

Chains and Lorentz Geometry

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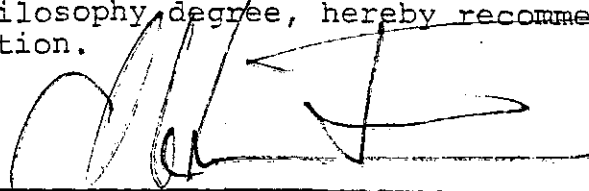
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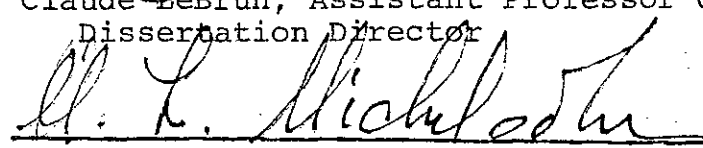
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Abstract of the Dissertation

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Fefferman and others have shown that if M^{2n+1} is a pseudoconvex CR manifold (of hypersurface type) then a certain circle bundle over M carries a conformal class of Lorentz metrics invariant under CR diffeomorphisms of M . The conformal geometry of these Fefferman metrics gives rise to the CR geometry of M . In particular, the null geodesics of these metrics project to an invariant system of curves called chains, originally defined by Cartan, Chern, and Moser.

Jacobowitz has recently proved, by analytic methods, that any two sufficiently nearby points of a CR manifold are connected by a smooth chain. This dissertation provides a

geometric proof, exploiting the Fefferman construction, of Jacobowitz' Theorem.

More generally, this work is a study of conformal Lorentz manifolds L^{2n+2} which admit a geodesic null conformal Killing field K such that $\text{curl}(K)$ is nondegenerate and $\langle C(K,X)X, K \rangle = 0$ for $X \perp K$ (where C is the Weyl conformal curvature tensor of L), and of the quotients M^{2n+1} of such manifolds by their null congruence. These Lorentz manifolds are shown to include all Fefferman manifolds. All such L induce a CR structure on M related to the geometry of L . The system of curves on M obtained by projecting to M the null geodesics of L is studied; such systems of curves are shown to connect pairs of nearby points of M , even if L is not Fefferman.

An example is given of a four-dimensional Lorentz manifold with the properties described above, but which is not Fefferman. Its quotient CR manifold is identified, and the projections of its null geodesics are shown to be qualitatively different from the standard chains.

To my father and to the memory of my mother.

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0. Introduction.

The boundary of a domain in \mathbb{C}^n is a real hypersurface. Such a boundary is of odd real dimension $2n-1$ and therefore cannot carry a complex structure, but for $n \geq 2$ it inherits the shadow of a complex structure, a CR structure, from the ambient \mathbb{C}^n . A CR structure of this "hypersurface type" may be defined on an abstract odd-dimensional manifold, but the resulting CR manifold may not be locally realizable as a hypersurface in a complex manifold, [Nirenberg 1,2]. CR manifolds, even those which are boundaries of domains in \mathbb{C}^n , are in general locally inequivalent. They may be distinguished by various biholomorphic invariants; one such invariant is a system of curves called chains.

Very little is known about chains. To this author's knowledge, the CR manifolds whose chains are completely known are all locally equivalent to the Heisenberg group, which is the standard "flat" CR manifold in much the same way as \mathbb{R}^n is the standard "flat" Riemannian manifold. Recently, H. Jacobowitz showed that chains on a strictly pseudoconvex CR manifold connect pairs of nearby points [Jacobowitz].

It is the purpose of this work to present a different proof of Jacobowitz' result which makes use of a correspondence between CR geometry and pseudoriemannian geometry due to C. Fefferman and others. We hope that the techniques we use may yield further new insight into the behavior of chains.

We begin with a definition of an abstract CR manifold of hypersurface type. We then sketch briefly a construction due to [Lee] of the pseudoriemannian Fefferman manifold associated to a CR manifold, and discuss the special properties of such pseudoriemannian manifolds. Much of this discussion applies to a slightly more general class of pseudoriemannian manifolds.

We then proceed with our proof that chains on a strictly pseudoconvex CR manifold connect pairs of sufficiently nearby points. This proof applies also to the "pseudochains" associated to the more general pseudoriemannian manifolds discussed above. Finally, we discuss an example of a CR manifold with a system of pseudochains which are not chains.

I. CR manifolds and chains.

An abstract almost CR manifold of hypersurface type is an odd-dimensional orientable manifold M^{2n+1} (which we shall always take to be smooth) together with a field of tangent hyperplanes H^{2n} on which a (smooth) complex structure J , $J : H \rightarrow H$ linearly, $J^2 = -\text{id}|_H$, is given. This J is called a CR structure tensor on M . An almost CR manifold is called a CR manifold if its Nijenhuis torsion tensor,

$$N(X,Y) = [JX,JY] + J^2[X,Y] - J[X,JY] - J[JX,Y],$$

vanishes for $X,Y \in H$; a CR structure satisfying this condition is said to be formally integrable.

Let $\theta \in T^*M$ be a one-form which annihilates H . A choice of such a one-form is called a pseudohermitian structure on M . The almost CR structure on M is said to be nondegenerate if $\theta \wedge (D\theta)^n \neq 0$; this condition is independent of the choice of θ .

There is another way of describing a CR structure to which we will need to refer later. Let M^{2n+1} be an odd-dimensional orientable smooth manifold. An almost CR structure on M is an n -dimensional complex subbundle $T_{1,0}$ of the complexified tangent bundle $\mathbb{C}TM$ of M such that $T_{1,0} \cap T_{0,1} = \{0\}$, where $T_{0,1} = \overline{T_{1,0}}$. We set $H = \text{Re}(T_{1,0} \oplus T_{0,1})$; H is a real $2n$ -dimensional subbundle of TM . It carries a

natural complex structure J given by $J(V+\bar{V}) = i(V-\bar{V})$ for $v \in T_{1,0}$. The integrability of the almost CR structure is equivalent in these terms to the requirement that $[T_{1,0}, T_{1,0}] \subset T_{1,0}$.

With respect to a choice of pseudohermitian structure θ on M , the Levi form L_θ of M is the Hermitian form on $T_{1,0}$ given by

$$L_\theta(V, W) = i d\theta(V, \bar{W}).$$

The form L_θ depends on the choice of θ , but it changes only by a conformal multiple if θ is changed. If L_θ is (positive) definite, M is said to be strictly pseudoconvex. The Levi form L_θ gives rise to a real symmetric form on H which we also call L_θ .

It was first observed by [Poincaré] in 1907 that there is no analog of the Riemann mapping theorem in several complex variables. That is, real hypersurfaces in \mathbb{C}^n may be locally inequivalent under biholomorphic transformations. Poincaré conjectured that real hypersurfaces in \mathbb{C}^n have certain biholomorphic invariants associated to them. The signature of the Levi form is one such invariant. [Cartan] solved the equivalence problem for real hypersurfaces in \mathbb{C}^2 ; that is, he found a system of invariants on such hypersurfaces whose equality is necessary

and sufficient for biholomorphic equivalence of the hypersurfaces.

