

Invariants of Real k -planes in $\mathbb{R}^{2n}$ under the Standard Action of $U(n)$

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Stewart Mandell

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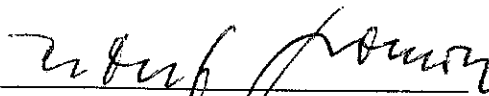
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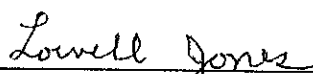
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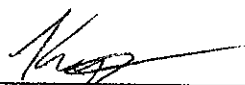
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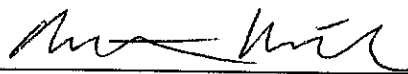
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In our main result, we describe an explicit set of generators for invariants of real k -planes in R^{2n} under the action of the unitary group $U(n)$.

We build on the seminal work of Herman Weyl where an invariant theory is developed for the classical groups, $SL(n)$, $O(n)$, $SP(2n)$, see [Weyl46].

For each irreducible submodule in the $GL(n)$ module, $S^k(S^2(R^n))$, there is exactly one $SO(n)$ invariant which we describe explicitly. We call these “primitive” $SO(n)$ invariants of $S^k(S^2)$. They are generated by Gram determinants.

Similarly, for each irreducible of the $GL(2n)$ module $S^k(\Lambda^2(R^{2n}))$, we

describe its one $SP(2n)$ invariant. These are generated by Pfaffian analogs of the Gram determinant.

Next we translate what we mean by polynomial invariants of k -planes into the language of representations on vector spaces. They correspond to homogeneous polynomials associated to certain irreducibles of rectangular Young diagrams with k rows, q columns.

The standard action of $U(n)$ on R^{2n} is the same as $SO(2n) \cap SP(2n)$. Using Capelli's identity and a geometric argument, we prove that all invariants for this action are generated by the orthogonal and symplectic products, $\langle , \rangle$ and $[,]$. The polynomial algebra of invariants is thus $S^*(S^2) \otimes S^*(\Lambda^2)$.

Using the Littlewood–Richardson Rule, we prove that given any subdiagram S_λ of the rectangular Young diagram S_{q^k} on k rows, q columns, then S_{q^k} appears as an irreducible of multiplicity 1, in the module $S_\lambda \otimes S_\mu$, where S_μ is the complement of S_λ in S_{q^k} rotated 180 degrees.

This leads to an explicit set of generators for the standard action of $U(n)$ on real k -planes.

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Chapter 1

Introduction

We give an overview of the main facts from invariant theory referring the reader to [FH91] for details. Our field is the real numbers R although the following holds for any field of characteristic 0.

Irreducible representations of the symmetric group, S_d , are in 1-1 correspondence with partitions of the number d . We represent partitions of d by sequences $\lambda = (\lambda_1, \dots, \lambda_k)$ with $d = \lambda_1 + \dots + \lambda_k$ and $\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_k > 0$. k is called the length of the partition. To each partition, we have its associated Young diagram, with λ_i boxes in the i th row, counting from top down, rows lined up on the left. We denote the partition with rectangular diagram consisting of k rows and q columns by (q^k) . A Young tableaux is a diagram filled with the numbers $1 \dots d$ in some order. A standard tableaux is one in which the entries in each row and column form a strictly increasing sequence. A Young symmetrizer c_λ is the element of the group algebra $R[S_d]$ obtained by symmetrizing the rows and then alternating the columns of a Young tableaux. Each $R[S_d]$ module $R[S_d] \cdot c_\lambda$ appears as an irreducible representation of S_d in its group algebra. The c_λ are the projectors onto irreducible components. Rearrangements of elements in the tableaux give isomorphic representations which we denote generically as S_λ . Both the multiplicity and the dimension of the irreducible

component S_λ in $R[S_d]$ are equal to the number of standard tableaux of shape λ .

If S_λ and S_μ are irreducible representations of S_{d_1} and S_{d_2} respectively, we have a representation of $S_{d_1+d_2}$ which we denote, $S_\lambda \otimes S_\mu$, induced from the external tensor product representation $S_\lambda \boxtimes S_\mu$ of $S_{d_1} \times S_{d_2}$. $S_\lambda \otimes S_\mu$ decomposes as $S_\lambda \otimes S_\mu = \sum_\nu N_{\lambda\mu}^\nu S_\nu$ where the irreducible component S_ν of S_{m+n} appears in $S_\lambda \otimes S_\mu$ with multiplicity $N_{\lambda\mu}^\nu$. $N_{\lambda\mu}^\nu$ can be computed explicitly by the Littlewood–Richardson rule.

Let V be any $GL(n)$ module. $GL(n)$ acts on the left on $V^{\otimes d}$ by $g \cdot (v_1 \otimes \cdots \otimes v_d) = g \cdot v_1 \otimes \cdots \otimes g \cdot v_d$, while S_d acts on right by $(v_1 \otimes \cdots \otimes v_d) \cdot \sigma = v_{\sigma^{-1}(1)} \otimes \cdots \otimes v_{\sigma^{-1}(d)}$ for $\sigma \in S_d$, and these actions clearly commute. In particular we have a $GL(n)$ module $V^{\otimes d} \cdot c_\lambda$ called the Weyl module V_λ .

When $V = R^n$, there is a 1-1 correspondence $S_\lambda \Leftrightarrow V_\lambda$, between irreducible components of the $GL(n)$ module $V^{\otimes d}$ and those irreducible components of S_d corresponding to partitions of d with length $\leq n$. V_λ appears in $V^{\otimes d}$ with multiplicity equal to the number of standard tableaux of shape λ . The dimension of V_λ is the number of semi-standard tableaux of shape λ , e.g. all possible fillings of its diagram with entries from the set $\{1, \dots, n\}$ with rows weakly and columns strictly increasing.

Important examples are $V_{(d)} = S^d(V)$, the symmetric product, and $V_{(1^d)} = \Lambda^d(V)$, the wedge product.

More formally $V^{\otimes d} = \sum_\lambda V_\lambda \otimes S_\lambda$ for all partitions λ of d where V_λ , S_λ are irreducible components of $GL(n)$, S_d respectively.

All irreducible $GL(n)$ modules are of the form $\det^a V_{(\lambda_1, \dots, \lambda_n)}$, for a an integer and all sequences $\lambda = (\lambda_1, \dots, \lambda_n)$ with $\lambda_1 \geq \cdots \geq \lambda_n \geq 0$.

