The Einstein-Weyl Equations,

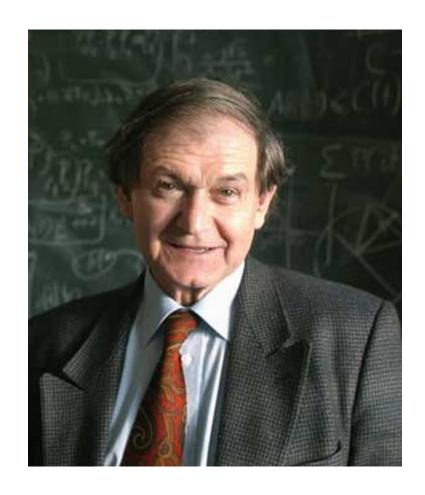
Scattering Maps, and

Holomorphic Disks

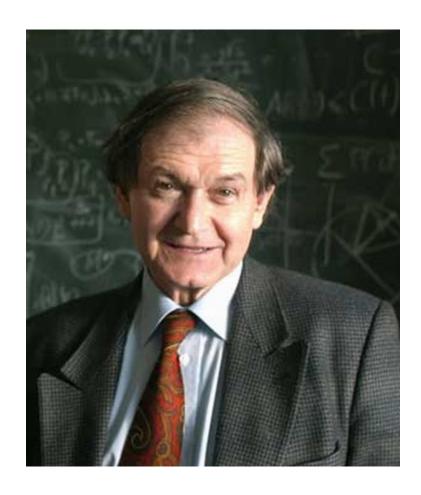
Claude LeBrun Stony Brook University

Oxford, September 13, 2013

# For Roger Penrose

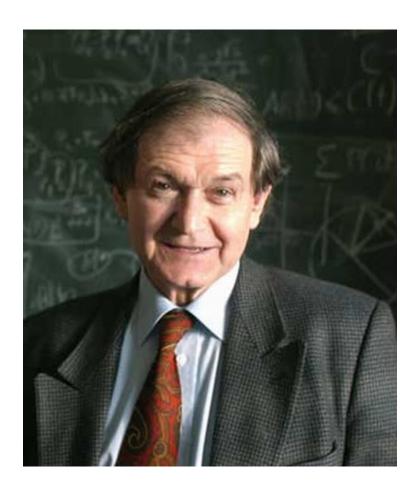


For Roger Penrose



who discovered so many remarkable links

## For Roger Penrose



who discovered so many remarkable links between complex manifolds and space-time geometry;

and Paul Tod



## and Paul Tod



whose results revealed

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whose results revealed key features of the Einstein-Weyl equations.

Will explore a "toy model" of cosmology,

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- Equation is hyperbolic;

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But —

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- Equation is hyperbolic;
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- Conformal infinity plays central role;
- Global considerations play dominant role.

But — story takes place in (2 + 1)-dimensions!

Lionel Mason University of Oxford

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Main references:

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• The Einstein-Weyl Equations, Scattering Maps, and Holomorphic Disks, Math. Res. Lett. 16 (2009) 291–301.

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- Zoll Metrics, Branched Covers, and Holomorphic Disks, Comm. An. Geom. 18 (2010) 475–502.

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∇ compatible torsion-free connection

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 conformal class of metrics

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$$\nabla_v g \propto g \quad \forall v$$

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$$\nabla g = \alpha \otimes g$$

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### General Weyl connection:

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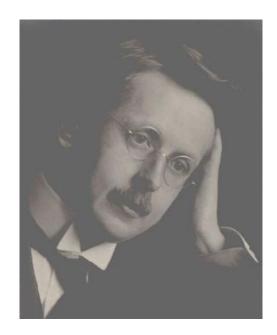
$$\alpha = -2\nu$$

Induced connection on  $(\Lambda^n)^*$  has curvature

$$F = n \ d\nu$$

where  $n = \dim M$ .

#### Hermann Weyl



$$\mathfrak{B} = (\mathfrak{G} + \alpha \mathfrak{I}) + \frac{\varepsilon^2}{4} V_{\overline{g}} \{ \mathfrak{I} - \mathfrak{J} (\varphi_i \varphi^i) \},$$

$$\Gamma_{ik}^r = \begin{Bmatrix} ik \\ r \end{Bmatrix} + \frac{\mathfrak{I}}{2} \varepsilon^2 (\delta_i^r \varphi_k + \delta_k^r \varphi_i - g_{ik} \varphi^r).$$

Unter Vernachlässigung der winzigen kosmologischen Terme erhalten wir hier also genau die klassische Maxwell-Einsteinsche Theorie der Elektrizität und Gravitation. Um Übereinstimmung mit den in § 34 verwendeten

$$r_{jk} = \mathcal{R}^{\ell}_{j\ell k}$$

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for some function f.

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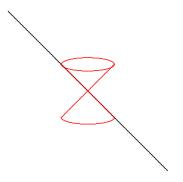
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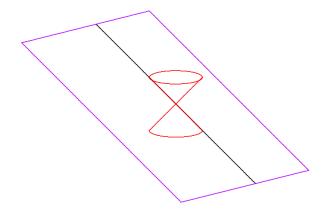
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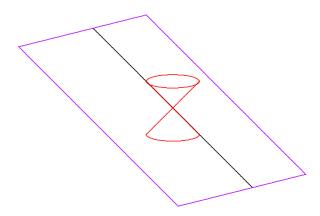
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Equations  $\iff \exists$  totally geodesic null surfaces.

# Élie Cartan



$$\left\{ egin{aligned} \left[ \, \omega_1 \Omega_{23} \, 
ight] &= \left[ \, \omega_2 \Omega_{31} \, 
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Théorème. — Les espaces de Weyl à trois dimensions qui admettent  $\infty^2$  plans isotropes dépendent essentiellement de quatre fonctions arbitraires de deux arguments.

