Given With Deep Respect and Affection

for Marcel Berger who was a father to so many and one of the great geometers of his century



A MONGE AMPÈRE OPERATOR in SYMPLECTIC GEOMETRY

with Reese Harvey



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The Outline

1. LAGRANGIAN POTENTIAL THEORY

- 2. THE LAGRANGIAN MONGE-AMPÈRE OPERATOR
- 3. TRANSPLANTATION TO GROMOV MANIFOLDS
- 4. THE DIRICHLET PROBLEM
- 5. A FUNDAMENTAL SOLUTION IN Cⁿ

6. QUESTIONS

LAGRANGIAN POTENTIAL THEORY

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"Geometrically Based" Potential Theories:

Consider a compact set

Let

i.e.,

$$\begin{array}{l} \mathbf{G} \ \subset \ G(p,\mathbf{R}^n) \ = \ \mathrm{The} \ \mathrm{Grassmannian} \ \mathrm{of} \ p\ \mathrm{planes} \ \mathrm{in} \ \mathbf{R}^n \\ \mathrm{Let} \\ \mathcal{P}(\mathbf{G}) \ = \ \left\{ A \in \mathrm{Sym}^2(\mathbf{R}^n) : \mathrm{tr} \ (A|_w) \ge 0 \quad \forall \ W \in \mathbf{G} \right\} \\ \\ \begin{array}{l} \mathrm{Definition.} \qquad u \in C^2(\Omega^{\mathrm{open}}) \ \mathrm{is} \ \ \mathbf{G}\ \mathrm{plurisubharmonic} \ \mathrm{if} \\ & \mathrm{tr} \ (D^2 u|_w) \ \ge \ 0 \qquad \forall \ W \in \mathbf{G} \\ \end{array}$$

 $D^2 u \in \mathcal{P}(\mathbf{G})$

on Ω .

$u \in C^2(\Omega)$ is **G**-psh \iff

$u|_{\Omega\cap W}$ is subharmonic for all affine **G**-planes W \iff $u|_M$ is subharmonic on every minimal **G**-manifold M

G-Harmonic

Definition. A function $u \in C^2(\Omega^{\text{open}})$ is **G-harmonic** if

 $D^2 u \in \partial \mathcal{P}(\mathbf{G})$

on Ω.

This means at every point $\exists \ W \in \mathbf{G}$ with $\mathrm{tr}\left(D^{2}u \big|_{W} ight) = \mathbf{0}.$

Example 1.

$$\mathbf{G} = [\mathbf{R}^n] = G(n, \mathbf{R}^n)$$

$$\mathcal{P}(\mathbf{G}) = \{A : \operatorname{tr}(A) \ge 0\}$$

$$u$$
 is **G**-psh \iff $\operatorname{tr}(D^2 u) = \Delta u \ge 0.$

u is **G**-harmonic \iff tr $(D^2 u) = \Delta u = 0.$

Classical Potential Theory

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Example 2.

$$\mathbf{G} = G(1, \mathbf{R}^n)$$

$$\mathcal{P}(\mathbf{G}) = \{ \boldsymbol{A} : \langle \boldsymbol{A} \boldsymbol{\nu}, \boldsymbol{\nu} \rangle \geq \mathbf{0} \ \forall \, \boldsymbol{\nu} \in \mathbf{R}^n \} = \{ \boldsymbol{A} \geq \mathbf{0} \}$$

$$u$$
 is **G**-psh $\iff D^2 u \ge 0$.

