Curvature in the Balance:

The Weyl Functional &

Scalar Curvature of 4-Manifolds

Claude LeBrun Stony Brook University

Séminaire d'Analyse Géométrique Université Paul Sabatier, 26 mai, 2025 On Riemannian n-manifold (M, g),

$$\mathcal{R}^{ab}{}_{cd} = W^{ab}{}_{cd} + \frac{4}{n-2} \mathring{r}^{[a}{}_{[c} \delta^{b]}_{d]} + \frac{2}{n(n-1)} s \delta^{a}{}_{[c} \delta^{b]}_{d]}$$

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 $W^a_{bcd}$  unchanged if  $g \rightsquigarrow \hat{g} = u^2 g$ .

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Proposition. Assume  $n \ge 4$ . Then  $(M^n, g)$  locally conformally flat  $\iff W \equiv 0$ .

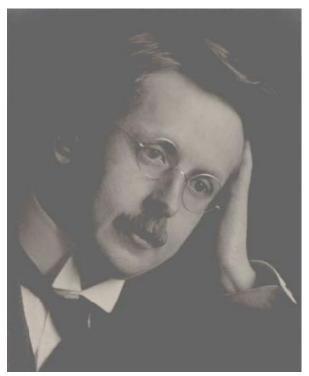
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now assumed to be compact,  $n \geq 4$ ,

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Basic problems: For given smooth compact M,

- What is  $\inf \mathcal{W}$ ?
- Do there exist minimizers?

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$$r = \lambda g \iff \mathring{r} = 0$$

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Of course, conformally Einstein good enough!

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since, for fixed CY on K3,  $\mathscr{W}(g) \propto \operatorname{Vol}(\mathbb{T}^{n-4})$ .

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Integrals give four scale-invariant functionals.

Four Basic Quadratic Curvature Functionals

#### Four Basic Quadratic Curvature Functionals

$$\mathcal{G}_{M} \longrightarrow \mathbb{R}$$

$$\begin{cases}
\int_{M} s^{2} d\mu_{g} \\
\int_{M} |\mathring{r}|^{2} d\mu_{g} \\
\int_{M} |W_{+}|^{2} d\mu_{g} \\
\int_{M} |W_{-}|^{2} d\mu_{g}
\end{cases}$$

Four Basic Quadratic Curvature Functionals

$$g \longmapsto \begin{cases} \int_{M} s^2 d\mu_g \\ \int_{M} |\mathring{r}|^2 d\mu_g \\ \int_{M} |W_{+}|^2 d\mu_g \\ \int_{M} |W_{-}|^2 d\mu_g \end{cases}$$

However, these are not independent!

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Euler characteristic

$$\chi(\mathbf{M}) = \frac{1}{8\pi^2} \int_{\mathbf{M}} \left( \frac{\mathbf{s}^2}{24} + |W_+|^2 + |W_-|^2 - \frac{|\mathring{\mathbf{r}}|^2}{2} \right) d\mu$$

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#### Signature

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left( |W_+|^2 - |W_-|^2 \right) d\mu$$

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 and  $\int_{M} |\mathring{r}|^2 d\mu_g$ .

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Einstein metrics are critical for both.

- $\therefore$  Einstein metrics critical  $\forall$  quadratic functionals!
- e.g. critical for Weyl functional

$$g \longmapsto \int_{M} |W|_{g}^{2} d\mu_{g}$$

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$$\mathscr{W}([g]) = -12\pi^2 \tau(M) + 2\int_M |W_+|^2 d\mu_g$$

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So  $\int |W_+|^2 d\mu$  equivalent to Weyl functional.

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Today's theme: How do these compare in size,

for specific classes of metrics on interesting 4-manifolds?

Suppose g Kähler metric on (M, J).

