

## A Barth-Type Theorem for Branched Coverings of Projective Space

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### Introduction

Let  $X$  be a non-singular connected complex projective variety of dimension  $n$ . In 1970, Barth [B1] discovered that if  $X$  admits an embedding  $X^n \hookrightarrow \mathbb{P}^{n+e}$  of codimension  $e$ , then the restriction mappings  $H^i(\mathbb{P}^{n+e}, \mathbb{C}) \rightarrow H^i(X, \mathbb{C})$  are isomorphisms for  $i \leq n - e$ . Our main result is an analogue of Barth's theorem for branched coverings of projective space:

**Theorem 1.** *Let  $f: X^n \rightarrow \mathbb{P}^n$  be a finite mapping of degree  $d$ . Then the induced maps  $f^*: H^i(\mathbb{P}^n, \mathbb{C}) \rightarrow H^i(X, \mathbb{C})$  are isomorphisms for  $i \leq n + 1 - d$ .*

Observe that the conclusion is vacuous for  $d > n + 1$ . On the other hand, as the degree  $d$  becomes small compared to  $n$ , one obtains progressively stronger topological obstructions to expressing a variety as a  $d$ -sheeted covering of  $\mathbb{P}^n$ .

The proof of the theorem relies on a basic construction which clarifies somewhat the connection between subvarieties and branched coverings. Canonically associated to a finite morphism  $f: X^n \rightarrow \mathbb{P}^n$  of degree  $d$ , there exists a vector bundle  $E \rightarrow \mathbb{P}^n$  of rank  $d - 1$  having the property that  $f$  factors through an embedding of  $X$  in the total space of  $E$  (Sect. 1). An important fact about coverings of projective space is that these bundles are always ample. This leads one to consider quite generally a smooth  $n$ -dimensional projective variety  $Y$ , an ample vector bundle  $E \rightarrow Y$  of rank  $e$ , and a non-singular projective variety  $X$  of dimension  $n$  embedded in the total space of  $E$ :

$$\begin{array}{ccc} X & \hookrightarrow & E \\ & \searrow & \swarrow \\ & Y & \end{array}$$

Inspired by Hartshorne's proof [H2, H3] of the Barth theorem, we show in Sect. 2 that under these circumstances one has isomorphisms  $H^i(Y, \mathbb{C}) \rightarrow H^i(X, \mathbb{C})$  for  $i \leq n - e$ . This yields Theorem 1. And in fact, by taking  $E$  to be the direct sum of  $e$  copies of the hyperplane line bundle on  $\mathbb{P}^n$ , one also recovers Barth's theorem for embeddings  $X^n \hookrightarrow \mathbb{P}^{n+e}$ .

In Sect. 3 we give two applications to low degree branched coverings of projective space by non-singular varieties. First we prove

**Proposition 3.1.** *If  $f: X^n \rightarrow \mathbb{P}^n$  has degree  $\leq n-1$ , then  $f$  gives rise to an isomorphism  $\text{Pic}(\mathbb{P}^n) \xrightarrow{\cong} \text{Pic}(X)$ .*

Proposition 3.1 allows us to analyze the rank two vector bundle associated to a triple covering, and we deduce

**Proposition 3.2.** *If  $f: X^n \rightarrow \mathbb{P}^n$  has degree three, and if  $n \geq 4$ , then  $f$  factors through an embedding of  $X$  in a line bundle over  $\mathbb{P}^n$ .*

This generalizes the familiar fact that a non-singular subvariety of projective space having degree three and dimension at least four is necessarily a hypersurface.

It was shown in [G-L], where Theorem 1 was announced, that if  $f: X^n \rightarrow \mathbb{P}^n$  is a covering of degree  $\leq n$ , then  $X$  is algebraically simply connected. Deligne [D] and Fulton [F] subsequently proved that in fact the topological fundamental group of  $X$  is trivial. This result, plus the analogy with Larsen's extension of the Barth theorem [L, B2], lead one to conjecture that in the situation of Theorem 1 the homomorphisms  $f_*: \pi_i(X) \rightarrow \pi_i(\mathbb{P}^n)$  are bijective for  $i \leq n+1-d$ . Deligne [D] has recently stated a conjecture which – at least in certain cases – would imply this homotopy version of Theorem 1.