The Theory of Convex Funtions

u is **G**-harmonic $\iff D^2 u \ge 0$ and $det(D^2 u) = 0$

The Real Monge-Ampère Equation

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Example 3.

$$\mathbf{G} = G_{\mathbf{C}}(1,\mathbf{C}^n) \subset G(2,\mathbf{R}^{2n})$$

Note the decomposition

$$Sym^{2}(\mathbf{R}^{2n}) = Herm^{sym} \oplus Herm^{skew}$$
$$A = \frac{1}{2}(A - JAJ) + \frac{1}{2}(A + JAJ) = A_{\mathbf{C}}^{sym} + A_{\mathbf{C}}^{skew}$$
$$A_{\mathbf{C}}^{sym}J = JA_{\mathbf{C}}^{sym} \quad \text{and} \quad A_{\mathbf{C}}^{skew}J = -JA_{\mathbf{C}}^{skew}$$

$$\mathcal{P}(\mathbf{G}) = \{A_{\mathbf{C}}^{s,m} \ge 0\}$$

u is **G**-psh $\iff (D^2 u)_{\mathbf{C}}^{sym} \ge 0.$

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The Theory of Plurisubharmonic Functions

u is **G**-harmonic $\iff (D^2 u)_{\mathbf{C}}^{\text{sym}} \ge 0$ and $\det_{\mathbf{C}} (D^2 u)_{\mathbf{C}}^{\text{sym}} = 0$

The Complex Monge-Ampère Equation

Example 4. Calibrations.

$$\phi \in \Lambda^{p} \mathbf{R}^{n}$$
 constant coefficient *p*-form

is a calibration if

$$\phi|_{W} \leq \operatorname{dvol}_{W}$$
 for all oriented *p*-planes *W*

We define

$$\mathbf{G} = \mathbf{G}(\phi) = \left\{ \mathbf{W} : \phi \right|_{\mathbf{W}} = \operatorname{dvol}_{\mathbf{W}} \right\}$$

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Lagrangian Planes

Here

$$\mathbf{G} = \text{Lag} \subset G(n, \mathbf{R}^{2n})$$

the set of Lagrangian *n*-planes in $\mathbf{C}^n = (\mathbf{R}^{2n}, J)$

Recall

W is Lagrangian \iff $\mathbf{C}^n = W \oplus J(W)$ (orthogonal direct sum)

As before

$$\mathcal{P}(\text{Lag}) = \left\{ A \in \text{Sym}^{2}(\mathbf{R}^{2n}) : \text{tr} \left(A \right|_{W} \right) \geq 0 \ \forall W \in \text{Lag} \right\}$$

Definition. $u \in C^2(\Omega)$ is Lag-plurisubharmonic if

$$D^2 u \in \mathcal{P}(Lag)$$
 on Ω .

$u \in C^2(\Omega)$ is Lag – psh $\iff u|_{W\cap\Omega}$ is subharmonic for all affine Lagrangian planes W $\iff u|_M$ is M-subharmonic for minimal Lagrangian submanifolds M

For the last

$$D^2 u\big|_M = \Delta_M u + H_M u$$

Semi-Continuous G-psh functions

We want to extend the notion of **G**-psh to non-differentiable functions. We use the notions from viscosity theory (Crandall, Ishii, Lions, Evans, ...). For a domain $\Omega \subset \mathbf{R}^n$ we define

USC(Ω) $\equiv \{u : \Omega \rightarrow [-\infty, \infty) : u \text{ is upper semi-continuous} \}$

Definition. By a test function for $u \in \text{USC}(\Omega)$ at a point $x \in \Omega$ we mean a C^2 -function φ defined near x with

$$u \leq \varphi$$
 and $u(x) = \varphi(x)$.

Definition. A function $u \in USC(\Omega)$ is **Lag-psh** if for all $x \in \Omega$ and for each test function φ for u at x,

$$D^2 \varphi \in \mathcal{P}(\operatorname{Lag})$$

 $\mathcal{P}_{Lag}(\Omega)$ = the set of these.

Test functions **may not exist** for *u* at some point $x \in \Omega$ This is OK, and an important part of the definition.

