The Geometry of 4-Manifolds:

Curvature in the Balance

II

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On Riemannian *n*-manifold (M, g), $n \ge 4$,

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 $Riemann = Weyl \oplus trace-free Ricci \oplus scalar$

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$$\mathcal{R}^{ab}{}_{cd} = W^{ab}{}_{cd} + \frac{4}{n-2} \mathring{r}^{[a}{}_{[c} \delta^{b]}_{d]} + \frac{2}{n(n-1)} s \delta^{a}{}_{[c} \delta^{b]}_{d]}$$

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But $\exists u$ such that $\hat{r} = 0$ at any given $p \in M$.

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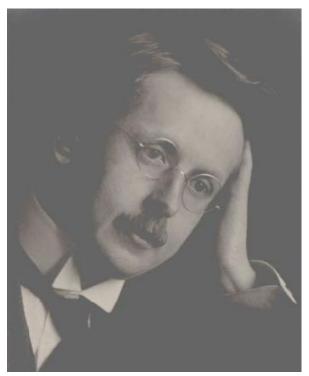
Proposition. Assume $n \ge 4$. Then (M^n, g) locally conformally flat $\iff W \equiv 0$.

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Cotton tensor $C = \nabla \wedge (\mathring{r} - \frac{s}{12}g)$ obstruction.

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For metrics on fixed M^n ,

 $\mathscr{W}:\mathcal{G}_M\longrightarrow\mathbb{R}$

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- Can we classify them?

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Of course, conformally Einstein good enough!

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when $\ell > 0$, because $\mathscr{W} \propto \operatorname{Vol}(T^{\ell})!$

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No! Anti-self-dual 4-manifolds: $\Leftrightarrow W = W_{-}$.

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What? Why?

What's So Special About Dimension Four?

On oriented
$$(M^4, g)$$
, \Longrightarrow
 $\Lambda^2 = \Lambda^+ \oplus \Lambda^-$

Riemann curvature of g

$$\mathcal{R}: \Lambda^2 \to \Lambda^2$$

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splits into 4 irreducible pieces:

$$\Lambda^{+*} \qquad \Lambda^{-*}$$

$$\Lambda^{+} \qquad W_{+} + \frac{s}{12} \qquad \mathring{r}$$

$$\Lambda^{-} \qquad \mathring{r} \qquad W_{-} + \frac{s}{12}$$

Riemann curvature of g

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because $\nabla J = 0$.

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$$W_{+} = \begin{pmatrix} -\frac{s}{12} \\ -\frac{s}{12} \\ \frac{s}{6} \end{pmatrix}$$

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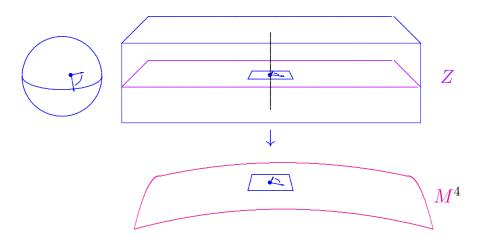
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Violate Hitchin-Thorpe, so $\not\equiv$ Einstein on such M.

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L-Singer '93, Kim-L-Pontecorovo '97 Any rational/ruled (M, J) has blow-ups admitting SFK.

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$$f: \mathbf{M} \to \mathbb{R}$$
 with $df \neq 0$ along $f^{-1}(0) \neq \emptyset$.

