# Normal Two Dimensional Triple Point Singularities

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Chunghyuk Kang

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### AT STONY BROOK

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# Abstract of the Dissertation

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Let (V',P') be a triple point singularity of a purely two-dimensional analytic space V'. Then there exists (V,P) with V a hypersurface, P a triple point singularity of V and such that V and V' have isomorphic normalizations and resolutions. Suppose that V has a projection to  $\xi^2$  with a suitably simple branch locus Q. Let  $\tilde{\Gamma}$  be the topological type of the minimal resolution of P. Let  $\Gamma$  be the topological type of the resolution of P using Q. Then  $\tilde{\Gamma}$ is determined from Q via an explicit computation. An algorithm is given for finding the equisingular type of the plane curve singularity Q in terms of  $\Gamma$ .

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# LIST OF SYMBOLS

V	-	two dimensional analytic space
.v.O	Ħ	the sheaf of germs of holomorphic functions on V.
$v^{\mathcal{O}_{\mathbf{P}}}$	=	the stalk of the sheaf $\mathcal O$ over P.
Z	=	fundamental cycle
m	lí	maximal ideal of $\mathcal{O}_{\mathrm{P}}$ .
supp D	=	support of the divisor of D
Let D	-	$\Sigma d_{i}A_{i}$ be a cycle, an integral combination of the $A_{i}$
()(-D)	=	the sheaf of germs of holomorphic functions on N whose
		divisors are at least D where ${\mathcal O}$ is the sheaf of germs
		of holomorphic functions on N.
. · · ·		

Convention of weighted dual graphs: Vertices without specifying

genera are of genus zero. We write the multiplicity  $d_i$ of  $A_i$  in a cycle  $D = \sum d_i A_i$  by placing that integer in the corresponding position of the vertex.

e.g. 
$$A_4$$
  
 $A_1$   
 $A_2$   
 $A_3$   
 $A_2$   
 $A_3$   
 $A_3$   
 $A_2$   
 $A_3$   
 $A_3$   
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 $A_3$   
 $A_$ 

Let  $D = \sum d_i A_i$  be a positive cycle. Let  $B \subseteq \text{supp } D$ . Then  $D|_B = \sum e_i A_i$  is a cycle where  $e_i = d_i$  if  $A_i \subset B$  and  $e_i = 0$  if  $A_i \notin B$ .

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#### INTRODUCTION

The classification of normal two dimensional singularities can be studied by the resolution of singularities. The resolution problem has been studied by Zariski [Z2], Hirzebruch [Hz], Hironaka [Hr], Brieskorn [B1] and Abhyankar [Ab]. In resolving a two dimensional singularity P, one replaces P by a compact analytic space A. Because P is a normal two dimensional singularity, A is one-dimensional. Let  $A = UA_i$  be the decomposition of A into irreducible components. Thus each  $A_i$  is a (possibly singular) Riemann surface. It is easy to reduce all considerations to the case where the  $A_i$  are nonsingular, intersect transversely, and no three meet at a point. There is a purely topological but very important criterion due to Grauert [Gr] and Mumford [M] which says that A comes from a resolution if and only if the intersection matrix  $(A_i \cdot A_j)$  is negative definite.

The classification problem of isolated singularities of complex surfaces have been studied from various standpoints. Taut singularities in the sense of Tyurina [T2] have been studied by Grauert [Gr], Brieskorn [B2], Laufer [L3] and Wagreich [W2]. The analytic structures of the taut singularities are, by definition, determined by the topological information of their weighted dual graphs. The topological classification of normal two dimensional singularities has been studied by Mumford [M], Wagreich [W1, W2] and Brieskorn [B2].

Let P be a singularity of a normal two dimensional analytic space V. In 1964, M. Artin introduced a definition for P to be rational. Rational singularities have also been studied by, for instance, DuVal [D], Tyurina [T1], Lipman [Li] and Laufer [L2]. In 1970, Wagreich introduced a definition for P to be weakly elliptic. Weakly elliptic singularities have been studied by Wagreich [W1], Laufer [L4], Karras [K1, K2] and Saito [Sa].

One of the important questions in normal two dimensional singularities is "the classification of all weighted dual graphs for hypersurface singularities." Double points are hypersurface singularities. It is known by [Ki] that double points determine plane curve singularities analytically. Wagreich [W1] proved that for double points  $Z \cdot Z \ge -2$ . Then Laufer [L5] showed how to translate the topological classification of double points into the topological classification of double points. It is a natural question to ask for a theory about normal triple point singularities. Let (V',P') be a singularity of any purely two dimensional analytic space V'. Then there exists a hypersurface singularity (V,P) such that V and V' have isomorphic normalizations [G & R, Chapter 3]. Note that the multiplicity of V at P and the multiplicity of V' at P' can be chosen to be the same.

Now let P be a triple point of V. Then by the Weierstrass Preparation Theorem, V may be locally defined by

 $V = \{(x,y,z) : f = z^3 + az^2 + bz + c = 0\}$  and P = (0,0,0);

a = a(x,y), b = b(x,y) and c = c(x,y) are holomorphic near Q = (0,0)and f is of total order 3 at P. Also with a nonsingular change of local coordinates, we may eliminate the coefficient in  $z^2$  of f. Therefore hereafter we assume that V is locally defined by

$$V = \{(x,y,z) : f = z^3 + 3pz + 2q = 0\}$$
 and  $P = (0,0,0)$ 

where p = p(x,y) and q = q(x,y) are holomorphic near Q = (0,0) and f is of total order 3 at P. Let  $\rho: V \to \varphi^2$  be given by  $\rho(x,y,z) = (x,y)$ and let  $B = \{(x,y): -108(p^3 + q^2) = 0\}$ . Note that  $-108(p^3 + q^2)$  is the z-discriminant of f for V. Then B is the branch locus of p. Q is a plane curve singularity. Plane curve singularities have been studied extensively. Their equisingular or topological classification is well understood [Z2]. We shall use the topology of the resolution of Q by quadratic transformations to describe the equisingular type of Q. For a normal two-dimensional singularity P of V, there is the minimal resolution  $r: N \rightarrow V$ . Let  $r: N \rightarrow V$  be a resolution induced by the projection using the resolution to Q (See [L1, chapter 2] or it will be done later in this thesis). Let  $\Gamma$  and  $\Gamma$  denote the topological type of the enbeddings of  $\tilde{r}^{-1}(P)$  and  $r^{-1}(P)$  in  $\tilde{N}$  and N respectively. In this paper we shall relate  $\Gamma$  and  $\Gamma$  to the equisingular type of Q where P is a triple point and Q is the associated plane curve singularity. In Section 2 we give numerical criteria for which components of the discriminant locus are part of the branch locus. We also determine the order of the branching. I determines but not conversely. The examples of Proposition 3.6 show that a given  $\widetilde{\Gamma}$  may have more than one  $\Gamma$  coming from normal triple points.

In Section 4 we impose condition (4.1). This seems to be a reasonable condition. Suppose that the above V satisfies (4.1). Let  $\tilde{r}: \tilde{N} \neq V$  be the minimal good resolution. Then N is obtained from  $\tilde{N}$  by at most 5-time quadratic transformations at each  $s_j$ ,  $1 \leq j \leq l$  in  $A = \tilde{r}^{-1}(P)$  (Theorem 4.7). Also given V with (4.1), there is an algorithm to determine the equisingular type of the plane curve singularity from  $\Gamma$ , the topological type of the embedding of  $A = r^{-1}(P)$  in N (Theorem 4.8).

#### §1 Preliminaries

Gunning and Rossi [G&R] provides a good general reference. Let V be a complex analytic subvariety of a domain in  $\xi^{m}$  given by  $V = \{z = (z_1, z_2, \dots, z_m) : f_i(z) = 0, i = 1, 2, \dots, r\}$ . We assume that V is reduced, i.e., that  $\{f_i(z) : i = 1, 2, \dots, r\}$  generate the ideal id(V) at each point in V.

<u>Definition 1.1</u> A point  $P \in V$  is a regular or nonsingular point of V if the jacobian  $\left(\frac{\partial f_i}{\partial z_j}\right)(P)$ ,  $1 \leq j \leq m$ ,  $i \in I$  where I is a subset of  $\{1,2,\ldots,r\}$  and  $\{f_i\}$ ,  $i \in I$  is a minimal set of defining equations for V at P has maximal rank. If P is not a regular point of V, then P is called a singular point of V. Note that [G&R, Proposition 9, p. 159] the set of singular points of V is a nowhere dense subvariety. If P is a regular point of rank k, then k is called the dimension of V at P.

<u>Definition 1.2</u> Let  $V = UV_i$ ,  $1 \le i \le k$ , be the decomposition of V into irreducible components. By [G&R] note that for each i the set of regular points of  $V_i$  is connected and the dimension of  $V_i$  at any regular point P of  $V_i$  is constant. The number is denoted by dim  $V_i$ . Then dim V is defined by max dim  $V_i$ ,  $1 \le i \le k$ . We shall say that V is pure dimensional if all components of V are of the same dimension. A singular point of V is a two dimensional singularity of V if V is purely two dimensional.

