Einstein Constants

and

Differential Topology

Claude LeBrun Stony Brook University

"Differentialgeometrie im Großen," Mathematisches Forschungsinstitut Oberwolfach, 4. Juli 2025

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for some constant $\lambda \in \mathbb{R}$.

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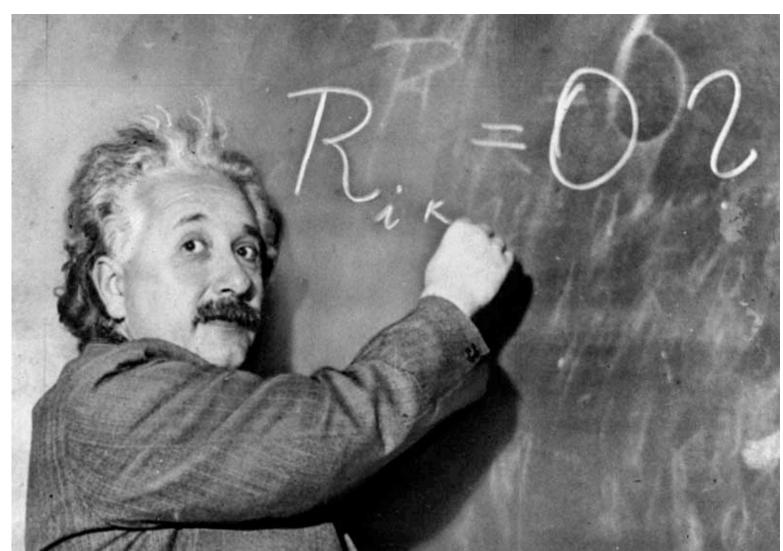
for some constant $\lambda \in \mathbb{R}$.

"...the greatest blunder of my life!"

— A. Einstein, to G. Gamow

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As punishment ...

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Mathematicians call λ the Einstein constant.

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Has same sign as the *scalar curvature*

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$$r = \lambda g$$

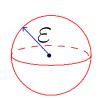
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Has same sign as the *scalar curvature*

$$s = r_j^j = \mathcal{R}^{ij}{}_{ij}.$$

$$\frac{\operatorname{vol}_g(B_{\varepsilon}(p))}{c_n \varepsilon^n} = 1 - s \frac{\varepsilon^2}{6(n+2)} + O(\varepsilon^4)$$



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For the purposes of this talk...

Definition. An Einstein manifold (M, g) is a smooth compact manifold M (without boundary), equipped with a smooth Einstein metric g.

Ergebnisse der Mathematik und ihrer Grenzgebiete 3. Folge · Band 10

A Series of Modern Surveys in Mathematics

Arthur L. Besse

Einstein Manifolds

Acknowledgements

Pour rassembler les éléments un peu disparates qui constituent ce livre, j'ai dû faire appel à de nombreux amis, heureusement bien plus savants que moi. Ce sont, entre autres, Geneviève Averous, Lionel Bérard-Bergery, Marcel Berger, Jean-Pierre Bourguignon, Andrei Derdzinski, Dennis M. DeTurck, Paul Gauduchon, Nigel J. Hitchin, Josette Houillot, Hermann Karcher, Jerry L. Kazdan, Norihito Koiso, Jacques Lafontaine, Pierre Pansu, Albert Polombo, John A. Thorpe, Liane Valère.

Les institutions suivantes m'ont prêté leur concours matériel, et je les en remercie: l'UER de mathématiques de Paris 7, le Centre de Mathématiques de l'Ecole Polytechnique, Unités Associées du CNRS, l'UER de mathématiques de Chambéry et le Conseil Général de Savoie.

Enfin, qu'il me soit permis de saluer ici mon prédecesseur et homonyme Jean Besse, de Zürich, qui s'est illustré dans la théorie des fonctions d'une variable complexe (voir par exemple [Bse]).

Vôtre,

Arthur Besse

Le Faux, le 15 septembre 1986



Besse en Chandesse



L'Auvergne



Marcel Berger

Ergebnisse der Mathematik und ihrer Grenzgebiete 3. Folge · Band 10

A Series of Modern Surveys in Mathematics

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Einstein Manifolds

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But it turns out that the answer is actually Yes!

Specific examples:

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$$M = \underbrace{Y \times \cdots \times Y}_{k}$$

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where

$$Y^4 = \mathbb{CP}_2 \# 8\overline{\mathbb{CP}}_2.$$

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of two Einstein manifolds is Einstein

 \iff they have the same Einstein constant λ .

