

MAT 401: Undergraduate Seminar
Introduction to Enumerative Geometry
Fall 2008

More on Grassmannians

A flag \mathbf{V} in \mathbb{C}^n is an increasing sequence of $n+1$ linear subspaces of \mathbb{C}^n :

$$\mathbf{V} = (V_0 \subsetneq V_1 \subsetneq \dots \subsetneq \dots V_{n-1} \subsetneq V_n). \quad (1)$$

Thus, V_i is a linear subspace of \mathbb{C}^n of dimension i containing V_{i-1} ; in particular, $V_0 = \{0\}$ and $V_n = \mathbb{C}^n$. The standard flag \mathbf{V}^{std} on \mathbb{C}^n is the sequence as above with $V_i^{\text{std}} = \mathbb{C}^i \times \{0\}^{n-i} \cong \mathbb{C}^i$.

Let $G(2, n)$ denote the Grassmannian of two-dimensional linear subspaces of \mathbb{C}^n . For any non-negative integers a, b and a flag \mathbf{V} as in (1), let

$$\sigma_{ab}(\mathbf{V}) = \{P \in G(2, n) : P \subset V_{n-b}, P \cap V_{n-1-a} \neq \{0\}\}. \quad (2)$$

The second condition implies that $n-1-a \geq 1$ if $\sigma_{ab}(\mathbf{V}) \neq \emptyset$, so that $n-2 \geq a$. Since P is a linear subspace of \mathbb{C}^n of dimension 2 and V_{n-1-b} is a linear subspace of V_{n-b} , the first condition in (2) and linear algebra imply that for any $P \in \sigma_{ab}(\mathbf{V})$

$$\dim P \cap V_{n-1-b} \geq \dim P + \dim V_{n-1-b} - \dim V_{n-b} = 1 \quad \implies \quad P \cap V_{n-1-b} \neq \{0\}.$$

Thus, if $n-1-a > n-1-b$, the second condition in (2) is meaningless since then $V_{n-1-b} \subset V_{n-1-a}$ and $P \cap V_{n-1-a} \neq \{0\}$. Therefore, one always requires that $a \geq b$. Similarly, since V_{n-b} is defined only for $b \geq 0$, we assume that $b \geq 0$. In summary, $\sigma_{ab}(\mathbf{V}) \subset G(2, n)$ is defined by (2) whenever $n-2 \geq a \geq b \geq 0$; otherwise, it is defined to be empty.

If $P \in G(2, n)$, then $P \subset V_{n-0}$ and by linear algebra

$$\dim P \cap V_{n-1} \geq \dim P + \dim V_{n-1} - \dim V_n = 1 \quad \implies \quad P \cap V_{n-1-0} \neq \emptyset.$$

Thus, $\sigma_{00}(\mathbf{V}) = G(2, n)$. In general, the integers a and b in (2) indicate how much earlier a typical element P of $\sigma_{ab}(\mathbf{V})$ satisfies the containment and intersection conditions with respect to the given flag. The subspace $\sigma_{ab}(\mathbf{V})$ is an analytic subvariety of the complex manifold $G(2, n)$ of (complex) codimension $a+b$. Since the dimension of $G(2, n)$ is $2(n-2)$, this means that $\sigma_{ab}(\mathbf{V})$ can be written as a disjoint union of finitely many complex manifolds with the largest dimension equal $2(n-2) - (a+b)$.

If \mathbf{V} and \mathbf{V}' are two different flags in \mathbb{C}^n , there is a smooth path of flags $\mathbf{V}^{(t)}$, with $t \in [0, 1]$, so that $\mathbf{V}^{(0)} = \mathbf{V}$ and $\mathbf{V}^{(1)} = \mathbf{V}'$. The smooth manifold with boundary

$$M = \{(t, P) \in [0, 1] \times G(2, n) : P \in \sigma_{ab}(\mathbf{V}^{(t)})\}$$

is then an equivalence between the cycles $\sigma_{ab}(\mathbf{V})$ and $\sigma_{ab}(\mathbf{V}')$. Thus, the equivalence class of $\sigma_{ab}(\mathbf{V})$ as a cycle in $G(2, n)$ is independent of the flag \mathbf{V} and is denoted σ_{ab} . It is customary to

abbreviate σ_{a0} as σ_a .

