

ON THE LEBESGUE MEASURE OF THE FEIGENBAUM JULIA SET

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ABSTRACT. We show that the Julia set of the Feigenbaum polynomial has Hausdorff dimension less than 2 (and consequently it has zero Lebesgue measure). This solves a long-standing open question.

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1. INTRODUCTION

In [AL08] and [AL15], Avila and Lyubich developed a new method for studying Lebesgue area and Hausdorff dimension of Julia sets of Feigenbaum maps. They constructed examples of Feigenbaum maps with Julia sets of Hausdorff dimension less than two and examples of Feigenbaum maps with Julia sets of positive area. Their approach can be used to determine whether for a given periodic point of renormalization the Julia set has positive area, zero area and Hausdorff dimension two, or Hausdorff dimension less than two. However, even for the most studied examples of Feigenbaum maps (the Feigenbaum polynomial f_{Feig} and the period doubling renormalization fixed point F), the calculations involved in verification are extremely computationally complex.

In this paper we present a new sufficient condition for the Julia set J_F of F to have Hausdorff dimension $\dim_{\text{H}}(J_F)$ less than two. Using computer-assisted means with explicit bounds on errors, we show that this condition is satisfied. Thus, we solve a long-standing open question.

Main Theorem. *The Hausdorff dimension of the Julia set J_F of the Feigenbaum map F is less than two. In particular, the Lebesgue measure of J_F is equal to zero.*

The structure of the paper is as follows. In [Section 2](#), we briefly recall the definition of quadratic-like renormalization, describe the relevant results of Avila and Lyubich, and introduce the Feigenbaum map F . We also define the sets \tilde{X}_n of points whose orbits intersect certain small neighborhoods of the origin, and denote by $\tilde{\eta}_n$ the relative measures of \tilde{X}_n . Using the Avila-Lyubich results,

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we conclude that in order to prove $\dim_{\mathbb{H}}(J_F) = 0$ it is sufficient to show that $\tilde{\eta}_n$ converges to 0 exponentially fast in n .

In [Section 3](#), we describe the structure of the map F . [Section 4](#) gives us distortion bounds for certain branches of inverse iterates of F . In [Section 5](#), we state and prove the main result of the present paper ([Theorem 5.3](#)), which gives recursive inequalities for $\tilde{\eta}_n$. As a result of [Theorem 5.3](#), we obtain a sufficient condition to show $\dim_{\mathbb{H}}(J_F) < 2$ ([Corollary 5.4](#)). This condition is one that can be checked by rigorous computer estimates, which we discuss in [Section 6](#).

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2. PRELIMINARIES

Recall that a **quadratic-like map** is a ramified covering $f: U \rightarrow V$ of degree 2, where $U \Subset V$ are topological disks in \mathbb{C} . We refer the reader to [\[DH85\]](#), [\[Ly97\]](#), or [\[McM\]](#) for a more detailed treatment. For a quadratic-like map f , its filled Julia set K_f and Julia set J_f are given by

$$K_f = \{ z \in U \mid f^n(z) \in U \text{ for all } n \in \mathbb{N} \}, \quad J_f = \partial K_f.$$

Let $f: U \rightarrow V$ be quadratic-like. The map f is **renormalizable of period n** if there there is an $n > 1$ and $U' \subset U$ for which $f^n: U' \rightarrow V'$ is a quadratic-like map with connected Julia set J' , and such that the sets $f^i(J')$ are either disjoint from J' or intersect it only at the β -fixed point. In this case, $f^n|_{U'}$ is called a **pre-renormalization of f** ; the map $\mathcal{R}_n f := \Lambda \circ f^n|_{U'} \circ \Lambda^{-1}$, where Λ is an appropriate rescaling of U' to U , is the **renormalization of f** .

An infinitely renormalizable quadratic-like map f is called a **Feigenbaum map** if it has bounded combinatorics (that is, there is a uniform bound on the periods n of renormalization) and of bounded type (the moduli of $V \setminus U$ are uniformly bounded).

The present paper is concerned with the Hausdorff dimension of the Julia set of the quadratic Feigenbaum polynomial $f_{\text{Feig}}(z) = z^2 + c_{\text{Feig}}$, where $c_{\text{Feig}} \approx -1.4011551890$ is the limit of the sequence of real period doubling parameters. Discovery of universality properties in the period doubling case during the 1970s by Coulet & Tresser and Feigenbaum ([\[CT78\]](#), [\[Fe78, Fe79\]](#)) gave rise to the development of renormalization theory in dynamics. This development is well documented; for a brief overview, see [\[Ly99, §1.5\]](#) and the references therein, for example.

The Julia set of f_{Feig} has been shown to be locally connected (see [\[HJ93, J00\]](#), also [\[Bu99\]](#)), although the Julia set is “hairy” in the sense that it converges to the entire plane when magnified about the critical point (see [\[McM, Thm. 8.7\]](#)). In contrast to the quadratic case, the Hausdorff dimension of the Julia set of a period-doubling Feigenbaum map tends to 2 as the order of the critical point tends to infinity [\[LS05\]](#), while the corresponding Lebesgue measure tends to zero [\[LS10\]](#).

2.1. THE AVILA-LYUBICH TRICHOTOMY

Let f be a Feigenbaum map. Let f_n denote the n -th pre-renormalization of f , let J_n be its Julia set, and let $O(f)$ be the critical orbit.

Avila and Lyubich showed the existence of domains $U^n \subset V^n$ (called “nice domains”) for which

- $f_n(U^n) = V^n$;
- $U^n \supset J_n \cap \mathcal{O}(f)$;
- $V^{n+1} \supset U^n$;
- $f^k(\partial V^n) \cap V^n = \emptyset$ for all n, k ;
- $A^n = V^n \setminus U^n$ is “far” from $\mathcal{O}(f)$;
- $\text{area}(A^n) \asymp \text{area}(U^n) \asymp \text{diam}(U^n)^2 \asymp \text{diam}(V^n)^2$.

The construction of U^n and V^n involves cutting neighborhoods of zero by equipotentials and external rays of pre-renormalizations f_n of f and taking preimages under long iterates of f_n .

For each $n \in \mathbb{N}$, let X_n be the set of points in U^0 that land in V^n under some iterate of f , and let Y_n be the set of points in A^n that never return to V^n under iterates of f . Introduce the quantities

$$\eta_n = \frac{\text{area}(X_n)}{\text{area}(U^0)}, \quad \xi_n = \frac{\text{area}(Y_n)}{\text{area}(A^n)}.$$

Theorem 2.1 (Avila-Lyubich [AL08]). *Let f be a periodic point of renormalization, i.e. there is a p so that $\mathcal{R}^p f = f$. Then exactly one of the following is true:*

- Lean case:** η_n converges to 0 exponentially fast, $\inf \xi_n > 0$, and $\dim_{\text{H}}(J_f) < 2$;
- Balanced case:** $\eta_n \asymp \xi_n \asymp \frac{1}{n}$ and $\dim_{\text{H}}(J_f) = 2$ with $\text{area}(J_f) = 0$;
- Black Hole case:** $\inf \eta_n > 0$, ξ_n converges to 0 exponentially fast, and $\text{area}(J_f) > 0$.

Specific bounds determining the behavior of η_n and ξ_n depend on the geometry of A^n and $\mathcal{O}(f)$.

The proof of [Theorem 2.1](#) relies on recursive estimates involving η_n , ξ_n , and the Poincaré series for f_n . In the *Lean case* (relevant for this paper), Avila and Lyubich showed existence of a constant $C > 0$ which only depends on geometric bounds for $U^n \subset V^n$ and $\mathcal{O}(f)$, such that if there exists m divisible by p with $\eta_m < \xi_m/C$, then $\eta_n \rightarrow 0$ exponentially fast.

Thus, to show that for the period doubling renormalization fixed point F one has $\dim_{\text{H}}(J_F) < 2$, it would be sufficient to compute this constant C and find large enough m so that $\eta_m < \xi_m/C$. However, this task turns out to be extremely computationally complex for several reasons, including:

- constructing the sets U^n and V^n is very technical, and it is difficult to obtain rigorous approximations of these sets computationally;
- the geometry of U^n and V^n is complicated and U^n is not compactly contained in V^n , making the corresponding geometric bounds very rough;
- the constant C is given implicitly; estimates show it can be very large (on the order of 10^{10}).

In our new sufficient condition for showing $\dim_{\text{H}}(J_F) < 2$, we overcome these difficulties by using the tiling of the plane by preimages of the upper and the lower half-planes as introduced in [Bu99]. In particular, we replace the nice domains of Avila/Lyubich by the Buff tiles containing zero on the boundary. These tiles can be approximated quite efficiently and have good geometric bounds. Moreover, the scale-invariant structure of the tiling allows us to construct explicit recursive estimates for quantities which are an analogue to η_n directly, without using the Poincaré series.

2.2. THE FIXED POINT OF PERIOD-DOUBLING RENORMALIZATION

Recall (see [Eps]) that the fixed point F of period-doubling renormalization is a solution of Cvitanović-Feigenbaum equation:

$$\begin{cases} F(z) &= -\frac{1}{\lambda}F^2(\lambda z), \\ F(0) &= 1, \\ F(z) &= H(z^2), \text{ with } H^{-1}(z) \text{ univalent in } \mathbb{C} \setminus ((-\infty, -\frac{1}{\lambda}] \cup [\frac{1}{\lambda^2}, \infty)), \end{cases} \quad (2.2)$$

where $\frac{1}{\lambda} = 2.5029\dots$ is one of the Feigenbaum constants.

From (2.2) we immediately obtain

$$F^{2^m}(z) = (-\lambda)^m F\left(\frac{z}{\lambda^m}\right) \quad (2.3)$$

whenever both sides of the equation are defined.

Results of H. Epstein [Ep89, Eps] imply that there exists a domain W containing 0 such that $F|_W$ is a quadratic-like map

$$F: W \rightarrow \mathbb{C} \setminus ((-\infty, -\frac{1}{\lambda}] \cup [\frac{1}{\lambda^2}, \infty)).$$

The n -th pre-renormalization F_n of F is the restriction of F^{2^n} onto $W^{(n)} = \lambda^n W$.

For each $n \in \mathbb{N}$, let \tilde{X}_n denote the set of points $z \in W^{(1)}$ such that $F^k(z) \in W^{(n)}$ for some $k \geq 0$. Set

$$\tilde{\eta}_n = \frac{\text{area}(\tilde{X}_n)}{\text{area}(W^{(1)})}.$$

Thus, $\tilde{\eta}_n$ is the probability that the orbit of a point randomly chosen from $W^{(1)}$ with respect to Lebesgue measure will intersect $W^{(n)}$. Equation (2.3) implies that $\tilde{\eta}_n$ is non-increasing in n .

Lemma 2.4. *If $\tilde{\eta}_n$ converges to 0 exponentially fast then η_n also does.*

Proof. The properties of nice domains imply that there exists n_0 such that $V^{n+n_0} \subset W^{(n)}$ for every n . Then $X_{n+n_0} \subset \lambda^{-1}\tilde{X}_{n+n_0+1}$ for every n , from which the lemma follows. \square

3. STRUCTURE OF THE FEIGENBAUM MAP F

For the proof of the following we refer the reader to [Eps]:

Proposition 3.1. *Let x_0 be the first positive preimage of 0 under F . Then*

$$F(\lambda x_0) = x_0, \quad F(1) = -\lambda, \quad F\left(\frac{x_0}{\lambda}\right) = -\frac{1}{\lambda}$$

and $\frac{x_0}{\lambda}$ is the first positive critical point of F .

A map $g: U_g \rightarrow \mathbb{C}$ is called an analytic extension of a map $f: U_f \rightarrow \mathbb{C}$ if f and g are equal on some open set. An extension $\hat{f}: S \supset U \rightarrow \mathbb{C}$ of f is called the **maximal analytic extension** if every analytic extension of f is a restriction of \hat{f} . The following crucial observation is also due to H. Epstein (cf. [Ep89, Eps]; see also [McM, §7.3]):

Theorem 3.2. *The map F has a maximal analytic extension $\widehat{F}: \widehat{W} \rightarrow \mathbb{C}$, where $\widehat{W} \supset \mathbb{R}$ is an open simply connected set.*

Let $\mathbb{H}_+ = \{z \mid \text{Im } z > 0\}$ be the upper half-plane, and let $\mathbb{H}_- = \{z \mid \text{Im } z < 0\}$ denote the lower half-plane.

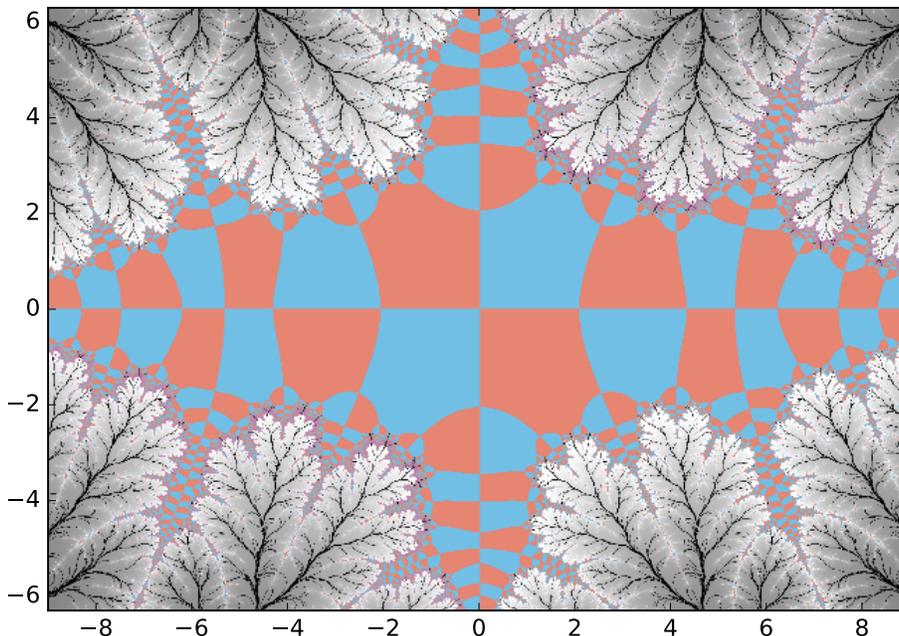


FIGURE 3.3. An approximation of the domain \widehat{W} on which \widehat{F} is defined. The red regions are preimages of the upper half plane \mathbb{H}_+ , and the blue are preimages of \mathbb{H}_- . Recall that \widehat{W} is open and dense in \mathbb{C} . Shown in shades of gray (including black and white) are points that lie in both \widehat{W} and its complement; the shading should help give some idea of its structure.