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Give M orientation determined by J.

$$\Lambda^{1,1} = \mathbb{R}\omega \oplus \Lambda^-$$

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$$W_{+} = \begin{pmatrix} -\frac{s}{12} \\ -\frac{s}{12} \\ \frac{s}{6} \end{pmatrix}$$

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$$|W_+|^2 = \frac{s^2}{24}$$

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More general Riemannian metrics?

**Theorem** (Gursky-L '99, Gursky '00). Let (M, g) be a compact oriented Einstein 4-manifold

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Excluded: Round  $S^4$ , Fubini-Study  $\overline{\mathbb{CP}}_2$ .

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$$\int_{M} |W_{+}|^{2} d\mu_{g} \geq \int_{M} \frac{s^{2}}{24} d\mu_{g}$$

**Theorem** (Gursky-L '99, Gursky '00). Let (M, g) be a compact oriented Einstein 4-manifold with s > 0 that is not an irreducible symmetric space. Then

$$\int_{M} |W_{+}|^{2} d\mu_{g} \geq \int_{M} \frac{s^{2}}{24} d\mu_{g}$$

with equality  $\Leftrightarrow$  g is locally Kähler-Einstein.

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Excluded: Del Pezzo Surfaces (10 diffeotypes)

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with equality  $\Leftrightarrow$  g is a Kähler-Einstein metric.

How are these results proved?

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Method: Weitzenböck formula for  $\delta W_+ = 0$ .

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$$\implies \exists \widehat{g} = u^{2}g \quad \text{s.t.} \quad \widehat{\mathfrak{s}} := \widehat{s} - 2\sqrt{6}|\widehat{W}_{+}| \le 0.$$

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- does not admit an Einstein metric with s > 0.

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Any complex surface M with  $b_1$  even carries both metrics with > and with <.

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Equivalent to

$$\frac{1}{4\pi^2} \int_{M} |W_{+}|^2 d\mu_g \stackrel{?}{\geq} \frac{1}{3} (2\chi + 3\tau)(M).$$

Since

$$W([g]) = -12\pi^2 \tau(M) + 2\int_M |W_+|^2 d\mu_g$$

this is really a question about  $inf \mathcal{W}$ .

For  $(M^4, g)$  compact oriented Riemannian,

Signature

$$\tau(\mathbf{M}) = \frac{1}{12\pi^2} \int_{\mathbf{M}} \left( |W_+|^2 - |W_-|^2 \right) d\mu$$

Here  $\tau(M) = b_{+}(M) - b_{-}(M)$  defined in terms of intersection pairing

$$H^{2}(M,\mathbb{R}) \times H^{2}(M,\mathbb{R}) \longrightarrow \mathbb{R}$$

$$( [\varphi], [\psi]) \longmapsto \int_{M} \varphi \wedge \psi$$

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$$+1$$
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 & \cdots \\
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\hline
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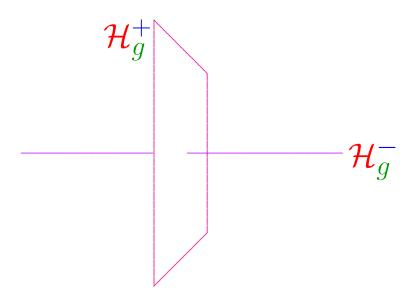
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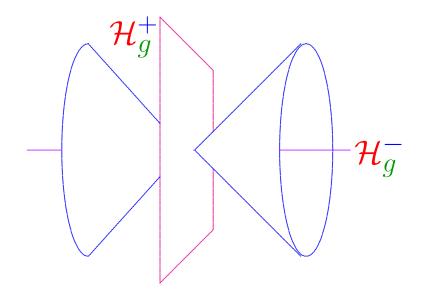
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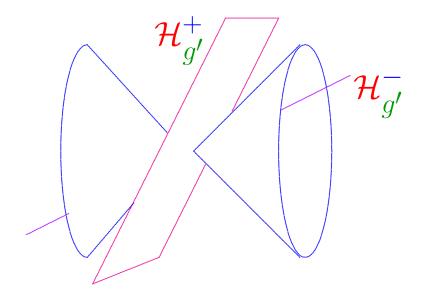
However, they are genuinely metric-dependent as soon as we allow for more general changes of g.