Given equivalence classes σ_{ab} and $\sigma_{a'b'}$, their intersection product $\sigma_{ab} \cdot \sigma_{a'b'}$ is the equivalence class of the cycle $\sigma_{ab}(\mathbf{V}) \cap \sigma_{a'b'}(\mathbf{V}')$ for a generic pair of flags \mathbf{V} and \mathbf{V}' on \mathbb{C}^n . Similarly to the previous paragraph, any two pairs of such flags are homotopic, so that the equivalence class of the cycle $\sigma_{ab}(\mathbf{V}) \cap \sigma_{a'b'}(\mathbf{V}')$ is independent of the generic pair $(\mathbf{V}, \mathbf{V}')$. The codimension of the cycle $\sigma_{ab} \cdot \sigma_{a'b'}$ is given by

$$\text{codim } \sigma_{ab} \cdot \sigma_{a'b'} = \text{codim } \sigma_{ab} + \text{codim } \sigma_{a'b'} = (a+b) + (a'+b').$$

If $\sigma_{a_1 b_1}, \dots, \sigma_{a_k b_k}$ are k cycles, the codimension of the cycle $\sigma_{a_1 b_1} \cdot \dots \cdot \sigma_{a_k b_k}$ is thus

$$\text{codim } (\sigma_{a_1 b_1} \cdot \dots \cdot \sigma_{a_k b_k}) = \sum_{i=1}^k (a_i + b_i).$$

If this number equals $2(n-2)$, then the dimension of this cycle in $G(2, n)$ is

$$\dim (\sigma_{a_1 b_1} \cdot \dots \cdot \sigma_{a_k b_k}) = \dim G(2, n) - \text{codim } (\sigma_{a_1 b_1} \cdot \dots \cdot \sigma_{a_k b_k}) = 0,$$

i.e. $\sigma_{a_1 b_1} \cdot \dots \cdot \sigma_{a_k b_k}$ is simply a finite collection of points. The number of these points is denoted by

$$\langle \sigma_{a_1 b_1} \cdot \dots \cdot \sigma_{a_k b_k}, G(2, n) \rangle \in \mathbb{Z}.$$

These numbers are called **intersection numbers of Schubert cycles**.

The above intersection numbers satisfy the following identities:

$$\langle \sigma_{a_1 b_1} \cdot \dots \cdot \sigma_{a_k b_k}, G(2, n) \rangle = \langle \sigma_{a_1 - b_1} \cdot \dots \cdot \sigma_{a_k - b_k}, G(2, n - b_1 - \dots - b_k) \rangle; \quad (\text{S1})$$

$$\langle \sigma_{n-2} \cdot \sigma_{n-2}, G(2, n-2) \rangle = 1; \quad (\text{S2})$$

$$\langle \sigma_{a_1} \sigma_{a_2} \sigma_{a_3}, G(2, n) \rangle = 1 \quad \text{if } n-2 \geq a_1, a_2, a_3 \geq 0, a_1 + a_2 + a_3 = 2n-4; \quad (\text{S3})$$

$$\sigma_{a_1} \cdot \sigma_{a_2} = \sum_{c \geq a_1, a_2} \sigma_{c, a_1 + a_2 - c}. \quad (\text{S4})$$

We verified (S1)-(S3) directly from the definition during one of the discussion sessions. The second identity is the $a_1, a_2 = n-2, a_3 = 0$ case of (S3).

The relation (S4) is known as **Pieri's formula**. It is actually an immediate consequence of (S1), (S3), and the structure of the cohomology of $G(2, n)$. The latter implies that two cycles A and B in $G(2, n)$ are equivalent if and only if

$$\langle A \cdot \sigma_{de}, G(2, n) \rangle = \langle B \cdot \sigma_{de}, G(2, n) \rangle \quad \forall d, e \in \mathbb{Z}.$$

Thus, in order to verify (S4), it is sufficient to show that

$$\langle \sigma_{a_1} \cdot \sigma_{a_2} \cdot \sigma_{de}, G(2, n) \rangle = \sum_{c \geq a_1, a_2} \langle \sigma_{c, a_1 + a_2 - c} \cdot \sigma_{de}, G(2, n) \rangle \quad \forall d, e \in \mathbb{Z}. \quad (3)$$