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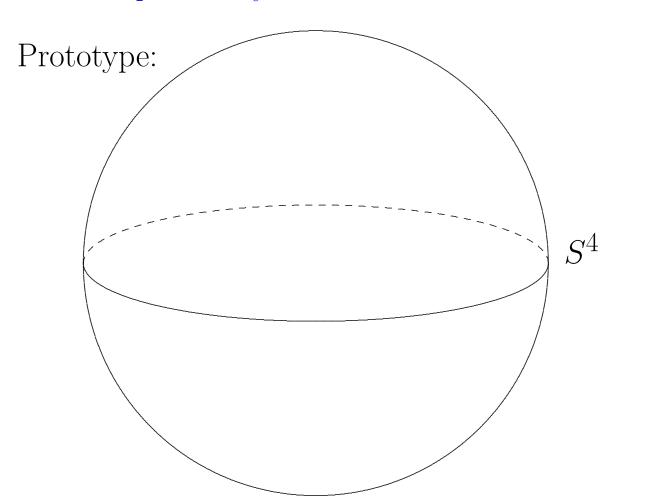
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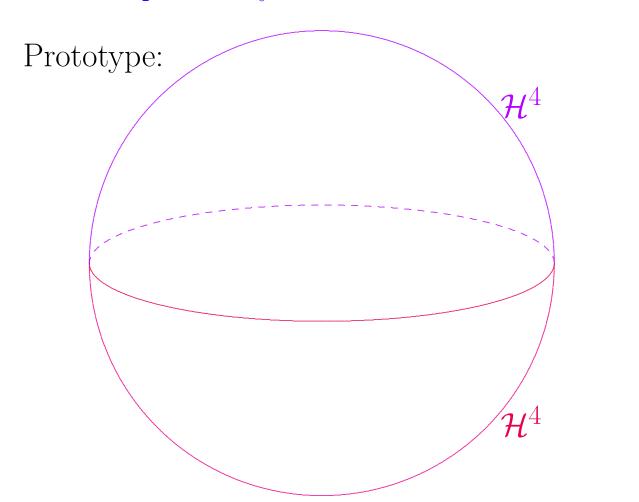
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 S^4 is also Einstein, ASD.

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This prototype is rather degenerate.

But \exists genuine examples that aren't.

Open Problem:

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Every Bach-flat 4-manifold one of these three types?

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But no compact counter-examples are known!

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 $\nabla^{1,0}s$ is a holomorphic vector field.

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 $\operatorname{Hess}(s) := \nabla ds$ is *J*-invariant.

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X.X. Chen: always minimizers.

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Donaldson et al: unique modulo bihomorphisms.

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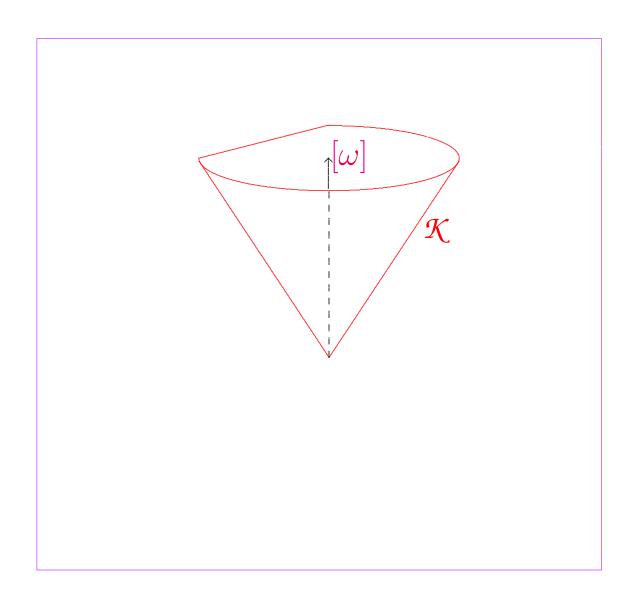
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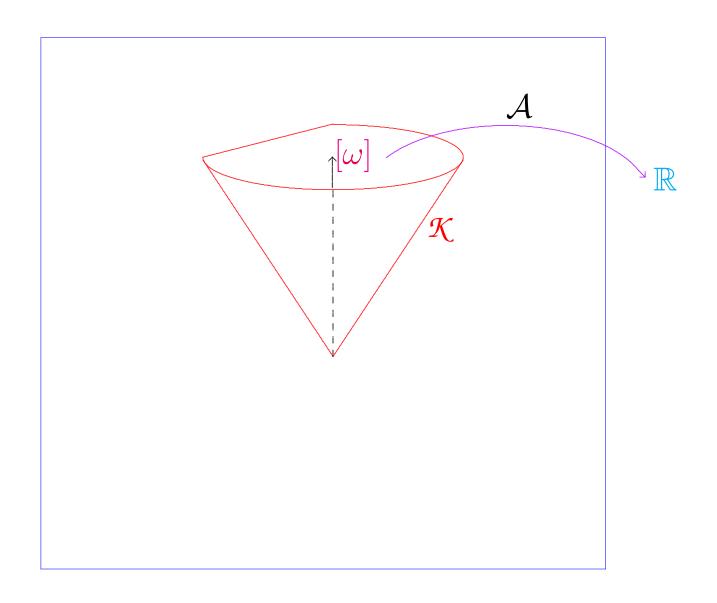
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For any extremal Kähler (M^4, g, J) ,