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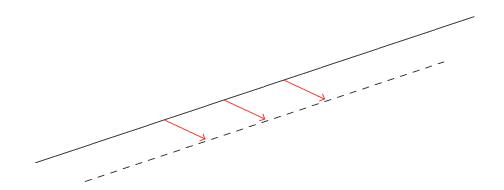
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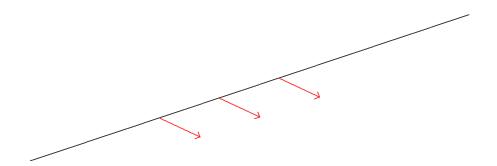


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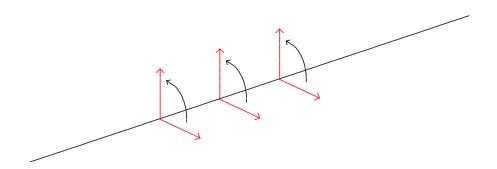


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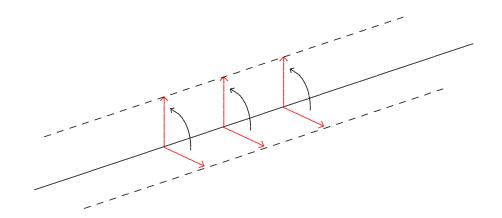


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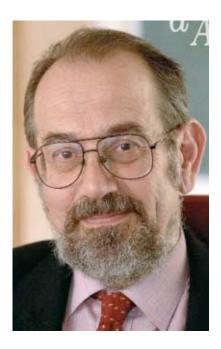
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### Nigel Hitchin



and so if

$$R(U \times V, U)U = U \times R(V, U)U, \qquad (2.2)$$

then we can define a linear map

$$J(V) = U \times V \tag{2.3}$$

which satisfies

$$J^{2}(V) = U \times (U \times V) = (U, V)U - (U, U)V = -V$$

We thus have a real complex surface G with a family of real lines of self-intersection number 2. It can be shown that any such surface may be obtained by the above geodesic construction, but using a Weyl structure rather than a Riemannian structure. The integrability condition (2.2) is then the analogue of Einstein's equations  $(R_{(ij)} = Ag_{ij})$  for the Weyl structure (see [10]). This is the

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This talk:

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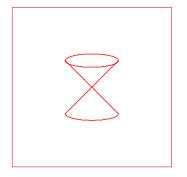
$$\psi: \mathbb{CP}_1 \to \mathbb{CP}_1$$
.

space-time oriented

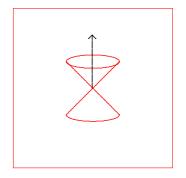
Conformal Lorentzian n-manifold (M,[g]) called space-time oriented

⇒ time-orientation: future vs. past.

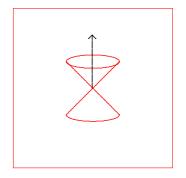
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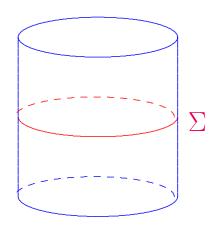


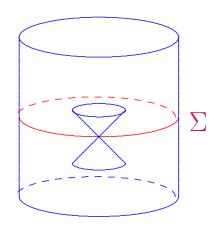
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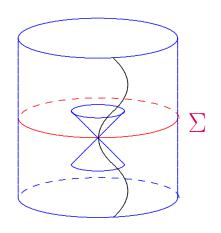


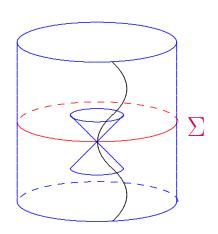
 $\implies M$  also oriented, in usual sense.

globally hyperbolic









$$\Longrightarrow M \approx \Sigma \times \mathbb{R}$$

# conformally compact

•  $M = X - \partial X$  for compact man.-w.-boundary X

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Example: 
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$$M = SO(3,1)/SO(2,1)$$

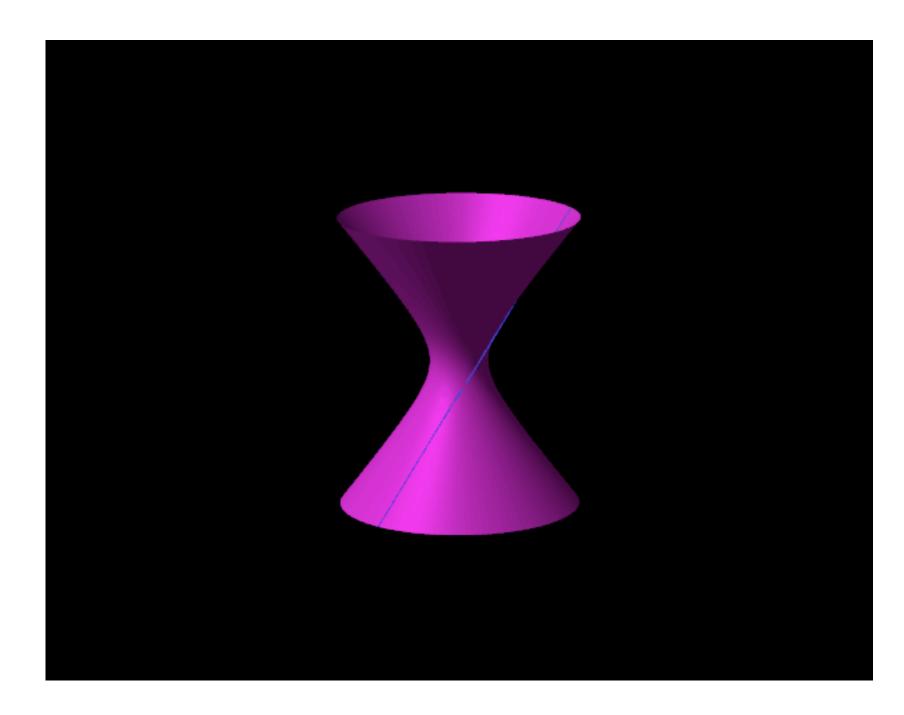
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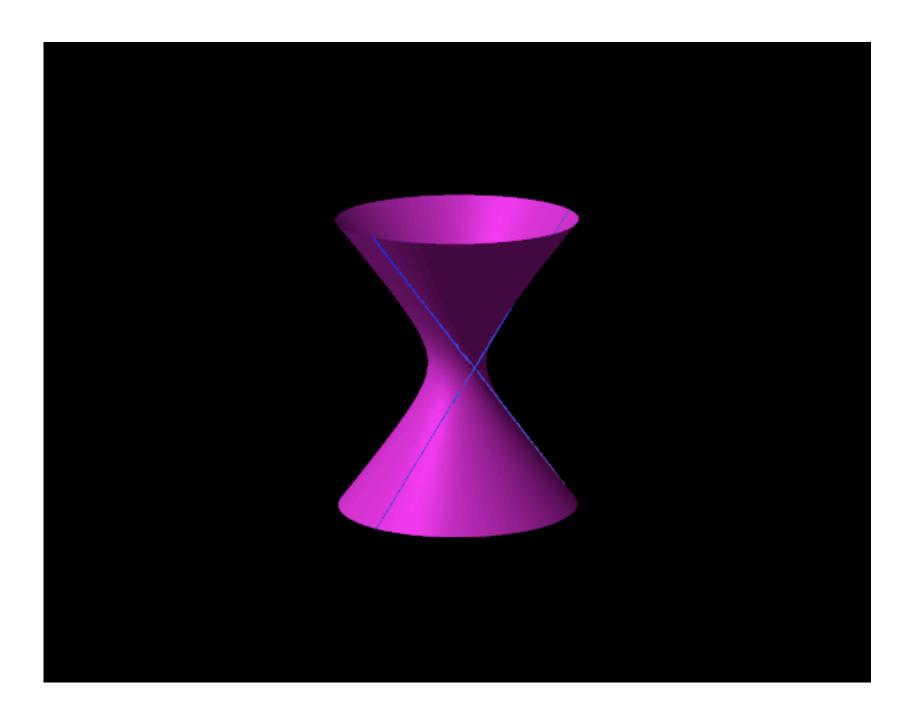
M = hypersurface