For a proof of the following, see [Eps] or [Bu99]:

Theorem 3.4. *All critical points of \widehat{F} are simple. The critical values of \widehat{F} are contained in the real axis. Moreover, for any $z \in \widehat{W}$ such that $\widehat{F}(z) \notin \mathbb{R}$, there exists a bounded open set $U(z) \ni z$ such that \widehat{F} is one-to-one on $U(z)$ and $\widehat{F}(U(z))$ coincides with \mathbb{H}_+ or \mathbb{H}_- .*

Following [Bu99], we now introduce a combinatorial partition of \widehat{W} .

Definition 3.5. *Denote by \mathcal{P} the set of all connected components of $\widehat{F}^{-1}(\mathbb{C} \setminus \mathbb{R})$. For each non-negative integer n , let*

$$\mathcal{P}^{(n)} = \{ \lambda^n P \mid P \in \mathcal{P} \}$$

Using the Cvitanović-Feigenbaum equation (2.2), we obtain that for any non-negative integer m , the partition $\mathcal{P}^{(m)}$ coincides with the set of connected components of the preimage of $\mathbb{C} \setminus \mathbb{R}$ under \widehat{F}^{2^m} .

Definition 3.6. *For $k \geq 0$ we will refer to connected components of $\widehat{F}^{-k}(\mathbb{C} \setminus \mathbb{R})$ as **tiles**. In particular, an element of $\mathcal{P}^{(n)}$ is a tile for any $n \geq 0$, as are the half-planes \mathbb{H}_+ and \mathbb{H}_- .*

Hence for any tile P , there is a $k \geq 0$ so that the map \widehat{F}^k sends P bijectively onto \mathbb{H}_+ or \mathbb{H}_- . Using Theorem 3.4 we obtain the following:

Lemma 3.8 (Nesting Property). *Any pair of tiles are either disjoint or one is a subset of the other.*

Let us describe the structure of \widehat{F} on the real line near the origin. Since \widehat{F} maps $[1, \frac{x_0}{\lambda}]$ homeomorphically onto $[-\frac{1}{\lambda}, -\lambda]$, there exists a unique $a \in (1, \frac{x_0}{\lambda})$ such that $\widehat{F}(a) = -\frac{x_0}{\lambda}$.

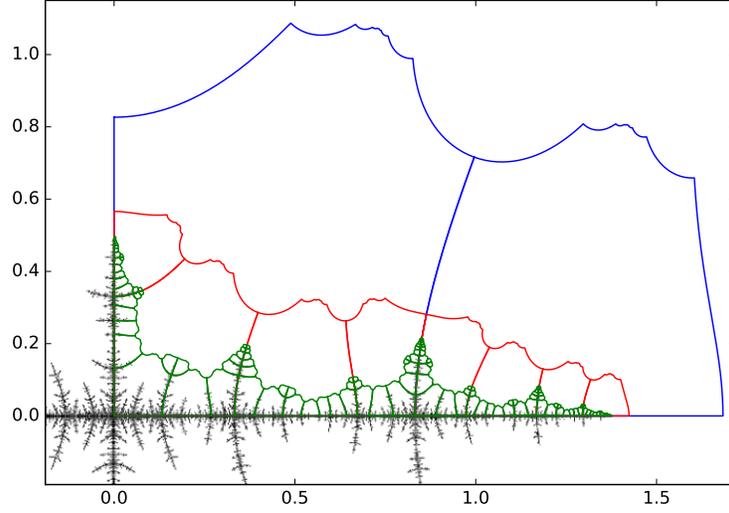


FIGURE 3.7. Tiles from $\mathcal{P}^{(1)}$ (blue), $\mathcal{P}^{(2)}$ (red), and $\mathcal{P}^{(3)}$ (green) in the first quadrant that intersect the Julia set J_F . Compare to Figure 3.3.

A proof of the following can be found in [DY16].

Lemma 3.9. *The first three positive critical points of \widehat{F} counting from the origin are $\frac{x_0}{\lambda}$, $\frac{a}{\lambda}$, $\frac{x_0}{\lambda^2}$. One has*

$$\widehat{F}\left(\frac{x_0}{\lambda}\right) = -\frac{1}{\lambda}, \quad \widehat{F}\left(\frac{a}{\lambda}\right) = \frac{1}{\lambda^2}.$$

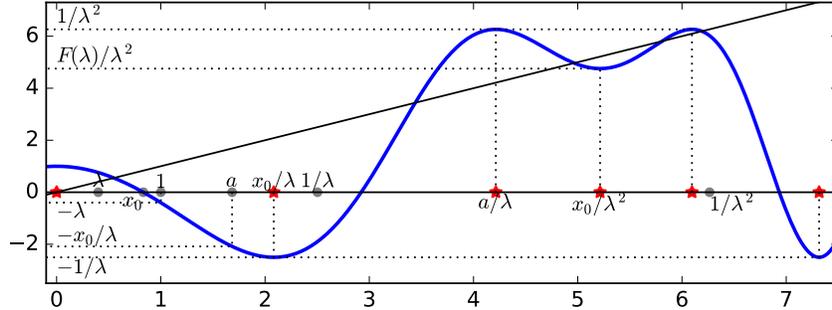


FIGURE 3.10. The graph of \widehat{F} , showing some dynamically important points and their images.

Applying Theorem 3.4 and Lemma 3.9, we see that for each of the segments $[0, \frac{x_0}{\lambda}]$, $[\frac{x_0}{\lambda}, \frac{a}{\lambda}]$, and $[\frac{a}{\lambda}, \frac{x_0}{\lambda^2}]$ there is exactly one tile $P \in \mathcal{P}$ in the first quadrant which contains this segment in its boundary. Notice that \mathcal{P} has four-fold symmetry: it is invariant under multiplication by -1 and under complex conjugation.

Definition 3.11. *Let c_j be the non-negative real critical points of \widehat{F} , with $0 = c_0 < c_1 < c_2 < \dots$, and for each j , let $P_{j,I}$ denote the tile of \mathcal{P} in the first quadrant with $[c_j, c_{j+1}]$ in its boundary.*

For each $K \in \{\text{II}, \text{III}, \text{IV}\}$, let $P_{j,K}$ denote the tile in quadrant K symmetric to $P_{j,I}$ with respect to the imaginary axis, the origin, or the real axis, respectively. See Figure 3.12. We will sometimes omit the second index (e.g. P_2); in this case we will mean any of the four symmetric tiles $P_{j,I}, P_{j,II}, P_{j,III}$, or $P_{j,IV}$ (or the appropriate one, depending on context).

Consistent with our earlier usage for \mathcal{P} , for any set P and any integer $n \geq 0$, we let $P^{(n)} = \lambda^n P$.

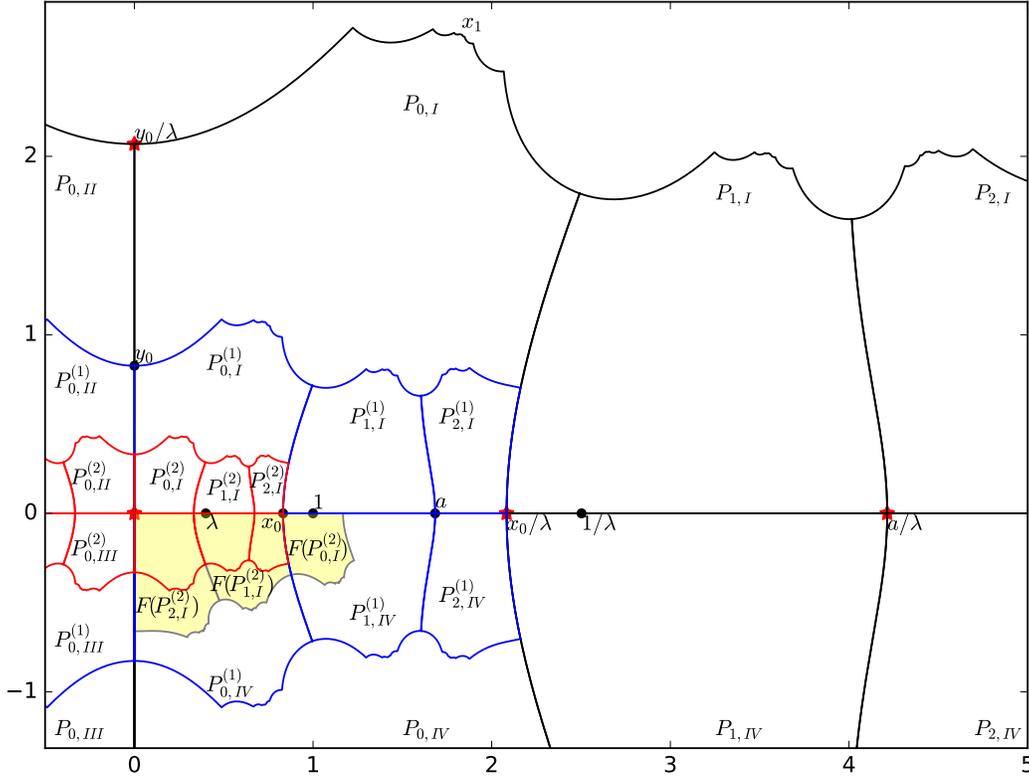


FIGURE 3.12. Illustration of Definition 3.11 and Proposition 3.13, showing several tiles $P_{i,K}^{(n)}$ and some images under \widehat{F} .

Proposition 3.13. *The map \widehat{F} satisfies the following:*

- (i) $\widehat{F}(P_{0,I}^{(2)}) \subset P_{1,IV}^{(1)}$;
- (ii) $P_{1,IV}^{(2)} \cup P_{2,IV}^{(2)} \subset \widehat{F}(P_{1,I}^{(2)}) \subset P_{0,IV}^{(1)} \setminus P_{0,IV}^{(2)}$;
- (iii) $\widehat{F}(P_{2,I}^{(2)}) \subset P_{0,IV}^{(1)}$;
- (iv) $\widehat{F}(P_{0,I}^{(1)}) = P_{0,IV}^{(0)}$, $\widehat{F}(P_{1,I}^{(1)}) = P_{0,III}^{(0)}$.

See Figure 3.12. We refer the reader to [DY16] for the proof of Proposition 3.13. Using part (iv) of Proposition 3.13 we obtain

Lemma 3.14. *Let y_0 be such that $\overline{P_{0,I}^{(1)}} \cap i\mathbb{R} = [0, y_0]$. Then*

$$\widehat{F}(y_0) = \frac{x_0}{\lambda}, \quad \widehat{F}^3(\lambda y_0) = 0, \quad \text{and} \quad \lambda y_0 \in J_F.$$

For the reader's convenience we list approximate values of some of the important constants.

$$\lambda \approx 0.399535, \quad x_0 \approx 0.832367, \quad \widehat{F}(\lambda) \approx 0.758923, \quad a \approx 1.683627$$

Let $\text{Int } S$ denote the interior of the set S . The set W introduced in [Subsection 2.2](#) can be written

$$W = \text{Int } \overline{P_{0,\text{I}} \cup P_{0,\text{II}} \cup P_{0,\text{III}} \cup P_{0,\text{IV}}}.$$

Definition 3.15. Define each of the following quantities:

- For each $K \in \{\text{I, II, III, IV}\}$, set $H_K = \text{Int } \overline{P_{0,K} \cup P_{1,K}}$. Let $H = \text{Int } \overline{H_{\text{I}} \cup H_{\text{II}} \cup H_{\text{III}} \cup H_{\text{IV}}}$.
- For $n \geq 0$, we write $W^{(n)} = \lambda^n W$ and $H^{(n)} = \lambda^n H$.

Remark 3.16. The restriction $\widehat{F}: W \rightarrow \mathbb{C} \setminus ((-\infty, -\frac{1}{\lambda}] \cup [\frac{1}{\lambda^2}, \infty))$ is a quadratic-like map.

Definition 3.17. Henceforth, we define F to be the restriction of \widehat{F} to W . For $n \in \mathbb{Z}_+$, let F_n denote the restriction of F^{2^n} to $W^{(n)}$, that is, the n -th pre-renormalization of F .

Remark 3.18. The following observations are immediate from the properties of F described above.

- (i) If n is odd then $F^n(0) \geq F^3(0) = F(\lambda) \approx 0.758923$.
- (ii) If $F^k(0) \in [-\lambda^m x_0, \lambda^m x_0]$ (that is, if $F^k(0) \in \overline{W^{(m+1)}}$), then k is divisible by 2^m .
- (iii) For all $n \in \mathbb{N}$ and $1 \leq k < 2^{n-1}$ one has $F^k(W^{(n)}) \cap W^{(n)} = \emptyset$.

Remark 3.19. For any finite piece of orbit $x = x_0, x_1 = \widehat{F}(x), \dots, x_k = \widehat{F}^k(x)$ such that x_k belongs to the closure of some tile T and $D\widehat{F}^k(x_0) \neq 0$, one can univalently pull back T along x_0, \dots, x_k in a unique way. In particular, this is true under the condition that $x_i \in W$ and $x_i \neq 0$ for $1 \leq i \leq k-1$.

4. DISTORTION BOUNDS

4.1. COPIES OF TILES

Definition 4.1. We will say that a tile Q is a **copy of the tile P under F^k** if there is a non-negative integer k such that $F^k(Q) = P$.

A copy P of $P_0^{(m)}$ under F^k is **primitive** if $F^j(P) \cap W^{(m)} = \emptyset$ for all $0 \leq j < k$.

A copy P of $P_0^{(m)}$ under F^k is **separated** if there exists $0 \leq j < k$ with $F^j(P) \subset W^{(m)}$ and $F^j(P) \cap J_F^{(m-1)} = \emptyset$ for the maximal such j .

