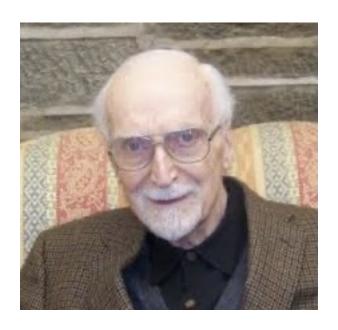
Extremal Kähler Metrics, &

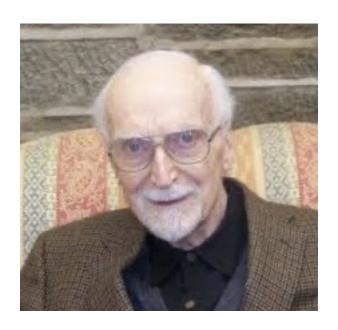
Gravitational Instantons

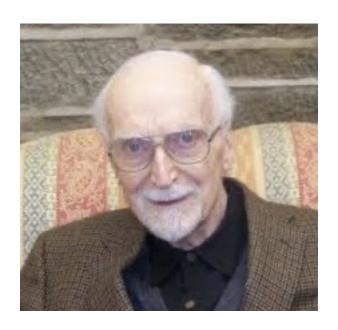
Claude LeBrun Stony Brook University

38th Annual Geometry Festival University of Pennsylvania Philadelphia, April 20, 2024

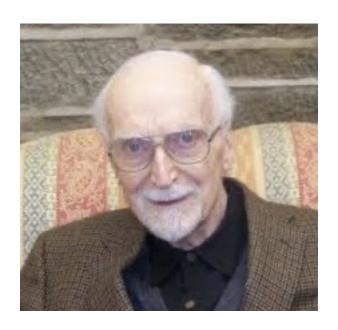
### In Memoriam



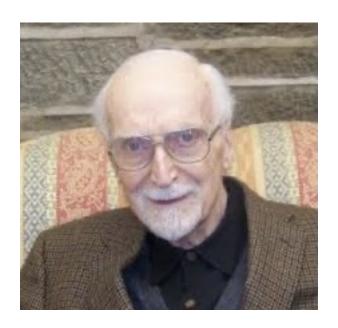




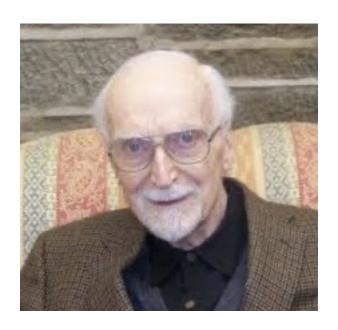
1923-2023



who pioneered many of the ideas central to this talk.