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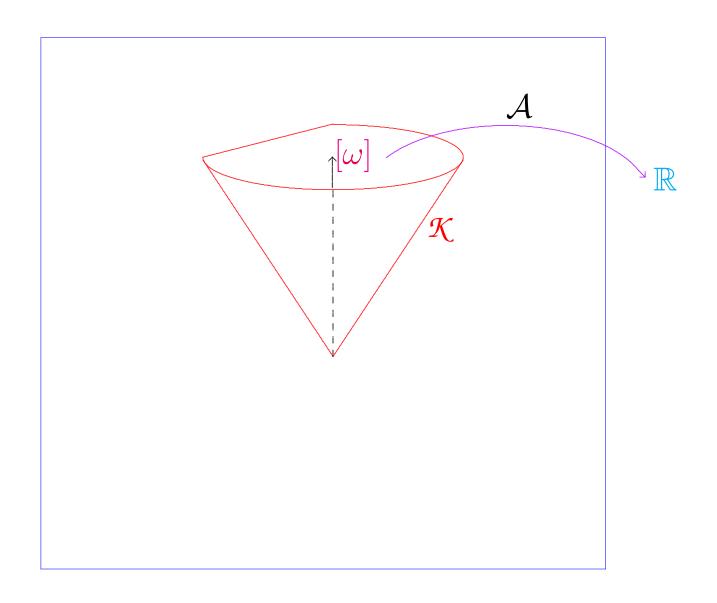
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If **not** Kähler-Einstein:

I. s is positive. Then

$$(M, s^{-2}g)$$
 Einstein, $\lambda > 0$, $Hol = SO(4)$.

- II. s is zero. Then (M, g, J) SFK, but not Ricci-flat.
- III. s changes sign. Then

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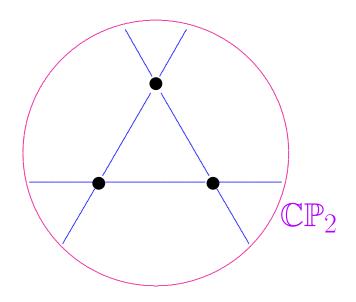
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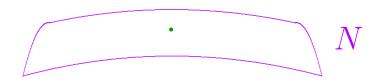
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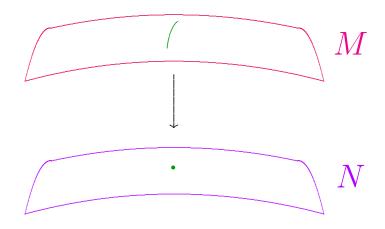
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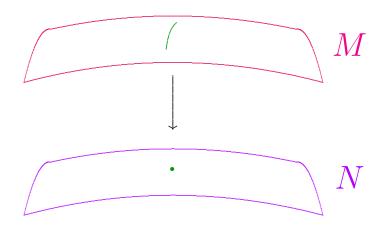


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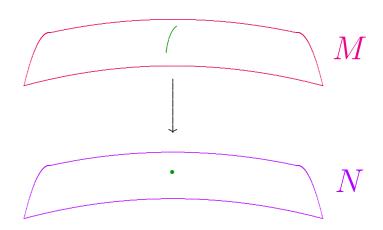