Ingredients:

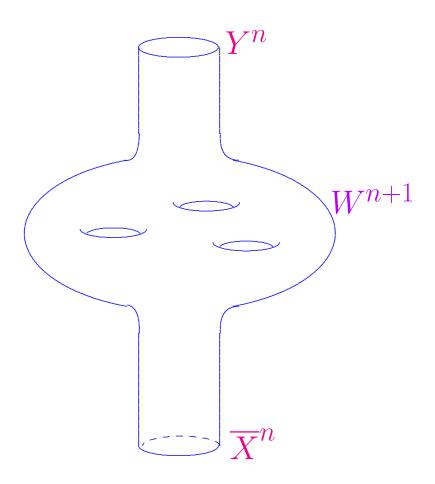
• geography of complex surfaces;

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- complex deformation theory;

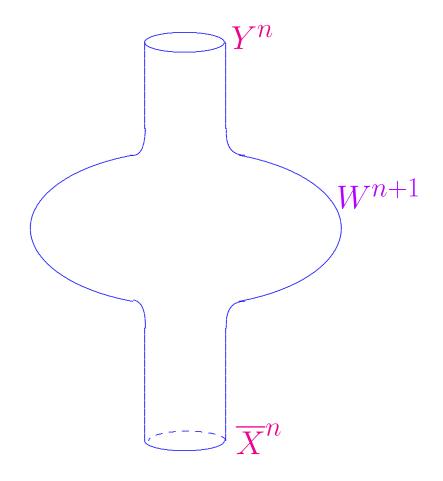
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- \bullet Smale's h-cobordism theorem.

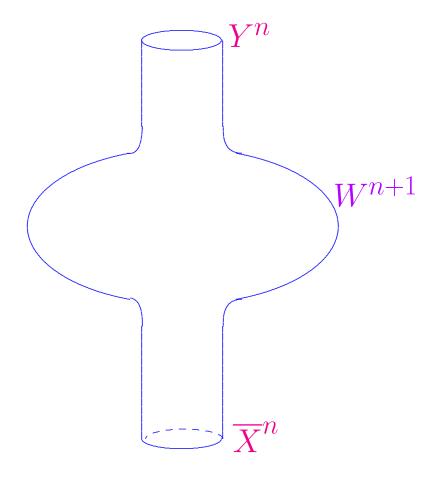


Cobordism

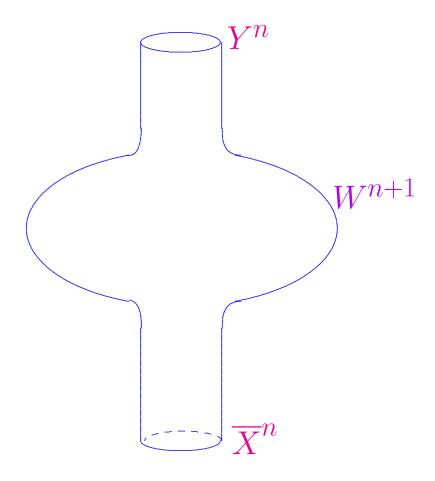


h-Cobordism

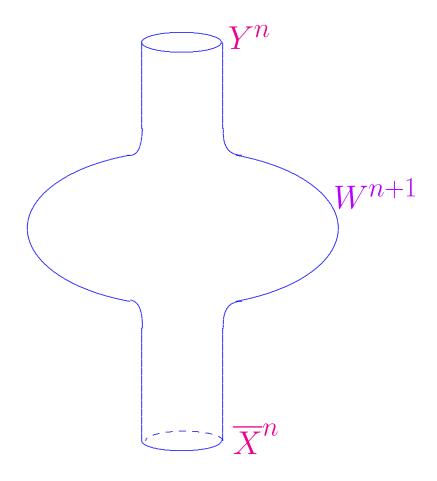
if $X \hookrightarrow W$, $Y \hookrightarrow W$ both homotopy equivalences



Smale: Suppose that X^n is h-cobordant to Y^n . If $\pi_1 = 0$ and n > 4, then X is diffeomorphic to Y.

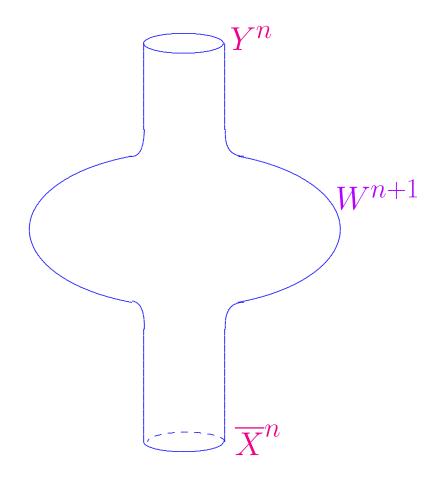


Wall: Suppose that X^4 homotopy equivalent to Y^4 . If $\pi_1 = 0$, then X is h-cobordant to Y.

