

VANISHING THEOREMS, A THEOREM OF SEVERI,  
AND THE EQUATIONS DEFINING PROJECTIVE VARIETIES

AARON BERTRAM, LAWRENCE EIN, AND ROBERT LAZARSFELD

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INTRODUCTION

In 1903 Severi [S] proved that if  $X, X' \subset \mathbb{P}^r$  are smooth surfaces whose union is the complete intersection of  $r - 2$  hypersurfaces of degrees  $d_1, \dots, d_{r-2}$ , then hypersurfaces of degree  $k \geq \sum d_i - r$  cut out a complete linear series on  $X$  (cf. [SR, XIII.9.8]). The purpose of this paper is first of all to show that elementary arguments using the Kodaira vanishing theorem lead to a simple variant of Severi's statement (Theorem 7 below) which extends it in several directions. More importantly, we hope to convince the reader that this result has a surprising number of applications to questions involving the equations defining projective varieties.

Consider to begin with a smooth complex projective variety  $X \subset \mathbb{P}^r$  of dimension  $n$  and codimension  $e = r - n$ . In this setting, our theorem asserts the vanishing of the higher cohomology of suitable twists of the  $a$ th power of the ideal sheaf  $\mathcal{I}_X$  of  $X$  in  $\mathbb{P}^r$ .

**Proposition 1.** *Assume that  $X$  is cut out scheme-theoretically in  $\mathbb{P}^r$  by hypersurfaces of degrees  $d_1 \geq d_2 \geq \dots \geq d_m$ . Then*

$$H^i(\mathbb{P}^r, \mathcal{I}_X^a(k)) = 0 \quad \text{for } i \geq 1 \text{ provided } k \geq ad_1 + d_2 + \dots + d_e - r.$$

Note that only the degrees of the first  $e = \text{codim}(X, \mathbb{P}^r)$  defining equations come into play here. When  $n = 2$  and  $a = 1$  this is a consequence of Severi's

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result. We remark that if  $d_1 = \cdots = d_e = d$ —a case that suffices for many of the applications—then there is a particularly quick proof of the proposition (see Remark 1.10).

Proposition 1 has a number of corollaries. First of all, taking  $a = 1$ , we get

**Corollary 2.** *Keeping notation as above, if  $d_1 + \cdots + d_e \leq r + 1$ , then  $X$  is projectively normal. If  $d_1 + \cdots + d_e \leq r$ , then  $X$  is projectively Cohen-Macaulay.*

For example, if  $X^n \subset \mathbb{P}^{2n+1}$  is cut out by quadrics, then  $X$  is projectively normal, a fact illustrated by numerous classical examples.

Corollary 2 in turn leads to a simplification and strengthening of the “Babylonian tower” theorems of Hartshorne and Barth-Van de Ven [B] concerning subvarieties of very low degree in projective space. Specifically, suppose that  $X \subset \mathbb{P}^r$  as above has degree  $d$ . Then, as is well known,  $X$  is defined by hypersurfaces of degree  $d$ , and hence it follows from the corollary that  $X$  is projectively Cohen-Macaulay as soon as  $de \leq r$ . Elementary estimates for Cohen-Macaulay rings then show that the homogeneous ideal  $I_X$  of  $X$  has  $\leq de$  minimal generators. On the other hand, an (elementary) theorem of Faltings [F] states that if  $X \subset \mathbb{P}^r$  is defined scheme-theoretically by  $r/2$  or fewer equations, then  $X$  is a complete intersection. Hence

**Corollary 3.** *Assume that  $X \subset \mathbb{P}^r$  is a smooth variety of degree  $d$ , dimension  $n$ , and codimension  $e$ . If*

$$d \leq \frac{r}{2e} \quad \left[ = \frac{n}{2e} + \frac{1}{2} \right],$$

*then  $X$  is a complete intersection.*

Hartshorne (cf. [H]) showed that if one fixes  $d$  and  $e$  and lets  $n$  go to infinity, then eventually  $X$  becomes a complete intersection. Barth and Van de Ven [B] obtained the same conclusion under the explicit assumption that  $d(d-1) < 2n/5$ . When  $e = 2$ , Ran [R1] proved a much stronger inequality, which was strengthened in [HS]. The motivation of these results is of course the (still wide open) conjecture that any smooth subvariety of sufficiently small codimension in projective space is a complete intersection. The possibility of using Faltings’s theorem in the present context was suggested to us by F. L. Zak (compare [F12]). Flenner [F11, F12] and Sato [Sat] have established Babylonian tower theorems in considerably more general settings, for example weighted projective spaces. It would be interesting to find analogous extensions of Proposition 1.

The next applications concern the Castelnuovo-Mumford regularity of  $X \subset \mathbb{P}^r$ . Recall that one says that  $X$  is  $k$ -regular if  $H^i(\mathbb{P}^r, \mathcal{I}_X(k-i)) = 0$  for  $i > 0$ . The significance of this concept stems from the fact that the regularity of  $X$  governs the complexity of computing the syzygies and other invariants of  $X$  (cf. [BS1, BS2]). For instance, a theorem of Mumford’s [M] states that  $X$  is  $k$ -regular if and only if for every  $p \geq 0$  the minimal generators of the  $p$ th module of syzygies of the homogeneous ideal  $I_X$  occur in degrees  $\leq k+p$ . It is

therefore of interest to bound the regularity of  $X$  in terms of the degrees of its defining equations. For an arbitrary scheme, this regularity can be horrendously large; there are examples due to Mayr-Meyer-Bayer-Stillman [BS1] of schemes  $X \subset \mathbb{P}^r$  defined by hypersurfaces of degree  $d$  with regularity  $\geq (d-2)^{2^{(r/10)}}$ . However, experience with actual computations suggests that for the sorts of varieties that occur in natural problems—e.g. smooth varieties—the regularity grows much more slowly with  $d$  and  $r$ . This empirical observation is justified by again taking  $a = 1$  in Proposition 1, which yields an optimal bound when  $X$  is smooth.