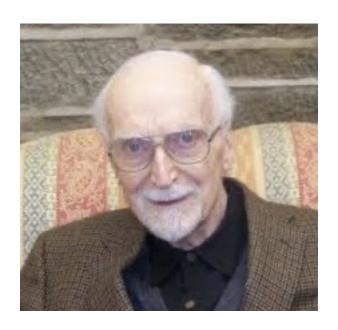


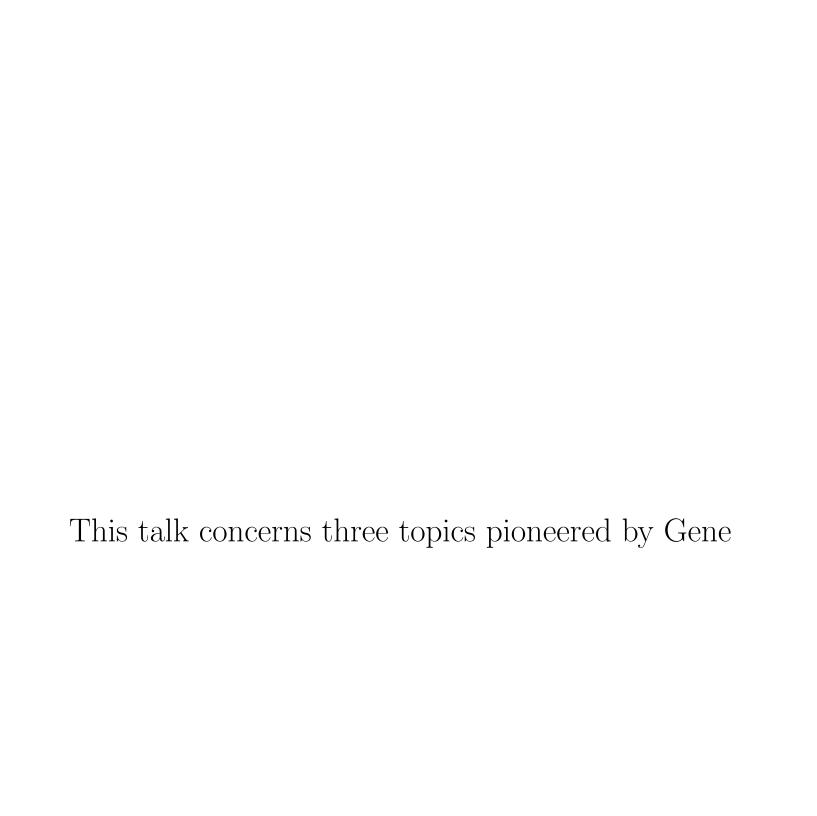
'l maestro di color chi sanno



'l maestro di color chi sanno

—Dante Alighieri





This talk concerns three topics pioneered by Gene

Extremal Kähler Metrics, &

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Gravitational Instantons

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Extremal Kähler Metrics, &

Gravitational Instantons

This talk concerns three topics pioneered by Gene, interacting in ways he might have found surprising.

# Definition. A Riemannian metric g is said to be Einstein

$$r = \lambda g$$

for some constant  $\lambda \in \mathbb{R}$ .

$$r = \lambda g$$

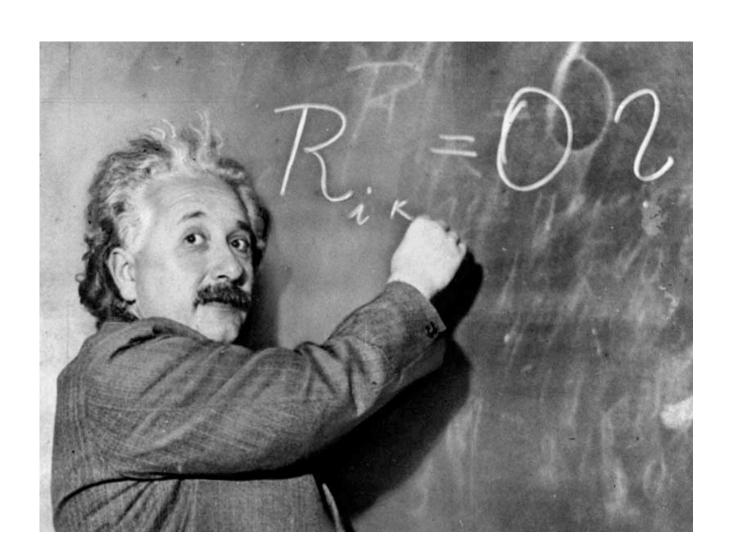
for some constant  $\lambda \in \mathbb{R}$ .

"...the greatest blunder of my life!"

— A. Einstein, to G. Gamow

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As punishment ...

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 $\lambda$  called Einstein constant.

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Has same sign as the *scalar curvature* 

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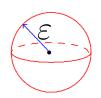
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Has same sign as the *scalar curvature* 

$$s = r_j^j = \mathcal{R}^{ij}{}_{ij}.$$

$$\frac{\operatorname{vol}_g(B_{\varepsilon}(p))}{c_n \varepsilon^n} = 1 - s \frac{\varepsilon^2}{6(n+2)} + O(\varepsilon^4)$$



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Eugenio Calabi's most famous contributions to the subject concern the case when (M,g) is also a Kähler manifold.