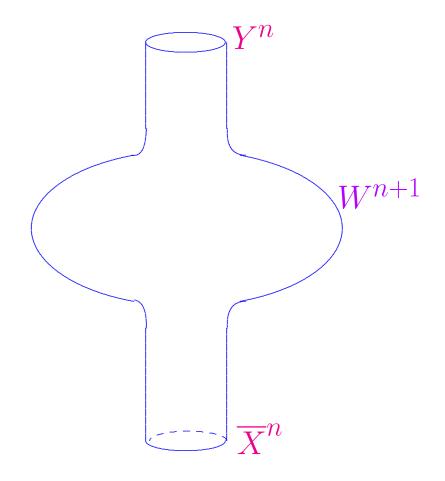


Wall: Suppose that X^4 homotopy equivalent to Y^4 . If $\pi_1 = 0$, then X is h-cobordant to Y.

But Smale doesn't apply when n = 4!

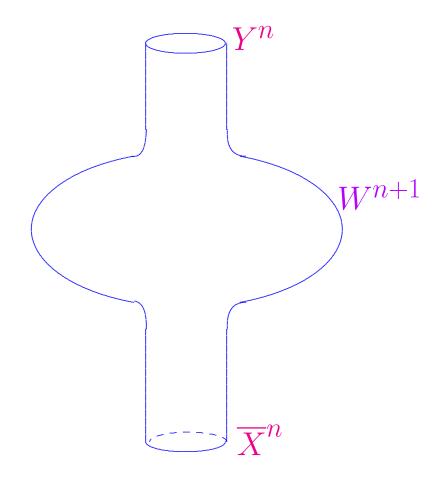


However, we still do get ...

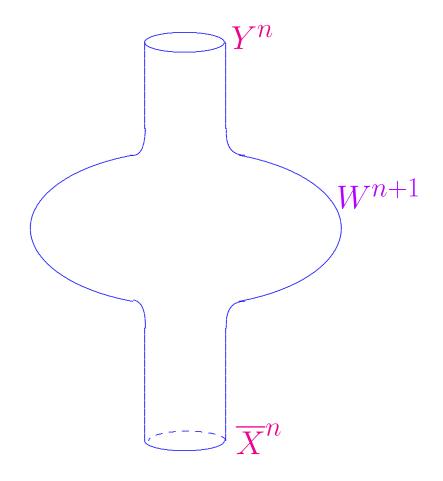


However, we still do get ...

Lemma. If X^4 and Y^4 are homotopy equivalent and simply connected, then $X \times X$ is actually diffeomorphic to $Y \times Y$.

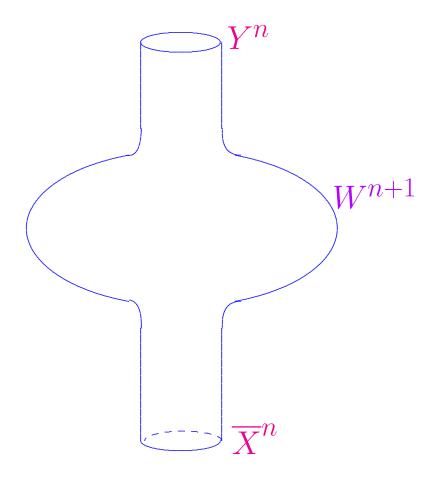


Indeed, if W is h-cobordism $X \sim Y$, then $(X \times W) \cup_{X \times Y} (W \times Y)$ is an h-cobordism $(X \times X) \sim (Y \times Y)$.



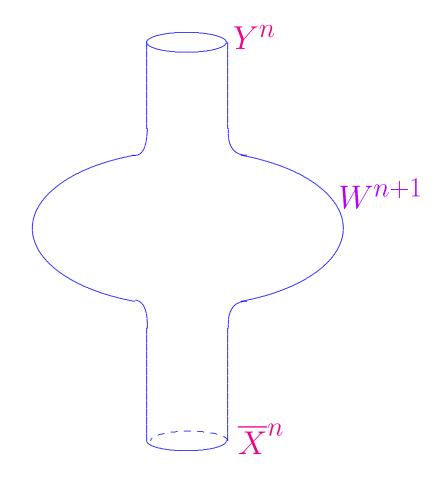
Lemma. If X^4 and Y^4 are homotopy equivalent and simply connected, then

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Lemma. If
$$X^4$$
 and Y^4 are simply connected, non-spin, with $\chi(X) = \chi(Y)$, $\tau(X) = \tau(Y)$, then
$$\underbrace{X \times \cdots \times X}_{k} \approx_{\mathsf{diff}} \underbrace{Y \times \cdots \times Y}_{k} \quad \forall k \geq 2.$$

