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Homogeneous Fibrations over Curves

A Dissertation Presented

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Abstract of the Dissertation
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A basic question in arithmetic geometry is whether a variety defined over a non-closed field admits a rational point. When the base field is of geometric nature, i.e., function fields of varieties, one hopes to solve the problem via purely algebraically geometric methods. In this thesis, we study the geometry of the moduli space of sections of a projective homogeneous space fibration over an algebraic curve. It leads to answers for the existence of rational points on projective homogeneous spaces defined either over a global function field or over a function field of a complex algebraic surface.

To my grandparents, Weimin Zhu and Lindi Hu

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Chapter 1

Introduction

In the introduction, we work with varieties defined over an algebraically closed field k . By the work of Graber-Harris-Starr [GHS03] and de Jong-Starr [dJS03], any separably rationally connected variety over the function field of a curve admits a rational point. One can ask a similar question over the function field $k(S)$, where S is a surface. Under what conditions does a variety defined over $k(S)$ admit a rational point?

There are two main obstacles to find rational points of varieties over the function field of a surface. First, the class of separably rationally connected varieties is too large to admit rational points. By Tseng-Lang's theorem [Lan52], any hypersurface of degree d in the projective space \mathbb{P}^n such that $d^2 \leq n$ over the function field $k(S)$ admits a rational point and the bound is sharp. This suggests that we should focus on varieties sharing the common geometric features with hypersurfaces in the above range. These

varieties are examples of *rationally simply connected varieties*, introduced by de Jong and Starr [dJS06]. Roughly speaking, they are varieties admitting lots of rational surfaces.

Second, there are Brauer-type obstructions to the existence of rational points. Since the Brauer group for the function field of a surface is not trivial in general, any Brauer-Severi variety corresponding to a nontrivial Brauer class has no rational point at all. Such cohomological obstructions can be explained as a part of the *elementary obstruction*, discovered by Colliot-Thélène and Sansuc [CTS87a]. The elementary obstruction vanishes if there is a rational point.

Combining the above two observations, de Jong and Starr formulated the following principle.

Principle 1.1 (de Jong-Starr [dJS06]). A rationally simply connected variety defined over $k(S)$ admits a rational point if the elementary obstruction vanishes.

The evidence to Principle 1.1 is de Jong-Starr's proof for the period-index theorem over function fields of surfaces [SdJ10]. It is equivalent to prove that Principle 1.1 holds for the Grassmannians. Later de Jong, He and Starr proved the following theorem.

Theorem 1.2 (de Jong-He-Starr [dJHS11]). *A projective homogeneous space of Picard number one over $k(S)$ admits a rational point if the elementary obstruction vanishes.*

The main ingredient of their work is to show that homogeneous spaces of Picard number one are rationally simply connected. Combining the work of Colliot-Thélène, Gille, and Parimala [CTGP04], Serre’s conjecture II over function fields of surfaces follows as a corollary. In 2008, Borovoi, Colliot-Thélène, and Skorobogatov proved the following theorem.

Theorem 1.3 ([BCTS08]). *Assuming the period-index theorem and Serre’s conjecture II for the function field $k(S)$ of a surface S , any projective homogeneous space over $k(S)$ admits a rational point if the elementary obstruction vanishes.*

However, the proof of the above theorem is not purely geometric because the full proof of Serre’s conjecture II requires the classification of algebraic groups and a huge amount of work in Galois cohomology.

In this thesis we formulate the rational simple connectedness for varieties of higher Picard numbers. As an application, we prove that Principle 1.1 holds for any projective homogeneous space.

Theorem 1.4. *Let X be a projective homogeneous space under a connected linear algebraic group defined over $k(S)$. Then,*

- (1) X is “rationally simply connected”, and
- (2) X admits a rational point if the elementary obstruction vanishes.

Corollary 1.5 (Starr). *Let G be a quasi-split simply connected semisimple $k(S)$ -group. Then every G -torsor admits a reduction of the structure group to the center of G .*

By the recent work of Starr and Xu, we obtain similar results over global function fields, i.e., function fields of curves over a finite field.

Corollary 1.6. *Let K be a global function field. A projective homogeneous space defined over K admits a rational point if the elementary obstruction vanishes.*

Corollary 1.7. *Let G be a quasi-split simply connected semisimple $k(S)$ -group. Then every G -torsor admits a reduction of the structure group to the center of G .*

Chapter 2

Elementary Obstructions and Universal Torsors

In this chapter, we first recall the elementary obstruction to the existence of rational points of varieties over fields. We then generalize this construction to the relative case which gives an obstruction theory for the existence of sections. Throughout this chapter, we work with sheaves and cohomology in the fppf site.

2.1 Elementary Obstructions over a field

The standard references for elementary obstructions are Colliot-Thélène-Sansuc's original paper [CTS87a] and Skorobogatov's book [Sko01].

Let K be a field. Let X be a smooth projective K -variety and \bar{X} be

the base change of X to the algebraic closure. Let $p : X \rightarrow \text{Spec } K$ be the structure morphism.

The relative Picard scheme $\text{Pic}_{X/K} = R^1 p_* \mathbb{G}_m$ is a fppf sheaf represented by a group variety over K by FGA [Gro62, n°232, 3.1]. Let S be the character group of $\text{Pic}_{X/K}$, which is of multiplicative type over K . When $\text{Pic}(\overline{X})$ is a finitely generated abelian group, $\text{Pic}(\overline{X})$ is uniquely determined by S .

The set of isomorphism classes of S -torsors over X is classified by the cohomology group $H^1(X, S)$. By [CTS87a] Théorème 1.5.1, there exists a long exact sequence of cohomological groups.

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^1(K, S) & \longrightarrow & H^1(X, S) & \xrightarrow{x} & \text{Hom}_K(\text{Pic}_{X/K}, \text{Pic}_{X/K}) \\ & & \xrightarrow{\partial} & & H^2(K, S) & \longrightarrow & H^2(X, S) \end{array} \quad (2.1)$$

Definition 2.1. Assume that $\text{Pic}(\overline{X})$ is a finitely generated abelian group. An S -torsor \mathcal{T} over X is *universal* if $\chi(\mathcal{T})$ is the identity morphism on $\text{Pic}_{X/K}$.

Definition 2.2. Let Id be the identity morphism of $\text{Pic}_{X/K}$. The class $e(X) := -\partial(Id) \in H^2(X, S)$ is called the *elementary obstruction* of the variety X over K .

Proposition 2.3. Assume that $\text{Pic}(\overline{X})$ is finitely generated.

- (1) The universal torsor exists if and only if the elementary obstruction $e(X)$ vanishes.
- (2) If X admits a K -rational point, then the universal torsor exists, or equivalently the elementary obstruction $e(X)$ vanishes.

Proof. The first part follows from the long exact sequence (2.1). Since a K -rational point on X gives a left inverse of the map $H^2(K, S) \rightarrow H^2(X, S)$ as in (2.1), the connecting map ∂ is the zero map. In particular, the elementary obstruction $e(X)$ vanishes. \square

Theorem 2.4 ([Sko01] Th 2.3.4). *Let X be a smooth projective K -variety. Assume that $\text{Pic}(\overline{X})$ is a finitely generated abelian group. The class $e(X) \in H^2(X, S)$ coincides with the class of the following natural 2-fold extension of Galois modules.*

$$1 \longrightarrow \mathbb{G}_{m, \overline{X}} \longrightarrow \overline{K}(X)^* \longrightarrow \text{Div}(\overline{X}) \longrightarrow \text{Pic}(\overline{X}) \longrightarrow 0$$

Remark 2.5. One may use the above theorem to give a general definition of elementary obstructions for smooth integral K -varieties without the assumption on the finite generation of Picard groups. However, we prefer this definition via universal torsors because we are mainly interested in the geometric aspect of the elementary obstruction. The finite generation of Picard groups holds for smooth projective rationally connected varieties.

2.2 Relative Universal Torsors

Hypothesis 2.6. Let K be a field. Let $\pi : X \rightarrow C$ be a flat projective family of varieties over a smooth projective K -curve C . Assume that the family satisfies the following conditions:

- (1) The geometric fibers of π are reduced and irreducible. Hence by FGA [Gro62, n°232, Thm 3.1], the relative Picard functor $\text{Pic}_{X/C}$ is represented by a separated C -group scheme locally of finite type.
- (2) The relative Picard scheme $\text{Pic}_{X/C}$ is proper over C .
- (3) The sheaves $R^1\pi_*\mathcal{O}_X$ and $R^2\pi_*\mathcal{O}_X$ are trivial and commute with base change.
- (4) The geometric generic fiber of π is smooth and simply connected, i.e. no finite étale cover.

Remark 2.7. (1) Condition (2) as above is very restrictive. But it holds for smooth families by [BLR90, p. 232 Thm 3] and for families where the geometric fibers have isolated parafactorial singularities [Gro05, XI 3.1].

- (2) In characteristic zero, by [Kol86] Theorem 7.1, if the general fiber is rationally connected, the direct images $R^i\pi_*\mathcal{O}_X$ vanishes for $i > 0$. The base change property holds if the geometric fibers have Du Bois singularities [DB81, 4.6]. In particular, it holds for log canonical families [KK11].
- (3) Kollár proved that any smooth projective separable rationally connected variety over algebraically closed field is simply connected [Kol03, Theorem 13]. Thus Condition (4) holds for projective families with general fibers smooth separable rationally connected.

Proposition 2.8. *Hypothesis 2.6 holds for the following families:*

- (1) *smooth families of projective homogeneous spaces;*
- (2) *Lefschetz pencils of hypersurfaces in \mathbb{P}^n , where $n \geq 5$.*

Proof. It suffices to check all the conditions in Hypothesis 2.6 for these families. For smooth families of projective homogeneous spaces, Condition (1) is trivial and Condition (2) holds by [BLR90, p. 232 Thm 3]. By proper and base change theorem [Har77, III.12.9], Condition (3) is equivalent to $h^1(X_t, \mathcal{O}) = h^2(X_t, \mathcal{O}) = 0$ for every geometric fiber. When the fiber is the full flag variety, this is Kempf's vanishing theorem for line bundles determined by dominant weights [Kem76]. It is easy to show the general case by Leray spectral sequence. Since projective homogeneous spaces are rational, in particular, separably rationally connected, Condition (4) follows from the remark as above.

For a Lefschetz pencil of hypersurfaces in \mathbb{P}^n , where $n \geq 5$, Condition (1) is trivial. Since the singular fibers of the pencil are local complete intersections of dimension ≥ 4 , by [Gro05, XI, 3.13], they have isolated parafactorial singularities. Thus Condition (2) follows. Vanishing of $h^1(X_t, \mathcal{O})$ and $h^2(X_t, \mathcal{O})$ gives Condition (3). Since every smooth hypersurface in \mathbb{P}^n with dimension at least two is simply connected [Gro05, X, 3.10], we get Condition (4). □

Proposition 2.9. *Assuming Hypothesis 2.6, the relative Picard functor $\text{Pic}_{X/C}$ is represented by a torsion-free isotrivial twisted constant C -group*

scheme of finite type.

Proof. By [BLR90] p. 231 Theorem 1 and Proposition 2 and condition (3) of the Hypothesis, $\text{Pic}_{X/C}$ is formally étale over C . Since $\text{Pic}_{X/C}$ is of locally finite type over C , it is étale over C . Together with condition (2), $\text{Pic}_{X/C}$ is finite étale over C .

Let η be the generic point of C . The geometric generic fiber $\text{Pic}_{X/C}(\bar{\eta})$ is isomorphic to a constant group scheme with coefficient group \mathbb{Z}^r . Indeed, the dimension of each connected component of $\text{Pic}_{X_{\bar{\eta}}/\bar{\eta}}$ is zero by the vanishing of $R^1\pi_*\mathcal{O}_X$. Hence $\text{Pic}_{X_{\bar{\eta}}/\bar{\eta}}$ is the Neron-Severi group, which is of finite type by the Theorem of the Base in SGA6 [BGI71, XIII, 5.1]. The torsion-freeness follows from the fact that every torsion lines bundles gives an unramified cyclic cover and the simple connectedness of the geometric generic fiber.

Now we may choose a basis of constant sections of the group scheme $\text{Pic}_{X_{\bar{\eta}}/\bar{\eta}}$, denoted by v_1, \dots, v_r . The section v_1 dominates a connected component of $\text{Pic}_{X/C}$, say B_1 . After taking the finite étale base change to B_1 , $\text{Pic}_{X/C} \times_C B_1$ is a B_1 -group scheme equipped with a canonical section. We may take further finite étale base changes to get a B -group scheme with r canonical sections. The sections induce a natural map $\mathbb{Z}^r \times_C B \rightarrow \text{Pic}_{X/C} \times_C B$ between B -group schemes. The map is dominant by checking over the geometric generic fiber. Thus each connected component of $\text{Pic}_{X/C} \times_C B$ is dominated by B and finite étale over B . In particular each component is isomorphic to B . This implies that after taking the finite étale base change to B , $\text{Pic}_{X/C}$ becomes a torsion-free constant group scheme of

finite type. Hence by definition, it is isotrivial. \square

Recall that there is an anti-equivalence between the category of isotrivial twisted constant C -group schemes of finite type and the category of isotrivial C -group schemes of multiplicative type via the following functors, cf. SGAIH [ABD⁺64, X, 5.1, 5.6, 5.9].

$$S \mapsto \hat{S} = \mathrm{Hom}_{C\text{-gr}}(S, \mathbb{G}_{m,C})$$

$$M \mapsto D(M) = \mathrm{Hom}_{C\text{-gr}}(M, \mathbb{G}_{m,C})$$

In particular, the category of torsion-free twisted constant C -group schemes of finite type corresponds to the category of C -tori.

Assuming Hypothesis 2.6, we now define a C -torus $S = D(\mathrm{Pic}_{X/C})$. There is the long exact sequence, which is a relative version of (2.1).

$$\begin{array}{ccccccc} 0 & \longrightarrow & H^1(C, S) & \longrightarrow & H^1(X, S) & \xrightarrow{x} & \mathrm{Hom}_{C\text{-gr}}(\mathrm{Pic}_{X/C}, \mathrm{Pic}_{X/C}) \\ & & \xrightarrow{\partial} & H^2(C, S) & \longrightarrow & H^2(X, S) & \end{array} \quad (2.2)$$

Let Id be the identity morphism of $\mathrm{Pic}_{X/C}$.