Cartan also defined a biholomorphically invariant system of curves on hypersurfaces in \mathbb{C}^2 , called chains. On S^3 , or on the hyperquadric $H^3 = \{(X, Y) \in \mathbb{C}^2 \mid X - \bar{X} - iY\bar{Y} = 0\}$ (also called the Heisenberg group), the chains are the intersections of the hypersurface with affine complex lines. In general, chains are the solutions of a system of differential equations. Through any point of a hypersurface in \mathbb{C}^2 , in any direction transverse to the holomorphic tangent plane H , there passes a unique chain. Chains carry a distinguished parameter which is defined up to a real projective transformation.

The work of Cartan was extended by [Chern and Moser] to arbitrary abstract CR manifolds of hypersurface type. They also discuss a normal form for the equations defining a CR manifold M , produced by osculating M by an image of the hyperquadric. This osculation takes place at a point p along a chain passing through p .

Another way of describing chains is due to [Fefferman]. For a strictly pseudoconvex hypersurface $M^3 \subset \mathbb{C}^2$, he constructs a Lorentz metric on $M \times S^1$, defined up to a restricted conformal multiple; the conformal factor is required to be a function constant on the S^1 factor. The fibers S^1 are null geodesics of the metrics in this conformal class; the other null geodesics project to the chains of M .

[Burns and Shnider] and [Burns, Diederich, and Shnider] give an intrinsic construction of the Fefferman metric, thereby generalizing the correspondence to apply to any nondegenerate CR manifold of hypersurface type. For a CR manifold M of Levi signature (p,q) , they obtain a pseudo-Riemannian manifold L of signature $(2p+1, 2q+1)$ on a certain circle bundle over M . If M is strictly pseudoconvex, this pseudoriemannian manifold is of Lorentz signature. Burns, Shnider, and Diederich's construction involves embedding Chern's CR structure bundle for M in the conformal structure bundle of L . In this way, they show that all CR invariants of M may be recovered from the (restricted) conformal geometry of L .

II. Construction and characterization of the Fefferman metric.

[Lee] has found a more direct construction of the Fefferman metric of an abstract CR manifold, which we shall review here. For a nondegenerate CR manifold M^{2n+1} of Levi signature (p,q) , the Fefferman metric is a canonically defined pseudo-Riemannian metric of signature $(2p+1, 2q+1)$, defined up to a restricted conformal factor, on a certain circle bundle over M . This underlying circle bundle is the quotient $C = K^*/\mathbb{R}^+$ of the canonical bundle K of M with its zero section removed, by the natural action of \mathbb{R}^+ . Lee's construction of the Fefferman metric on C gives a particular representative of the conformal class in terms of a choice of pseudo-Hermitian structure on M . Lee shows that the restricted conformal class of this metric is invariant under change of pseudo-Hermitian structure on M .

Choose a pseudo-Hermitian structure on M ; that is, choose a (real) one-form θ on M annihilating the hyperplane field H . Let T be the unique vector field on M so that $\theta(T) = 1$ and $T \lrcorner d\theta = 0$. Choose n complex one-forms θ^α on M so that the θ^α , restricted to $T_{1,0}$, form a basis of $T^{1,0}$, and so that $\theta^\alpha(T) = 0$.

These choices determine a (local) section $\zeta_0 = \theta \wedge \theta^1 \wedge \dots \wedge \theta^n$ of K^* , and thus also a section of C . Let γ be the variable (mod 2π) on the fibers of C such that $[e^{i\gamma}\zeta_0] = [\zeta]$, where $[\zeta]$ is an equivalence class of $(n+1,0)$ -forms at a point of M . Let V be the vertical (ie., tangent to the fibers) vector field

such that $dy(V) = 1$.

For a CR manifold M of Levi signature (p,q) , the Fefferman metric on C will be the pseudo-Riemannian metric $ds^2 = L_\theta + 2\theta\sigma$ of signature $(2p+1, 2q+1)$, where σ is a canonically specified real one-form on C with $\sigma(v) \neq 0$. To this end, let η be an n -form on C satisfying $\zeta = \theta + \eta$, $\tilde{T} \lrcorner \eta = 0$ for any lift \tilde{T} of T to C . Lee shows that η is unique. The one-form σ is then chosen to satisfy

- 1) $d\zeta = i(n-2)\sigma \wedge \zeta$
- 2) $\sigma \wedge d\eta \wedge \bar{\eta} = \text{Tr}(d\sigma)i\sigma \wedge \theta \wedge \eta \wedge \bar{\eta}$.

Lee shows that conditions 1) and 2) determine σ uniquely, and that the resulting metric $ds^2 = L_\theta + 2\theta\sigma$ agrees with the one defined by Fefferman on nondegenerate CR hypersurfaces in \mathbb{C}^{n+1} .

[Sparling] has shown (see also [Graham]) that a pseudo-Riemannian manifold L^{2n+2} of signature $(2p+1, 2q+1)$ is locally the Fefferman manifold of some nondegenerate CR manifold M^{2n+1} of Levi signature (p,q) if and only if L admits a null Killing vector field K satisfying

- 1) $K \lrcorner C = 0$, $K \lrcorner \text{Sch} = 0$
- 2) $\text{Ric}(K,K) > 0$,

where C is the Weyl tensor of L , Sch is the Schouten tensor, and Ric is the Ricci tensor. These conditions are invariant under conformal changes of metric on L for which K is a Killing field of both the new and old metrics. In the presence of

condition 1), $\text{Ric}(K, K)$ is actually constant [Graham]. If L is of Lorentz signature, then for any null Killing field K we have $\text{Ric}(K, K) \geq 0$, and the significance of the strict inequality in the second condition is to make $\text{rot}(K)$ non-degenerate, where

$$\text{rot}(K)(X, Y) = \frac{1}{2} \{ \langle \nabla_X K, Y \rangle - \langle \nabla_Y K, X \rangle \} \text{ for } X, Y \perp K.$$

This follows from an examination of Raychaudhuri's equation for null geodesics [Hawking and Ellis] (note that a null Killing field is geodesic, shearfree, and divergence-free), or from some calculations we shall make in the next section. Observe that $\text{rot}(K)(X, K) = 0$ for any $X \in K^\perp$, and that K^\perp has odd dimension $2n+1$. Since K is a null Killing field, $\text{rot}(K)(X, Y) = \langle \nabla_X K, Y \rangle$. Thus $\text{rot}(K)$ is nondegenerate if and only if, for each $X \in K^\perp$, $X \notin \text{span}(K)$, there is a $Y \in K^\perp$, $Y \notin \text{span}(K)$, such that $\langle \nabla_X K, Y \rangle \neq 0$. This means that ∇K is a nondegenerate linear transformation from K^\perp/K to K^\perp/K . We show later in a more general context that $(\nabla K)^2 = -\text{Ric}(K, K) \cdot \text{id}|_{K^\perp/K}$, and thus that ∇K projects to (a multiple of) a CR structure tensor on M .

