POTENTIAL THEORY FOR NONLINEAR PARTIAL DIFFERENTIAL EQUATIONS

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with Reese Harvey



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there is an associated "Potential Theory" based on the functions which satisfy the condition:

$$f(D^2u) \geq 0$$

in a generalized sense.



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The upper semi-continuous functions which are "sub the harmonics":

$$u \le h$$
 on $\partial K \Rightarrow u \le h$ on K

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Poincaré, Oka, Grauert, Lelong, Hörmander, etc.

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and

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Examples

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3. The Complex Monge-Ampère Equation:

$$F \ \equiv \ \mathcal{P}^{\mathbb{C}} \ \equiv \ \{A \in \text{Sym}^2_{\mathbb{R}}(\mathbb{C}^n) : A_{\mathbb{C}} \geq 0\}.$$

$$A_{\mathbb{C}} = \frac{1}{2}(A - JAJ)$$



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Obective: To extend this to a larger class of functions u, called the F-subharmonic functions F(X), and to develop an accompanying potential theory for this class.

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Note:

If φ satisfies the conditions above for u at a point x_0 , then so does

$$\widetilde{\varphi}(x) = \varphi(x) + \frac{1}{2} \langle A(x - x_0), x - x_0 \rangle$$
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Conclusion: We must require $F \subset \operatorname{Sym}^2(\mathbb{R}^n)$ to satisfy the condition

$$\mathbf{F} + \mathcal{P} \subset \mathbf{F}$$

where $\mathcal{P} \equiv \{A : A \geq 0\}$.

A closed subset $F \subset \operatorname{Sym}^2(\mathbb{R}^n)$ is called a **subequation** if it satisfies the *positivity condition*

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A C^2 -function on a domain $X \subset \mathbb{R}^n$ is F-subharmonic if

$$D_x^2 u \in F \quad \forall x \in X,$$

and it is F-harmonic if

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We want to extend these notions to upper semi-continuous functions.



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Definition. Fix $u \in USC(X)$. A **test function for** u at a point $x \in X$ is a function φ , C^2 near x, such that

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 $\mathbf{F}(\mathbf{X}) \equiv \text{the set of these.}$

•
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Define the **dual** of $F \subset \operatorname{Sym}^2(\mathbb{R}^n)$ by

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The roles of F and \widetilde{F} are often interchangeable.

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Note that

$$F \cap -\widetilde{F} = \partial F$$



Let $F \subset \operatorname{Sym}^2(\mathbb{R}^n)$ be a subequation.

Duality and F-Harmonics

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Proposition. For an open set $X \subset \mathbb{R}^n$

 $\mathcal{P}(X)$ = the convex functions on X.

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Proposition. For an open set $X \subset \mathbb{R}^n$

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The homogeneous real Monge-Ampère Equation

$$D^2u \geq 0$$
 and $\det(D^2u) = 0$.

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- (2) $u|_{\partial\Omega} = \varphi$.

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The subequation $F\subset \operatorname{Sym}^2(\mathbb{R}^n)$ is a cone which is invariant under a subgroup

$$G \subset O(n)$$

which acts transitively on the sphere

$$S^{n-1} \subset \mathbb{R}^n$$
.

Eigenvalue Equations

If $F \subset \operatorname{Sym}^2(\mathbb{R}^n)$ is $\operatorname{O}(n)$ -invariant, then it is completely determined by a condition on the **eigenvalues** of $A \in \operatorname{Sym}^2(\mathbb{R}^n)$.

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These subequations are U(n) and Sp(n) invariant.

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$$\mathbb{H}^n = (\mathbb{R}^{4n}, I, J, K)$$

The quaternionic hermitian symmetric part of $A \in \operatorname{Sym}^2(\mathbb{R}^{4n})$ is

$$A_{\mathbb{H}} = \frac{1}{4}(A - IAI - JAJ - KAK).$$

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Example: Monge-Ampère Equations

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$$\mathcal{P} \quad \Rightarrow \quad \mathcal{P}^{\mathbb{C}}, \ \mathcal{P}^{\mathbb{H}}$$

The complex and quaternionic Monge-Ampère Equations

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$$\mathcal{P}(k) \equiv \{\lambda_k(A) \geq 0\}$$

$$\widetilde{\mathcal{P}(k)} = \mathcal{P}(n-k+1)$$

$$\Sigma_k \equiv \{A : \sigma_1(A) \geq 0, ..., \sigma_k(A) \geq 0\}$$

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$$\sigma_k(D^2u) = 0.$$

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It also has complex and quaternionic counterparts.

An Important Example: p-Convexity

For each real number $p \in [1, n]$, define

$$\mathcal{P}_{p} \equiv \left\{ A: \lambda_{1}(A) + \cdots + \lambda_{[p]}(A) + (p-[p])\lambda_{[p]+1}(A) \geq 0 \right\}$$

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The \mathcal{P}_p -subharmonics are p-plurisubharmonic functions.