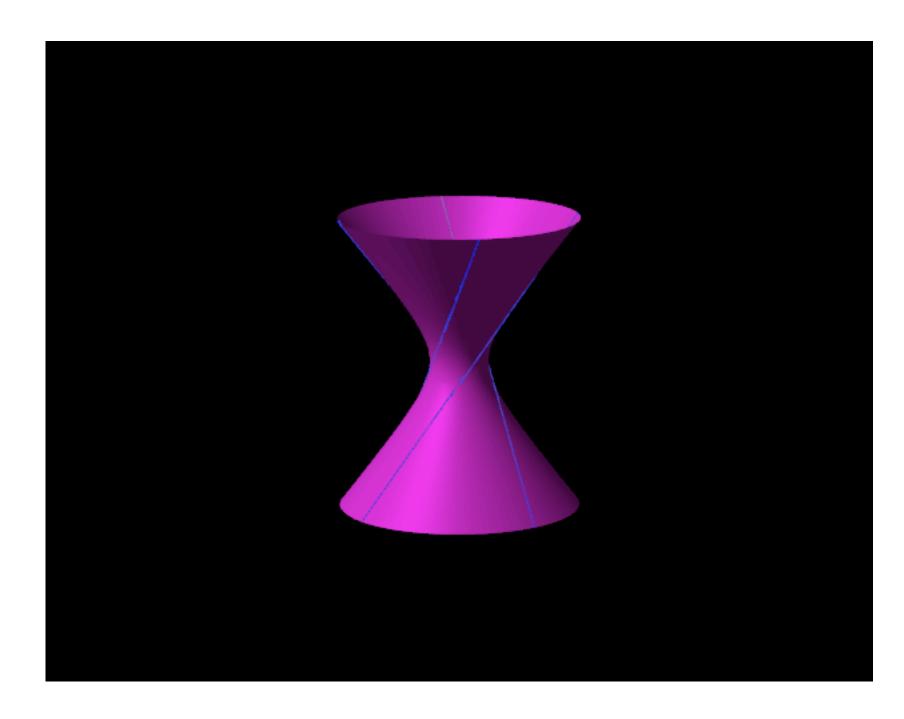
$$x^2 + y^2 + z^2 - t^2 = 1$$

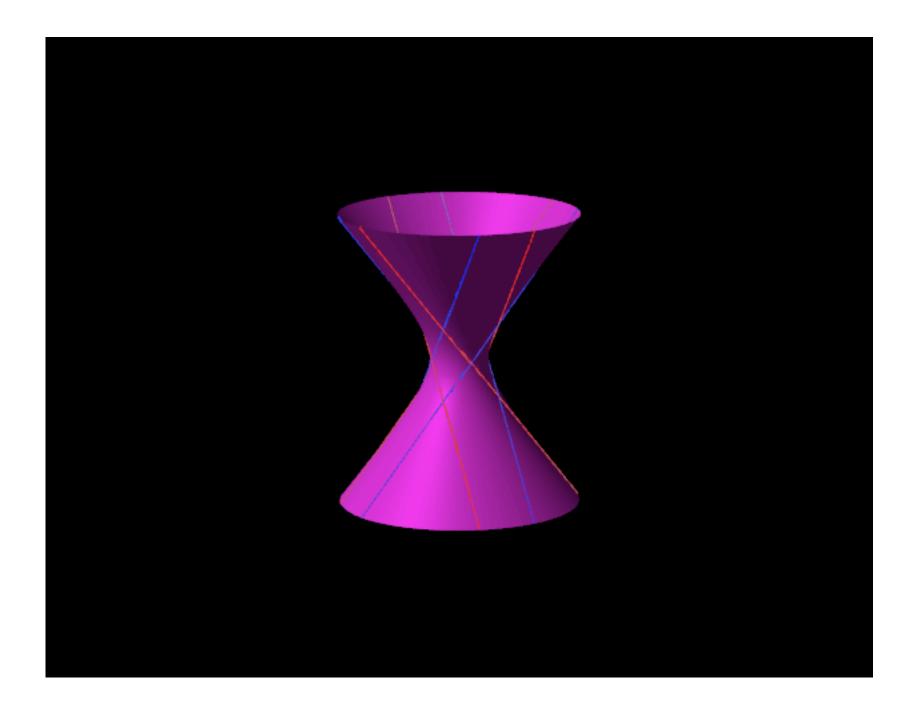
$$g = dx^2 + dy^2 + dz^2 - dt^2$$

$$M = SL(2, \mathbb{C})/SL(2, \mathbb{R})$$









de Sitter 3-space

M = hypersurface

$$x^2 + y^2 + z^2 - t^2 = 1$$

in Minkowski space  $\mathbb{R}^4$ 

$$g = dx^2 + dy^2 + dz^2 - dt^2$$

de Sitter 3-space

$$M = S^2 \times (0, \pi)$$

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Setting 
$$\tau = 2 \tan^{-1}(t + \sqrt{t^2 + 1}),$$