Remarkable Properties

- $u, v \in \mathcal{P}_{Lag}(\Omega) \Rightarrow \max\{u, v\} \in \mathcal{P}_{Lag}(\Omega)$
- $\mathcal{P}_{Lag}(\Omega)$ is closed under decreasing limits.
- $\mathcal{P}_{Lag}(\Omega)$ is closed under uniform limits.
- If $\mathcal{F} \subset \mathcal{P}_{Lag}(\Omega)$ is locally uniformly bounded above,

then
$$U^* \in \mathcal{P}_{\operatorname{Lag}}(\Omega)$$
 where

$$U(x) \equiv \sup_{u \in \mathcal{F}} u(x)$$

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- C^2 Lag-psh functions are in $\mathcal{P}_{Lag}(\Omega)$.
- $u \in \mathcal{P}_{Lag}(\Omega)$ is classically subharmonic on Ω

For this note that $\mathbf{C}^n = \mathbf{W} \oplus \mathbf{JW}$ for $\mathbf{W} \in \text{Lag}$

Viscosity Lag-Harmonics

Dual Equation

$$\widetilde{\mathcal{P}(\text{Lag})} \equiv -(\sim \text{Int}\mathcal{P}(\text{Lag})) = \sim (-\text{Int}\mathcal{P}(\text{Lag}))$$
$$\widetilde{\mathcal{P}(\text{Lag})} = \{A : \text{tr}(A|_W) \ge 0 \text{ for some } w \in \text{Lag}\}$$

Definition u is **Lag-harmonic** on Ω if

$$u \in \mathcal{P}_{\mathrm{Lag}}(\Omega)$$
 and $-u \in \widetilde{\mathcal{P}}_{\mathrm{Lag}}(\Omega)$

Note that
$$\mathcal{P}(LAG) \cap (-\mathcal{P}(Lag)) = \partial \mathcal{P}(Lag)$$

Otherwise said: *u* is both a subsolution and a supersolution.

Lag-Convex Domains

DefinitionConsider $\Omega \subset \subset \mathbf{C}^n$ with smooth boundary $\partial \Omega$.Then $\partial \Omega$ is strictly Lag convex if every point $x \in \partial \Omega$ has a smooth defining function which is strictly Lag-psh.

Alternatively: if the second fundamental form of $\partial \Omega$ (w.r.t. inner normal) has strictly positive trace on every $W \in \text{Lag}$ which is tangent to $\partial \Omega$.

The Dirichlet Problem

TheoremLet $\Omega \subset \subset \mathbf{C}^n$ have a smooth strictly Lag convex boundary $\partial\Omega$.Then for every $\varphi \in C(\partial\Omega)$ there exists a unique function $u \in C(\overline{\Omega})$, with(1) $u|_{\Omega}$ Lag-harmonic, and

(2)
$$u\big|_{\partial\Omega} = \varphi.$$

THE LAGRANGIAN MONGE-AMPÈRE OPERATOR

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Is There a Polynomial Differential Operator Whose Solutions are Lag-Harmonic?

Sym²(\mathbf{R}^{2n}) decomposes under U(*n*):

$$Sym^{2}(\mathbf{R}^{2n}) = Herm^{sym} \oplus Herm^{skew}$$
$$= (\mathbf{R} \cdot Id) \oplus Herm^{sym}_{0} \oplus Herm^{skew}$$

$$A = \frac{1}{2}(A - JAJ) + \frac{1}{2}(A + JAJ) = A_{C}^{sym} + A_{C}^{skew}$$
$$= \left(\frac{trA}{2n}\right) Id + (A_{C}^{sym})_{0} + A_{C}^{skew}$$

Basic Fact

The Lag-Analysis is independent of Herm^{sym}₀.

Basic Fact

The Lag-Analysis is independent of Herm^{sym}₀.

Proof. Let

$$E \in \operatorname{Herm}_{0}^{\operatorname{sym}}$$
 and $W \in \operatorname{Lag}$.
Choose orthonormal basis $\{e_k\}_k$ for W . Then

$$\operatorname{tr}(E|_{W}) = \sum_{k} \langle Ee_{k}, e_{k} \rangle = \frac{1}{2} \left\{ \sum_{k} \langle Ee_{k}, e_{k} \rangle + \sum_{k} \langle JEe_{k}, Je_{k} \rangle \right\}$$
$$= \frac{1}{2} \left\{ \sum_{k} \langle Ee_{k}, e_{k} \rangle + \sum_{k} \langle EJe_{k}, Je_{k} \rangle \right\}$$
$$= \frac{1}{2} \operatorname{tr}(E) = 0 \quad \blacksquare$$