We can assume that $n-2 \geq a_1, a_2 \geq 0$, $a_1+a_2+d+e=2(n-2)$, and $n-2 \geq d \geq e \geq 0$; otherwise, both sides of (3) are zero. By (S1) and then (S3),

$$\begin{aligned} \langle \sigma_{a_1} \cdot \sigma_{a_2} \cdot \sigma_{de}, G(2, n) \rangle &= \langle \sigma_{a_1} \cdot \sigma_{a_2} \cdot \sigma_{d-e}, G(2, n-e) \rangle \\ &= \begin{cases} 1, & \text{if } \min(n-2, 2(n-2)-(a_1+a_2)) \geq d \geq (n-2) - \min(a_1, a_2), \\ 0, & \text{otherwise,} \end{cases} \end{aligned} \quad (4)$$

with the above assumptions on d and e . Similarly, if $\min(n-2, a_1+a_2) \geq c \geq \max(a_1, a_2)$,

$$\begin{aligned} \langle \sigma_{c, a_1+a_2-c} \cdot \sigma_{de}, G(2, n) \rangle &= \langle \sigma_{2c-a_1-a_2} \cdot \sigma_{d-e}, G(2, n+c-a_1-a_2-e) \rangle \\ &= \begin{cases} 1, & \text{if } d = n-2+c-a_1-a_2; \\ 0, & \text{otherwise.} \end{cases} \end{aligned}$$

This gives

$$\begin{aligned} \sum_{c \geq a_1, a_2} \langle \sigma_{c, a_1+a_2-c} \cdot \sigma_{de}, G(2, n) \rangle \\ = \begin{cases} 1, & \text{if } \min(n-2, 2(n-2)-(a_1+a_2)) \geq d \geq (n-2) - \min(a_1, a_2); \\ 0, & \text{otherwise.} \end{cases} \end{aligned} \quad (5)$$

Comparing (4) with (5), we obtain (3) and thus (S4).

Unfortunately, this argument requires deep facts about cycles in $G(2, n)$. There is a direct argument as well. A special case of this argument is used in the book to show that

$$\sigma_1 \cdot \sigma_1 = \sigma_2 + \sigma_{11} \quad (6)$$

in $G(2, 4)$. The argument in the book views the elements of $G(2, 4)$ as lines in \mathbb{P}^3 by taking their projectivizations. Here is the argument by considering them as 2-planes in \mathbb{C}^4 . We need to intersect

$$\begin{aligned} \sigma_1(\mathbf{V}^{\text{std}}) &\equiv \{P \in G(2, 4) : P \cap \mathbb{C}^2 \neq \{0\}\}, \\ \sigma_1(\mathbf{V}) &\equiv \{P \in G(2, 4) : P \cap V_2 \neq \{0\}\}, \end{aligned}$$

for a generic flag \mathbf{V} . For such a flag $\mathbb{C}^2 \cap V_2 = \{0\}$. However, we can move \mathbf{V} so that $\mathbb{C}^2 \cap V_2 = \mathbb{C}$ and thus $\mathbb{C}^2 + V_2$ is a three-dimensional linear subspace of \mathbb{C}^4 , which we can assume to be \mathbb{C}^3 ; it is spanned by the one-dimensional linear subspace $L_0 = \mathbb{C}$, a one-dimensional linear subspace L_1 in \mathbb{C}^2 different from L_0 , and a one-dimensional linear subspace L_2 in V_2 different from L_0 . An element of

$$\sigma_1(\mathbf{V}^{\text{std}}) \cap \sigma_1(\mathbf{V}) \equiv \{P \in G(2, 4) : P \cap \mathbb{C}^2 \neq \{0\}, P \cap V_2 \neq \{0\}\}$$

must either contain the line $L_0 = \mathbb{C}$ common to \mathbb{C}^2 and V_2 or intersect \mathbb{C}^2 and V_2 along some one-dimensional linear subspace $L_1 \subset \mathbb{C}^2$ and $L_2 \subset V_2$. In the latter case, P must lie in $\mathbb{C}^2 + V_2$. Conversely, if P is a two-dimensional linear subspace of $\mathbb{C}^2 + V_2 = \mathbb{C}^3$, then P must intersect \mathbb{C}^2 and V_2 at least in a one-dimensional linear subspace, since by linear algebra

$$\begin{aligned} \dim P \cap \mathbb{C}^2 &\geq \dim P + \dim \mathbb{C}^2 - \dim \mathbb{C}^3 \geq 1; \\ \dim P \cap V_2 &\geq \dim P + \dim V_2 - \dim \mathbb{C}^3 \geq 1. \end{aligned}$$