Definition 1.3 A quadratic transformation at a point Q in a two dimensional manifold M consists of a new manifold M' and a map  $\pi: M' \rightarrow M$  such that  $\pi$  is biholomorphic on  $\pi^{-1}(M-Q)$  and  $\pi$  is given near  $\pi^{-1}(Q)$  as follows. Let (x,y) be a coordinate system for a polydisc neighborhood  $\Delta(0;r) = \Delta$  of Q, with Q = (0,0).  $\Delta' = \pi^{-1}(\Delta)$ has two coordinate patches  $U_1 = (u,v)$  and  $U_2 = (u',v')$  with  $u' = \frac{1}{u}$ and v' = uv.  $U_1 \cap U_2 = \{u \neq 0\}$ .  $\pi(u,v) = (uv,v)$  and  $\pi(u',v') = (v',u'v')$ . Thus  $\Delta = \{(x,y) : |x| < r_1, |y| < r_2\}$ ,  $U_1 = \{(u,v) : |uv| \le r_1, |v| \le r_2\}$  and  $U_2 = \{(u',v') : |v'| \le r_1, v' \le r_1, v' \le r_1, v' \le r_1\}$  $|u'v'| < r_2$ . A quadratic transformation as defined above is often called a monoidal transformation, a O-process or a blowing-up at Q. Quadratic transformations are canonical. Namely, let  $\phi : M \rightarrow L$  be a biholomorphic map between the two-dimensional manifolds M and L and let  $\pi': L' \rightarrow L$  be a quadratic transformation at  $\phi(Q)$ . Then there is a unique induced biholomorphic map  $\phi': M' \rightarrow L'$  such that  $\phi \circ \pi = \pi' \circ \phi'$ . Let B  $\subset$  M be any analytic subvariety of M. Then we define the proper transform W of B to be the closure in M' of the inverse image of B away from Q, i.e.,  $W = \pi^{-1}(B-Q)$ .

<u>Definition 1.4</u> A germ h of a function defined on the regular points of V near P is said to be weakly holomorphic at P if h is holomorphic on the regular points near P and locally bounded near P. Let  $\widetilde{\mathcal{O}}$  and  $\mathcal{O}$  be respectively the sheaf of germs of weakly holomorphic functions and the sheaf of germs of holomorphic functions on V. There is a natural inclusion  $\mathcal{O} \subset \widetilde{\mathcal{O}}$ . V is normal at P if  $\mathcal{O}_p \subset \widetilde{\mathcal{O}}_p$ is an isomorphism. V is normal if  $\mathcal{O} \simeq \widetilde{\mathcal{O}}$ , i.e., if V is normal at each of its points.

<u>Definition 1.5</u> If V is an analytic space, then a normalization  $(Y,\pi)$  of V is a normal analytic space Y and a holomorphic map  $\pi: Y \to Y$  such that

(i)  $\pi: Y \rightarrow V$  is proper and has finite fibres.

(ii) If S is the singular set of V and A =  $\pi^{-1}(S)$ , then Y-A is dense in Y and  $\pi \mid Y - A$  is biholomorphic.

If  $P \in V$  and  $(Y,\pi)$  is a normalization of V, then the number of points in  $\pi^{-1}(P)$  equals the number of irreducible components of V near P [L1, p. 37]. A normalization of any two dimensional analytic space V always exists and is unique [L1, p. 38].

<u>Definition 1.6</u> If V is an analytic space, then a resolution of the singularities of V consists of a manifold M and a proper holomorphic map  $\pi: M \to V$  such that  $\pi$  is biholomorphic on the inverse image of R, the regular points of V, and such that  $\pi^{-1}(R)$  is dense in M.

<u>Definition 1.7</u> A nowhere discrete compact analytic subset A of an analytic space G is called exceptional (in G) if there exists an analytic space Y and a proper holomorphic map  $\Phi: G \rightarrow Y$  such that  $\Phi(A)$  is discrete,  $\Phi: G - A \rightarrow Y - \Phi(A)$  is biholomorphic and such that for any open set  $U \subset Y$ , with  $Y = \Phi^{-1}(U)$ ,  $\Phi^*: \Gamma(U, \mathcal{O}) \rightarrow \Gamma(V, \mathcal{O})$  is an isomorphism. If A is exceptional in G, then we shall sometimes say that A can be "blown down" or  $\Phi$  blows down A.

<u>Definition 1.8</u> A resolution  $\pi : M \to V$  of the singularities of V is a minimal resolution if for any other resolution  $\pi' : M' \to V$  there

is a unique holomorphic map  $\rho: M' \to M$  such that  $\pi' = \pi \circ \rho$ . Assume that V is a normal two dimensional analytic space with P its only singularity. Then there is a unique minimal (good) resolution  $\pi: M \to V$  among all resolutions satisfying conditions (i), (ii) and (iii) below. Let  $\pi^{-1}(P) = A = UA_i$  be the decomposition of  $\pi^{-1}(P)$ into irreducible components. 8

- (1) Each A, is nonsingular
- (ii) A and A, i ≠ j, intersect transversely whenever they
   intersect
- (iii) No three distinct A meet [L1, p. 91].

<u>Definition 1.9</u> A branched analytic covering is a triple  $(V,\pi,U)$  such that

- (i) V is a complex analytic variety
- (ii) U is a domain in  $\boldsymbol{\xi}^n$
- (iii)  $\pi$  is a proper holomorphic mapping of V onto U and has discrete fibres
- (iv) there exists a complex analytic subvariety  $D \subset U$  and an integer  $\lambda$  such that  $\pi$  is a  $\lambda$ -sheeted covering map from  $V \pi^{-1}(D)$  onto U D

(v)  $V - \pi^{-1}(D)$  is dense in V.

The subvariety  $D \subset U$  will be called the branch locus of the branched analytic covering. If the above integer  $\lambda = 3$ , then  $\pi : V \rightarrow U$  is called a three-fold branched covering. <u>Definition 1.10</u> Let f(z) be holomorphic in a domain  $U \subset \xi^m$  with  $w \in U$ . Let  $f(z) = \sum_{n=k}^{\infty} f_n(z)$  near w where  $f_n$  is the homogeneous polynomial of degree n. If  $f_k(z)$  is the homogeneous polynomial of lowest degree in this expansion which does not vanish identically, then f(z) is said to have total order k at the point w; if  $f(z) \equiv 0$ , then the function is said to be of total order  $\infty$ .

Suppose that V is a complex subvariety in  $\boldsymbol{\varphi}^r$  and Definition 1.11 V is pure dimensional near P  $\in$  V. Let m be its maximal ideal at P. Then it is well-known [S] that  $h(n) = \dim_{\mathbb{C}} m^n/m^{n+1}$  is a polynomial for sufficiently large n. Suppose  $h(n) = a_0 + a_1 n + \dots + a_d n^d$  for sufficiently large n where  $a_{d} \neq 0$ . Then the  $a_{i}$  are rational and  $d = \dim V - 1$ . The polynomial h is called the Hilbert polynomial of V. Recall that the multiplicity of V is at P, by definition, is d!a,. The multiplicity is a positive integer. Let V be an analytic subvariety of a polydisc in  $\xi^3$  given by  $\{(x,y,z) : f(x,y,z) = 0\}$  with  $P = (0,0,0) \in V$ . Let m be its maximal ideal at P. Then h(n) is a polynomial of degree 1. If f(x,y,z) has a total order k, then the multiplicity of V at P is  $a_1 = k$ . Therefore if V has a multiplicity 3 at P, then by the Weierstrass Preparation Theorem we may assume that V is locally defined by  $\{(x,y,z) : f = z^3 + az^2 + bz + c = 0\}$ and P = (0,0,0); a = a(x,y), b = b(x,y) and c = c(x,y) are holomorphic near Q = (0,0) and f is of total order 3 at P. Also with a nonsingular change of local coordinates, we may eliminate the coefficient in  $z^2$ of f (by replacing z by z - a/3). Thus hereafter V may be locally

defined by  $V = \{(x,y,z) : f = z^3 + pz + q = 0\}$  and P = (0,0,0) where p = p(x,y) and q = q(x,y) are holomorphic near Q = (0,0) and f is of total order 3 at P. If  $P \in V$  is singular then we call P a two dimensional triple point singularity or a triple point.