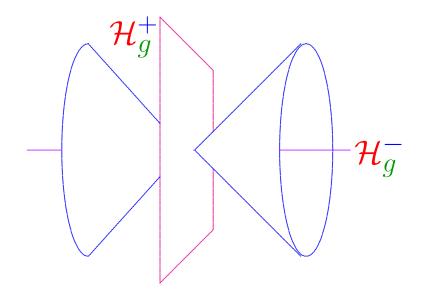
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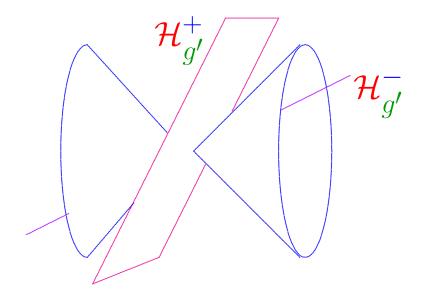
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Reversing orientation \simples

 $self-duality \longleftrightarrow anti-self-duality$ 

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Often using complex geometry, via twistor spaces...

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Context: 1978 paper building on Penrose '76.

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**Theorem** (Poon '86). Up conformal isometry, the Fubini-Study class is the unique self-dual conformal class on  $\mathbb{CP}_2$  with Y([g]) > 0.

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If g has s of fixed sign, agrees with sign of  $Y_{[g]}$ .

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Kuiper '49: .: Round  $S^4! \Rightarrow \Leftarrow$ 

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Kähler-Einstein, with  $\lambda > 0$ .

## Natural Generalization:

 $(M^4, J)$  for which  $c_1$  is a Kähler class  $[\omega]$ .

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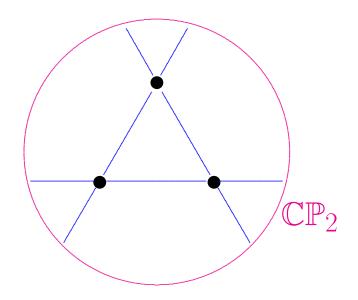
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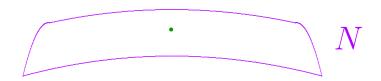
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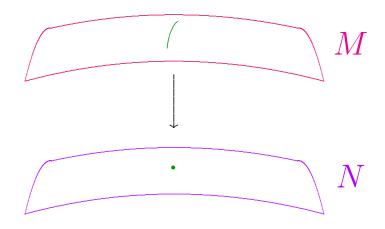
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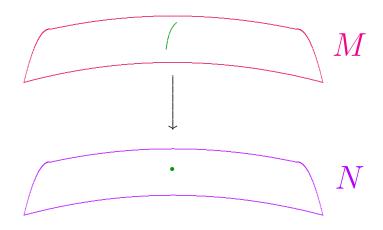


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If N is a complex surface, may replace  $p \in N$  with  $\mathbb{CP}_1$  to obtain blow-up

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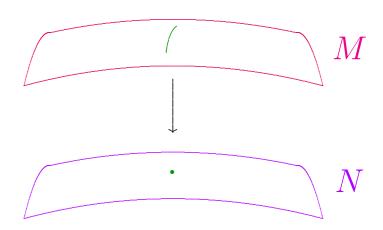


