

# MAT 644: Complex Curves and Surfaces

## Problem Set 6

Written Solutions (if any) due by Thursday, 05/07, 2pm

*Please figure out all of the problems below and discuss them with others.*

**If you have not passed the orals yet, you are encouraged to write up concise solutions to problems worth 10 points.**

### Problem 1 (5 pts)

Let  $S$  be a complex surface such that  $K_S \rightarrow S$  is a negative line bundle.

- (a) Using Kodaira Vanishing Theorem, show that  $q(S) = 0$ . Conclude that  $S$  is rational.
- (b) If in addition  $S$  is minimal, show that  $S$  is either  $\mathbb{P}^2$  or  $\mathbb{P}^1 \times \mathbb{P}^1$ .

### Problem 2 (5 pts)

Let  $\alpha$  be a primitive 5th root of unity (so  $\alpha^5 = 1$ , but  $\alpha \neq 1$ ) and

$$\tilde{S} = \{[X_0, X_1, X_2, X_3] \in \mathbb{P}^3 : X_0^5 + X_1^5 + X_2^5 + X_3^5 = 0\}.$$

Then  $\mathbb{Z}_5$  acts on  $\tilde{S}$  by

$$\alpha \cdot [X_0, X_1, X_2, X_3] = [X_0, \alpha X_1, \alpha^2 X_2, \alpha^3 X_3].$$

Show that

- (a)  $\tilde{S}$  and  $S \equiv \tilde{S}/\mathbb{Z}_5$  are smooth projective surfaces;
- (b)  $q(S) = p_g(S) = 0$ , but  $K_S \rightarrow S$  is a positive line bundle, and thus  $S$  is of general type (and in particular not rational).

### Problem 3 (5 pts)

Let  $\pi : S \rightarrow \Sigma$  be an irrational ruled surface. Show that every irreducible rational curve is contained in a fiber of  $\pi$  and thus  $S$  is minimal.

### Problem 4 (5 pts)

Let  $S$  be a projective surface containing infinitely many exceptional curves (such surfaces exist by PS4 #6). Show that  $S$  is rational.

**Problem 5** (10 pts)

If  $S$  is a compact Kahler surface, each element  $\gamma \in H_1(S; \mathbb{Z})$  defines a homomorphism

$$\int_{\gamma} \cdot : H^{1,0}(S) \longrightarrow \mathbb{C}, \quad \omega \longrightarrow \int_{\gamma} \omega,$$

and thus an element of  $H^{1,0}(S)^*$ . Let

$$\Lambda_S = \left\{ \int_{\gamma} \cdot : \gamma \in H_1(S; \mathbb{Z}) \right\} \subset H^{1,0}(S)^*.$$

- (a) Show that  $\Lambda_S \subset H^{1,0}(S)^*$  is a lattice.  
 (b) If  $\alpha : [0, 1] \rightarrow S$  is a path, show that the element

$$\int_{\alpha} \cdot \in \text{Alb}(S) \equiv H^{1,0}(S)^* / \Lambda_S, \quad \omega \longrightarrow \int_{\alpha} \omega \in \mathbb{C},$$

depends only on  $\alpha(0)$  and  $\alpha(1)$ .

- (c) Thus, for each  $p \in S$ , there is a well-defined map

$$\mu_p : S \longrightarrow \text{Alb}(S), \quad q \longrightarrow \int_p^q \cdot.$$

Show that the image of this map has dimension at least one, unless  $q(S) = 0$ .

- (d) If  $q(S) \geq 1$  and  $p_q(S) = 0$ , show that the image of  $\mu_p$  is one-dimensional.