**Corollary 4.** *Assume as above that  $X \subset \mathbb{P}^r$  is a smooth variety of dimension  $n$  and codimension  $e$  defined by hypersurfaces of degrees  $d_1 \geq d_2 \geq \cdots \geq d_m$ . Then*

- (i)  $X$  is  $(d_1 + \cdots + d_e - e + 1)$ -regular; and
- (ii)  $X$  fails to be  $(d_1 + \cdots + d_e - e)$ -regular if and only if  $X$  is the complete intersection of hypersurfaces of degrees  $d_1, d_2, \dots, d_e$ .

In other words, we may say that complete intersections have the “worst” regularity among all smooth varieties defined by equations of given degrees. Previous work on the regularity of  $X$  has centered around estimates involving the degree of  $X$  (cf. [GLP, P, L1, and BM]). Here Proposition 1 implies a slight strengthening (Corollary 2.1) of a bound of Mumford’s, which however is presumably not optimal.

An application of a rather different flavor concerns the *Hodge type* of  $X \subset \mathbb{P}^r$ . Let  $U = \mathbb{P}^r - X$  denote the complement of  $X$ . One says that  $X$  has Hodge type  $\text{Ht}(X) \geq t$  if  $F^t H_c^i(U) = H_c^i(U) \quad \forall i \geq 0$ , where  $F$  is the Hodge-Deligne decreasing filtration. Motivated by some arithmetic results, Deligne and Dimca [DD] conjecture that if  $X \subset \mathbb{P}^r$  is an arbitrary algebraic set defined by equations of degrees  $d_1 \geq d_2 \geq \cdots \geq d_m$ , then

$$(*) \quad \text{Ht}(X) \geq \left[ (r+1 - \sum d_i) / d_1 \right].$$

They prove this when  $X$  is a hypersurface, and Esnault [E] has established the conjecture when  $X$  is a complete intersection. In the special case when  $X$  is smooth, Esnault’s results combined with Proposition 1 yield

**Corollary 5.** *If  $X \subset \mathbb{P}^r$  is a smooth connected variety of codimension  $e$  defined scheme-theoretically by hypersurfaces of degrees  $d_1 \geq d_2 \geq \cdots \geq d_m$ , then  $\text{Ht}(X) \geq [(r+1 - \sum_{i=1}^e d_i) / d_1]$ .*

This inequality implies (\*), but of course the hypotheses on  $X$  are much stronger than what one would like.

Changing gears somewhat, we consider finally the normal generation of adjoint bundles on a smooth projective variety  $X$  of dimension  $n$ . There has been considerable interest recently in the projective normality and defining equations of algebraic curves and other varieties (cf. [M, G, L2, KMF, Btl]). The prototypical result here is an old theorem of Castelnuovo et al. to the effect that a

line bundle of degree  $\geq 2g + 1$  on a smooth curve of genus  $g$  defines a projectively normal embedding. It is natural to ask for analogous statements in higher dimensions. Mukai observed that the known theorems deal with embeddings defined by bundles of the type  $K_X \otimes P$ , where  $P$  is an explicit multiple of a suitably positive bundle. He suggested that one should aim for general results having this shape. In this direction, we prove that if  $A$  is a very ample line bundle on  $X$ , then  $K_X \otimes A^{\otimes k}$  is normally generated as soon as  $k \geq n + 1$ .

**Proposition 6.** *Let  $A$  be a very ample line bundle on  $X$ , and let  $B$  and  $C$  be arbitrary numerically effective line bundles on  $X$ . Then the natural multiplication map*

$$H^0(X, K_X \otimes A^{\otimes k} \otimes B) \otimes H^0(X, K_X \otimes A^{\otimes m} \otimes C) \rightarrow H^0(X, K_X^{\otimes 2} \otimes A^{\otimes k+m} \otimes B \otimes C)$$

*is surjective provided that  $k, m \geq n + 1$ . In particular, if  $k \geq n + 1$ , then  $K_X \otimes A^{\otimes k} \otimes B$  defines a projectively normal embedding of  $X$  provided that it is very ample, i.e., if  $(X, A, B) \neq (\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1), \mathcal{O}_{\mathbb{P}^n})$ .*

We show that the same statement holds with one exception when  $k, m \geq n$ . These results have been obtained independently by Andreatta, Ballico, and Sommese [AS, ABS]. Analogous assertions for defining equations for higher syzygies are established by different methods in [EL], to which we refer for a fuller discussion of the background and earlier work on these questions.

The propositions are consequences of

**Theorem 7.** *Let  $M$  be a smooth complex projective variety, let  $A$  be an ample line bundle on  $M$ , and let  $L$  be a globally generated line bundle on  $M$ . Consider a smooth subvariety  $X \subset M$  of codimension  $e$ , with ideal sheaf  $\mathcal{I}_X = \mathcal{I}_{X/M}$ . Suppose that  $X$  is defined scheme-theoretically in  $M$  by the vanishing of  $m$  sections  $s_i \in H^0(M, L^{\otimes d_i})$ , where  $d_1 \geq d_2 \geq \dots \geq d_m$ . Then*

$$H^i(M, \mathcal{I}_X^a \otimes K_M \otimes L^{\otimes k} \otimes A) = 0 \quad \text{for } i \geq 1$$

*provided  $k \geq a d_1 + d_2 + \dots + d_e$ .*

In fact, it is enough here that  $A$  be big and nef. Proposition 1 follows immediately. For Proposition 6, one applies the theorem to the diagonal  $\Delta \subset X \times X$ , which is cut out by sections of  $L = (p_1)^* A \otimes (p_2)^* A$  as long as  $A$  is very ample. In this setting, the analogous statements involving higher powers of  $\mathcal{I}_\Delta$  yield information about the surjectivity of the Wahl map and its generalizations (see Corollary 3.4).

As for Theorem 7, the idea is to consider the blowing-up  $p: P = \text{Bl}_X(M) \rightarrow M$  of  $M$  along  $X$ , and to apply the Kodaira-Ramanujan-Kawamata-Viehweg vanishing theorem. When  $d_1 = d_2 = \dots = d_m = d$ , one can apply vanishing directly on  $P$ , in which case the result is practically immediate. In general, as in Severi's set-up, we work on the blowing-up  $Y \subset P$  of the variety residual to  $X$  in the complete intersection of suitable sections  $t_i \in H^0(M, \mathcal{I}_X \otimes L^{\otimes d_i})$ . We remark that a similar argument yields a direct generalization (Proposition

1.11) of Severi's theorem, as well as a generalization (Proposition 1.12) of the Griffiths vanishing theorem [Griff] for globally generated vector bundles.