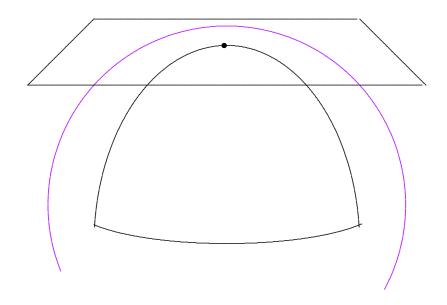
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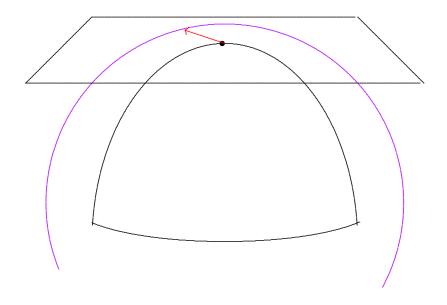
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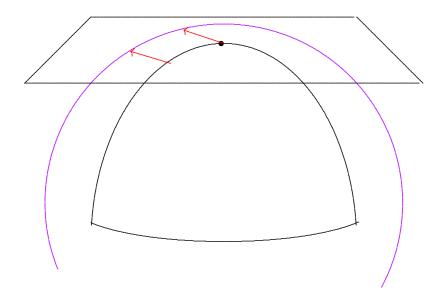
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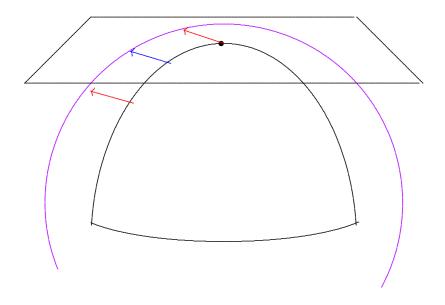
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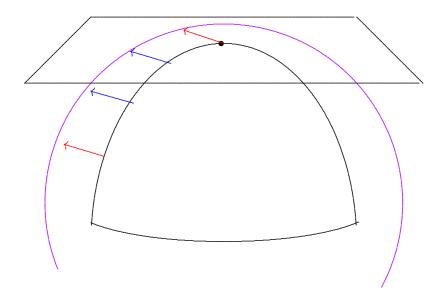
His papers of 1954 essentially created the subject.