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So we see:

 A_{C}^{sym} plays a central role in **C**-psh functions and the complex Monge-Ampère equation, but A_{C}^{skew} is invisible (trace = 0 on complex lines)

 $A_{\text{Lag}} \equiv \left(\frac{\text{tr}A}{2n}\right) \text{Id} + A_{C}^{\text{skew}}$ plays a central role in Lag-psh functions and the Lagrangian Monge-Ampère equation, but $\left(A_{C}^{\text{sym}}\right)_{0}$ is invisible (trace = 0 on Lagrangians).

Suppose

$$B \in \operatorname{Herm}^{\operatorname{skew}} \qquad BJ = -JB$$
$$B(e) = \lambda e \qquad \Rightarrow \qquad B(Je) = -\lambda Je$$
$$B \cong \begin{pmatrix} \lambda_1 & & \\ & -\lambda_1 & \\ & & \ddots & \\ & & & \ddots & \\ & & & & \lambda_n \\ & & & & -\lambda_n \end{pmatrix}$$

Assume $0 \leq \lambda_1 \leq \cdots \leq \lambda_n$

If *W* is Lagrangian,
$$\operatorname{tr}(B|_{W}) \geq -(\lambda_{1} + \cdots + \lambda_{n})$$

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Suppose

$$A \in \operatorname{Sym}^2(\mathbf{R}^{2n})$$
 and $W \in \operatorname{Lag}$

$$\operatorname{tr} \left(A \big|_{W} \right) = \operatorname{tr} \left\{ \left(\frac{\operatorname{tr} A}{2n} \operatorname{Id} + A_{\mathbf{C}}^{\operatorname{skew}} \right) \Big|_{W} \right\}$$
$$= \frac{\operatorname{tr} A}{2} + \operatorname{tr} \left(A_{\mathbf{C}}^{\operatorname{skew}} \big|_{W} \right)$$
$$\geq \mu - (\lambda_{1} + \dots + \lambda_{n})$$

where $\mu \equiv \frac{\text{tr}A}{2}$ and $0 \leq \lambda_1 \leq \cdots \leq \lambda_n$ are the non-negative e-values of $A_{\mathbf{C}}^{\text{skew}}$.

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$$\operatorname{tr} \left(\boldsymbol{A} \right|_{\boldsymbol{W}} \right) \geq \mu - (\lambda_{1} + \dots + \lambda_{n})$$
$$\mu \equiv \frac{\operatorname{tr} \boldsymbol{A}}{2} \quad \text{and} \quad \boldsymbol{0} \leq \lambda_{1} \leq \dots \leq \lambda_{n} \quad \text{are e-values of } \boldsymbol{A}_{\boldsymbol{\mathsf{C}}}^{\operatorname{skew}}. \text{ So}$$
$$\operatorname{tr} \left(\boldsymbol{\mathsf{A}} \right|_{\boldsymbol{\mathsf{W}}} \right) \geq \boldsymbol{0} \quad \forall \, \boldsymbol{\mathsf{W}} \in \operatorname{Lag} \quad \Longleftrightarrow \quad \mu - (\lambda_{1} + \dots + \lambda_{n}) \geq \boldsymbol{0}$$

Consider the operator

$$MA_{Lag}(\mathbf{A}) \equiv \prod_{\pm\pm\cdots\pm} (\mu \pm \lambda_{1} \pm \lambda_{2} \pm \cdots \pm \lambda_{n})$$

This is a polynomial in μ

coefficients are symmetric functions of $\lambda_1^2, ..., \lambda_n^2$

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Potential Theory for Nonlinear PDE's

$$MA_{Lag}(\mathbf{A}) \equiv \prod_{\pm\pm\cdots\pm} (\mu \pm \lambda_{1} \pm \lambda_{2} \pm \cdots \pm \lambda_{n})$$

•
$$\mathcal{P}(\text{Lag}) = \text{Closure}\{\text{MA}_{\text{Lag}}(A) > 0\}_{\text{Id}}$$