Each irreducible $GL(n)$ module is generated by a unique highest weight vector, e.g. an eigenvector for diagonal $GL(n)$ elements, which is annihilated by upper triangular

$gl(n)$ elements. Here $gl(n)$ acts on $V^{\otimes d}$ via the Leibnitz rule.

$$g \cdot (v_1 \otimes v_2 \otimes \cdots \otimes v_d) = g \cdot v_1 \otimes v_2 \otimes \cdots \otimes v_d + v_1 \otimes g \cdot v_2 \otimes \cdots \otimes v_d + \cdots + v_1 \otimes v_2 \otimes \cdots \otimes g \cdot v_d$$

For $V = R^n$ and λ, μ partitions of d_1 and d_2 respectively, of length $\leq n$, the $GL(n)$ module $V_\lambda \otimes V_\mu \subset V^{\otimes(d_1+d_2)}$ decomposes as $V_\lambda \otimes V_\mu = \sum_\nu N_{\lambda\mu}^\nu V_\nu$ where ν are partitions of d_1+d_2 of length $\leq n$ and $N_{\lambda\mu}^\nu$ are the Littlewood–Richardson coefficients.

There is another important operation on $GL(n)$ Weyl modules called plethysm, $V_\lambda \circ V_\mu$. Since V_μ is a $GL(n)$ module we can define the $GL(n)$ Weyl module $V_\lambda(V_\mu) \subset V_\mu^{\otimes d_1}$.

When $V = R^n$, V_μ is a $GL(n)$ submodule of $V^{\otimes d_2}$, and $V_\lambda(V_\mu)$ becomes a $GL(n)$ submodule of $V^{\otimes d_1 d_2}$. This will decompose into irreducible components $V_\lambda \circ V_\mu = \sum_\nu M_{\lambda\mu}^\nu V_\nu$ where ν are partitions of $d_1 d_2$ of length $\leq n$. Unfortunately, there is no general method for computing the coefficients, $M_{\lambda\mu}^\nu$, and the plethysm problem is solved for only special cases. We will be interested in the examples $V_{(k)} \circ V_{(2)} = S^k(S^2)$ and $V_{(k)} \circ V_{(1,1)} = S^k(\Lambda^2)$.

For H a group acting on V , a polynomial invariant of k vectors $v_1, \dots, v_k$ in V is a polynomial $P(v_1, \dots, v_k)$ invariant under the action of H : $P(h \cdot v_1, \dots, h \cdot v_k) = P(v_1, \dots, v_k) \quad \forall h \in H$. The H invariant polynomials on V form an algebra. For finite, compact Lie, and the classical groups, the algebra of invariants is finitely generated, see [Weyl46]. Describing an explicit set of generators is called a First Fundamental Theorem (FFT). In [Weyl46], an FFT is given for the standard representation for all the classical groups, but in general, this is a difficult problem whose solution is known in some special cases.

We note that the algebra of polynomial invariants of a compact Lie group acting on R^n will distinguish orbits, see [Schwarz75]. An analogous result will hold for Grassmannians, where polynomial invariants are homogeneous coordinates.

Chapter 2

$SO(n)$ invariants of $S^k(S^2)$

We show that for each irreducible component of the $GL(n)$ module $S^k(S^2R^n)$, there is exactly one $SO(n)$ invariant, which we describe explicitly in terms of Gram determinants.

2.1 Decomposition of $S^k(S^2)$ via $GL(n)$

According to [Howe89], the decomposition of $S^k(S^2)$ as a $GL(n)$ module, consists of irreducible components, each occurring with multiplicity 1, corresponding to Young diagrams of size $2k$ with rows of even length. Highest weight vectors for the irreducible components corresponding to Young diagrams with 2 elements in each row, are given by

$$\delta_1 \equiv x_{11}, \quad \delta_2 \equiv \det \begin{vmatrix} x_{11} & x_{12} \\ x_{21} & x_{22} \end{vmatrix}, \quad \delta_3 \equiv \det \begin{vmatrix} x_{11} & x_{12} & x_{13} \\ x_{21} & x_{22} & x_{23} \\ x_{31} & x_{32} & x_{33} \end{vmatrix}, \quad \dots, \quad \delta_n$$

where $x_1, \dots, x_n$ is the canonical basis for R^n , x_{ij} is $x_i \cdot x_j$, an element of S^2 , and $\delta_k \in S^k(S^2)$ is the expansion of the determinant with symmetrization replacing multiplication of elements x_{ij} .

These correspond to Young diagrams:

$$S_{(2)} = \bullet\bullet, \quad S_{(2,2)} = \begin{array}{c} \bullet\bullet \\ \bullet\bullet \end{array}, \quad S_{(2,2,2)} = \begin{array}{c} \bullet\bullet \\ \bullet\bullet \\ \bullet\bullet \end{array}, \text{ etc.}$$

Monomials in the δ_i give highest weight vectors for all irreducible components of $S^k(S^2)$ corresponding to Young diagrams of size $2k$ with rows of even length. If $\delta_\lambda = \delta_1^{n_1} \delta_2^{n_2} \cdots \delta_s^{n_s}$ then δ_λ is a highest weight vector for $V_\lambda \subset S^k(S^2)$, where $k = n_1 + 2n_2 + \cdots + sn_s$ and λ is the partition $(\lambda_1, \lambda_2, \cdots, \lambda_s)$ of $2k$.

$$\begin{aligned}\lambda_1 &= 2(n_1 + n_2 + \cdots + n_s) \\ \lambda_2 &= 2(n_2 + \cdots + n_s) \\ &\vdots \\ \lambda_s &= 2n_s\end{aligned}$$

The monomials in δ_λ consist of fully symmetrized monomials in the $x_{ij} \in S^2$, e.g.

$$\delta_1 \delta_2 = x_{11} \cdot x_{11} \cdot x_{22} - x_{11} \cdot x_{12} \cdot x_{12}$$

From the above we clearly have

Theorem 2.1.1 *The irreducible component of $S^k(S^2)$ corresponding to highest weight δ_k is generated by elements of the form*

$$\delta_k(v_1, v_1, v_2, v_2, \dots, v_k, v_k) \equiv \det \left| \begin{array}{cccc} v_1 \cdot v_1 & v_1 \cdot v_2 & \cdots & v_1 \cdot v_k \\ v_2 \cdot v_1 & v_2 \cdot v_2 & \cdots & v_2 \cdot v_k \\ \dots & \dots & \dots & \dots \\ v_k \cdot v_1 & v_k \cdot v_2 & \cdots & v_k \cdot v_k \end{array} \right|$$

where $v_1, \dots, v_k$ are vectors in R^n .