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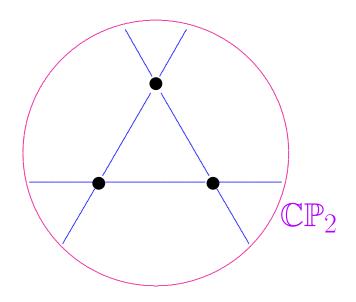
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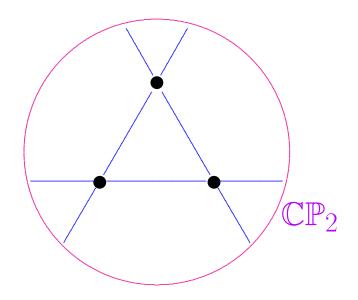
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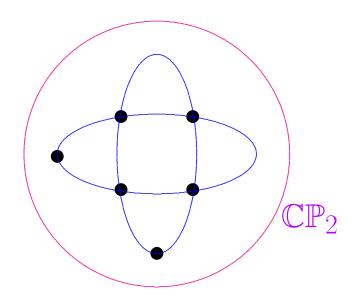
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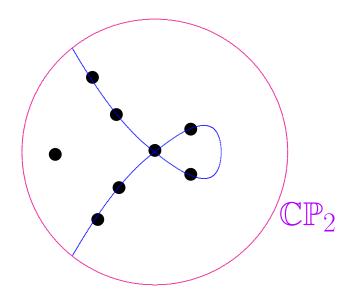
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Theorem. Each del Pezzo (M^4, J) admits a J-compatible conformally Kähler, Einstein metric, and this metric is unique up to complex automorphisms and constant rescalings.

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Uniqueness: Bando-Mabuchi '87, L '12.

One reason this seems satisfying...

Theorem (CLW '08). Suppose that M is a smooth compact oriented 4-manifold which carries some symplectic form ω .

$$\iff M \approx \begin{cases} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, & 0 \le k \le 8, \\ or \\ S^2 \times S^2 \end{cases}$$

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But this is not needed in above result.

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(a)
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Kodaira Classification

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Given (M^{2m}, J) compact complex m-manifold

$$\operatorname{Kod}(M) = \limsup_{\ell \to +\infty} \frac{\log \dim \Gamma(M, \mathcal{O}(K^{\otimes \ell}))}{\log \ell}$$

where $K = \Lambda^{m,0}$ is canonical line bundle.

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Convention: $\dim \varnothing := -\infty$.

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- III. $\min s < 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda < 0$; or else
 - (b) $(M, s^{-2}g)$ double Poincaré-Einstein. Here, s = 0 defines smooth connected \mathbb{Z}^3 , and $M \mathbb{Z}$ has exactly two components.

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$$(a) \Longrightarrow \operatorname{Kod}(M, J) = 2.$$

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Examples of (b): Hwang-Simanca, Tønnesen-Friedman

A few words about the proof...

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

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Lemma. Suppose (M^4, g, J) Bach-flat Kähler, with s non-constant.

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Lemma. Suppose (M^4, g, J) Bach-flat Kähler, with s non-constant. Then $s: M \to \mathbb{R}$ is a Morse-Bott function, with critical submanifolds either complex curves, or isolated points.

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$$(\nabla \mathbf{s}) \Big|_{p} = 0 \implies (\nabla \nabla \mathbf{s}) \Big|_{p} \neq 0,$$

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 $\Delta s \neq 0$ at min s and max s.

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$$0 = s\mathring{r} + 2 \text{Hess}_0(s).$$

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On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

so Bach-flat $\Longrightarrow g$ extremal and

$$0 = s\mathring{r} + 2\text{Hess}_0(s).$$

On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

Define

$$\kappa := -6s\Delta s - 12|\nabla s|^2 + s^3,$$

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where $\Delta = -\nabla^a \nabla_a$.

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$$\kappa := -6s\Delta s - 12|\nabla s|^2 + s^3.$$

Lemma. The function κ is constant,

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

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On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

Define

$$\kappa := -6s\Delta s - 12|\nabla s|^2 + s^3.$$

Lemma. The function κ is constant, and has the same sign (+, -, 0) as min s.

Obvious if s constant.

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

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On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

Define

$$\kappa := -6s\Delta s - 12|\nabla s|^2 + s^3.$$

Otherwise
$$\kappa = (s^2 - 6\Delta s)s$$
 at min s.