• A Lag-Harmonic *u* is a **viscosity solution** of

 $(D^2 u)_{\text{Lag}} \geq 0$ and $MA_{\text{Lag}}(D^2 u) = 0.$

An Invariant Definition, I

$$A \in \operatorname{Sym}^{2}(\mathbb{R}^{2n})$$
 and $A_{\operatorname{Lag}} = \frac{\operatorname{tr}A}{2n}\operatorname{Id} + A_{\mathbb{C}}^{\operatorname{skew}}$
 $D_{A_{\operatorname{Lag}}} \equiv$ the derivation on $\Lambda^{n}\mathbb{R}^{2n}$
 $\operatorname{MA}_{\operatorname{Lag}}(A) =$ a factor of $\det(D_{A_{\operatorname{Lag}}})$

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An Invariant Definition, II (Spinors)

$$A \in \operatorname{Sym}^{2}(\mathbb{R}^{2n}) \quad \text{and} \quad B = A_{\mathbb{C}}^{\operatorname{skew}}$$
$$B \cong \begin{pmatrix} \lambda & 0 \\ 0 & -\lambda \end{pmatrix}$$
$$\operatorname{Set} \quad |B| = \sqrt{B^{2}}. \quad \operatorname{Then}$$
$$|B|J = \begin{pmatrix} \lambda & 0 \\ 0 & \lambda \end{pmatrix} \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} = \begin{pmatrix} 0 & -\lambda \\ \lambda & 0 \end{pmatrix}$$

and hence defines an element in $\Lambda^2 \mathbf{R}^{2n}$:

$$\mathbf{B} = \sum \lambda_k \boldsymbol{e}_k \wedge \boldsymbol{J} \boldsymbol{e}_k \in \Lambda^2 \mathbf{R}^{2n} \subset \mathrm{C}\ell(\mathbf{R}^{2n})$$

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An Invariant Definition, II (Spinors)

Let *S* be an irreducible complex representation of $C\ell(\mathbf{R}^{2n})$ $(S = \Lambda^{0,*})$

Then **B** acts by Clifford multiplication on S and

$$MA_{Lag}(\mathbf{A}) = det(\mu Id + i\mathbf{B})$$

TRANSPLANTATION TO GROMOV MANIFOLDS

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Gromov Manifolds

Definition

A **Gromov manifold** is a triple (X, ω, J) where (X, ω) is a symplectic manifold and J is an almost complex structure on X with: $\omega(v, w) = \omega(Jv, Jw)$ and $\omega(Jv, v) > 0$ The riemannian metric $\langle v, w \rangle \equiv \omega(Jv, w)$ has $\langle Jv, Jw \rangle = \langle v, w \rangle$.

By Gromov any compact symplectic manifold admits such a structure. This structure pushes forward under symplectomorphisms.

Riemannian Hessian

Definition

For $u \in C^{\infty}(X)$, the **hessian** of *u* is a section of $\operatorname{Sym}^2(T^*X)$

defined on vector fields v, w by

$$(\text{Hess} f)(v, w) \equiv vwf - (\nabla_v w)f$$

This Hessian gives a canonical splitting of the 2-jet bundle of X:

$$J^2(X) = \mathbf{R} \oplus T^*X \oplus \operatorname{Sym}^2(T^*X),$$

Via the metric and J

 $\operatorname{Sym}^{2}(T^{*}X) = \mathbf{R} \oplus \operatorname{Herm}_{0}^{\operatorname{sym}}(TX) \oplus \operatorname{Herm}^{\operatorname{skew}}(TX)$

Everything above carries over – including the Lag Monge-Ampère operator.

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Potential Theory for Nonlinear PDE's

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Lagrangian Pseudoconvexity

 \exists Lag analogues of pseudoconvexity and total reality from complex analysis.

For example.

Definition The Lagrangian hull of a compact subset $K \subset X$ is

$$\widehat{\mathcal{K}} \equiv \{x \in X : u(x) \leq \sup_{\mathcal{K}} u \ \forall \text{ Lag-psh } u \text{ on } X\}$$

Theorem The following are equivalent.