2.2 Primitive $SO(n)$ invariants of $S^k(S^2)$

By the First Fundamental Theorem for the standard action of $SO(n)$ on R^n , see [Weyl46], invariants are polynomials in the orthogonal product, $\langle, \rangle$, e.g. elements in $S^*(S^2)$. Let Γ_{2k} be the element of $S^k(S^2)^*$ defined by

$$\Gamma_{2k}(v_1, v_2, v_3, v_4, \dots, v_{2k-1}, v_{2k}) = \langle v_1, v_2 \rangle \langle v_3, v_4 \rangle \cdots \langle v_{2k-1}, v_{2k} \rangle$$

S_{2k} acts on Γ_{2k} by

$$\sigma \cdot \Gamma_{2k}(v_1, v_2, v_3, v_4, \dots, v_{2k-1}, v_{2k}) = \langle v_{\sigma^{-1}(1)}, v_{\sigma^{-1}(2)} \rangle \cdots \langle v_{\sigma^{-1}(2k-1)}, v_{\sigma^{-1}(2k)} \rangle$$

and all $SO(n)$ invariants of $(R^n)^{\otimes 2k}$ must be linear combinations of the $\sigma \cdot \Gamma_{2k}$.

For (α, β) the natural pairing of $GL(n)$ modules $S^k(S^2)^*$, $S^k(S^2)$ we have

$$(\Gamma_{2k}, \delta_k) = \begin{vmatrix} \langle x_1, x_1 \rangle & \langle x_1, x_2 \rangle & \cdots & \langle x_1, x_k \rangle \\ \langle x_2, x_1 \rangle & \langle x_2, x_2 \rangle & \cdots & \langle x_2, x_k \rangle \\ \cdots & \cdots & \cdots & \cdots \\ \langle x_k, x_1 \rangle & \langle x_k, x_2 \rangle & \cdots & \langle x_k, x_k \rangle \end{vmatrix} = 1$$

and $(\sigma \cdot \Gamma_{2k}, \delta_k)$ for any $\sigma \in S_{2k}$ gives either the same result, up to sign, or 0.

It follows that there is only one $SO(n)$ invariant for the $GL(n)$ module generated by δ_k . Multiplying invariants we see that there is one $SO(n)$ invariant for each irreducible component of $S^k(S^2)$.

Definition 2.2.1 *The unique $SO(n)$ invariants corresponding to irreducible components of $S^k(S^2)$ are called primitive $SO(n)$ invariants of $S^k(S^2)$.*

Theorem 2.2.2 *The primitive $SO(n)$ invariant for the irreducible component of $S^k(S^2)$ with highest weight δ_k , is the Gram determinant. Its value on the element*

$\delta_k(v_1, v_1, v_2, v_2, \dots, v_k, v_k)$ is

$$\gamma_k(v_1, v_1, v_2, v_2, \dots, v_k, v_k) = \begin{vmatrix} \langle v_1, v_1 \rangle & \langle v_1, v_2 \rangle & \cdots & \langle v_1, v_k \rangle \\ \langle v_2, v_1 \rangle & \langle v_2, v_2 \rangle & \cdots & \langle v_2, v_k \rangle \\ \cdots & \cdots & \cdots & \cdots \\ \langle v_k, v_1 \rangle & \langle v_k, v_2 \rangle & \cdots & \langle v_k, v_k \rangle \end{vmatrix}$$

Proof: This is just Γ_{2k} acting on $\delta_k(v_1, v_1, v_2, v_2, \dots, v_k, v_k)$

We use the notation, $\langle v_1, v_1, \dots, v_k, v_k \rangle \equiv \gamma_k(v_1, v_1, \dots, v_k, v_k)$, for the Gram determinant.

Corollary 2.2.3 *The primitive $SO(n)$ invariant for the irreducible component of the $GL(n)$ module $S^k(S^2)$, corresponding to the highest weight vector $\delta_\lambda = \delta_1^{n_1} \cdots \delta_s^{n_s}$ is $\gamma_\lambda = \gamma_1^{n_1} \cdots \gamma_s^{n_s}$*

Chapter 3

$SP(2n)$ invariants of $S^k(\Lambda^2)$

We show that for each irreducible component of $S^k(\Lambda^2 R^{2n})$ as a $GL(2n)$ module, there is exactly one $SP(2n)$ invariant, which we describe explicitly in terms of Pfaffians.

3.1 Decomposition of $S^k(\Lambda^2)$ via $GL(2n)$

The decomposition of $S^k(\Lambda^2)$ as a $GL(2n)$ module, consists of irreducible components, each occuring with multiplicity 1, corresponding to Young diagrams of size $2k$ with columns of even length, see [Howe89].

The following theorem, whose proof is similar to the symmetric case, is well known.

Theorem 3.1.1 *Highest weight vectors for the irreducible components corresponding to Young diagrams with 1 column of even length are given by*

$$\sigma_1 \equiv \text{pfaff} \begin{vmatrix} 0 & y_{12} \\ y_{21} & 0 \end{vmatrix}, \quad \sigma_2 \equiv \text{pfaff} \begin{vmatrix} 0 & y_{12} & y_{13} & y_{14} \\ y_{21} & 0 & y_{23} & y_{24} \\ y_{31} & y_{32} & 0 & y_{34} \\ y_{41} & y_{42} & y_{43} & 0 \end{vmatrix}, \quad \dots, \quad \sigma_n$$

where $x_1, \dots, x_{2n}$ is the canonical basis for R^{2n} , y_{ij} is $x_i \wedge x_j$, an element of Λ^2 , and

pfaff is the analog for tensors in $S^k(\Lambda^2)$ of the usual Pfaffian on skew symmetric matrices. Thus $\sigma_1 = y_{12}$, $\sigma_2 = y_{12} \cdot y_{34} - y_{13} \cdot y_{24} + y_{14} \cdot y_{23}$, etc.