The possibility of applying vanishing theorems on a blow-up to study the equations vanishing on a subvariety is evidently not a new idea. For example, much more sophisticated arguments along these lines occur in the work of Esnault and Viehweg [EV1, EV2] on Dyson's lemma. They and others (including some of the present authors) have been at least implicitly aware for some time of the special case  $d_1 = d_2 = \cdots = d_m = d$  of Theorem 7. However, the applicability of these ideas to the sort of projective-geometric questions considered above seems not to have recognized, and it is here that we post our main claim to novelty.

The Severi-type Theorem 7 and some variants are proven in §1. Section 2 contains the applications to subvarieties of projective space, viz. Proposition 1 and Corollaries 2, 3, 4, and 5. We prove the normal generation of adjoint bundles (Proposition 6) and some related results in §3.

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## 0. NOTATION AND CONVENTIONS

(0.1) We work throughout over the complex numbers.

(0.2) Let  $X$  be a projective variety of dimension  $n$ . If  $L$  is a line bundle on  $X$ , we write  $L^k$  for the  $k$ -fold tensor power  $L^{\otimes k}$  of  $L$ . If  $k \geq 0$ , then as usual  $L^{-k} = (L^*)^k$ . Recall that  $L$  is nef if  $c_1(L) \cdot \Gamma \geq 0$  for every irreducible curve  $\Gamma \subset X$ .  $L$  is big if for some  $m > 0$  the rational map  $\Phi_{|mL|}: X \dashrightarrow \mathbb{P}H^0(L^m)$  is birational. If  $L$  is nef, this is equivalent to asking that  $\int c_1(L)^n > 0$ . (See [Mori, (1.9)] for a fuller discussion.)

(0.3) When  $X$  is smooth, we denote by  $K_X$  the canonical bundle on  $X$ . We will frequently use the *Kawamata-Viehweg vanishing theorem* [K, V], which states that if  $L$  is a big and nef line bundle on a smooth projective variety, then  $H^i(X, K_X \otimes L) = 0$  for  $i \geq 1$ .

(0.4) Let  $L_1, \dots, L_m$  be line bundles on a variety  $M$ . We say that a subvariety  $X \subset M$  with ideal sheaf  $\mathcal{I}_X$  is *scheme-theoretically cut out* by sections  $s_i \in H^0(M, L_i)$  if the  $s_i$  vanish on  $X$ , and if the sheaf homomorphism  $\bigoplus L_i^* \rightarrow \mathcal{I}_X^*$  determined by the  $s_i$  is surjective.

## 1. A VARIANT OF SERVERI'S THEOREM

In this section we work in the setting of Theorem 7 from the Introduction. Thus,  $M$  is a smooth complex projective variety of dimension  $r$ ,  $A$  is a big and nef line bundle on  $M$ , and  $L$  is a globally generated line bundle on  $M$ . We consider a smooth subvariety  $X \subset M$  of pure dimension  $n$  and codimension

$e = n - r$ , and we suppose given the surjective map

$$(1.1) \quad \bigoplus_{i=1}^m L^{-d_i} \rightarrow \mathcal{I}_X \rightarrow 0,$$

where  $d_1 \geq d_2 \geq \dots \geq d_m > 0$ . Our purpose now is to prove

**Theorem 1.2.** *Under the hypotheses just stated  $H^i(M, \mathcal{I}_X^a \otimes K_M \otimes L^k \otimes A) = 0$  for  $a, i \geq 1$  and  $k \geq a d_1 + d_2 + \dots + d_e$ .*

As in the introduction,  $\mathcal{I}_X^a$  denotes the  $a$ th power of the ideal sheaf  $\mathcal{I}_X$ .

We start by recording two elementary lemmas.

**Lemma 1.3.** *Let  $P$  be a smooth variety, let  $A$  be a big and nef line bundle on  $P$ , and let  $\vartheta$  be a base-point free linear system of divisors on  $P$ . Then the restriction  $A/D$  of  $A$  to a general element  $D \in \vartheta$  is again big and nef.*

*Proof.* This is clear given the fact (cf. [Mori, (1.9), (1.9.1)]) that a nef line bundle  $A$  is big if and only if there exists a natural number  $d > 0$  and a very ample divisor  $H$  such that  $A^d = H \otimes N$ , where  $h^0(N) \neq 0$ .  $\square$

**Lemma 1.4.** *Let  $X \subset M$  be a smooth codimension  $e$  subvariety of a smooth variety  $M$ , let  $f: P = \text{Bl}_X(M) \rightarrow M$  be the blowing-up of  $M$  along  $X$ , and let  $E \subset P$  be the exceptional divisor. If  $0 \leq t \leq e - 1$ , then*

$$H^i(P, f^*F \otimes \mathcal{O}_P(tE)) = H^i(M, F) \quad \forall i$$

for any locally free sheaf  $F$  on  $M$ .

*Proof.* By the projection formula and the Leray spectral sequence, it is enough to show that  $f_*\mathcal{O}_P(tE) = \mathcal{O}_M$  and  $R^i f_*\mathcal{O}_P(tE) = 0$  for  $i > 0$  and  $t$  in the indicated range. When  $t = 0$  that is well known. For  $t \geq 1$  one argues by induction from the sequence

$$0 \rightarrow \mathcal{O}_P((t-1)E) \rightarrow \mathcal{O}_P(tE) \rightarrow \mathcal{O}_E(tE) \rightarrow 0$$

using the facts that  $E = \mathbb{P}N$  is the projectivization of a rank  $e$  vector bundle  $N$  on  $X$ , and that  $\mathcal{O}_E(E) = \mathcal{O}_{\mathbb{P}N}(-1)$ .  $\square$

Now we turn to the

*Proof of Theorem 1.2.* Let  $f: P = \text{Bl}_X(M) \rightarrow M$  be the blowing-up of  $M$  along  $X$ , and denote by  $E \subset P$  the exceptional divisor. Recalling again that  $f_*\mathcal{O}_P = \mathcal{O}_M$  and  $R^i f_*\mathcal{O}_P = 0$  for  $i > 0$  because  $X$  is smooth, one finds by induction on  $a$  the well-known fact that if  $a > 0$  then  $f_*\mathcal{O}_P(-aE) = \mathcal{I}_X^a$  and  $R^i f_*\mathcal{O}_P(-aE) = 0$  for  $i > 0$ . The projection formula and the Leray spectral sequence therefore yield

$$H^*(P, f^*B(-aE)) = H^*(M, \mathcal{I}_X^a \otimes B)$$

for any line bundle  $B$  on  $M$ . With this in mind, the issue is to prove that  $H^i(P, f^*(K_M \otimes L^{\otimes k} \otimes A)(-aE)) = 0$  for  $i \geq 1$  and  $k \geq a d_1 + d_2 + \dots + d_e$ .