Lemma 1.12 Let  $\mathbb{V} = \{(x,y,z) \mid z^3 + 3p(x,y)z + 2q(x,y) = 0\}$  be an analytic subvariety of a polydisc in  $\phi^3$  with  $\mathbb{P} = (0,0,0) \in \mathbb{V}$  and  $\mathbb{P}$ a singular point. Let  $\rho: \mathbb{V} \rightarrow \phi^2$  be given by  $\rho(x,y,z) = (x,y)$ . Let  $\pi: \mathbb{M}' \rightarrow \phi^2$  be the quadratic transformation of  $\phi^2$  at  $\mathbb{Q} = (0,0)$ . Let  $(u_1, v_1)$  and  $(u_2, v_2)$  be coordinate patches for  $\mathbb{M}'$  with  $\pi(u_1, v_1) = (x,y)$  $\Rightarrow (u_1v_1, v_1)$  and  $\pi(u_2, v_2) = (x, y) = (v_2, u_2v_2)$ . Then  $\pi$  may be extended to  $\pi': \mathbb{M}' \times \phi \Rightarrow \phi^3$  with  $\pi': (u_1, v_1, z) \Rightarrow (u_1v_1, v_1, z)$  and  $\pi': (u_2, v_2, z)$  $\Rightarrow (v_2, u_2v_2, z)$ . Let  $\mathbb{V}' = (\pi')^{-1}(\mathbb{V})$ . Let  $\omega: \mathbb{N}' \Rightarrow \mathbb{V}'$  be the normalization of  $\mathbb{V}'$ . There is a map  $\rho_1$  such that the following diagram is commutative:



 $\rho_1$  and  $\rho' = \rho_1 \circ \omega$  locally represent V' and N' respectively as threefold branched covering spaces of M'. 10 .

<u>Proof</u> Let  $\lambda$  and  $\mu$  be the total order of the zero of p(x,y) and q(x,y) at (0,0) respectively. Then the local defining equations for V' are the following:

(1.2) 
$$z^{3} + 3p(u_{1}v_{1},v_{1})z + 2q(u_{1}v_{1},v_{1})$$
$$= z^{3} + 3v_{1}^{\lambda}p_{1}(u_{1},v_{1})z + 2v_{1}^{\mu}q_{1}(u_{1},v_{1})$$
$$(1.3) \qquad z^{3} + 3p(v_{2},u_{2}v_{2})z + 2q(v_{2},u_{2}v_{2})$$
$$= z^{3} + 3v_{2}^{\lambda}p_{2}(u_{2},v_{2})z + 2v_{2}^{\mu}q_{2}(u_{2},v_{2})$$

where  $p_1$ ,  $q_1$  are holomorphic near  $(u_1, v_1) = (0,0)$  and  $p_2$ ,  $q_2$  are holomorphic near  $(u_2, v_2) = (0,0)$ . Then  $\rho_1$  is given by  $\rho_1(u_1, v_1, z)$ =  $(u_1, v_1)$  and  $\rho_1(u_2, v_2, z) = (u_2, v_2)$ . So the diagram (1.1) is commutative. Also,  $\rho_1$  and  $\rho'$  are three-fold branched covering maps by Definition 1.5. §2 Resolution of Triple Point Singularities

Recall [B&C, p. 180] that the discriminant of  $z^3 + 3p(x,y)z$ + 2q(x,y) = 0 with respect to z is  $D = -108[p^{3}(x,y) + q^{2}(x,y)]$  in  $\xi^{2}$ . Recall that V of Lemma 1.12 may have a nonisolated singular point P = (0,0,0). Let  $D \not\equiv 0$ , otherwise a resolution of V would be trivial. Let B = loc D. B contains all points above which  $\rho$  may fail to be a covering map, where  $\rho: V \to \xi^2$  is given by  $\rho(x,y,z) = (x,y)$ . B is the branch locus if  $\rho$  is thought of as a branched covering map. B is a plane curve. Let Q = (0,0) be the singularity of B. Let us look at a resolution process in terms of iterations of Lemma 1.12. Without loss of generality, we may work near just  $(u,v) = (u_1,v_1) = (0,0)$  in M'of (1.1). Let  $\textbf{B}_1$  be the branch locus for  $\boldsymbol{\rho}_1$  and B', the branch locus for  $\rho'$ . Then clearly  $B' \subset B_1$ . Also if B' is singular at some point, then so is  $B_1$  at that point. The branch locus  $B_1$  for (1.2) is  $\{v_{p_1}^{3\lambda}, v_{p_1}^{3}(u, v) + v_{p_1}^{2\mu}q_1^2(u, v) = v_{p_1}^m(u, v) = 0\}$  where m is the total order of the zero of  $v^{3\lambda}p_1^3(u,v) + v^{2\mu}q_1^2(u,v)$  over v = 0. Then we get the following.

<u>Lemma 2.1</u> If N' has a singular point of  $(\rho')^{-1}(0,0)$ , then (0,0)is a singular point of  $\{b_1(u,v) = 0\}$  or  $\{v = 0\}$  is part of the branch locus of  $\rho'$  and  $b_1(0,0) = 0$ .

<u>Proof</u> Since N' is a normalization of V', it is enough to show that if Q is a regular point of B', then N' is regular above Q. For proof see [G, Theorem, p. 48].

Now suppose that (u,v) = (0,0) is a singular point of B'. Let  $M^{(2)}$  be the blow-up of M'at (0,0) and apply Lemma 1.12. Also using (1.1) from our blow-up of  $\xi^2$  we get (2.1).



We may iterate the process of going from (1.1) to (2.1) for so long as the branch locus  $B^{(n)}$  of  $\rho^n = \rho_n \circ \omega_n : N^{(n)} \to M^{(n)}$  has singular points. To see that a nonsingular  $B^{(n)}$  exists for some n, we may proceed as follows. After m iterations, let  $\tau_m = \pi \circ \pi_2 \circ \ldots \circ \pi_{m-1} \circ \pi_m : M^{(m)} \to \varphi^2$ . Let  $W^{(m)}$  be the proper transform of  $B = \{p^3(x,y) + q^2(x,y) = 0\}$  under  $\tau_m$ . Let  $E^{(m)} =$  $\tau_m^{-1}(0,0)$ . Then  $E^{(m)}$  is, by definition, an exceptional set of the first kind. Let  $E^{(m)} = \bigcup E_1^{(m)}$ ,  $1 \le i \le m$ , be the decomposition of  $E^{(m)}$  into irreducible components. Let  $(B_m) \equiv (-108(p^3 + q^2) \circ \tau_m)$  $= W^{(m)} + \Sigma e_1 E_1^{(m)}$ ,  $1 \le i \le m$ , be the divisor of  $(p^3 + q^2) \circ \tau_m$ . Then first to find the branch locus  $B^{(m)}$  for  $\rho^{(m)}$ , we need the following propositions.

Lemma 2.2 Let  $W = \{(x,y,z) : f(x,y,z) = z^3 + 3p(x)z + 2q(x) = 0\}$ be an analytic subvariety of a polydisc in  $\xi^3$  with  $P = (0,0,0) \in W$ and P a singular point where p(x) and q(x) are holomorphic in x. 13.

Note that W is independent of y. Let  $\rho: W \rightarrow \varphi^2$  be given by  $\rho(x,y,z) = (x,y)$ . Let D be the discriminant of f(x,y,z) = 0 with respect to z. Then  $D = \pi (t_i - t_j)^2 = -108[p^3(x) + q^2(x)]$  where the  $t_i = t_i(x)$ , i = 1,2,3, are solutions of f(x,y,z) = 0 for z. Assume  $D \neq 0$ . Let  $\omega: \widetilde{W} \rightarrow W$  be the normalization of W. Let  $\rho' = \rho \circ \omega$ . Let  $\varphi < x > [z]$  be the polynomial ring in z with coefficients in  $\varphi < x >$ where  $\varphi < x >$  is the ring of power series expansion of x. Factoring f(x,y,z) in  $\varphi < x > [z]$ , we have the following cases: Observe that irreducibility in  $\varphi < x > [z]$  is the same as irreducibility in  $\varphi < x, z >$ (See [G&R, Lemma 5, p. 71]) which is equivalent to irreducibility in  $\varphi < x, y, z >$  by connectivity of regular set.

- (i) If f(x,y,z) has three distinct linear factors in  $\langle x \rangle [z]$ , then  $\rho' = \rho \circ \omega$  is 3-1 over the locus x = 0.
- (ii) If f(x,y,z) has one linear factor and one irreducible quadratic factor in  $\xi < x > [z]$ , then  $\rho'$  is 2-1 over the locus x = 0.
- (iii) If f(x,y,z) is irreducible in  $\langle x \rangle [z]$ , then  $\rho'$  is 1-1 over the locus x = 0.

Moreover, in case (i) D has a zero of even order in x and in case (ii) D has a zero of odd order in x over the locus x = 0.

<u>Proof</u> By Definition 1.5, it remains to show that in case (i) D has a zero of even order in x and in case (ii) D has a zero of odd order in x over the locus x = 0. In case (i) note that D is a square of a holomorphic function in x and so the proof is obvious.