These correspond to Young diagrams

$$S_{(1,1)} = \begin{array}{c} \circ \\ \circ \end{array}, \quad S_{(1,1,1,1)} = \begin{array}{c} \circ \\ \circ \\ \circ \\ \circ \end{array}, \text{ etc.}$$

Monomials in the σ_i give highest weight vectors for all irreducible components of $S^k(\Lambda^2)$ corresponding to Young diagrams on $2k$ with columns of even length. If

$$\sigma_\lambda = \sigma_1^{n_1} \sigma_2^{n_2} \cdots \sigma_s^{n_s}$$

then σ_λ is a highest weight vector for $S^k(\Lambda^2)$, where $k = n_1 + 2n_2 + \cdots + sn_s$ and λ is the partition $(\lambda_1, \lambda_2, \cdots, \lambda_{2s-1}, \lambda_{2s})$ of $2k$.

$$\begin{aligned} \lambda_1, \lambda_2 &= n_1 + n_2 + \cdots + n_s \\ \lambda_3, \lambda_4 &= n_2 + \cdots + n_s \\ &\dots \\ \lambda_{2s-1}, \lambda_{2s} &= n_s \end{aligned}$$

The monomials in σ_λ consist of fully symmetrized monomials in the $y_{ij} \in \Lambda^2$, e.g.

$$\sigma_1 \sigma_2 = y_{12} \cdot y_{12} \cdot y_{34} - y_{12} \cdot y_{13} \cdot y_{24} + y_{12} \cdot y_{14} \cdot y_{23}$$

It follows immediately

Theorem 3.1.2 *The irreducible component of $S^k(\Lambda^2)$ corresponding to highest weight*

σ_k is generated by elements of the form

$$\sigma_k(v_1, v_2, \dots, v_{2k-1}, v_{2k}) \equiv \text{pfaff} \begin{vmatrix} 0 & v_1 \wedge v_2 & \cdots & v_1 \wedge v_{2k} \\ v_2 \wedge v_1 & 0 & \cdots & v_2 \wedge v_{2k} \\ \cdots & \cdots & \cdots & \cdots \\ v_{2k} \wedge v_1 & v_{2k} \wedge v_2 & \cdots & 0 \end{vmatrix}$$

where $v_1, \dots, v_{2k}$ are vectors in R^{2n} .

3.2 Primitive $SP(2n)$ invariants of $S^k(\Lambda^2)$

By the First Fundamental Theorem for the standard action of $SP(2n)$ on R^{2n} , see [Weyl46], invariants are polynomials in the symplectic product, $[,]$, hence are elements in $S^*(\Lambda^2)$. Let P_{2k} be the element of $(S^k(\Lambda^2))^*$ defined by

$$P_{2k}(v_1, v_2, v_3, v_4, \dots, v_{2k-1}, v_{2k}) = [v_1, v_2] [v_3, v_4] \cdots [v_{2k-1}, v_{2k}]$$

Let S_{2k} acts on P_{2k} by

$$\sigma \cdot P_{2k}(v_1, v_2, v_3, v_4, \dots, v_{2k-1}, v_{2k}) = [v_{\sigma^{-1}(1)}, v_{\sigma^{-1}(2)}] \cdots [v_{\sigma^{-1}(2k-1)}, v_{\sigma^{-1}(2k)}]$$

and all $SP(2n)$ invariants of $(R^{2n})^{\otimes 2k}$ must be linear combinations of the $\sigma \cdot P_{2k}$.

For (α, β) the natural pairing of $GL(2n)$ modules $S^k(\Lambda^2)^*$, $S^k(\Lambda^2)$ we have

$$(P_{2k}, \sigma_k) = \begin{vmatrix} 0 & [x_1, x_2] & \cdots & [x_1, x_{2k}] \\ [x_2, x_1] & 0 & \cdots & [x_2, x_{2k}] \\ \cdots & \cdots & \cdots & \cdots \\ [x_{2k}, x_1] & [x_{2k}, x_2] & \cdots & 0 \end{vmatrix} = 1$$

and $(\sigma \cdot P_{2k}, \sigma_k)$ for any $\sigma \in S_{2k}$ gives either the same result, up to sign, or 0.

It follows that there is only one $SP(2n)$ invariant for the $GL(2n)$ module generated by σ_k . Multiplying invariants we see that there is one $SP(2n)$ invariant for each irreducible component of $S^k(\Lambda^2)$.

Definition 3.2.1 *The unique $SP(2n)$ invariants corresponding to irreducible components of $S^k(\Lambda^2)$ are called **primitive $SP(2n)$ invariants of $S^k(\Lambda^2)$** .*

Theorem 3.2.2 *The primitive $SP(2n)$ invariant for the irreducible component of of the $GL(2n)$ module, $S^k(\Lambda^2)$ with highest weight σ_k , is the Pfaffian invariant. Its value on the element $\sigma_k(v_1, v_2, \dots, v_{2k})$ is*

$$\psi_k(v_1, v_2, \dots, v_{2k}) = \text{pfaff} \begin{vmatrix} 0 & [v_1, v_2] & \cdots & [v_1, v_{2k}] \\ [v_2, v_1] & 0 & \cdots & [v_2, v_{2k}] \\ \cdots & \cdots & \cdots & \cdots \\ [v_{2k}, v_1] & [v_{2k}, v_2] & \cdots & 0 \end{vmatrix}$$

Proof: This is just P_{2k} acting on $\sigma_k(v_1, v_2, \dots, v_{2k})$.

We use the notation, $[v_1, v_2, \dots, v_{2k}] \equiv \psi_k(v_1, v_2, \dots, v_{2k})$, for the Pfaffian invariant.

Corollary 3.2.3 *The primitive $SP(2n)$ invariant for the irreducible component of the $GL(n)$ module $S^k(\Lambda^2)$ corresponding to the highest weight vector $\sigma_\lambda = \sigma_1^{n_1} \cdots \sigma_s^{n_s}$ is $\psi_\lambda = \psi_1^{n_1} \cdots \psi_s^{n_s}$*

Chapter 4

k -planes in $\mathbb{R}^n$

Let $G_{k,n}$ be the Grassmannian of k -planes in R^n . $G_{k,n}$ is the orbit space of the action of $GL(k)$ on k -tuples of linearly independent vectors in R^n .

$$(v_1, \dots, v_k) \equiv g \cdot (v_1, \dots, v_k) = (w_1, \dots, w_k)$$

with $w_i = \sum_j g_{ij} v_j$, $i, j = 1 \dots k$

Equivalently, k -planes are non-zero, decomposable elements of $\Lambda^k(R^n)$, $v_1 \wedge \dots \wedge v_k$, equivalent up to constant, which we will denote by $G(v_1 \wedge \dots \wedge v_k)$. We have $g \cdot (v_1 \wedge \dots \wedge v_k) = w_1 \wedge \dots \wedge w_k = \det(g) \cdot (v_1 \wedge \dots \wedge v_k)$.