To this end, let  $B_i = f^*L^{d_i}(-E)$ . Then

$$(*) \quad B_i \otimes (B_j)^* = f^*(L^{d_i-d_j}) \quad \text{is base-point free for } i \geq j$$

thanks to the facts that  $L$  is base-point free and  $d_i \geq d_j$  when  $i \geq j$ . On the other hand, the sections  $s_i \in H^0(M, L^{d_i})$  defining  $X$  give arise in the natural way to effective divisors  $F_i \in |B_i|$ , and the existence of the surjective map (1.1) means precisely that

$$(**) \quad F_1 \cap F_2 \cap \dots \cap F_m = \emptyset.$$

We now proceed in several steps.

Claim 1.5. Let  $D_i \in |B_i|$  be a general divisor, and for  $1 \leq s \leq e$ , set

$$Y_s = D_1 \cap D_2 \cap \dots \cap D_s.$$

Then by choosing the  $D_i$  suitably, we may assume that

- (i)  $Y_s$  is smooth (but possibly disconnected) of pure codimension  $s$  in  $P$ ;
- (ii) for any irreducible component  $Y \subset Y_s$ , the restriction  $f^*A|Y$  is big and nef;
- (iii) no component of  $Y_s$  is contained in the exceptional divisor  $E$ ; and
- (iv)  $Y_s \cap F_{s+1} \cap \dots \cap F_m = \emptyset$ .

We prove Claim 1.5 by induction on  $s$ . It follows to begin with from (\*) and (\*\*) that  $B_1$  is globally generated, and hence (i), (iii), and (iv) are clear when  $s = 1$ . Statement (iii) follows in the case  $s = 1$  from (1.3) and the fact that  $f^*A$  is big and nef. Assume inductively that Claim 1.5 is known for a given  $s$ . Denoting by  $\text{Bs}(B_i)$  the base-locus of  $B_i$ , it is a consequence of (\*) that  $\text{Bs}(B_{s+1}) \subset F_{s+1} \cap \dots \cap F_m$ . Therefore, thanks to (iv),  $B_{s+1}|Y_s$  is base-point free and, in fact,  $B_{s+1}|Y_s$  is generated by  $H^0(P, B_{s+1})$ . But then by taking  $D_{s+1} \in |B_{s+1}|$  to be sufficiently general, we can certainly arrange that  $Y_{s+1} = Y_s \cap D_{s+1}$  satisfies (i)-(iv).

Now fix  $Y = Y_e = D_1 \cap D_2 \cap \dots \cap D_e$  satisfying the assertions of Claim 1.5, and the set  $d = d_1 + d_2 + \dots + d_e$ .

Claim 1.6. If  $k \geq a d_1 + d_2 + \dots + d_e$ , then

$$H^i(P, f^*(K_M \otimes L^k \otimes A) \otimes \mathcal{O}_Y(-aE)) = 0 \quad \text{for } a, i \geq 1.$$

We prove this by applying the Kawamata-Viehweg vanishing theorem on (each connected component of)  $Y$ . To this end, use adjunction and the formula for the canonical divisor of a blow-up along a smooth center to compute

$$\begin{aligned} K_Y &= K_P \otimes B_1 \otimes \dots \otimes B_e|Y \\ &= f^*K_M \otimes \mathcal{O}_P((e-1)E) \otimes (f^*L^d \otimes \mathcal{O}_P(-eE))|Y \\ &= F^*(K_M \otimes L^d) \otimes \mathcal{O}_P(-E)|Y. \end{aligned}$$

(If  $Y$  is disconnected, the restriction of the bundle appearing on the right-hand side computes the canonical bundle of any component of  $Y$ .) Therefore

$$f^*(K_M \otimes L^k \otimes A) \otimes \mathcal{O}_P(-aE) \otimes \mathcal{O}_Y = K_Y \otimes f^*(A \otimes L^{k-a d_1 - d_2 - \dots - d_e}) \otimes (B_1)^{a-1}.$$

Recalling that  $B_1 = f^*L^{d_1}(-E)$  is base-point free and that  $f^*A|Y$  is big and nef, it follows that if  $k \geq ad_1 + d_2 + \dots + d_e$ . Then  $f^*(K_M \otimes L^k \otimes A) \otimes \mathcal{O}_P(-aE) \otimes \mathcal{O}_Y$  is of the form  $K_Y \otimes C$ , where  $C$  is nef and big. Hence Claim 1.6 follows from [K] or [V].

Consider next the exact sequence  $0 \rightarrow \mathcal{F}_Y \rightarrow \mathcal{O}_P \rightarrow \mathcal{O}_Y \rightarrow 0$ . Twisting through by  $f^*(K_M \otimes L^k \otimes A)(-aE)$ , the theorem will follow from Claim 1.6 as soon as we establish the vanishing

$$(1.7) \quad \text{If } a \geq 1 \text{ and } k \geq ad_1 + d_2 + \dots + d_e, \\ \text{then } H^i(P, f^*(K_M \otimes L^k \otimes A) \otimes \mathcal{F}_Y(-aE)) = 0 \text{ for } i \geq 1.$$

To this end, let  $V = \bigoplus_{i=1}^e B_i^*$ , so that  $Y \subset P$  is the zero locus of a vector bundle map  $\varepsilon: V \rightarrow \mathcal{O}_P$ . In view of the Koszul resolution of  $\mathcal{F}_Y$  determined by  $\varepsilon$ , for (1.7) it is in turn enough to prove.

$$(1.8) \quad H^i(P, f^*(K_M \otimes L^k \otimes A)(-aE) \otimes \Lambda^t V) = 0 \\ \text{for } a, i \geq 1, t \geq 1, \text{ and } k \geq ad_1 + d_2 + \dots + d_e.$$

But  $\Lambda^t V$  is a direct sum of line bundles of the form  $f^*L^{-s} \otimes \mathcal{O}_P(tE)$  with  $0 \leq s \leq d_1 + \dots + d_t$ . Therefore (1.8)—and hence finally the theorem—is a consequence of

Claim 1.9. For any  $1 \leq t \leq e$  and  $a, i \geq 1$ :

$$H^i(P, f^*(K_M \otimes L^{(k-s)} \otimes A) \otimes \mathcal{O}_P((t-a)E)) = 0$$

provided  $k \geq ad_1 + d_2 + \dots + d_e$  and  $0 \leq s \leq d_1 + \dots + d_t$ .

