Curvature Functionals,

Kähler Metrics, &

the Geometry of 4-Manifolds IV

Claude LeBrun Stony Brook University

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Our Focus. If (M^4, J) is a compact complex surface, when does M^4 admit an Einstein metric g (unrelated to J)?

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$$\mathbf{h} = \sum_{j,k=1}^{m} \mathbf{h}_{j\bar{k}} \left[dz^{j} \otimes d\bar{z}^{k} + d\bar{z}^{k} \otimes dz^{j} \right]$$

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where $[h_{j\bar{k}}]$ Hermitian matrix at each point.

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$$\iff$$
 locally, $\exists f \ s.t. \ h_{j\bar{k}} = \frac{\partial^2 f}{\partial z^j \partial \bar{z}^k}$

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If $d\omega = 0$, (M^{2m}, h, J) is called Kähler, and ω called the Kähler form, while $[\omega] \in H^2(M, \mathbb{R})$ called the Kähler class. Narrower Question. When does a compact complex surface (M^4, J) admit an Einstein metric h which is Hermitian, in the sense that

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Kähler if the 2-form

$$\omega = h(J \cdot, \cdot)$$

is closed:

$$d\omega = 0.$$

But we do not assume this!

More precisely, \exists such g with Einstein constant $\lambda \iff$ there is a Kähler form ω such that $c_1(M^4, J) = \lambda[\omega].$

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Only two metrics arise in non-Kähler case!

Corollary. The non-spin 4-manifolds

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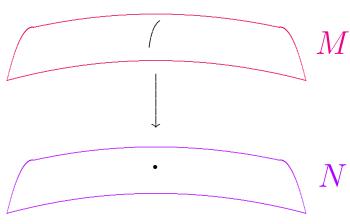
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$$M \approx N \# \overline{\mathbb{CP}}_2$$

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in which new \mathbb{CP}_1 has self-intersection -1.

Theorem. Suppose that M is a smooth compact oriented 4-manifold which admits a complex structure J.

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Similarly when M symplectic instead of complex.

Theorem (Aubin/Yau). Compact complex manifold (M^{2m}, J) admits compatible Kähler-Einstein metric with $s < 0 \iff c_1 < 0$.

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Remark. When n = 2m = 4, such M are necessarily minimal complex surfaces of general type. Among such surfaces, exactly those s.t.

$$\nexists \mathbb{CP}_1 \stackrel{\mathcal{O}}{\hookrightarrow} M$$

of homological self-intersection -2.

Theorem (Yau). A compact complex manifold of Kähler type (M^{2m}, J) admits compatible Kähler-Einstein metric with $s = 0 \iff c_1 = 0$.

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K3 admits Ricci-flat Kähler metrics.

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 (M^4, J) for which c_1 is a Kähler class $[\omega]$. Fano manifolds of complex dimension 2.

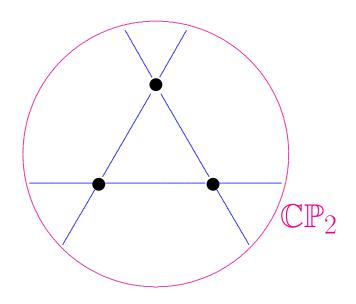
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Exceptions: \mathbb{CP}_2 blown up at 1 or 2 points.

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(M, J, g) compact K-E \Longrightarrow Aut(M, J) reductive.

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Since $\mathbb{CP}_2 \# \overline{\mathbb{CP}_2}$ and $\mathbb{CP}_2 \# 2 \overline{\mathbb{CP}_2}$ have non-reductive automorphism groups, no K-E metrics.

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Note both of above Einstein metrics are Hermitian.

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among all Kähler metrics g.

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Einstein metric is $h = s^{-2}g$.

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Will describe a second proof (L '12) which contains much more information.

Theorem A. There is a conformally Kähler, Einstein metric h on $M = \mathbb{CP}_2 \# 2\overline{\mathbb{CP}}_2$ **Theorem A.** There is a conformally Kähler, Einstein metric h on $M = \mathbb{CP}_2 \# 2\overline{\mathbb{CP}_2}$ for which the conformally related Kähler g minimizes the functional

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among all Kähler metrics on M. Consequently, h is an absolute minimizer of the functional

$$h \longmapsto \int_{M} |W|_{h}^{2} d\mu_{h}.$$

among all conformally Kähler metrics on M.

Theorem B. This minimizing Kähler metric g on $\mathbb{CP}_2\#2\overline{\mathbb{CP}_2}$ is conformal to an Einstein metric.

$$[0,1)\ni t\longmapsto g_t$$

of extremal Kähler metrics on $\mathbb{CP}_2\#3\overline{\mathbb{CP}_2}$

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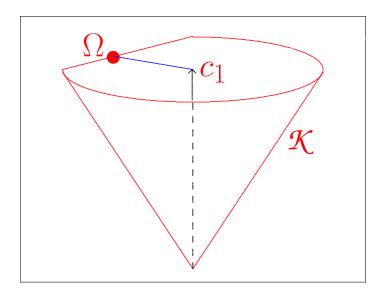
of extremal Kähler metrics on $\mathbb{CP}_2\#3\overline{\mathbb{CP}_2}$ s.t.

• g_0 is Kähler-Einstein,

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of extremal Kähler metrics on $\mathbb{CP}_2\#3\mathbb{CP}_2$ s.t.

- g_0 is Kähler-Einstein, and such that
- $g_{t_j} \rightarrow g$ in the Gromov-Hausdorff sense



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- $g_{t_j} \rightarrow g$ in the Gromov-Hausdorff sense for some $t_j \nearrow 1$.