- 1) If $K \subset X$, then $\widehat{K} \subset X$.
- 2) There exists a Lag-psh proper exhaustion function *f* on *X*.

This defines Lag-pseudoconvixity.

Freeness

A manifold $M \subset X$ is Lag-free if it has no tangent Lagrangian planes (always true if dim(M) < n)

If *M* is free, then $M_{\epsilon} = \{x : \operatorname{dist}(x, M) < \epsilon\}$ is Lag-convex.

In fact, *M* has a fundamental neighborhood system of Lag-convex neighborhoods

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THE DIRICHLET PROBLEM

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The Inhomogeneous Dirichlet Problem

THEOREM. Let $\Omega \subset X$ be a Lag-convex domain. Then for every

$$\psi \in \mathcal{C}(\overline{\Omega}), \ \psi \geq 0 \quad \text{and} \quad \varphi \in \mathcal{C}(\partial \Omega)$$

there exists a unique

$$H \in \mathcal{C}(\overline{\Omega}) \cap \mathcal{P}_{\mathrm{Lag}}(\Omega)$$

with

$$\mathrm{MA}_{\mathrm{Lag}}(H) = \psi, \qquad ext{and}$$

 $H|_{\partial\Omega} = \varphi.$

The (Homog) Dirichlet Problem for Other Branches

Given $A \in \text{Sym}^2(\mathbf{R}^{2n})$, let

$$\Lambda_1 \leq \Lambda_2 \leq \cdots$$

be the ordered eigenvalues of $MA_{Lag}(A)$, and set

$$\mathcal{P}_{\mathrm{Lag}}^{k} = \{A : \Lambda_{k}(A) \geq 0\}$$

THEOREM. Let $\Omega \subset X$ be a Lag-convex domain. Then for every

 $\varphi \in \mathcal{C}(\partial \Omega)$

there exists a unique

$$H\in \mathcal{C}(\overline{\Omega})\cap\mathcal{P}^k_{\mathrm{Lag}}(\Omega)$$

with

$$MA_{Lag}(H) = 0$$
, and $H|_{\partial\Omega} = \varphi$.

A FUNDAMENTAL SOLUTION IN EUCLIDEAN SPACE

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Riesz Kernels

The **Riesz charateristic** of the subequation \mathcal{P}_{Lag} in \mathbb{C}^{n} is *n*. The **Riesz kernel**

$$\mathcal{K}_n(x) \equiv \begin{cases} -\frac{1}{|x|^{n-2}} & \text{for } n \ge 3\\ \log |x| & \text{for } n = 2 \end{cases}$$

is Lag-harmonic in $\mathbf{C}^n - \{\mathbf{0}\}$ and Lag-psh across 0.

THEOREM.

$$MA_{Lag}(\mathbf{K_n})^{\alpha} = \mathbf{c} \, \delta_{\mathbf{0}} \qquad (\mathbf{c} > \mathbf{0})$$

where
$$\alpha = \frac{1}{2^{n-1}}$$

This Means:

$$\mathcal{K}_{n,\epsilon}(x) = -\frac{1}{(|x|^2 + \epsilon^2)^{\frac{n}{2}}}$$
 is Lag-psh and $\downarrow \mathcal{K}_n(X)$

$$\mathrm{MA}_{\mathrm{Lag}}(K_{n,\epsilon})^{\alpha} = \frac{1}{\epsilon^{2n}}\varphi\left(\frac{|x|}{\epsilon}\right) \rightarrow c \,\delta_{0}$$

where $\varphi \geq 0$ is integrable on **C**^{*n*}.

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QUESTIONS

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Questions

1. **Regularity** of the solution to (DP) when $\psi > 0$?

We note that $\mathrm{MA}_{\mathrm{Lag}}$ is not uniformly elliptic. However its linearization at a solution is elliptic.

- 2. Is there a foliation (generically) attached to a Lag-harmonic?
- 3. Can one establish useful capacities using Lag-harmonics?