III. Lorentz metrics and pseudochains.

More generally, let L^{2n+2} be a real line bundle or circle bundle (with Lorentz metric \langle, \rangle) over an odd-dimensional orientable manifold M^{2n+1} , such that the vertical null vector field K is a null Killing field satisfying the conditions

- 1) $\text{rot}(K)$ is nondegenerate
- 2) $\langle C(X, K)K, X \rangle = 0$ for $X \in K^\perp$,

where C is the Weyl conformal curvature tensor of L . We shall see that the restricted conformal class of (L, \langle, \rangle) determines an almost CR structure on M , together with a system of curves (given by projecting the null geodesics of L onto M) which we shall call pseudochains.

The holomorphic tangent plane field H^{2n} is given, as before, by projecting K^\perp onto M . In general, however, the CR structure tensor J will not be simply the projection of ∇K . To define J , we must recall the definition of null sectional curvature and make a few observations about null sectional curvatures of vertical null planes.

Definition [Harris]: Let N be a null tangent vector at a point p to a Lorentz manifold L ; let X be any spacelike vector perpendicular to N at p . (Then $N \wedge X$ is a null plane containing N .) The null sectional curvature $\kappa_N(N \wedge X)$ of the null plane $N \wedge X$ with respect to N is

$$\kappa_N(N \wedge X) = \frac{\langle R(X, N)N, X \rangle}{\langle X, X \rangle}.$$

This curvature is independent of the choice of X in $N \wedge X$, but it depends quadratically on the choice of N in $N \wedge X$.

The Weyl conformal curvature tensor C is defined by

$$\begin{aligned} \langle C(X, Y)Z, W \rangle &= \langle R(X, Y)Z, W \rangle \\ &+ \frac{1}{m-2} \{ \langle X, W \rangle \text{Ric}(Z, Y) - \langle X, Z \rangle \text{Ric}(W, Y) \\ &\quad + \langle Y, Z \rangle \text{Ric}(W, X) - \langle Y, W \rangle \text{Ric}(Z, X) \} \\ &+ \frac{1}{(m-1)(m-2)} R(\langle X, Z \rangle \langle Y, W \rangle - \langle X, W \rangle \langle Y, Z \rangle). \end{aligned}$$

In particular, for $X, Y \perp K$ we have

$$\langle C(X, K)K, Y \rangle = \langle R(X, K)K, Y \rangle - \frac{1}{2n} \text{Ric}(K, K) \langle X, Y \rangle.$$

Therefore, if $\langle C(X, K)K, X \rangle = 0$ for all $X \perp K$, we see that

$$\kappa_K(K \wedge X) = \frac{1}{2n} \text{Ric}(K, K).$$

Thus the sectional curvatures of all vertical null planes are equal. To show they are positive, write

$$\langle R(X, K)K, X \rangle = \langle \nabla_X \nabla_K K, X \rangle - \langle \nabla_K \nabla_X K, X \rangle - \langle \nabla [X, K] K, X \rangle.$$

Assume that X is extended so that $[X, K] = 0$. Then

$$\begin{aligned} \langle R(X, K)K, X \rangle &= -\langle \nabla_K \nabla_X K, X \rangle && (\text{using } \nabla_K K = 0) \\ &= -K \langle \nabla_X K, X \rangle + \langle \nabla_X K, \nabla_K X \rangle. \end{aligned}$$

But $\langle \nabla_X K, X \rangle = 0$ since K is Killing, and $[X, K] = 0$, so

$$\langle R(X, K)K, X \rangle = \langle \nabla_X K, \nabla_X K \rangle$$

In terms of null sectional curvature,

$$\kappa_K \langle X, X \rangle = \langle \nabla_X K, \nabla_X K \rangle.$$

Now $\nabla_X K \in K^\perp$, since $\langle \nabla_X K, K \rangle = \frac{1}{2} X \langle K, K \rangle = 0$. And $\nabla_X K$ is not a multiple of K , since the assumption that $\text{rot}(K)$ is non-degenerate means that $\langle \nabla_X K, Y \rangle \neq 0$ for some $Y \in K^\perp$. Therefore, $\nabla_X K$ is a nonzero spacelike vector, and

$$\kappa_K = \frac{\langle R(X, K)K, X \rangle}{\langle X, X \rangle} = \frac{\langle \nabla_X K, \nabla_X K \rangle}{\langle X, X \rangle} > 0.$$

We are now ready to define the CR structure tensor on M .

PROPOSITION: $J = \pi(\frac{1}{\sqrt{\kappa_K}} \nabla K|_{K^\perp})$ is a CR structure tensor on M .

PROOF: We must show that $J^2(X) = -X$ for all $X \in \pi(K^\perp)$; that is, we must show that $(\nabla K)^2(X) = -\kappa_K \cdot X \pmod{K}$ for all $X \in K^\perp$. It suffices to show that $\langle (\nabla K)^2(X), Y \rangle = -\kappa_K \langle X, Y \rangle$ for all X, Y in K^\perp .

$$\begin{aligned} \langle (\nabla K)^2(X), Y \rangle &= \langle \nabla_{\nabla_X K} K, Y \rangle = -\langle \nabla_Y K, \nabla_X K \rangle && \text{(since } K \text{ is Killing)} \\ &= -X \langle \nabla_Y K, K \rangle + \langle \nabla_X \nabla_Y K, K \rangle \\ &= 0 + \langle R(Y, K)X, K \rangle \\ &= -\langle R(Y, K)K, X \rangle \end{aligned}$$

$$\begin{aligned}
&= -\frac{1}{2n} \operatorname{Ric}(K, K) \langle X, Y \rangle \quad (\text{since } \langle C(X, K)K, Y \rangle = 0.) \\
&= -\kappa_K \langle X, Y \rangle.
\end{aligned}$$

Here we have used the identity $\nabla_A \nabla_B K - \nabla_{\nabla_A B} K = R(A, K)B$, which holds for any Killing field K and any vectors A and B , in the fourth equality; we have also assumed during the calculation that $[X, Y] = 0$.

■

The CR structure tensor we have just defined may or may not be integrable. [Graham] shows that the curvature conditions $K \lrcorner C = 0$, $K \lrcorner K \lrcorner \operatorname{Sch} = 0$ are sufficient to ensure integrability (where C is the Weyl tensor and Sch is the Schouten tensor of L). Of course, every CR structure tensor on a 3-dimensional manifold is integrable. If κ_K is constant, then K may be replaced by the new Killing field $K_1 = \frac{1}{\sqrt{\kappa_K}} K$, and then the CR structure tensor becomes just $\pi(\nabla K_1|_{K_1^\perp})$.