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$$g = \csc^2(\tau) \left[ -d\tau^2 + \mathbf{h} \right]$$

where  $h = \text{standard metric on } S^2$ .

de Sitter 3-space

$$M = X - \partial X$$
, where  $X = S^2 \times [0, \pi]$ 

$$g = u^{-2}\hat{g}$$

de Sitter 3-space

$$M = X - \partial X$$
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Setting 
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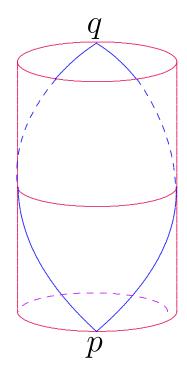
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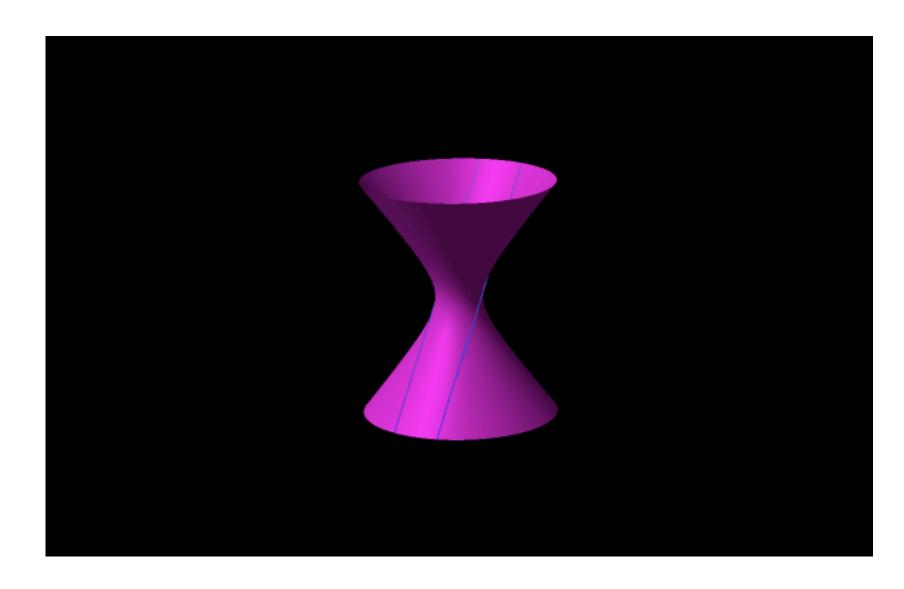
where  $u = \sin \tau$ .

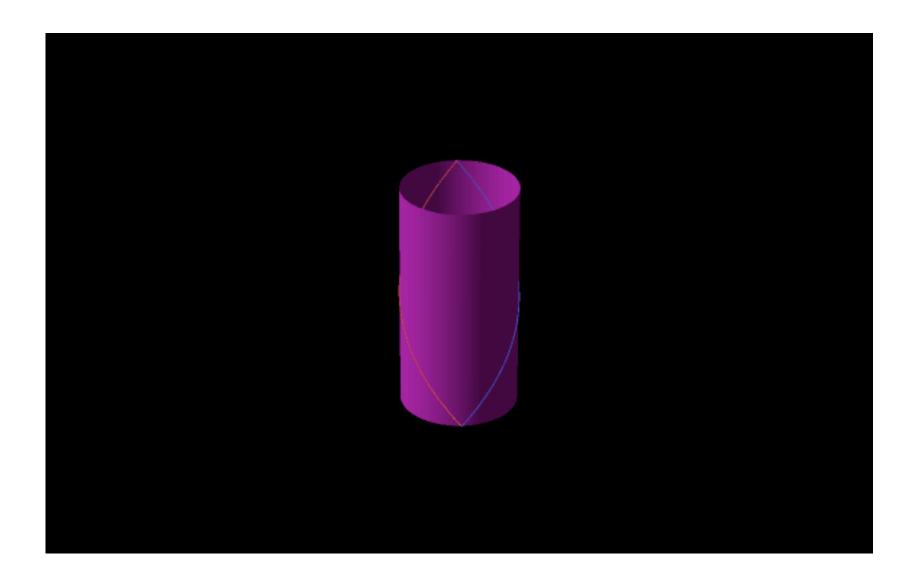
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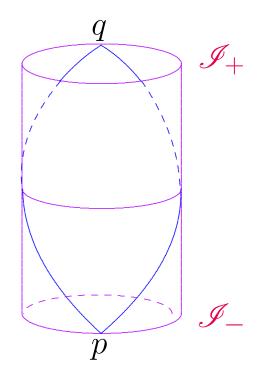




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- orientation-reversing diffeomorphisms

$$\psi: \mathbb{CP}_1 \to \mathbb{CP}_1.$$

Two Einstein-Weyl structures considered same if related by connection-preserving conformal map.

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Two orientation-reversing diffeomorphisms

$$\psi_1, \psi_2 : \mathbb{CP}_1 \to \mathbb{CP}_1$$

considered same iff

$$\psi_1 = \varphi \circ \psi_2 \circ \phi^{-1}$$

for Möbius transformations  $\varphi, \phi \in PSL(2, \mathbb{C})$ .

Theorem. There is a natural one-to-one correspondence between

- smooth, space-time-oriented, conformally compact, globally hyperbolic Lorentzian Einstein-Weyl 3-manifolds  $(M, [g], \nabla)$ ; and
- ullet orientation-reversing diffeomorphisms

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Example: de Sitter  $\longleftrightarrow$  antipodal map of  $\mathbb{CP}_1$ .

In one direction, direct geometrical interpretation of correspondence in terms of scattering maps.