Definition 2.10. Assuming Hypothesis 2.6, the class $-\partial(Id) \in H^2(X, S)$ is called the *elementary obstruction* for $p : X \rightarrow C$. An S -torsor \mathcal{T} over X is *universal* if $\chi(\mathcal{T})$ is the identity morphism on $\mathrm{Pic}_{X/C}$.

Proposition 2.11. *Assuming Hypothesis 2.6, we have the following:*

- (1) *the universal torsor exists if and only if the elementary obstruction*

vanishes;

(2) *if the fibration $p : X \rightarrow C$ has a section, then the universal torsor exists, or equivalently the elementary obstruction vanishes.*

Proof. The proof is the same as the absolute case in Proposition 2.3. \square

2.3 Stable Sections and The Abel Map

Let X be a smooth proper K -variety and assume that there exists a universal torsor \mathcal{T} . Then there is a natural classifying map:

$$\alpha_{\mathcal{T}} : X(K) = \{K\text{-rational points on } X\} \rightarrow H^1(K, S)$$

by pulling back the universal torsor [CTS87a, 2.7.2]. Thus we have a partition of rational points on X indexed by elements in the Galois cohomology group $H^1(K, S)$. This map is crucial in studying the behavior of rational points in number theory, e.g., R -equivalent classes [CTS87a].

The main purpose of this section is to generalize this map in the relative setting $\pi : X \rightarrow C$ as in Situation 2.6. In the relative setting, the classifying map is much more interesting because it carries algebraic structures. As we will see later, there is an algebraic map from the moduli space of stable sections to certain abelian varieties, which generalizes the construction in [dJHS11, Sec. 6].

Hypothesis 2.12. Let $\pi : X \rightarrow C$ be a flat family of proper varieties over a connected smooth projective K -curve C satisfying Hypothesis 2.6. Let S be the relative Neron-Severi torus. Assume that the universal S -torsor \mathcal{T} exists over X .

Let $\text{Sec}(X/C/K)$ be the moduli functor parametrizing families of sections of $\pi : X \rightarrow C$. The functor $\text{Sec}(X/C/K)$ is representable by a scheme which is a countable union of quasi-projective varieties by FGA [Gro62], Part IV.4.c. Let $BS_{C/K}$ be the classifying stack of S -torsors on C . It is an algebraic stack locally of finite type. See Section 2.4.

We have a natural 1-morphism

$$\alpha'_{\mathcal{T}} : \text{Sec}(S/C/K) \rightarrow BS_{C/K}$$

by pullback of the universal torsor. Namely, given a family of sections $\sigma : C \times_K T \rightarrow X$ over a K -scheme T , $s^*\mathcal{T}$ gives a family of S -torsors over C . This is called the *Abel map*.

Definition 2.13. The *stack of stable sections* of the family $\pi : X \rightarrow C$, denoted by $\Sigma(X/C/K)$, is the fiber of the stabilization morphism

$$\pi_* : \overline{M}_{g(C)}(X) \rightarrow \overline{M}_{g(C)}(C, [C])$$

over the identity map $Id : C \rightarrow C$.

The natural 1-morphism $\text{Sec}(X/C/K) \rightarrow \Sigma(X/C/K)$ is represented

by open immersions of schemes. Thus the proper Deligne-Mumford stack $\Sigma(X/C/K)$ is a compactification of $\text{Sec}(X/C/K)$. It is natural to ask if the Abel map can be extended to the stack of stable sections.

Proposition 2.14. *Assuming that Hypothesis 2.12 holds, there exists a 1-morphism*

$$\alpha_{\mathcal{T}} : \Sigma(X/C/K) \rightarrow BS_{C/K}$$

extending the Abel map $\alpha'_{\mathcal{T}} : \text{Sec}(X/C/K) \rightarrow BS_{C/K}$. Without ambiguity, we call the extended map $\alpha_{\mathcal{T}}$ the Abel map.

Proof. A family of stable sections of $\pi : X \rightarrow C$ over a K -scheme T is equivalent to the following commutative diagram.

$$\begin{array}{ccc} C' & \xrightarrow{\sigma} & X \times_K T \\ f \downarrow & \swarrow (\pi, Id_T) & \\ C \times_K T & & \end{array}$$

The pullback of the universal torsor gives an S -torsor \mathcal{T} over C' .

Since S is a C -torus, there exists an étale morphism $g : D \rightarrow C$ which splits S , i.e., $S \times_C D$ is isomorphic to $\mathbb{G}_{m,D}^r$. Let D' be the fiber product $(D \times_K T) \times_{C \times_K T} C'$.

$$\begin{array}{ccc} D' & \xrightarrow{g'} & C' \\ f' \downarrow & & f \downarrow \\ D \times_K T & \xrightarrow{g} & C \times_K T \end{array}$$

By descent theory, any S -torsor over C' is equivalent to a $\mathbb{G}_{m,D}^r$ -torsor over D' satisfying the descent datum. Let \mathcal{E} be the pullback of \mathcal{T} via g' , which is a $\mathbb{G}_{m,D}^r$ -torsor over D' . In particular, \mathcal{E} is a product of $\mathbb{G}_{m,D}$ -torsors over D' $\mathcal{L}_1 \times \cdots \times \mathcal{L}_r$. Let $p_1, p_2 : D' \times_{C'} D' \rightarrow D'$ be the natural projections. The descent datum is given by an isomorphism

$$\phi : p_1^* \mathcal{L}_1 \times \cdots \times p_1^* \mathcal{L}_r \simeq p_2^* \mathcal{L}_1 \times \cdots \times p_2^* \mathcal{L}_r \quad (2.3)$$

satisfying the cocycle condition $p_{13}^* \phi = p_{23}^* \phi \circ p_{12}^* \phi$. Let $\phi_{ij} : p_1^* \mathcal{L}_i \rightarrow p_2^* \mathcal{L}_j$ be the component-wise morphism.

Now we apply the functor $\det(Rf'_*)$ to each factor of \mathcal{E} cf. [dJHS11] Definition 2.11 and [KM76]. We get a $\mathbb{G}_{m,D}^r$ -torsor $\mathcal{F} = \det(Rf'_* \mathcal{L}_1) \times \cdots \times \det(Rf'_* \mathcal{L}_r)$ over $D \times_K T$. It is easy to check that \mathcal{F} is well-defined.

The goal is to check that the torsor descends. First we construct an isomorphism $\psi : p_1^* \mathcal{F} \simeq p_2^* \mathcal{F}$. Since the functor $\det(Rf'_*)$ commutes with the base change, it suffices to construct a morphism $\psi : \det(Rf'_* p_1^* \mathcal{L}_1) \times \cdots \times \det(Rf'_* p_1^* \mathcal{L}_r) \rightarrow \det(Rf'_* p_2^* \mathcal{L}_1) \times \cdots \times \det(Rf'_* p_2^* \mathcal{L}_r)$. This can be defined component-wise by $\det(Rf'_* \phi_{ij})$. Write ψ as $\det(Rf'_* \phi)$. To check that ψ is an isomorphism, define the inverse $\det(Rf'_* \phi^{-1})$ as above and their composition is just the matrix multiplication $\det(Rf'_* \phi^{-1}) \circ \det(Rf'_* \phi) = \det(Rf'_* Id) = Id$. The descent cocycle condition follows directly from the descent cocycle condition for ϕ and the base change property of $\det(Rf'_*)$. Therefore \mathcal{F} descends to an S -torsor over C .

When C' is $C \times T$, the construction is the same as pullback of the universal torsor, which coincides with the Abel map. \square

2.4 Appendix: Classifying Stacks

Let C be a smooth projective curve over a field K . Let S be an isotrivial C -group scheme of multiplicative type. In this section, we introduce the classifying stack $BS_{C/K}$ and prove that it is an algebraic stack locally of finite type. The classifying stack serves as the target of the Abel map defined in Section 2.3.

Definition 2.15. The *classifying stack* of S -torsors over C is the fibered category of groupoids $BS_{C/K}$ over the category of K -schemes Sch_K with the fppf topology with objects pairs (U, b, T) such that

- U is a K -scheme,
- $b : U \rightarrow \text{Spec } K$ is fppf,
- T is an S -torsor over $U \times_K C$,

and morphisms Cartesian diagrams of the pairs.

When S is $\mathbb{G}_{m,C}$, the classifying stack is the Picard stack, which is an algebraic stack of finite type by Appendix 2 in [Art74]. In Chapter 4 of Kai Behrend's thesis [Beh], he proved that the classifying stack of torsors under reductive group scheme over a K -curve is a smooth algebraic stack

locally of finite type. For the sake of completeness, here we include a proof of algebraicity for the stack $BS_{C/K}$.

Lemma 2.16. *Let C be a smooth projective K -curve and S be an isotrivial C -group scheme of multiplicative type. Then there exist a smooth curve D (not necessarily connected) and a finite étale morphism $\phi : D \rightarrow C$ such that S can be embedded as a subgroup scheme of the Weil restriction $R = R_\phi \mathbb{G}_m$. Furthermore, the quotient group scheme $Q = R/S$ is of multiplicative type.*

Proof. This is proved in Proposition 1.3 in [CTS87b]. □

Lemma 2.16 gives a short exact sequence of the C -group schemes of multiplicative type.

$$1 \longrightarrow S \xrightarrow{e} R \xrightarrow{f} Q \longrightarrow 1$$

Thus we get a sequence of 1-morphisms of the classifying stacks by the extension of the structure group of torsors,

$$BS_{C/K} \xrightarrow{e^*} BR_{C/K} \xrightarrow{f^*} BQ_{C/K}$$

where for an S -torsor T^S , $e^*(T^S) = (T^S \times_C R)/\text{Diag}(S)$; for an R -torsor T^R , $e^*(T^R) = (T^R \times_C Q)/\text{Diag}(Q)$.

Now define a stack \mathcal{P} over the category of K -schemes Sch_K with objects pairs (U, b, T, s) such that

- U is a K -scheme,

- $b : U \rightarrow \text{Spec } K$ is fppf,
- T is an R -torsor over $U \times_K C$,
- s is a section of the torsor $f^*(T)$;

and morphisms Cartesian diagrams of pairs.

Lemma 2.17. *There is an isomorphism of stacks between $BS_{C/K}$ and \mathcal{P} .*

Proof. Given a fppf K -scheme U and an S -torsor T^S over $U \times_K C$, $e^*(T^S)$ is an R -torsor. Furthermore, $f^*e^*(T^S)$ is a trivial Q -torsor by construction above. Thus the identity element of the group scheme Q gives a section s of $f^*e^*(T^S)$ over $U \times_K C$. This gives an object $(e^*(T^S), s)$ in \mathcal{P} . It is easy to verify that this is a 1-morphism.

Conversely, given a fppf K -scheme U , an R -torsor T^R over $U \times_K C$, and a section s of $f^*(T^R)$, we have the reduction of structure group from the R -torsor to an S -torsor. \square

Lemma 2.18. *The natural forgetful 1-morphism $F : \mathcal{P} \rightarrow BR_{C/K}$ is representable by schemes.*

Proof. Given an object in $BR_{C/K}$, a fppf K -scheme U , and an R -torsor T^R over $U \times_K C$, the fiber of the 1-morphism over this object is the Hom scheme $\text{Hom}_U(U \times_K C, f^*(T^R))$. Thus F is representable by schemes. \square

Lemma 2.19. *The classifying stack $BR_{C/K}$ is isomorphic to the Picard stack of D . In particular, it is an algebraic stack locally of finite type.*

Proof. Given a fppf K -scheme U , and a \mathbb{G}_m -torsor P over $U \times_K D$, the Weil restriction $R_\phi P$ exists as a scheme over $U \times_K C$. Since R is the Weil restriction of \mathbb{G}_m from D to C , the action of \mathbb{G}_m on P gives $R_\phi P$ an R -torsor structure. This gives a natural 1-morphism $R_\phi : \text{Pic}(D/K) \rightarrow BR_{C/K}$ by the Weil restriction. By [ABD⁺64] SGAI III XXIV 8.4, R_ϕ is an isomorphism. \square

Proposition 2.20. *Let C be a smooth projective curve over a field K . Let S be an isotrivial C -group scheme of multiplicative type. The classifying stack $BS_{C/K}$ is an algebraic stack locally of finite type.*

Proof. By [LMB00] Proposition 4.5, it suffices to show that F is representable and $BR_{C/K}$ is an algebraic stack. The facts are proved in Lemma 2.18 and Lemma 2.19. \square

Corollary 2.21. *The classifying stack $BS_{C/K}$ is a commutative algebraic group stack locally of finite type.* \square

Chapter 3

Rational Curves on Homogeneous Spaces

In this chapter, we study the moduli space of pointed rational curves on a projective homogeneous space X over an algebraically closed field of characteristic zero. We prove two key results, that X admits a very twisting maximal scroll and it is “rationally simply connected by chains of maximal curves”. These two facts will be used to study projective homogeneous spaces over a non-closed field.

3.1 Moduli Spaces of Rational Curves

Let k be an algebraically closed field of characteristic zero. Let X be a projective homogeneous space under a linear algebraic k -group. By Bruhat

decomposition, the Picard lattice of X is freely generated by the line bundles associated to the Schubert varieties of codimension one, denoted by $\mathcal{L}_1, \dots, \mathcal{L}_r$. The effective cone is generated by \mathcal{L}_i 's. Indeed, any effective divisor $\sum_{i=1}^r a_i \mathcal{L}_i$ intersects each Schubert curve non-negatively by homogeneity. Thus by the intersection pairing, a_i 's are all non-negative. By homogeneity again, we see that the effective cone coincides with the nef cone. Thus the invertible sheaf $\mathcal{L} = \mathcal{L}_1 + \dots + \mathcal{L}_r$ is ample. Since X is simply connected and homogeneous, by Stein factorization, the invertible sheaf \mathcal{L} is in fact very ample.

We introduce some special curve classes on the projective homogeneous space X .

Definition 3.1. (1) The *degree* of a curve C in X is the \mathcal{L} -degree of C .

(2) The degree one curves in X are called *lines*.

(3) A curve (class) is *simple* if \mathcal{L}_i -degree is either zero or one for all i 's.

(4) A curve (class) is *maximal* if \mathcal{L}_i -degree is one for all i 's.

Note that any stable rational curve with a simple curve class type is automorphism-free. The following result is a simple corollary of the main theorems in [FP97], [KP01].