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

so Bach-flat $\Longrightarrow g$ extremal and

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On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

Define

$$\kappa := -6s\Delta s - 12|\nabla s|^2 + s^3.$$

Otherwise
$$\kappa = (+)s$$
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On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

Define

$$\kappa := -6s\Delta s - 12|\nabla s|^2 + s^3.$$

Lemma. The function κ is constant, and has the same sign (+, -, 0) as min s. On set where $s \neq 0$, the constant $\kappa = scalar$ curvature of $s^{-2}g$.

Same as saying that $\kappa = 0$.

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Want to show that *s* is constant.

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$$0 = s\mathring{r} + 2 \text{Hess}_0(s).$$

$$s(p) = 0 \implies \operatorname{Hess}_0(s)\Big|_p = 0.$$

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$$0 = s\mathring{r} + 2 \text{Hess}_0(s).$$

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If not, s = 0 only at finite set.

 $W_{+} \neq 0$ everywhere else.

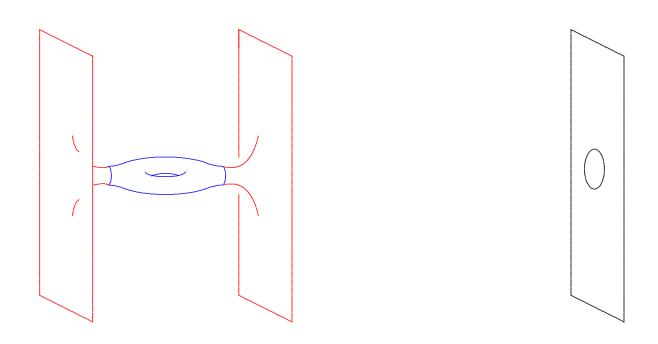
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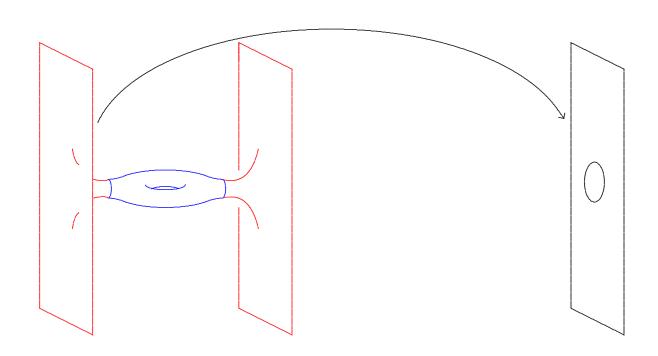
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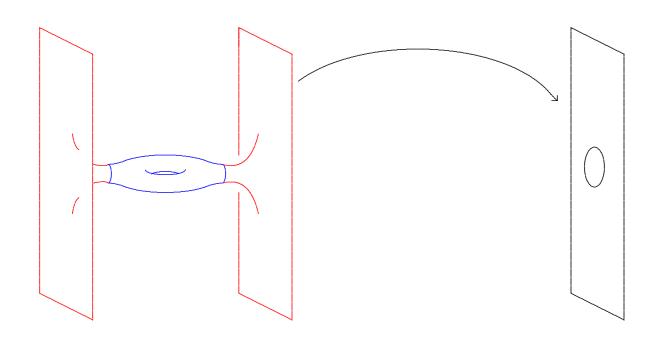
 $h = s^{-2}g$ is Ricci-flat, asymptotically Euclidean.



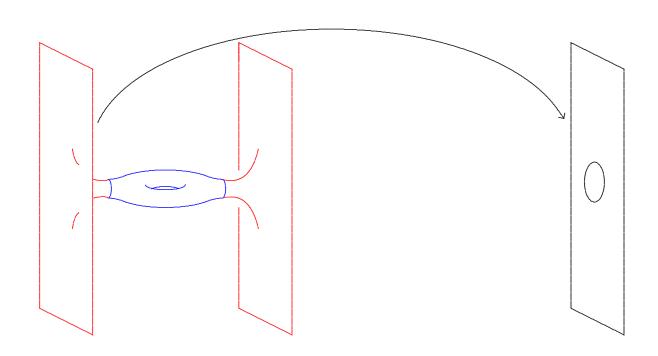
$$g_{jk} = \delta_{jk} + O(|x|^{1 - \frac{n}{2} - \varepsilon})$$
$$g_{jk,\ell} = O(|x|^{-\frac{n}{2} - \varepsilon}), \quad \mathbf{s} \in L^1$$