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Will begin by associating scattering map

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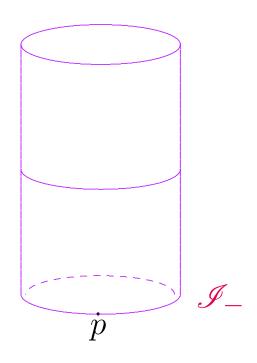
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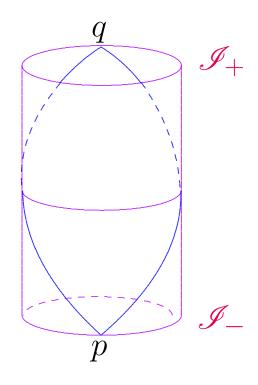
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to Einstein-Weyl  $(M^3, [g], \nabla)$  satisfying hypotheses.

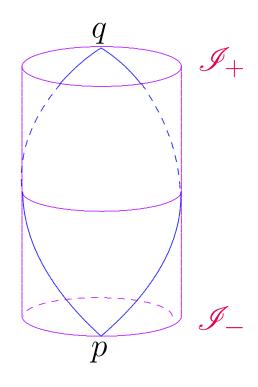
**Lemma.** For an Einstein-Weyl manifold as above, let  $p \in \mathcal{I}_{-}$  be any point of past infinity.



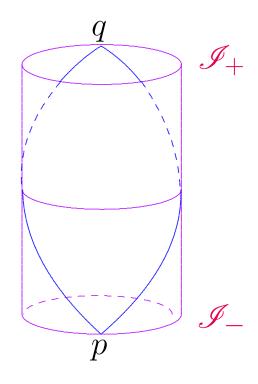
**Lemma.** For an Einstein-Weyl manifold as above, let  $p \in \mathcal{I}_{-}$  be any point of past infinity. Then all the null geodesics emanating from p refocus at a unique point  $q \in \mathcal{I}_{+}$ .



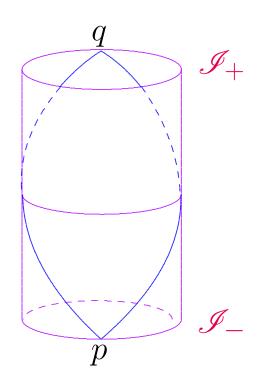
**Lemma.** For an Einstein-Weyl manifold as above, let  $p \in \mathscr{I}_{-}$  be any point of past infinity. Then all the null geodesics emanating from p refocus at a unique point  $q \in \mathscr{I}_{+}$ . Moreover,  $\mathscr{I}_{\pm} \approx S^{2}$ .



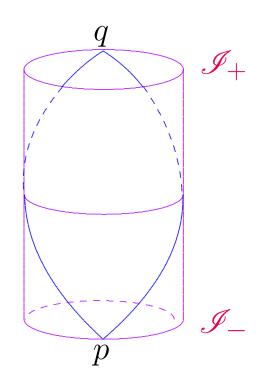
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twistor disk construction

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Graph of orientation-reversing diffeomorphism

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is totally real 2-sphere  $P \subset \mathbb{CP}_1 \times \mathbb{CP}_1$ .

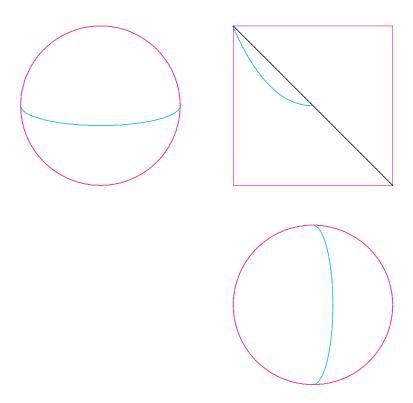
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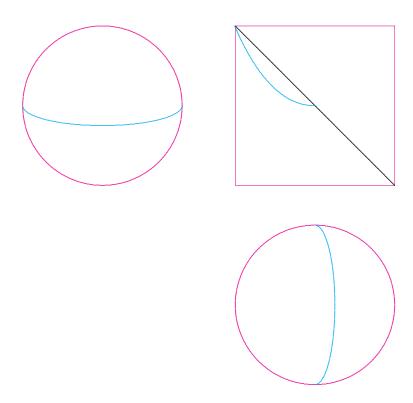
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Strategy: construct 3-manifold  $M = M_{\psi}$  as moduli space of holomorphic disks D in  $Z = \mathbb{CP}_1 \times \mathbb{CP}_1$  with  $\partial D$  on  $P \subset Z$ .



$$\zeta \longmapsto ([a\zeta + b : c\zeta + d], [-\overline{d}\zeta - \overline{c} : \overline{b}\zeta + \overline{a}])$$

as  $\zeta$  ranges over the unit disk  $|\zeta| \leq 1$  in  $\mathbb{C}$ .



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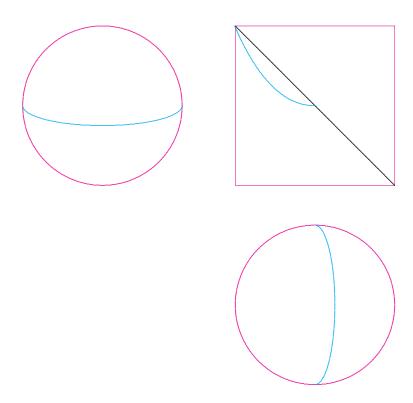
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Moduli space M of disks mod reparameterization: de Sitter space  $SL(2,\mathbb{C})/SL(2,\mathbb{R})$ .