4.1 H -Invariant Polynomials of k -planes

Let H be a group acting on R^n . The action of H on k -tuples of vectors in R^n , $h \cdot (v_1, \dots, v_k) = (h \cdot v_1, \dots, h \cdot v_k)$, clearly commutes with the action of $GL(k)$, and thus gives an action of H on $G_{k,n}$. Similarly $h \cdot (v_1 \wedge \dots \wedge v_k) = (h \cdot v_1 \wedge \dots \wedge h \cdot v_k)$, hence $h \cdot G(v_1 \wedge \dots \wedge v_k) = G(h \cdot v_1 \wedge \dots \wedge h \cdot v_k)$.

Our goal is to describe H -invariant polynomials on $G_{n,k}$. However, it is well known

that $G_{n,k}$ is a projective variety, and thus there can be no polynomial functions in the usual sense of this word on $G_{n,k}$. Instead, we should consider functions on the set of k -tuples of vectors $v_1, \dots, v_k$ which are invariant up to a constant under the action of $GL(k)$. More precisely, we consider functions $m(v_1, \dots, v_k)$ such that $m(g(v_1, \dots, v_k)) = (\det g)^q m(v_1, \dots, v_k)$; we will call such functions "homogeneous functions of degree q ". They can be viewed as sections of L^q where L is a canonical line bundle on $G_{k,n}$. This leads to the following definition.

Definition 4.1.1 Multilinear H -Invariants of q k -planes, are H -invariant, multilinear functions of qk vectors in R^n , $(v_1^1, \dots, v_k^1), \dots, (v_1^q, \dots, v_k^q)$

$$m(h \cdot v_1^1, \dots, h \cdot v_k^1, \dots, h \cdot v_1^q \dots h \cdot v_k^q) = m(v_1^1, \dots, v_k^1, \dots, v_1^q, \dots, v_k^q)$$

compatible with the $GL(k)$ action on k -tuples of vectors.

$$m(g_1 \cdot (v_1^1, \dots, v_k^1), \dots, g_q \cdot (v_1^q, \dots, v_k^q)) = \det(g_1) \dots \det(g_q) m(v_1^1, \dots, v_k^1, \dots, v_1^q, \dots, v_k^q)$$

for $g_1, \dots, g_q$ in $GL(k)$.

It is clear that up to a constant, these invariants are functions on the q k -planes

$$G(v_1^1 \wedge \dots \wedge v_k^1), \dots, G(v_1^q \wedge \dots \wedge v_k^q)$$

Since the transpose of 2 vectors within a k -tuple is an element of $GL(k)$ of determinant -1 , we have

$$m(v_1^1, \dots, v_k^1, \dots, v_1^q \dots v_k^q) = f(v_1^1 \wedge \dots \wedge v_k^1 \otimes \dots \otimes v_1^q \wedge \dots \wedge v_k^q)$$

with $f \in ((\Lambda^k R^n)^{\otimes q})^*$,

$$f(h \cdot v_1^1 \wedge \cdots \wedge h \cdot v_k^1 \otimes \cdots \otimes h \cdot v_1^q \wedge \cdots \wedge h \cdot v_k^q) = f(v_1^1 \wedge \cdots \wedge v_k^1 \otimes \cdots \otimes v_1^q \wedge \cdots \wedge v_k^q)$$

and

$$f(g_1(v_1^1 \wedge \cdots \wedge v_k^1) \otimes \cdots \otimes g_q(v_1^q \wedge \cdots \wedge v_k^q)) = \det(g_1) \cdots \det(g_q) f(v_1^1 \wedge \cdots \wedge v_k^1 \otimes \cdots \otimes v_1^q \wedge \cdots \wedge v_k^q)$$

We are interested in the case where we have q copies of 1 k -plane, $G(v_1 \wedge \cdots \wedge v_k)$. From the familiar processes of restitution and polarization, see [Weyl46, Processi76], the following lemma follows.

Lemma 4.1.2 *There is a 1-1 correspondence between H -invariant multilinear forms in kq vectors in R^n , $m(v_1^1, \dots, v_k^1, v_1^2, \dots, v_k^2, \dots, v_1^q, \dots, v_k^q)$, symmetric in each set of the q vectors $\{v_1^1, v_1^2, \dots, v_1^q\}, \dots, \{v_k^1, v_k^2, \dots, v_k^q\}$ and H -invariant polynomials of 1 k -plane of degree q in k vectors,*

$$p(v_1, \dots, v_k) = m(\underbrace{v_1, \dots, v_k, \dots, v_1, \dots, v_k}_{q \text{ times}})$$

with

$$p(h \cdot v_1, \dots, h \cdot v_k) = p(v_1, \dots, v_k)$$

and

$$p(g \cdot (v_1, \dots, v_k)) = \det(g)^q p(v_1, \dots, v_k)$$

From the above considerations we have

Theorem 4.1.3 *H -invariant polynomials of 1 k -plane of degree q constitute the H -invariant vector subspace of the Weyl submodule of $((R^n)^{\otimes kq})^*$ corresponding to the*

rectangular Young diagram $S_{(q^k)}$, with k rows and q columns.

$$W = (V_{(q^k)}(R^n)^*)^H \subset (((R^n)^{\otimes kq})^*)^H$$

The number of linearly independent H -invariant polynomials of 1 k -plane of degree q is the dimension of W .

4.2 Examples

The following examples are well known to experts but difficult to find in the literature.

4.2.1 $H = Id$

The following result is classical.

Theorem 4.2.1 *Id-invariant polynomials of 1 k -plane in R^n are generated by the $\binom{n}{k}$ Plücker coordinates of the k -plane $G(v_1 \wedge \cdots \wedge v_k)$, e.g. the $k \times k$ minors of the matrix*

$$\begin{array}{cccc} v_1^1 & \cdots & v_1^n \\ \dots\dots\dots & & \\ v_k^1 & \cdots & v_k^n \end{array}$$

These are polynomial invariants of 1 k -plane of degree 1 corresponding to the Young diagram with k rows and 1 column. They give homogeneous coordinates that distinguish k -planes in R^n .