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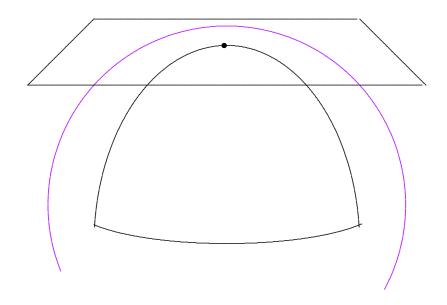
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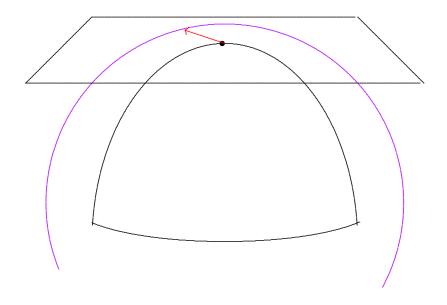
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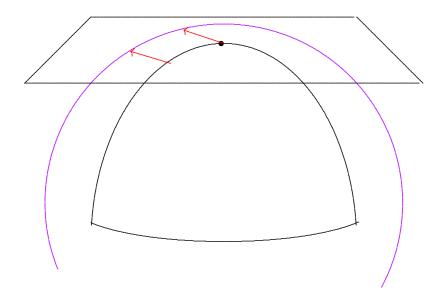
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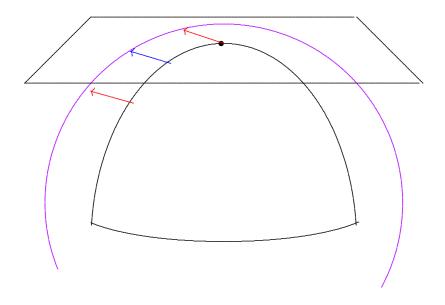
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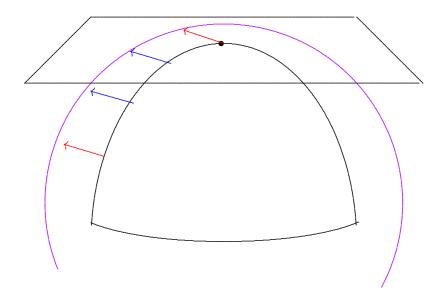
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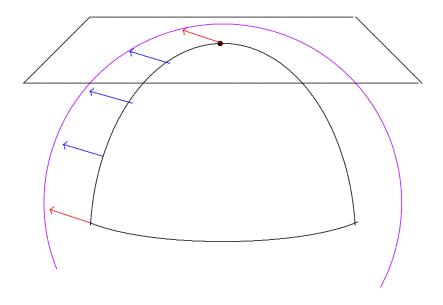