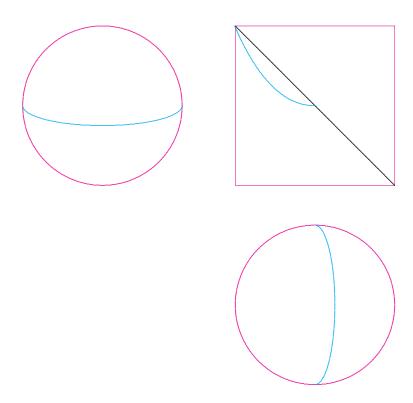


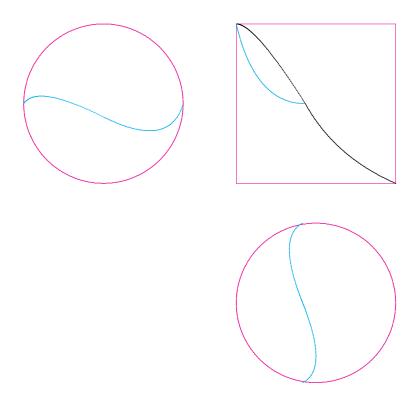
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by replacing graph of anti-podal map with graph of orientation-reversing diffeomorphism

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$$\omega|_P = -\psi^*\omega_2 + \psi^*\omega_2 = 0.$$

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homotopic to anti-diagonal,

$$P \subset Z = \mathbb{CP}_1 \times \mathbb{CP}_1$$

$$\cdots \to H_2(P) \to H_2(\mathbf{Z}) \to H_2(\mathbf{Z}, P) \to H_1(P) \to \cdots$$

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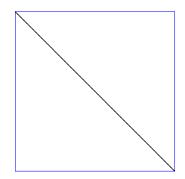
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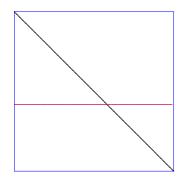


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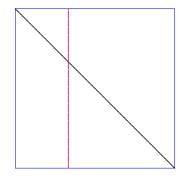


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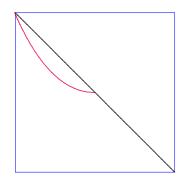


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If  $(\Sigma, \partial \Sigma) \to (Z, P)$  is any holomorphic curve with boundary representing  $\mathbf{a}$ , then  $\Sigma$  is either a holomorphic disk as above, or is a factor  $\mathbb{CP}_1$  of  $Z = \mathbb{CP}_1 \times \mathbb{CP}_1$ .

# Proof.

**Proof.** Regularity:

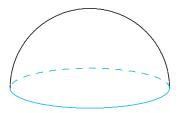
**Proof.** Regularity: Chirka, Alinhac-Baouendi-Rothschild

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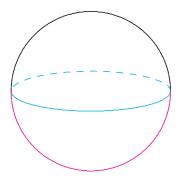
But need to show is that disk is actually a graph!

**Proof.** Consider abstract double  $D \cup_{\partial D} \overline{D}$ .

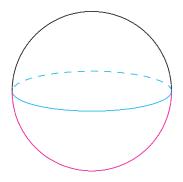
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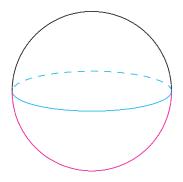
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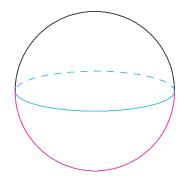


#### and continuous map

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$$\Phi|_D = \varpi_1 \circ F$$

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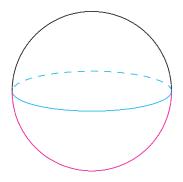


and continuous map (quasi-regular/quasi-conformal)

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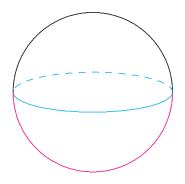


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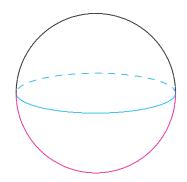
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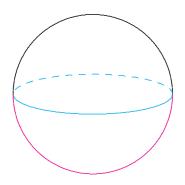
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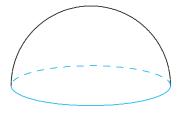
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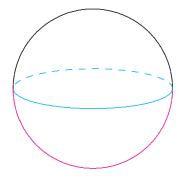
Since  $\Phi$  quasi-regular  $\Longrightarrow$  homeomorphism.

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Normal Maslov index is degree of E. Equals 2 in our case:

$$E \cong \mathcal{O}(2)$$
.

$$h^{1}(\mathbb{CP}_{1}, \mathcal{O}(2)) = 0$$
  
 $h^{0}(\mathbb{CP}_{1}, \mathcal{O}(2)) = 3$ 

cf. Kodaira's Theorem on deformation of complex submanifolds

(Forsternic, Gromov, et al.)

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Non-empty? Connected?

**Lemma.** Let  $\psi : \mathbb{CP}_1 \to \mathbb{CP}_1$  be any orientation-reversing diffeomorphism, and let

$$P \subset \mathbb{CP}_1 \times \mathbb{CP}_1$$

be the graph of  $\psi$ . Then there is a Kähler metric h on  $Z = \mathbb{CP}_1 \times \mathbb{CP}_1$  such that

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Disks all have same  $\omega$ -area.  $\Longrightarrow$  any sequence has convergent subsequence...

**Lemma.** Let  $\psi : \mathbb{CP}_1 \to \mathbb{CP}_1$  be any orientation-reversing diffeomorphism, and let

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be the graph of  $\psi$ . Then there is a Kähler metric h on  $Z = \mathbb{CP}_1 \times \mathbb{CP}_1$  such that

- P is Lagrangian w/resp. to Kähler form  $\omega$ ; and
- $[\omega] = 2\pi c_1(\mathbf{Z}) \in H^2(\mathbf{Z}, \mathbb{R}).$

Allows one to use Gromov compactness theorem.

Tricky point: disks can degenerate to factor  $\mathbb{CP}_1$ .

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Since  $\psi$  is continuous deformation of antipodal,

Continuity method  $\Rightarrow$  each level set non-empty!

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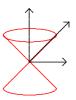
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 $\Rightarrow$  up to homothety  $T_DM$  carries Lorentz metric, modelled on Killing form of  $\mathfrak{sl}(2,\mathbb{R})$ .