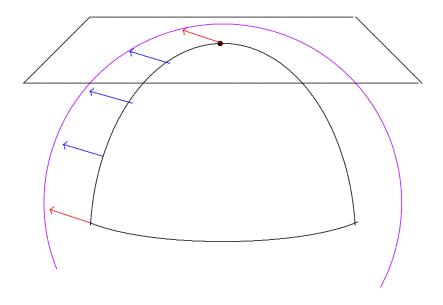


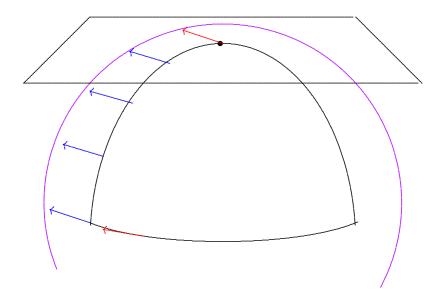


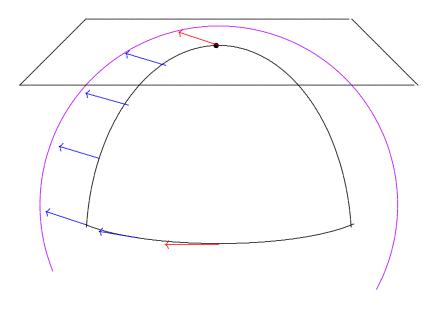


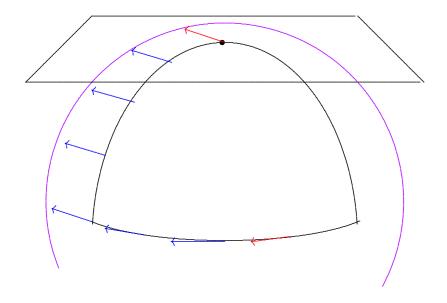


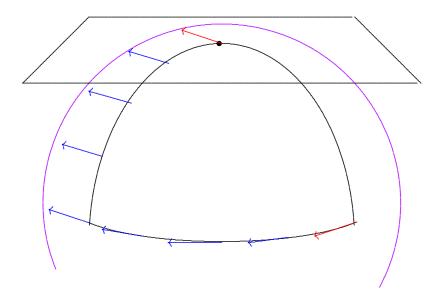


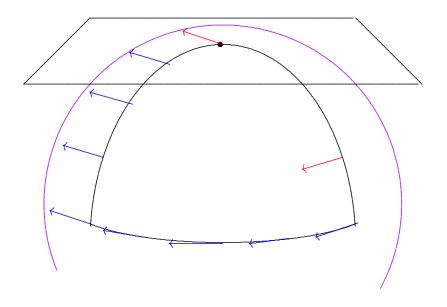


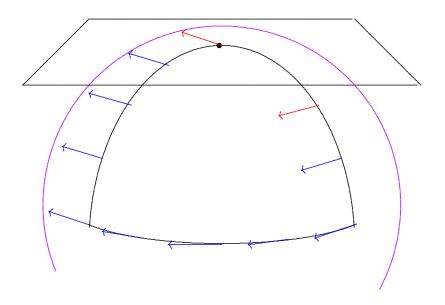


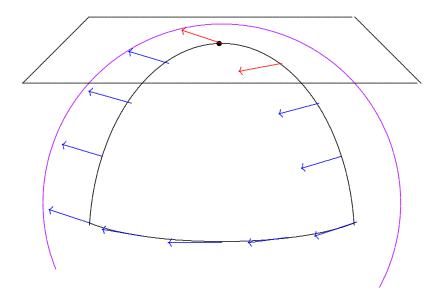


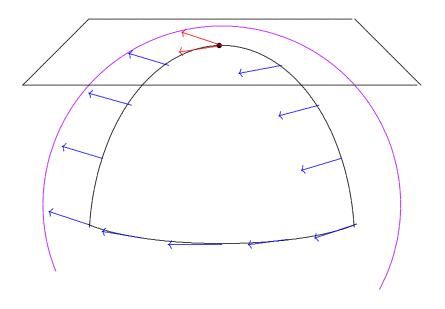


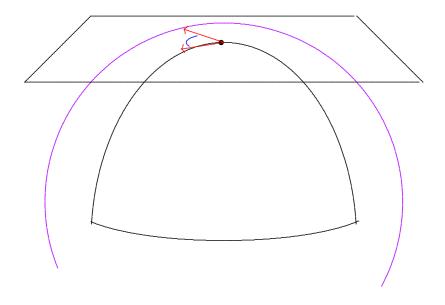




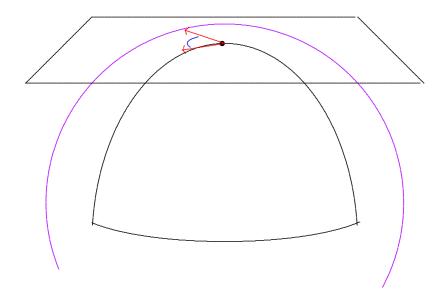




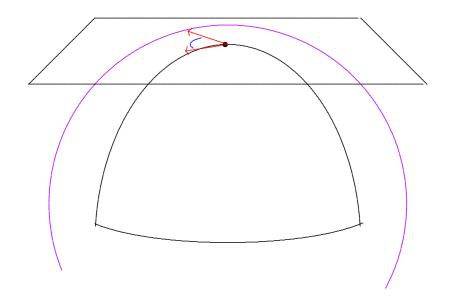




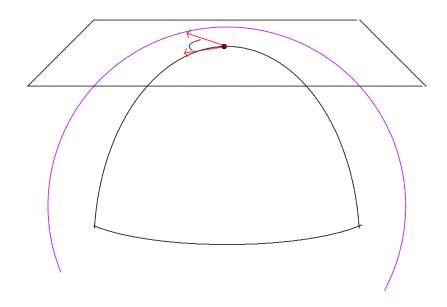
 $(M^n, g)$ : holonomy  $\subset \mathbf{O}(n)$ 



 $(M^{2m}, g)$ : holonomy



 $(M^{2m}, g)$  Kähler  $\iff$  holonomy  $\subset \mathbf{U}(m)$ 



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"Kähler class"

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$$\omega = -i \sum_{j,k=1}^{m} \frac{\partial^2 f}{\partial z^j \partial \overline{z}^k} dz^j \wedge d\overline{z}^k$$

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Kähler magic:

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### Kähler magic:

$$r = -\sum_{j,k=1}^{m} \frac{\partial^2}{\partial z^j \partial \bar{z}^k} \log \det[g_{p\bar{q}}] \left[ dz^j \otimes d\bar{z}^k + d\bar{z}^k \otimes dz^j \right]$$

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If we define the Ricci form by

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### Kähler magic:

If we define the Ricci form by

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then  $i\rho$  is curvature of canonical line bundle  $\Lambda^{m,0}$ .

$$r = \lambda g$$

for some constant  $\lambda \in \mathbb{R}$ .