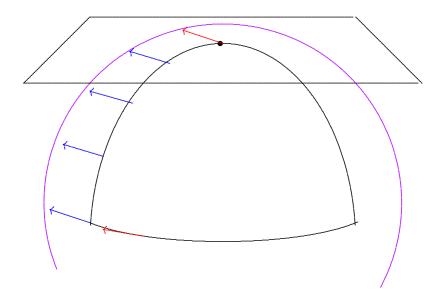


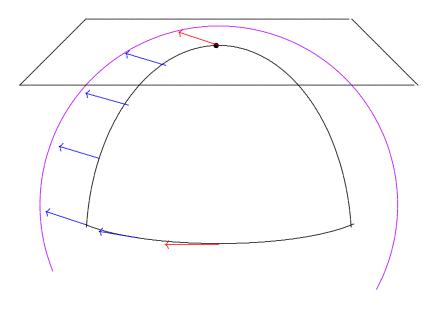


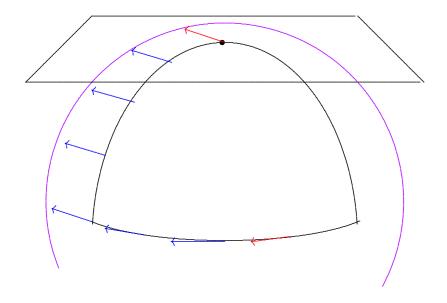


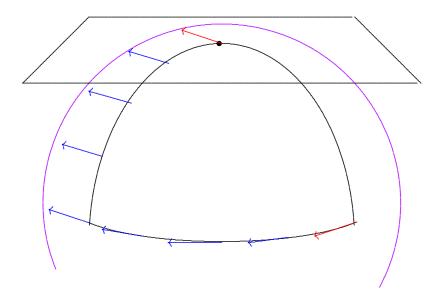


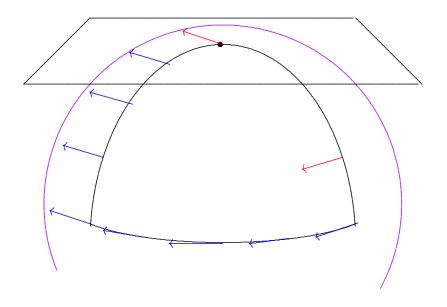


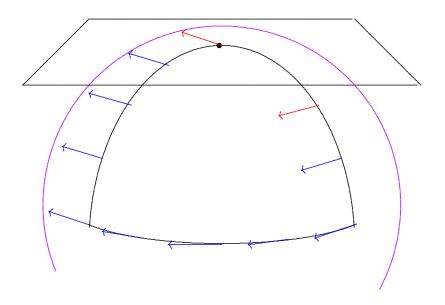


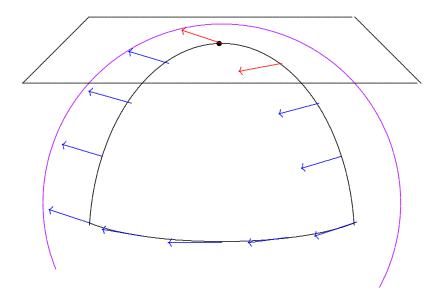


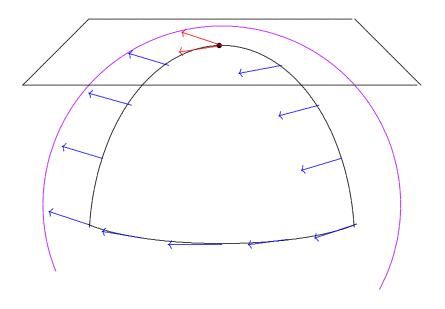


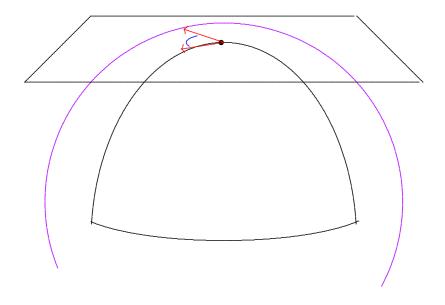




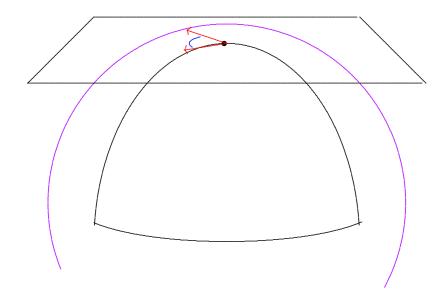






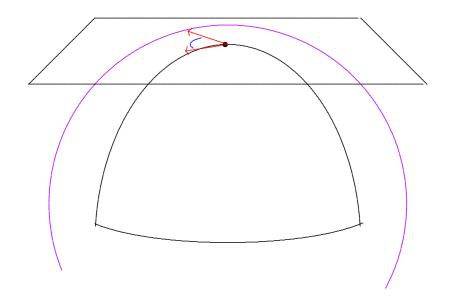


 (M^n, g) : holonomy $\subset \mathbf{O}(n)$



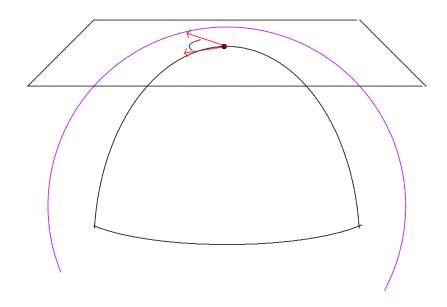
Kähler metrics:

 (M^{2m}, g) : holonomy



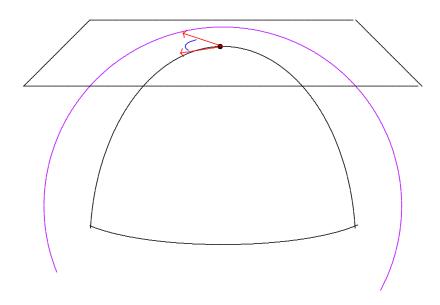
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Freedman 1982. Seiberg-Witten theory 1995. NOT diffeomorphic.

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"Del Pezzo surfaces"

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 Einstein with $\lambda > 0$.

$$X \times \cdots \times X \approx_{\mathsf{diff}} Y \times \cdots \times Y \text{ by } h\text{-cobordism thm.}$$

Theorem (Răsdeaconu-Şuvaina 2009). For every $k \geq 2$, there are at least $\binom{k+3}{3}$ distinct smooth closed simply-connected 4k-manifolds that admit both $\lambda > 0$ and $\lambda < 0$ Einstein metrics.