Proposition 3.2. *Let β be a simple curve class in X . The Kontsevich moduli space $\overline{M}_{0,n}(X, \beta)$ of pointed stable rational curves in X is a fine moduli space, represented by a nonempty smooth projective rational variety. \square*

3.2 Very Twisting Maximal Scrolls on Homogeneous Spaces

Let X be a projective homogeneous space over an algebraically closed field k of characteristic zero. Let θ be the maximal curve class. Let $\zeta : \mathbb{P}^1 \rightarrow \overline{M}_{0,1}(X, \theta)$ be a 1-morphism. We have the following diagram,

$$\begin{array}{ccc} \mathbb{P}^1 & \xrightarrow{\zeta} & \overline{M}_{0,1}(X, \theta) & \xrightarrow{ev} & X \\ & & \downarrow \Phi & & \\ & & \overline{M}_{0,0}(X, \theta) & & \end{array}$$

where Φ is the forgetful map and ev is the evaluation map. By homogeneity and generic smoothness, the evaluation map ev is a smooth morphism. In particular, the relative tangent bundle T_{ev} is locally free.

Definition 3.3. The 1-morphism $\zeta : \mathbb{P}^1 \rightarrow \overline{M}_{0,1}(X, \theta)$ is *very twisting* if the following conditions hold:

- (1) the vector bundle ζ^*T_{ev} is ample;
- (2) the vector bundle $(ev \circ \zeta)^*TX$ is globally generated;
- (3) the image $\zeta(\mathbb{P}^1)$ is in the smooth locus of the forgetful map Φ and the line bundle ζ^*T_Φ is globally generated.

In this case, we say that X admits a *very twisting maximal scroll*.

Remark 3.4. The definition of a very twisting 1-morphism over any variety is given in [HS05, 4.3]. It is still open how to find a very twisting 1-morphism on varieties in general. The only known examples are general low degree complete intersections in \mathbb{P}^n and projective homogeneous spaces of Picard number one cf. [dJHS11]. In these cases, one can construct a very twisting scroll of the minimal curve class type. On the other hand, for varieties with higher Picard numbers, a very twisting morphism usually does not exist for minimal curve classes. Thus the existence result depends on the choice of a “good” curve class. For smooth quadric surfaces in \mathbb{P}^3 , there is no twisting surface scrolls of a minimal curve class.

Lemma 3.5. *X admits a very twisting maximal scroll if there exists an 1-morphism $\zeta : \mathbb{P}^1 \rightarrow \overline{M}_{0,1}(X, \theta)$ such that*

- (1) *the sheaf ζ^*T_{ev} is ample;*
- (2) *the image $\zeta(\mathbb{P}^1)$ is in the smooth locus of the forgetful map Φ and the line bundle ζ^*T_Φ is globally generated.*

Proof. Since X is convex, every rational curve on X is free. In particular, $(ev \circ \zeta)^*TX$ is globally generated. \square

We may assume that X is a projective homogeneous space under a connected semisimple linear algebraic k -group G . Let $T \subset G$ be a maximal torus.

Let $\mathbb{G}_m \subset T$ be a one-dimensional torus corresponding to an interior point of a Weyl chamber. We recall basic properties of Bialynicki-Birula

decompositions of X under the torus action. See [KP01], [BB73]. The fixed points under the torus action are isolated. For each $p \in X^{G_m}$, let A_p be the set of points $x \in X$ such that $\lim_{t \rightarrow 0} t \cdot x = p$. By [KP01] Proposition 1, A_p is isomorphic to the affine space $\mathbb{C}^{l(p)}$, where $l(p)$ is the number of positive weights of the \mathbb{G}_m -representation at $T_p X$.

Let $s, x_1, \dots, x_r \in X^{G_m}$ be the fixed points corresponding to the unique maximal dimensional stratum A_s and the set of all codimension one strata, A_1, \dots, A_r respectively. Let U be the union of A_1, \dots, A_r and A_s , which is a dense open of X with the complement at least codimension two.

If we take the inverse torus action on X , there exists 1-dimensional strata A'_1, \dots, A'_r corresponding to the fixed point x_1, \dots, x_r . Let P_i be the closure of A_i , which is a smooth \mathbb{G}_m -invariant rational curve connecting s and x_i . We call P_i 's the *standard lines* on G/P with respect to the \mathbb{G}_m -action. By [KP01], they generate the cone of effective curve classes of G/P .

Lemma 3.6. *The curve P_i is the unique \mathbb{G}_m -invariant curve connecting s and x_i .*

Proof. By [KP01] Proposition 1, there exists a \mathbb{G}_m -invariant open subset of X containing x_i which is \mathbb{G}_m -equivalent to a definite vector space representation V_i such that the positive weight subspace of V_i is of codimension one. Thus P_i is the closure of the unique G_m -invariant curve in V_i whose general point intersects A_s . □

Definition 3.7. Fix a \mathbb{G}_m -action on X as above. A pointed maximal stable

rational curve $f : (C, t_0) \rightarrow X$ is *transversal*, if it satisfies the following properties:

- (1) The image of $f(C)$ lies in U .
- (2) The curve intersects A_i transversally at $f(t_i)$.
- (3) The marked point $f(t_0)$ is in A_s .

A transversal maximal pointed rational curve f gives an $(r + 1)$ -pointed rational curve $C' = (C, t_0, t_1, \dots, t_r)$.

Proposition 3.8. *Given a transversal pointed maximal stable curve f in X , the limit $\lim_{t \rightarrow 0} t \cdot f$ in $\overline{M}_{0,1}(X, \theta)$ is a \mathbb{G}_m -invariant pointed maximal stable rational curve $f_0 : (C, p) \rightarrow X$ such that*

- (1) C is obtained by gluing \mathbb{P}_i^1 's along the markings t_i 's of C' , for $i = 1, \dots, r$,
- (2) The marking p is the point t_0 on C' ,
- (3) the map f_0 maps \mathbb{P}_i 's to P_i and contracts C_0 to x_s .

Proof. By Proposition 3.2, $\overline{M}_{0,1}(X, \theta)$ is a smooth projective variety. Thus the limit under the torus action exists without the semistable reduction. The rest follows from [KP01] Proposition 2. \square

There exists a natural map,

$$\epsilon : \overline{M}_{0,1+r} \rightarrow \overline{M}_{0,1}(X, \theta)$$

constructed as above. In fact, the morphism ϵ is an isomorphism by [KP01]. The \mathbb{G}_m -action on X induces the \mathbb{G}_m -action on $\overline{M}_{0,1}(X, \theta)$. By [BB73] and Proposition 3.2, we consider the Bialynicki-Birula decomposition under the \mathbb{G}_m -action on $\overline{M}_{0,1}(X, \theta)$.

Corollary 3.9. *Let B be the image $\epsilon(\overline{M}_{0,1+r})$. The fixed locus B is a smooth irreducible component of the \mathbb{G}_m -fixed point set in $\overline{M}_{0,1}(X, \theta)$ and the Bialynicki-Birula stratum corresponding to B is of maximal dimension.*

Proof. The smoothness of B is proved in [BB73] Theorem 2.1. A general maximal curve in $\overline{M}_{0,1}(X, \theta)$ is transversal by Kleiman-Bertini Theorem. By Proposition 3.8, it retracts to $\epsilon(\overline{M}_{0,1+r})$ under the \mathbb{G}_m -action. Thus there exists a dense open \mathbb{G}_m -invariant subset of $\overline{M}_{0,1}(X, \theta)$ retracting to the fixed point locus B , which by definition lies in the Bialynicki-Birula stratum of B . □

Lemma 3.10. *There exists an embedded rational curve in the fixed component B such that the pullback of T_Φ and the normal bundle are positive.*

Proof. With the discussion as above, the morphism $\epsilon : \overline{M}_{0,1+r} \rightarrow B$ is an isomorphism. Consider the forgetful map $F_0 : \overline{M}_{0,1+r} \rightarrow \overline{M}_{0,r}$ by forgetting the first marked point. The fibers of F_0 give free curves in $\overline{M}_{0,1+r}$ such that the pullback of T_Φ is ample. We can choose a very free curve in $\overline{M}_{0,r}$ and lift it to a rational curve D in $\overline{M}_{0,1+r}$. After attaching sufficiently many fibered curves of F_0 to D , a general smoothing of the comb yields the desired property. □

Now we consider the inverse \mathbb{G}_m -action on $\overline{M}_{0,1}(X, \theta)$. By Corollary 3.9, There exists a fixed point component B' whose Bialynicki-Birula stratum is of maximal dimension.

Let $f : (C, p) \rightarrow X$ be a general maximal rational curve in X . We may assume that $[f]$ lies in both Bialynicki-Birula strata corresponding to B and B' . Let $\zeta : \mathbb{P}^1 \rightarrow \overline{M}_{0,1}(X, \theta)$ be a \mathbb{G}_m -orbit curve of $[f]$. The image $\zeta(0)$, resp., $\zeta(\infty)$ corresponds to a \mathbb{G}_m -invariant curve $[f_0]$ in B , resp., $[f_\infty]$ in B' . By [BB73] Theorem 4.3, we have the following \mathbb{G}_m -equivariant decomposition of the tangent spaces,

$$T_{[f_0]}\overline{M}_{0,1}(X, \theta) = T_{[f_0]}B \oplus T_{[f_0]}\overline{M}_{0,1}(X, \theta)^+,$$

$$T_{[f_\infty]}\overline{M}_{0,1}(X, \theta) = T_{[f_\infty]}B' \oplus T_{[f_\infty]}\overline{M}_{0,1}(X, \theta)^-,$$

where the G_m -actions on $T_{[f_0]}B$ and $T_{[f_\infty]}B'$ are both trivial. Since the evaluation map $ev : \overline{M}_{0,1}(X, \theta) \rightarrow X$ is \mathbb{G}_m -equivariant and smooth, we have the sub-decompositions of T_{ev} :

$$T_{ev,[f_0]} = T_{[f_0]}B \oplus T_{ev,[f_0]}^+,$$

$$T_{ev,[f_\infty]} = T_{[f_\infty]}B' \oplus T_{ev,[f_\infty]}^-.$$

The decomposition of weight spaces at $T_{ev,[f_0]}$ uniquely determines a decom-

position of the \mathbb{G}_m -equivariant vector bundle ζ^*T_{ev} , i.e.,

$$\zeta^*T_{ev} = E^0 \oplus E^+,$$

where $E^0|_{[f_0]} = T_{[f_0]}B$ and $E^+|_{[f_0]} = T_{ev,[f_0]}^+$.

Proposition 3.11. *A general \mathbb{G}_m -orbit curve $\zeta : \mathbb{P}^1 \rightarrow \overline{M}_{0,1}(X, \theta)$ satisfies the following:*

- (1) *The sheaf E^0 is a semi-positive vector bundle over \mathbb{P}^1 .*
- (2) *The sheaf E^+ is a positive vector bundle over \mathbb{P}^1 .*
- (3) *The image $\zeta(\mathbb{P}^1)$ is in the smooth locus of Φ when $r \neq 2$. The line bundle ζ^*T_Φ is positive when $r = 1$, and is trivial when $r \geq 3$.*

Proof. By the definition of E^0 and E^+ as above, the weights of E^0 , resp., E^+ at 0 are trivial, resp., positive. The weights of E^0 and E^+ at ∞ are both non-positive. Since the degree of any \mathbb{G}_m -equivariant line bundle equals the difference of the weight at 0 and the weight at ∞ , we get (1) and (2).

For (3), note that ζ^*T_Φ is a \mathbb{G}_m -equivariant vector bundle on \mathbb{P}^1 . When $r = 1$, the curve $[f_0]$ is a pointed line L in X by Proposition 3.8. Thus $T_{\Phi,[f_0]}$ is isomorphic to T_pL as a vector space. The weight is positive because the marked point is a retracting fixed point. Similarly, the weight at $T_{\Phi,[f_\infty]}$ is negative. Hence ζ^*T_Φ is a positive line bundle.

When $r \geq 3$, the marked point on $[f_0]$, resp. $[f_\infty]$ lies in the contracted component and as well as in the smooth locus of Φ . Thus the weight at 0

and ∞ are both trivial under the torus action, i.e., ζ^*T_Φ is a trivial vector bundle. \square

Proposition 3.12. *When the Picard number of the homogeneous space X is either one or two, there exists a very twisting maximal scroll on X .*

Proof. With the same notations as above, in either case, the fixed locus B which corresponds to the maximal Bialynicki-Birula cell is a point. Hence, as in Proposition 3.11, for a general \mathbb{G}_m -orbit curve ζ , there is no E^0 -summand in T_{ev} . Thus the weights of the \mathbb{G}_m -vector bundle ζ^*T_{ev} at 0, resp., at ∞ , are all positive, resp., negative. Therefore, ζ^*T_{ev} decomposes into a direct sum of line bundles with degrees ≥ 2 .

When the Picard number is one, by Lemma 3.5 and the third part in Proposition 3.11, we win.

When the Picard number of X is two, we have trouble analyzing T_Φ because the two \mathbb{G}_m -fixed points $\zeta(0)$ and $\zeta(\infty)$ lie in the singular locus of Φ . However, the singular locus of Φ in $\overline{M}_{0,1}(X, \theta)$ is of codimension two. Note that the orbit curve ζ is free in $\overline{M}_{0,1}(X, \theta)$. Hence, a general deformation $\xi : \mathbb{P}^1 \rightarrow \overline{M}_{0,1}(X, \theta)$ of ζ avoids the singular locus of Φ and intersects the boundary divisors of $\overline{M}_{0,1}(X, \theta)$ transversally. The pullback of the universal family over $\overline{M}_{0,1}(X, \theta)$ over ξ gives a smooth surface S over \mathbb{P}^1 with a section D . The sheaf ξ^*T_{ev} is positive by upper semicontinuity. The degree of the line bundle ξ^*T_Φ is the self-intersection number $(D.D)$ on S , which is constant in the deformed family. Thus it suffices to check for ζ . The marked point

in universal family over ζ gives a section in the smooth locus with self-intersection zero. See [KP01, Prop. 2]. In particular, ξ^*T_ϕ is trivial. By Lemma 3.5, a general deformation of ζ gives a very twisting maximal scroll on X . \square

To construct a very twisting surface maximal scroll on projective homogeneous space of higher Picard numbers, the main idea is to glue a bunch of “nearly” very twisting scrolls as above properly whose general smoothing is very twisting.