**Problem 6** (10 pts)

Let  $S$  be a smooth compact complex surface,  $L \rightarrow S$  a holomorphic line bundle, and  $C \subset S$  a curve, possibly singular. Let

$$\check{H}^i(C; L) = \check{H}^i(S; L|_C), \quad i \in \mathbb{Z}, \quad K_C = K_S(C)|_C;$$

the latter is a sheaf on  $S$ , as is  $L|_C$ . If  $C$  is smooth, these definitions agree with the usual ones. Similarly, let

$$\chi(C, L) = \sum_{i \in \mathbb{Z}} (-1)^i \dim \check{H}^i(C; L), \quad \deg_C L = L \cdot C \equiv \langle c_1(L), [C] \rangle.$$

- (a) Using Serre duality for line bundles on  $S$  and the Five Lemma, show that

$$\check{H}^i(C; L) \approx \check{H}^{1-i}(C; K_C(-L))^*.$$

Conclude that  $\check{H}^i(C; L) = 0$  unless  $i = 0, 1$ .

- (b) Using Riemann-Roch for line bundles on  $S$ , show that

$$\chi(C, L) = 1 - a(C) + \deg_C L.$$

- (c) Conclude that if  $C$  is connected, then

$$\dim \check{H}^0(C; K_C) = a(C).$$

**Problem 7** (10 pts)

Let  $\pi: S \rightarrow \Sigma$  be a holomorphic map from a smooth compact connected complex surface to a smooth compact connected curve of genus  $g$ . Show that

- (a) the fiber  $F_\lambda = \pi^{-1}(\lambda)$  is smooth for all but finitely many  $\lambda \in \Sigma$  (*hint*: get a pencil from  $\Sigma$  to use Bertini);
- (b) the fibers  $F_\lambda$  and  $F_{\lambda'}$  are not linearly equivalent if  $\lambda \neq \lambda'$  and  $g \geq 1$ ;
- (c) if  $g \geq 1$ , every irreducible curve  $C$  such that the normalization  $\tilde{C}$  of  $C$  is  $\mathbb{P}^1$  is contained in a fiber;
- (d) if in addition a generic fiber  $F$  of  $\pi$  is connected and of genus  $a(F) \geq 1$ , there are finitely many such curves.

**Problem 8** (10 pts)

With  $\pi: S \rightarrow \Sigma$  and  $F \subset S$  as in Exercise 7, assume also that  $q(S) = 1$ ,  $g(\Sigma) = 1$ ,  $a(F) \geq 1$ , and  $S$  contains a smooth irreducible curve  $C$  such that  $C$  is not contained in any fiber of  $\pi$ ,

$$g(C) = 1, \quad C^2 > 0, \quad h^0(K_S + C) = 0.$$

Fixing  $\lambda_0 \in \Sigma$ , for any  $\lambda \in \Sigma$  set

$$L_{C,\lambda} = C + F_\lambda - F_{\lambda_0}.$$

- (a) Show that no element of the linear system  $|L_{C,\lambda}|$  is contained in a finite union of fibers of  $\pi$ .
- (b) Suppose  $mC'$ , where  $C'$  is an irreducible curve and  $m \in \mathbb{Z}^+$ , is an element of  $|L_{C,\lambda}|$ . Show that  $m = 1$  and  $C'$  is smooth of genus 1.
- (c) Suppose  $\sum_{i=1}^k m_i C_i$ , where  $k \geq 2$ ,  $C_i \subset S$  irreducible,  $C_i \neq C_j$  for  $i \neq j$ , and  $m_i \in \mathbb{Z}^+$ , is an element of  $|L_{C,\lambda}|$ . Show that

$$C \cdot (K_S + C_i) < 0, \quad h^0(K_S + C_i) = 0, \quad a(C_i) \leq 1$$

for every  $i$ . If in addition  $C_i$  is not contained in any fiber, then  $C_i$  is smooth of genus 1. Furthermore,  $C_i \cdot K_S < 0$  for some  $i$ ; if  $S$  is minimal and  $F$  is connected, this  $C_i$  is not contained in any fiber.

**Problem 9** (10 pts)

Let  $\pi: S \rightarrow \Sigma$  and  $C$  be as in Exercise 8, with  $S$  minimal and  $F$  connected.