Proceeding by induction on  $a$ , assume first that  $a = 1$ . Then by Lemma 1.4,

$$H^i(P, f^*(K_M \otimes L^{(k-s)} \otimes A)((t-1)E)) = H^i(M, K_M \otimes L^{(k-s)} \otimes A).$$

But  $k \geq s$  and hence the term on the right vanishes by Kawamata-Viehweg. Now once one knows Claim 1.9 for given  $a \geq 1$ , then the theorem follows for that value of  $a$ . So we can assume inductively that  $H^i(P, f^*(K_M \otimes L^{\otimes k} \otimes A) \cdot (-aE)) = 0$  for  $i \geq 1$  and  $k \geq ad_1 + d_2 + \dots + d_e$ . This implies the case  $a + 1$  of Claim 1.9 when  $t < a + 1$ , whereas when  $t \geq a + 1$  it follows as before from Lemma 1.3 and Kawamata-Viehweg vanishing. This completes the proof of the theorem.  $\square$

The remainder of this section is devoted to spelling out some variants of Theorem 1.2 and its proof. As we stated above, there is a particularly quick argument to handle the special case  $d_1 = d_2 = \dots = d_m = d$ .

*Remark 1.10.* Keeping the notation of Theorem 1.2, assume that  $X \subset M$  is cut out by sections of  $H^0(M, L^d)$ . As before let  $f: P \rightarrow M$  be the blowing-up of  $M$  along  $X$ , and let  $E \subset P$  be the exceptional divisor. Since  $X$  is cut out by sections of  $L^d$ ,  $f^*L^d(-E)$  is globally generated, and hence nef. Therefore  $f^*(K_M \otimes L^k \otimes A) \otimes \mathcal{O}_P(-aE) = K_P \otimes (f^*L^d(-E))^{e+a-1} \otimes f^*(L^{k-d(e+a-1)} \otimes A)$ ,

which is of the form  $K_P \otimes$  (big and nef) provided that  $k \geq d(e+a-1)$ . Hence, by Kawamata-Viehweg vanishing,

$$H^i(M, \mathcal{I}_X^A \otimes K_M \otimes L^k \otimes A) = H^i(P, f^*(K_M \otimes L^k \otimes A) \otimes \mathcal{O}_P(-aE)) = 0$$

for  $a, i \geq 1$  and  $k \geq d(e+a-1)$ , as required.

Note that Severi’s theorem does not follow directly from the statement of Theorem 1.2 because he does not assume as we do that  $X \subset \mathbb{P}^r$  is defined by hypersurfaces of degrees  $\leq \max\{d_1, \dots, d_{r-2}\}$ . However the proof of Theorem 1.2 does yield the following generalization of Severi’s result, whose verification we leave to the reader.

**Proposition 1.11.** *With notation as in the proof of Theorem 1.2, assume that  $X \subset M$  lies in the complete intersection of  $e = \text{codim}(X, M)$  sections  $s_i \in H^0(M, L^{d_i})$ , and let  $F_i \in |f^*L^{d_i}(-E)|$  be the corresponding divisors residual to  $E$  in the blow-up  $P$  of  $M$  along  $X$ . Assume that  $Y = F_1 \cap F_2 \cap \dots \cap F_e$  is smooth, and that no component of  $Y$  lies in the exceptional divisor  $E$ . Then  $H^i(M, \mathcal{I}_X \otimes K_M \otimes L^k \otimes A) = 0$  for  $i \geq 1$  and  $k \geq d_1 + d_2 + \dots + d_e$ .  $\square$*

Observe that we are not assuming here anything extra about the equations defining  $X$  in  $M$ . The argument for Theorem 1.2 does not directly yield the analogous statement for higher power of the ideal sheaf, because if  $a > 1$  then the proof of Claim 1.6 requires that one know that  $f^*L^{d_1}(-E)$  is generated by its global sections. It might seem more natural to assume the smoothness of the variety  $X' \subset M$  residual to  $X$  in the complete intersection of the  $s_i$ —as Severi did—but when  $n \geq 4$ ,  $X'$  will typically be singular.

Finally, we remark that an argument similar to the proof of Theorem 1.2 leads to an extension of a well-known vanishing theorem of Griffiths [Griff]. As at the beginning of this section,  $M$  is a complex projective manifold,  $L$  is a globally generated line bundle on  $M$ , and  $A$  is a big and nef line bundle on  $M$ .

**Proposition 1.12.** *Suppose that  $E$  is a vector bundle of rank  $e$  on  $M$ , which is a quotient of a direct sum of powers of  $L$*

$$L^{d_1} \oplus \dots \oplus L^{d_m} \rightarrow E \rightarrow 0,$$

where  $0 \leq d_1 \leq \dots \leq d_m$ . Then

$$H^i(M, S^t(E) \otimes L^{-k} \otimes \det E \otimes A \otimes K_M) = 0$$

for  $i \geq 1, t \geq 0$ , and  $k \leq (t+1)d_1 + \dots + d_e$ .

When  $d_1 = \dots = d_m = 0$ , this is the Griffiths vanishing theorem for globally generated vector bundles.