From this, we obtain

$$\begin{aligned}\sigma_1(\mathbf{V}^{\text{std}}) \cap \sigma_1(\mathbf{V}) &= \{P \in G(2, n) : P \cap \mathbb{C}^{4-1-2} \neq \{0\}\} \\ &\quad \cup \{P \in G(2, n) : P \subset \mathbb{C}^{4-1}, P \cap \mathbb{C}^{4-1-1} \neq \{0\}\} \\ &= \sigma_2(\mathbf{V}^{\text{std}}) \cup \sigma_{11}(\mathbf{V}^{\text{std}}).\end{aligned}$$

This implies (6) in the case of $G(2, 4)$.

A similar argument extends to the general case of (S4) with some care. The formula (S4) holds for $G(2, 2)$, with the only choices for a_1, a_2 being $a_1, a_2 = 0$; otherwise, both sides of (S4) vanish by definition. Suppose $n \geq 3$ and the formula holds for all $G(2, m)$ with $m < n$. We need to determine the intersection of

$$\begin{aligned}\sigma_a(\mathbf{V}^{\text{std}}) &\equiv \{P \in G(2, n) : P \cap \mathbb{C}^{n-1-a} \neq \{0\}\}, \\ \sigma_b(\mathbf{V}) &\equiv \{P \in G(2, n) : P \cap V_{n-1-b} \neq \{0\}\},\end{aligned}$$

for a generic flag \mathbf{V} as in (1).

Case 1: $a+b > n-2$. In this case,

$$\dim(\mathbb{C}^{n-1-a} + V_{n-1-b}) \leq \dim \mathbb{C}^{n-1-a} + \dim V_{n-1-b} = n + (n-2) - (a+b) < n.$$

Thus, $\mathbb{C}^{n-1-a} \cap V_{n-1-b} = \{0\}$ if V_{n-1-b} is generic. We can also assume that $\mathbb{C}^{n-1-a} + \mathbf{V}_{n-1-b} \subset \mathbb{C}^{n-1}$. Then,

$$\begin{aligned}\sigma_a(\mathbf{V}^{\text{std}}) \cap \sigma_b(\mathbf{V}) &\equiv \{P \in G(2, n) : P \cap \mathbb{C}^{n-1-a} \neq \{0\}, P \cap V_{n-1-b} \neq \{0\}\} \\ &= \{P \in G(2, n-1) : P \cap \mathbb{C}^{(n-1)-1-(a-1)} \neq \{0\}, P \cap V_{(n-1)-1-(b-1)} \neq \{0\}\}.\end{aligned}$$

The last set is precisely the intersection of $\sigma_{a-1}(\mathbf{V}^{\text{std}})$ with $\sigma_{b-1}(\mathbf{V})$ in $G(2, n-1)$. By the inductive assumption,

$$\sigma_{a-1} \cdot \sigma_{b-1} = \sum_{c=\max(a,b)}^{\min(n-2, a+b-1)} \sigma_{c-1, a+b-c-1} \quad \text{in } G(2, n-1).$$

Thus, as a cycle in $G(2, n-1) \subset G(2, n)$, this intersection is equivalent to

$$\begin{aligned}&\sum_{c=\max(a,b)}^{\min(n-2, a+b-1)} \{P \in G(2, n-1) : P \subset \mathbb{C}^{(n-1)-(a+b-c-1)}, P \cap \mathbb{C}^{(n-1)-1-(c-1)} \neq \{0\}\} \\ &\quad \sum_{c=\max(a,b)}^{\min(n-2, a+b-1)} \{P \in G(2, n) : P \subset \mathbb{C}^{n-(a+b-c)}, P \cap \mathbb{C}^{n-1-c} \neq \{0\}\} \\ &= \sum_{c=\max(a,b)}^{\min(n-2, a+b-1)} \sigma_{c, a+b-c}(\mathbf{V}^{\text{std}}).\end{aligned}$$

This gives (S4).