For each real number $p \in [1, n]$, define

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The \mathcal{P}_{ρ} -subharmonics are ρ -plurisubharmonic functions.

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The restriction of a \mathcal{P}_p -subharmonic to any minimal p-dimensional submanifold Y is subharmonic in the induced metric on Y.

 \mathcal{P}_p -harmonics are solutions of the polynomial equation

$$MA_p(A) = \prod_{i_1 < \dots < i_p} (\lambda_{i_1}(A) + \dots + \lambda_{i_p}(A)) = 0.$$

The Riesz kernel

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and \mathcal{P}_p -subharmonic across 0.

A Particular Instance

Valentino Tosatti and Ben Weinkove made use of

 $\mathcal{P}_{n-1}^{\mathbb{C}}$ -subharmonic and $\mathcal{P}_{n-1}^{\mathbb{C}}$ -harmonic functions in their recent work on the Monge-Ampère Equations and the Calabi-Yau problem on general complex manifolds.

Fix a compact set

$$\mathbf{G} \subset G(p,\mathbb{R}^n)$$

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This is always a subequation.

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$$F \subset \operatorname{Sym}^2(\mathbb{R}^n).$$

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The Pucci equation: For $0 < \lambda < \Lambda$

$$\mathcal{P}_{\lambda,\Lambda} \ \equiv \ \{A \in \operatorname{Sym}^2(\mathbb{R}^n) : \lambda \operatorname{tr} A^+ + \Lambda \operatorname{tr} A^- \geq 0\}, \qquad \rho \ = \ \frac{\lambda}{\Lambda}(n-1) + 1.$$

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If u is continuous on Ω and F-harmonic on Ω – E, then u is F-harmonic on Ω

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is an increasing radial F-subharmonic function iff $\psi(t)$ satisfies the one-variable subequation

$$\psi''(t) + \frac{(p-1)}{t}\psi'(t) \geq 0$$
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where $C \geq 0$, $k \in \mathbb{R}$, and $K_p(t)$ is the p^{th} Riesz function defined on $0 < t < \infty$ by

$$K_p(t) \ = \ \begin{cases} t^{2-p} & \text{if } 1 \leq p < 2 \\ \log t & \text{if } p = 2 \\ -\frac{1}{t^{p-2}} & \text{if } 2 < p < \infty. \end{cases}$$

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where $K = K_p$ is the p^{th} Riesz function.

A First Application – Regularity

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$$F = \mathcal{P}^{\mathbb{C}}$$

$$u(z) = \log |f(z)|$$
 with f holomorphic

is plurisubharmonic.



COROLLARY of the Basic Monotonicity Property

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The decreasing limit

$$\Theta^{\Psi}(u,0) = \lim_{\substack{r, t \to 0 \\ t > r > 0}} \frac{\Psi(t) - \Psi(r)}{K(t) - K(r)}$$

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$$\Theta^{\Psi}(u,0) = \lim_{\substack{r, t \to 0 \\ t > r > 0}} \frac{\Psi(t) - \Psi(r)}{K(t) - K(r)}$$

exists and defines the Ψ -density, $0 \le \Theta^{\Psi} < \infty$, of u at 0.

 $\Psi = M, S \text{ or } V$

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(When $1 \le p < 2$, we must normalize so that $\Psi(0) = 0$.)

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Corollary. For each c > 0 the set

$$E_c \equiv \{x : \Theta^{\Psi}(u, x) \geq c\}$$
 is closed.

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 E_c is a complex analytic subvariety.

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 E_c is a complex analytic subvariety.

Question: Are there analogous results for other subequations?

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Then for any F-subharmonic function u and any c > 0, the set $E_c(u)$ is **discrete**.

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This result is essentially sharp.

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- (1) H is F-harmonic on $\Omega \bigcup_{j} \{x_j\}$,
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- (3) There exists constants c, C. so that for each j,

$$\Theta_j K_p(|x-x_j|) + c \leq H(x) \leq \Theta_j K_p(|x-x_j|) + C$$

Tangents to Subsolutions

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Note: One has $u_r \in F(B_{R/r})$ and $B_{R/r}$ expands to \mathbb{R}^n as $r \downarrow 0$.

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Definition. If $T_0u = \{\Theta K_p(|x|)\}$ $(\Theta \ge 0)$ for all F-subharmonic functions u, we say that strong uniqueness of tangents holds for F

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THEOREM. If **G** has the transitivity property, then strong uniqueness holds for $F(\mathbf{G})$.

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- (f) $\mathbf{G} = \text{CAYLEY}$ (Cayley subharmonic functions in \mathbb{R}^8) (p = 4).
- (g) $\mathbf{G} = \text{LAG}$ (Lagrangian subharmonic functions in \mathbb{C}^n) (p = n).

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The possible tangents in these cases are completely characterized. In the convex case uniqueness of tangents holds, which is classical. In the complex case, uniqueness fails. This is due to **Kiselman**.

MANY QUESTIONS REMAIN OPEN.