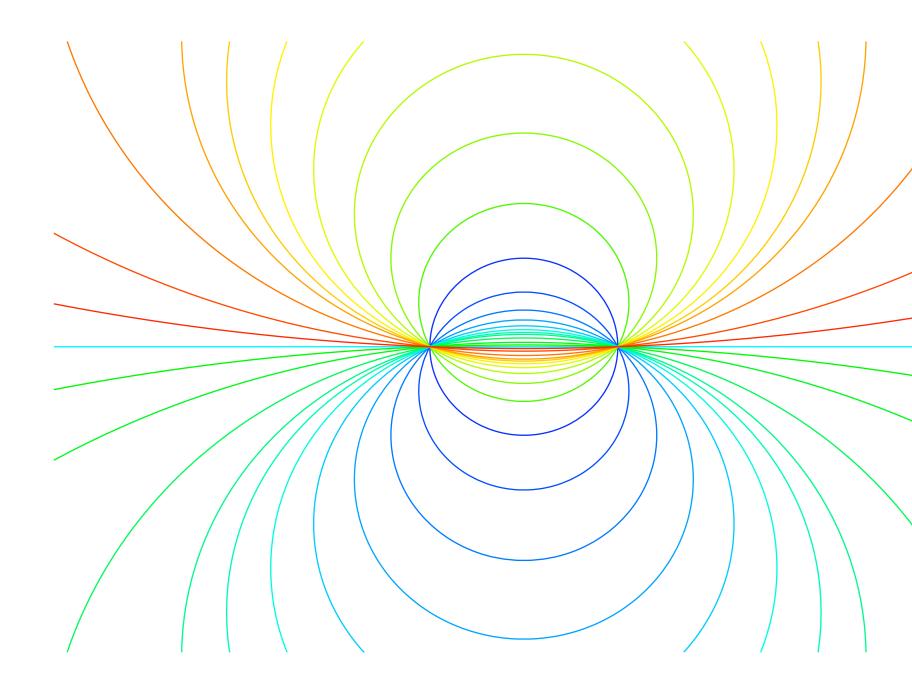
# Trichotomy:

TM	$\mathfrak{sl}(2,\mathbb{R})$
space-like	hyperbolic
null	parabolic
time-like	elliptic





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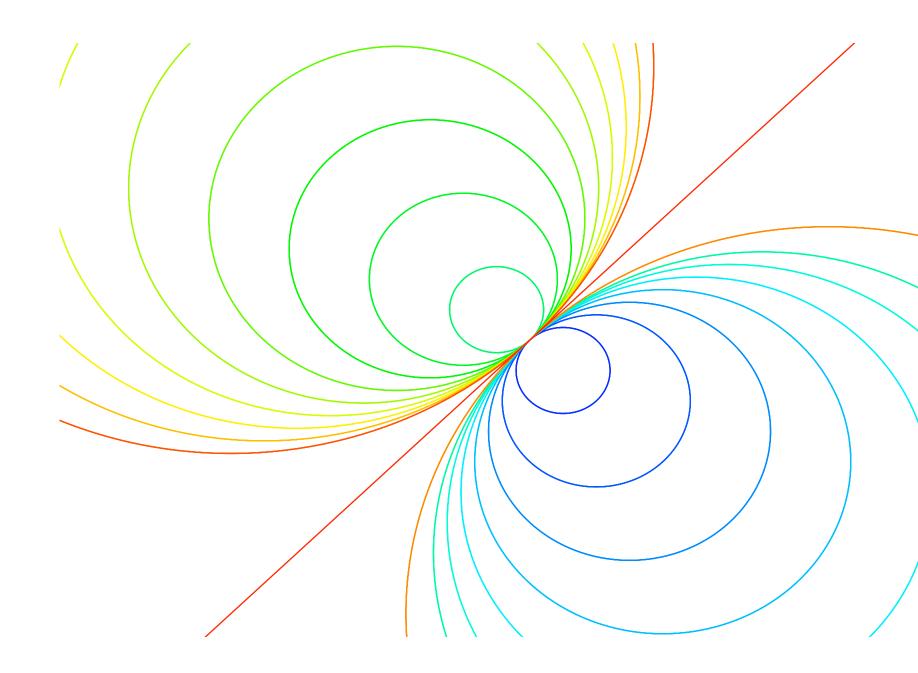




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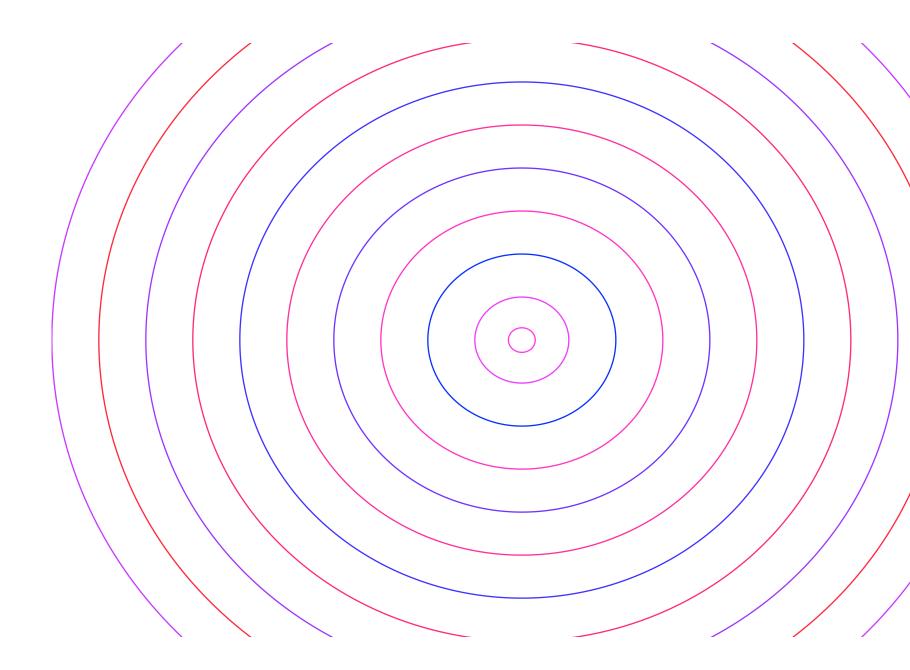
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Time-like vector = infinitesimal variation with a single zero in interior of D: none along  $\partial D$ .