Excellent accounts of Barth's theorem and related work may be found in Hartshorne's survey articles [H2] and [H3]. Sommese [S] emphasizes the role played by ampleness in Barth-type results. Along different lines, Berstein and Edmonds [B-E] have obtained an inequality relating the degree of a branched covering  $f: X \rightarrow Y$  of topological manifolds to the lengths of the cohomology algebras of  $X$  and  $Y$ . They sketch some applications to branched coverings of  $\mathbb{P}^n$  by algebraic varieties in Sect. 4 of their paper.

## 0. Notation and Conventions

0.1. Except when otherwise indicated, we deal with *non-singular* irreducible complex algebraic varieties. By a branched covering, we mean a finite surjective morphism.

0.2.  $H^*(X)$  denotes the cohomology of  $X$  with complex coefficients.

0.3. If  $E$  is a vector bundle on  $X$ ,  $\mathbb{P}(E)$  denotes the bundle whose fibre over  $x \in X$  is the projective space of one-dimensional *subspaces* of  $E(x)$ . We follow Hartshorne's definition [H1] of an ample vector bundle.

## 1. The Vector Bundle Associated to a Branched Covering

Consider a branched covering  $f: X \rightarrow Y$  of degree  $d$ . As we are assuming that  $X$  and  $Y$  are non-singular,  $f$  is flat, and consequently the direct image  $f_*\mathcal{O}_X$  is locally free of rank  $d$  on  $Y$ . The trace  $\text{Tr}_{X/Y}: f_*\mathcal{O}_X \rightarrow \mathcal{O}_Y$  gives rise to a splitting

$$f_*\mathcal{O}_X = \mathcal{O}_Y \oplus F,$$

where  $F = \ker(\text{Tr}_{X/Y})$ . We shall be concerned with the rank  $d - 1$  vector bundle

$$E = F^\vee$$

on  $Y$ . We refer to  $E$  as the vector bundle associated to the covering  $f$ . Recall that as a variety,  $E$  can be identified with  $\text{Spec}(\text{Sym}_Y(F))$ .

**Lemma 1.1.** *The covering  $f : X \rightarrow Y$  factors canonically as the composition*

$$X \hookrightarrow E \rightarrow Y,$$

where  $E \rightarrow Y$  is the bundle projection, and  $X \hookrightarrow E$  is a closed embedding.

*Proof.* The natural inclusion  $F \rightarrow f_*\mathcal{O}_X$  of  $\mathcal{O}_Y$ -modules determines a surjection  $\text{Sym}_Y(F) \rightarrow f_*\mathcal{O}_X$  of  $\mathcal{O}_Y$ -algebras. Taking spectra, we obtain a canonically defined embedding  $X \hookrightarrow E$  over  $Y$ . QED

When  $f : X \rightarrow Y$  is a double covering, for example, the lemma yields the familiar representation of  $X$  as subvariety of a line bundle over  $Y$ .

A basic property of coverings of projective space is that the vector bundles obtained by this construction are ample:

**Proposition 1.2.** *Let  $E$  be the vector bundle on  $\mathbb{P}^n$  associated to a branched covering  $f : X^n \rightarrow \mathbb{P}^n$ . Then  $E(-1)$  is generated by its global sections. In particular,  $E$  is ample.*

*Proof.* It suffices to show that  $E(-1)$  is 0-regular, i.e. that

$$H^i(\mathbb{P}^n, E(-i-1)) = 0 \quad \text{for } i > 0$$

(cf. [M1, Lecture 14]). It is equivalent by Serre duality to verify

$$(*) \quad H^{n-i}(\mathbb{P}^n, F(i-n)) = 0 \quad \text{for } i > 0,$$

where as above  $F = E^\vee$ . When  $i = n$ ,  $(*)$  is clear, since

$$H^0(X, \mathcal{O}_X) = H^0(\mathbb{P}^n, f_*\mathcal{O}_X) = H^0(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}) \oplus H^0(\mathbb{P}^n, F),$$

and  $H^0(X, \mathcal{O}_X) = H^0(\mathbb{P}^n, \mathcal{O}_{\mathbb{P}^n}) = \mathbb{C}$ . In the remaining cases  $0 < i < n$ , we note similarly that

$$\begin{aligned} H^{n-i}(\mathbb{P}^n, F(i-n)) &= H^{n-i}(\mathbb{P}^n, f_*\mathcal{O}_X(i-n)) \\ &= H^{n-i}(X, f^*\mathcal{O}_{\mathbb{P}^n}(i-n)). \end{aligned}$$