*Sketch of Proof of Proposition 1.12.* Let  $f: P = \mathbb{P}E \rightarrow M$  be the projectivization of  $E$  and set  $B_i = f^*L^{-d_i} \otimes \mathcal{O}_{\mathbb{P}E}(1)$ . As in the proof of Theorem 1.2 one argues first that if  $D_i \in |B_i|$  is a sufficiently general divisor, then  $Y = D_1 \cap D_2 \cap \dots \cap D_e$

is smooth and generically finite over  $M$ . Set  $C_{t,k} = f^*(L^{-k} \otimes \det E \otimes A \otimes K_M) \otimes \mathcal{O}_{\mathbb{P}^E}(t)$ . Applying Kawamata-Viehweg vanishing on  $Y$ , one finds that  $H^i(Y, C_{t,k}|_Y) = 0$  for  $i \geq 1$  and  $k \leq (t+1)d_1 + \dots + d_m$ . The Koszul resolution for  $\mathcal{F}_Y$  shows that  $H^i(P, C_{t,k} \otimes \mathcal{F}_Y) = 0$  for  $i$  and  $k$  in the same range, and the assertion follows.  $\square$

2. APPLICATIONS TO SUBVARIETIES OF PROJECTIVE SPACE

In this section we give the applications to subvarieties of projective space, as stated in the introduction.

*Proof of Proposition 1.* Apply Theorem 1.2 with  $A = L = \mathcal{O}_{\mathbb{P}^r}(1)$ .  $\square$

*Proof of Corollary 2.* If  $d_1 + \dots + d_e \leq r + 1$ , then  $H^1(X, \mathcal{F}_X(k)) = 0$  for  $k \geq 1$  and hence  $X$  is projectively normal. If  $d_1 + \dots + d_e \leq r$ , then in addition  $H^{i+1}(\mathbb{P}^r, \mathcal{F}_X(k)) = H^i(X, \mathcal{O}_X(k)) = 0$  for  $k \geq 0$  and  $i \geq 1$ . Since  $H^i(X, \mathcal{O}_X(k)) = 0$  when  $k < 0$  and  $1 \leq i \leq n - 1$  by Kodaira, it follows that  $X$  is projectively Cohen-Macaulay.  $\square$

*Proof of Corollary 5.* When  $X$  is a local complete intersection, Esnault [E] proves that the desired inequality is a consequence of the vanishing  $H^i(\mathbb{P}^r, \mathcal{F}_X^a(k)) = 0$  for  $i \geq 0$  and  $0 \geq k \geq a d_1 + d_2 + \dots + d_e - r$ . When  $i = 0$  this is clear since  $k \leq 0$ , and when  $i \geq 1$  it is the assertion of Proposition 1.  $\square$

*Proof of Corollary 4.* Given  $X \subset \mathbb{P}^r$  of dimension  $n$ , to check that  $X$  is  $k$ -regular (for  $k \geq 0$ ) it is sufficient to verify that  $H^i(\mathbb{P}^r, \mathcal{F}_X(k - i)) = 0$  for  $1 \leq i \leq n + 1$ , the remaining vanishings being automatic. Therefore statement (i) of the corollary is a consequence of Proposition 1.

Now assume that  $X$  fails to be  $(d_1 + \dots + d_e - e)$ -regular. Then it likewise follows from Proposition 1 that

$$H^{n+1}(\mathbb{P}^r, \mathcal{F}_X(d_1 + \dots + d_e - e - n - 1)) = H^n(X, \mathcal{O}_X(d_1 + \dots + d_e - r - 1)) \neq 0.$$

Equivalently,

$$(*) \quad H^0(X, K_X \otimes \mathcal{O}_X(r + 1 - d_1 - \dots - d_e)) \neq 0.$$

Arguing as in the proof of Theorem 1.2, we may choose polynomials  $s_i \in H^0(\mathbb{P}^r, \mathcal{F}_X(d_i))$  ( $1 \leq i \leq e$ ) defining hypersurfaces  $D_i \supset X$  such that the  $s_i$  generate  $\mathcal{F}_X$  away from a codimension 1 subset. Thus

$$Y =_{\text{def}} D_1 \cap D_2 \cap \dots \cap D_e = X \cup X',$$

where  $X'$  (if nonempty) is either disjoint from  $X$  or meets  $X$  in a divisor. We suppose henceforth that  $n = r - e \geq 1$ . Then  $Y$  is connected, so to show that  $X = Y$ , it is enough to prove that  $X \cap X' = \emptyset$ .

To this end, observe that the differentials of the  $s_i$  determine in the natural way a map

$$u: \mathcal{O}_X(-d_1) \oplus \cdots \oplus \mathcal{O}_X(-d_e) \rightarrow N^*,$$

where  $N^*$  is the conormal bundle to  $X$  in  $\mathbb{P}^r$ . Since the  $s_i$  generically generate  $\mathcal{S}_X$ ,  $u$  is injective considered as a homomorphism of coherent sheaves. Furthermore,  $\text{coker } u$  is supported on  $X \cap X'$ . Computing first Chern classes, it follows that  $X \cap X'$  is supported on a divisor in the linear series

$$|\det N^* \otimes \mathcal{O}_X(d_1 + \cdots + d_e)| = |\mathcal{O}_X(d_1 + \cdots + d_e - r - 1) \otimes K_X^*|.$$

In view of (\*), this implies that the linear series in question is trivial, and hence that  $u$  is an isomorphism. Therefore  $X \cap X' = \emptyset$ , and we are done.  $\square$

*Remark.* The assertion of the corollary remains true if  $\dim X = 0$ . We leave it to the interested reader to make the necessary changes to the argument just given.

Proposition 1 also leads to a quick proof of a slight generalization of a theorem of Mumford [BM] bounding the regularity of  $X$  in terms of its degree.

**Corollary 2.1.** *Assume that  $X \subset \mathbb{P}^r$  is a smooth variety of degree  $d$ , dimension  $n$ , and codimension  $e = r - n$ . Set  $c = \min\{e, n + 1\}$ . Then*

$$H^i(\mathbb{P}^r, \mathcal{S}_X(k)) = 0 \quad \text{for } i \geq 1 \text{ and } k \geq c(d - 1) - n.$$

*Remark.* Mumford’s result is the statement that  $H^i(\mathbb{P}^r, \mathcal{S}_X(k)) = 0$  for  $i \geq 1$  and  $k \geq (n + 1)(d - 1) - n = (n + 1)(d - 2) + 1$ . When  $X$  is nondegenerate, one hopes that in fact the stated vanishing holds as soon as  $k \geq d + n - r$ , at least when  $r \geq 2n + 1$ , but this is only known when  $\dim X \leq 3$  [GLP, P, L1, R2].