As we shall see, separated copies of $P_0^{(m)}$ are called this because they stay away from relevant parts of the postcritical set. Separated copies play an important role for us, in that they allow us to have control on the distortion of tiles under iteration of f .

Remark 4.2. We make the following useful observations.

- (i) If Q is a copy of P under F^k then $F^k: Q \rightarrow P$ is a bijection.
- (ii) If Q is a copy of a separated copy T then Q is separated.
- (iii) Let T be a separated copy of $P_0^{(m)}$ with $F^k(T) = P_0^{(m)}$. Then for each $j \leq k$, $F^j(T)$ is either a primitive or a separated copy of $P_0^{(m)}$. In particular, the set $P_0^{(m)}$ is a primitive copy of itself.
- (iv) Copies of primitive copies need not be primitive or separated. For example, let $T = F(P_{0,\text{I}}^{(2)})$, so $F^2(T) = P_{0,\text{II}}^{(1)}$ and $T \subset P_{1,\text{IV}}^{(1)} \not\subset W^{(1)}$; see [Figure 3.12](#). Hence T is a primitive copy of $P_{0,\text{II}}^{(1)}$. But $P_{0,\text{I}}^{(2)} \subset W^{(1)}$ and intersects J_F , so $P_{0,\text{I}}^{(2)}$ is neither a primitive nor a separated copy of $P_{0,\text{II}}^{(1)}$.

Lemma 4.3. *Let T be a copy of $P_0^{(m)}$ under F^k with $T \subset W^{(n-1)}$ for some $n \leq m \in \mathbb{N}$. If $T \cap J_F^{(n-1)} \neq \emptyset$, then k is divisible by 2^{n-1} . Moreover, F_{n-1}^ℓ is defined on T for $\ell = k/2^{n-1}$ and thus $F_{n-1}^\ell(T) = P_0^{(m)}$.*

Proof. Let T be as in the conditions of the lemma. Assume that k is not divisible by 2^{n-1} . Let r be the remainder of k modulo 2^{n-1} and let $j = 2^{n-1} - r$. Then $F^j(P_0^{(m)}) = F^{2^{n-1}s}(T)$ for some integer s , therefore,

$$F^j(P_0^{(m)}) \cap J_F^{(n-1)} \neq \emptyset.$$

By the nesting property (Lemma 3.8), we see that $F^j(P_0^{(m)}) \subset W^{(n-1)}$ and hence $F^j(0) \in W^{(n-1)}$.

Remark 3.18 implies that $j = 2^{n-2}$. But $F^{2^{n-2}}(0) = (-\lambda)^{n-2}$ does not belong to $W^{(n-1)}$. This contradiction proves the first statement of the lemma.

To prove the second statement we show by induction that for all $0 \leq j < \ell$ one has $F_{n-1}^j(T) \subset W^{(n-1)}$ and $F_{n-1}^j(T) \cap J_F^{(n-1)} \neq \emptyset$. The base $j = 0$ is given by the conditions of the lemma. The induction step follows from the nesting property and the fact that $J_F^{(n-1)}$ is F_{n-1} -invariant. \square

Lemma 4.4. *Let T be a separated copy of $P_0^{(m)}$. Then $T \cap J_F^{(m-1)} = \emptyset$.*

Proof. Assume that there exists a separated copy T of $P_0^{(m)}$ such that $T \cap J_F^{(m-1)} \neq \emptyset$. Let k be such that $F^k(T) = P_0^{(m)}$. Set $\ell = \lfloor \frac{k-1}{2^{m-1}} \rfloor$, where $\lfloor \cdot \rfloor$ denotes the integer part of a number, and set $T_r = F^{2^{m-1}r}(T)$ for $0 \leq r \leq \ell$. Then $T_r \cap J_F^{(m-1)} \neq \emptyset$, and thus by the nesting property, $T_r \subset H^{(m)}$ for all $r \leq \ell$ (recall the definition of H in Definition 3.15).

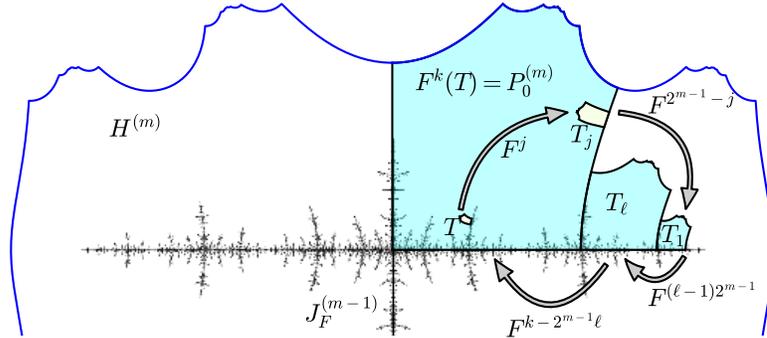


FIGURE 4.5. Lemma 4.4 shows the above situation does not occur, that is, a separated copy T of $P_0^{(m)}$ cannot intersect $J_F^{(m-1)}$. (This illustrates the case $r = 0$ of the proof.)

Since T is separated there exists $0 \leq r \leq \ell$ and $1 \leq j < 2^{m-1}$ such that $F^j(T_r) \subset W^{(m)}$ and $F^j(T_r) \cap J_F^{(m-1)} = \emptyset$. Using Remark 3.18(iii), we obtain that $j = 2^{m-2}$. But

$$F^{2^{m-2}}(H^{(m)}) = (-\lambda)^{m-2}F(H^{(2)}) \subset W^{(m-2)} \setminus W^{(m)}$$

(see Proposition 3.13). This contradiction finishes the proof. \square

4.2. KOEBE SPACE

In what follows, we use $\text{sign}(P)$ to represent the sign of the real part of the points in P , that is, $\text{sign}(P) = -1$ if P is in the left half-plane, $+1$ otherwise.

Proposition 4.6. *Let T be a primitive or a separated copy of $P_0^{(m)}$ under F^k with $m \geq 2$. Then the inverse branch $\phi: P_0^{(m)} \rightarrow T$ of F^k analytically continues to a univalent map on $\text{sign}(P_0^{(m)}) \lambda^m \mathbb{C}_\lambda$ where¹*

$$\mathbb{C}_\lambda = \mathbb{C} \setminus \left((-\infty, -\frac{1}{\lambda}] \cup [\frac{F(\lambda)}{\lambda^2}, \infty) \right).$$

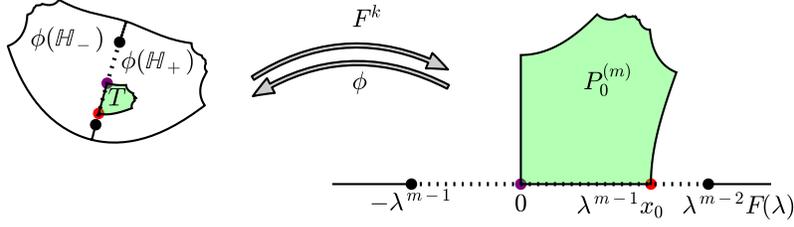


FIGURE 4.7. Illustration of the statement of Proposition 4.6, which says (roughly) that primitive and separated copies of $P_0^{(m)}$ have a definite Koebe space around them.

Proof. Assume that the statement of Proposition 4.6 is false. Let $m \geq 2$ and T be either a primitive or a separated copy of $P_0^{(m)}$ such that the inverse branch of $F^{-k}: P_0^{(m)} \rightarrow T$ does not have a univalent continuation on $\text{sign}(P_0^{(m)}) \lambda^m \mathbb{C}_\lambda$. Take k to be the minimal integer such that there is no such continuation.

Since F is real analytic, without loss of generality we may also assume that $P_0^{(m)} \subset \mathbb{H}_+$, that is, it is $P_{0,I}^{(m)}$ or $P_{0,II}^{(m)}$. By Remark 3.19, F^{-k} has a univalent analytic continuation ϕ on \mathbb{H}_+ which can be extended to a continuous function on $\overline{\mathbb{H}_+}$. Since k is defined to be the minimal value such that F^{-k} does not extend, the unique critical point 0 must be in $\overline{\phi(\mathbb{H}_+)}$; moreover,

$$F^k(0) \in \text{sign}(P_0^{(m)})(-\lambda^{m-1}, \lambda^{m-2}F(\lambda)), \quad \text{and in particular } |F^k(0)| \leq \lambda^{m-2}F(\lambda). \quad (4.8)$$

Suppose that $\phi(0) \notin \mathbb{R}$. Let ℓ be the minimal integer such that $F^{\ell+1}(\phi(0)) \in \mathbb{R}$, so $F^\ell(\phi(0)) \in i\mathbb{R}$ and $F^\ell(T) \cap i\mathbb{R}$ is a non-empty segment. Since T is primitive or separated, $F^\ell(T)$ cannot contain 0 . Therefore, $F^\ell(T)$ is disjoint from \mathbb{R} . Let $[x, y]$ denote the segment $F^\ell(T) \cap i\mathbb{R}$ where $|x| < |y|$. See Figure 4.9.

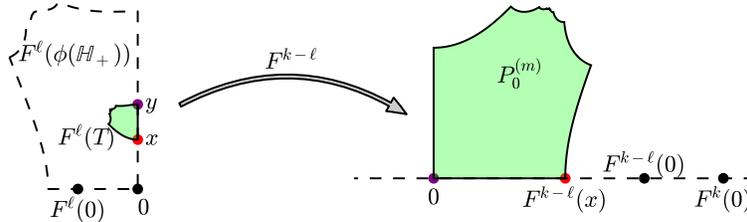


FIGURE 4.9. Illustration of formula (4.10): if $\phi(0) \notin \mathbb{R}$, then $F^{k-\ell}(0)$ lies between 0 and $F^k(0)$.

¹The set \mathbb{C}_λ should not be confused with the slightly larger set $F(W) = \mathbb{C} \setminus \left((-\infty, -\frac{1}{\lambda}] \cup [\frac{1}{\lambda^2}, \infty) \right)$.

Clearly, $F^{k-\ell}(x)$ is real and $F^{k-\ell}(x) \in \overline{P_0^{(m)}}$. It follows that $(x, 0) \cup [0, F^\ell(0)) \subset F^\ell(\phi(\overline{\mathbb{H}_+}))$ and $F^{k-\ell}$ is one-to-one on $(x, 0) \cup [0, F^\ell(0))$. Thus if ℓ is nonzero, we will have

$$F^{k-\ell}(0) \in F^{k-\ell}((x, 0) \cup [0, F^\ell(0))) = (F^{k-\ell}(x), F^k(0)) \subset (0, F^k(0)), \quad (4.10)$$

since the segment $(F^{k-\ell}(x), F^k(0))$ is disjoint from $\overline{P_0^{(m)}}$. By the definition of k , we obtain that $\ell = 0$. Consequently, $\phi(0) \in i\mathbb{R} \cup \mathbb{R}$.

By Remark 3.18 and (4.8), k is divisible by 2^{m-2} . If $k \geq 2^{m+1}$, then since $0 \in \overline{\phi(\mathbb{H}_+)}$ the **nesting property** tells us that we must have $T \subset \phi(\mathbb{H}_+) \subset W^{(m+1)}$. In particular, T is not primitive and so must be separated. Since $\phi(0)$ must be either real or purely imaginary, and since

$$W^{(m+1)} \cap J_F^{(m-1)} \supset W^{(m+1)} \cap (i\mathbb{R} \cup \mathbb{R}),$$

we obtain that $T \cap J_F^{(m-1)} \neq \emptyset$, contradicting the hypothesis of T being separated. Hence, we must have $k < 2^{m+1}$.

This leaves us with four possibilities, each of which we rule out now.

Case $k = 2^m$: In this case, $T \subset \phi(\mathbb{H}_+) = P_{0,K}^{(m)}$ for some K . In particular, T is not primitive. Since $F^{2^m}(\text{sign}(P_{0,K}^{(m)})\lambda^m x_0) = 0$ and $\text{sign}(P_{0,K}^{(m)})\lambda^m x_0 \in \partial\phi(\mathbb{H}_+)$, we have $\text{sign}(P_{0,K}^{(m)})\lambda^m x_0 = \phi(0) \in \partial T$ and therefore $T \cap J_F^{(m-1)} \neq \emptyset$. From Lemma 4.4, this means T is not separated, giving a contradiction.

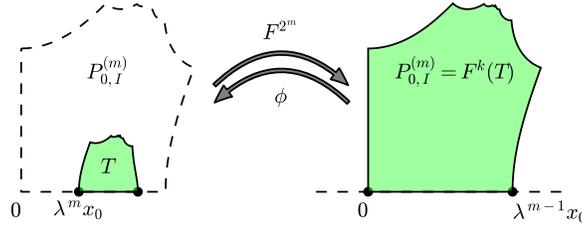


FIGURE 4.11. A case where $k = 2^m$ in the proof of Proposition 4.6.

Case $k = 2^{m-1}$: Then $\phi(\mathbb{H}_+) = P_0^{(m-1)}$. Since by (2.3) we have $F^{2^m}(z) = (-\lambda)^m F(z/\lambda^m)$, we can apply Proposition 3.13 to see that $T = \pm\lambda^{m-1} F(P_{i,K}^{(2)})$ with $i = 0$ or $i = 1$ and $K = \text{I}$ or $K = \text{IV}$. Since T is either primitive or separated, $i \neq 1$. Again using (2.3) we obtain

$$P_0^{(m)} = F^{2^{m-1}}(T) = (-\lambda)^{m-1} F^2(P_{0,K}^{(2)}) = (-\lambda)^m F(P_{0,K}^{(1)})$$

and thus $\text{sign}(P_0^{(m)}) = (-1)^m$. This contradicts (4.8), since $F^{2^{m-1}}(0) = (-\lambda)^{m-1}$.

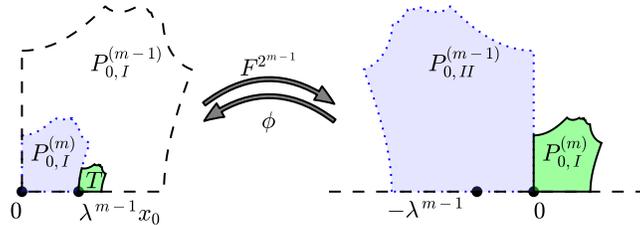


FIGURE 4.12. In the proof of Proposition 4.6, the case where $k = 2^{m-1}$.