(a) Show that there exists an irreducible curve  $D$  on  $S$  such that for every  $\lambda \in \Sigma$  and every element  $D' \in |L_{D,\lambda}|$

$$a(D') = 1, \quad D'^2 = D^2 \equiv d > 0, \quad h^0(K_S + D') = 0;$$

furthermore,  $D'$  is smooth of genus 1 and is not contained in any fiber of  $\pi$ .

(b) Show that for all  $\lambda, \lambda' \in \Sigma$  with  $\lambda \neq \lambda'$  and  $D' \in |L_{D,\lambda}|$ , the restriction map

$$H^0(S; L_{D,\lambda'}) \rightarrow H^0(D'; L_{D,\lambda'})$$

is injective. Conclude that  $h^0(S, L_{D,\lambda}) = d$  for every  $\lambda \in \Sigma$ .

(c) Let  $p_1, \dots, p_{d-1} \in S$  be general points. Show that for each  $\lambda \in \Sigma$ , there is an element  $D_\lambda \in |L_{D,\lambda}|$  passing through the points  $p_1, \dots, p_{d-1}$ ; if  $\lambda$  is generic, this element is unique. If  $\lambda, \lambda' \in \Sigma$  and  $\lambda \neq \lambda'$ , the set  $D_\lambda \cap D_{\lambda'}$  consists of a single point which is independent of the choice of  $D_\lambda$  and  $D_{\lambda'}$  (if there is a choice). Show that the map

$$\Sigma - \lambda \rightarrow D_\lambda, \quad \lambda' \rightarrow D_\lambda \cap D_{\lambda'},$$

extends to a surjective map  $f_\lambda$  over  $\Sigma$ . Conclude that the choice of  $\lambda$  is in fact unique.

(d) Identify  $\Sigma$  with  $\mathbb{C}/\Lambda$ , where  $\Lambda$  is a lattice in  $\mathbb{C}$ . Show that the map

$$h: \Sigma \times \Sigma \rightarrow S, \quad (\mu, \lambda) \rightarrow f_\lambda(\mu - \lambda),$$

is holomorphic surjective and induces a family of non-constant holomorphic maps

$$h_\mu: \mathbb{P}^1 \rightarrow S, \quad \lambda \rightarrow h(\mu, \lambda),$$

which cover  $S$ . However, this contradicts Exercise 7d.

The purpose of Exercises 7-9 is to add details for G&H pp561-563, especially for p563. More precisely, suppose  $S$  is a minimal surface with  $q(S) \geq 1$ , which contains an irreducible curve  $C$  with  $C \cdot K_S < 0$  and  $h^0(K_S + C) = 0$ ,  $\Sigma$  is a smooth curve with  $g(\Sigma) = q(S)$ , and  $\pi: S \rightarrow \Sigma$  is a holomorphic map with generic fiber  $F$  irreducible and  $\pi(C) = \Sigma$ . By the top of p562,  $g(C) = g(\Sigma)$  so that  $\pi: C \rightarrow \Sigma$  is either an isomorphism or  $q(S) = 1$ . By the middle of p562,  $a(F) = 0$  if  $q(S) \geq 2$ . By a more involved argument on p563 and in Exercises 7-9, the same conclusion holds for  $q(S) = 1$ .

**Problem 10** (10 pts)

Let  $V \subset H^0(\mathbb{P}^2; \mathcal{O}(3))$  be the two-dimensional vector space spanned by two general cubic polynomials. Show that

- (a) the base locus  $B$  of the linear system  $\mathbb{P}V$  on  $\mathbb{P}^2$  consists of 9 points;
- (b) all of the cubics in  $\mathbb{P}V$  are smooth, except for 12 that have exactly one node each;
- (c) the proper transform  $\tilde{V}$  of  $V$  in the blowup  $S$  of  $\mathbb{P}^2$  at  $B$  induces a morphism

$$\pi: S \rightarrow \mathbb{P}^2,$$

expressing  $S$  as an elliptic surface over  $\mathbb{P}^1$  with no multiple fibers and 12 nodal fibers. What do the 9 exceptional divisors have to do with  $\pi$ ?