4.2.2 $H = O(n)$

Theorem 4.2.2 *$O(n)$ -invariant polynomials of 1 k -plane in R^n are generated by the Gram determinant, $\gamma_k(v_1, v_1, \dots, v_k, v_k)$, corresponding to the Young diagram with k*

rows and 2 columns. This is a polynomial invariant of 1 k -plane of degree 2.

Proof: Follows from Theorem 2.2.2. In particular q must be even.

The above result is in agreement with the obvious geometric fact that the orbit space of k -planes in R^n , under $O(n)$ is a point.

4.2.3 $H = \mathbb{SP}(2n)$

Theorem 4.2.3 $SP(2n)$ -invariant polynomials of $2k$ -planes in R^{2n} are generated by the Pfaffian invariant, $\psi_k(v_1, v_2, \dots, v_{2k})$, corresponding to the Young diagram with $2k$ rows and 1 column. This is a polynomial invariant of 1 $2k$ -plane of degree 1.

Proof: Follows from Theorem 3.2.2.

$SP(2n)$ is not compact and polynomial invariants of k -planes will not distinguish orbits. Even on $2k$ planes, the above invariant will be 0 if the restriction of the symplectic form has nullity.

Chapter 5

$U(n)$ invariants for $\mathbb{R}^{2n}$

The standard action of $U(n)$ is on C^n . We are interested in the standard action of $U(n)$ on R^{2n} . We develop the invariant theory for this case, along the lines of [Weyl46].

5.1 First Fundamental Theorem for $U(n)$ on $\mathbb{R}^{2n}$

Lemma 5.1.1 $U(n) = O(2n, R) \cap SP(2n, R)$ acting on R^{2n} .

Proof: Let $C = A + iB$ in $U(n)$. On R^{2n} this is the matrix $C_R = \begin{bmatrix} A & B \\ -B & A \end{bmatrix}$. Then $CC^* = I \rightarrow C_R$ is in $O(2n) \cap SP(2n)$. Similarly, D in $O(2n) \cap SP(2n) \rightarrow D = \begin{bmatrix} A & B \\ -B & A \end{bmatrix}$ with $A + iB$ in $U(n)$.

Theorem 5.1.2 (First Fundamental Theorem for $U(n)$ on $\mathbb{R}^{2n}$) *All polynomial invariants of $O(2n) \cap SP(2n)$ depending on an arbitrary number of vectors in R^{2n} are expressible in terms of the orthogonal and symplectic product*

$$\langle u, v \rangle, [u, v]$$

Proof: This follows from Weyl's, [Weyl46], formal algebraic argument via Capelli's identity plus the following geometric lemma. Capelli's identity for the case at hand says that if $\langle u, v \rangle, [u, v]$ generate a complete set of $O(2n) \cap SP(2n)$ polynomial invariants for all $2n$ vectors $(v_1, \dots, v_{2n})$ in R^{2n} , then they will generate a complete set of polynomial invariants for all m vectors $(v_1, \dots, v_m)$ in R^{2n} , for all $m > 2n$.

Lemma 5.1.3 *Given $2n-1$ vectors $v_1, \dots, v_{2n-1}$ in R^{2n} , one can find a coordinate system in R^{2n} both symplectic and orthogonal, such that the first coordinate of each vector $v_1, \dots, v_{2n-1}$ vanishes.*

Proof: The proof is exactly the same as that for $U(2n) \cap SP(2n, C)$ acting on C^{2n} , see [Weyl46, page 172]. As in Weyl's proof, we can assume that our $2n-1$ vectors span a $2n-1$ dimensional hyperplane P , in R^{2n} . We remind the reader.

Define the linear operator $\sim$ by $[x, y] = \langle \tilde{x}, y \rangle$. Explicitly, if $x = x_1, x_{1'}, \dots, x_n, x_{n'}$, then $\tilde{x} = -x_{1'}, x_1, \dots, -x_{n'}, x_n$. The operation $\sim$ has the following properties:

A. $\langle \tilde{x}, \tilde{x} \rangle = \langle x, x \rangle$

B. $\tilde{\tilde{x}} = -x$

C. $\langle \tilde{x}, y \rangle = -\langle x, \tilde{y} \rangle$

Let e_1 be a unit vector perpendicular to P . Let $e_{1'} = \tilde{e}_1$. Then

$$\langle e_{1'}, e_{1'} \rangle = \langle e_1, e_1 \rangle = 1 \text{ by A.}$$

$$\langle e_1, e_{1'} \rangle = [e_1, e_1] = 0 \text{ by definition, hence } e_{1'} \text{ is in } P.$$

$$[e_1, e_{1'}] = -\langle \tilde{e}_1, e_1 \rangle = \langle e_1, e_1 \rangle = 1 \text{ by B.}$$

Let P_1 be the subspace of P where $[u, e_1] = 0, [u, e_{1'}] = 0$. This is equivalent to $\langle u, e_1 \rangle = 0, \langle u, e_{1'} \rangle = 0$. Hence by C., P_1 is closed under $\sim$ and we can continue our inductive construction of a basis both orthogonal and symplectic.

From the results of sections 2.2 and 3.3 it follows that

$$\Gamma_{2i}(v_1, v_2, \dots, v_{2i}) P_{2j}(w_1, w_2, \dots, w_{2j}) =$$

$$\langle v_1, v_2 \rangle \cdots \langle v_{2i-1}, v_{2i} \rangle [w_1, w_2] \cdots [w_{2j-1}, w_{2j}]$$

is a $U(n)$ invariant of $(R^{2n})^{\otimes 2k}$ for $2i + 2j = 2k$, with $\Gamma_{2i} P_{2j} \in (S^i(S^2))^* \otimes (S^j(\Lambda^2))^*$.

Linear combinations of the action of S_{2k} on $\Gamma_{2i} P_{2j}$ generate all such invariants.

5.2 First Fundamental Theorem for real k -planes under $\mathbb{U}(n)$

We will start with examples which are clearly $U(n)$ polynomial invariants of k -planes in R^n . We will soon see that these examples give a complete set of generators.

5.2.1 Examples

We use the notation $\mathcal{A}(\cdots)$ for alternation along the columns of Young diagrams with entries labeled by vectors $v_1, \dots, v_k$.

$$\begin{array}{ccc} v_1 & & v_1 \quad v_1 \\ v_2 & \text{or} & v_2 \quad v_2 \\ \vdots & & \vdots \quad \vdots \\ v_k & & v_k \quad v_k \end{array}$$

In the examples, solid dots in Young diagrams correspond to Gram determinants, while open dots correspond to Pfaffians.