Similarly for $\mathbb{CP}_2 \# \overline{\mathbb{CP}}_2$, though less interesting...

Extremal Kähler metrics = critical points of

$$g\mapsto \int_{M} s^2 d\mu_g$$

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where $g = g_{\omega}$ for J and $[\omega] \in H^2(M, \mathbb{R})$ fixed.

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X.X. Chen: always minimizers.

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Donaldson/Mabuchi/Chen-Tian: unique modulo bihomorphisms.

Riemann curvature of g

$$\mathcal{R}: \Lambda^2 \to \Lambda^2$$

splits into 4 irreducible pieces:

$$\Lambda^{+*} \qquad \Lambda^{-*}$$

$$\Lambda^{+} \qquad W_{+} + \frac{s}{12} \qquad \mathring{r}$$

$$\Lambda^{-} \qquad \mathring{r} \qquad W_{-} + \frac{s}{12}$$

where

s = scalar curvature

 \mathring{r} = trace-free Ricci curvature

 $W_{+} = \text{self-dual Weyl curvature } (conformally invariant)$

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$$\mathcal{W}_{+}(g) = 2 \int_{M} |W_{+}|_{g}^{2} d\mu_{g}.$$

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Conformally Einstein $\implies B = 0$

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$$|W_+|^2 = \frac{s^2}{24}$$

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$$\int |W_+|^2 d\mu = \int \frac{s^2}{24} d\mu$$

so any critical point of restriction must be extremal in sense of Calabi.

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In fact, for Kähler metrics,

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

where Hess_0 denotes trace-free part of $\nabla \nabla$.

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Lemma. If g is a Kähler metric on a complex surface (M^4, J) , the following are equivalent:

• g is an extremal Kähler metric;

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- g is an extremal Kähler metric;
- $\bullet B = B(J \cdot, J \cdot);$
- $\psi = B(J \cdot, \cdot)$ is a closed 2-form;
- $g_t = g + tB$ is Kähler metric for small t.

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So the critical metrics of restriction of \mathcal{W}_+ to $\{K\ddot{a}hler\ metrics\}$ are Bach-flat Kähler metrics.

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Lemma. For any extremal Kähler g on any Del Pezzo M, scalar curvature s > 0 everywhere.

Any Kähler (M^4, g, J) satisfies

$$\frac{1}{32\pi^2} \int s^2 d\mu_g \ge \mathcal{A}([\omega])$$

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$$\mathcal{A}([\omega]) := \frac{(c_1 \cdot [\omega])^2}{[\omega]^2} + \frac{1}{32\pi^2} \|\mathcal{F}_{[\omega]}\|^2$$

where \mathcal{F} is Futaki invariant.

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Lemma. For all $[\omega]$ on any Del Pezzo M,

$$\mathcal{B}([\boldsymbol{\omega}]) < \frac{1}{4}$$

Theorem 1. Let $M = \mathbb{CP}_2 \# 2\overline{\mathbb{CP}}_2$ be the blow-up of \mathbb{CP}_2 at two distinct points,

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Then there is an extremal Kähler metric g on M with Kähler form $\omega \in [\omega]$.

Theorem 2. Let $M = \mathbb{CP}_2 \# 3\overline{\mathbb{CP}}_2$ be the blow-up of \mathbb{CP}_2 at three non-collinear points, and let

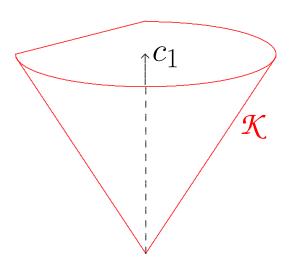
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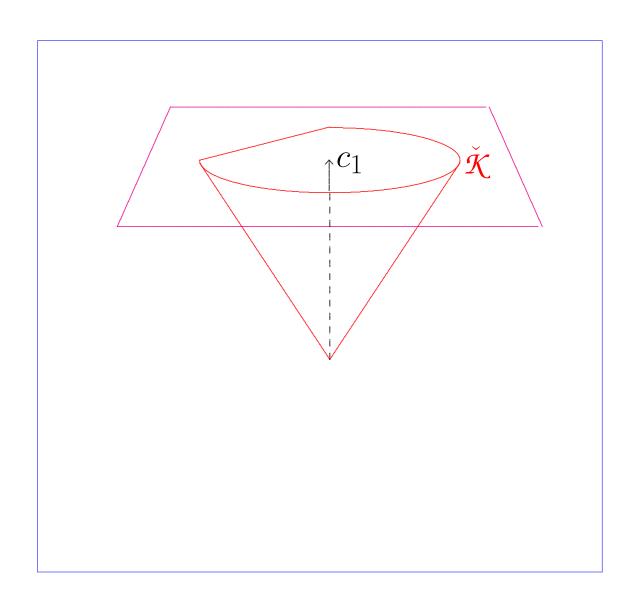
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$$H^{1,1}(M,\mathbb{R}) = H^2(M,\mathbb{R})$$

$$\uparrow c_1$$

$$\mathcal{T}([\omega]) = \frac{(c_1 \cdot [\omega])^2}{[\omega]^2} \le const$$



$$\check{\mathcal{K}}=\mathcal{K}/\mathbb{R}^+$$

Next time:

• Prove existence of these extremal Kähler metrics;

• Use it to prove existence of Einstein metrics; and

• discuss uniqueness of Einstein metrics.

End, Part IV