But for  $0 < i < n$ ,  $f^*\mathcal{O}_{\mathbb{P}^n}(i-n)$  is the dual of an ample line bundle on  $X$ , whence  $H^{n-i}(X, f^*\mathcal{O}_{\mathbb{P}^n}(i-n)) = 0$  by the Kodaira vanishing theorem. QED.

We remark that the ampleness of the vector bundle associated to a branched covering  $f : X \rightarrow Y$  has a striking geometric consequence, concerning the ramification of  $f$ . Specifically, consider the local degree

$$e_f(x) = \dim_{\mathbb{C}}(\mathcal{O}_x X / f^*\mathfrak{m}_{f(x)})$$

of  $f$  at  $x \in X$ , which counts the number of sheets of the covering that come together at  $x$  (cf. [M2, Appendix to Chap. 6]).

**Proposition 1.3.** *If the vector bundle associated to a branched covering  $f: X^n \rightarrow Y^n$  of projective varieties is ample, then there exists at least one point  $x \in X$  at which*

$$e_f(x) \geq \min(\deg f, n + 1).$$

So for instance if  $\deg f \geq n + 1$ , then  $n + 1$  or more branches of the covering must come together at some point of  $X$ . For coverings of  $\mathbb{P}^n$ , the existence of such higher ramification points was proved with Gaffney [G-L] as a consequence of the Fulton-Hansen connectedness theorem [F-H]. (The definition of  $e_f(x)$  adopted in the more general setting of [G-L] reduces to the one stated above thanks to the fact that we are dealing with non-singular complex varieties.)

*Sketch of Proof of (1.3).* The argument given in [G-L, Sect. 2] goes over with only minor changes once we know the following:

If  $S$  is a possibly singular integral projective variety of dimension  $\geq 1$ , and if  $g: S \rightarrow Y$  is a finite morphism, then  $Z = X \times_Y S$  is connected.

We will show that in fact  $h^0(Z, \mathcal{O}_Z) = 1$ . To this end, let  $f': Z \rightarrow S$  denote the projection. Then

$$f'_* \mathcal{O}_Z = g^* f_* \mathcal{O}_X = g^* \mathcal{O}_Y \oplus g^* F,$$

where  $F$  is the dual of the vector bundle associated to  $f$ . Since  $g$  is finite and  $F$  is ample,  $g^* F$  is the dual of an ample vector bundle on the positive-dimensional integral projective variety  $S$ . Therefore  $h^0(S, g^* F) = 0$ , and

$$h^0(Z, \mathcal{O}_Z) = h^0(S, f'_* \mathcal{O}_Z) = h^0(S, \mathcal{O}_S) = 1. \quad \text{QED}$$

## 2. A Barth-Type Theorem

Our object in this section is to prove the following theorem. Recall that we are dealing with irreducible nonsingular varieties.

**Theorem 2.1.** *Let  $Y$  be a projective variety of dimension  $n$ , and let  $E \rightarrow Y$  be an ample vector bundle of rank  $e$  on  $Y$ . Suppose that  $X \subseteq E$  is an  $n$ -dimensional projective variety embedded in  $E$ . Denote by  $f$  the composition  $X \hookrightarrow E \rightarrow Y$ . Then the induced maps*

$$f^*: H^i(Y) \rightarrow H^i(X)$$

*are isomorphisms for  $i \leq n - e$ .*

Note that  $f$ , being affine and proper, is finite.