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Similar story for complex surfaces homeomorphic to $\mathbb{CP}_2 \# k \overline{\mathbb{CP}_2}$, k = 5, 6, 7, 8.

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Builds on breakthrough results of Jongil Park, Yongnam Lee, Heesang Park, and Dongsoo Shin.

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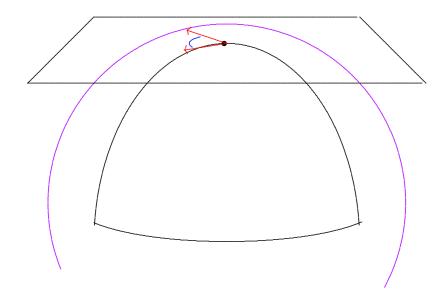
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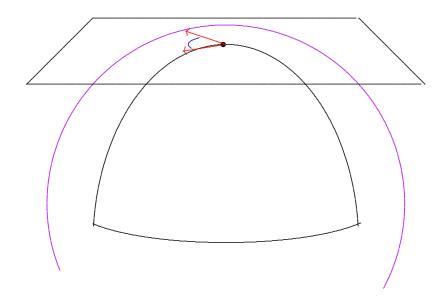
These Einstein metrics are all of special holonomy.

Kähler, but for wildly different complex structures.

 (M^n, g) : holonomy $\subset \mathbf{O}(n)$



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What are the possible holonomy groups?

Theorem (Berger 1955...). Let (M^n, g) be a complete, simply-connected Riemannian manifold.

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n	$\mathbf{SO}(n)$	generic	\mathbb{R}

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2m	$\mathbf{U}(m)$	Kähler	\mathbb{C}

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2m	$\mathbf{U}(m)$	Kähler	\mathbb{C}
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 $\mathbf{SU}(m) := \mathbf{O}(2n) \cap \mathbf{SL}(m, \mathbb{C})$

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4k	$\mathbf{Sp}(k)$	Hyper-Kähler	H

dimension n	$\operatorname{Hol}(M^n,g)$	geometry	relevant field
n	SO(n)	generic	\mathbb{R}
2m	$\mathbf{U}(m)$	Kähler	\mathbb{C}
2m	$\mathbf{SU}(m)$	Calabi-Yau	\mathbb{C}
4k	$\mathbf{Sp}(k)$	Hyper-Kähler	H

$$\mathbf{Sp}(k) := \mathbf{O}(4k) \cap \mathbf{GL}(k, \mathbb{H})$$

dimension n	$\operatorname{Hol}(M^n,g)$	geometry	relevant field
n	$\mathbf{SO}(n)$	generic	\mathbb{R}
2m	$\mathbf{U}(m)$	Kähler	\mathbb{C}
2m	$\mathbf{SU}(m)$	Calabi-Yau	\mathbb{C}
4k	$\mathbf{Sp}(k)$	Hyper-Kähler	H
4k	$\mathbf{Sp}(k)\mathbf{Sp}(1)$	Quaternion-Kähler	H

dimension n	$\operatorname{Hol}(M^n,g)$	geometry	relevant field
n	$\mathbf{SO}(n)$	generic	\mathbb{R}
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$$\mathbf{Sp}(k)\mathbf{Sp}(1) := [\mathbf{Sp}(k) \times \mathbf{Sp}(1)]/\mathbb{Z}_2$$

dimension n	$\operatorname{Hol}(M^n,g)$	geometry	relevant field
n	$\mathbf{SO}(n)$	generic	\mathbb{R}
2m	$\mathbf{U}(m)$	Kähler	\mathbb{C}
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7	\mathbf{G}_2	Exceptional I	0

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 $G_2 := Aut(\mathbb{O}) \subset SO(7)$

dimension n	$\operatorname{Hol}(M^n,g)$	geometry	relevant field
n	$\mathbf{SO}(n)$	generic	\mathbb{R}
2m	$\mathbf{U}(m)$	Kähler	\mathbb{C}
2m	$\mathbf{SU}(m)$	Calabi-Yau	\mathbb{C}
4k	$\mathbf{Sp}(k)$	Hyper-Kähler	H
4k	$\mathbf{Sp}(k)\mathbf{Sp}(1)$	Quaternion-Kähler	H
7	\mathbf{G}_2	Exceptional I	0
8	$\mathbf{Spin}(7)$	Exceptional II	0

dimension n	$\operatorname{Hol}(M^n,g)$	geometry	relevant field
n	$\mathbf{SO}(n)$	generic	\mathbb{R}
2m	$\mathbf{U}(m)$	Kähler	\mathbb{C}
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4k	$\mathbf{Sp}(k)$	Hyper-Kähler	H
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7	\mathbf{G}_2	Exceptional I	0
8	$\mathbf{Spin}(7)$	Exceptional II	0