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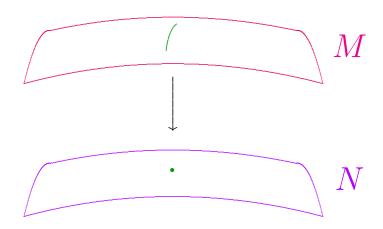
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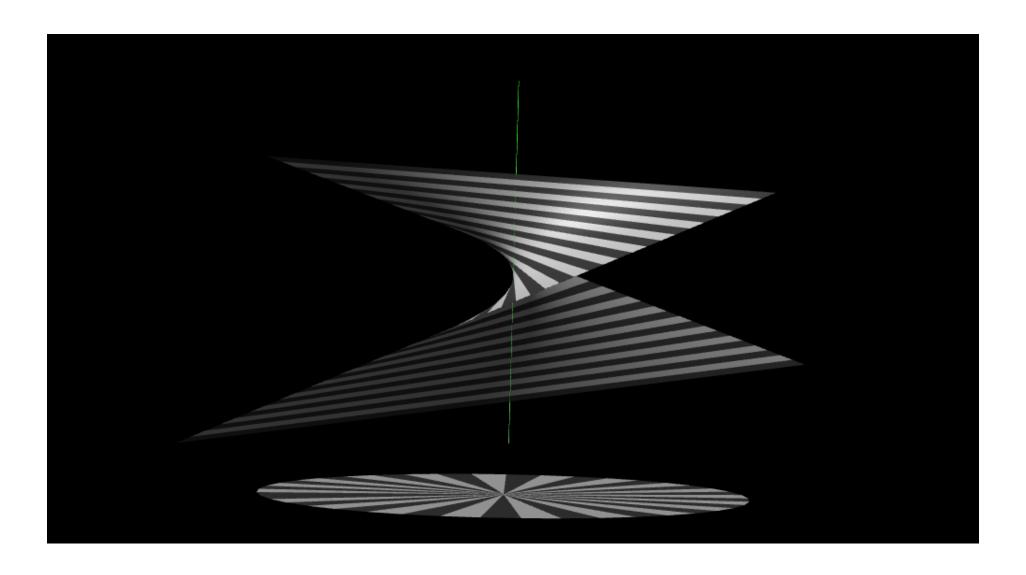
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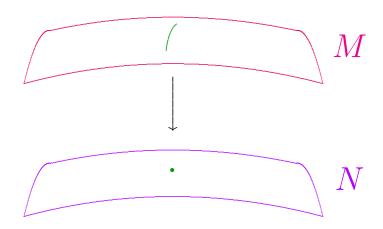
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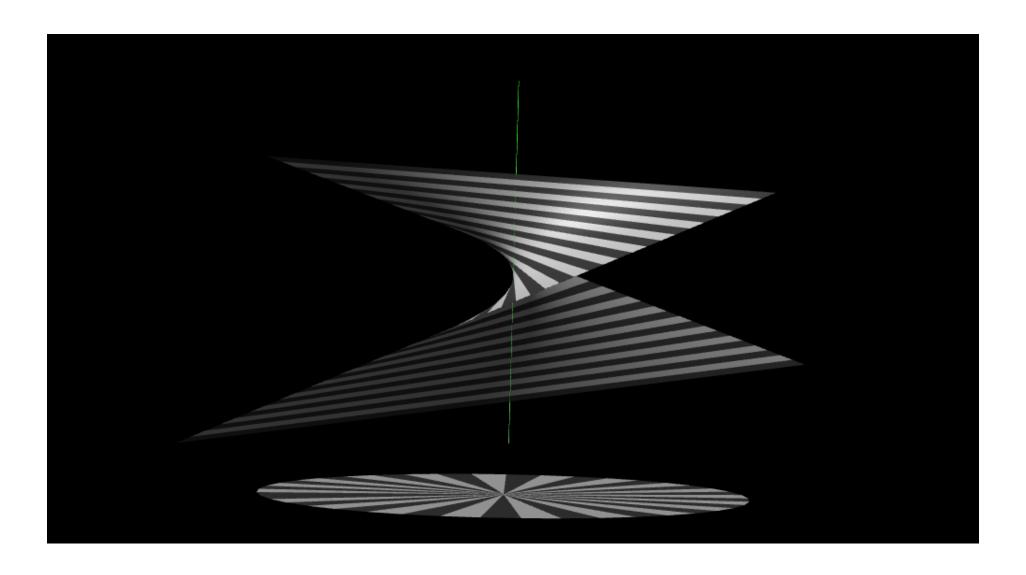