Construction 3.13. Let X be projective homogeneous spaces with the Picard number greater than two. The \mathbb{G}_m -fixed component B in (3.9) has positive dimension. By Lemma 3.10, there exists a rational curve D in B such that both $\mathcal{N}_{D|B}$ and $T_\Phi|_D$ are positive vector bundles. Since D is very free, we may choose distinct points p_1, \dots, p_k on D , where p_i is the limit point of a \mathbb{G}_m -orbit curve C_i as in Proposition 3.11. Let C be the disjoint union $\coprod_{i=1}^k C_i$. Consider the comb $D^* = D + \sum_{i=1}^k C_i = D + C$ obtained by attaching each \mathbb{G}_m -orbit curve C_i on D at p_i .

Lemma 3.14. *After attaching sufficiently many general C_i 's on D , the comb D^* can be smoothed.*

Proof. By [GHS03] Lemma 2.6, the normal sheaf \mathcal{N}_{D^*} restricted on D is the sheaf of rational sections of \mathcal{N}_D having at most a simple pole at each p_i in the normal direction determined by $T_{p_i}C_i$. By the short exact sequence,

$$0 \longrightarrow \mathcal{N}_{D|B} \longrightarrow \mathcal{N}_D \longrightarrow \mathcal{N}_{B|D} \longrightarrow 0$$

the normal directions in \mathcal{N}_D determined by $T_{p_i}C_i$'s give nonzero general directions in $\mathcal{N}_{B|D}$. Thus the quotient bundle $\mathcal{M} = \mathcal{N}_{D^*|D}/\mathcal{N}_{D|B}$ is nothing but than the sheaf of rational sections of $\mathcal{N}_{B|D}$ having at most a simple pole at each p_i in the normal direction determined by $T_{p_i}C_i$. By [GHS03] 2.5, after attaching sufficiently many general C_i 's, \mathcal{M} is globally generated. Together with the positivity of $\mathcal{N}_{D|B}$, the sheaf $\mathcal{N}_{D^*|D}$ is globally generated. Since all C_i 's are free, by diagram chasing, the normal sheaf \mathcal{N}_{D^*} is globally generated. In particular, the comb D^* is unobstructed and the nodes can be smoothed. \square

Choose a smoothing of D^* over a smooth pointed curve $(T, 0)$ as the following,

$$\begin{array}{ccccc} D^* & \hookrightarrow & S^C & \longrightarrow & \overline{M}_{0,1}(X, \theta) \\ \downarrow & & \downarrow p & & \\ 0 & \longrightarrow & (T, 0) & & \end{array}$$

where S is a smooth surface. Let \mathcal{E} be the pullback bundle of T_{ev} to S . Let E_i^0 , resp., E_i^+ be the trivial, resp., positive subbundle of T_{ev} restricted to each C_i . Let \mathcal{T} be the vector bundle $\coprod E_i^+$ over C . Since \mathcal{T} is a direct summand of $\mathcal{E}|_C$, we have the following natural surjection.

$$\mathcal{E}^\vee \rightarrow \mathcal{E}^\vee|_C \rightarrow \mathcal{T}^\vee$$

Let \mathcal{K}^\vee be the elementary transform of \mathcal{E}^\vee along \mathcal{T}^\vee .

$$0 \longrightarrow \mathcal{K}^\vee \longrightarrow \mathcal{E}^\vee \longrightarrow \mathcal{T}^\vee \longrightarrow 0 \quad (3.1)$$

Dualizing the above short exact sequence, we get

$$0 \longrightarrow \mathcal{E} \longrightarrow \mathcal{K} \longrightarrow \mathcal{T} \otimes_{\mathcal{O}_C} \mathcal{O}_C(C) \longrightarrow 0. \quad (3.2)$$

Lemma 3.15. *For any $i = 1, \dots, k$, $h^1(C_i, \mathcal{K}|_{C_i}(-p_i)) = 0$.*

Proof. Restricting the short exact sequence (3.1) to C_i and applying the functor $\text{Hom}_{\mathcal{O}_{C_i}}(-, \mathcal{O}_{C_i})$, we get the following exact sequence

$$0 \longrightarrow E_i^+ \longrightarrow \mathcal{E}|_{C_i} \longrightarrow \mathcal{K}|_{C_i} \longrightarrow E_i^+ \otimes_{\mathcal{O}_{C_i}} \mathcal{O}_{C_i}(C_i) \longrightarrow 0.$$

The quotient bundle $\mathcal{E}|_{C_i}/E_i^+$ is E_i^0 and the last term of the exact sequence is isomorphic to $E_i^+(-p_i)$. In particular, we have

$$0 \longrightarrow E_i^0(-p_i) \longrightarrow \mathcal{K}|_{C_i}(-p_i) \longrightarrow E_i^+(-2p_i) \longrightarrow 0.$$

Note that over C_i , E_i^0 is trivial and E_i^+ is positive. We win. \square

Let s_1 and s_2 be two sections of p both of which specialize to two distinct point q_1, q_2 on $D^* \setminus C$.

Lemma 3.16. *We have $h^1(D, \mathcal{K}|_D(-p_1-p_2)) = 0$, after attaching sufficiently many C_i 's on D .*

Proof. Restricting the short exact sequence (3.1) to D , we get

$$\mathcal{K}^\vee|_D \longrightarrow \mathcal{E}^\vee|_D \longrightarrow T^\vee|_D \longrightarrow 0.$$

The above sequence is actually exact. Indeed, by restricting (3.2) to D and taking the dual over D , since $\mathcal{T} \otimes_{\mathcal{O}_C} \mathcal{O}_C(C)|_D$ is torsion, we have the injection from $\mathcal{K}^\vee|_D$ to $\mathcal{E}^\vee|_D$.

In other words, the vector bundle $\mathcal{K}^\vee|_D$ is the elementary transform up of $\mathcal{E}|_D$ along p_i 's with the specific directions in E_i^+ 's. Since the sub-bundle $TB|_D$ of $\mathcal{E}|_D$ restricting to each p_i is orthogonal to $\mathcal{T}|_{p_i} = E_i^+$, it is also a sub-bundle of $\mathcal{K}|_D$.

Since $TB|_D$ is ample, to prove the Lemma, it suffices to show that the quotient bundle $(\mathcal{K}|_D)/(TB|_D)$ is positive on D after attaching sufficiently many C_i 's. Consider the following diagram.

$$\begin{array}{ccccccc} 0 & \longrightarrow & (\frac{\mathcal{K}|_D}{TB|_D})^\vee & \longrightarrow & (\frac{\mathcal{E}|_D}{TB|_D})^\vee & \xrightarrow{t} & T^\vee|_D \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \parallel \\ 0 & \longrightarrow & \mathcal{K}^\vee|_D & \longrightarrow & \mathcal{E}^\vee|_D & \longrightarrow & T^\vee|_D \longrightarrow 0 \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \longrightarrow & (TB|_D)^\vee & \xlongequal{\quad} & (TB|_D)^\vee & \longrightarrow & 0 \end{array}$$

We get that the vector bundle $(\mathcal{K}|_D)/(TB|_D)$ is the elementary transform up of $(\mathcal{E}|_D)/(TB|_D)$ along p_i 's with the direction E_i^+ 's. Note that the torsion quotient t is just the restriction of $(\mathcal{E}|_D)/(TB|_D)$ at p_i 's. Thus $(\mathcal{K}|_D)/(TB|_D)$ is isomorphic to $(\mathcal{E}|_D)/(TB|_D) \otimes_{\mathcal{O}_D} \mathcal{O}_D(\sum p_i)$, which is positive when the

attachment points on D are sufficiently many. □

Theorem 3.17. *Let X be a projective homogeneous space over an algebraically closed field k of characteristic zero. Let θ be the maximal curve class on X . There exists a very twisting maximal scroll $\zeta : \mathbb{P}^1 \rightarrow \overline{M}_{0,1}(X, \beta)$.*

Proof. By Proposition 3.12, it suffices to prove the case when X has Picard number greater than two. Now we may construct the comb D^* as in (3.13) by attaching sufficiently many general C_i 's. By Lemma 3.14, the comb can be smoothed. By Lemmas 3.15 and 3.16, $h^1(D^*, T_{ev}|_{D^*}(-s_1 - s_2))$ is zero. Thus by upper semi-continuity, T_{ev} restricting to a general smoothing of D^* is ample.

Similarly Condition (3) of Proposition 3.11 and Lemma 3.10, the vector bundle $T_\Phi|_{D^*}$ is positive. Therefore T_Φ restricting to a general smoothing of the comb D^* is also positive by upper semi-continuity. The theorem is proved by Lemma 3.5. □

3.3 Rational Simple Connectedness of Homogeneous Spaces

Proposition 3.18. *Let X be a projective homogeneous space defined over an algebraically closed field of characteristic zero. Then for any simple curve*

class β , the evaluation morphism

$$ev : \overline{M}_{0,1}(X, \beta) \rightarrow X$$

is smooth surjective with integral rationally connected geometric fibers.

Proof. The evaluation map ev is smooth because of the generic smoothness and the homogeneity of the target X . Since X is simply connected, the finite part of the Stein factorization of ev is étale over X , thus isomorphic to X . Therefore every geometric fiber is connected and smooth, thus integral.

By Proposition 3.2, the moduli space $\overline{M}_{0,1}(X, \beta)$ is a nonempty smooth projective rational variety. By [dJHS11] Lemma 15.6, the geometric fibers of the evaluation morphism are rationally connected. \square

Let k be an algebraically closed field of characteristic zero. Let G be a connected reductive linear algebraic group over k . Let $T \subset G$ be a maximal torus of rank t and let B be a Borel subgroup of G containing T . The choice of (G, B, T) gives a root system. Let $\Delta = \{\alpha_1, \dots, \alpha_t\}$ be a basis of the root system. Let W be the Weyl group of the root system generated by simple reflections $\{s_i = s_{\alpha_i} \mid \alpha_i \in \Delta\}$.

Let $n_w \in N_G(T)$ be a representative of $w \in W$. The map $w \mapsto n_w B$ induces a one-to-one correspondence between the Weyl group and the set of T -fixed points in G/B . We simply write w for the corresponding fixed point.

Let U be the unipotent radical of B . By Bruhat decomposition [Bor91] 14.12, G/B is a disjoint union of U -orbits Uw and each orbit is isomorphic to

the vector space $k^{l(w)}$, where l is the length function on the Weyl group. Let w_0 be the longest element of W . It corresponds to the maximal dimensional Bruhat cell. Let w_1, \dots, w_t be the fixed points of G/B which correspond to the codimension one Bruhat cells.

Let $\mathbb{G}_m \subset T$ correspond to the interior of the positive Weyl chamber. By [Car02] 3.4.7, the Bialynicki-Birula decomposition of G/B coincides with the Bruhat decomposition. Thus each standard line in G/B is the unique \mathbb{G}_m -invariant line connecting w_0 and w_i .

Lemma 3.19. *Every maximal curve in G/B is algebraically equivalent to the union of all standard lines.*

Proof. This is a corollary of Proposition 3.2 and Proposition 3.8. □

Let I is a subset of Δ . Let W_I be the subgroup of the Weyl group generated by simple reflections of I . The *standard parabolic subgroup* is of the form $BW_I B$. Every parabolic subgroup of G is conjugate to the standard parabolic subgroup P_I containing B . Thus every projective homogeneous space under G is of the form G/P_I .

Let $\pi_I : G/B \rightarrow G/P_I$ be the natural projection. The induced \mathbb{G}_m -action on G/P_I induces a one-to-one correspondence between the \mathbb{G}_m -fixed points and the left coset space W/W_I . For each coset wW_I , there exists a unique representative w' with the minimal length and $l(w'w'') = l(w') + l(w'')$ for any $w'' \in W_I$, cf. [Hum90] 1.10. By [Car02] 3.4.8, each Bialynicki-Birula cell of wW_I is isomorphic to $k^{l(w')}$. It is easy to see that $w_0 = w^0 w_{I_0}$, where

w_{I_0} is the longest element in W_I and $l(w^0)$ is the dimension of G/P .

Lemma 3.20. *For each standard line in G/P_I , there exists a unique lifting to a standard line in G/B .*

Proof. First we show that every fixed point in G/P corresponding to a codimension one cell uniquely lifts to a fixed point in G/B satisfying the same property. For each coset wW_I with the representative w' discussed above, $w'w_{I_0}$ is the unique element in wW_I with maximal length. If a coset wW corresponds to a codimension one cell in G/P , i.e., $l(w') = l(w^0) - 1$, we have

$$l(w'w_{I_0}) = l(w') + l(w_{I_0}) = l(w^0) + l(w_{I_0}) - 1 = l(w_0) - 1.$$

Thus the fixed point $w'w_{I_0}$ in G/B corresponds to a unique codimension one cell.

The standard line L connecting w_0 and $w'w_{I_0}$ in G/B projects to a \mathbb{G}_m -invariant curve connecting w_0W and $w'W$ in G/P . By Lemma 3.6, the image $\pi_I(L)$ is a standard line in G/P . Since the projection morphism between the big cell of G/B and the big cell of G/P is a \mathbb{G}_m -equivariant linear morphism between vector spaces, the degree of $\pi_I|_L$ is one. Thus L maps isomorphically onto its image, which is a standard line. We get the lifting. \square

Lemma 3.21. *Every maximal curve in P_I/B gives a simple curve of G/B .*

Proof. With the \mathbb{G}_m -action on G/B as above, by Lemma 3.19, it suffices to show that standard lines in P_I/B correspond to standard lines in G/B and

the correspondence is injective. Any standard line in P_I/B is the unique \mathbb{G}_m -invariant line connecting w_{I_0} and $w_{I_0}s_i$, where $t_i \in I$ by Lemma 3.6. After the left translation by w^0 , we get a \mathbb{G}_m -invariant line connecting w_0 and w_0s_i , which is standard in G/B by Lemma 3.6 again. Since such correspondence is induced by a left translation, clearly it is injective. \square

Proposition 3.22 ([dJHS11], 6.1). *The moduli space $\text{Chn}_2(X, m\theta)$ of two-pointed chains of m stable maximal curves in X is represented by a nonempty smooth projective variety.* \square

Proposition 3.23. *Let X be a projective homogeneous space defined over an algebraically closed field of characteristic zero. Then there exists m such that the geometric generic fiber of the evaluation morphism*

$$ev : \text{Chn}_2(X, m\theta) \rightarrow X \times X$$

is smooth integral rationally connected.

Proof. By Corollary 3.22, the moduli space of two-pointed chains of m maximal curves is a smooth projective variety. By induction on m and Proposition 3.2, it is rationally connected. By the proof of [dJHS11] Lemma 15.8, it suffices to show that the evaluation

$$ev : \text{Chn}_2(X, m_0\theta) \rightarrow X \times X$$

is surjective for some m_0 . Assume that $X = G/P$, where G is a reductive

group. We prove this by induction on the rank of G . By Lemma 3.19 and Lemma 3.20, it suffices to show the case when $X = G/B$. When the rank of G is one, the surjectivity of ev is trivial because G/B is isomorphic to \mathbb{P}^1 .