$$\mathbf{Spin}(7) := \langle S^7 \subset \mathbb{O}^{\times} \rangle \subset \mathbf{SO}(8)$$

dimension n	$\operatorname{Hol}(M^n,g)$	geometry	relevant field
n	$\mathbf{SO}(n)$	generic	\mathbb{R}
2m	$\mathbf{U}(m)$	Kähler	\mathbb{C}
2m	$\mathbf{SU}(m)$	Calabi-Yau	\mathbb{C}
4k	$\mathbf{Sp}(k)$	Hyper-Kähler	H
4k	$\mathbf{Sp}(k)\mathbf{Sp}(1)$	Quaternion-Kähler	H
7	\mathbf{G}_2	Exceptional I	0
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dimension n	$\operatorname{Hol}(M^n,g)$	geometry	relevant field
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7	\mathbf{G}_2	Exceptional I	0
8	$\mathbf{Spin}(7)$	Exceptional II	0

Simons, Aleksevskii, Calabi, Hitchin, Bryant, Joyce...

dimension n	$\operatorname{Hol}(M^n,g)$	geometry	Einstein?
n	$\mathbf{SO}(n)$	generic	?
2m	$\mathbf{U}(m)$	Kähler	?
2m	$\mathbf{SU}(m)$	Calabi-Yau	✓
4k	$\mathbf{Sp}(k)$	Hyper-Kähler	✓
4k	$\mathbf{Sp}(k)\mathbf{Sp}(1)$	Quaternion-Kähler	✓
7	\mathbf{G}_2	Exceptional I	✓
8	$\mathbf{Spin}(7)$	Exceptional II	✓

dimension n	$\operatorname{Hol}(M^n,g)$	geometry	Ricci-flat?
n	SO(n)	generic	?
2m	$\mathbf{U}(m)$	Kähler	X
2m	$\mathbf{SU}(m)$	Calabi-Yau	✓
4k	$\mathbf{Sp}(k)$	Hyper-Kähler	✓
4k	$\mathbf{Sp}(k)\mathbf{Sp}(1)$	Quaternion-Kähler	X
7	\mathbf{G}_2	Exceptional I	✓
8	$\mathbf{Spin}(7)$	Exceptional II	✓

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Challenge to the community:

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Challenge to the community: find more!

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Known examples arise from special holonomy!

But the known examples remain rare and peculiar.

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Known examples have $n \equiv 0 \mod 4$.

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What about odd dimensions?

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What about coexistence of $\lambda = 0$ and $\lambda > 0$?

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What about odd dimensions?

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What about coexistence of $\lambda = 0$ and $\lambda \neq 0$?

What about coexistence of $\lambda = 0$ and $\lambda > 0$?

The latter seems to be especially delicate!

 G_2 is the only irreducible holonomy option.

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Gromov-Lawson: \widetilde{M}^7 admits metrics with s > 0.

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Sasaki-Einstein 7-manifolds (M^7, g) :

Metric cone $(M^7 \times \mathbb{R}^+, dr^2 + r^2g)$ holonomy $\mathbf{SU}(4)$.

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Metric cone $(M^7 \times \mathbb{R}^+, dr^2 + r^2g)$ is Calabi-Yau.

Can such metrics coexist?

Theorem (L '25).

Theorem. No smooth compact M^7

Theorem. No smooth compact M^7 can admit both a Sasaki-Einstein metric g_1

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Proposition. If smooth compact M^7 carries a Sasaki-Einstein metric g_1 , then $p_1(M) \in H^4(M, \mathbb{Z})$ is a torsion class.

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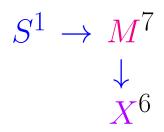
To make this plausible,

Theorem. No smooth compact M^7 can admit both a Sasaki-Einstein metric g_1 and a metric g_2 with holonomy $\subset \mathbf{G}_2$.

Key:

Proposition. If smooth compact M^7 carries a Sasaki-Einstein metric g_1 , then $p_1(M) \in H^4(M, \mathbb{Z})$ is a torsion class.

To make this plausible, will first illustrate assertion for prototypical examples due to S. Kobayashi '63.



$$\begin{array}{c} S^1 \to M^7 \\ \downarrow \\ X^6 \end{array}$$

(X, h) Kähler-Einstein, $\lambda = 8$.

$$S^1 \to M^7$$

$$\downarrow^{}$$

$$X^6$$

(X, h) Kähler-Einstein, $\lambda = 8$.

