$ action on \mathcal{T} given by changing the framing F .
- ▶ $(U(d+1), \mathcal{T}, E, s)$ is our global Kuranishi chart.

- ▶ Hörmanders theorem can be used to show that $\langle \eta \rangle$ can approximate any dirac delta section of $\overline{\text{Hom}}(\iota_F^* TC, u^* TX)$.
- ▶ This then can be used to show that the fibers of E surject onto the cokernel of the linearized Cauchy-Riemann operator.
- ▶ This ensures that \mathcal{T} is a manifold.
- ▶ A 'Gromov trick' allows us to describe our moduli space as the space of holomorphic curves in a bundle over $X \times \mathcal{C}$ (i.e. we can get rid of obstruction bundles).
- ▶ G -equivariant smoothing theory of Lashof can be used to make \mathcal{T} smooth after 'stabilizing' our global Kuranishi chart.

Splitting of Complex Oriented Cohomology.

- ▶ We will now work in the category of spectra.
- ▶ Our generalized cohomology theory is equal to $H^*(X; \mathbb{E}) = \pi_*(F(X, \mathbb{E}))$ where $F(X, \mathbb{E})$ is the space of maps from X to a ring spectrum \mathbb{E} . Homology is $H_*(X; \mathbb{E}) := \pi_*(X \wedge \mathbb{E})$.

Sweepout map.

- ▶ Let

$$\Phi : S^1 \times X \longrightarrow X, \quad \Phi(t, x) = \phi(t)(x)$$

be our loop of Hamiltonian diffeomorphisms and let

$$pr_X : S^1 \times X \longrightarrow X, \quad pr_X(t, x) = x$$

be the projection map.

- ▶ Then since they agree on $1 \times X$, we have an induced map of spectra:

$$\eta_\phi = (\Phi - pr_X) : S^1 \wedge X_+ \wedge \mathbb{S} \longrightarrow X_+ \wedge \mathbb{S}$$

called the *stable sweepout map* where \mathbb{S} is the sphere spectrum.

- ▶ This induces the usual sweepout map on homology after smashing with \mathbb{E} .

Sweepout map continued.

- ▶ **Lemma:** There is a bijection between null homotopies of the map:

$$\eta_\phi \wedge \mathbb{E} : \mathcal{S}^1 \wedge X_+ \wedge \mathbb{S} \longrightarrow X_+ \wedge \mathbb{E}$$

and \mathbb{E} -module homotopies

$$(P_\phi)_+ \wedge \mathbb{E} \cong (X_+ \wedge \mathbb{E}) \vee (\Sigma^2 X_+ \wedge \mathbb{E}).$$

- ▶ It is therefore enough to show that the stable sweepout map vanishes in order to prove Theorem 2:
- ▶ Recall: **Theorem 2:**

$$H^*(P; \mathbb{E}) \cong H^*(X; \mathbb{E}) \otimes_{H^*(pt; \mathbb{E})} H^*(S^2; \mathbb{E})$$

for any complex oriented cohomology theory \mathbb{E} (such as complex cobordism).

Vanishing of stable sweepout map

- ▶ **Lemma:** If $\eta_\phi \wedge MU$ vanishes, then so does $\eta_\phi \wedge \mathbb{E}$ for any complex oriented cohomology theory \mathbb{E} .
- ▶ *Proof:* We have a map $\iota : MU \longrightarrow \mathbb{E}$ and so $\eta_\phi \wedge \mathbb{E} = (\text{id} \wedge \iota) \circ (\eta_\phi \wedge MU)$.
- ▶ **Lemma:** $\eta_\phi \wedge MU$ vanishes iff $\eta_\phi \wedge MU_{(p)}$ vanishes for each prime p .

- ▶ **Fact:** There is a p -local spectrum BP so that:
 1. the p -localization $MU_{(p)}$ is a finite wedge sum of copies of shifts of BP and
 2. the natural map $BP \rightarrow \prod_{n=1}^{\infty} L_{K_p(n)}BP$ into a product of localizations is the inclusion of a wedge summand.
- ▶ Therefore it is sufficient to show that $\eta_{\phi} \wedge L_{K_p(n)}BP$ vanishes.
- ▶ This follows from the fact that $\eta_{\phi} \wedge K_p(n)$ vanishes (using our moduli spaces of curves $\mathcal{M}_h, \mathcal{M}_{\phi}, \mathcal{M}_{\infty}$ as above).
- ▶ QED for Theorem 2.