Case $k = 3 \cdot 2^{m-1}$: Here we have $P_{0,K}^{(m)} \supset \phi(\mathbb{H}_+) \supset P_{0,K}^{(m+1)}$ for some K . In particular, T is not primitive. Moreover, by definition of y_0 (see Lemma 3.14), $\overline{\phi(\mathbb{H}_+)}$ will contain either $y = \lambda^m y_0$ or $y = -\lambda^m y_0$ which are points of $J_F^{(m-1)}$. Since $F^{3 \cdot 2^{m-1}}(y) = (-\lambda)^{m-1} F^3(\lambda y_0) = 0$, we obtain that $y = \phi(0) \in \bar{T}$, and hence T intersects $J_F^{(m-1)}$, contradicting the hypothesis that T is separated.

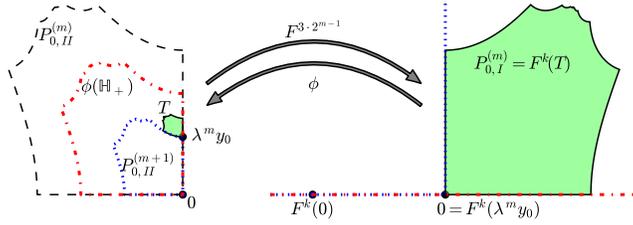


FIGURE 4.13. The case $k = 3 \cdot 2^{m-1}$ from the proof of Proposition 4.6.

Case $k = 2^{m-2}j$ where j is odd: Remark 3.18 gives $|F^k(0)| = \lambda^{m-2}|F^j(0)|$. But this is greater or equal to $\lambda^{m-2}F(\lambda)$, contradicting (4.8).

Since all the possibilities for k lead to a contradiction, we have established the proposition. \square

Informally speaking, Proposition 4.6 tells us that the inverse branch of the iterate of F corresponding to a separated copy T admits an analytic continuation to a region with a definite Koebe space around T .

4.3. DISTORTION OF MEASURABLE SETS

The Koebe Distortion Theorem (see [Du], e.g.) implies that there exists a constant $C > 0$ such that for any univalent function ϕ on $\mathbb{C}_\lambda = \mathbb{C} \setminus ((-\infty, -\frac{1}{\lambda}] \cup [\frac{F(\lambda)}{\lambda^2}, \infty))$ one has:

$$\frac{|\phi'(z)|}{|\phi'(w)|} \leq C, \text{ for any } z, w \in P_0.$$

As a consequence of Proposition 4.6, one can show the following.

Corollary 4.14. *Let A and B be two measurable subsets of P_0 of positive measure and let T be a primitive or a separated copy of $P_0^{(m)}$ under F^k for some $k \geq 0$ and $m \geq 2$. Then*

$$\frac{\text{area}(F^{-k}(B^{(m)}) \cap T)}{\text{area}(F^{-k}(A^{(m)}) \cap T)} \leq C^4 \frac{\text{area}(B)}{\text{area}(A)}.$$

However, we will need a slightly sharper version of this result, where the constant depends more explicitly on the set A . We devote the remainder of this section to establishing it.

Consider the slit plane \mathbb{C}_λ . From the Koebe Distortion Theorem, the function

$$C(z, w) = \sup \left\{ \frac{|\phi'(z)|}{|\phi'(w)|} \left| \phi: \mathbb{C}_\lambda \rightarrow \mathbb{C} \text{ is univalent} \right. \right\}$$

is nonzero and finite for all z and w .

Fix a univalent map ϕ on \mathbb{C}_λ . For every $w \in \mathbb{C}_\lambda$, there is a conformal isomorphism $H_w: \mathbb{C}_\lambda \rightarrow \mathbb{D}$ such that $H_w(w) = 0$ and $H'_w(w)$ is a positive real number. Thus, we can write ϕ as a composition $\phi = \varphi \circ H_w$, where φ is a univalent map on the unit disk \mathbb{D} .

Applying the Koebe Distortion Theorem, we have

$$\frac{1 + |H_w(z)|}{(1 - |H_w(z)|)^3} \geq \frac{|\varphi'(H_w(z))|}{|\varphi'(0)|} \geq \frac{1 - |H_w(z)|}{(1 + |H_w(z)|)^3}.$$

In particular,

$$\frac{|\phi'(z)|}{|\phi'(w)|} \leq C(z, w) \leq \frac{1 + |H_w(z)|}{(1 - |H_w(z)|)^3} \frac{|H'_w(z)|}{|H'_w(w)|}.$$

The latter gives us a way to estimate $C(z, w)$ from above.

Fix two measurable subsets A and B of P_0 of positive measure. For any $z \in B$ we have

$$\text{area}(\phi(A)) = \int_A |\phi'(w)|^2 |dw|^2 \geq |\phi'(z)|^2 \int_A \frac{|dw|^2}{(C(z, w))^2}.$$

For notation, set $g_A(z) = \int_A |dw|^2 / C^2(z, w)$. Then we obtain

$$\text{area}(\phi(B)) = \int_B |\phi'(z)|^2 |dz|^2 \leq \text{area}(\phi(A)) \int_B \frac{|dz|^2}{g_A(z)} \leq M(A) \text{area}(\phi(A)) \text{area}(B),$$

where

$$M(A) = \frac{1}{\inf \{ g_A(z) \mid z \in P_0 \}}.$$

Observe that for two measurable sets $A \subset A' \subset P_0$, we have $M(A) \geq M(A')$, since for all z we have $g_A(z) \leq g_{A'}(z)$.

As a consequence of [Proposition 4.6](#) and the previous discussion, we obtain the following.

Corollary 4.15. *Let A, B be two measurable subsets of P_0 of positive measure and let T be a primitive or a separated copy of $P_0^{(m)}$ under F^k for some $k \geq 0$ and $m \geq 2$. Then*

$$\frac{\text{area}(F^{-k}(B^{(m)}) \cap T)}{\text{area}(F^{-k}(A^{(m)}) \cap T)} \leq M(A) \text{area}(B).$$

Moreover, if $A_1 \subset A_2$ then $M(A_1) \geq M(A_2)$.

Remark 4.16. While we could write the quantity $M(A) \text{area}(B)$ more conventionally as $K_A \frac{\text{area}(B)}{\text{area}(A)}$, it is more convenient to use $M(A)$ since $A_1 \subset A_2$ does not imply $K_{A_1} \geq K_{A_2}$.

5. RECURSIVE ESTIMATES

Recall that F is a quadratic-like map $F: W \rightarrow \mathbb{C} \setminus ((-\infty, -\frac{1}{\lambda}] \cup [\frac{1}{\lambda^2}, \infty))$, and for a point $z \in W$, by the **forward orbit of z** we mean the set $\{ F^k(z) \mid k \in \mathbb{N} \cup \{0\} \text{ such that } F^k(z) \text{ is defined} \}$. Recall from [Definition 3.17](#) that F_n denotes the n -th pre-renormalization of F , that is, the restriction of F^{2^n} to $W^{(n)}$.

Definition 5.1. *Define the following (some of which we have referred to in [Section 2](#)).*

- Let \tilde{X}_n be the set of points in $W^{(1)}$ that eventually land in $W^{(n)}$:

$$\tilde{X}_n = \left\{ z \in W^{(1)} \mid F^k \in W^{(n)} \text{ for some } k \geq 0 \right\}.$$

- Denote by $\tilde{\eta}_n$ the relative measure of \tilde{X}_n in $W^{(1)}$:

$$\tilde{\eta}_n = \frac{\text{area}(\tilde{X}_n)}{\text{area}(W^{(1)})}.$$

- Let $X_{n,m}$ be the set of points in $W^{(n)}$ whose forward orbits under F_{n-1} intersect $W^{(n+m)}$:

$$X_{n,m} = \lambda^{n-1} \tilde{X}_{m+1} = \left\{ z \in W^{(n)} \mid F_{n-1}^k(z) \in W^{(n+m)} \text{ for some } k \geq 0 \right\}.$$

- Let Y_n denote the set of points in $W^{(n)}$ whose forward orbits never return to $W^{(n)}$:

$$Y_n = \left\{ z \in W^{(n)} \mid F^k(z) \notin W^{(n)} \text{ for } k \geq 1 \right\}.$$

- Σ_n is the set of points in $W^{(n)}$ whose forward orbits under F_{n-1} intersect Y_n :

$$\Sigma_n = \left\{ z \in W^{(n)} \mid F_{n-1}^j(z) \in Y_n \text{ for some } j \geq 0 \right\}$$

- $\Sigma_{n,m}$ is the subset of Σ_n with orbits that avoid $W^{(n+m)}$:

$$\Sigma_{n,m} = \left\{ z \in \Sigma_n \mid F_{n-1}^k(z) \notin W^{(n+m)} \text{ for all } k \geq 0 \right\}.$$

- For measurable sets A , let $M(A)$ be as in [Corollary 4.15](#). Then define

$$M_{n,m} = M((\lambda^{-n} \Sigma_{n,m}) \cap P_{0,\mathbb{I}}) \quad \text{and} \quad M_n = M((\lambda^{-n} \Sigma_n) \cap P_{0,\mathbb{I}}).$$

Remark 5.2. The following observations are immediate:

(i) \tilde{X}_n is the union of all primitive copies of $P_0^{(n)}$ that lie inside $W^{(1)}$, together with a countable collection of analytic curves (which form parts of the boundaries of these copies).

(ii) The relative measure of $X_{n,m}$ in $W^{(n)}$ is equal to $\tilde{\eta}_{m+1}$: $\frac{\text{area}(X_{n,m})}{\text{area}(W^{(n)})} = \tilde{\eta}_{m+1}$.

(iii) By construction, $X_{n,m} \cap \Sigma_{n,m} = \emptyset$ for all n, m .

(iv) $\Sigma_n = \bigcup_{m \in \mathbb{N}} \Sigma_{n,m}$.

Theorem 5.3. *For every n and m , one has*

$$\tilde{\eta}_{n+m} \leq M_{n,m} \text{area}(P_{0,\mathbb{I}}) \tilde{\eta}_n \tilde{\eta}_{m+1}$$

Before proving [Theorem 5.3](#), let us formulate its main corollary.

Corollary 5.4. *If for some n one has $\tilde{\eta}_n M_n \text{area}(P_{0,\mathbb{I}}) < 1$, then the Hausdorff dimension of J_F is less than 2.*

Proof. Let n be such that $\tilde{\eta}_n M_n \text{area}(P_{0,\mathbb{I}}) < 1$. Then there is an m for which $\tilde{\eta}_n M_{n,m} \text{area}(P_{0,\mathbb{I}}) < 1$; let $\gamma = \tilde{\eta}_n M_{n,m} \text{area}(P_{0,\mathbb{I}})$ for this value of n and m .

By construction $\Sigma_{n,k} \subset \Sigma_{n,\ell}$ whenever $k < \ell$, so [Corollary 4.15](#) tells us that

$$M_{n,rn+m} \leq M_{n,m} \quad \text{for every } r \in \mathbb{N}.$$

Using [Theorem 5.3](#) and writing $rn + m$ as $n + ((r-1)n + m)$, we deduce that

$$\tilde{\eta}_{rn+m+1} \leq \tilde{\eta}_{rn+m} \leq M_{n,(r-1)n+m} \text{area}(P_{0,\mathbb{I}}) \tilde{\eta}_n \tilde{\eta}_{(r-1)n+m+1} \leq \gamma \tilde{\eta}_{(r-1)n+m+1} \quad \text{for every } r \in \mathbb{N}.$$

As a result, $\tilde{\eta}_k$ converges to zero exponentially fast. Consequently, [Lemma 2.4](#) tells us that the parameter η_k of the Avila-Lyubich Trichotomy ([Theorem 2.1](#)) also converges to zero exponentially fast. Thus, F is in the lean case and the Hausdorff dimension of J_F is less than 2. \square

5.1. THE PROOF OF THEOREM 5.3

First, let us prove some auxiliary lemmas.

Lemma 5.5. *Let T be a copy of $P_0^{(m+n)}$ with $T \subset W^{(1)} \setminus X_{n,m}$. Then there is a $k \geq 0$ and a primitive or separated copy Q of $P_0^{(n)}$ under F^k so that $T \subset Q \subset W^{(1)}$, with $F^k(T) \subset X_{n,m}$ but $Q \not\subset \tilde{X}_{n+m}$.*

Proof. Let $F^r(T) = P_0^{(m+n)}$. Let j be the minimal number for which $F^j(T)$ intersects $X_{n,m}$ with $F^j(T) \subset W^{(n)}$. We now show that we must have $F^j(T) \subset X_{n,m}$. Since $X_{n,m}$ consists of copies of $W^{(n+m)}$, the **nesting property** tells us that either $F^j(T) \subset X_{n,m}$ or it contains a copy of $P_0^{(m+n)}$ under F_{n-1}^s for some s ; in this case $F^j(T) \cap J_F^{(n-1)} \neq \emptyset$. By **Lemma 4.3**, $r - j$ is divisible by 2^{n-1} . Moreover, $F_{n-1}^p(F^j(T)) = P_0^{(m+n)}$ for $p = (r - j)/2^{n-1}$ and so we must have $F^j(T) \subset X_{n,m}$.

Let Q be the unique copy of $P_0^{(n)}$ under F^j containing T . Let us show that Q is either primitive or separated and $Q \setminus \tilde{X}_{n+m} \neq \emptyset$. Observe that if $Q \subset \tilde{X}_{n+m}$, the **nesting property** and **Lemma 4.3** would imply that either $F^d(Q) \subset P_0^{(n+m)}$ or $F^d(Q) \supset P_0^{(n+m)}$ for some $d < j$. If Q is primitive this is clearly impossible.