In view of (1.1) and (1.2), Theorem 1 stated in the introduction follows immediately. More generally, we see that if  $Y^n$  is projective, and if  $f: X^n \rightarrow Y^n$  is a branched covering of degree  $d$  such that the vector bundle associated to  $f$  is ample, then the homomorphisms  $f^*: H^i(Y) \rightarrow H^i(X)$  are bijective for  $i \leq n + 1 - d$ . For example, if  $f: X^n \rightarrow Y^n$  is a double cover branched along an ample divisor on  $Y$ , then  $H^i(Y) \cong H^i(X)$  for  $i \leq n - 1$ .

*Remark 2.2.* Theorem 1 is sharp “on the boundary of its applicability”, i.e. there exists for every  $n \geq 1$  a covering  $f: X^n \rightarrow \mathbb{P}^n$  of degree  $n + 1$  with  $H^1(X) \neq 0$ . Assuming  $n \geq 2$ , for example, start with an elliptic curve  $C \subseteq \mathbb{P}^n$  of degree  $n + 1$ , with  $C$  not

contained in any hyperplane, and consider the incidence correspondence

$$X = \{(p, H) | p \in H\} \subseteq C \times \mathbb{P}^{n*}.$$

$X$  is a  $\mathbb{P}^{n-1}$ -bundle over  $C$ , whence  $H^1(X) \neq 0$ , and the second projection gives a covering  $f: X \rightarrow \mathbb{P}^{n*}$  of degree  $n+1$ . (The reader may find it amusing to check that the vector bundle associated to this covering is isomorphic to the tangent bundle of  $\mathbb{P}^n$ .) Similarly, if  $C \subseteq \mathbb{P}^n$  is a rational normal curve of degree  $n$ , we obtain an  $n$ -sheeted covering  $f: X \rightarrow \mathbb{P}^{n*}$  with  $\dim H^2(X) = 2$ . On the other hand, Proposition 3.2 and Theorem 2.1 show that as one would expect, Theorem 1 is not sharp for all  $d$  and  $n$ .

*Remark 2.3.* It follows from Theorem 1 that if  $f: X \rightarrow \mathbb{P}^n$  is a branched covering of degree  $d$ , and if  $S, T \subseteq X$  are (possibly singular) subvarieties such that  $\text{codim } S + \text{codim } T \leq n + 1 - d$ , then  $S$  meets  $T$ . (The first non-trivial case is when  $d = n - 1$ , the assertion then being that any two divisors on  $X$  must meet.) This result remains true even if  $X$  is singular. For by [G-L, Theorem 1], there exists a subvariety  $R \subseteq X$  of codimension  $\leq d - 1$  such that  $f$  is one-to-one over  $f(R)$ . And  $f(R) \cap f(S) \cap f(T)$  is non-empty for dimensional reasons.

*Remark 2.4.* Theorem 2.1 implies the Barth theorem for embeddings  $X \hookrightarrow \mathbb{P}^{n+e}$ . In fact, choose a linear space  $L \subseteq \mathbb{P}^{n+e}$  of dimension  $e - 1$ , with  $L$  disjoint from  $X$ , and consider the projection  $(\mathbb{P}^{n+e} - L) \rightarrow \mathbb{P}^n$  centered along  $L$ . The variety  $\mathbb{P}^{n+e} - L$  is isomorphic over  $\mathbb{P}^n$  to the total space of  $\mathcal{O}_{\mathbb{P}^n}(1) \oplus \dots \oplus \mathcal{O}_{\mathbb{P}^n}(1)$  ( $e$  summands), and we conclude from (2.1) that  $H^i(\mathbb{P}^n) \cong H^i(X)$  for  $i \leq n - e$ . But this is equivalent to Barth's assertion.

The remainder of Sect. 2 is devoted to the proof of (2.1). The argument is inspired by Hartshorne's simple proof of the Barth theorem [H2, p. 1020; H3, p. 147] and by Sommese's demonstration of a related result [S, Proposition 2.6].