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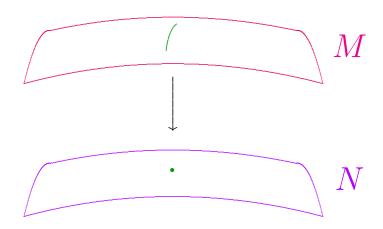
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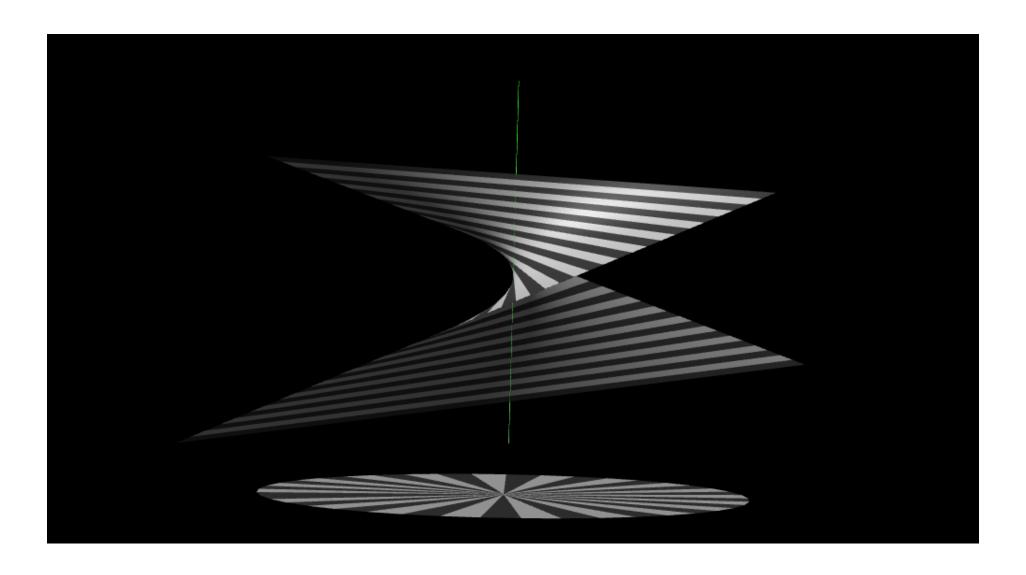




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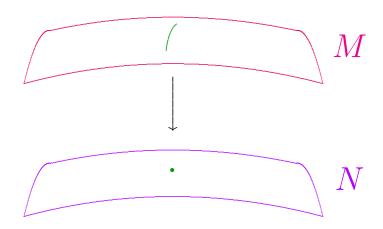
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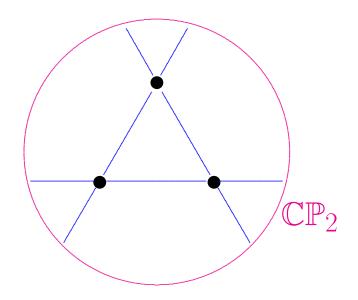
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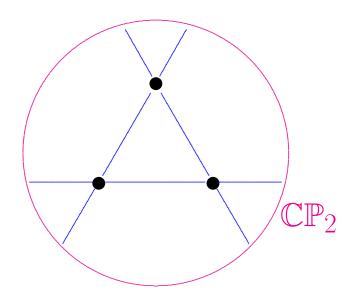
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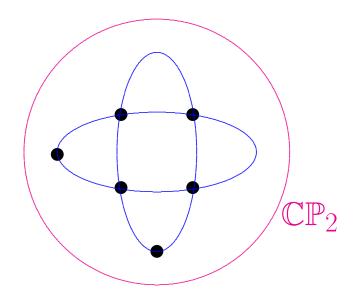
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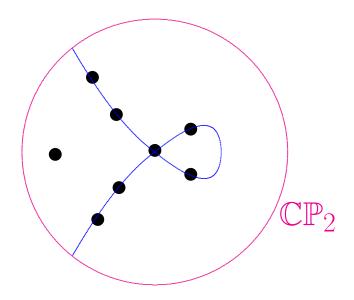
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Uniqueness: Bando-Mabuchi '87, L '12.