Case 2: $a+b \leq n-2$. If \mathbf{V} is a generic flag,

$$\dim(\mathbb{C}^{n-1-a} \cap V_{n-1-b}) = \dim \mathbb{C}^{n-1-a} + \dim V_{n-1-b} - \dim \mathbb{C}^n = n-2 - (a+b) \geq 0.$$

However, we can move \mathbf{V} so that $\mathbb{C}^{n-1-a} \cap V_{n-1-b} = \mathbb{C}^{n-1-(a+b)}$, and thus by linear algebra

$$\begin{aligned} \dim(\mathbb{C}^{n-1-a} + V_{n-1-b}) &= \dim \mathbb{C}^{n-1-a} + \dim V_{n-1-b} - \dim(\mathbb{C}^{n-1-a} \cap V_{n-1-b}) \\ &= (n-1-a) + (n-1-b) - (n-1-(a+b)) \\ &= n-1. \end{aligned}$$

Thus, we can assume that $\mathbb{C}^{n-1-a} + V_{n-1-b} = \mathbb{C}^{n-1}$. An element of

$$\sigma_a(\mathbf{V}^{\text{std}}) \cap \sigma_b(\mathbf{V}) \equiv \{P \in G(2, n) : P \cap \mathbb{C}^{n-1-a} \neq \{0\}, P \cap V_{n-1-b} \neq \{0\}\}$$

must either have a one-dimensional linear subspace in common with $\mathbb{C}^{n-1-a} \cap V_{n-1-b} = \mathbb{C}^{n-1-(a+b)}$ or intersect \mathbb{C}^{n-1-a} and V_{n-1-b} along some one-dimensional linear subspace $L_1 \subset \mathbb{C}^{n-1-a}$ and $L_2 \subset V_{n-1-b}$. In the latter case, P must lie in $\mathbb{C}^{n-1-a} + V_{n-1-b} = \mathbb{C}^{n-1}$, since P is a two-dimensional linear subspace of \mathbb{C}^n . From this, we obtain

$$\begin{aligned} \sigma_a(\mathbf{V}^{\text{std}}) \cap \sigma_b(\mathbf{V}) &= \{P \in G(2, n) : P \cap \mathbb{C}^{n-1-(a+b)} \neq \{0\}\} \\ &\cup \{P \in G(2, n) : P \subset \mathbb{C}^{n-1}, P \cap \mathbb{C}^{n-1-a} \neq \{0\}, \\ &\quad P \cap V_{n-1-b} \neq \{0\}\}. \end{aligned} \tag{7}$$

The first set on the right-hand side of (7) is precisely the cycle $\sigma_{a+b}(\mathbf{V}^{\text{std}})$ in $G(2, n)$; this set is empty if $a+b > n-2$. The second set is the intersection of the cycles

$$\begin{aligned} \sigma_{a-1}(\mathbf{V}^{\text{std}}) &\equiv \{P \in G(2, n-1) : P \cap \mathbb{C}^{(n-1)-1-(a-1)} \neq \{0\}\}, \\ \sigma_{b-1}(\mathbf{V}) &\equiv \{P \in G(2, n-1) : P \cap V_{(n-1)-1-(b-1)} \neq \{0\}\}, \end{aligned}$$

in $G(2, n-1)$. By the inductive assumption,

$$\sigma_{a-1} \cdot \sigma_{b-1} = \sum_{c=\max(a,b)}^{\min(n-2, a+b-1)} \sigma_{c-1, a+b-c-1} \quad \text{in } G(2, n-1).$$

Thus, as a cycle in $G(2, n-1) \subset G(2, n)$, the second set in (7) is equivalent to

$$\begin{aligned} &\sum_{c=\max(a,b)}^{\min(n-2, a+b-1)} \{P \in G(2, n-1) : P \subset \mathbb{C}^{(n-1)-(a+b-c-1)}, P \cap \mathbb{C}^{(n-1)-1-(c-1)} \neq \{0\}\} \\ &\quad \sum_{c=\max(a,b)}^{\min(n-2, a+b-1)} \{P \in G(2, n) : P \subset \mathbb{C}^{n-(a+b-c)}, P \cap \mathbb{C}^{n-1-c} \neq \{0\}\} \\ &= \sum_{c=\max(a,b)}^{\min(n-2, a+b-1)} \sigma_{c, a+b-c}(\mathbf{V}^{\text{std}}). \end{aligned} \tag{8}$$

Combining (7) and (8), we obtain (S4).