We assume henceforth that  $e \leq n$ . Let  $\pi: \bar{E} = \mathbb{P}(E \oplus 1) \rightarrow Y$  be the projective completion of  $E$ . One has the commutative diagram

$$\begin{array}{ccc} X & \hookrightarrow & \bar{E} \\ \wedge & & \swarrow \pi \\ & & Y, \end{array}$$

where  $j$  denotes the composition of the given embedding  $X \hookrightarrow E$  with the natural inclusion  $E \subseteq \bar{E}$ . Let  $\xi = c_1(\mathcal{O}_{\bar{E}}(1)) \in H^2(\bar{E})$ , and let  $\eta_X \in H^{2e}(\bar{E})$  be the cohomology class defined by  $X$ . The class  $\xi$  represents the divisor at infinity in  $\bar{E}$  [i.e.  $\mathbb{P}(E) \subseteq \mathbb{P}(E \oplus 1)$ ], and  $X$  does not meet this divisor. Hence

$$(2.5) \quad j^*(\xi) = 0.$$

We claim next that  $j^*(\eta_X) \in H^{2e}(X)$  is given by

$$(2.6) \quad j^*(\eta_X) = (\deg f)c_e(f^*E).$$

Indeed, in view of (2.5) it suffices to verify the formula

$$(*) \quad \eta_X = (\deg f) \sum_{i=0}^e c_i(\pi^*E)\xi^{e-i}.$$

To this end, note that the fundamental class  $[X]$  of  $X$  is homologous in  $E$  to  $(\text{deg } f)[Y]$ , where  $Y \subseteq E$  is the zero section. Hence  $\eta_X = (\text{deg } f)\eta_Y$ ,  $\eta_Y \in H^{2e}(\bar{E})$  being the cohomology class defined by  $Y$ . Now if  $Q = \pi^*(E \oplus 1)/\mathcal{O}_{\bar{E}}(-1)$  denotes the universal quotient bundle on  $\bar{E}$ , then one has  $\eta_Y = c_e(Q)$ , and (\*) follows.

The key to the argument is having some control over the effect on  $H^*(X)$  of multiplication by  $j^*(\eta_X)$ . The requisite fact is provided by Sommese's formulation of a result of Bloch and Geisler [B-G] on the top Chern class of an ample vector bundle:

(2.7) *Let  $F$  be an ample vector bundle of rank  $e \leq n$  on a (non-singular, irreducible) projective variety  $X$  of dimension  $n$ . Then multiplication by  $c_e(F)$  gives surjections*

$$H^{n-e+l}(X) \rightarrow H^{n+e+l}(X)$$

for  $l \geq 0$ .

See [S, Proposition 1.17] for the proof, which ultimately depends on the Hard Lefschetz theorem.

These preliminaries out of the way, we conclude the proof of Theorem 2.1. Note that it suffices to prove

(\*)  $f^*: H^{n+e+l}(Y) \rightarrow H^{n+e+l}(X)$  is surjective for  $l \geq 0$ .

Indeed,  $H^*(Y)$  injects into  $H^*(X)$  for any generically finite morphism  $X^n \rightarrow Y^n$ , and so (2.1) is equivalent to (\*) by Poincaré duality.

Consider the commutative diagram

$$\begin{array}{ccccc}
 H^{n-e+l}(X) & \xrightarrow{j_*} & H^{n+e+l}(\bar{E}) & \xleftarrow{\pi^*} & H^{n+e+l}(Y) \\
 & \searrow^{j^*(\eta_X)} & \downarrow j^* & & \swarrow f^* \\
 & & H^{n+e+l}(X) & & 
 \end{array}$$

where  $j_*$  is the Gysin map defined by Poincaré duality from  $H_{n+e-l}(X) \rightarrow H_{n+e-l}(\bar{E})$ . Since  $f$  is finite,  $f^*E$  is an ample vector bundle on  $X$ , and it follows from (2.6) and (2.7) that  $H^{n-e+l}(X) \rightarrow H^{n+e+l}(X)$  is surjective. Hence so also is  $j^*$ . But  $H^*(\bar{E})$  is generated over  $H^*(Y)$  by  $\xi \in H^2(\bar{E})$ , and  $j^*$  kills  $\xi$ . The surjectivity of  $j^*$  therefore implies the surjectivity of  $f^*$ . This completes the proof.