Since the flow of L along K is isometric, it takes null geodesics of L to null geodesics of L . This ensures that, by projecting null geodesics of L to M , we obtain a system of curves on M determined by initial point and initial tangent direction. This initial direction must be transverse to H , for curves tangent to H cannot be the projections of null geodesics of L .

These curves are just the chains of M in the CR structure induced from L , if L satisfies Sparling's conditions; if

Sparling's conditions are not satisfied, then they will generally differ from the chains. We shall call curves of such systems pseudochains. It is the object of the next section to show that pseudochains connect nearby points of strictly pseudoconvex CR manifolds. This induces the result of [Jacobowitz] that chains connect nearby points of a strictly pseudoconvex CR manifold, as the special case where the pseudochains are derived from a Lorentz manifold which is locally Fefferman.

So far, we have been considering Lorentz manifolds L which are line bundles or circle bundles over the base M . However, if the fibers of L are circles, we may replace L with a line bundle \tilde{L} over M (or, at least, over an open neighborhood U of M), so that \tilde{L} is a covering space of L . That is, we may "unroll" the circular fibers into lines. To avoid confusion, in the next section we assume that all our Lorentz manifolds are line bundles over M . Since the theorem we wish to prove is of a local nature, we may make this assumption without loss of generality.

IV. Connectivity theorem and lemmas.

THEOREM. Let M^{2n+1} be a strictly pseudoconvex CR manifold, with pseudochain structure derived from the conformal class of the Lorentz manifold L^{2n+2} . Then, for any point $p \in M$ and any neighborhood U of p , there is a neighborhood V of p , $V \subset U$, so that p can be joined to any distinct point $q \in V$ by a smooth pseudochain remaining in V .

The idea of the proof is to show that the lightcone of a point \hat{p} of L , where $\pi(\hat{p}) = p$, is shaped so that the projection map $\pi : L \rightarrow M$ takes an appropriate portion of it onto a neighborhood of p . Since the lightcone of \hat{p} consists of those points of L which can be reached from \hat{p} by a smooth null geodesic, this is equivalent to showing that p can be joined to every point of the neighborhood by a pseudochain.

We begin by recalling that null sectional curvatures of all vertical null planes along a given fiber $\gamma = \pi^{-1}(p)$ are equal to the same positive constant. From Jacobi's equation, a point $\hat{p} \in \gamma$ must then have future and past null focal points along γ of order $2n$. Now choose a vertical hypersurface Γ tangent to the lightcone of \hat{p} along γ ; Lemma P enables us to show that every fiber near γ in Γ enters the future and the past of \hat{p} . By Lemma N, we see that this happens in a normal coordinate neighborhood of \hat{p} , for fibers close enough to γ . But, in such a neighborhood, the boundaries of the past and

the future of \hat{p} are the lightcone of \hat{p} , so we may conclude that fibers close to γ in Γ intersect the past and future lightcones of \hat{p} . From topological considerations, we then see that every fiber near $\gamma = \pi^{-1}(p)$ intersects the lightcone of \hat{p} , and we are done.

The first lemma is a generalization of part of Proposition 7.27 of [Penrose], and our proof follows its proof closely. Before stating the lemma, we recall the notions of null focal point and null coordinate system as set forth in [Penrose].

Let γ_0 be a null geodesic orthogonal to a spacelike $(n-2)$ -surface Σ_0 at a point p . A point $q \in \gamma_0$, $q \neq p$, is said to be a focal point to Σ_0 along γ_0 of order k if and only if there exist k nontrivial independent Jacobi fields along γ_0 which vanish at q and which arise from a k -parameter variation of γ_0 through affinely parametrized null geodesics orthogonal to Σ_0 .

For the construction of the null coordinate system, let Ω_0 be the null hypersurface (near Σ_0) generated by the family (γ) containing γ_0 of null geodesics orthogonal to Σ_0 . Varying Σ_0 smoothly in some one-parameter family (Σ) such that the direction of variation is not tangent to Ω_0 , we obtain a one-parameter family of null hypersurfaces (Ω) . The null generators of (Ω) form a congruence extending (γ) . Let T be the null vector field tangent to this congruence; then $T = -\nabla u$, where u is the variation parameter whose level sets are the hypersurfaces (Ω) . The vector field T is geodesic ($\nabla_T T = 0$)

and rotation-free. Let v be the affine parameter on γ with $\frac{\partial}{\partial v} = T$ and $v = 0, u = \text{const.}$ on (Σ) . Choose the remaining $(n-2)$ coordinates x_i so that each geodesic γ is given by $u, x_1, \dots, x_{n-2} = \text{const.}$ Then the metric may be written as

$$ds^2 = 2du(dv + \frac{1}{2}a du + b_\lambda dx^\lambda) + r_{\lambda\mu} dx^\lambda dx^\mu, \lambda, \mu = 1, \dots, (n-2).$$

This coordinate system is valid near γ_0 until a focal point to Σ_0 is reached ([Penrose], Proposition 7.26). The surfaces (Σ) may be allowed to degenerate to points. In this case the focal points of $\Sigma_0 = \{p\}$ are called conjugate points to p along γ_0 .

LEMMA P. Let X^n be an n -dimensional Lorentz manifold of signature $(-, +, \dots, +)$; let $p, q \in X$. Let γ be a future-null geodesic from p to q .

- a) If the first internal point of γ which is conjugate to p is conjugate of k^{th} order, $1 \leq k \leq n-2$, then there is a k -parameter variation of γ through timelike curves from p to q .
- b) Let Σ be an $(n-2)$ -surface which is spacelike and contains p , such that γ is orthogonal to Σ at p and there is a focal point of Σ along γ between p and q . Assume that the first such focal point is of k^{th} order, $1 \leq k \leq n-2$. Then there is a k -parameter variation of γ through timelike curves

from Σ to q . If $k = n-2$, every point of Σ in a neighborhood of p is in the past of q .

PROOF. Let r be the first conjugate point to p , or focal point to Σ , beyond p along γ ; by assumption, it is of k^{th} order. By Proposition 7.26 [Penrose], there is a null coordinate system \mathcal{G} valid in some neighborhood of the portion of γ between p and r , and valid also at p in case (b).

Let $T = \gamma$. We may choose the u -coordinate of \mathcal{G} so that $\langle \frac{\partial}{\partial u}, \frac{\partial}{\partial u} \rangle < 0$ along γ . Scale u so that $-\nabla u = T$, and take $u = 0$ on γ . ($T = \frac{\partial}{\partial v}$, where v is the affine parameter on γ .)

Choose a point w on γ to the future of r , close enough to r so that rw contains no pair of conjugate points. Then, if r' precedes r on γ and is close enough to r , the point r' will not be conjugate to w either. Thus the segment $r'w$ of γ is covered (except at w) by another null coordinate system $\hat{\mathcal{G}}$. Choose $\hat{\mathcal{G}}$ so that $\hat{T} = \frac{\partial}{\partial \hat{v}} = \frac{\partial}{\partial v} = T$ along γ .