When the rank of G is bigger than one, let Δ be the set of simple roots of G . Let P_i be the standard parabolic subgroup corresponding to a simple root $\alpha_i \in \Delta$. Let P^i be the standard maximal parabolic subgroup corresponding to $\Delta - \alpha_i$. Let s_i be the simple reflection of α_i . Consider the following diagram,

$$\begin{array}{ccc} G/B & \xrightarrow{u} & G/P^i \\ v \downarrow & & \\ G/P_i & & \end{array}$$

where G/P^i is a projective homogeneous space of Picard number one and the morphism v is a \mathbb{P}^1 -bundle over G/P_i . By the proof of Lemma 3.21, the fiber of v is algebraically equivalent to the standard line L_i through w_0 and w_0s_i in G/B . Since s_i is not in $W_{\Delta - \{\alpha_i\}}$, the images $u(w_0)$ and $u(w_0s_i)$ are disjoint in G/P^i . By Lemma 3.20, L_i maps to the unique standard line in G/P^i . Thus all the fibers of v map to lines in G/P^i . We call the image lines in G/P^i *good lines*. In fact, the above diagram gives a connected proper flat prerelation on G/P^i . By [Kol96] IV.4.14 and by homogeneity, every pair of points in G/P^i can be connected by a chain of good lines of length m .

Now given a pair of points p and q in G/B , there exists a chain of m good lines in G/P^i connecting $u(p)$ and $u(q)$. We can lift the good lines to m two pointed lines $(l_1, p_1, q_1), \dots, (l_m, p_m, q_m)$ in G/B such that $u(p_1) = u(p)$,

$u(q_m) = u(q)$, and $u(q_i) = u(p_{i+1})$ for $i = 1, \dots, m - 1$.

The fiber of u is a projective homogeneous space under an algebraic group of smaller rank, i.e., a Levi subgroup of P_i . By induction, we can choose chains of maximal curves in the fiber of u , connecting p and p_1 , q_1 and p_2 , etc. By Lemma 3.21, we get a chain of simple curves in X connecting p and q . By adding lines to make each irreducible component of the chain maximal, we get a maximal chain connecting p and q in G/B . \square

Chapter 4

Families of Homogeneous Spaces and Universal Torsors

In this chapter, we study the geometry of the Abel map 2.14 for smooth families of projective homogeneous spaces over a smooth projective K -curve with a universal torsor. We proved that there exists a canonical choice of geometrically irreducible components of the moduli space of sections defined over K such that the Abel images are abelian varieties and the general fiber of the Abel maps is geometrically integral rationally connected.

4.1 The Abel Sequences

Notation 4.1. Let K be a field of characteristic zero. Let C be a smooth connected K -curve. Let $\pi : X \rightarrow C$ be a smooth family of projective homo-

geneous spaces. Assume that the relative Picard number, i.e., the rank of $\text{Pic}_{X/C}(C)$ is one. Assume that the Picard number of the geometric generic fiber of π is r . Let S be the character C -group scheme of $\text{Pic}_{X/C}$. Assume that the relative universal S -torsor \mathcal{T} exists for the family.

By Proposition 2.8, the relative Picard scheme $\text{Pic}_{X/C}$ is an isotrivial torsion-free twisted constant C -group scheme of finite type. Thus the character group scheme S is an isotrivial C -torus.

Let $\bar{\eta}$ be the geometric generic point over C . We can choose a canonical basis of the constant group scheme $\text{Pic}_{X_{\bar{\eta}}/\bar{\eta}}$, denoted by $\mathcal{L}_1, \dots, \mathcal{L}_r$ such that \mathcal{L}_i 's are line bundles of $X_{\bar{\eta}}$ associated to the Schubert cells of codimension one.

By SGA3 [ABD⁺64] Exposé X Corollaire 1.2 and Corollaire 5.7, the group scheme $\text{Pic}_{X/C}$ is equivalent to specifying the geometric fiber at $\bar{\eta}$ as a discrete continuous $\pi_1(C, p)$ -module.

Lemma 4.2. *The geometric fiber of $\text{Pic}_{X/C}$ at $\bar{\eta}$ is a discrete continuous permutation $\pi_1(C, \bar{\eta})$ -module with the Galois invariant basis $\mathcal{L}_1, \dots, \mathcal{L}_r$.*

Proof. It is well known that the geometric generic fiber of $\text{Pic}_{X/C}$ at $\bar{\eta}$ is a discrete continuous permutation $\text{Gal}(\bar{\eta}/\eta)$ -module with the Galois invariant basis $\mathcal{L}_1, \dots, \mathcal{L}_r$, cf. [CTGP04], the proof of Lemma 5.6 p. 337. The lemma follows from the fact that the natural map $\text{Gal}(\bar{\eta}/\eta) \rightarrow \pi_1(C, \eta)$ is surjective by SGA1 [Gro71] Exposé V Proposition 8.2. \square

Since the rank of $\text{Pic}_{X/C}(C)$ is one, the basis $\mathcal{L}_1, \dots, \mathcal{L}_r$ over $\bar{\eta}$ dominate

a unique connected component of $\text{Pic}_{X/C}$, denoted by D . By Proposition 2.8, D is a curve finite étale over C . Denote the structure map $D \rightarrow C$ by ϕ .

Lemma 4.3. *S is isomorphic to $\mathfrak{R}_\phi \mathbb{G}_{m,D}$.*

Proof. There exists a connected finite Galois cover $g : \tilde{D} \rightarrow C$ factoring through ϕ . Let $\psi : \tilde{D} \rightarrow D$ be a morphism such that $g = \phi \circ \psi$. Let Γ be the Galois group of \tilde{D} over C . We have the following Cartesian diagram.

$$\begin{array}{ccc} \coprod_{i=1}^r \tilde{D}_i & \xrightarrow{\coprod \psi \circ \gamma_i} & D \\ \downarrow & & \phi \downarrow \\ \tilde{D} & \xrightarrow{g} & C \end{array}$$

The Weil restriction $\mathfrak{R}_\phi \mathbb{G}_{m,D}$ is given by descending $S' = \prod_{i=1}^r (\psi \circ \gamma_i)^* \mathbb{G}_{m,D}$ via g . Since $\prod_{i=1}^r (\psi \circ \gamma_i)^* \mathbb{G}_{m,D} = \prod_{i=1}^r (\gamma_i)^* \mathbb{G}_{m,\tilde{D}}$, the Γ -action on S' is induced by the Γ -actions on $\coprod \tilde{D}_i$. Note that $\coprod \tilde{D}_i$ can be identified as the canonical Γ -invariant basis of the relative Picard scheme $\text{Pic}_{X \times_C \tilde{D}/\tilde{D}}$. Hence the Γ -action on $\coprod \tilde{D}_i$ is induced by the Γ -action on the relative Picard scheme.

On the other hand, consider the Γ -action on g^*S . By the discussion as above, the cover g splits the relative Picard scheme $\text{Pic}_{X/C}$, also the dual torus S . Thus g^*S is isomorphic to $\mathbb{G}_{m,\tilde{D}}^r$ with the natural Γ -action induced from the relative Picard scheme $\text{Pic}_{X \times_C \tilde{D}/\tilde{D}}$. The tori g^*S and S' are naturally isomorphic and compatible with the Γ -action. Therefore they are isomorphic over C . \square

Now we introduce a natural 1-morphism

$$\mathfrak{R}_\phi^{-1} : BS_{C/K} \rightarrow B\mathbb{G}_{m,D}$$

given by pulling back an S -torsor by ϕ to get a $\mathfrak{R}_\phi \mathbb{G}_{m,D} \times_C D$ -torsor and then reducing the structure group to $\mathbb{G}_{m,D}$ by the natural adjunction (projection). In fact, this is an equivalence of stacks and the inverse 1-morphism is the Weil restriction functor \mathfrak{R}_ϕ , cf., SGA3 [ABD⁺64] XXIV 8.2.

Let $\text{Pic}_{D/K}$ be the relative Picard scheme and let $c : B\mathbb{G}_{m,D} \rightarrow \text{Pic}_{D/K}$ be the coarse moduli space map. Consider the Abel map defined in Proposition 2.14 and post-compose with R_ϕ^{-1} and the coarse moduli space map, we get the following.

Definition 4.4. In Situation 4.1, the *Abel map* for the family of homogeneous spaces $\pi : X \rightarrow C$ with respect to the universal torsor \mathcal{T} is the composition,

$$\alpha_{\mathcal{T}} : \Sigma(X/C/K) \longrightarrow BS_{C/K} \xrightarrow{\mathfrak{R}_\phi^{-1}} B\mathbb{G}_{m,D} \xrightarrow{c} \text{Pic}_{D/K}.$$

Let $\Sigma^e(X/C/K)$ be the inverse image $\alpha_{\mathcal{T}}^{-1}(\text{Pic}_{D/K}^e)$. The number e is called the \mathcal{T} -degree for the families of stable sections.

Let $\sigma : C' \rightarrow X$ be a stable section corresponding to a geometric point of $\Sigma^e(X/C/K)$. Then there exists a unique subcurve C_0 of C' such that σ restricting on C_0 is a honest section. The curve C_0 meets the rest of C' at finitely many points p_1, \dots, p_δ . In fact, σ is obtained by the honest section

σ_0 attaching with δ stable rational curves C_1, \dots, C_δ at p_1, \dots, p_δ , and the teeth lie in the fiber.

Let $q_{i,j}$ be the geometric points lying in the fiber of ϕ at p_i , where $j = 1, \dots, r$.

Proposition 4.5. *In Situation 4.1, let $\sigma : C' \rightarrow X$ be a stable section corresponding to a geometric point of $\Sigma^e(X/C/K)$. Then the image under the Abel map is*

$$\alpha_{\mathcal{T}}(\sigma) = \alpha_{\mathcal{T}}(\sigma_0) \otimes \mathcal{O}_D(\Sigma_{i,j} e_{ij} q_{i,j}),$$

where $\Sigma_{j=1}^r e_{ij}$ is the degree of the rational curve C_i in the fiber. In particular, the \mathcal{T} -degree increases by one when we attach a line to a section.

Proof. Let $g : \tilde{D} \rightarrow C$ be as in the proof of Lemma 4.3. We have the following Cartesian diagrams.

$$\begin{array}{ccccc}
 \coprod \tilde{D}'_i & \longrightarrow & D' & & \\
 \downarrow \tilde{\phi} & \searrow \tilde{f}' & \downarrow & \searrow & \\
 & & \coprod \tilde{D}_i & \xrightarrow{\tilde{g}} & D \\
 & & \downarrow \tilde{\phi} & \downarrow \phi' & \downarrow \phi \\
 \tilde{D}' & \xrightarrow{\quad} & C' & \xrightarrow{\quad} & X \\
 \downarrow \tilde{f} & & \downarrow f & & \downarrow \sigma_0 \\
 \tilde{D} & \xrightarrow{\quad} & C & & \\
 & & \downarrow g & &
 \end{array}$$

Since \tilde{D} splits the Picard lattice, the pullback $g'^* \sigma^* \mathcal{T}$ is a \mathbb{G}_m^r -torsor. The torsor \mathcal{T} being universal implies that $g'^* \sigma^* \mathcal{T}$ is isomorphic to $\mathcal{L}_1 \times \dots \times$

\mathcal{L}_r , where \mathcal{L}_i 's are the canonical basis of the Picard lattice of $X_{\tilde{D}}$. By the construction of the extended Abel map as in Lemma 2.14, $g^*\alpha_{\mathcal{T}}(\sigma) \cong \det(R\tilde{f}_*\mathcal{L}_1) \times \cdots \times \det(R\tilde{f}_*\mathcal{L}_r)$. Since $\mathcal{L}_1 \times \cdots \times \mathcal{L}_r$ is isomorphic to $\mathfrak{R}_{\tilde{\phi}}(\coprod \mathcal{L}_i)$, we have that

$$g^*\alpha_{\mathcal{T}}(\sigma) \cong \det(R\tilde{f}_*\mathcal{L}_1) \times \cdots \times \det(R\tilde{f}_*\mathcal{L}_r) \cong \mathfrak{R}_{\tilde{\phi}}(\coprod \det(R\tilde{f}_*\mathcal{L}_i)).$$

Thus the Abel image $\alpha_{\mathcal{T}}(\sigma)$ is given by descending the line bundle $\coprod \det(R\tilde{f}'_*\mathcal{L}_i)$ to D . Since $\coprod \tilde{D}_i$ is a disjoint union, it suffices to descend one line bundle $\det(R\tilde{f}'_*\mathcal{L}_1)$ from $\psi : \tilde{D} \rightarrow C$.

We will show the case when the stable section C' has only one vertical line l attaching on σ_0 at $\sigma_0(p)$. The general case can be proved similarly. Let H be the Galois group of the cover $\psi : \tilde{D} \rightarrow C$ and let s be the order of H . Let q_1, \dots, q_{sr} be the inverse images $g^{-1}(p)$. Let l_i be the vertical line attaching at q_i . By [dJHS11] Lemma 6.6, we have

$$\det(R\tilde{f}'_*\mathcal{L}_1) = \mathcal{L}_1|_{\tilde{D}} \otimes \mathcal{O}_{\tilde{D}}\left(\sum_{i=1}^{sr} (\mathcal{L}_1.l_i)q_i\right),$$

where $(\mathcal{L}_1.l_i)$ is the intersection pairing. After renumbering the index, we may assume that $(\mathcal{L}_1.l_1) = 1$. Since l_i 's are Galois conjugated by Γ , we have that $(\mathcal{L}_1.l_i) = (\mathcal{L}_1.g_i(l_1)) = (g_i^{-1}(\mathcal{L}_1).l_1)$. Thus $(\mathcal{L}_1.l_i) = 1$ if and only if

$g_i \in H$. By pushforward of $\det(R\tilde{f}'_*\mathcal{L}_1)$ by ψ ,

$$\psi_*(\det(R\tilde{f}'_*\mathcal{L}_1)) = \psi_*(\mathcal{L}_1|_{\tilde{D}}) \otimes \psi_*(\mathcal{O}_{\tilde{D}}(\sum_{i=1}^{sr} (\mathcal{L}_1.l_i)q_i)),$$

where the first part of the right hand side gives the Abel image $\alpha_{\mathcal{T}}(\sigma_0)$ and the second part of the right hand side gives a point on D that maps to p . \square

Definition 4.6. In Situation 4.1, let k be an algebraically closed field extension of K . A section of $\pi : X_k \rightarrow C_k$ is *m-free* if for a general effective Cartier divisor D of C_k of degree m ,

$$H^1(C_k, \sigma^*N_{\sigma(C_k)/X_k}(-D)) = 0.$$

A section is *unobstructed* if it is 0-free, and *free* if it is 1-free. A section is *(g)-free* if it is $(2g(C_k) + 1)$ -free.