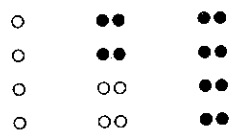
$$k = 4$$

$$[v_1, v_2, v_3, v_4]$$

$$\mathcal{A}(\langle v_1, v_1, v_2, v_2 \rangle [v_3, v_4]^2)$$

$$\langle v_1, v_1, v_2, v_2, v_3, v_3, v_4, v_4 \rangle$$

corresponding to diagrams



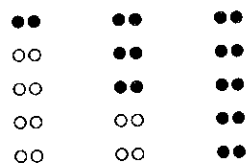
$$k = 5$$

$$\mathcal{A}(\langle v_1, v_1 \rangle [v_2, v_3, v_4, v_5]^2)$$

$$\mathcal{A}(\langle v_1, v_1, v_2, v_2, v_3, v_3 \rangle [v_4, v_5]^2)$$

$$\langle v_1, v_1, v_2, v_2, v_3, v_3, v_4, v_4, v_5, v_5 \rangle$$

corresponding to diagrams



5.2.2 First Fundamental Theorem (FFT)

Theorem 5.2.1 (FFT for real k -planes under $U(n)$) *$U(n)$ invariant polynomials of k -planes in R^{2n} are generated by*

k even

$$[v_1, \dots, v_k]$$

$$\mathcal{A}(\langle v_1, v_1, v_2, v_2 \rangle [v_3, \dots, v_k]^2)$$

$$\mathcal{A}(\langle v_1, v_1, v_2, v_2, v_3, v_3, v_4, v_4 \rangle [v_5, \dots, v_k]^2)$$

.....

$$\langle v_1, v_1, v_2, \dots, v_k, v_k \rangle$$

k odd

$$\mathcal{A}(\langle v_1, v_1 \rangle [v_2, \dots, v_k]^2)$$

$$\mathcal{A}(\langle v_1, v_1, v_2, v_2, v_3, v_3 \rangle [v_4, \dots, v_k]^2)$$

.....

$$\langle v_1, v_1, v_2, \dots, v_k, v_k \rangle$$

These are primitive $U(n)$ invariants of k -planes corresponding to irreducible components of the $GL(2n)$ module, $S^(S^2) \otimes S^*(\Lambda^2)$, generated by*

k even

$$\sigma_k(v_1, \dots, v_k)$$

$$\mathcal{A}(\delta_2(v_1, v_1, v_2, v_2) \sigma_{k-2}^2(v_3, \dots, v_k))$$

$$\mathcal{A}(\delta_4(v_1, v_1, v_2, v_2, v_3, v_3, v_4, v_4) \sigma_{k-4}^2(v_5, \dots, v_k))$$

.....

$$\delta_k(v_1, v_1, v_2, \dots, v_k, v_k)$$

k odd

$$\mathcal{A}(\delta_1(v_1, v_1) \sigma_{k-2}^2(v_2, \dots, v_k))$$

$$\mathcal{A}(\delta_3(v_1, v_1, v_2, v_2, v_3, v_3) \sigma_{k-3}^2(v_4, \dots, v_k))$$

.....

$$\delta_k(v_1, v_1, v_2, \dots, v_k, v_k)$$

Proof: See section 5.4.

5.3 A Rectangular Lemma

The proof of the FFT for real k -planes under $U(n)$ will be based on the following lemma.

Lemma 5.3.1 (Rectangular Lemma) *Given a subdiagram S_λ of the rectangular Young diagram S_{q^k} with k rows, q columns, there is only one μ such that $S_\lambda \otimes S_\mu$ contains S_{q^k} , and for this μ , S_{q^k} appears in $S_\lambda \otimes S_\mu$ with multiplicity 1. The diagram S_μ is obtained by rotating the skew diagram $S_{(q^k)} - S_\lambda$ 180 degrees.*

Proof: See section 5.5.

5.4 Proof of FFT for Real k -planes in $\mathbb{U}(n)$

From Theorems 4.1.3 and 5.1.1 $U(n)$ -invariant polynomials of 1 k -plane in R^{2n} of degree q constitute

$$V_{(q^k)}((R^{2n})^*)^{U(n)} \subset (((R^{2n})^{\otimes kq})^*)^{U(n)} = \sum_{2i+2j=kq} S^i(S^2) \otimes S^j(\Lambda^2)$$

Let V_{q^k} be an irreducible component of $S^i(S^2) \otimes S^j(\Lambda^2)$, $2i + 2j = kq$. $S^i(S^2) \otimes S^j(\Lambda^2) = \sum_{\lambda, \mu} V_\lambda \otimes V_\mu$ where V_λ is an irreducible of $S^i(S^2)$ corresponding to a diagram on $2i$ with rows of even length, and V_μ is an irreducible component of $S^j(\Lambda^2)$ corresponding to a Young diagram of size $2j$ with columns of even length.

V_{q^k} is thus an irreducible component of some $V_\lambda \otimes V_\mu$ and hence S_{q^k} is an irreducible component of $S_\lambda \otimes S_\mu$. S_{q^k} is obtained by appending elements of S_μ to S_λ by the Littlewood–Richardson rule, see [FH91].

By the Lemma, this can be done in only one way, and S_μ is $S_{(q^k)} - S_\lambda$ rotated 180 degrees.

We will explicitly exhibit highest weight vectors for the irreducible component, V_{q^k} of $V_\lambda \otimes V_\mu$. One should refer to the examples below in what follows. Since S_λ has rows of even length, its entries in column 1 and column 2, if they exist, must be the same length l , reading from top down. Entries of S_μ in columns 1 and 2 of S_{q^k} ,

reading from bottom up, are of even length $k - l$, which may be zero. $\mathcal{A}(\delta_l \sigma_{k-l}^2)$ is thus a highest weight vector for the first two columns corresponding to the invariant $\mathcal{A}(\langle v_1, v_1, \dots, v_l, v_l \rangle [v_{l+1}, \dots, v_k]^2)$. This is a primitive $U(n)$ -invariant of 1 k -planes of degree 2. We continue column by column in this manner. If entries of S_λ appear, we get highest weight vectors and invariants, similar to the above, on two columns. If, however, no entries of S_λ appear, all remaining columns will have highest weight vector σ_k , corresponding to the invariant $[v_1, \dots, v_k]$, a primitive $U(n)$ -invariant of 1 k -planes of degree 1. Multiplying these highest weight vectors, and primitive invariants, will give us a highest weight vector and a corresponding primitive $U(n)$ -invariant of 1 k -plane of degree q .