Since r is a k^{th} -order conjugate point of p (or focal point of Σ) along γ , there are k independent nontrivial Jacobi fields X_1, \dots, X_k on γ which vanish at r , and which arise from a k -parameter subfamily containing γ of the congruence (γ) of null lines of \mathcal{G} continuous with γ . We have $DX_i \neq 0$, $X_i = 0$ at r . So, the X_i have the form $X_i = (v_0 - v)Y_i$, where v_0 is the value of v at r . The Y_i are smooth vector fields defined along γ which are orthogonal to γ (but not tangent to γ), and

nonvanishing at r , so the Y_i are spacelike at r ($\langle Y_i, Y_i \rangle|_r > 0$). We may assume that the Y_i are mutually orthogonal at r' ($\langle Y_i, Y_j \rangle|_{r'} = 0$ if $i \neq j$).

$$\begin{aligned}
 \text{Now } (Y_i)^a (Y_j)^b \nabla_a \nabla_b u &= -(Y_i)^a (Y_j)^b \nabla_a T_b \\
 &= -\langle \nabla_{Y_i} T, Y_j \rangle \\
 &= -(v_0 - v)^{-1} \langle \nabla_{X_i} T, Y_j \rangle \\
 &= -(v_0 - v)^{-1} \langle \nabla_T (v_0 - v) Y_i, Y_j \rangle \\
 &= (v_0 - v)^{-1} \langle Y_i, Y_j \rangle - \langle \nabla_T Y_i, Y_j \rangle
 \end{aligned}$$

near r , this quantity being large and positive just to the past of r on γ if $i = j$. Thus, if the point r' is sufficiently close to r and just before r on γ , we have

$$(Y_i)^a (Y_j)^b \nabla_a \nabla_b (u - \hat{u}) > 0 \quad (\text{formula P1})$$

at r' , since $\nabla_a \nabla_b \hat{u}$ is continuous at r . In fact, the matrix $B_{ij} = (Y_i)^a (Y_j)^b \nabla_a \nabla_b (u - \hat{u})|_{r'}$ is positive definite.

Now set $U = \frac{\partial}{\partial u}$ at r' , and consider $\exp_{r'}$ applied to the $(k+1)$ -plane Π spanned by U, Y_1, \dots, Y_k . Write the general element of Π as $xU + y_1 Y_1 + \dots + y_k Y_k$, and consider u and \hat{u} as functions of the coordinates (x, y_1, \dots, y_k) . We have $u = \hat{u} = 0$ at the origin $r' = (0, 0, \dots, 0)$, and also $\frac{\partial u}{\partial y_i} = \frac{\partial \hat{u}}{\partial y_i} = 0$ at r' (since $du(Y_i) = -\langle Y_i, T \rangle = 0$, and similarly for \hat{u}). Also

$\frac{\partial u}{\partial x} = \frac{\partial \hat{u}}{\partial x} = 1$ at r' (since $U(u) = -\langle U, T \rangle = 1 = -\langle U, \hat{T} \rangle = U(\hat{u})$, and $U(u) = \frac{\partial u}{\partial u} = 1$). Finally, formula (P1) states that $A_{ij} - \hat{A}_{ij}$ is positive definite, where $A_{ij} = \frac{\partial^2 u}{\partial y_i \partial y_j} \Big|_{r'}$.

Consider the hypersurface

$$4x + \sum_{i,j=1}^k (A_{ij} + \hat{A}_{ij}) y_i y_j = 0$$

(hypersurface (P2)) in π . Taylor's theorem gives

$$u = \frac{1}{4} \sum_{i,j=1}^k (A_{ij} - \hat{A}_{ij}) y_i y_j + o(y_i y_j) \quad 1 \leq j \leq k,$$

$$u = \frac{1}{4} \sum_{i=1}^k (\hat{A}_{ij} - A_{ij}) y_i y_j + o(y_i y_j),$$

so for small enough $\|(y_1, \dots, y_k)\| > 0$, we have $\hat{u} < 0 < u$. Thus, $\exp_{r'}$ applied to this value of (x, y_1, \dots, y_k) gives us a point r'' for which $\hat{u} > 0$, so $r'' \ll w$, and for which $u > 0$, so (in case (a)) $p \ll r''$. That is, there exists a broken causal curve from p to w passing through r'' . In fact, for each value of (x, y_1, \dots, y_k) on the hypersurface (P2), such that $\|(y_1, \dots, y_k)\|$ is small enough, there exists such a curve passing through $r''(y_1, \dots, y_k) = \exp_{r'}(x, y_1, \dots, y_k)$. Therefore, there exists a k -parameter variation of γ through broken causal curves from p to q which are not null geodesics. By Proposition 4.5.10 of [Hawking and Ellis], such curves can be varied to give timelike curves joining p and q , so case (a) is done.

To complete the proof of part (b), recall that r' is covered by the null coordinate system \mathcal{G} ; for $\|(y_1, \dots, y_k)\|$

small enough, $r''(y_1, \dots, y_k)$ will be covered by \mathcal{G} also. Let $(u, v, w_1, \dots, w_k, z_{k+1}, \dots, z_{n-2})$ be the n -tuple representing the coordinates of r'' in \mathcal{G} . We have shown above that $u(r'')$ and $v(r'')$ are positive for each r'' . By construction, $u = v = 0$ on Σ . Therefore, $r'' = (u, v, w_1, \dots, w_k, z_{k+1}, \dots, z_{n-1}) \gg (0, 0, w_1, \dots, w_k, z_{k+1}, \dots, z_{n-2}) \in \Sigma$. So there exists a broken causal curve from the point $(0, 0, w_1, \dots, w_k, z_{k+1}, \dots, z_{n-2})$ of Σ to q passing through $r''(y_1, \dots, y_k) = (u, v, w_1, \dots, w_k, z_{k+1}, \dots, z_{n-2})$. By Proposition 4.5.10 of [Hawking and Ellis], this can be varied to give a timelike curve. Thus there is a k -parameter variation of γ through timelike curves from Σ to q . If $k = n-2$, each point of Σ in a neighborhood of p can be joined to q by a timelike curve. ■

For the second lemma, recall that a neighborhood U of a point p in a pseudo-Riemannian manifold X, \langle, \rangle is called a normal coordinate neighborhood of p if there is a neighborhood \hat{U} of 0_p in $T_p M$ on which the exponential map \exp_p is a diffeomorphism onto U . A normal neighborhood U of p is geodesically star-shaped with respect to p (but not necessarily geodesically convex).

We derive the second lemma as a consequence of the following proposition.

PROPOSITION: Let X, Y be manifolds. Let A be a compact submanifold-with-boundary of X . Let $f : X \rightarrow Y$ be a local

homeomorphism such that $f|_A$ is a homeomorphism. Then f is a homeomorphism on some neighborhood of A in X .