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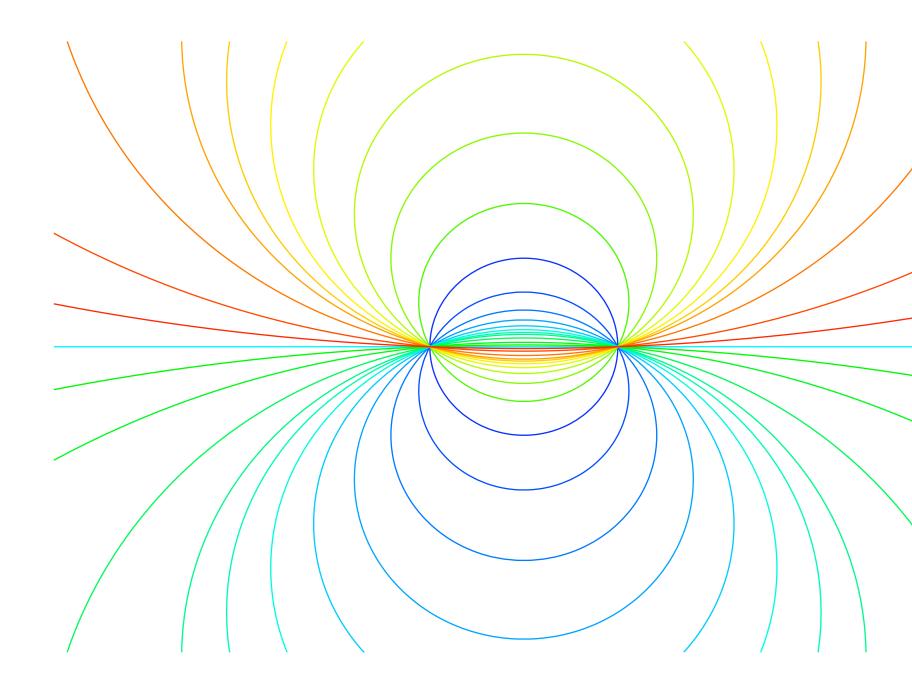
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By deformation: Cauchy surface topologically  $S^2$ .

Geodesics:

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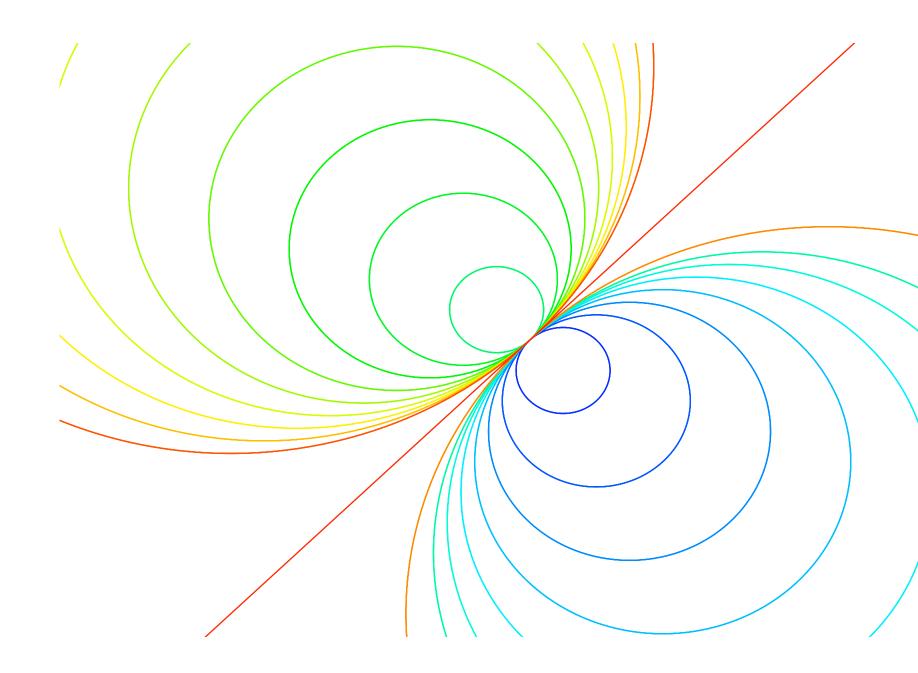
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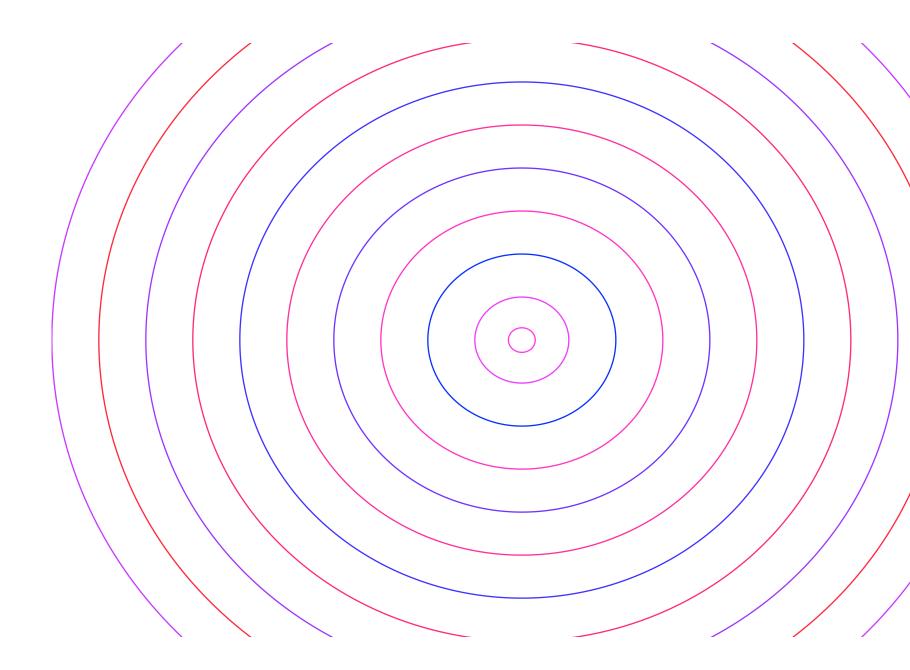


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• <u>& Mason</u>, Duke Math. J. 136 (2007) 205–273.

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Also gives direct proof of conformal compactness.

Theorem. There is a natural one-to-one correspondence between

- smooth, space-time-oriented, conformally compact, globally hyperbolic Lorentzian Einstein-Weyl 3-manifolds  $(M, [g], \nabla)$ ; and
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