In case (ii) we may assume that  $f(x,y,z) = z^3 + 3p(x)z + 2q(x) = (z - r_1)(z^2 + r_1z + r_2)$  where  $r_1 = r_1(x)$ ,  $r_2 = r_2(x)$  are in  $\xi < x >$  and  $z^2 + r_1z + r_2$  is irreducible in  $\xi < x > [z]$ . Note that  $3p(x) = -r_1^2 + r_2$  and  $2q(x) = -r_1r_2$ . Then  $D = -108[p^3(x) + q^2(x)] = (2r_1^2 + r_2)^2(r_1^2 - 4r_2)$ . But  $r_1^2 - 4r_2$  must have a zero of odd order in x over the locus x = 0, otherwise  $z^2 + r_1z + r_2$  is not irreducible over  $\xi < x >$ . Thus D has a zero of odd order in x over the locus x = 0.

Proposition 2.3 Assume that the hypotheses in Lemma 1.12 are satisfied. Let  $\lambda$  and  $\mu$  be the total order of the zero of p(x,y) and q(x,y) at (0,0) respectively. Without loss of generality we assume that  $V' = \{(u,v,z) \mid f_1 = f_1(u,v,z) = z^3 + 3v^{\lambda}p_1(u,v)z + 2v^{\mu}q_1(u,v) = 0\}$ . Then the z-discriminant of  $f_1 = -108[v^{3\lambda}p_1^3(u,v) + v^{2\mu}q_1^2(u,v)] =$  $-108 v^m b_1(u,v)$  where  $b_1(u,v)$  is holomorphic near (0,0) and  $v \nmid b_1(u,v)$ . Assume this discriminant is not identically zero. Then  $b_1(u,0) \neq 0$ . Since  $b_1(u,0)$  is a polynomial in u, there may exist  $u_1, \ldots, u_k$  in  $\notin$ such that  $b_1(u_1,0) = 0$ . Let  $B^* = \{v = 0\} - \{(u_1,0) \mid b_1(u_1,0) = 0\}$ .

There are three cases:

- (i)  $m > 3\lambda = 2\mu$ 
  - (a) If m is even, then  $\rho'$  is three to one over the locus  $B^*$ . (b) If m is odd, then  $\rho'$  is two to one over the locus  $B^*$ .

(ii) Let  $m = 3\lambda < 2\mu$ 

(a) If  $m = 3\lambda$  is even, then  $\rho'$  is three to one over the locus  $B^*$ .

(b) If  $m = 3\lambda$  is odd, then  $\rho'$  is two to one over the locus  $B^*$ .

(iii) Let  $m = 2\mu < 3\lambda$ 

Proof of Theorem See [G&F, p. 82].

- (a) If  $m = 2\mu \equiv 0 \pmod{3}$ , then  $\rho$ ' is three to one over the locus  $B^*$ .
- (b) If  $m = 2\mu \not\equiv 0 \pmod{3}$ , then  $\rho'$  is one to one over the locus v = 0.

<u>Proof</u> Note that V' is equisingular along the locus v = 0 except possibly for those  $(u_i, 0)$  where  $b_1(u_i, 0) = 0$ , i = 1, 2, ..., k [Z2, Theorem 7, p. 529]. Let  $u_0$  be fixed such that  $b_1(u_0, 0) \neq 0$ . Then it is enough to prove the above cases over  $(u_0, 0)$  locally. We write (0,0) for such  $(u_0, 0)$ . Also we need the following theorem.

<u>Theorem 2.4</u> (Hensel's Lemma) Let  $h = z_n^s + a_1 z_n^{s-1} + \ldots + a_n$  where the coefficients  $a_i = a_i (z_1, \ldots, z_{n-1})$ are holomorphic near  $(0, \ldots, 0)$ ,  $i = 1, \ldots, n$ . Let h have the decomposition  $h(0, \ldots, 0, z_n) = \prod_{\alpha=1}^{l} (z_n - c_{\alpha})^{s_{\alpha}}$  into linear factors (with the  $c_{\alpha}$  distinct and  $s_1 + s_2 + \ldots + s_{l} = s$ ). Then there are uniquely determined polynomials  $h_1, \ldots, h_l \in [n-1]^{\mathcal{O}[z_n]}$  with  $deg(h_{\alpha}) = s_{\alpha}$  and  $h_{\alpha}(0, \ldots, 0, z_n) = (z_n - c_{\alpha})^{s_{\alpha}}$  for  $\alpha = 1, \ldots, l$  such that  $h = h_1 \cdots h_l$ where  $n-1 \mathcal{O}[z_n]$  is a polynomial ring in  $z_n$  with coefficients holomorphic near  $(z_1, \ldots, z_{n-1}) = (0, \ldots, 0)$ . (i) Let  $m > 3\lambda = 2\mu$ 

To apply Hensel's lemma, substitute  $z \cdot v^{\lambda/2}$  for z in the equation  $f_1(0,v,z)$ . Then we get

$$L' = \{g_1(0,v,z) = z^3 + 3p_1(0,v)z + 2q_1(0,v) = 0\}$$

Note that  $g_1(0,v,z)$  is reducible if and only if  $f_1(0,v,z)$  is reducible in  $\langle v \rangle [z]$ , a polynomial ring in z with coefficients holomorphic near v = 0. If m =  $3\lambda$  =  $2\mu$ , then observe that the z-discriminant of  $g_1(0,v,z)$  is  $-108[p_1^3(0,v) + q_1^2(0,v)] = -108b_1(0,v)$ . So if  $b_1(0,0) \neq 0$ , then clearly  $g_1(0,0,z)$  has three distinct roots and by Hensel's lemma  $g_1(0,v,z)$  has three distinct linear factors in  $\langle v \rangle [z]$ . So does  $f_1(0, v, z)$ . Now if  $m > 3\lambda = 2\mu$ , then the z-discriminant of  $g_1(0,v,z)$  is  $-108[p_1^3(0,v) + q_1^2(0,v)] = -108v^{m-3\lambda}b_1(0,v)$ . We shall prove later that if  $b_1(0,0) \neq 0$ , then  $p_1(0,0) \neq 0$  and  $q_1(0,0) \neq 0$ . Consider the equation  $g_1(0,0,z) = z^3 + 3p_1(0,0)z + 2q_1(0,0)$ . Note that the z-discriminant of  $g_1(0,0,z)$  is zero. Since  $p_1(0,0) \neq 0$ and  $q_1(0,0) \neq 0$ ,  $g_1(0,0,z)$  has one root of multiplicity 1 and the other root of multiplicity 2. Then by Hensel's lemma  $g_1(0,v,z)$  is reducible in (<v>[z]). If m is even, then m-3 $\lambda$  is even and by Lemma 2.2 g<sub>1</sub>(o,v,z) has three distinct linear factors in  $\langle v \rangle [z]$ . So does  $f_1(0,v,z)$ . If m = odd, then  $m - 3\lambda$  is odd and by Lemma 2.2  $g_1(0,v,z)$  has one linear factor and one irreducible quadratic factor in  $\langle v \rangle [z]$ . So does  $f_1(0, v, z)$ . Now we are going to prove that If  $m > 3\lambda = 2\mu$  and  $b_1(0,0) \neq 0$  then  $p_1(0,0) \neq 0$  and  $q_1(0,0) \neq 0$ . Since  $-108(p_1^3(u,v) + q_1^2(u,v)) = -108v^{m-3\lambda}b_1(u,v), p_1^3(u,0) + q_1^2(u,0)$ 

is identically zero. So if  $p_1(0,0) = 0$ , then  $q_1(0,0) = 0$  and conversely. Therefore it is enough to show that if  $p_1(0,0) = 0$  and  $q_1(0,0) = 0$  then  $b_1(0,0) = 0$ . Note that  $p_1(u,v)$  and  $q_1(u,v)$  may be written as  $p_1(u,v) = F + v^{s} \cdot G$  and  $q_1(u,v) = H + v^{t} \cdot J$  respectively, where F = F(u), H = H(u) are polynomials in u, G = G(u,v), J = J(u,v)are holomorphic near (0,0) and v / G and v / J. Also observe that  $F = p_1(u,0) \neq 0$  and  $H = q_1(u,0) \neq 0$  otherwise it would contradict to the fact that  $v \nmid p_1(u,v)$  and  $v \nmid q_1(u,v)$ . But  $u \mid F$  and  $u \mid H$ because  $p_1(0,0) = q_1(0,0) = 0$ . Now writing  $p_1^3(u,v)$  and  $q_1^2(u,v)$  in increasing order of degree of v, we have  $p_1^3(u,v) = F^3 + 3F^2Gv^8 +$  $3FG^2v^{2s} + G^3v^{3s}$  and  $q_1^2(u,v) = H^2 + 2HJv^t + J^2v^{2t}$ . Since  $p_1^3(u,0) + q_1^2(u,0) \equiv 0$ , then  $F^3 + H^2 \equiv 0$ . Therefore if  $s \neq t$  then the first term of  $p_1^3(u,v) + q_1^2(u,v)$  has a factor u and the remaining terms of  $p_1^3(u,v) + q_1^2(u,v)$  has a factor either v or u because u | F and u | H. Thus  $b_1(0,0) = 0$ . If s = t and the first term of  $p_1^3(u,v) + q_1^2(u,v)$  is not identically zero, then it is trivial. So if s = t and  $(3F^2G + 2HJ)v^s \equiv 0$ , then it suffices to show that  $3FG^2 + J^2 \neq 0$  but that  $3FG^2 + J^2$  vanishes at (0,0). But we know that  $F^3 + H^2 \equiv 0$  and  $3F^2G + 2HJ \equiv 0$ . Thus  $3F^2G + 2HJ \equiv 0$  implies  $9F^4G^2 \equiv 4H^2J^2 \equiv -4F^3J^2$ . Hence we get  $9FG^2 = -4J^2$ . Therefore  $3FG^2 + J^2 \equiv 3FG^2 - 9/4 \cdot FG^2 \equiv 3/4 \cdot FG^2 \not\equiv 0$  because  $G \not\equiv 0$ . Since u | F it is trivial.