Definition 4.7. Let $X/C/K$ and \mathcal{T} be as in Situation 4.1. Let e_0 be an integer. An *Abel sequence* for $X/C/K$ is a sequence $(Z_e)_{e \geq e_0}$ of an irreducible component Z_e of $\Sigma^e(X/C/K)$ which is geometrically irreducible and satisfies the following properties.

- (1) For every $e \geq e_0$, a general point of Z_e parametrizes a (g) -free section.
- (2) For every $e \geq e_0$, the Abel map restricted at Z_e

$$\alpha_{\mathcal{T}} : Z_e \rightarrow \text{Pic}_{D/K}^e$$

is surjective and the geometric generic fiber is integral and rationally connected.

- (3) For every (g) -free section $\bar{\sigma} : C \otimes_K \bar{K} \rightarrow X \otimes_K \bar{K}$ of \mathcal{T} -degree e_0 , there exists an integer δ_0 such that for every integer $\delta \geq \delta_0$, every stable section obtained by attaching δ lines in the fiber to $\bar{\sigma}$ lies in $Z_{e_0+\delta}$.

A *pseudo Abel sequence* is a sequence $(Z_e)_{e \geq e_0}$ as above where (2) is replaced by the weaker condition that the Abel map $\alpha_{\mathcal{T}}|_{Z_e}$ is surjective and the geometric generic fiber is integral.

In Situation 7.1, we propose the following hypotheses.

Hypothesis 4.8. Let t be a geometric point of C . Let X_t be the geometric fiber over t . For any simple curve class β , the evaluation morphism

$$ev : \bar{M}_{0,1}(X_t, \beta) \rightarrow X_t$$

is smooth surjective with integral rationally connected geometric fibers.

Hypothesis 4.9. For some integer m , the evaluation morphism for two-pointed chains of m maximal rational curves,

$$ev : \text{Chn}_2(X/C, m\theta) \rightarrow X \times_C X$$

has smooth integral rationally connected general fibers.

Hypothesis 4.10. [See in Definition 4.29] Let η be the generic point of C . Let $X_{\bar{\eta}}$ be the geometric generic fiber of π . There exists a very twisting maximal scroll in X_{η} .

Theorem 4.11. *In Situation 4.1, assume that Hypotheses 4.8, 4.9, and 4.10 hold. Then there exists an Abel sequence for $X/C/K$.*

By [Sta10] Lemma 4.11, to proof the existence of an Abel sequence, it suffices to prove when the base field K is uncountable and algebraically closed. Theorem 4.11 reduces to a pure geometric question.

4.2 The Sequence of Components

Notation 4.12. Let k be an uncountable algebraically closed field of characteristic zero. Let C be a smooth connected k -curve. Let $\pi : X \rightarrow C$ be a smooth family of projective homogeneous spaces. Assume that the relative Picard number, i.e., the rank of $\text{Pic}_{X/C}(C)$ is one and assume that the Picard number of each geometric fiber is r . Let S be the character C -group scheme of $\text{Pic}_{X/C}$. Let $\phi : D \rightarrow C$ be a finite étale morphism such that $S = R_{\phi}\mathbb{G}_{m,D}$ as in Lemma 4.3. Assume that the universal S -torsor \mathcal{T} exists for the family.

Lemma 4.13 ([GHS03]). *Let $X/C/k$ be as in Notation 4.12. Then there exist (g) -free sections. \square*

de Jong, He and Starr [dJHS11] introduced an important class of stable sections, the *porcupines*. They are unobstructed and have nice inductive

structures.

Definition 4.14. A *porcupine* in $X/C/k$ is a stable section $\sigma : C' \rightarrow X$ such that

- (1) the associated section $\sigma_0 : C \rightarrow X$ is (g) -free,
- (2) each vertical curve $\sigma|_{C_i} : C_i \rightarrow X_{t_i}$ is a line in the fiber of π ,
- (3) the attaching points of vertical curves are all distinct on C .

We will call the section σ_0 the *body*, and the vertical curves the *quills*.

Recall the following standard deformation results in [Sta10] Proposition 5.2.

- Lemma 4.15.** (1) *The parameter space $\text{Porc}^e(X/C/k)$ of porcupines of \mathcal{T} -degree e is represented by an open smooth subscheme of $\Sigma^e(X/C/k)$.*
- (2) *The closed subscheme $\text{Porc}^{e, \geq 1}(X/C/k)$ of $\text{Porc}^e(X/C/k)$ parametrizing porcupines with at least 1 quill is a simple normal crossing divisor.*
- (3) *The open subscheme $\text{Porc}^{e, \delta}(X/C/k)$ of $\text{Porc}^e(X/C/k)$ parameterizing porcupines with exactly δ quills is a smooth, locally closed subscheme of $\text{Porc}^e(X/C/k)$ of pure codimension δ . \square*

There is a natural morphism

$$\Phi_{\text{body}} : \text{Porc}^{e, \delta}(X/C/k) \rightarrow \text{Porc}^{e-\delta, 0}(X/C/k)$$

which forgets all the δ quills. Let D_δ be the δ -fold symmetric product of D and let D_δ° be the dense open subset of D_δ parametrizing reduced divisors with reduced images on C . By Proposition 4.5, define the refined body morphism,

$$\Phi'_{body} : \text{Porc}^{e,\delta}(X/C/k) \rightarrow \text{Porc}^{e-\delta,0}(X/C/k) \times D_\delta^\circ$$

which sends a porcupine $\sigma : C' \rightarrow X$ with δ quills to its body together with the attaching divisor $B_\sigma = \mathcal{O}_D(t_1 + \cdots + t_\delta)$ on D .

Lemma 4.16. *In Situation 4.12, assume that Hypothesis 4.8 holds. The refined body morphism*

$$\Phi'_{body} : \text{Porc}^{e,\delta}(X/C/k) \rightarrow \text{Porc}^{e-\delta,0}(X/C/k) \times D_\delta^\circ$$

is smooth surjective with irreducible rationally connected geometric fibers.

Proof. Given a section σ in $\text{Porc}^{e-\delta,0}(X/C/k)$ and a reduced divisor $B = t_1 + \cdots + t_\delta$ in D_δ° , let F be the space of porcupines having the body σ and δ quills with the attaching divisor B . For each t_i , there is a unique line class l_i such that the attachment divisor is t_i . Let F_i be the fiber of the evaluation morphism $\overline{M}_{0,1}(X/C, l_i) \rightarrow X$ over the point $\sigma(\phi(t_i))$. By Hypothesis 4.8, F_i is a smooth integral rationally connected variety. Therefore, F is the product of all F_i 's, which is again a smooth integral rationally connected variety. \square

Lemma 4.17. *In Situation 4.12, assume that Hypothesis 4.8 holds. Let Z_{e_0} be an irreducible component of $\Sigma^{e_0}(X/C/k)$ whose general points parametrize (g) -free sections. For every $e \geq e_0$, there exists a unique irreducible component Z_e such that every porcupine with body in Z_{e_0} and with $e - e_0$ quills lies in Z_e .*

Proof. Let $\text{Porc}^{e_0,0}(X/C/k)_Z$ be the open subscheme of Z_{e_0} parametrizing free sections. The space of porcupines with the body in $\text{Porc}^{e_0,0}(X/C/k)_Z$ and $e - e_0$ quills is irreducible by Lemma 4.16 and unobstructed by Lemma 4.15. Thus it is contained in a unique irreducible component of $\Sigma^e(X/C/k)$.

□

Definition 4.18. For every integer $e \geq e_0$, Z_e is the *distinguished irreducible component* of $\Sigma^e(X/C/k)$ associated to Z_{e_0} .

Combining Lemma 4.17 and the proof of Lemma 5.7 and 5.8 in [Sta10], we have the irreducibility of the geometric generic fiber of the Abel map.

Proposition 4.19. *In Situation 4.12, assume that Hypothesis 4.8 holds. For every $e \geq e_0 + 2g(D) - 1$, the Abel map*

$$\alpha_{\mathcal{T}}|_{Z_e} : Z_e \rightarrow \text{Pic}_{D/K}^e$$

is dominant with irreducible geometric generic fiber.

□

4.3 Pencils of Simple Combs

In this section, let $X/C/k$ and \mathcal{T} be as in Notation 4.12.

Definition 4.20. Let σ be a free section of $X/C/k$. A *simple σ -comb* is a stable section of $\pi : X \rightarrow C$ with the body σ such that the vertical curves are simple stable rational curves in the fiber with distinct attaching points on C .

A *maximal comb* is a simple comb with all the vertical curves maximal.

Definition 4.21. A two-pointed chain of rational curves in $\Sigma^e(X/C/k)$ is *useful* if the marked points and the nodes parametrize unobstructed non-stacky points in $\Sigma^e(X/C/k)$. We say that the two marked points are *rationally equivalent*.

Lemma 4.22. *Any simple comb of \mathcal{T} -degree e lies in the unobstructed non-stacky locus of $\Sigma^e(X/C/k)$.*

Proof. For any simple comb, the body is a free section and vertical curves are free. By [Kol96] II.7.5, the comb is unobstructed. By Proposition 3.2, any vertical curves of a simple simple comb is non-stacky. Thus the comb itself is non-stacky. \square

Lemma 4.23. *In Situation 4.12, assume that Hypothesis 4.8 holds. Let $P \in \Sigma^e(X/C)$ be a porcupine with the body σ and δ -quills. Let Q be a simple σ -comb. If the Abel images $\alpha_{\mathcal{T}}(P)$ and $\alpha_{\mathcal{T}}(Q)$ are the same, P and Q are rationally equivalent in $\Sigma^e(X/C)$.*

Proof. Since P and Q share the same body, by Proposition 4.5, the attaching divisors B_P and B_Q are linearly equivalent divisors on D . Thus there exists a pencil $\mathbb{P}^1 \rightarrow D^\delta$ connecting them. The pencil gives a rational curve in $Porc^{e-\delta,0}(X/C/k) \times D_\delta$ by the following composition.

$$\mathbb{P}^1 \longrightarrow D^\delta \xrightarrow{(s, Id)} Porc^{e-\delta,0}(X/C/k) \times D_\delta$$

Since the attaching divisor B_P is in D_δ° , the rational curve intersects the image of the extend Abel map $\Phi'_{body} : Porc^{e,\delta}(X/C/k) \rightarrow Porc^{e-\delta,0}(X/C/k) \times D_\delta$ by Lemma 4.16. By the result of Graber-Harris-Starr [GHS03], we can lift to a rational curve in $\Sigma^e(X/C/k)$ whose general points parameterize porcupines. Specializing the family of porcupines over B_Q , we get a simple σ -comb Q' with the attaching divisor B_Q . Lemma 4.22 implies that P and Q' are rationally equivalent. By Hypothesis 4.8, Q and Q' are connected by a useful chain of rational curves in $\Sigma^e(X/C/k)$. Therefore P and Q are rationally equivalent. \square

Definition 4.24. A *maximal scroll* R in X/C is a morphism $R \rightarrow X$ such that $R \rightarrow C$ is a smooth geometrically ruled surface and each fiber maps to a maximal curve with at most two irreducible components.

A chain of m maximal scrolls is *transversal* if each fiber maps to a chain of m maximal curves with at most $m + 1$ irreducible components.

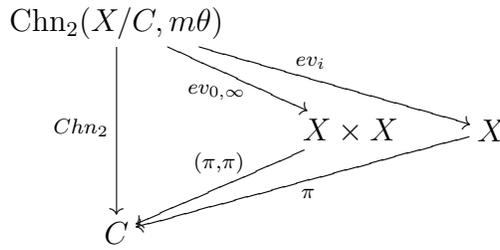
Lemma 4.25. *In Situation 4.12, assume that Hypothesis 4.8 holds. Let σ_0, σ_∞ be two free sections of $\pi : X \rightarrow C$. Assume that they are panned*

in a maximal scroll $R \rightarrow C$. Then there exists an integer N such that a general maximal σ_0 -comb C with N -teeth is rationally equivalent to a simple σ_∞ -comb.

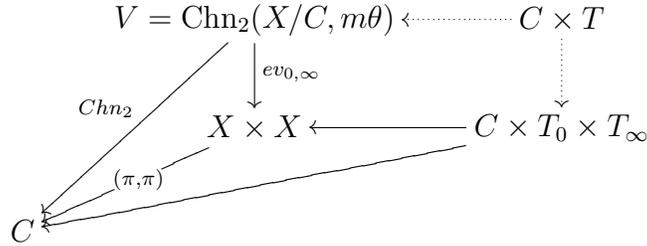
Proof. For any effective divisor D on C , let R_D be the pullback divisor on R . When D is general, R_D is a disjoint union of smooth maximal curves. There exists an integer N such that for a general divisor D of degree N , the linear system $|\sigma_0(C) + R_D|$ is sufficiently ample and the codimension one points of the linear system parametrize nodal curves, cf. [dJHS11] Lemma 9.5. In particular, the divisor $\sigma_0(C) + R_D$ is linearly equivalent to some divisor $\sigma_\infty(C) + E$, where E is a disjoint union of simple rational curves. Let P be the maximal σ_0 -comb associated to $\sigma_0(C) + R_D$ and let Q be the simple σ_∞ -comb associated to $\sigma_\infty(C) + E$. There is a union of two general pencils joining P and Q such that general points parametrize nodal divisors, i.e., P is rationally equivalent to Q . This proves Lemma 4.25 when the maximal σ_0 -comb is pinned in R . For the general case, there exists a useful chain of rational curves parametrizing the family of maximal σ_0 -combs by pushing all vertical maximal curves into the scroll R by Hypothesis 4.8. \square

Proposition 4.26. *In Situation 4.12, assume that Hypothesis 4.8 and 4.9 hold. Let σ_0, σ_∞ be two (g) -free sections of $\pi : X \rightarrow C$. Let T_0 , resp. T_∞ be the unique irreducible component of $\Sigma(X/C/k)$ containing σ_0 , resp. σ_∞ as a smooth point. Then there exists an irreducible open subset $T \subset \text{Sec}(\text{Chn}_2(X/C, m\theta)/C)$ satisfying the following:*

- (1) T parametrizes a family of transversal chains of m maximal scrolls;
- (2) $ev_{0,\infty}|_T : T \rightarrow \text{Sec}(X/C) \times \text{Sec}(X/C)$ dominates $T_0 \times T_\infty$;
- (3) For each τ in T , $ev_i \circ \tau : C \rightarrow X$ gives a free section for $i = 1, \dots, m-1$.