Assume that Q is not primitive. Then there exists $0 \leq \ell < j$ such that $F^\ell(Q) \subset W^{(n)}$. Assume that ℓ is the maximal such number. If $F^\ell(Q)$ intersects $J_F^{(n-1)}$ then from **Lemma 4.3** we obtain that $c = (j - \ell)/2^{n-1}$ is an integer and $F_{n-1}^c(F^\ell(Q)) = P_0^{(n)}$. In this case $F_{n-1}^c(F^\ell(T)) = F^j(T) \subset X_{n,m}$ and so $F^\ell(T) \subset X_{n,m}$. This contradicts the definitions of j and ℓ . Thus, $F^\ell(Q) \cap J_F^{(n-1)} = \emptyset$ and so Q is separated.

Now, $F^d(Q) \supset P_0^{(n+m)}$ is impossible for $d < j$ by **Lemma 4.4** and the fact that copies of separated copies are separated (see **Remark 4.2(ii)**). On the other hand, if $F^d(Q) \subset P_0^{(n+m)}$ for $d < j$ then $F^d(T) \subset W^{(n+m)} \subset X_{n,m}$, contradicting the definition of j . This shows that $Q \setminus \tilde{X}_{n+m}$ is nonempty, finishing the proof. \square

Lemma 5.6. *For every primitive or separated copy Q of $P_0^{(n)}$ for which $Q \setminus \tilde{X}_{n+m} \neq \emptyset$, one has*

$$Q \cap (\Sigma_{n,m} \cup X_{n,m}) = \emptyset.$$

Proof. Assume that $Q \cap X_{n,m} \neq \emptyset$. Then by the **nesting property**, Q either contains or is contained in a copy T of $P_0^{(n+m)}$ under F_{n-1}^ℓ for some ℓ . If $T \subset Q$ then Q intersects J_F^{n-1} , which is impossible since Q is separated. If, on the other hand, $Q \subset T$, then by the definition of \tilde{X}_{n+m} we have $Q \subset \tilde{X}_{n+m}$, contradicting a hypothesis of the lemma. Thus, we must have $Q \cap X_{n,m} = \emptyset$.

Now suppose that $Q \cap \Sigma_{n,m}$ is nonempty. Let k be such that $F^k(Q) = P_0^{(n)}$, and let ℓ be the minimal number such that $Q \cap F_{n-1}^{-\ell}(Y_n) \neq \emptyset$. Then

$$F^s(Q) \setminus W^{(n)} \neq \emptyset \text{ for all } s > 2^{n-1}\ell,$$

and therefore $k \leq 2^{n-1}\ell$. By the **nesting property**,

$$F^{2^{n-1}\ell}(Q) \supset P_{0,L}^{(n)} \supset F_{n-1}^\ell(Q \cap F_{n-1}^{-\ell}(Y_n))$$

for some quadrant L . Since $Q \cap F_{n-1}^{-\ell}(P_{0,L}^{(n)})$ is nonempty, Q must contain a copy T of $P_{0,L}^{(n)}$ with $F_{n-1}^\ell(T) = P_{0,L}^{(n)}$. Therefore Q intersects $J_F^{(n-1)}$, contradicting **Lemma 4.4** and finishing the proof. \square

Let \mathcal{SP} be the set of all primitive or separated copies Q of $P_0^{(n)}$ which lie in $W^{(1)}$ and are such that $Q \setminus \tilde{X}_{n+m} \neq \emptyset$. For $Q \in \mathcal{SP}$ let k be such that $F^k(Q) = P_0^{(n)}$. Set

$$\Sigma_Q = F^{-k}(\Sigma_{n,m}) \cap Q, \quad X_Q = F^{-k}(X_{n,m}) \cap Q.$$

Recall that $\Sigma_{n,m}$ and $X_{n,m}$ are symmetric with respect to the axes. Observe that F^k sends X_Q and Σ_Q bijectively onto the intersections of $X_{n,m}$ and $Z_{n,m}$, respectively, with one of the four quadrants.

Corollary 5.7. *The sets from the collection $\{\Sigma_Q, X_Q \mid Q \in \mathcal{SP}\}$ are pairwise disjoint.*

Proof. Since $\Sigma_{n,m} \cap X_{n,m} = \emptyset$, we obtain immediately that $\Sigma_Q \cap X_Q = \emptyset$ for every $Q \in \mathcal{SP}$. Let $Q_1, Q_2 \in \mathcal{SP}$ be distinct copies of $T_1 = P_{0,K}^{(n)}$ and $T_2 = P_{0,L}^{(n)}$, respectively, and let k_1, k_2 be such that $F^{k_1}(Q_1) = T_1$ and $F^{k_2}(Q_2) = T_2$.

If $k_1 = k_2$ then since Q_1 and Q_2 are distinct, they must be disjoint. Assume that $k_1 < k_2$ and that one of two sets Σ_{Q_1}, X_{Q_1} intersects one of two sets Σ_{Q_2}, X_{Q_2} . By the nesting property (Lemma 3.8), $Q_2 \subset Q_1$. Since $F^{k_1}(Q_2) \in \mathcal{SP}$, we can apply Lemma 5.6 to see that

$$F^{k_1}(Q_2) \cap (\Sigma_{n,m} \cup X_{n,m}) = \emptyset.$$

Therefore, $Q_2 \cap (\Sigma_{Q_1} \cup X_{Q_1}) = \emptyset$. This contradiction finishes the proof. \square

Proof of Theorem 5.3. By Lemma 5.5, \tilde{X}_{n+m} is the union of all sets of the form X_Q , $Q \in \mathcal{SP}$, together with a countable set of analytic curves. Using the fact that $X_{n,m}$ and $\Sigma_{n,m}$ are symmetric with respect to the axes, Corollary 4.15, the definition of $M_{n,m}$ (Definition 5.1), and Remark 5.2(ii), we obtain

$$\frac{\text{area}(X_Q)}{\text{area}(\Sigma_Q)} \leq \lambda^{-2n} M_{n,m} \text{area}(X_{n,m} \cap P_{0,I}^{(n)}) = M_{n,m} \tilde{\eta}_{m+1} \text{area}(P_{0,I}).$$

We have

$$\text{area}(\tilde{X}_{n+m}) = \sum_{Q \in \mathcal{SP}} \text{area}(X_Q) \leq M_{n,m} \tilde{\eta}_{m+1} \text{area}(P_{0,I}) \sum_{Q \in \mathcal{SP}} \text{area}(\Sigma_Q).$$

Since $(\bigsqcup_{Q \in \mathcal{SP}} X_Q) \sqcup (\bigsqcup_{Q \in \mathcal{SP}} \Sigma_Q) \subset \tilde{X}_n$, we obtain $\text{area}(\tilde{X}_{n+m}) \leq M_{n,m} \tilde{\eta}_{m+1} \text{area}(P_{0,I}) \text{area}(\tilde{X}_n)$. \square

5.2. ESTIMATING \tilde{X}_n AND Σ_n

Let $\mathcal{P}_J^{(n)}$ denote the elements of $\mathcal{P}^{(n)}$ that intersect J_F . That is, $\mathcal{P}_J^{(n)} = \{P \in \mathcal{P}^{(n)} \mid P \cap J_F \neq \emptyset\}$. Then, for each $n \in \mathbb{N}$, let V_n be the interior of the closure of the union of all tiles $P \in \mathcal{P}_J^{(n)}$. Observe that the sets V_n form a collection of nested neighborhoods of J_F . Figure 3.7 shows the tiles that make up V_1, V_2 , and V_3 in the first quadrant; V_2 is also shown in Figure 5.13.

Remark 5.8. In what follows, V_2 shall be particularly useful. Observe that $V_2 = F^{-3}(W)$.

Also, let $V_2^* = (-\infty, -\frac{1}{\lambda}] \cup V_2 \cup [\frac{1}{\lambda^2}, \infty)$.

Definition 5.9. For $n \geq 3$, let \tilde{W}_n denote the interior of the closure of the union of the copies P of \mathbb{H}_\pm under F^{2^n-6} with $0 \in \bar{P}$. Notice that for each $n \geq 3$ there are exactly four such copies; denote by $\tilde{P}_{n,K}$ the copy in quadrant K (if the quadrant is omitted, we mean the appropriate copy).

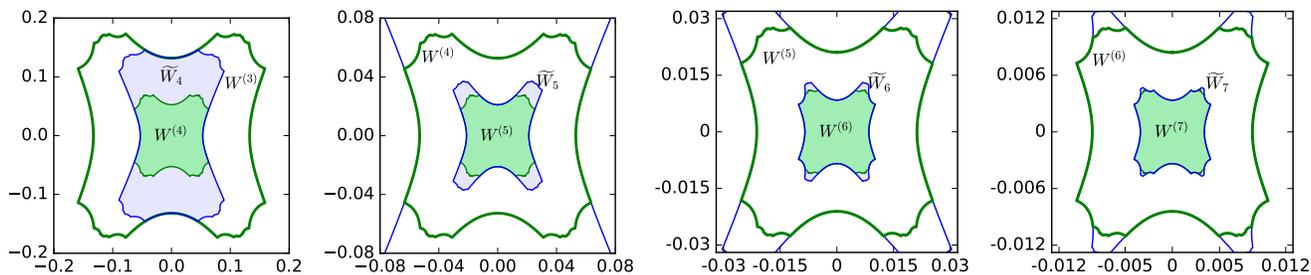


FIGURE 5.10. The sets $\widetilde{W}_4, \widetilde{W}_5, \widetilde{W}_6,$ and \widetilde{W}_7 , shown along with $W^{(3)}, W^{(4)}, W^{(5)}, W^{(6)}$ and $W^{(7)}$.

Remark 5.11. The following observations are immediate from the definitions. See Figure 5.10.

- (i) for all $n \geq 3$, $F^{2^n-6}(\partial\widetilde{W}_n) = \mathbb{R}$,
- (ii) for all $n \geq 3$, $\widetilde{W}_{n+1} \subset \lambda\widetilde{W}_n$,
- (iii) for all $n \geq 4$, $W^{(n)} \subset \widetilde{W}_n \subset W^{(n-1)}$,
- (iv) $\widetilde{W}_3 = W^{(1)}$,
- (v) For $n \geq k \geq 3$, $F^{2^n-2^k}(\widetilde{P}_n) = \widetilde{P}_k$.

For a point $z_0 \notin J_F$, the next lemma gives us explicit criteria for determining a disk of points around z_0 whose orbits behave comparably. See Figure 5.13.

Lemma 5.12. *Let D be a disk in the complement of V_2^* and let D_0 be a connected component of $F^{-k}(D)$ for any $k \geq 0$. Then for $n \geq 3$, either $D_0 \cap W^{(n)} = \emptyset$ or $D_0 \subset \widetilde{W}_n$.*

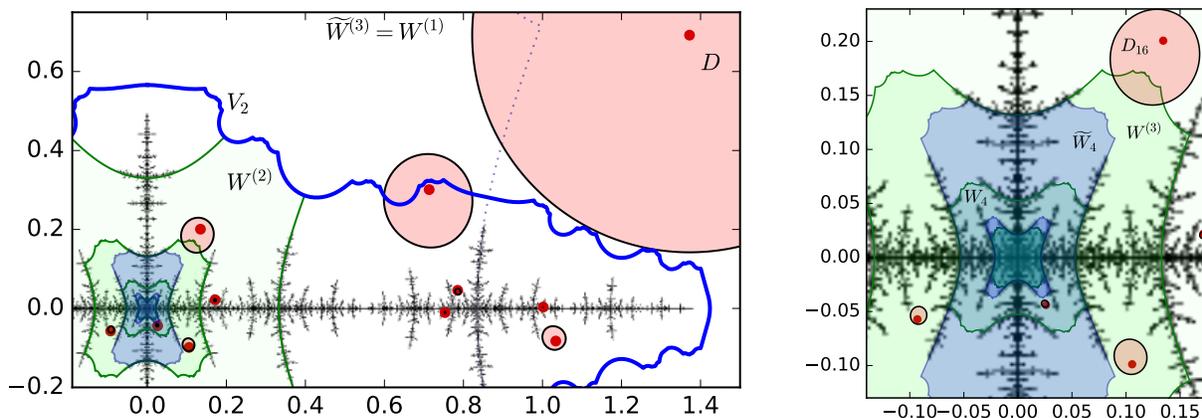


FIGURE 5.13. Illustration of Lemma 5.12: on the left is shown V_2 (blue curve) and a large disk D which lies outside of it. Also shown are $W^{(2)}, W^{(3)}, W^{(4)}$ and $W^{(5)}$ (in green), as well as \widetilde{W}_4 and \widetilde{W}_5 (shaded blue) and J_F . Several preimages of D are drawn in light red, with the corresponding preimage of the center of D indicated by a red dot. On the right is a blow-up of the left figure. While the preimage labeled D_{16} partially intersects $W^{(3)}$, it lies completely inside $\widetilde{W}_3 = W^{(1)}$ (dotted line).

Proof. If we assume the lemma does not hold, D_0 must contain points from $\partial W^{(n)}$ and $\partial \widetilde{W}_n$.

First, since V_2 is a neighborhood of J_F , the definition of D means it can contain no points of J_F . Using D_j to denote $F^j(D_0)$, we have $D_j \cap J_F = \emptyset$ for $0 \leq j \leq k$.

Observe also that $W^{(n)} \subset F^{-(2^n-4)}(V_2)$, and hence $k \geq 2^n - 3$. Applying [Remark 5.11\(i\)](#) gives

$$F^k(\partial \widetilde{W}_n) = F^{k-2^n+6}(F^{2^n-6}(\partial \widetilde{W}_n)) = F^{k-2^n+6}(\mathbb{R}) = \mathbb{R}, \quad (5.14)$$

so we must have $D_k \cap \mathbb{R} \neq \emptyset$.

Further, if for some $j < k$ we have D_j intersecting both \mathbb{R} and $i\mathbb{R}$, it can be shown by induction that $D = D_k$ must contain both positive and negative real values, which is impossible.

If $D_j \cap i\mathbb{R} = \emptyset$ for all $j < k$, then since D_j can contain no points of J_F , we must have

$$D_j \subset \overline{P_{1,I}^{(1)} \cup P_{1,II}^{(1)} \cup P_{1,III}^{(1)} \cup P_{1,IV}^{(1)}} \quad \text{for all } j < k - 1.$$

This contradicts our initial hypothesis that D_0 intersects $W^{(n)}$; so for some $j < k$, we must have $D_j \cap i\mathbb{R} \neq \emptyset$. Let s be the maximal index for which D_s intersects the imaginary axis, and let x be a point in $D_s \cap i\mathbb{R}$. Without loss of generality, we may take $\text{Im}(x) > 0$.