(ii) Let  $m = 3\lambda < 2\mu$ 

Let L' = {(0,v,z) :  $g_1(0,v,z) = z^3 + 3v^{\lambda}p_1(u,v)z = 0$ }. Note that the z-discriminants of  $f_1(0,v,z)$  and  $g_1(0,v,z)$  are  $-108v^{3\lambda}b_1(0,v)$ =  $-108[v^{3\lambda}p_1^3(0,v) + v^{2\mu}q_1^2(0,v)]$  and  $-108v^{3\lambda}p_1^3(0,v)$  respectively and that  $b_1(0,0) = p_1^3(0,0)$ . So by [Z2, Theorem 7, p. 529] { $f_1(0,v,z) = 0$ } and L' are equisingular. So it remains to consider the equation  $g_1(0,v,z)$ . Since  $b_1(0,0) \neq 0$ , then  $p_1(0,0) \neq 0$ . Therefore if  $\lambda \equiv 0 \pmod{2}$  then  $g_1(0,v,z)$  has three distinct linear factors in  $\[ <v>[z] and so does f_1(0,v,z)$ . Also if  $\lambda \neq 0 \pmod{2}$ , then  $g_1(0,v,z)$ has one linear factor and one irreducible quadratic factor in  $\[ <v>[z]. So does f_1(0,v,z). \]$ 

(iii) Let  $m = 2\mu < 3\lambda$ 

Let L' = {(0,v,z) |  $g_1(0,v,z) = z^3 + 2v^{\mu}q_1(0,v) = 0$ }. Note that the z-discriminant of  $f_1(0,v,z)$  and  $g_1(0,v,z)$  are  $-108[v^{3\lambda}p_1^3(o,v) + v^{2\mu}q_1^2(0,v)]$  and  $-108v^{2\mu}q_1^2(0,v)$  respectively and that  $b_1(0,0) = q_1^2(0,0)$ . Then by [Z2, Theorem 7, p. 529] { $f_1(0,v,z) = 0$ } and L' are equisingular. So it is enough to consider the equation  $g_1(0,v,z)$ . Since  $b_1(0,0) \neq 0$ , then  $q_1(0,0) \neq 0$ . Therefore if  $\mu \equiv 0 \pmod{3}$  then  $g_1(0,v,z)$  has three distinct linear factors in  $\oint \langle v \rangle [z]$ . So does  $f_1(0,v,z)$ . If  $\mu \not\equiv 0 \pmod{3}$  then  $g_1(0,v,z)$  is irreducible in  $\oint \langle v \rangle [z]$  by Lemma 2.2. So is  $f_1(0,v,z)$ . 19 .

<u>Corollary 2.5</u> Under the hypotheses of Proposition 2.3, in the following cases  $\{v = 0\}$  is part of the branch locus of  $\rho'$  as three-fold covering map.

(i) If 
$$m > 3\lambda = 2\mu$$
 and m is odd, then  $\rho'$  is 2-1 over the locus  
 $v = 0$  except possibly for  $(u_i, 0)$  where  $b_1(u_i, 0) = 0$ ,  
 $i = 1, 2, \dots, k$ .

(ii) If  $m = 3\lambda < 2\mu$  and m is odd, then  $\rho'$  is 2-1 over the locus v = 0 except possibly for  $(u_i, 0)$  where  $b_1(u_i, 0) = 0$ , i = 1, 2, ..., k.

(iii) If  $m = 2\mu \le 3\lambda$  and  $m \not\equiv 0 \pmod{3}$ , then  $\rho'$  is 1-1 over the locus v = 0.

Therefore, by Corollary 2.5,  $B^{(m)}$  consists of some irreducible components of  $W^{(m)}$  and  $E^{(m)} = UE_{i}^{(m)}$  satisfying one of the conditions in that Corollary. So to get a nonsingular  $B^{(n)}$ , we may first perform quadratic transformations on  $e^{2}$  until the plane curve singularity, that is, the singularity of  $\{(x,y) \mid p^{3}(x,y) + q^{2}(x,y) = 0\}$ is just resolved. Then the irreducible components of the branch locus of  $B^{(m)}$  are submanifolds.  $E^{(m)}$  has normal crossings. Thus after additional quadratic transformations, any component of  $W^{(m)}$ which meets an  $E_{i}^{(m)}$  will meet that  $E_{i}^{(m)}$  with normal crossings and moreover, no three distinct components of  $W^{(m)}$  and  $E_{i}^{(m)}$ ,  $1 \le i \le m$ meet. But some components of the branch locus  $B^{(m)}$  may happen to intersect with normal crossings. Before resolving this situation, let us recall that  $\tau_m = \pi \circ \pi_2 \circ \cdots \circ \pi_{m-1} \circ \pi_m$  and  $(B_m) = ((p^3 + q^2) \circ \tau_m)$  $= W^{(m)} + \Sigma e_i E_{i}^{(m)}$ ,  $1 \le i \le m$ , is the divisor of  $(p^3 + q^2) \circ \tau_m$ . Under

this mapping  $\tau_m$ , then  $(p^3) | F_i = (p^3 \circ \tau_m) | F_i = 3\lambda_i F_i$  and

 $(q^2)|_{F_i} = (q^2 \circ \tau_m)|_{F_i} = 2\mu_i F_i$ , where  $F_i$  is an irreducible component of  $W^{(m)}$  and  $E^{(m)}$ . Then observe that we have the only three cases below:

(i)  $e_{i} \ge 3\lambda_{i} = 2\mu_{i}$ (ii)  $e_{i} = 3\lambda_{i} < 2\mu_{i}$ (iii)  $e_{i} = 2\mu_{i} < 3\lambda_{i}$ 

If  $p(x,y) \equiv 0$  in V, then note that we have only one case (iii) since p(x,y) is thought as of total order  $\infty$  near Q.

Lemma 2.6 Let  $F_i$  and  $F_j$  be irreducible components of  $B^{(m)}$  with normal crossings and  $F_i \cap F_j \neq \phi$ . Then after at most three time quadratic transformations at  $F_i \cap F_j$ ,  $F_i$  and  $F_j$  can be chosen with  $F_i \cap F_j = \phi$  satisfying the same conditions among (i), (ii) and (iii) in Corollary 2.5.

<u>Proof</u> After restricting to  $F_i \cup F_j$  we may write

$$(B_{m}) = e_{i}F_{i} + e_{j}F_{j}$$

$$(p^{3}) = 3\lambda_{i}F_{i} + 3\lambda_{j}F_{j} \quad \text{and}$$

$$(q^{2}) = 2\mu_{i}F_{i} + 2\mu_{j}F_{j}$$

Let  $\pi_{m+1}$  be the quadratic transformation of  $M^{(m)}$  at  $F_i \cap F_j$ . Let  $\tau_{m+1} = \pi_{m+1} \circ \tau_m$ . Let  $F_k$  be the new exceptional curve and let

$$(B_{m+1}) = ((p^3 + q^2) \circ \tau_{m+1}) = e_k F_k$$

$$(p^{3}) = ((p^{3}) \circ \tau_{m+1}) = 3\lambda_{k}F_{k}$$
  
 $(q^{2}) = ((q^{2}) \circ \tau_{m+1}) = 2\mu_{k}F_{k},$ 

after restricting to  $F_k$ . Observe that  $e_k = e_i + e_j$  but that  $3\lambda_k \ge 3\lambda_i + 3\lambda_j$  and  $2\mu_k \ge 2\mu_i + 2\mu_j$  because there might be additional components of  $(p^3)$  and  $(q^2)$  at  $F_i \cap F_j$  other than  $F_i$  and  $F_j$ . Now consider the following three cases:

- (a) Assume that  $F_i$  satisfies the condition (i) in Corollary 2.5. Then  $e_i > 3\lambda_i = 2\mu_i$  and  $e_i$  is odd.
- (al) If  $F_i$  also satisfies the same condition, then

$$e_j > 3\lambda_j = 2\mu_j$$
 and  $e_j$  is odd.