**Definition.** An Einstein manifold (M, g) is a smooth compact manifold M equipped with an Einstein metric g.

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**Definition.** A Kähler-Einstein manifold (M, g, J) is a compact complex manifold (M, J) equipped with an Einstein metric g that is also Kähler with respect to J.

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### Calabi Conjecture (1954):

Completely describes the  $\lambda = 0$  case.

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Calabi Conjecture (1954): Compact (M, J) admits J-compatible Ricci-flat Kähler metrics  $\iff$ 

- $\bullet$  (M, J) admits compatible Kähler metrics; and
- $\bullet c_1^{\mathbb{R}}(M, J) = 0 \in H^2(M, \mathbb{R}).$

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### Calabi Conjecture (1954):

Moreover, when this happens, every Kähler class  $[\omega] \in H^2(M, \mathbb{R})$  contains a unique J-compatible Kähler-Einstein metric.

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Calabi Conjecture (1954) proved by Yau (1978).

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So sometimes called the Calabi-Yau Theorem.

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**Yau** also solved the case when  $\lambda < 0$ .

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 $\exists$  such a metric iff  $-c_1(M, J)$  is a Kähler class.

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 $\therefore$  Many people assume that Calabi must have next considered Kähler-Einstein manifolds with  $\lambda \neq 0$ .

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But he never did this.

At least, not directly!

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**Definition.** A Kähler-Einstein manifold (M, g, J) is a compact complex manifold (M, J) equipped with an Einstein metric g that is also Kähler with respect to J.

Still, in 1954, he considered more general problem of constant-scalar-curvature Kähler metrics.

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$$s = \text{const}$$

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Still, in 1954, he considered more general problem of constant-scalar-curvature Kähler metrics.

If (M, J) carries no holomorphic vector fields, claimed that every Kähler class must contain such a metric.

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In 1982, he published a clear explanation of these ideas, thereby introducing extremal Kähler metrics.

Extremal Kähler metric = critical point of

$$g\mapsto \int_M s^2 d\mu_g$$

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- Goldberg-Sachs Theorem; and
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Product Einstein metric on  $S^3 \times S^3$  is Hermitian with respect to Calabi-Eckmann complex structures, on a manifold than cannot admit Kähler metrics!

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 $\Lambda^+$  self-dual 2-forms.

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#### The Bach Tensor

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Conformally Einstein  $\implies B = 0$ 

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$$B = \frac{1}{12} \left[ s\mathring{r} + 2 \text{Hess}_0(s) \right]$$

and corresponds to harmonic primitive (1, 1)-form

$$\psi := B(J \cdot, \cdot) = \frac{1}{12} \left[ s\rho + 2i\partial \bar{\partial} s \right]_0$$

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So the critical points of restriction of  $\mathcal{W}$  to {Kähler metrics} also have B = 0!

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Up to constant, only Einstein conformal factor.

### Complex Dimension 2 = Real Dimension 4...

Here, Calabi's extremal Kähler metrics related to Einstein metrics in a way that Gene never expected!

**Proposition** (L '97). Let  $(M^4, J)$  be a complex surface, and suppose that g is an Einstein metric on M which is Hermitian with respect to J. Also suppose that g is not locally hyper-Kähler. Then g is globally conformal to a J-compatible extremal Kähler metric h.

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Derdziński: If  $W_{+} \not\equiv 0$  and  $W_{+}$  has repeated eigenvalue, Einstein metric must be conformally Kähler.

**Theorem** (CLW '08, L '12). Let (M, J) be a compact complex surface. Then (M, J) admits a Hermitian, Einstein metric  $\iff$   $b_1(M)$  is even, and  $c_1(M, J)$  has a sign:

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Most of this rests on the theory of K-E metrics...

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**Theorem** (CLW '08, L '12). Let (M, J) be a compact complex surface. Then (M, J) admits a Hermitian, Einstein metric  $\iff$   $b_1(M)$  is even, and  $c_1(M, J)$  has a sign:

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What if we try to drop the Hermitian condition?

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In particular, the  $\lambda > 0$  Einstein metrics we've discussed are isolated in the  $C^2$  topology.