*Proof of Corollary 2.1.* When  $r \geq 2n + 1$ , it is enough to prove the stated vanishing for the embedding  $X \subset \mathbb{P}^{2n+1}$  obtained by taking a general projection to  $\mathbb{P}^{2n+1}$ . Therefore we may assume that  $r = n + c$ . But recall that if  $X$  has degree  $d$ , then  $X$  is scheme-theoretically cut out in  $\mathbb{P}^r$  by hypersurfaces of degree  $d$  (cf. [M]). Hence the corollary follows from Proposition 1.  $\square$

*Remark.* Observe that the proof requires only the easy case  $d_1 = \cdots = d_e = d$  of Proposition 1.

We conclude this section by proving the “Babylonian-tower”-type<sup>1</sup> Corollary 3 and a generalization. As before  $X \subset \mathbb{P}^r$  denotes a smooth irreducible projective variety of dimension  $n$ , degree  $d$ , and codimension  $e = r - n$ . We assume that  $X \subset \mathbb{P}^r$  is nondegenerate, i.e., that it is not contained in any hyperplanes. The first point is an elementary estimate for the number of generators of the homogeneous ideal of a Cohen-Macaulay variety.

<sup>1</sup>Results of this sort can be seen as asserting that  $X \subset \mathbb{P}^r$  is a complete intersection provided that it is the hyperplane section of a smooth variety  $X_1 \subset \mathbb{P}^{r+1}$ , where  $X_1$  is in turn the hyperplane section of a smooth variety  $X_2 \subset \mathbb{P}^{r+2}$ , and so on indefinitely—a situation that is supposed to evoke the image of a Babylonian tower.

**Lemma 2.2.** *Assume that  $X \subset \mathbb{P}^r$  as above is projectively Cohen-Macaulay. Then the homogeneous ideal  $I = I_X$  of  $X$  can be generated by  $e(d-1) - \binom{e}{2}$  generators.*

*Proof.* Since the homogeneous coordinate ring of  $X$  is Cohen-Macaulay, we can mod out by a regular sequence without changing the number of generators of  $I$ . Therefore the lemma reduces to the following assertion:

(2.3)

Let  $V$  be a vector space of dimension  $e$ ; and let  $I \subset S = \text{Sym}(V)$  be a homogeneous ideal of codimension  $d$ , generated by elements of degrees  $\geq 2$ , so that the graded ring  $R = S/I$  is Artinian of length  $d$ . Let  $\mathbb{C} = S/S_+$  denote the residue field of  $S$  at the irrelevant maximal ideal. Then  $\dim_{\mathbb{C}} \text{Tor}_1^S(R, \mathbb{C}) \leq e(d-1) - \binom{e}{2}$ .

But this follows easily from the fact (cf. [G]) that one can compute the Tor in question as the homology at the middle term of the Koszul-type complex:

$$\Lambda^2 V \otimes R \rightarrow V \otimes R \rightarrow R.$$

Indeed, the map on the right has  $\text{rank} \geq e$ , and choosing an element of  $R$  gives an embedding  $\Lambda^2 V \subset \ker\{V \otimes R \rightarrow R\}$ .  $\square$

*Remark.* In the situation of the lemma, let  $R$  be the homogeneous coordinate ring of  $X$ . A similar argument shows that the  $k$ th module of syzygies of  $I_X$  has  $\leq \binom{e}{k}(d-1) - \binom{e}{k+1}$  minimal generators. Moreover this is sharp if  $X$  is a variety of minimal degree.

**Corollary 2.4.** *Keeping notation as above, assume that  $d \leq r/2e$ . Then  $X$  is a complete intersection. If  $n \geq (3r-2)/4$ , then  $X$  is a complete intersection provided that  $d \leq r/e$ .*

*Proof.* Recall again that if  $X \subset \mathbb{P}^r$  has degree  $d$ , then  $X$  is scheme-theoretically defined by hypersurfaces of degree  $d$  (cf. [M]). Therefore  $X$  is projectively Cohen-Macaulay once  $de \leq r$  thanks to Corollary 2. In this case, Lemma 2.2 implies that  $X$  is cut out by  $\leq ed$  equations. But according to a result of Faltings [F, Satz 3], if  $X \subset \mathbb{P}^r$  is cut out scheme-theoretically by  $k \leq r/2$  equations, then  $X$  is a complete intersection. The first assertion of the corollary follows. For the second, we invoke an extension of Faltings's theorem by Netsvetayev [N], who shows that it is enough to assume  $k \leq n$  provided that  $n \geq (3r-2)/4$ .  $\square$

*Remark.* In order to underscore the fundamentally elementary nature of Corollary 3, we wish to emphasize that the quoted theorem of Faltings is quite quick to prove. Observe also that we have again only used the case  $d_1 = \dots = d_e = d$  of Proposition 1. Note also that we have not used the full statement of Lemma 2.2. Doing so leads to a slightly better inequality in Corollary 2.4, which we

leave to the reader to formulate. We remark that Faltings’s theorem is used in a similar context in Flenner’s paper [F12].

3. NORMAL GENERATION OF ADJOINT BUNDLES

This section is devoted to Proposition 6 of the introduction and some variants. Throughout,  $X$  is a smooth projective variety of dimension  $n$  and  $A$  is a very ample line bundle on  $X$ . Fix nef line bundles  $B$  and  $C$  on  $X$ , and for any integers  $k, m$ , put

$$M_k = K_X \otimes A^k \otimes B \quad \text{and} \quad N_m = K_X \otimes A^m \otimes C.$$

We denote by  $p_1, p_2: X \times X \rightarrow X$  the two projections.