Then we claim that  $(p^3)$  and  $(q^2)$  have no additional components at  $F_i \cap F_j$ , which will be proved later. If so,  $e_k = e_i + e_j > 3\lambda_k = 3\lambda_k + 3\lambda_j = 2\mu_i + 2\mu_j = 2\mu_k$ . Since  $e_k$  is even and  $e_k > 3\lambda_k = 2\mu_k$ , then by Proposition 2.3,  $F_k$  is not part of the branch locus  $B^{(m+1)}$ and  $F_k$  separates these two components. To prove our claim, suppose that there are additional components of  $(p^3)$  or  $(q^2)$  at  $F_i \cap F_j$ . Let the local defining equation of  $V^{(m)}$ , say f, near  $F_i \cap F_j$  be  $\{f = z^3 + 3v^{\lambda_i}u^{\lambda_j}pz + 2v^{\mu_i}u^{\mu_j}q = 0\}$ , where p = p(u,v) and q = q(u,v)is holomorphic near (0,0),  $v \nmid p$ ,  $v \nmid q$ ,  $u \nmid p$  and  $u \nmid q$  and  $F_i = \{v = 0\}$  and  $F_j = \{u = 0\}$ .  $F_i \cap F_j = (0,0)$ . If  $p(0,0) = 0 \neq q(0,0)$ , then  $e_k = e_i + e_j > 2\mu_k = 2\mu_i + \mu_j$  but  $3\lambda_k > 3\lambda_i + 3\lambda_j = 2\mu_i + 2\mu_j = 2\mu_k$ . So it would be a contradiction otherwise this implies  $e_k = Min(3\lambda_k, 2\mu_k) = 2\mu_k$ . If  $p(0,0) \neq 0 =$  22 ·

q(0,0) then similarly we get a contradiction. Now let  $p(0,0) = \frac{3\lambda_i 3\lambda_j}{3\lambda_j (p^3 + q^2)}$ q(0,0) = 0. Note that the z-discriminant of  $f = -108v^{-1}u^{-1}[p^3 + q^2]$ =  $-108v^{-1}u^{-1}b$ , where b = b(u,v) is holomorphic near (0,0),  $v \nmid b$ ,  $u \nmid b$ . Also observe that  $b(0,0) \neq 0$  by assumption. Since  $e_i > 3\lambda_i$ and  $e_j > 3\lambda_j$ ,  $v^m u^k b = p^3 + q^2$  for some m > 0, k > 0. As in the proof of (i) of Proposition 2.3, we write

$$p = F + v^{S}G$$
$$q = H + v^{t}J$$

where F = F(u), H = H(u) are holomorphic near u = 0, G = G(u,v), J = J(u,v) are holomorphic near (0,0),  $v \nmid G$ ,  $v \nmid J$  and s and t are integers. Note that  $F \not\equiv 0$  and  $H \not\equiv 0$  otherwise it would contradicts to  $v \nmid p$  and  $v \nmid q$ . Now writing  $p^3$  and  $q^2$  in increasing order of degree of v, we have

$$p^{3} = F^{3} + 3F^{2}Gv^{3} + 3FG^{2}v^{2s} + G^{3}v^{3s}$$
  
 $q^{2} = H^{2} + 2HJv^{t} + J^{2}v^{2t}$ .

Since  $p^{3}(u,0) + q^{2}(u,0) \equiv 0$  then  $F^{3} + H^{2} \equiv 0$ . But  $u \mid F$  and  $u \mid H$ because p(0,0) = q(0,0) = 0. If  $G \equiv 0$  then  $p^{3}(0,v) + q^{2}(0,v) \equiv 0$ implies  $J \equiv 0$  because  $F^{3} + H^{2} \equiv 0$ . Thus we get  $G \not\equiv 0$  and  $J \not\equiv 0$ . Then similarly as in the proof of Proposition 2.3, we get b(0,0) = 0. Thus we get a contradiction.

(a2) If F satisfies (ii) in Corollary 2.5, then

 $e_j = 3\lambda_j < 2\mu_j$  and  $e_j$  is odd.

Let the local defining equation f for  $V^{(m)}$  be defined as in case (al). Now if  $p(0,0) \neq 0$ , then  $3\lambda_k = 3\lambda_i + 3\lambda_i < 2\mu_i + 2\mu_i \leq 2\mu_k$  but also  $3\lambda_k = 3\lambda_i + 3\lambda_i < e_i + e_i = e_k$ , which is a contradiction. If p(0,0) = 0, then similarly as in case (al) we have  $v^{m}b(u,v) =$  $[p^3 + u^{j-3\lambda}jq^2]$  for m > 0. Then similarly as in the proof of Proposition 2.3, we get b(0,0) = 0, which is impossible. (a3) If F, satisfies (iii) in Corollary 2.5, then  $e_{i} = 2\mu_{i} < 3\lambda_{i}$  and  $e_{i} = 2\mu_{i} \neq 0 \pmod{3}$ . Then similarly as in case (a2), we get a contradiction. Assume that F satisfies the condition (ii) in Corollary 2.5. (b) Then  $e_i = 3\lambda_i < 2\mu_i$  and  $e_i$  is odd. (b1) If F satisfies (ii) in Corollary 2.5, then  $e_i = 3\lambda_i < 2\mu_i$  and  $e_i$  is odd. Then  $e_k = e_i + e_i = 3\lambda_i + 3\lambda_i = 3\lambda_k < 2\mu_i + 2\mu_i \leq 2\mu_k$  and  $e_k$  is even. Thus, by Proposition 2.3, F is not part of the branch locus  $B^{(m+1)}$ and separates  $F_i$  and  $F_i$ . (b2) If F<sub>1</sub> satisfies (iii) in Corollary 2.5, then  $e_i = 2\mu_i < 3\lambda_i$ ,  $e_i = 2\mu_i \neq 0 \pmod{3}$  and  $\mathbf{e}_{\mathbf{k}} = \mathbf{e}_{\mathbf{i}} + \mathbf{e}_{\mathbf{j}} = 3\lambda_{\mathbf{i}} + 2\mu_{\mathbf{j}}.$  $e_k < 3\lambda_i + 3\lambda_i \leq 3\lambda_k$  and  $e_k < 2\mu_i + 2\mu_j \leq 2\mu_k$ . But It is absurd, because  $(B^{m+1}) < \min((p^3), (q^2))$  over  $F_{\mu}$ .

(c) Assume that F and F satisfy the same condition (iii) in Corollary 2.5. Then

$$\begin{aligned} \mathbf{e}_{\mathbf{i}} &= 2\mu_{\mathbf{i}} < 3\lambda_{\mathbf{i}} \text{ with } \mathbf{e}_{\mathbf{i}} \not\equiv 0 \pmod{3} \text{ and} \\ \mathbf{e}_{\mathbf{j}} &= 2\mu_{\mathbf{j}} < 3\lambda_{\mathbf{j}} \text{ with } \mathbf{e}_{\mathbf{j}} \not\equiv 0 \pmod{3}. \end{aligned}$$

So  $\mathbf{e}_{\mathbf{k}} = \mathbf{e}_{\mathbf{i}} + \mathbf{e}_{\mathbf{j}} = 2\mu_{\mathbf{i}} + 2\mu_{\mathbf{j}} = 2\mu_{\mathbf{k}} < 3\lambda_{\mathbf{i}} + 3\lambda_{\mathbf{j}} \leq 3\lambda_{\mathbf{k}}$ .

If  $e_k \equiv 0 \pmod{3}$ , then by Proposition 2.3,  $F_k$  is not part of the branch locus  $B^{(m+1)}$  and separates these two components. If  $e_k \neq 0$  (mod 3), then by Corollary 2.5,  $F_k$  is still part of the branch locus  $B^{(m+1)}$ . Then by the same argument as above, after one additional blow-up at  $F_i \cap F_k$  and  $F_j \cap F_k$ , respectively, these three components  $F_i$ ,  $F_j$  and  $F_k$  will be separated by the new two exceptional curves which are not part of the branch locus  $B^{(m+3)}$ .

Therefore, after performing quadratic transformations n times, by the previous discussion, we may assume the following:

(2.2) 
$$N^{(n)}$$
  $N^{(2)}$   $N'$   
 $\rho^{(n)}$   $\eta^{(n)}$   $\pi^{(n)}$   $W^{(2)}$   $\chi^{(2)}$   $\chi^{(2)}$   $\chi^{(2)}$   $\pi^{(2)}$   $\chi^{(2)}$   $\chi^$ 

(i) We may iterate the process of going from (1.1) to (2.1) until the branch locus  $B^{(n)}$  of  $\rho^{(n)} = \rho_n \omega_n$  is nonsingular. (ii) Let  $\tau = \tau_n = \pi \circ \pi_2 \circ \cdots \circ \pi_{n-1} \circ \pi_n : M = M^{(n)} \rightarrow \varphi^2$  and and let  $(B_n) = W^{(n)} + \Sigma e_i E_i^{(n)}$ ,  $1 \le i \le n$ , be the divisor of  $(p^3 + q^2)$  or. Then  $B^{(n)}$  consists of those  $W^{(n)}$  and those  $E_i^{(n)}$  satisfying the conditions in Corollary 2.5. Any two distinct components which are part of the branch locus  $B^{(n)}$  do not intersect. Any two distinct components of  $W^{(n)}$  and  $UE_i^{(n)}$ ,  $1 \le i \le n$ , meet with normal crossings and moreover, no three distinct component of them will intersect.