Observe that $D_s \cap \mathbb{R} = \emptyset$. Because D_s contains a boundary point of $F^s(\widetilde{W}_n)$, by [Remark 5.11\(i\)](#) we must have $s \leq 2^n - 7$. Combining this with the fact that $k \geq 2^n - 3$ yields $s \leq k - 4$.

Let $V_{2,\mathbb{R}}$ be the union of closures of tiles from $\mathcal{P}^{(2)}$ which intersect $J_F \cap \mathbb{R}$. [Lemma 3.14](#) implies that $V_{2,\mathbb{R}} \cap i\mathbb{R} = [-\lambda y_0, \lambda y_0] \subset J_F$. Thus D_s intersects $i\mathbb{R}$ outside $V_{2,\mathbb{R}}$, so $\pm \lambda y_0 \notin D_s$. Since D_s intersects $F^s(W^{(n)})$ and hence also intersects $V_{2,\mathbb{R}}$, we conclude that D_s contains a boundary point of $V_{2,\mathbb{R}}$.

But since $k - s \geq 4$ and $F^4(\partial V_{2,\mathbb{R}} \cup [\lambda y_0, x]) \subset \mathbb{R}$, it follows that $D_k \cap \mathbb{R}$ consists of at least two connected components; this is impossible. The contradiction finishes the proof. \square

Use $\mathbb{D}_R(z)$ to denote the open disk of radius R centered at z , and recall the definition of $H^{(1)}$ from [Definition 3.15](#). From [Definition 5.1](#), recall that \tilde{X}_n is the set of points of $W^{(1)}$ that eventually land in $W^{(n)}$ under iterates of F , Y_n are points of $W^{(n)}$ which never return to $W^{(n)}$ under non-trivial iterates of F and Σ_n is the set of points in $W^{(n)}$ that eventually land in Y_n under iterates of F_{n-1} .

Applying the Koebe One-Quarter Theorem together with [Lemma 5.12](#) yields the following two useful corollaries, which enable us to estimate the size of disks which lie outside \tilde{X}_n or inside Σ_n .

Corollary 5.15. *Fix $n \geq 3$. Let $z \in H^{(1)} \setminus J_F$ and let k be such that $F^k(z) \notin V_2$. Suppose also that $F^j(z) \notin \widetilde{W}_n$ for $0 \leq j \leq k$. Let D_0 be the connected component of $F^{-k}(\mathbb{D}_R(F^k(z)))$ containing z , with $R = \text{dist}(F^k(z), V_2^*)$. Then $D_0 \cap \tilde{X}_n = \emptyset$. In particular,*

$$\mathbb{D}_r(z) \cap \tilde{X}_n = \emptyset, \quad \text{where } r = \frac{R}{4|DF^k(z)|}.$$

Corollary 5.16. *Fix $n \geq 3$. Let $z \in W^{(n)}$ be such that $w = F_{n-1}^s(z) \in Y_n$ for some s ; let ℓ be such that $F^\ell(w) \notin V_2$. Suppose also that $F^j(w) \notin \widetilde{W}_n$ for all $0 \leq j \leq \ell$.*

Set $R = \text{dist}(F^\ell(w), V_2^)$ and let D_0 be the connected component of $F_{n-1}^{-s}(F^{-\ell}(\mathbb{D}_R(F^\ell(w))))$ that contains z . Then $D_0 \subset \Sigma_n$. In particular,*

$$\mathbb{D}_r(z) \subset \Sigma_n, \quad \text{where } r = \frac{R}{4|DF^k(z)|} \quad \text{and } k = 2^{n-1}s + \ell.$$

With [Corollary 5.15](#) and [Corollary 5.16](#) in hand, we have explicit, computable criteria for verifying that the hypotheses of [Corollary 5.4](#) hold, showing that the Hausdorff dimension of J_F is less than two.

Specifically, for some n we need to establish upper bounds on the quantities $\tilde{\eta}_n$ and M_n . We now give algorithms to do this. These are presented assuming that $F(z)$, $F'(z)$, \widetilde{W}_n , P_0 , etc. can be calculated exactly. In [Section 6](#), we discuss how to account for finite precision.

To bound $\tilde{\eta}_n$, we need an upper bound on $\text{area}(\tilde{X}_n)$, since, $\tilde{\eta}_n = \text{area}(\tilde{X}_n) / \text{area}(W^{(1)})$ by definition. Exploiting the symmetry with respect to the axes allows us to work in first quadrant only.

Algorithm 5.17. Fix $n \geq 3$ and r small. To compute an upper bound for $\text{area}(\tilde{X}_n)$, we find a collection $\mathcal{D}_{n,r}$ of disks with radius r which cover \tilde{X}_n in the first quadrant. First, select a grid of points z so that $\bigcup_z \mathbb{D}_r(z)$ covers $P_0^{(1)}$. Also, choose some upper bound K on the maximum number of iterations. For each point z in the grid run the following routine.

- (i) For $0 < j < K$, calculate $F^j(z)$ and $DF^j(z)$.
- (ii) If $F^j(z) \in \widetilde{W}_n$ for some j , or if $F^j(z) \in H^{(1)}$ for all $j < K$, add $\mathbb{D}_r(z)$ to $\mathcal{D}_{n,r}$, and exit the routine.
- (iii) Let ℓ be such that $F^\ell(z) \notin H^{(1)}$. If $\text{dist}(F^\ell(z), V_2^*) > 4r |DF^\ell(z)|$, then by [Corollary 5.15](#), the entire disk $\mathbb{D}_r(z)$ does not intersect \tilde{X}_n . Otherwise, add $\mathbb{D}_r(z)$ to $\mathcal{D}_{n,r}$.

Remark 5.18. The cover $\mathcal{D}_{n,r}$ can be calculated from $\mathcal{D}_{n,s}$ for $r < s$ by replacing the grid covering $P_0^{(1)}$ by one covering $\mathcal{D}_{n,s}$.

In order to bound $M_n = M(\Sigma_n)$ from above, we need to construct a lower bound on Σ_n (i.e. a subset of Σ_n). As before, we can exploit symmetry and work only in the first quadrant.

Algorithm 5.19. Fix $n \geq 3$ and r small. To get a lower bound for Σ_n , we find a collection $\mathcal{E}_{n,r}$ of disks $\mathbb{D}_{r\lambda^n}(z) \subset \Sigma_n$. As in [Algorithm 5.17](#), select a grid of points z so that $P_0 \subset \bigcup_z \mathbb{D}_r(z)$, and fix a positive integer K . For each point z from the grid run the following routine.

- (i) For $0 < j < K$ calculate $F^j(z)$ and $DF^j(z)$.
- (ii) If $F^j(z) \in H^{(1)}$ for all $j < K$, discard z and exit the routine.
- (iii) Let $0 \leq k < K$ be the smallest number such that $F^k(z) \notin H^{(1)}$. Set $w = \lambda^n F^k(z)$.
- (iv) For $0 < j < K$, compute $F^j(w)$ and $DF^j(w)$.
- (v) If $F^j(w) \in \widetilde{W}_n$ for some j , or if $F^j(w) \in H^{(1)}$ for all j , discard z and exit the routine.
- (vi) Let ℓ be such that $F^\ell(w) \notin H^{(1)}$. If $\text{dist}(F^\ell(w), V_2^*) > 4r |DF^\ell(w)| \cdot |DF^k(z)|$, then by [Corollary 5.16](#), the entire disk $\mathbb{D}_{r\lambda^n}(z)$ is contained in Σ_n ; add the disk to $\mathcal{E}_{n,r}$.

Remark 5.20. In step (i) of [Algorithm 5.17](#) and steps (i) and (iv) of [Algorithm 5.19](#), we need not (and *should not*) compute $F^j(z)$ for all $j < K$. Instead, we restrict our attention to iterates $z_k = F^{j_k}(z)$ defined inductively as follows. Let $j_0 = 0$. Assuming $z_k \neq 0$, there is a maximal number m_k such that $z_k \in W^{(m_k)}$; let $i_k = \max\{0, m_k - 1\}$. Set $j_{k+1} = j_k + 2^{i_k}$, and calculate $z_{k+1} = F^{2^{i_k}}(z_k)$ and $|DF^{j_{k+1}}(z)| = |DF^{j_k}(z)| \cdot |DF^{2^{i_k}}(z_k)|$ via [\(2.3\)](#):

$$F^{2^{i_k}}(z_k) = (-\lambda)^{i_k} F(z_k / \lambda^{i_k}), \quad |DF^{2^{i_k}}(z_k)| = |DF(z_k / \lambda^{i_k})|.$$

Observe that $F^{2^{i_k}}$ is the first return map from $W^{(m_k)}$ to $W^{(m_k-1)}$ (see [Remark 3.18\(iii\)](#)). Given $n \geq 3$ we have $\widetilde{W}_n \subset W^{(n-2)}$. Hence, for $z_k \notin \widetilde{W}_n$, since $m_k \leq n - 1$, it is not possible to

have $F^l(z_k) \in \widetilde{W}_n$ for any $0 < l < 2^{i_k}$. Also, it can be shown that if $z_k \in H^{(1)}$, we must have $F^l(z_k) \in H^{(1)}$ for $0 < l < 2^{i_k}$.

Also, we should consider all $k < K$ rather than $j < K$, bounding the number of evaluations of $F^{2^{i_k}}$ rather than the length of the orbit of z .

Using these algorithms, we complete the proof of our [main theorem](#), computing that

$$M_6 = M(\Sigma_6) < 9.4, \quad \tilde{\eta}_6 = \frac{\text{area}(\tilde{X}_6 \cap P_{0,I}^{(1)})}{\text{area}(P_{0,I}^{(1)})} < \frac{0.09}{\text{area}(P_0)}.$$

We obtain $\tilde{\eta}_6 M_6 \text{area}(P_0) < 0.846 < 1$, so J_F has Hausdorff dimension less than 2.

6. COMPUTATIONAL CONSIDERATIONS

In this section, we discuss how we can be certain that the computation of the bounds on $\tilde{\eta}_6$, $M(\Sigma_6)$, and $|P_0|$ which give us our [main theorem](#), even though the bounds are necessarily computed with finite precision. We have two potential sources of error: we can not know the map F exactly (and consequently we must also approximate λ and the domains $W^{(n)}$, H , etc.), and there will be some errors introduced by the approximation of exact quantities z by those representable on a computer.

The calculations for this paper were done primarily in Python with double-precision floating-point arithmetic satisfying the IEEE 754-2008 standard [IEEE]. In this context, only numbers of the form $\alpha \times 2^e$ are representable, where α is a 53-bit signed (binary) integer, and the exponent e satisfies $-1021 \leq e \leq 1024$. In particular, the only real numbers which can be represented exactly are certain dyadic rationals within a (large) range. Any real number x in the representable range can be approximated by a floating-point number \check{x} so that $|x - \check{x}| < u|x|$. This number u is called the **unit roundoff**; for IEEE double-precision

$$u = 2^{-53} \approx 1.11 \times 10^{-16}.$$

For more details, the reader is referred to [Hi02] or [Go91], for example.

While the standard guarantees that the result of a *single* arithmetic operation ($+$, $-$, $*$, $/$) carried out on two (real) floating-point numbers will be correctly rounded with a relative error of at most u , we need to ensure that these small errors do not accumulate such that we lose control of the calculation. We primarily need to work with double-precision complex numbers; in [BPZ07], it is shown that the relative error for complex arithmetic is bounded by $\sqrt{5}u$.

Throughout this section, we shall use the notation \check{x} to denote the approximation of the exact quantity x by one that is representable as a floating point number.

6.1. APPROXIMATING THE MAP F AND THE VALUE OF λ .

In the 1980s, Lanford [La82] calculated a high-precision approximation of F as an even polynomial of degree 80. Such approximations can be computed to precision 10^{-n} in a number of arithmetic operations polynomial in n [HS14], although the approximation given by Lanford is sufficient for our purposes.

Lanford gives strict error bounds on his approximation (which he calls $g_n^{(0)}$ but we refer to as \check{F} for notational consistency). Specifically, we have the following.

Proposition 6.1. *Let \check{F} be the degree 80 polynomial approximation of F from [La82]. The following upper bounds on the error apply.*

$ F(z) - \check{F}(z) $	$ F'(z) - \check{F}'(z) $	$ F''(z) - \check{F}''(z) $
1.5×10^{-23} for $ z < 1.224$	1.5×10^{-22} for $ z < 1.12$	1.5×10^{-21} for $ z < 1.02$
5.5×10^{-13} for $ z < 1.414$	5.5×10^{-12} for $ z < 1.31$	5.5×10^{-11} for $ z < 1.21$
5.0×10^{-7} for $ z < 2.449$	5.0×10^{-6} for $ z < 2.34$	5.0×10^{-5} for $ z < 2.24$
1.7×10^{-2} for $ z < 2.828$	1.7×10^{-1} for $ z < 2.72$	1.7 for $ z < 2.62$

Proof. The bounds for $|F(z) - \check{F}(z)|$ are taken from [La82]. Those for the first and second derivatives follow Lanford's bounds via an application of Cauchy's derivative inequalities. \square

Corollary 6.2. *All of the digits in the approximations*

$$\lambda \approx 0.39953\ 52805\ 23134\ 48985\ 75 \quad 1/\lambda \approx 2.50290\ 78750\ 95892\ 82228\ 39$$

are correct (the next two digits of λ are between 65 and 96).

If \check{F} is evaluated at some \check{z} using Horner's method, we have the following bound on the accumulated arithmetic error in evaluating the polynomial:

$$|\check{F}(z) - \check{F}(\check{z})| < \sqrt{5} \frac{80u}{1 - 80u} \sum_{i=0}^{40} |a_i| |\check{z}|^{2i},$$

where a_i are the coefficients of \check{F} (see [Hi02, ch. 5] which presents the argument from [Wi]); a similar bound applies to the derivative. Putting this observation together with [Proposition 6.1](#) gives us the following.