PROOF: We must show that there exists some neighborhood U of $Z = f(A)$ in Y such that f has an inverse on U . If $\partial Z = \emptyset$, let \tilde{Z} be an extension of Z to a submanifold without boundary of Y (of some dimension as Z); if $\partial Z \neq \emptyset$, take $\tilde{Z} = Z$. Choose a Riemannian metric on Y . Then, for small enough $\epsilon > 0$, \tilde{Z} has a tubular neighborhood $(T_\epsilon, \pi_\epsilon)$, where T_ϵ is the set of points of distance less than ϵ from \tilde{Z} in Y , and the projection $\pi_\epsilon : T_\epsilon \rightarrow \tilde{Z}$ gives T_ϵ the structure of (the total space of) a vector bundle over \tilde{Z} whose zero section is the inclusion $\tilde{Z} \rightarrow T_\epsilon$ [Hirsch].

Let $\{W_\alpha\}$ be a cover of Z by open sets such that f has an inverse on each W_α . Choose a finite subcover $\{W_i\}$ of Z . Note that, at any point $z \in Z$, there is a basis for the open sets of Y containing z of the form $\pi_\epsilon^{-1}(V)$, where V is an open set of \tilde{Z} with $z \in V$. Therefore, we may choose a good cover $\{V_\beta\}$ of Z in \tilde{Z} such that, for some $\epsilon > 0$ and for each β , $\pi_\epsilon^{-1}(V_\beta)$ is contained in some W_i . (A good cover $\{V_\beta\}$ has the property that finite intersections of the V_β are contractible; see [Bott and Tu].) Let $\{V_j\}$ be a finite subcover of Z in \tilde{Z} . Then the sets $U_i = \pi_\epsilon^{-1}(V_i)$ have the properties that

- (1) f has an inverse on each U_i ,
- (2) finite intersections of the U_i are contractible,

- (3) finite intersections of the U_i with Z are contractible,
 (4) $U_i \cap U_j \cap Z = \emptyset \implies U_i \cap U_j = \emptyset$.

By assumption, f has an inverse f^{-1} on Z . But since an inverse of f on a connected set is determined by its value at one point, we may choose inverses f_i^{-1} for f on each U_i so that $f_i^{-1}(p) = f_j^{-1}(p)$ if $p \in U_i \cap U_j$, and these choices are determined by setting $f_i^{-1} = f^{-1}$ on $U_i \cap Z$. Thus we have constructed a well-defined inverse for f on $\tilde{U} = \bigcup U_i$, and this \tilde{U} is the required neighborhood of Z_i in Y . ■

COROLLARY (Lemma N): Let $\gamma([a,b])$, $0 \in (a,b)$, be a segment of a geodesic in a pseudo-Riemannian manifold Y , such that $\gamma([a,b])$ has no self-intersections and contains no point conjugate to $\gamma(0)$. Then there is a neighborhood U of $\gamma([a,b])$ which is a normal neighborhood of $\gamma(0)$.

PROOF: Apply the Proposition with $X = T_{\gamma(0)}Y$, $A = \exp_{\gamma(0)}^{-1}(\gamma([a,b]))$, and $f = \exp_{\gamma(0)}$, to obtain a neighborhood \tilde{U} of $\gamma([a,b])$ on which $\exp_{\gamma(0)}$ is invertible. Then choose a neighborhood of $A = \exp_{\gamma(0)}^{-1}(\gamma([a,b]))$ contained in $\exp_{\gamma(0)}^{-1}(\tilde{U})$ which is star-shaped with respect to $0 \in T_{\gamma(0)}Y$; this is possible because A is star-shaped with respect to 0 . The image of this star-shaped neighborhood is the required normal neighborhood U of $\gamma(0)$ containing $\gamma([a,b])$. ■

PROOF OF THEOREM. Fix a point $p \in M$, and a point $\hat{p} \in \pi^{-1}(p) \subset L$. Let $\gamma(t)$ be a parametrization of $\pi^{-1}(p)$ such that $\dot{\gamma} = K$ and $\gamma(0) = \hat{p}$; then $\gamma(t)$ is an affinely parametrized null geodesic of L .

We know that sectional curvatures of all vertical null planes $K \wedge X$ in $T_{\gamma(t)}L$ are equal and positive, for all t . An examination of Jacobi's equation shows that all Jacobi fields $J(t)$ along $\gamma(t)$ with $J'(0) = 0$ and $J(0) = X$, where X is some spacelike vector perpendicular to K , vanish simultaneously at a point $\gamma(\frac{\pi}{2\sqrt{\kappa}})$ to the future of $\gamma(0)$ on γ , and at a point $\gamma(\frac{-\pi}{2\sqrt{\kappa}})$ to the past of $\gamma(0)$ on γ , where $\kappa = \kappa_K(K \wedge X)$.

Let Σ^{2n} be a portion of a wavefront of the lightcone of $\gamma(\frac{-\pi}{2\sqrt{\kappa}})$ at $\hat{p} = \gamma(0)$. Then Σ is a spacelike surface of dimension $2n$, perpendicular to γ at \hat{p} , and having past and future null focal points of order $2n$ along γ at affine distances $\frac{-\pi}{2\sqrt{\kappa}}$ and $\frac{+\pi}{2\sqrt{\kappa}}$ respectively.

Next, let Γ^{2n+1} be the vertical hypersurface in L consisting of those fibers of π which pass through Σ^{2n} , i.e. let $\Gamma = \pi^{-1}(\pi(\Sigma))$. Let $\gamma(a)$ be a point of γ to the past of

$\hat{p} = \gamma(0)$, which lies between the first past-conjugate-point of \hat{p} along γ and the first past-focal-point of Σ along γ . Choose also a point $\gamma(b)$ between the first future focal and conjugate points of \hat{p} on γ . Since the vertical null vector field K on L is a Killing field, the hypersurface Γ is foliated by the past and future translates of Σ under the action of K . Let Σ_a be the past-translate of Σ on which the point $\gamma(a)$ lies; let Σ_b be the future-translate of Σ containing $\gamma(b)$. By Lemma P, every point of Σ_a close enough to $\gamma(a)$ lies in the past of \hat{p} (denoted $J^-(\hat{p})$), and every point of Σ_b near $\gamma(b)$ lies in the future of \hat{p} (denoted $J^+(\hat{p})$). In terms of Γ , this means that every fiber of π in Γ near γ enters the future of \hat{p} and the past of \hat{p} before reaching Σ_b and Σ_a , respectively.