Observe that as long as  $B^{(n)}$  satisfies the above conditions (i) and (ii) we can stop this process.

Given V of Lemma 1.12, we assume that V may have a nonisolated singular point P. Now for such a resolution  $r: N = N^{(n)} \rightarrow V$ where  $r = \pi' \circ \pi^{(2)} \circ \ldots \circ \pi^{(n)} \circ \omega_n$ , there is associated the topological type of the embedding of  $A = r^{-1}(P)$  in N. We shall use  $\Gamma$  to denote this topological type. Let  $A = UA_j$ ,  $1 \le j \le m$ , be the decomposition of A into irreducible components.  $\Gamma$  gives the geometric genus  $g_j$  of each  $A_j$ , the  $A_j \cdot A_j$  or self-intersection numbers in N and how each  $A_j$  intersects  $A_k$ ,  $j \ne k$ . Note that each  $A_j$  is nonsingular by construction. When V of Lemma 1.12 may have a nonisolated singular point P and  $r: N \rightarrow V$  is such a resolution, henceforth we use the terminology "a resolution by (2.2)".

Now we are going to describe  $\Gamma$  from the topological type of Q, the corresponding plane curve singularity, that is, the singularity of the branch locus B of  $\rho$  where  $\rho: V \rightarrow \varphi^2$  is given by  $\rho(x,y,z) =$  (x,y). Let  $Z_E = \Sigma z_i E_i$ ,  $1 \le i \le n$ , be the fundamental cycle where  $E_i = E_i^{(n)}$ . Then  $Z_E$  is also the pull-back under  $\tau = \pi \circ \pi_2 \circ \ldots \circ \pi_n : M \rightarrow \varphi^2$ 

of the maximal ideal (x,y). Let  $X = \sum_{j \neq j}^{A} i^{j}$ ,  $1 \leq j \leq m$ , be the divisor of the pull-back under  $r: N \rightarrow V$  of the ideal (x,y) on V (induced by the projection  $\rho: V \rightarrow \langle ^{2} \rangle$ . For describing the  $A_{j}$  on N in terms of the  $E_{i}$  on M, there are three cases, (I), (II) and (III) below.  $\rho = \rho^{(n)}: N \rightarrow M$  is the three-fold covering map. Let us recall that (B) =  $(B_{n}) = W^{(n)} + \sum_{i \neq j}, 1 \leq i \leq n$  where  $E_{i} = E_{i}^{(n)}$ and (B)  $|_{F_{i}} = e_{i}F_{i}, (p^{3})|_{F_{i}} = 3\lambda_{i}F_{i}$  and  $(q^{2})|_{F_{i}} = 2\mu_{i}F_{i}$  where  $F_{i}$  is any irreducible component of  $UE_{i}^{(n)}$  and  $W^{(n)}$ . For brevity we write  $o(B) = e_{i}, o(p^{3}) = 3\lambda_{i}$  and  $o(q^{2}) = 2\mu_{i}$  along  $F_{i}$ . We may assume that  $E_{i} = \{v = 0\}, \rho_{n}^{-1}(E_{i}) = \{f_{i} = z^{3} + 3v^{\lambda_{i}}p_{i}(u,v)z + 2v^{\mu_{i}}q_{i}(u,v) = 0\}$ and the z-discriminant of  $f_{i} = -108(v^{3\lambda_{i}}p_{i}^{3} + v^{2\mu_{i}}q_{i}^{2}) = -108v^{e_{i}}b_{i}(u,v)$ where  $v \nmid p_{i}, v \nmid q_{i}$  and  $v 
angle b_{i}$ .

(I) Assume that  $E_i = \{v = 0\}$  satisfies the codition (iii) in Corollary 2.5.

Let the local defining equation of  $V^{(n)}$  over  $E_i$  be  $\{f_i = z^3 + 3v p_i(u,v)z + 2v q_i(u,v) = 0\}$ . Then  $o(B) = e_i = 2\mu_i < 3\lambda_i$  and  $2\mu_i \neq 0 \pmod{3}$  along  $E_i$ . So  $C_i = \rho^{-1}(E_i)$  is irreducible, nonsingular and of genus 0.  $C_i = A_j$  for some j. To compute  $A_j \cdot A_j$  in terms of  $E_i \cdot E_i$  let F be a tubular neighborhood of  $E_i$ . Let  $\rho^{-1}(F) = G$ . By [M, pp. 6-13] the fundamental group  $\pi_1(F - E_i)$  is isomorphic to a cyclic group of order  $-E_i \cdot E_i$ . Since  $\rho$  is a three-fold covering above  $F - E_i$ ,  $\pi_1(G - A_j)$  is a cyclic group whose order is equal to the index 3 of  $\pi_1(F - E_i)$ . Also [M, pp. 6-13]  $\pi_1(G - A_j)$  is cyclic of order  $A_j \cdot A_j$ . Thus we get  $E_i \cdot E_i = 3A_j \cdot A_j$ .

 $3z_{i} = m_{j} \text{ because if } A_{j} \text{ is locally defined by } \{t = 0\} \text{ then } v = t^{3}.$ (II) Assume that  $E_{i} = \{v = 0\}$  satisfies the condition (i) or (ii) in Corollary 2.5.

Let the local defining equation of  $V^{(n)}$  over  $E_i$  be

$$\{f_{i} = z^{3} + 3v^{\lambda_{i}}p_{i}(u,v)z + 2v^{\mu_{i}}q_{i}(u,v) = 0\}.$$
  
Then (i)  $o(B) = e_{i} = odd > 3\lambda_{i} = 2\mu_{i}$  or  
(ii)  $o(B) = e_{i} = odd = 3\lambda_{i} < 2\mu_{i}.$ 

Since N is nonsingular above  $E_i$  and  $\rho$  is two to one over  $E_i$  by construction,  $\rho^{-1}(E_i)$  must have two disjoint irreducible components, that is, two disjoint spheres using the fact that spheres are simply connected. Take a tubular neighborhood F of  $E_i$ . By  $[M] \pi_1(F - E_i)$ is a cyclic group of order equal to  $-E_i \cdot E_i$ . Let  $G = \rho^{-1}(F)$ . Then  $\rho |_{G-C_i} : G - C_i \to F - E_i$  is a three fold covering map. Note that G is disconnected because the set of regular points of  $V^{(n)}$  over any neighborhood of  $E_i$  is not connected. Let  $G = G_j \cup G_k$  where  $G_j \supseteq A_j$ ,  $G_k \supseteq A_k$ ,  $\rho |_{G_j} : G_j \to F$  is one to one and  $\rho |_{G_k} : G_k \to F$  is a two-fold connected branched cover with the branch locus  $E_i$  where  $\rho^{-1}(E_i) = C_i = A_j \cup A_k$ . Then by  $[M] 2A_k \cdot A_k = E_i \cdot E_i$ , and  $A_j \cdot A_j = E_i \cdot E_i$ .  $m_j = z_i$  and  $m_k = 2z_i$  as we see in the proof of case (I). (III) Let  $E_i = \{v = 0\}$  be not part of the branch locus of  $\rho$ . Since by Proposition 2.3,  $\rho$  is 3-1 over the  $E_i$  except possibly for those

 $(u,v) = (u_j,0)$  with  $b_i(u_j,0) = 0$ , j = 1,2,...,k,  $C_i = \rho^{-1}(E_i)$  need not be connected. Note that  $\rho^{-1}(E_i)$  is connected if and only if 28 ·

 $\rho^{-1}(E_i - \{(u_1, 0), \dots, (u_k, 0)\})$  is connected. We shall prove later that  $C_i \cdot C_i = 3E_i \cdot E_i$ . Then we have the following three cases:

- (i) If  $\rho^{-1}(E_i)$  consists of globally three topological components, then let  $C_i = A_j \cup A_k \cup A_\ell$ . Then similarly as in (I)  $m_j = m_k = m_\ell = z_i$ .  $A_j \cdot A_j = A_k \cdot A_k = A_\ell \cdot A_\ell = E_i \cdot E_i$ . Each of  $C_i$  is nonsingular of genus 0.
- (ii) If  $\rho^{-1}(E_i)$  consists of globally two topological components, then let  $C_i = A_j \cup A_k$  where  $\rho$  is one to one near a neighborhood of  $A_j$  and  $\rho$  is a two-fold branched cover near a neighborhood of  $A_k$ . Then  $A_j \cdot A_j = E_i \cdot E_i$ . Since  $C_i \cdot C_i = 3E_i \cdot E_i$ ,  $A_k \cdot A_k =$  $2E_i \cdot E_i$ . Also  $m_j = m_k = z_i$  which can be proved similarly as we see in case (I).  $A_j$  is nonsingular of genus 0. Let  $\ell$  be the number of irreducible components of the branch locus of  $\rho$  which intersect  $E_i$ . Then as in the Riemann-Hurwitz formula,  $A_k$  is of genus  $(\ell-2)/2$ , for  $2A - 2B + 2C - \ell = 2 - 2g$  and A - B + C - 2 = 0 where A is the number of 0-cell, B is the number of 1-cell, C is the number of 2-cell in a triangulation of  $E_i$  and g is the geometric genus of  $A_k$ .
- (iii) If  $\rho^{-1}(E_i)$  is irreducible, then  $C_i = A_j$  for some j. Similarly  $m_j = z_i$ , and  $A_j \cdot A_j = 3E_i \cdot E_i$ . Let k be the number of irreducible components of the branch locus over which  $\rho$ are one to one and which intersect  $E_i$ . Let  $\ell$  be the number of irreducible components of the branch locus over which  $\rho$ are two to one and which intersect  $E_i$ . Then by the Riemann-Hurwitz formula  $A_i$  is of genus  $(2k + \ell - 4)/2$ .