*Remark 2.8.* We mention some additional results concerning the geometry of an ample vector bundle  $E \rightarrow \mathbb{P}^n$  of rank  $e$ . First, if  $X \subseteq E$  is a (non-singular) projective variety of dimension  $a$ , then the maps  $H^i(\mathbb{P}^n) \rightarrow H^i(X)$  are isomorphisms for  $i \leq 2a - n - e$ . The proof is similar to that just given, except that formula (2.6) is replaced by the observation that the normal bundle of  $X$  in  $E$  is ample. Along somewhat different lines, the connectedness theorem of Fulton and Hansen [F-H] can be used to prove an analogous result for ample bundles on  $\mathbb{P}^n$ , from which one deduces the following:

*If  $S$  and  $T$  are irreducible but possibly singular projective subvarieties of  $E$ , then*  
 (i)  $S \cap T$  is connected and non-empty if  $\dim S + \dim T \geq n + e + 1$ ;

(ii)  $S$  is algebraically simply connected if

$$2 \dim S \geq n + e + 1.$$

In particular, if  $f: X^n \rightarrow \mathbb{P}^n$  is a branched covering of degree  $d$ , with  $X$  non-singular, then assertions (i) and (ii) apply with  $e = d - 1$  to subvarieties  $S, T \subseteq X$ . Details appear in [Lz].

*Remark 2.9.* It is natural to ask whether in the situation of Theorem 2.1 the relative homotopy groups  $\pi_i(E, X)$  vanish for  $i \leq n - e + 1$ . At least when  $Y = \mathbb{P}^n$ , it seems reasonable to conjecture that this is so. Assertion (ii) of the previous remark, applied with  $S = X$ , points in this direction. Larsen's theorem [L] provides additional evidence.

### 3. Applications to Coverings of $\mathbb{P}^n$ of Low Degree

We give two applications of the results and techniques of the previous sections to branched coverings  $f: X^n \rightarrow \mathbb{P}^n$  of low degree. We continue to assume that  $X$  is irreducible and non-singular. The first result deals with Picard groups:

**Proposition 3.1.** *If  $f: X^n \rightarrow \mathbb{P}^n$  has degree  $\leq n - 1$ , then  $f^*: \text{Pic}(\mathbb{P}^n) \rightarrow \text{Pic}(X)$  is an isomorphism.*

*Proof.* A well-known argument (cf. [H3, p. 150]) shows that the proposition is equivalent to the assertion that  $f^*: H^2(\mathbb{P}^n, \mathbb{Z}) \rightarrow H^2(X, \mathbb{Z})$  is an isomorphism. [Briefly: one looks at the exponential sequences on  $\mathbb{P}^n$  and on  $X$ , noting that Theorem 1, and the Hodge decomposition yield  $H^1(X, \mathcal{O}_X) = H^2(X, \mathcal{O}_X) = 0$ .] Theorem 1 implies that  $H_2(X, \mathbb{Z})$  has rank one. On the other hand,  $X$  is algebraically simply connected ([G-L, Theorem 2]), whence  $H_1(X, \mathbb{Z}) = 0$ . It follows from the universal coefficient theorem that  $H^2(X, \mathbb{Z}) = \mathbb{Z}$ . Finally, as  $f$  has degree  $\leq n - 1 < 2^n$ ,  $f^*$  must map the generator of  $H^2(\mathbb{P}^n, \mathbb{Z})$  to the generator of  $H^2(X, \mathbb{Z})$ . QED

As a second application, we derive a fairly explicit description of all degree three coverings  $f: X^n \rightarrow \mathbb{P}^n$  with  $n \geq 4$ . Specifically, we will prove

**Proposition 3.2.** *Let  $f: X^n \rightarrow \mathbb{P}^n$  be a triple covering. Denote by  $b$  the degree of the branch divisor of  $f$ .*

(i) *If  $\omega_X = f^* \mathcal{O}_{\mathbb{P}^n}(k)$  for some  $k \in \mathbb{Z}$ , then  $f$  factors through an embedding of  $X$  in a line bundle  $L \rightarrow \mathbb{P}^n$ , and conversely. In this case,*

$$6 \deg(L) = b.$$

(ii) *The condition in (i) always holds if  $n \geq 4$ .*

By the branch divisor of a covering  $f: X^n \rightarrow \mathbb{P}^n$  we mean the push-forward to  $\mathbb{P}^n$  of the ramification divisor of  $f$ .