By lemma N, there is a neighborhood U of $\gamma([a,b])$ which is a normal coordinate neighborhood of $\hat{p} = \gamma(0)$. In such a neighborhood, the proof of Proposition 4.5.1 [Hawking and Ellis] shows that the boundary $\partial J^+(p)$ of the future of \hat{p} is the future lightcone of \hat{p} (which we will denote $LC^+(\hat{p})$); also $\partial J^-(\hat{p}) = LC^-(\hat{p})$. We now see that those fibers of π which enter $J^\pm(\hat{p})$ before leaving U must intersect $LC^\pm(\hat{p})$. Since U is an open neighborhood of $\gamma([a,b])$, we may say that every fiber of π close enough to γ in Γ intersects both $LC^+(\hat{p})$ and $LC^-(\hat{p})$. Now, the lightcone of \hat{p} is ruled by the null geodesics which pass through \hat{p} . By the "conservation lemma" (also called the "constant-of-motion lemma"), any geodesic of L has a constant inner product

with the Killing field K which is tangent to the fibers of π . Thus the lightcone of \hat{p} is transverse to the fibers of π , except along $\gamma = \pi^{-1}(p)$. That is, π restricted to $LC - \gamma$ is a local homeomorphism.

In fact, π restricted to $(LC^+ - \gamma) \cap U$ is a homeomorphism. If any two points q_1 and q_2 of $(LC^+ - \gamma) \cap U$ lay on the same fiber, then the one (say q_2) to the future could be reached from p by a broken null geodesic consisting of the light ray from \hat{p} to q_1 , followed by the section of the fiber (which is also a null geodesic) from q_1 to q_2 . This curve is not a smooth null geodesic, since the first segment is nonvertical and the second is vertical, so by Proposition 4.5.10 of [Hawking and Ellis], q_2 can be reached from \hat{p} by some timelike curve. This curve can be made to lie pointwise close to the broken null geodesic from \hat{p} to q_2 , and thus to lie in U . But then q_2 could not have been a point of $LC^+(\hat{p})$, since $LC^+(\hat{p}) \subset \partial J^+(\hat{p})$ in U . So no two points of $(LC^+ - \gamma) \cap U$ can lie on the same fiber, and $\pi|_{(LC^+ - \gamma) \cap U}$ is one-to-one. Thus $\pi|_{(LC^+ - \gamma) \cap U}$ is homeomorphism. Similarly, $\pi|_{(LC^- - \gamma) \cap U}$ is a homeomorphism.

Finally, we must show that π maps a suitable subset of the lightcone of \hat{p} onto an open neighborhood V of p in M . To this end, define a subset L^+ of $(LC^+ - \gamma) \cap U$ as follows: include in L^+ the open segment of each future-null geodesic

through \hat{p} , from \hat{p} to the point where the geodesic either 1) leaves U , or 2) intersects Γ , whichever comes first. In case 2), include also the intersection point. Define a set L^- similarly.

From L^+ and L^- we shall construct a new set S . Choose $t^+, t^- \in \mathbb{R}$, $t^- < 0 < t^+$, so that $\gamma(t^-)$ is to the past of the first past-conjugate-point of $\gamma(0)$ along γ , and so that $\gamma(t^+)$ is to the future of the first future-conjugate-point of $\gamma(0)$ along γ . Let S be the union of 1) a copy of L^+ , past-translated an affine distance t^- under the action of K , 2) a copy of L^- , future-translated an affine distance t^+ , 3) those segments of fibers in Γ intersecting both the translates of L^+ and L^- which lie between the intersection points, and 4) the closed segment of γ from $\gamma(t^-)$ to $\gamma(t^+)$. S is homeomorphic to an open $(2n+1)$ -ball; $\hat{p} = \gamma(0)$ is in the interior of S .

Now define an equivalence relation R on S by declaring two points α and β of S to be R -equivalent if $\pi(\alpha) = \pi(\beta)$. Then the set $B = S/R$ is still homeomorphic to an open $(2n+1)$ -ball, and the equivalence class $[\hat{p}]$ of \hat{p} is in the interior of B .

The projection map $\pi : L \rightarrow M$ induces a map $\tilde{\pi} : B \rightarrow M$ which, by the above discussion, is manifestly one-to-one and continuous. By the invariance of domain theorem [Spanier], the set $V = \tilde{\pi}(B)$ is an open $(2n+1)$ -ball in M , and $\pi(\hat{p}) = p$ is in the interior of V .

Since every point of the sets L^+ and L^- can be reached from \hat{p} by a null geodesic, and since the image of $L^+ \cup L^-$ under π is the same as the image of B under $\tilde{\pi}$ (that is, V) by construction, we have shown that a point p of M admits a neighborhood V such that every point q of V , $q \neq p$, can be reached from p by the projection of a null geodesic of L . That is, p and q can be connected by an L -pseudochain.

V. Example of a nonstandard pseudochain system on the Heisenberg group.

We now turn to the study of an example of a three-dimensional CR manifold with a system of pseudochains which are not chains. We have noted that Fefferman metrics satisfy $K \lrcorner C = 0$, where C is the Weyl tensor; thus a four-dimensional Fefferman metric must be of type N or O in the Petrov classification (see [Kramer, et. al.]), and Lorentz manifolds of other Petrov types cannot be Fefferman. We shall study such a metric with a rotating null Killing field, identify its quotient CR manifold, and show that the pseudochains of the induced system differ qualitatively from the standard chains on this CR manifold.

The Lorentz manifold we wish to study is the Godel universe [Godel], which we label G^4 . This spacetime is homeomorphic to \mathbb{R}^4 , and carries the Lorentz metric

$$ds^2 = d\hat{x}^2 + d\hat{z}^2 + \frac{1}{2}e^{2\hat{x}}d\hat{y}^2 - (d\hat{t} + e^{\hat{x}}d\hat{y})^2.$$

It is a solution of the Einstein equations (with cosmological constant) for a pressure-free perfect fluid, and it is of Petrov type D [Kramer et. al.]. Let us write $\hat{T} = \frac{\partial}{\partial \hat{t}}$, $\hat{X} = \frac{\partial}{\partial \hat{x}}$, $\hat{Y} = \frac{\partial}{\partial \hat{y}}$, $\hat{Z} = \frac{\partial}{\partial \hat{z}}$. The two null congruences $\hat{T} + \hat{Z}$ and $\hat{T} - \hat{Z}$ are in fact commuting null Killing fields with nonvanishing rotation. Let $K_1 = \hat{T} + \hat{Z}$ and $K_2 = \hat{T} - \hat{Z}$. We shall consider the quotient CR

manifold M^3 of G^4 by the null geodesics tangent to the Killing field $K = \sqrt{2} K_1$.

The space M^3 can be realized in G^4 as the subspace $\hat{z} = -\hat{t}$; we give it the coordinates (τ, x, y) , where $\tau = \hat{t} - \hat{z}$, $x = \hat{x}$, and $y = \hat{y}$. The holomorphic tangent plane field on M is found by projecting K^+ to TM ; it is spanned by $X = \pi_*(\hat{X})$ and $Y = \pi_*(\hat{T} - e^{-\hat{x}}\hat{Y})$. (\hat{X} and $\hat{T} - e^{-\hat{x}}\hat{Y}$ are orthonormal spacelike vector fields of G .) The CR structure tensor J on M is given by $J = \pi_*(\nabla K)$; we see that $J(X) = Y$ and $J(Y) = X$.