Corollary 6.3. *If \check{z} is a double-precision approximation of z and \check{F} is Lanford's polynomial approximation of F evaluated in double-precision, then we have the following upper bounds on the error.*

$ F(z) - \check{F}(\check{z}) $	$ F'(z) - \check{F}'(\check{z}) $
5.2884×10^{-14} for $ z < 1$	7.2771×10^{-14} for $ z < 1$
6.4430×10^{-13} for $ z < 1.414$	5.5875×10^{-12} for $ z < 1.31$
5.0001×10^{-7} for $ z < 2.449$	5.0001×10^{-5} for $ z < 2.34$
1.7001×10^{-2} for $ z < 2.828$	1.7001×10^{-1} for $ z < 2.72$

Observe that for $|z| < 1$, the error in using $\check{F}(\check{z})$ is dominated by the accumulated round-offs (since F is approximated by \check{F} to better than machine precision for $|z| < \sqrt{6}$); for $|z| > \sqrt{2}$, the error is dominated by the approximation of F by \check{F} .

Remark 6.4. As noted in [Remark 5.20](#), we will often want to evaluate $F^{2^n}(z)$ as $(-\lambda)^n F(z/\lambda^n)$. Since [Corollary 6.2](#) gives λ and $1/\lambda$ with greater precision than can be represented as a floating-point number, we can calculate floating-point approximations of λ^n and λ^{-n} with a relative error of no more than $\frac{nu}{1-nu} < 1.0102nu < 1.13n \times 10^{-16}$.

Remark 6.5. By the Koebe Distortion Theorem, if $g: \mathbb{D}_{|z|}(0) \rightarrow \mathbb{C}$ is univalent and $|\epsilon| < |z|$, then

$$|g(\epsilon) - g(0)| \leq \frac{|\epsilon|}{(1 - |\epsilon|/|z|)^2} \cdot |g'(z)|.$$

This observation will be useful in calculating accumulated error bounds for compositions.

6.2. APPROXIMATING THE SETS W , $H^{(1)}$, V_2 , AND \widetilde{W}_6

Definition 6.6. For complex numbers a and b , let

$$[a, b] = \{ z \mid \operatorname{Re}(a) < \operatorname{Re}(z) < \operatorname{Re}(b), \quad \operatorname{Im}(a) < \operatorname{Im}(z) < \operatorname{Im}(b) \},$$

that is, the open rectangle in \mathbb{C} with a on its lower left corner and b on its upper right.

Also, for a set A in the first quadrant, let $\operatorname{ICU}(A)$ be the interior of the closure of the union of the four symmetric copies of A , that is

$$\operatorname{ICU}(A) = \operatorname{Int} \overline{A \cup -A \cup A^* \cup -A^*},$$

where A^* is the set of complex conjugates of points of A , and $-A$ is the negation of all points in A .

Definition 6.7. Define the following sets of floating-point numbers.

$$\begin{aligned} \check{P}_{\text{in}} &= [0, 2.07 + 2.06i] \quad \text{and} \quad \check{P}_{\text{out}} = [0, 2.495 + 2.81i], \\ \check{Q}_{\text{in}} &= [2.07, 3.75 + 1.65i] \quad \text{and} \quad \check{Q}_{\text{out}} = [2.495, 4.25 + 2.35i]. \end{aligned}$$

Then let

$$\begin{aligned} \check{W}_{\text{in}} &= \operatorname{ICU}(\check{P}_{\text{in}}) \quad \text{and} \quad \check{W}_{\text{out}} = \operatorname{ICU}(\check{P}_{\text{out}}), \\ \check{H}_{\text{in}} &= \operatorname{ICU}(\check{P}_{\text{in}} \cup \check{Q}_{\text{in}}) \quad \text{and} \quad \check{H}_{\text{out}} = \operatorname{ICU}(\check{P}_{\text{out}} \cup \check{Q}_{\text{out}}). \end{aligned}$$

Let \check{V}_{out} be the filled polygon with vertices at 0 , $4.075i$, $9.33 + .85i$, and 9.33 ; set

$$\check{V}_2 = \operatorname{ICU}(\check{V}_{\text{out}}^{(2)}).$$

Finally, set

$$\check{\check{P}}_6 = (\check{P}_{\text{out}} \cup [1.30 + 2.81i, 2.2 + 3.23i])^{(6)} \quad \text{and} \quad \check{\check{W}}_6 = \operatorname{ICU}(\check{\check{P}}_6).$$

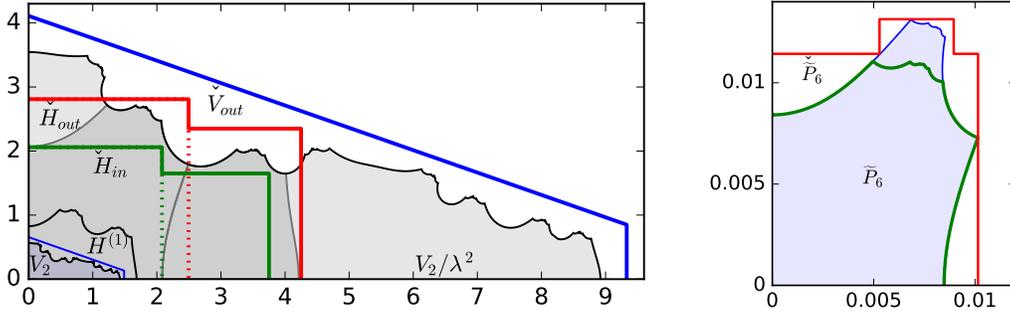


FIGURE 6.8. As in Definition 6.7, the sets \check{H}_{out} (in red), \check{H}_{in} (green), and \check{V}_{out} (blue) are shown in the first quadrant, along with H (shaded gray) and V_2 scaled by a factor of λ^2 (also shaded). On the right are $\check{\check{P}}_6$ (shaded blue), $P_0^{(6)}$ (outlined in green) and \check{P}_6 (outlined in red).

Remark 6.9. As should be apparent from the figures, it is possible to obtain much better approximations of the relevant sets than given in Definition 6.7. To do so, one can exploit the fact that the set $P_{0,\text{I}}$ is fixed under the map $F_\lambda(z) = \overline{F(\lambda z)}$ (see [Bu99]). F_λ has an attracting fixed point on $\partial P_{0,\text{I}}$ at x_0 , and a repelling fixed point at $x_1 \approx 1.831 + 2.683i$. This point x_1 is the unique point in $\partial P_{0,\text{I}} \cap \partial \widetilde{W}$. Taking repeated preimages of the segment $[0, \lambda y_0]$ by the map \check{F}_λ yields a good approximation of $P_{0,\text{I}}$; other pieces of \mathcal{P} can then be approximated via preimages of P_0 . However, the

polygonal sets of [Definition 6.7](#) are much easier to obtain sharp error bounds on, and are sufficient for our purposes.

Lemma 6.10. *One has $\check{W}_{\text{in}} \subset W \subset \check{W}_{\text{out}}$, $\check{H}_{\text{in}}^{(1)} \subset H^{(1)} \subset \check{H}_{\text{out}}^{(1)}$, $V_2 \subset \check{V}_2$, and $\widetilde{W}_6 \subset \check{W}_6$.*

Proof. To see that $\check{W}_{\text{in}} \subset W$, compute the approximation of $F(\partial\check{W}_{\text{in}})$. For $z \in \partial\check{W}_{\text{in}}$ with $|z| < \sqrt{6}$ we use $\check{F}(\check{z})$. For $|z| \geq \sqrt{6}$, we instead approximate $F(z)$ by $(-1/\lambda)\check{F}^2(\lambda\check{z})$ (since we don't have a bound on $|F(z) - \check{F}(\check{z})|$ for $|z| \geq \sqrt{8}$). Observe that all points of the approximation of $F(\partial\check{W}_{\text{in}})$ avoid the slits $(-\infty, -1/\lambda]$ and $[1/\lambda^2, \infty)$ by at least 5.4×10^{-4} for $|\check{z}| < \sqrt{6}$ and more than 2.8 for $|\check{z}| \geq \sqrt{6}$, significantly more than the error bounds given in [Corollary 6.3](#). Since $F(W) = \mathbb{C} \setminus ((-\infty, -1/\lambda] \cup [1/\lambda^2, \infty))$, we have $\check{W}_{\text{in}} \subset W$.

A similar calculation shows that $\check{H}_{\text{in}}^{(1)} \subset H^{(1)}$: $\check{F}(\check{Q}_{\text{in}}^{(1)}) \subset \check{W}_{\text{in}}$ with a margin of more than .004, which by [Corollary 6.3](#) is much greater than the necessary space since $|z| < 1.75$ for $z \in \check{Q}_{\text{in}}^{(1)}$. Since $\check{H}_{\text{in}} = \check{P}_{\text{in}} \cup \check{Q}_{\text{in}}$, we know $F(\check{H}_{\text{in}}^{(1)}) \subset W$ and thus $\check{H}_{\text{in}}^{(1)} \subset H^{(1)}$.

To see that $V_2 \subset \check{V}_2$, recall from [Remark 5.8](#) that $V_2 = F^{-3}(W)$. We need only check that $\check{W}_{\text{out}} \subset \check{F}^3(\check{V}_2)$ with a sufficient margin: the distance between the boundaries of these two sets is greater than 1.5×10^{-3} (with the closest point of $\check{F}^3(\check{V}_2)$ being the image of $9.33\lambda^2$).

We calculate that $|\check{F}^3(\check{z}) - F^3(z)| < 4 \times 10^{-5}$ on \check{V}_2 by observing that for $\check{z} \in \check{V}_2$, we have $|\check{z}| < 1.50$, $|\check{F}(\check{z})| < 1.71$ and $|\check{F}^2(\check{z})| < 2.35$, with $|\check{F}'(\check{z})| < 2.81$, $|\check{F}'(\check{F}(\check{z}))| < 3.88$ and $|\check{F}'(\check{F}^2(\check{z}))| < 19.27$. Applying the Koebe Distortion Theorem (see [Remark 6.5](#)) twice gives an upper bound on the error of 3.8×10^{-5} . Once we establish that $W \subset \check{W}_{\text{out}}$, the desired result follows.

Since \check{W}_{out} contains points outside the domain of definition of F , we cannot verify directly that $W \subset \check{W}_{\text{out}}$. Instead, to do this we use the properties of the map $F_\lambda(z) = \overline{F(\lambda z)}$ mentioned in [Remark 6.9](#). Notice that $F_\lambda(z)$ can be approximated on \check{W}_{out} with an error less than 5.1×10^{-7} since $|\lambda z| < 1.502$ on \check{W}_{out} (see [Corollary 6.3](#)).

Approximating $F_\lambda(z)$ by $\check{F}_\lambda(\check{z}) = \overline{\check{F}(\lambda\check{z})}$ we observe that $\check{F}_\lambda(\partial\check{P}_{\text{out}})$ almost avoids \check{P}_{out} , intersecting it in two curves lying inside the triangles $T_1 = \Delta(2.08i, 2.81i, .31 + 2.81i)$ and $T_2 = \Delta(2.495, 2.05 + 2.81i, 2.495 + 2.81i)$. Although the triangles T_1 and T_2 lie inside \check{P}_{out} , we have $\text{dist}(\check{F}_\lambda^2(T_1), \check{P}_{\text{out}}) > .01$ and $\text{dist}(\check{F}_\lambda^2(T_2), \check{P}_{\text{out}}) > .22$. A straightforward computation shows that for the relevant points we have $|F_\lambda^2(z) - \check{F}_\lambda^2(\check{z})| < 1.7 \times 10^{-6}$, so $F^2(T_1)$ and $F^2(T_2)$ lie outside of \check{P}_{out} . This implies that $P_{0,\text{I}} \subset \check{P}_{\text{out}}$ (and so $W \subset \check{W}_{\text{out}}$ and $V_2 \subset \check{V}_2$). See [Figure 6.11](#).

Indeed, assume that $P_{0,\text{I}} \not\subset \check{P}_{\text{out}}$, and let Γ be the closure of the part of $\partial\check{P}_{\text{out}}$ in the first quadrant ($\Gamma = [2.81i, 2.495 + 2.81i] \cup [2.495 + 2.81i, 2.495]$). Then $\partial P_{0,\text{I}}$ must intersect Γ . Recall that $\partial P_{0,\text{I}}$ is F_λ -invariant with two fixed points x_0 (attracting) and x_1 (repelling); the two components of $\partial P_{0,\text{I}} \setminus \{x_0, x_1\}$ are interchanged by F_λ . Parameterize the two components of $\partial P_{0,\text{I}} \setminus \{x_0, x_1\}$ so that the parameterizations are the two inverse branches of a function $p : \partial P_{0,\text{I}} \setminus \{x_1\} \rightarrow [0, \infty)$ satisfying² $p(F_\lambda(z)) = \frac{1}{2}p(z)$ for every z and $p(x_0) = 0$. Consider the closed set $\partial P_{0,\text{I}} \cap \Gamma$; let z_1 be the point where $p(z_1)$ attains the minimum on this set. As a consequence of the previous argument, either $F_\lambda(z_1)$ or $F_\lambda^3(z_1)$ lies outside \check{P}_{out} (see [Figure 6.11](#)). Therefore, there exists a point $z_2 \in P_{0,\text{I}} \cap \Gamma$ with $p(z_2) \leq \frac{1}{2}p(z_1)$. This contradicts the definition of z_1 , and hence $P_{0,\text{I}} \subset \check{P}_{\text{out}}$.

²One way to construct such a function p is to set $p(x_0) = 0$, and for $z \in [0, F(\lambda)]$ let $p(z) = 4 - 3z/F(\lambda)$. Then propagate $p(z)$ to the rest of $P_{0,\text{I}} \setminus \{x_1\}$ via $p(F_\lambda(z)) = \frac{1}{2}p(z)$.