One reason this seems satisfying...

**Theorem** (CLW '08). Suppose that M is a smooth compact oriented 4-manifold which carries some symplectic form  $\omega$ .

$$\iff M \approx \begin{cases} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, & 0 \le k \le 8, \\ or \\ S^2 \times S^2 \end{cases}$$

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Theorem (CLW '08). Suppose that M is a smooth compact oriented 4-manifold which carries some symplectic form  $\omega$ . Then M admits an (unrelated) Einstein metric g with  $\lambda > 0$ 

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For known g, can take  $\omega$  related to g.

But this is not needed in above result.

## Osamu Kobayashi '86:

What about  $S^2 \times S^2$ ?

Conjecture (Kobayashi). The Kähler-Einstein product metric on  $S^2 \times S^2$  minimizes the Weyl functional  $\mathcal{W}$ .

Conjecture. On any del Pezzo surface  $(M^4, J)$ , conformally Kähler, Einstein metric minimizes the Weyl functional  $\mathcal{W}$ .

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Persuasive partial results.

But problem still not settled.

**Theorem** (Gursky '98). Let M be a smooth compact 4-manifold with  $b_{+}(M) \neq 0$ .

**Theorem** (Gursky '98). Let M be a smooth compact 4-manifold with  $b_{+}(M) \neq 0$ . Then any conformal class [g]

$$Y([g]) = \inf_{\widehat{g} = u^2 g} \frac{\int_{M} s_{\widehat{g}} d\mu_{\widehat{g}}}{\sqrt{\int_{M} d\mu_{\widehat{g}}}};$$

$$\int_{M} |W_{+}|^{2} d\mu \ge \frac{4\pi^{2}}{3} (2\chi + 3\tau)(M),$$

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In particular, any K-E g with s > 0 minimizes restriction of  $\mathcal{W}$  to s > 0 metrics.

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Big step in direction of Kobayashi's conjecture.

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Applies in much greater generality.

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But says nothing about Y([g]) < 0 realm.

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But says nothing about "most" conformal classes.

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Method: Weitzenböck formula

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Method: Weitzenböck formula

$$0 = \frac{1}{2}\Delta|\omega|^2 + |\nabla\omega|^2 - 2W_{+}(\omega, \omega) + \frac{s}{3}|\omega|^2$$

for self-dual harmonic 2-form  $\omega$ .

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$$\Longrightarrow \exists \widehat{g} = u^2 g \quad \text{s.t.} \quad \widehat{\mathfrak{s}} := \widehat{\mathfrak{s}} - 2\sqrt{6}|\widehat{W_+}| \le 0.$$

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Open condition in  $C^2$  topology on metrics.

(Harmonic forms depend continuously on metric.)

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This recovers Gursky's inequality — but for a different open set of conformal classes!

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Inequality not limited to the positive Yamabe realm!

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Same technique covers conformally Kähler, Einstein cases among classes with fixed  $T^2$  symmetry.

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with equality  $\Leftrightarrow$  [g] contains a Kähler-Einstein metric g.

Method: Almost-Kähler geometry:

$$\int_{M} \left[ \frac{2s}{3} + W_{+}(\omega, \omega) \right] d\mu = 4\pi c_{1} \bullet [\omega]$$

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This is apparently not an accident!