Examples:

$$k = 4, q = 5, \lambda = (4, 4, 2, 2), \mu = (3, 3, 1, 1)$$

```

• • • • ○
• • • • ○
• • ○ ○ ○
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$$k = 5, q = 4, \lambda = (4, 2, 2), \mu = (4, 4, 2, 2)$$

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• • • •
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5.5 Proof of the Rectangular Lemma

To decompose, $S^i(S^2) \otimes S^j(\Lambda^2)$ one must decompose the "outer" product of their irreducible components, see [FH91]. This is done via the Littlewood–Richard rule. If

λ is a partition of m and μ a partition of n , then

$$S_\lambda \otimes S_\mu = \sum_{\nu} N_{\lambda\mu}^{\nu} S_{\nu}$$

where ν is a partition of $m + n$ and the integer $N_{\lambda\mu}^{\nu}$ is the number of ways to construct the diagram of S_{ν} from the diagrams of S_{λ} and S_{μ} via the Littlewood-Richardson rules which we recapitulate.

Fill in the diagram of S_{μ} with 1's in the first row, 2's in the second row, etc.

We enumerate all possible ways of appending all the 1's to the diagram of S_{λ} , next all the 2's, etc. such that the following rules are obeyed.

LR 1 At each step we maintain the shape of a young diagram (weakly decreasing rows).

LR 2 No two 1's, 2's, etc can appear in the same column.

LR 3 For each diagram constructed via [LR 1, LR 2] construct the sequence obtained by reading the appended numbers from right to left, up to down.

LR 4 We keep only those diagrams that form a strict μ expansion. This means the first element must be a 1, the second element a 1 or 2. At each step as we march across the sequence the number of 1's encountered must be $\geq$ the number of 2's encountered must be $\geq$ the number of 3's encountered, etc. Example: 123112344

$N_{\lambda\mu}^{\nu}$ is the number of Young diagrams of shape ν , constructed in this manner. It is the multiplicity in which the irreducible component S_{ν} appears in the representation of $S_{\lambda} \otimes S_{\mu}$.

Given a subdiagram S_{λ} of the rectangular young diagram S_{q^k} , we prove there is only one diagram S_{μ} , which can be appended to S_{λ} in only one way, via the

Littlewood–Richardson rule, to make S_{q^k} . This will be the skew diagram $S_{q^k} - S_\lambda$ rotated 180 degrees.



Figure 1

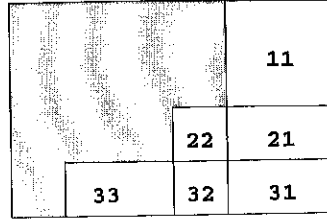


Figure 2

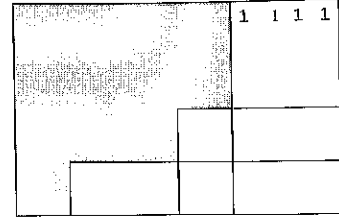


Figure 3

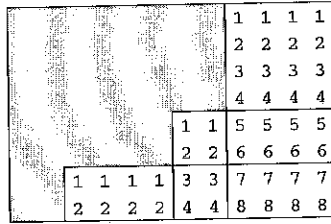


Figure 4

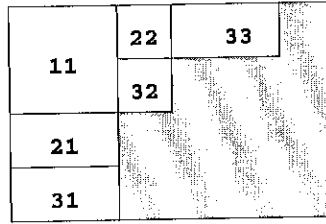


Figure 5

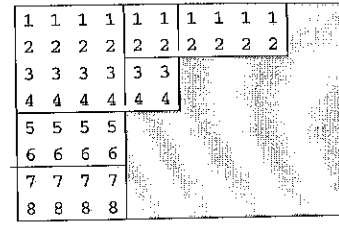


Figure 6

Rectangular Lemma Illustration

We refer to the illustration.

1. Figure 1: We have $S_{(q^k)}$ with $k = 8$, $q = 12$, and S_λ is the shaded young diagram $S_{(8^4, 6^2, 2^2)}$.
2. Figure 2: By extending the edges of S_λ , we partition the skew diagram $S_{(q^k)} - S_\lambda$ into blocks which we enumerate top to bottom, right to left. By [LR 4] the top right corner of $B_{1,1}$ must be a 1 and by [LR 1, LR 4], a 1 must have been placed in the top left corner of $B_{1,1}$, and filled out to complete $B'_{1,1}$'s entire top row. See Figure 3. By [LR 2], any remaining 1's of S_μ must appear in blocks to the left of $B_{1,1}$.
3. By [LR 4] the right edge of the second row of $B_{1,1}$ must be a 2 and again by [LR 1, LR 4] 2's must fill out the entire second row of $B_{1,1}$. By [LR 2], remaining 2's of S_μ appear in blocks to the left of $B_{1,1}$.

4. Continuing, we fill out the rows of $B_{1,1}$ with 1's, 2's, etc., up to n_{11} (4 in Figure 4). All additional 1's, 2's, n_{11} 's, of S_μ appear in blocks to the left of $B_{1,1}$. See Figure 4.
5. By [LR 4] the number on the right edge of the first row of $B_{2,1}$ must be $n_{11} + 1$ (5 in Figure 4). By [LR 1] we must have appended this number at some starting point on this row, and continued consecutively. This starting point can only be the left edge of $B_{2,1}$, for if it began right of the edge, the edge to this position would contain numbers in the set $1, 2, \dots, n_{11}$ by [LR 1], contradicting [LR 2]. If it began left of the edge, there would appear a string of $n_{11} + 1$ greater than the row length of B_{11} contradicting [LR 4]. In this manner we continue filling the rows of the first column of blocks, $B_{1,1}$, $B_{2,1}$, etc. with consecutive integers. See Figure 4.
6. We turn our attention to the second column of blocks. By [LR 4] the top row, right edge of $B_{2,2}$ must be a 1. By [LR 1] and since all 1's are appended first, we must have started with a 1 at the left edge of $B_{2,2}$'s top row and continued consecutively. Figure 4.
7. We continue this procedure until each column of blocks consists of rows filled with 1's, 2's, etc.
8. In Figure 5 we rearrange the blocks so they're enumerated from top to bottom, left to right, and anchored at the top left corner of S_{q^k} . Figure 6 shows that S_μ is indeed $S_{(q^k)} - S_\lambda$ rotated 180 degrees.

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