Statement (ii) is a consequence of Proposition 3.1, so only (i) needs proof. The method is to focus on the rank two vector bundle  $E$  on  $\mathbb{P}^n$  associated to  $f$  (Sect. 1). Lemmas 3.3 and 3.4 show that if  $\omega_X = f^* \mathcal{O}_{\mathbb{P}^n}(k)$ , then  $E$  at least has the form that it should if  $X$  is to embed in a line bundle. Finally we show that this implies that  $f$  actually admits the indicated factorization.

**Lemma 3.3.** *Let  $L \rightarrow \mathbb{P}^n$  be an ample line bundle, and let  $Z \subseteq L$  be a possibly singular projective variety of dimension  $n$  embedded in  $L$ . Denoting by  $d$  the degree of the natural map  $g: Z \rightarrow \mathbb{P}^n$ , one has*

$$g_* \mathcal{O}_Z = \mathcal{O}_{\mathbb{P}^n} \oplus L^{-1} \oplus \dots \oplus L^{1-d}.$$

*Proof.* Let  $\pi: \bar{L} = \mathbb{P}(L \oplus 1) \rightarrow \mathbb{P}^n$  be the projective completion of  $L$ . Considering  $Z$  as a divisor on  $\bar{L}$ , and noting that  $Z$  does not meet the divisor at infinity  $\mathbb{P}(L) \subseteq \mathbb{P}(L \oplus 1)$ , we see that  $\mathcal{O}_{\bar{L}}(-Z) = \mathcal{O}_{\bar{L}}(-d) \otimes \pi^* L^{-d}$ . Using [H4, Exercise III.8.4] to calculate  $R^1 \pi_* \mathcal{O}_{\bar{L}}(-d)$ , the assertion follows from the exact sequence  $0 \rightarrow \mathcal{O}_{\bar{L}}(-Z) \rightarrow \mathcal{O}_{\bar{L}} \rightarrow \mathcal{O}_Z \rightarrow 0$  upon taking direct images. QED

**Lemma 3.4.** *Under the assumption of (i) of Proposition 3.2, the vector bundle  $E$  associated to  $f$  has the form  $E = L \oplus L^2$ , where  $6 \deg(L) = b$ . Equivalently,  $f_* \mathcal{O}_X = \mathcal{O}_{\mathbb{P}^n} \oplus L^{-1} \oplus L^{-2}$*

*Proof.* By duality for  $f$ , one has  $f_* \omega_X = \omega_{\mathbb{P}^n} \otimes (f_* \mathcal{O}_X)^\vee$ , while the hypothesis on  $\omega_X$  yields  $f_* \omega_X = (f_* \mathcal{O}_X)(k)$ . Writing  $l = k + n + 1$ , we conclude the existence of an isomorphism

$$(*) \quad \mathcal{O}_{\mathbb{P}^n}(-l) \oplus E(-l) = \mathcal{O}_{\mathbb{P}^n} \oplus E^\vee.$$

Now the ramification divisor of  $f$  represents the first Chern class of  $f^* \mathcal{O}_{\mathbb{P}^n}(l)$ , and one deduces the relation  $b = 3l$ . Note that in particular,  $l$  is positive. With this in mind, it is a simple exercise to show using (\*) that  $E = \mathcal{O}_{\mathbb{P}^n}(l/2) \oplus \mathcal{O}_{\mathbb{P}^n}(l)$ . QED

*Proof of 3.2.* If  $f$  factors as stated, then  $X$  is a divisor on  $L$ , and hence  $\omega_X = f^* \mathcal{O}_{\mathbb{P}^n}(k)$  for some  $k \in \mathbb{Z}$ . Conversely, suppose that  $\omega_X$  is of this form. By (1.1) and (3.4) there is then an embedding  $X \hookrightarrow L \oplus L^2$  over  $\mathbb{P}^n$ . Let  $Z \subseteq L$  denote the image of  $X$  under the natural projection  $\pi: L \oplus L^2 \rightarrow L$ , and consider the resulting factorization of  $f$ :

$$\begin{array}{ccc} X & \hookrightarrow & L \oplus L^2 \\ p \downarrow & & \downarrow \pi \\ Z & \hookrightarrow & L \end{array} \quad f = g \circ p.$$

$\theta \searrow \swarrow$   
 $\mathbb{P}^n$

We will show that  $p$  is an isomorphism.