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What happens here in the Yamabe-negative realm?

## Theorem A.

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That is, Gursky's estimate fails for some s < 0 metrics once we "stabilize" by taking the connected sum with enough copies of  $S^2 \times S^2$ .

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Since a spin 4-manifold with s > 0 must have  $\tau = 0$ , we therefore just need the following two lemmas:

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In proof, we apply this to

$$M = (k + \ell)(X \# \overline{X}) \# (k + 2\ell)(S^2 \times S^2)$$

where X simply-connected minimal complex surface of general type with  $\tau(X) > 0$ .

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→ Miyaoka-Yau line! Can choose spin or non-spin!

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**Theorem A.** Let N be a smooth simply-connected compact 4-manifold that admits a metric  $g_0$  of scalar curvature  $s_{g_0} > 0$ . Then, for any sufficiently large integer  $m \geq m_0$ , the smooth simply connected 4-manifold

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#### Dessert course:

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#### Dessert course:

A final result involving these ideas.

**Theorem B.** If  $(M, g, \omega)$  is a compact almost-Kähler 4-manifold Theorem B. If  $(M, g, \omega)$  is a compact almost-Kähler 4-manifold such that  $\delta W_+ = 0$ ,

$$\int_{M} \frac{s^{2}}{24} d\mu_{g} \ge \int_{M} |W_{+}|^{2} d\mu_{g} ,$$

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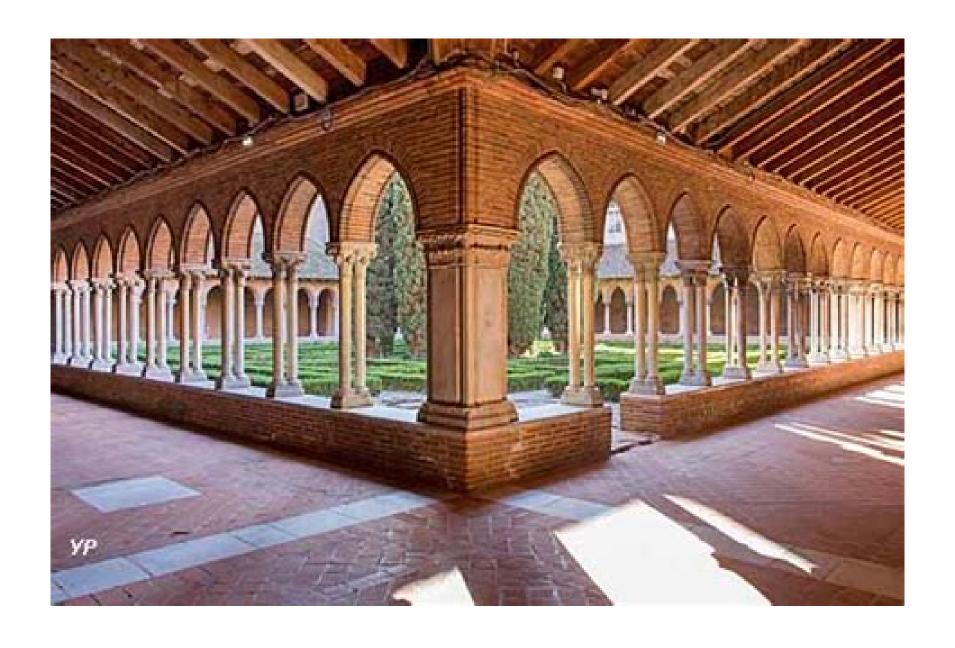
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again with equality  $\Leftrightarrow (M, g, \omega)$  is Kähler. In particular, any compact almost-Kähler 4-manifold  $(M, g, \omega)$  with  $\delta W_+ = 0$  and  $s \geq 0$  is Kähler.

### Merci de m'avoir invité!

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# Je suis ravi d'être ici!



# À la prochaine, j'espère!