Proof. Consider the following commutative diagram.



By [dJHS11] Lemma 4.16, Proposition 4.15 and Lemma 4.12, there exists a variety T parametrizing free sections of $Chn_2 : V \rightarrow C$ and a dominant morphism $T \rightarrow T_0 \times T_\infty$, such that the above diagram commutes.

Since T parametrizes free sections and $ev_i : \text{Chn}_2(X/C, m\theta) \rightarrow X$ is smooth, (3) follows from [HS05] Lemma 3.6.

Finally, it suffices to show that a general section $\tau : C \rightarrow \text{Chn}_2(X/C, m\theta)$ in T gives a transversal chain of m maximal scrolls. There exists a simple

normal crossing divisor Δ in $\text{Chn}_2(X/C, m\theta)$ parameterizing chains of m maximal curves with at least $m + 1$ irreducible components. Since τ is free, a general deformation of τ intersects the boundary strata Δ transversally by [Kol96] II.3.7. \square

Proposition 4.27. *In Situation 4.12, assume that Hypothesis 4.8 and 4.9 hold. Let T_0 , resp, T_∞ be a irreducible component of $\Sigma(X/C/k)$ whose general point parameterizes a (g) -free section of \mathcal{T} -degree e_0 , resp, e_∞ . Let $\text{Porc}^e(X/C/k)_{T_0}$, resp, $\text{Porc}^e(X/C/k)_{T_\infty}$ be the moduli space of porcupines with bodies in T_0 , resp, T_∞ .*

Then there exists an integer E such that for any integer $e \geq E$ there exists a dense open subscheme

$$U \subset \text{Porc}^e(X/C/k)_{T_0} \times_{\alpha_{\mathcal{T}, \text{Pic}_{D/k}^e, \alpha_{\mathcal{T}}}} \text{Porc}^e(X/C/k)_{T_\infty}$$

in which any pair of porcupines (P_0, P_∞) are rationally equivalent in $\Sigma^e(X/C/k)$.

Proof. For a general pair of (g) -free sections $(\sigma_0, \sigma_\infty)$, by Proposition 4.26, there is a transversal chain of m maximal scrolls connecting them. Let R_1, \dots, R_m be the maximal scrolls and let $\sigma_1, \dots, \sigma_{m-1}$ be the intermediate sections. Let N_i be the integer as in Lemma 4.25 for the pair $(R_i, \sigma_{i-1}, \sigma_i)$. Choose $E = \max\{e_0, e_\infty\} + 2g(D) + r \sum_{i=1}^m N_i$. For any integer $e \geq E$, let P_0 be a general porcupine of \mathcal{T} -degree e with the body σ_0 . By Lemma 4.23, P_0 is rationally equivalent to a general simple σ_0 -comb Q_0 such that

the teeth are the union of $N_1 + \cdots + N_m$ general maximal curves and lines. By Lemma 4.25, there exists a useful chain connecting the sub- σ_0 -comb of Q_0 with the teeth N_1 -maximal curves and a simple σ_1 -comb. The remaining teeth of Q_0 deform along the rational chain by Hypothesis 4.8. Therefore P_0 is rationally equivalent to a simple σ_1 -comb P'_1 with at least $N_2 + \cdots + N_m$ maximal curves. We can continue by applying Lemma 4.25 until we get a simple σ_∞ -comb P'_∞ . By Lemma 4.23 again, P'_∞ is rationally equivalent to a general porcupine P_∞ having the body σ_∞ and the same Abel image as P_0 . \square

Corollary 4.28. *In Situation 4.12, assume that Hypothesis 4.8 and 4.9 hold. Let $(Z_e)_{e \geq e_0}$ be the sequence of irreducible components of $\Sigma(X/C/k)$ defined in (4.18). Then $(Z_e)_{e \geq e_0}$ is a pseudo Abel sequence for $X/C/k$.*

Proof. By Lemma 4.17 and Proposition 4.19, it suffices to show that the sequence satisfies condition (3) of the pseudo Abel sequence. Let σ be a (g) -free section. By Proposition 4.27, the porcupine obtained by attaching sufficiently many quills is rationally equivalent to a porcupine in Z_e . Since useful chains does not leave Z_e , it lies in Z_e . \square

4.4 Twisting Maximal Scrolls and the Abel Sequence

In this section, let $X/C/k$ and \mathcal{T} be as in Notation 4.12. Let $\xi : C \rightarrow \overline{M}_{0,1}(X/C, \theta)$ be a 1-morphism. This is equivalent to a family of pointed rational maximal curves over C as the following.

$$\begin{array}{ccc}
 R & \xrightarrow{ev} & X \\
 \sigma \uparrow & \downarrow p & \swarrow \pi \\
 & C &
 \end{array}$$

Let D be the divisor $\sigma(C)$ in R .

Definition 4.29. The 1-morphism $\xi : C \rightarrow \overline{M}_{0,1}(X/C, \theta)$ is a *m-twisting maximal scroll* if the pair (R, D) determined by ξ satisfies the following properties:

- (1) R is a maximal scroll in X ;
- (2) The sheaf $\mathcal{O}_R(D)$ is globally generated and non-special;
- (3) The normal bundle $N_{R/X}$ is globally generated and non-special;
- (4) For every divisor Γ on C of degree $\leq m$, $H^1(R, N_{R/X}(-D - A)) = 0$.

When $m = 2$, we say that ξ is *very twisting maximal scroll*.

Proposition 4.30 ([Sta10] Lemma 7.3). *The 1-morphism $\xi : C \rightarrow \overline{M}_{0,1}(X/C, \theta)$ is a m -twisting maximal scroll if and only if it satisfies the following:*

- (1) $\xi(C)$ intersects the boundary divisor of $\overline{M}_{0,1}(X/C, \theta)$ transversally;
- (2) The sheaf $p_*\mathcal{O}_R(D)$ is globally generated and non-special;
- (3) The composition $ev \circ \xi : C \rightarrow X$ is a free section;
- (4) The sheaf $\xi^*T_{ev} \otimes_{\mathcal{O}_C} \mathcal{O}_C(-\Gamma)$ is globally generated and non-special for every divisor Γ on C of degree $\leq m$.

When $g(C) = 0$, condition (2) is equivalent to that ξ^*T_{Φ} is globally generated and non-special. □

Definition 4.31. Let Y be a projective homogeneous space over algebraically closed field of characteristic zero. A maximal scroll $\zeta : \mathbb{P}^1 \rightarrow \overline{M}_{0,1}(Y, \theta)$ is *very twisting* if the induced morphism $\mathbb{P}^1 \rightarrow \overline{M}_{0,1}(Y \times \mathbb{P}^1/\mathbb{P}^1, \theta)$ is very twisting.

A very twisting maximal scroll in Y is *wonderful* if both sheaves $p_*\mathcal{O}_R(D)$ and $p_*N_{R/X \times \mathbb{P}^1}$ are ample.

Lemma 4.32 (Lemma 12.8 in [dJHS11]). *Let Y be a projective homogeneous space over algebraically closed field of characteristic zero. If Y has a very twisting maximal scroll, then there exist wonderful m -twisting maximal scrolls for arbitrary $m \geq 0$.* □

Lemma 4.33. *In Situation 4.12, assume that Hypothesis 4.8 holds. Every section is penned in a maximal scroll in X/C .*

Proof. Let σ be a section of $\pi : X \rightarrow C$. Consider the following Cartesian diagram.

$$\begin{array}{ccc} \overline{M}_{0,1}(\sigma, \theta) & \longrightarrow & \overline{M}_{0,1}(X/C, \theta) \\ ev' \downarrow & & ev \downarrow \\ C & \xrightarrow{\sigma} & X \end{array}$$

By hypothesis 4.8, $\overline{M}_{0,1}(\sigma, \theta)$ is smooth over C with rationally connected geometric fibers. By [GHS03], there exists a section $\xi : C \rightarrow \overline{M}_{0,1}(X/C, \theta)$. By attaching sufficiently many very free curves in the fiber of ev' on ξ , a general deformation of the comb parametrizes a free section and thus intersects the boundary strata Δ transversally by [Kol96] II.3.7. \square

Proposition 4.34. *In Situation 4.12, assume that Hypothesis 4.8, 4.9 and 4.10 hold. Let $(Z_e)_{e \geq e_0}$ be the pseudo Abel sequence in Corollary 4.28. For every $e \geq e_0 \gg 0$, the irreducible component Z_e contains a section σ which is penned in a very twisting maximal scroll.*

Proof. Let σ be a free section in Z_{e_0} . By Lemma 4.33, σ is penned in a maximal scroll R in X/C which corresponds to a 1-morphism $\rho : C \rightarrow \overline{M}_{0,1}(X/C, \theta)$. Deforming ρ a little bit, we may assume that a general pointed rulings of R_t is contained in the dense open subset of $\overline{M}_{0,1}(X/C, \theta)$ swept out by a fixed wonderful very twisting maximal scroll g in some fiber of π , cf., [dJHS11] Lemma 12.9.

Now there are arbitrarily many wonderful very twisting scrolls $g_{t_i} : \mathbb{P}^1 \rightarrow \overline{M}_{0,1}(X_{t_i}, \theta)$ such that $g_{t_i}(0) = \rho(t_i)$ and they are algebraically equivalent to g . Gluing g_{t_i} 's on ρ at $\rho(t_i)$'s, we construct a comb $C \cup \cup_i g_{t_i} \rightarrow \overline{M}_{0,1}(X/C, \theta)$. By Lemma 12.11 in [dJHS11] and the standard comb smoothing argument, there exists r_0 , for any $t \geq t_0$, after attaching r wonderful very twisting scrolls, a general point smoothing ξ of the comb corresponds to a very twisting maximal scroll in X/C . If the \mathcal{T} -degree of the section σ_g in the wonderful scroll g is d , the section σ_ξ in the maximal scroll ξ is of \mathcal{T} -degree $e_0 + td$. Since the sections in g_{t_i} 's are free rational curves in X_{t_i} , the section σ_ξ lies in Z_{e_0+rd} . This proves the proposition when $e = e_0 + rd$.

The general case follows by repeating the above argument for sections in $Z_{e_0+1}, \dots, Z_{e_0+d-1}$. □

Corollary 4.35. *Notations and assumptions are as in Proposition 4.34. Let $C_{e+r,\theta}$ be the moduli space of maximal combs with exactly one tooth and with the bodies in Z_e . Then a general maximal comb in $C_{e+r,\theta}$ is penned in a very twisting maximal scroll for $e \gg 0$.*

Proof. By Proposition 4.34, choose $e \gg 0$ such that a general point of Z_e is penned in a very twisting maximal scroll. It suffices to show that a deformation of combs in $C_{e+r,\theta}$ can be followed by a deformation of twisting maximal scrolls penning the combs. This follows from $H^1(R, N_{R/X}(-\sigma - R_q)) = 0$. □

Theorem 4.36. *In Situation 4.12, assume that Hypothesis 4.8, 4.9 and 4.10 hold. For $e_0 \gg 0$, the pseudo Abel sequence in Corollary 4.28 is an Abel*

sequence for $X/C/k$.

Proof. By Corollary 4.28, it suffices to show that for any $e \geq e_0 \gg 0$, the extended Abel map

$$\alpha : Z_e \rightarrow \text{Pic}_{D/k}$$

has rationally connected geometric generic fibers. Since the target is an abelian variety, we do not worry about the rationally equivalent classes leaving the fiber of the Abel map.

We choose an integer e_0 such that for any $e \geq e_0$, Corollary 4.35 holds. For any $e \geq e_0 + r$, there exists an open $U_{e,\theta} \subset C_{e,\theta}$ such that every comb is pinned in a very twisting maximal scroll. By Lemma 12.5 in [dJHS11], every comb in $U_{e,\theta}$ is rationally equivalent to a point in the interior of Z_e . Since $U_{e,\theta}$ is of codimension one in Z_e , a general point of Z_e is rationally equivalent to a general point of $U_{e,\theta}$.

Similarly, if $e \geq e_0 + 2r$, a general point Z_{e-r} is rationally equivalent to a general point in $C_{e-r,\theta}$. Also note that the forgetting-tooth map $C_{e,\theta} \rightarrow Z_{e-r} \times C$ has rationally connected geometric fibers by Hypothesis 4.8. Thus a general point in $C_{e,\theta}$ is rationally equivalent to a general point in $C_{e,2\theta}$, i.e. a general maximal comb with exactly two quills.

For any $i = 0, \dots, r-1$ and for any $d \geq 0$, let $e = e_0 + i + dr$. By repeating the argument above, a general point in Z_e is rationally equivalent to a general point in $C_{e,d\theta}$ with body in Z_{e_0+i} .

By the proof of Proposition 4.27, for each i , there exists E_i such that two

general points in $C_{e,d\theta}$ with the same Abel images are rationally equivalent if $d > E_i$.

Let $E = \max_i\{E_i\}$. For any $e > e_0 + rE$, given two general points in Z_e with the same Abel images, each of them is rationally equivalent to a general point in $C_{e,d\theta}$. From previous paragraph, they are rationally equivalent in Z_e . \square

Proof of Theorem 4.11. By [Sta10] Lemma 4.11, we may assume that K is uncountable and algebraically closed. Now we are in Situation 4.12. By Proposition 3.18 and 3.23, Hypotheses 4.8 and 4.9 hold. By Theorem 3.17, Hypothesis 4.10 holds. Therefore by Theorem 4.36, there exists an Abel sequence for $X/C/K$. \square

Chapter 5

Proof of the Main Theorem

5.1 On Discriminant Avoidance

Let k be an algebraically closed field of arbitrary characteristic. Let S be a k -variety of dimension d . Let K be the function field of S . Let X be a smooth projective Fano k -variety and U be its universal torsor over X . Let r be the Picard number of X . Since k is algebraically closed, U is a $(\mathbb{G}_m)^r$ -torsor over X and U exists unique up to isomorphism. We consider the following question.