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But Gene discovered this example independently!

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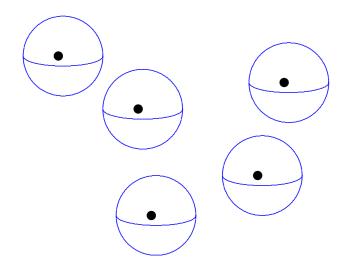
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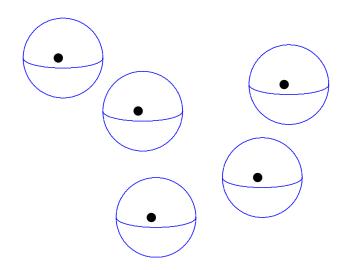
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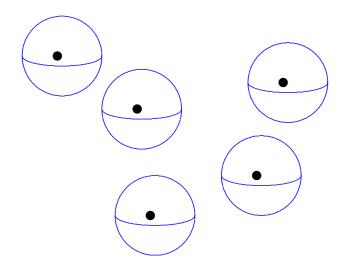
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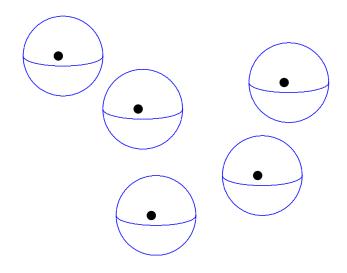
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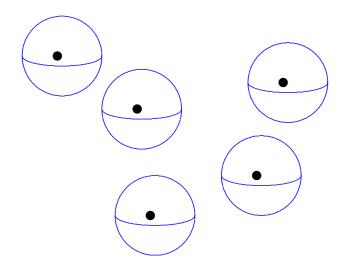
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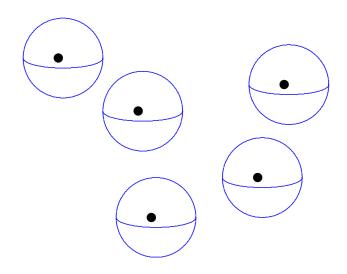
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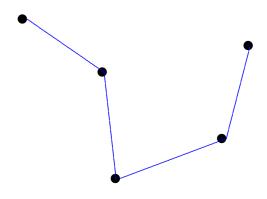


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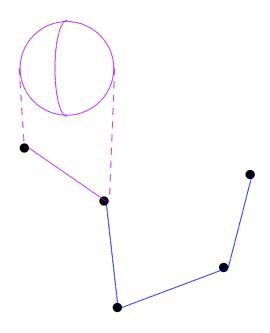
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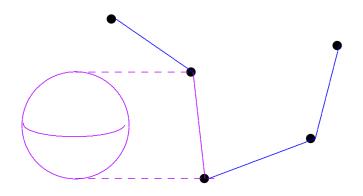
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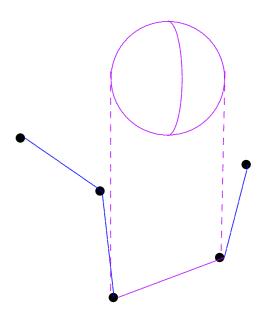
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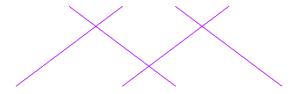
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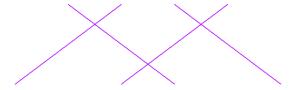


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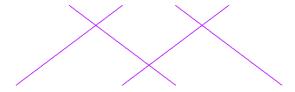
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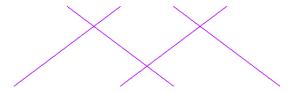


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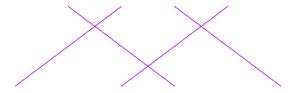




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Diffeotype:



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# Diffeotype:

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The  $\ell = 2$  case is Eguchi-Hanson  $\approx T^*S^2$ .

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This last property distinguishes the ALF spaces from other classes of gravitational instantons:

ALG, ALH, ALG\*, ALH\*, ...

# Example.

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Non-Kähler, but conformally Kähler!

Hawking also explored non-hyper-Kähler examples...

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Kähler! In fact, extremal Kähler!