M unit circle bundle in $K^* = \Lambda^3 T^{1,0} X$.

$$S^1 \to M^7$$

$$\downarrow^{\varpi}$$

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(M,g) Einstein, $\lambda = 6$. "Sasaki-Einstein."

$$S^{1} \to M^{7}$$

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$$\begin{array}{c} S^1 \to M^7 \\ \downarrow^{\varpi} \\ X^6 \end{array}$$

Gysin sequence:

$$S^1 \to M^7$$

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Gysin sequence:

$$\cdots \to H^k(M) \to H^{k-1}(X) \xrightarrow{\mathsf{L}} H^{k+1}(X) \xrightarrow{\varpi^*} H^{k+1}(M) \to \cdots$$

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where L = cup with Euler class $c_1(M)$ of $K^* \to X$.

$$S^1 \to M^7$$

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$$X^6$$

Gysin sequence:

$$\cdots \to H^3(M) \to H^2(X) \xrightarrow{\mathsf{L}} H^4(X) \xrightarrow{\varpi^*} H^4(M) \to \cdots$$

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But X is Fano! So L = Lefschetz operator of (X, h).

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Hard Lefschetz theorem for (X, h):

$$L: H^2(X, \mathbb{R}) \stackrel{\cong}{\longrightarrow} H^4(X, \mathbb{R})$$

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Gysin sequence:

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Hence

$$\varpi^*: H^4(X,\mathbb{R}) \xrightarrow{\cong} H^4(M,\mathbb{R})$$

vanishes!

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$$p_1(M) = \varpi^* p_1(X)$$
, because
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So
$$[p_1(M)]^{\mathbb{R}} \in H^4(M, \mathbb{R})$$
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To prove general case, replace $H^*(X, \mathbb{R})$ with the basic cohomology $H_B^*(M, \mathfrak{F})$ of M relative to the Reeb foliation \mathfrak{F} .

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Basic forms:

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where ξ Killing field generating foliation \mathfrak{F} .

To prove general case, replace $H^*(X, \mathbb{R})$ with the basic cohomology $H_B^*(M, \mathfrak{F})$ of M relative to the Reeb foliation \mathfrak{F} .

Sub-complex of deRham complex:

$$\cdots \xrightarrow{d} \mathcal{E}_{B}^{p-1}(M, \mathfrak{F}) \xrightarrow{d} \mathcal{E}_{B}^{p}(M, \mathfrak{F}) \xrightarrow{d} \mathcal{E}_{B}^{p+1}(M, \mathfrak{F}) \xrightarrow{d} \cdots$$

To prove general case, replace $H^*(X, \mathbb{R})$ with the basic cohomology $H_B^*(M, \mathfrak{F})$ of M relative to the Reeb foliation \mathfrak{F} .

Basic cohomology of (M, \mathfrak{F}) :

$$H^p_B(M,\mathfrak{F}) := \frac{\ker\left[d:\mathcal{E}^p_B(M,\mathfrak{F}) \to \mathcal{E}^{p+1}_B(M,\mathfrak{F})\right]}{\mathrm{image}\left[d:\mathcal{E}^{p-1}_B(M,\mathfrak{F}) \to \mathcal{E}^p_B(M,\mathfrak{F})\right]}.$$

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Finite dimensional!

To prove general case, replace $H^*(X, \mathbb{R})$ with the basic cohomology $H_B^*(M, \mathfrak{F})$ of M relative to the Reeb foliation \mathfrak{F} .

Replace Hard Lefschetz on $H^*(X, \mathbb{R})$ with transverse version on $H^*_B(M, \mathfrak{F})$ due to El Kacimi-Alaoui.

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If $n \equiv 0 \mod 4$, then M spin, with $\widehat{A}(M) \neq 0$:

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 \implies $\not\exists$ metrics with s > 0.

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If Ricci-flat metric is holonomy irreducible, impossible in most allowed dimensions!

If $n \equiv 0 \mod 4$, then M spin, with $\widehat{A}(M) \neq 0$:

$$\widehat{A}(M) = \begin{cases} 2, & \text{if Hol} = \mathbf{SU}(2m) \text{ and } n = 2m, \\ k + 1, & \text{if Hol} = \mathbf{Sp}(k) \text{ and } n = 4k, \\ 1, & \text{if Hol} = \mathbf{Spin}(7) \text{ and } n = 8. \end{cases}$$

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For example, every Calabi-Yau 3-fold admits s > 0 metrics. What about $\lambda > 0$ Einstein?

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CTC Wall: Set of invariants that determines when a given 6-manifold is diffeomorphic to one of these.

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But no one has yet discovered a Calabi-Yau parter for any of these Fano manifolds!

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Thanks again to the organizers and to the MFO for inviting me to participate in this wonderful workshop!