Question 5.1. *Given $p : \mathcal{X} \rightarrow S$ an isotrivial family of X over S with the vanishing of the elementary obstruction on the generic fiber, is there a rational section?*

By Proposition 2.3, the vanishing of the elementary obstruction is equivalent to the existence of the universal torsor of \mathcal{X}_K . After shrinking the base

S to an open subset, the above question is equivalent to the following.

Question 5.2. *Given $(p : \mathcal{X} \rightarrow S, \mathcal{U})$ an isotrivial family of (X, U) over k , is there a rational section?*

Let G be the automorphism group of the pair (X, U) over k . The group scheme G has T -valued points which are the pairs (ϕ, α) , where $\phi : X_T \rightarrow X_T$ is an automorphism of schemes over T and $\phi : \phi^*U \rightarrow U$ is an isomorphism of $(\mathbb{G}_m)^r$ -torsors.

The question 5.2 gives $(p : \mathcal{X} \rightarrow S, \mathcal{U})$, which is an isotrivial family of the pair (X, U) over S . It is natural to associate the pair with a G -torsor over S . Consider the functor that the T -valued points over S are the set of pairs (ϕ, α) , where $\phi : \mathcal{X}_T \rightarrow \mathcal{X}_T$ is an automorphism of schemes over T and $\phi : \phi^*\mathcal{U} \rightarrow \mathcal{U}$ is an isomorphism of $\text{Hom}_T(R^1p_{T*}\mathbb{G}_m, \mathbb{G}_{m,T})$ -torsors.

Lemma 5.3. *If S is reduced, the functor is representable by a scheme \mathcal{T} over S and \mathcal{T} is a G -torsor over S by post-composing.*

Proof. Since every G -torsor over S is affine, it suffices to prove the representability of the functor fppf locally by the descent of affine group schemes. First we will show that the pair $(p : \mathcal{X} \rightarrow S, \mathcal{U})$ is fppf locally isomorphic to the constant family.

By taking an étale neighborhood V , we may assume that the pullback of the torsor \mathcal{U} is a \mathbb{G}_m^r -torsor over \mathcal{X}_V . Thus the relative character lattice is isomorphic to $\mathbb{Z}^r \times V$. We can choose a basis L_1, \dots, L_r of the relative character lattice such that each L_i corresponds to a very ample line bundle

(\mathbb{G}_m -torsor) over $\mathcal{X}|_V$. Now by the Hilbert scheme trick used in the proof of Lemma 2.2.1 in [SdJ10], after a flat base change, the pairs $(\mathcal{X}|_V, L_i)$ are constant families. So is the pair $(\mathcal{X}|_V, \mathcal{U}|_V)$.

This implies that the functor restricted on V is just $\text{Isom}_V((X_V, U_V), (X_V, U_V))$ and U_V is a $(\mathbb{G}_m)^r$ -torsor over X_V . Since X is Fano, we know that $\text{Aut}(X)$ is represented by a linear algebraic group. Thus $\text{Isom}_V((X_V, U_V), (X_V, U_V))$ is represented by the scheme $G \times V$. This proves the lemma. \square

Lemma 5.4. *Given a G -torsor \mathcal{T} over S , we can associate a pair $(p : \mathcal{X} \rightarrow S, \mathcal{U})$ where \mathcal{U} is a relative universal torsor over \mathcal{X} .*

Proof. The morphism $\mathcal{T} \rightarrow S$ is fppf. It suffices to descent the constant family $(X, U) \times \mathcal{T}$ to S . First we will descent the isotrivial family of X . Since such family has a natural polarization, the anti-canonical polarization, it is easy to check that the polarized family descends to S . Similarly, we can descent the relative Picard scheme and the torsor under the relative Picard scheme to S by [BLR90] Chapter 5 Section 6 on the descent of group schemes and torsors. The new torsor being universal follows from the universality of the constant family, cf., [Sko01] Proposition 2.2.4. \square

Theorem 5.5. *If $G = \text{Aut}(X, U)$ is geometrically reductive, then Question 5.2 can be reduced to the projective base case.*

Remark 5.6. This is called *discriminant avoidance*, which is studied by de Jong and Starr [SdJ10] for isotrivial families of Picard number 1. For vari-

eties of higher Picard numbers, it is natural to replace ample generating line bundles in their setting by universal torsors. The latter gives a cohomological obstruction to the existence of rational points.

Proof. By the above two lemmas, we get a one-to-one correspondence between isotrivial families $(p : \mathcal{X} \rightarrow S, \mathcal{U})$ and G -torsors over S when S is reduced. The remaining part is exactly the same as the proof of Theorem 2.1.3 in [SdJ10]. \square

The following Lemma gives a description of $G = \text{Aut}(X, U)$.

Lemma 5.7. *If X is Fano, then $G = \text{Aut}(X, U)$ is an extension of \mathbb{G}_m^r and $\text{Aut}(X)$, where $\text{Aut}(X)$ is a linear algebraic group. In particular, if $\text{Aut}(X)$ is geometrically reductive, G is geometrically reductive.*

Proof. Since X is Fano, we can choose a large multiple of the anticanonical bundle to embed X into a projective space. Thus $\text{Aut}(X)$ is a linear subgroup of $PGL(N)$. There is a left exact sequence of linear algebraic groups, where $\text{Aut}_X(X, U)$ is the kernel of the forgetful map.

$$1 \longrightarrow \text{Aut}_X(X, U) \longrightarrow \text{Aut}(X, U) \xrightarrow{F} \text{Aut}(X)$$

By [Bri10] Lemma 4.1, $\text{Aut}_X(X, U)$ is isomorphic to the group $\text{Hom}(X, \mathbb{G}_m^r)$. Since X is projective, $\text{Hom}(X, \mathbb{G}_m^r) \cong \mathbb{G}_m^r$.

It suffices to show that the forgetful map F is surjective. For any automorphism ϕ of X , the pullback ϕ^*U is again a universal torsor. The universal

torsor is unique up to isomorphism over X when k is algebraically closed.

We can choose any isomorphism between ϕ^*U and U . □

Corollary 5.8. *The discriminant avoidance holds for isotrivial families of Fano varieties if the automorphism group of the fiber is geometrically reductive.* □

5.2 Proof of the Main Theorem

Lemma 5.9. *Let X be a projective homogeneous space defined over a field K . Assume that the elementary obstruction vanishes and the Picard number of X is greater than one. Then there exists a smooth morphism,*

$$X \xrightarrow{u} Y \longrightarrow \text{Spec } K$$

such that Y is a projective homogeneous space of Picard number one with the vanishing elementary obstruction. Furthermore, if Y admits a rational point p , then the fiber $u^{-1}(p)$ is a smooth projective homogeneous space with the vanishing elementary obstruction.

Proof. Let Γ be the Galois group of the field K . When the elementary obstruction of X vanishes, by [CTS87a] Proposition 2.25, $\text{Pic}(X)$ is isomorphic to $\text{Pic}(\overline{X})^\Gamma$. Thus by assumption the rank of $\text{Pic}(\overline{X})^\Gamma$ is greater than one. By Lemma 4.2, $\text{Pic}(\overline{X})$ is a permutation Γ -module with a canonical Γ -invariant basis $\mathcal{L}_1, \dots, \mathcal{L}_r$. We can choose a Γ -orbit in the basis, denoted

by $\mathcal{L}_1, \dots, \mathcal{L}_b$. Since $\mathcal{L} = \mathcal{L}_1 + \dots + \mathcal{L}_b$ is Γ -invariant, the line bundle \mathcal{L} is globally generated and defined over K . The linear system $|\mathcal{L}|$ gives the morphism $u : X \rightarrow Y$. It is clear from the construction that u is smooth and Y is a projective homogeneous space and of Picard number one. The vanishing of the elementary obstruction of Y follows from [Wit08] Lemma 3.1.2.

Let \overline{X} be the base change of X to the algebraic closure. A universal torsor on \overline{X} is isomorphic to a \mathbb{G}_m^r -torsor $\mathcal{L}_1 \times \dots \times \mathcal{L}_r$ which is unique up to isomorphism. The vanishing of the elementary obstruction is equivalent to that the universal torsor on \overline{X} descends to X , cf., [Sko01] Proposition 2.2.4. Let \mathcal{T} be the universal torsor on X and \mathcal{T}_p be the restriction of \mathcal{T} on $Z = u^{-1}(p)$. By functoriality of the restriction, $\mathcal{T}_p \times_K \overline{K}$ is the same as $\mathcal{T} \times_K \overline{K}|_{\overline{Z}}$. The latter term is just $\mathcal{L}_1 \times \dots \times \mathcal{L}_r|_{\overline{Z}}$. It is easy to see that the restriction gives a product of a trivial \mathbb{G}_m^b -torsor and the universal torsor on \overline{Z} . Therefore the elementary obstruction of Z vanishes. \square

Lemma 5.10. *Let X be a projective homogeneous space G/P over an algebraically closed field of characteristic zero. Then the connected component of the automorphism group $\text{Aut}(X)$ is reductive.*

Proof. Since X is Fano, the automorphism group is a linear algebraic group. Let R be the solvable radical of the connected component of $\text{Aut}(X)$. The solvable group R naturally acts on X . By the Borel fixed point theorem [Bor91] III.10.4, there exists a fixed point x of R . Let L_g be the automorphism

of the left translation on X by an element of $g \in G$, which clearly lies in the connected component of $\text{Aut}(X)$. For any closed point y in X , there exists $g \in G$ such that $L_g(y) = x$. For every element φ in R , since R is normal, $L_g \circ \varphi \circ L_{g^{-1}}$ lies in R . Thus we have

$$L_g(\varphi(y)) = (L_g \circ \varphi \circ L_{g^{-1}})(L_g(y)) = (L_g \circ \varphi \circ L_{g^{-1}})(x) = x = L_g(y).$$

Thus φ fixes y , i.e. φ fixes every point in X . This implies that the solvable radical R is trivial. \square

Theorem 5.11. *Let k be an algebraically closed field of arbitrary characteristic. Let S be an algebraic surface over k . Let X be a projective homogeneous space defined over the function field $k(S)$. If the elementary obstruction of X vanishes, then there exists a $k(S)$ -rational point.*

Proof. By [dJHS11] Lemma 16.3, it suffices to prove the theorem in characteristic zero. By Lemma 5.9 and induction on the Picard number, it suffices to prove the case when the Picard number of X is one. Let $\pi : \mathcal{X} \rightarrow U$ be an integral model of X , where U is a dense open subset of S . After shrinking U , we may assume that π is smooth and the relative universal torsor exists. By the method of discriminant avoidance, cf., Lemma 5.10 and Corollary 5.8, we may assume that $U = S$ is projective.

After blowing up the base points of a Lefschetz pencil of S , we have the right column of the following diagram. When taking the base change to the generic point of \mathbb{P}^1 , we have the left column of the following Cartesian

diagram.

$$\begin{array}{ccc}
 X & \longrightarrow & \mathcal{X} \\
 \pi \downarrow & & \downarrow \\
 C & \longrightarrow & S \\
 \downarrow & & \downarrow \\
 k(\mathbb{P}^1) & \longrightarrow & \mathbb{P}^1
 \end{array}$$

Let K be the field $k(\mathbb{P}^1)$. Now we are in Situation 4.1. By Theorem 4.11, there exists an Abel sequence $(Z_e)_{e \geq e_0}$ for $X/C/K$. Thus the Abel map $\alpha : Z_e \rightarrow \text{Pic}_{D/K}^e$ is surjective with integral rationally connected geometric generic fiber for $e \gg 0$. Since the exceptional curves on S give the constant sections of $S \rightarrow \mathbb{P}^1$, there exist rational points on $\text{Pic}_{C/K}^e$ for every integer $e > 0$. By pullback to D , there exist rational points on $\text{Pic}_{D/K}^{re}$ for every $e > 0$, where r is the geometric Picard number of X . When $e \gg 0$ and divisible by r , the fiber of the Abel map over a rational point of $\text{Pic}_{D/K}$ is integral rationally connected defined over K . By [GHS03], there exists a K -rational point on the coarse moduli space of Z_e . By [dJHS11] Lemma 13.3, we win. \square

Lemma 5.12 (Starr). *Let K be a field. Let G be a quasisplit adjoint semisimple group defined over K . If a G -torsor admits a reduction to a Borel subgroup, then it is trivial.*

Proof. Let $\text{Won}(G)$ be the wonderful compactification of G . For any G -torsor \mathcal{T} , we can twist $\text{Won}(G)$ by \mathcal{T} using the right \mathcal{T} -action to get a wonderful compactification $\text{Won}(\mathcal{T})$ of \mathcal{T} . The unique closed $G \times G_{\mathcal{T}}$ -orbit (where

$G_{\mathcal{T}} = \text{Isom}_G(\mathcal{T}, \mathcal{T})$ is the \mathcal{T} -twisted inner form of G) is then $G/B \times \mathcal{T}/B$, where \mathcal{T}/B parameterizes reductions of structure groups of \mathcal{T} to a Borel. Since \mathcal{T} has a reduction of structure to a Borel, then \mathcal{T}/B has a K -point. Thus the closed subscheme $G/B \times \mathcal{T}/B$ has a K -point s_0 . Now, using Hensel's lemma, take a formal deformation of this K -point of $\text{Won}(\mathcal{T})$ to a $K[[x]]$ -point s whose generic fiber s_η is in the interior \mathcal{T} of $\text{Won}(\mathcal{T})$. Since the pullback of \mathcal{T} to $\text{Spec } K((x))$ has the rational point s_η , the pullback torsor is trivial. Thus, by Serre-Grothendieck conjecture over DVR [Nis84], the pullback of \mathcal{T} is trivial over $\text{Spec } K[[x]]$. By restricting to the closed point $\text{Spec } K$, the original torsor \mathcal{T} is trivial. \square

Proof of Corollary 1.5. Since G is quasisplit, there exists a Borel subgroup B defined over $k(S)$. For any G -torsor E , we define the twisted full flag $k(S)$ -varieties E/B . The elementary obstruction of E/B vanishes by [Gil10] Lemma 6.4 and [BCTS08] Lemma 2.2 (vi). Thus Theorem 1.4 implies that the torsor E admits a reduction to B .

Let Z be the center of G . Let $G' = G/Z$ be the adjoint form of G . For any G -torsor \mathcal{T} , by the first paragraph, the induced G' -torsor \mathcal{T}' admits a reduction to $B' = B/Z$. By Lemma 5.12, \mathcal{T}' is a trivial G' -torsor. Thus by long exact sequence of Galois cohomology, the torsor \mathcal{T} admits a reduction to the center Z . \square

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