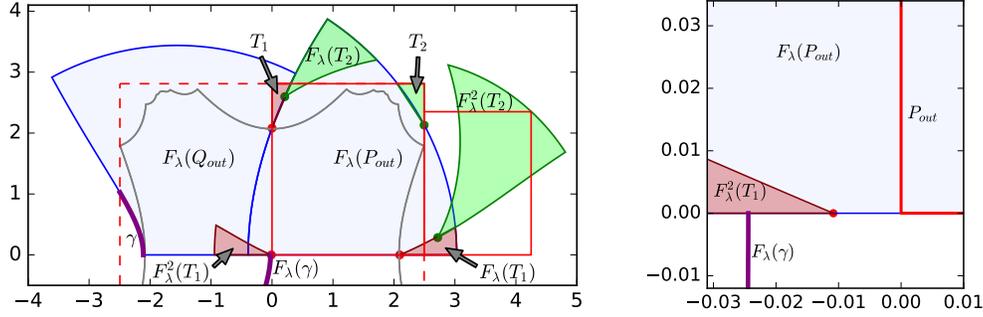


FIGURE 6.11. Showing that $W \subset \check{W}_{\text{out}}$ and $\check{H}_{\text{in}}^{(1)} \subset H^{(1)}$. $F_\lambda(\check{P}_{\text{out}} \cup \check{Q}_{\text{out}})$ is shaded in blue, with \check{P}_{out} and \check{Q}_{out} outlined in red. The image on the right is a zoom of the one on the left.

To show that $H^{(1)} \subset \check{H}_{\text{out}}^{(1)}$ it is sufficient to confirm that $F_\lambda(\check{H}_{\text{out}}) \supset W \cap \mathbb{H}_+$. Observe that $F_\lambda(\partial\check{H}_{\text{out}}) \cap (\check{W}_{\text{out}} \cap \mathbb{H}_+)$ consists of two curves, one of which belongs to T_2 and so is outside of W . The other curve γ joins the leftmost boundary of \check{W}_{out} and the real axis. See Figure 6.11. This curve γ must lie outside of W , since $\text{Re } \check{F}_\lambda(z) < -0.024$ for all $z \in \gamma$ and $\text{Re}(z) > 0$ for $z \in F_\lambda(W)$. The error in computing \check{F}_λ^2 on all of \check{H}_{out} is less than 2.3×10^{-6} , giving a sufficient margin of error.

In order to prove that $\widetilde{W}_6 \subset \check{W}_6$, recall from Remark 5.11(v) that $F^{2^n - 2^k}(\widetilde{P}_n) = \widetilde{P}_k$ for all $n \geq k \geq 3$. Hence $F^{54}(\widetilde{P}_6) = F^6(\widetilde{P}_4) = P_0^{(2)}$. Observe that the curve $\check{F}^{54}(\partial\check{P}_6)$ avoids $-\check{P}_{\text{out}}^{(2)}$ except for a portion contained in the triangle $T_3 = \triangle(-\lambda x_0, -2.5\lambda^2 - .1i, -2.5\lambda^2)$. See Figure 6.12. The image of $\check{P}_6 \cap (\mathbb{R} \cup i\mathbb{R})$ is contained within the segment $(-0.30996, -0.30970)$; the remainder of the image exits through \mathbb{H}_+ before intersecting the real axis at two points, one inside T_3 (more than 3.2×10^{-4} from the vertex of T_3) and the other beyond $+0.0085$; the remaining part of the image curve gets no closer to $-\check{P}_{\text{out}}^{(2)}$ than this. Hence $\text{dist}(\check{F}^{54}(\partial\check{P}_6) \cap \mathbb{H}_-, -\check{P}_{\text{out}}^{(2)}) > 3.2 \times 10^{-4}$.

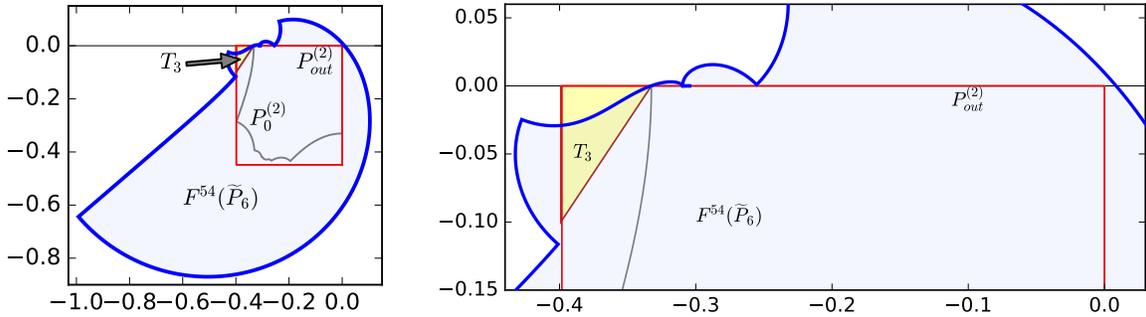


FIGURE 6.12. The set $\check{F}^{54}(\check{P}_6)$ is shown shaded in blue, along with $\check{P}_{\text{out}}^{(2)}$ (red outline), $P_{0,\text{III}}^{(2)}$ (gray outline), and T_3 (shaded yellow). The image on the right is a close-up of the left.

To see that T_3 lies outside $P_{0,\text{III}}^{(2)}$, observe that $F^2(P_{0,\text{III}}^{(2)}) = P_{0,\text{II}}^{(1)}$, but all points of $F^2(T_3)$ have positive real parts.

To verify that the above calculations are sufficiently accurate we write $\check{F}^{54} = \check{F}^2 \circ \check{F}^4 \circ \check{F}^{16} \circ \check{F}^{32}$ and (as noted in Remark 6.4) compute $\check{F}^{2^n}(z)$ as $(-\lambda)^n \check{F}(z/\lambda^n)$; then we have $|F^{54}(z) - \check{F}^{54}(z)|$ no greater than 1.3×10^{-10} for all $z \in \check{W}_6$, establishing that $\widetilde{W}_6 \subset \check{W}_6$ and completing the proof. \square

Remark 6.13. To approximate \widetilde{W}_n for $n > 6$, the set $\check{W}_6^{(n-6)}$ may be used, since by [Remark 5.11\(ii\)](#) and [Lemma 6.10](#) we have $\widetilde{W}_n \subset \lambda^{n-6} \check{W}_6$. One can use $\check{W}_4 = [-.09 - .15i, .09 + .15i]$ and $\check{W}_5 = (\check{P}_{\text{out}} \cup [1.2 + 1.79i, 3.0 + 3.65i])^{(5)}$; $\widetilde{W}_9 \subset \check{P}_{\text{out}}^{(9)}$. However, we do not need to use these.

Using [Lemma 6.10](#), we can calculate better approximations of H and W from below and from above. For instance, to find a more accurate approximation of $P_{0,I}$ from above we use the following.

Algorithm 6.14. Fix $m \geq 1$ and $t > 0$ small. To compute an upper bound for $P_{0,I}$, we find a collection $\mathcal{S}_{m,t}$ of disks with radius t which cover $P_{0,I}$. First, select a grid of points z so that $\bigcup_z \mathbb{D}_t(z)$ covers \check{P}_{out} . Include in $\mathcal{S}_{m,t}$ all the disks $\mathbb{D}_t(z)$ which intersect \check{P}_{in} . For each remaining point z in the grid, set $w = \lambda^n z$, $q = \lambda^n(0.1 + 0.1i)$, $t_0 = \lambda^m t$ and run the following routine.

- (i) For $0 < j < 2^m$, calculate $w_j = \check{F}^j(w)$. Using the Koebe Theorem and [Corollary 5.7](#), find t_j such that $F^j(\mathbb{D}_{t_0}(w)) \subset \mathbb{D}_{t_j}(w_j)$.
- (ii) If $\mathbb{D}_{t_j}(w_j)$ contains zero, add $\mathbb{D}_t(z)$ to $\mathcal{S}_{m,t}$ and exit the routine.
- (iii) If $\mathbb{D}_{t_j}(w_j)$ does not intersect \check{W}_{out} or the quadrant to which $\check{F}^j(q)$ belongs, discard z and exit the routine.
- (iv) If for all $0 < j < 2^m$ neither of the conditions (ii) or (iii) are satisfied, then add $\mathbb{D}_t(z)$ to $\mathcal{S}_{m,t}$.

6.3. IMPLEMENTING ALGORITHMS 5.17 AND 5.19

We only need to make a few straightforward substitutions in order to implement the algorithms for approximating \check{X}_6 and Σ_6 .

We make the obvious substitution of F^j by \check{F}^j and DF^j by $D\check{F}^j$. When calculating \check{F}^j , we instead compute compositions of \check{F}^{2^k} as described in [Remark 5.20](#), replacing the set $W^{(n)}$ by $\check{W}_{\text{in}}^{(n)}$. Whenever practical, we scale \check{z} so that z/λ^m lies in $\check{W}_{\text{in}}^{(1)}$, ensuring that we have $|\check{z}/\lambda^m| < 1.17$. This implies by [Corollary 6.3](#) that \check{F} and \check{F}' agree with F and F' to within 6.5×10^{-13} and 5.6×10^{-12} , respectively. We take $K = 20$.

Further, we replace $P_{0,I}^{(1)}$ by $\check{P}_{\text{out}}^{(1)}$, V_2 by \check{V}_2 , and \widetilde{W}_6 by \check{W}_6 . When checking whether $\check{F}^j(\check{z}) \in H^{(1)}$ for all j (step (ii) of both algorithms, as well as [Algorithm 5.19\(v\)](#)), we use $\check{H}_{\text{in}}^{(1)}$, but otherwise we use $\check{H}_{\text{out}}^{(1)}$ for $H^{(1)}$.

In calculating the orbit of a point z we keep a running bound on the accumulated total difference between the true orbit $F^j(z)$ and the approximation $\check{F}^j(\check{z})$, as well as the corresponding derivatives. More specifically, when calculating the iterates $\check{z}_{k+1} = \check{F}^{j_k}(\check{z}_k)$, we use [Corollary 6.3](#) and [Remark 6.5](#) to compute upper bounds $\delta_k > |DF^{j_k}(\check{z}) - D\check{F}^{j_k}(\check{z})|$ and $\epsilon_k > |F^{j_k}(\check{z}) - \check{F}^{j_k}(\check{z})|$.

When calculating r in [Algorithm 5.17\(iii\)](#), $\text{dist}(\check{F}^\ell(\check{z}), \check{V}_2^*)$ should be reduced by ϵ_k and $D\check{F}^\ell(\check{z}_k)$ should be increased by δ_k ; similar changes should be made in [Algorithm 5.19\(vi\)](#).

As long as the points \check{z}_k remain in $\check{W}_{\text{in}}^{(1)}$ for all k , we can compute compositions of \check{F}^{2^n} with reasonably high precision.³ In particular, if \check{g}_k is a k -fold composition of such approximations and g_k is the same composition of Feigenbaum maps F^{2^n} , we have the following worst-case bounds on ϵ_k and δ_k for $\check{z}_k \in \check{W}_{\text{in}}^{(1)}$.

³If $\check{z}_k \in \check{H}_{\text{out}}^{(1)} \setminus \check{W}_{\text{in}}^{(1)}$ for some k , we can either use $\check{z}_{k+2} = \check{F}(\check{z}_k) = (-1/\lambda)\check{F}^2(\lambda\check{z}_k)$ with $\lambda\check{z}_k$ and $F(\lambda\check{z}_k)$ in $\check{W}_{\text{in}}^{(1)}$, or use the bounds from [Corollary 6.3](#) together with [Remark 6.5](#) to calculate ϵ and δ for the remainder of the orbit.

k	$ g_k(z) - \check{g}_k(\check{z}) $	$ g'_k(z) - \check{g}'_k(\check{z}) $
1	6.45×10^{-13}	5.59×10^{-12}
5	2.15×10^{-10}	4.45×10^{-9}
10	2.14×10^{-7}	1.43×10^{-5}
15	2.13×10^{-4}	4.57×10^{-2}
18	1.20×10^{-2}	15.14

The above bounds are the worst case; actual calculated orbits have significantly better bounds as long as they remain within $\check{W}_{\text{in}}^{(1)}$. As noted earlier, we compute sharper bounds on the specific function values and derivatives for each point \check{z}_k , and incorporate these into our calculations in the implementation of the algorithms. This ensures that all calculated orbits are shadowed by true orbits under F .

To estimate an upper bound on $M_n = M(A)$ (see [Corollary 4.14](#)) with $A = (\lambda^{-n}\Sigma_n) \cap P_{0,\text{I}}$, we replace the integral in the definition of $g_A(z)$ by the Riemann sum taken over the centers of the subset $\mathcal{E}_{n,r}$ of A from [Algorithm 5.19](#), that is

$$g_A(z) \geq g_{\mathcal{E}_{n,r}}(z) \approx \sum_{w_k} \frac{2r^2}{C^2(z, w_k)}, \quad \text{as } w_k \text{ ranges over centers of the disks in } \mathcal{E}_{n,r}.$$

We then approximate $M(A) \leq M(\mathcal{E}_{n,r}) \approx \max(1/g_{\mathcal{E}_{n,r}}(z_j))$, where z_j ranges over centers of the disks from the covering $\mathcal{S}_{m,t}$ of $P_{0,\text{I}}$ from [Algorithm 6.14](#) (we take $m = 2, t = 2^{-6}\sqrt{2}$). The relative error in this approximation of $M(A)$ can be bounded by noticing that

$$C(z, w) \leq C(z, z_j) C(z_j, w_k) C(w_k, w);$$

for points $w \in \mathbb{D}_r(w_k)$, the Koebe Theorem gives

$$C(w_k, w) \leq \frac{1 - r/R}{(1 + r/R)^3} \quad \text{with } R = \text{dist}(w_k, \partial\mathbb{C}_\lambda).$$

Recall from [Proposition 4.6](#) that $\mathbb{C}_\lambda = \mathbb{C} \setminus \left((-\infty, -\frac{1}{\lambda}] \cup [\frac{F(\lambda)}{\lambda^2}, \infty) \right)$.

In implementing [Algorithm 5.17](#) to obtain $\mathcal{D}_{n,r} \supset X_n$ and [Algorithm 5.19](#) for $\mathcal{E}_{n,r} \subset \Sigma_n$, we take $n = 6$ in both cases. For $\mathcal{D}_{n,r}$ we use $r = 2^{-17}\sqrt{2}$; for $\mathcal{E}_{n,r}$, taking $r = 2^{-11}\sqrt{2}$ is sufficient.

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