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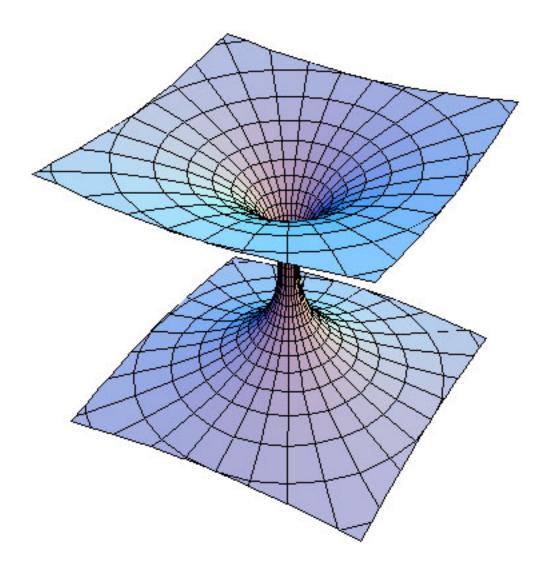
$$g = dr^2 + r^2 d\theta^2 + 4m^2 g_{S^2} + O(r^2)$$

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$$\mathbb{R} \times S^2 \subset \mathbb{R}^2 \times S^2$$

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Hitchin, Kronheimer, Cherkis-Hitchin, Minerbe, Hein, Chen-Chen, Hein-Sun-Viaclovsky-Zhang...

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But my collaborators Biquard and Gauduchon have fortunately done us all the favor of reminding us that the hyper-Kähler gravitons are only one small part of the story!

**Theorem** (Biquard-Gauduchon '23). Let  $(M^4, g)$  be a smooth, complete, non-flat,

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$$\implies \operatorname{Vol}(B_{\rho}) \sim \operatorname{const} \cdot \rho^3$$

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**Theorem** (Biquard-Gauduchon '23). Let  $(M^4, g)$  be a smooth, complete, non-flat, Ricci-flat 4-manifold that is toric, ALF, and Hermitian with respect to some integrable complex structure J. Also assume that (M, g, J) is not Kähler.

• the (reverse-oriented) Taub-NUT metric;

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Diffeomorphic to  $\mathbb{CP}_2 - \{pt\}$ 

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Y. Chen & E. Teo, 2011

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## Notational warning:

Here, g and h interchanged relative to our e-print!

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Each of the metrics g in question is conformal to an extremal Kähler metric h with s > 0.

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Joint work with

Olivier Biquard Sorbonne Université

and

Paul Gauduchon École Polytechnique Joint work with

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e-print:

arXiv:2310.14387 [math.DG]

## Theorem A.

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$$|\mho|_{g_0} = O(\varrho^{-1}), \quad |\nabla \mho|_{g_0} = O(\varrho^{-2}), \quad \dots$$

**Theorem A.** Let  $(M, g_0)$  be one of the ALF toric Hermitian gravitational instantons featured in Biquard-Gauduchon classification. Then any other Ricci-flat Riemannian metric g on M which is sufficiently  $C_1^3$ -close to g is conformal to some strictly extremal Kähler metric h,

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Proposition.

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Corollary. Let  $(M, g_0)$  be a toric Hermitian ALF gravitational instanton for which the corresponding vector field T on  $\Sigma$  is not periodic. Then any Ricci-flat metric g on M which is sufficiently  $C_1^3$  close to  $g_0$  must be one of the toric gravitational instantons

Corollary. Let  $(M, g_0)$  be a toric Hermitian ALF gravitational instanton for which the corresponding vector field T on  $\Sigma$  is not periodic. Then any Ricci-flat metric g on M which is sufficiently  $C_1^3$  close to  $g_0$  must be one of the toric gravitational instantons classified by Biquard-Gauduchon.

This is suggestive, but not quite definitive.

In arXiv:2310.13197, Mingyang Li argues that any Hermitian ALF gravitational instanton is toric!

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**Theorem B.** Let  $(M, g_0)$  be any toric Hermitian ALF gravitational instanton. Then any Ricci-flat metric g on M which is sufficiently  $C_1^3$  close to  $g_0$  must be another one of the gravitational instantons classified by Biquard-Gauduchon.

## Thanks for inviting me!

It's an honor to help celebrate the lasting influence of Gene's ideas!

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## "Quintessentially Science Fiction"



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