**Lemma 3.1.** *The diagonal  $\Delta \subset X \times X$  is cut out scheme-theoretically by sections of  $L = (p_1)^* A \otimes (p_2)^* A$ .*

*Proof.* The embedding  $X \subset \mathbb{P}^r$  defined by  $A$  gives rise to an inclusion  $X \times X \subset \mathbb{P}^r \times \mathbb{P}^r$ , and  $\Delta_X = \Delta_{\mathbb{P}^r} \cap (X \times X)$ . Therefore it is enough to prove that  $\Delta_{\mathbb{P}^r} \subset \mathbb{P}^r \times \mathbb{P}^r$  is defined by sections of  $\mathcal{O}_{\mathbb{P}^r \times \mathbb{P}^r}(1, 1)$ . But this is elementary.  $\square$

*Proof of Proposition 6.* We use the time-honored device of reducing the question to a vanishing on  $X \times X$ . Specifically, consider on  $X \times X$  the exact sequence

$$0 \rightarrow (p_1)^* M_k \otimes (p_2)^* N_m \otimes \mathcal{I}_\Delta \rightarrow (p_1)^* M_k \otimes (p_2)^* N_m \rightarrow M_k \otimes N_m |_\Delta \rightarrow 0.$$

The multiplication map  $H^0(X, M_k) \otimes H^0(X, N_m) \rightarrow H^0(X, M_k \otimes N_m)$  is just the homomorphism on global sections induced by the restriction to  $\Delta$ . Consequently it is enough to prove that  $H^1(X \times X, (p_1)^* M_k \otimes (p_2)^* N_m \otimes \mathcal{I}_\Delta) = 0$  when  $k, m \geq n + 1$ . But this follows from Theorem 1.2 applied to the embedding  $\Delta \subset X \times X$  with  $L = (p_1)^* A \otimes (p_2)^* A$ . In fact,

$$(p_1)^* M_k \otimes (p_2)^* N_m = K_{X \times X} \otimes L^n \otimes D,$$

where  $D = (p_1)^*(A^{k-n} \otimes B) \otimes (p_2)^*(A^{m-n} \otimes C)$ . Now  $L$  is certainly base-point free, and  $D$  is ample provided that  $k, m \geq n + 1$ . Therefore the required vanishing follows from Theorem 1.2 and Lemma 3.1  $\square$

**Variant 3.2.** *With assumptions as above, the multiplication map*

$$H^0(X, M_k) \otimes H^0(X, N_m) \rightarrow H^0(X, M_k \otimes N_m)$$

*is surjective as soon as  $k, m \geq n$  unless  $(X, A) = (\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}(1))$ . In this case, surjectivity fails if either  $B$  or  $C$  is trivial.*

*Sketch of proof.* Rather than trying to put this in a general setting, it is easiest in the case at hand to simply give a modification of the argument of Remark 1.10 above. Consider the blowing-up  $f: P = \text{Bl}_\Delta(X \times X) \rightarrow X \times X$  of  $X \times X$

along the diagonal. Let  $E \subset P$  denote the exceptional divisor, and set  $H = f^*((p_1)^*A \otimes (p_2)^*A)(-E)$ . Much as in Remark 1.10 the required vanishing of  $H^1(P, f^*((p_1)^*M_k \otimes (p_2)^*N_m)(-E))$  for  $k, m \geq n$  will follow from Kawamata-Viehweg as soon as we prove

Claim 3.3. With the stated exception,  $H$  is big and nef.

In fact consider the embedding  $X \subset \mathbb{P}^r$  defined by  $A$ . Then there is a natural map  $\lambda_0: X \times X - \Delta \rightarrow G = \text{Grass}(\mathbb{P}^1, \mathbb{P}^r)$  that takes a pair of points to the line they span.  $\lambda_0$  extends to a morphism  $\lambda: P \rightarrow G$ , and one checks (for instance as in Lemma 3.1) that  $H = \lambda^*\mathcal{O}_G(1)$ , where  $\mathcal{O}_G(1)$  is the positive generator of  $\text{Pic}(G)$ . To prove Claim 3.3 it is then enough to show that  $\lambda$  is generically finite. But this is just the classical fact that unless  $X = \mathbb{P}^r$  (which case we are excluding), a general secant line to  $X$  is not contained in  $X$ .  $\square$

*Remark.* By adapting an argument from [G, II], one can use these ideas to prove weak results for defining equations and higher syzygies. Specifically, one finds that if  $A$  is very ample and  $k \geq (p+1)n+1$ , then  $K_X \otimes A^k$  satisfies condition  $(N_p)$  in the sense of [GLP] or [G]. However, stronger results are established using vector bundle methods in [EL], so we do not pursue this approach here.

Finally, we give an application to Gaussian maps. Given line bundles  $N$  and  $M$  on  $X$ , set  $R(M, N) = \ker\{H^0(X, M) \otimes H^0(X, N) \rightarrow H^0(X, M \otimes N)\}$ . Then one can define a map

$$\gamma_{M,N}: R(M, N) \rightarrow H^0(X, M \otimes N \otimes \Omega_X^1)$$

by making sense of

$$s \otimes t \mapsto s \otimes dt - t \otimes ds.$$

These homomorphisms have attracted a certain amount of attention lately, mainly on curves, starting with the work of Wahl [W1] (cf. also [W2, W3]). In particular, there is some interest in understanding when  $\gamma_{M,N}$  is surjective. For curves the best-possible result is known when  $N$  and  $M$  have large degree [BEL]. In general one has:

**Corollary 3.4.** *With  $M_k$  and  $N_m$  defined as at the beginning of this section, let  $\gamma_{k,m}: R(M_k, N_m) \rightarrow H^0(X, M_k \otimes N_m \otimes \Omega_X^1)$  denote the corresponding Gaussian homomorphism. Then  $\gamma_{k,m}$  is surjective provided that  $k, m \geq n+2$ .*

*Proof.* It is enough to prove that  $H^1(X \times X, (p_1)^*M_k \otimes (p_2)^*N_m \otimes \mathcal{F}_\Delta^2) = 0$  when  $k, m \geq n+2$  (cf. [W3]). But this follows as above from the case  $a = 2$  of Theorem 2.1.  $\square$

*Remark.* As in [W3] one can define higher order maps involving higher powers of  $\mathcal{F}_\Delta$ . Needless to say, the same argument gives a surjectivity statement in this context as well.

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DEPARTMENT OF MATHEMATICS, HARVARD UNIVERSITY, CAMBRIDGE, MASSACHUSETTS 02138

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF ILLINOIS, CHICAGO CIRCLE, CHICAGO, ILLINOIS 60680

DEPARTMENT OF MATHEMATICS, UNIVERSITY OF CALIFORNIA, LOS ANGELES, CALIFORNIA 90024