To this end, note first that  $p$  is birational. For if on the contrary  $\deg p = 3$ , then  $g$  would be an isomorphism and  $f$  would factor through an embedding of  $X$  in  $\pi^{-1}(Z)$ , i.e. in the line bundle  $L^2 \rightarrow Z = \mathbb{P}^n$ . But then using (3.3) to compute  $f_* \mathcal{O}_X$ , we would arrive at a contradiction to (3.4). Hence  $g$  has degree 3, and upon comparing the calculations of (3.3) and (3.4), one finds that

$$(*) \quad g_* \mathcal{O}_Z \simeq f_* \mathcal{O}_X.$$

But this implies that  $p$  is an isomorphism. In fact, let  $\mathcal{F}$  be the cokernel of the natural inclusion  $\mathcal{O}_Z \hookrightarrow p_* \mathcal{O}_X$ . It follows from (\*) that  $H^0(Z, \mathcal{F} \otimes g^* \mathcal{O}_{\mathbb{P}^n}(d)) = 0$  for  $d \geq 0$ , and hence  $\mathcal{F} = 0$ . QED

*Remark 3.5.* As a special case of Proposition 3.2 [with  $L = \mathcal{O}_{\mathbb{P}^n}(1)$ ] one recovers the well known fact that the only non-singular subvarieties of projective space having degree three and dimension at least four are hypersurfaces. For coverings  $f: X^n \rightarrow \mathbb{P}^n$  of larger degree, however, the analogy with subvarieties does not hold as directly. For instance, a non-singular projective subvariety of degree five and dimension  $\geq 7$  is a hypersurface. On the other hand, one may construct in the following manner five-sheeted coverings  $f: X^n \rightarrow \mathbb{P}^n$ , with  $n$  arbitrarily large, that do not factor through line bundles. Let  $L = \mathcal{O}_{\mathbb{P}^n}(1)$ , and consider the vector bundle  $\pi: E = L^2 \oplus L^3 \rightarrow \mathbb{P}^n$ . Then there are canonical sections  $S \in \Gamma(E, \pi^*L^2)$ ,  $T \in \Gamma(E, \pi^*L^3)$  which serve as global coordinates on  $E$ . Choose forms  $A \in \Gamma(\mathbb{P}^n, L^5)$ ,  $B \in \Gamma(\mathbb{P}^n, L^6)$ , and consider the subscheme  $X \subseteq E$  defined by the common vanishing of the sections

$$ST + \pi^*A \in \Gamma(E, \pi^*L^5)$$

$$S^3 + T^2 + \pi^*B \in \Gamma(E, \pi^*L^6).$$

One checks that the natural map  $f: X^n \rightarrow \mathbb{P}^n$  is finite of degree five.  $X$  is connected (at least when  $n \geq 2$ ), and for generic choices of  $A$  and  $B$ ,  $X$  is non-singular. Finally, the scheme-theoretic fibre of  $X$  over a point in  $V(A, B) \subseteq \mathbb{P}^n$  has a two-dimensional Zariski tangent space, which shows that  $f$  cannot factor through an embedding of  $X$  in a line bundle over  $\mathbb{P}^n$ . [Alternately, this follows by (3.3) from a computation of  $f_*\mathcal{O}_X$ :

$$f_*\mathcal{O}_X = \mathcal{O}_{\mathbb{P}^n} \oplus L^{-2} \oplus L^{-3} \oplus L^{-4} \oplus L^{-6}.]$$

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**Note added in proof.** Using results of Goresky-MacPherson and Deligne, we have proved the homotopy analogue of Theorem 1. The argument appears in [Lz], and will be published elsewhere.