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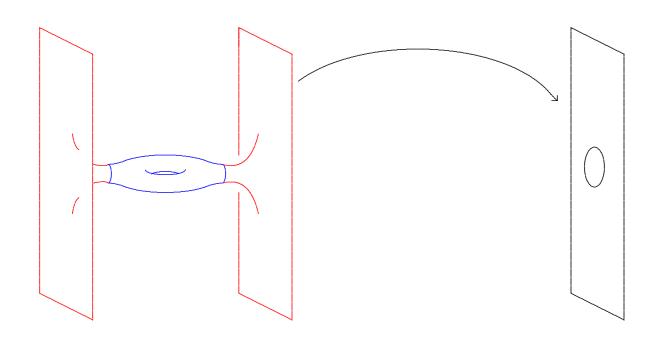


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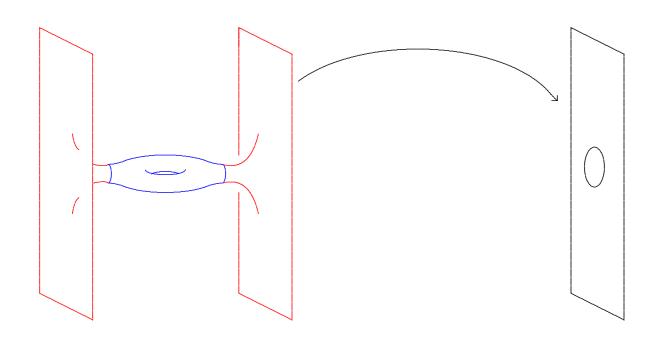
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Definition. A complete, non-compact Riemannian n-manifold (M^n, g) is called asymptotically Euclidean (AE) if there is a compact set $K \subset M$ such that each component of M-K is diffeomorphic to \mathbb{R}^n-D^n in such a manner that



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Definition. A complete, non-compact Riemannian n-manifold (M^n, g) is called asymptotically Euclidean (AE) if there is a compact set $K \subset M$ such that each "end" is diffeomorphic to $\mathbb{R}^n - D^n$ in such a manner that



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Positive mass theorem

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Ricci-flat *h* must be flat!

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Contradiction! So $s \equiv 0$.

Theorem. Let (M^4, g, J) be compact connected Bach-flat Kähler surface. Then exactly one holds:

- I. $\min s > 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda > 0$; or else
 - (b) $(M, s^{-2}g)$ *Einstein*, $\lambda > 0$, Hol = SO(4).
- II. $s \equiv 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda = 0$; or else
 - (b) (M, g, J) anti-self-dual, but not Einstein.
- III. $\min s < 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda < 0$; or else
 - (b) $(M, s^{-2}g)$ double Poincaré-Einstein. Here, s = 0 defines smooth connected \mathcal{Z}^3 , and $M \mathcal{Z}$ has exactly two components.

If not Kähler-Einstein,

 $\min s < 0 \Longrightarrow$

 $(M, s^{-2}g)$ double Poincaré-Einstein. Here, s = 0 defines smooth connected \mathbb{Z}^3 , and $M - \mathbb{Z}$ has exactly two components.

Thus $\max s \ge 0$.

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Hence $\max s > 0$, and s = 0 smooth hypersurface.

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$$s:M\to\mathbb{R}$$

Morse-Bott without critical manifolds of odd index

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 \implies regions s < 0 and s > 0 are both connected.

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Morse-Bott without critical manifolds of odd index

 \implies regions s < 0 and s > 0 are both connected.

Similarly, hypersurface s = 0 connected, too.

Theorem. Let (M^4, g, J) be compact connected Bach-flat Kähler surface. Then exactly one holds:

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Known double-Poincaré-Einstein examples

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So all have $\tau(M) = 0$.

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Orientation-reversing conformal isometry.

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Is this true for some a priori reason?

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A version of Alice's uniqueness of fill-in question!

End, Part II