The Godel universe is a completely homogeneous space-time. It has the five symmetries [Godel]:

- 1) $\hat{t} \rightarrow \hat{t} + b$
- 2) $\hat{y} \rightarrow \hat{y} + b$
- 3) $\hat{z} \rightarrow \hat{z} + b$
- 4) $\hat{x} \rightarrow \hat{x} + b, \hat{y} \rightarrow e^{-b}\hat{y}$
- 5) a rotation (best described in other coordinates).

These induce the following four symmetries of M :

- 1) $\tau \rightarrow \tau + b$
- 2) $y \rightarrow y + b$
- 3) $x \rightarrow x + b, y \rightarrow e^{-b}y$
- 4) rotation.

Thus M is a homogeneous CR-manifold. Symmetries (1) - (3) leave invariant the vector fields

- 1) $T = \pi_*(K_2)$
- 2) $X = \pi_*(\hat{X})$
- 3) $W = \pi_*(\sqrt{2} e^{-X}\hat{Y}) = \sqrt{2} T - Y.$

These vector fields form a Lie Algebra with the following product relations:

$$[T, X] = [T, W] = 0; \quad [W, X] = W$$

Thus we see that M is a Lie group isomorphic to $\mathbb{R} \times P^2$, where P^2 is the non-commutative upper-half-plane. The identity element is the point $(\tau, x, y) = (0, 0, 0)$.

The homogeneous 3-dimensional CR manifolds have been classified by [Cartan]. The space M we have been considering is called Σ_B in Cartan's classification (see no.37 of [Cartan]), and it is locally equivalent to the Heisenberg group $H^3 = \{(U, V) \in \mathbb{C}^2 \mid U - \bar{U} - iV\bar{V} = 0\}$. In fact, M is the universal cover of the Heisenberg group with a chain through infinity, $V = 0$, removed. The map from M^3 in three real coordinates (τ, x, y) to H^3 given as a subset of \mathbb{C}^2 in two complex coordinates (U, V) is given by

$$U = \frac{-y + ie^{-x}}{2}$$

$$V = e^{\frac{-x - i\tau}{2}}.$$

(Our coordinates (τ, x, y) on M^3 correspond to Cartan's coordinates (a, b, c) on Σ_B as follows: $\tau = b$, $x = c$, $y = a$.) The point

$(0,0,0)$ of M is sent to the point $(\frac{i}{2}, 1)$ of H in \mathbb{C}^2 .

The null geodesics of G^4 project to a system of pseudo-chains on M . We have shown that two sufficiently nearby points of M are connected by a curve of this system. However, these pseudochains differ markedly in their local and global behavior from the standard chains. We shall map the G^4 -pseudochains of M to the Heisenberg group, where the standard chains are well-known, in order to make the comparison. There we shall find that G^4 -pseudochains are never closed in sufficiently small neighborhoods, and that they do not connect pairs of points globally. This, of course, is in striking contrast to the behavior of the standard chains of H^3 , some of which are circles in any neighborhood, and which do connect any pair of points.

To show the difference in the global behavior of chains and G -pseudochains, consider the function r on G given by

$$\cosh(2r) = \frac{1 + e^{2\hat{x}} + \frac{1}{2} \hat{y}^2 e^{2\hat{x}}}{2e^{\hat{x}}}.$$

[Chandrasekhar and Wright] computed the geodesics of the Godel universe G , and they found that this function r is bounded above by the value $r_0 = \ln(1 + \sqrt{2})$ on the null geodesics which pass through $(\hat{t}, \hat{x}, \hat{y}, \hat{z}) = (0, 0, 0, 0)$. Projecting r down to a function \tilde{r} on M , we see that \tilde{r} is bounded by $\ln(1 + \sqrt{2})$ on the G -pseudochains passing through $(\tau, x, y) = (0, 0, 0)$. Thus

$$3 \geq \cosh(2r) = \frac{1 + e^{2x} + \frac{1}{2} y^2 e^{2x}}{2e^x}.$$

Now passing from M^3 to H^3 via the correspondence given above, we find that

$$3 \geq \frac{1 + |V|^{-4} + 2\operatorname{Re}^2(U)|V|^{-4}}{2|V|^{-2}}$$

on the G-pseudochains passing through the point $(\frac{i}{2}, 1)$ of H . Since $2 \operatorname{Im}(U) = |V|^2$ on H , we may rewrite this inequality as

$$3 \geq \frac{1 + \frac{1}{4} \operatorname{Im}^{-2}(U) + \frac{1}{2} \operatorname{Re}^2(U) \operatorname{Im}^{-2}(U)}{\operatorname{Im}^{-1}(U)}.$$

Simplifying, we have

$$-2 \operatorname{Re}^2(U) \geq 4 \operatorname{Im}^2(U) - 6 \operatorname{Im}(U) + 1.$$

In order for this inequality to have solutions, it is necessary that $-\sqrt{\frac{5}{8}} \leq \operatorname{Re}(U) \leq \sqrt{\frac{5}{8}}$. Even if $\operatorname{Re}(U) = 0$, which allows $\operatorname{Im}(U)$ to take on the widest possible range of values, we find that $\frac{3 - \sqrt{5}}{4} \leq \operatorname{Im}(U) \leq \frac{3 + \sqrt{5}}{4}$. Using the defining equation $\operatorname{Im}(U) = \frac{1}{2}|V|^2$ of H , we see that the coordinates (U, V) must remain in a compact subset of \mathbb{C}^2 on the pseudochains passing through $(\frac{i}{2}, 1)$, and so these pseudochains remain in a compact subset of H . Thus most points of H cannot be joined to $(\frac{i}{2}, 1)$ by a G-pseudochain. The standard chains of H^3 join any pair of points (even making allowances for the absence of points on the line $V = 0$), and so the standard chains through $(\frac{i}{2}, 1)$ do not remain in any compact set.

The pseudochains of this system also differ locally from the standard chains in that they are not closed in small

neighborhoods. To see this, consider the function f given by restricting the z -coordinate of the Godel universe to any affinely parametrized null geodesic. Since $\frac{\partial}{\partial z}$ is parallel, f is a linear function of the affine parameter of the geodesic, and therefore it is strictly monotone and unbounded above and below. Since $\frac{\partial}{\partial z}$ is also nonvertical, f projects to a function F on pseudochains with the same properties; F is the τ -coordinate of a point of a pseudochain in the coordinates (τ, x, y) on Σ_B discussed above. Mapping to the Heisenberg group, we see that $\text{Arg}(V)$ is always decreasing on every pseudochain. Thus, no pseudochain of this system in a sufficiently small neighborhood of $H - \{V = 0\}$ can be closed.

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