Now to prove  $C_i \cdot C_i = 3E_i \cdot E_i$ , we may proceed as follows. Recall a resolution by (2.2). Let h be any generic function in the maximal ideal (x,y). Let (h) be the divisor of the pull-back of h under  $\tau: M \rightarrow \varphi^2$  in (2.2). Let (h) =  $Z_E + W_h$ . Recall that  $Z_E = \Sigma z_i E_i$  is the fundamental cycle.  $Z_E \cdot Z_E = -1$  [Ar, Corollary, p. 135]. In fact by induction,  $E_i \cdot Z_E = 0$  except for i = 1 where  $E_i$ is the curve appearing at the initial quadratic transformation at Q. So  $z_1 = 1$  and  $E_1 \cdot Z_E = -1$ . Recall the map  $\rho = \rho^{(n)} : N \to M$ . Let  $(\rho * h) = X + W_{\rho * h}$  be the divisor of the pull-back of (h) under  $\rho$ . Let us recall that  $X = \Sigma m_i A_i$ . Then either  $X \cdot C_i = 0$  if  $W_h \cap E_i = \phi_h$ or  $X \cdot C_i = -3$  if  $W_h \cap E_i \neq \phi$ , because  $C_i \cdot (X + W_{\rho \star h}) = 0$  and  $\rho$  is a three-fold branched cover over  $E_i$ . If  $W_h \cap E_i \neq \phi$ , then  $E_i = E_1$ . Thus we proved  $3Z_{E} \cdot C_{i} = X \cdot C_{i}$ . If there is part of the branch locus  $E_k$  of  $\rho$  which intersects  $E_i$  let  $\rho^{-1}(E_k) = C_k$ . Then in case (1)  $C_k = A_s$  for some s and note that X has coefficient  $3z_k$  on  $A_s$ . Thus  $3z_k A \cdot C_i = 3z_k$ . In Case (II)  $C_k = A \cup A = A + A$  as divisor and X may be assumed to have coefficient  $z_k$  on A and  $2z_k$ on  $A_t$ . Thus  $(z_k A_s + 2z_k A_t) \cdot C_i = 3z_k$ . If there is not part of the branch locus,  $E_k$  which intersects  $E_i$  then  $C_i \cdot C_k = 3$  where  $\rho^{-1}(E_k) = C_k$ . Therefore  $X \cdot C_i = z_i C_i \cdot C_i + \Sigma 3 z_k$  where the sum  $\Sigma$  is taken over the set  $\{E_i \cap E_k \neq \phi\}$ . Since  $3Z_E \cdot E_i = X \cdot C_i$  and  $Z_E \cdot E_i = Z_E \cdot E_i + \Sigma Z_k$ ,  $C_i \cdot C_i = 3E_i \cdot E_i$ . In fact we also proved that  $X \cdot X = -3$ .

Let us discuss the case (III) in terms of Proposition 2.3. Then we have the subcases (a), (b), (c) and (d) below;
(a) 
$$o(B) = 3\lambda_i \le 2\mu_i \text{ and } 3\lambda_i \equiv 0 \pmod{2}$$
  
(b)  $o(B) = e_i \ge 3\lambda_i = 2\mu_i \text{ and } e_i \equiv 0 \pmod{2}$   
(c)  $o(B) = 2\mu_i \le 3\lambda_i \text{ and } 2\mu_i \equiv 0 \pmod{3}$   
(d)  $o(B) = 3\lambda_i = 2\mu_i$   
(a) Let  $o(B) = o(p^3) = 3\lambda_i \le 2\mu_i = o(q^2) \text{ and } 3\lambda_i \equiv 0 \pmod{2}$   
along  $E_i$ .  
(a1) If there is no part of the branch locus of  $\rho$  which intersects  
 $E_i$ , then  $C_i = \rho^{-1}(E_i)$  has globally three components in N.  
Thus the case (a1) belongs to the case (i) of (III).

(a2) If there is part of the branch locus,  $E_k$  which intersects  $E_i$ , then we claim that

(1) 
$$o(B) = o(p^3) = 3\lambda_k < 2\mu_k = o(q^2), 3\lambda_k \neq 0 \pmod{2}$$
  
along  $E_k$ 

(2)  $\rho^{-1}(E_i)$  has globally two irreducible components. To show (1) is trivial as we see from the proof of Lemma 2.6. To show (2), there is a partial order between those  $E_i$ induced by the order of their appearance in a resolution by (2.2). So after blowing down those  $E_j$  for which  $E_j$  appears later than  $E_i$  then we get a local defining equation for  $V^{(k)}$ , some k, say

$$v^{(k)} = \{z^3 + 3v^{\lambda}p_k(u,v)z + 2v^{\mu}q_k(u,v) = 0\}$$

where  $E_i = \{v = 0\}, \lambda = \lambda_i$  and  $\mu = \mu_i$ . Note that connectedness of  $\rho^{-1}(E_i)$  is just dependent on the regular set of  $(\rho^{(k)})^{-1}(E_i)$ 

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in N<sup>(k)</sup> by (2.2), that is, independent of local coordinates for V<sup>(k)</sup> over E<sub>i</sub>. Since  $\lambda \equiv 0 \pmod{2}$ , let  $\lambda = 2\alpha$ . Replacing z by  $z \cdot v^{\alpha}$  in the local defining equation for V<sup>(k)</sup> we get L<sup>(k)</sup> = { $z^{3} + 3p_{k}(u,v)z + 2v^{\mu-3\alpha}q_{k}(u,v) = 0$ }. Then recalling (2.2), consider the following diagram (2.3).



Clearly L<sup>(k)</sup> and V<sup>(k)</sup> have the same normalization N<sup>(k)</sup> since the fact that  $\rho_k$  and  $\rho'_k$  are proper implies that  $\omega'_k$  is proper, finite and biholomorphic over V<sup>(k)</sup> - E<sub>i</sub>. So there exists  $\omega''_k$  such that  $(N^{(k)}, \omega''_k)$  is the normalization of L<sup>(k)</sup>. Since N<sup>(k)</sup> is the normalization of V<sup>(k)</sup> and N<sup>(n)</sup> is a resolution of V<sup>(k)</sup>, there exists  $\phi: N^{(n)} \rightarrow N^{(k)}$  such that  $\phi$  is holomorphic and unique. But  $(\omega'_k)^{-1}(E_i) = \{z^3 + 3p_k(u,0)z = 0\}$  in L<sup>(k)</sup> is reducible and contains  $\{z = 0\}$  in L<sup>(k)</sup>. Since  $\{z = 0\}$  is independent of local coordinates needed for blowing up process to get a resolution by (2.2),  $(\omega'_k)^{-1}(E_i)$  should have globally at least two components and so  $\rho^{-1}(E_i)$  has globally at least two components. But  $E_i \cap E_k \neq \phi$  implies that  $\rho^{-1}(E_i)$  has at most two components. Thus the case (a2) belongs to (ii) of (III).

- (b) Let  $o(B) = e_i = even > 3\lambda_i = 2\mu_i$  along  $E_i$ .
- (b1) If there is no part of the branch locus of  $\rho$  which intersects  $E_i$ , then  $C_i = \rho^{-1}(E_i)$  has globally three components in N. Thus the case (b1) belongs to (i) of (III).
- (b2) If there is part of the branch locus,  $E_k$  which intersects  $E_i$ , then we claim that
  - (1)  $o(B) = odd > o(p^3) = o(q^2)$  along  $E_k$ (2)  $\rho^{-1}(E_i)$  has globally two irreducible components. To show (1), it is trivial as we see from the proof of Lemma 2.6. To show (2), we follow the same technique as in the case (a2) and use the same diagram (2.3). Then in this case  $L^{(k)} = \{g_k = z^3 + 3p_k(u,u)z + 2q_k(u,v) = 0\}$ . Note that  $g_k$ has the z-discriminant  $-108(p_k^3 + q_k^2)$ ,  $v \nmid p_k$   $v \restriction q_k$  but  $v|(p_k^3 + q_k^2)$  by assumption. So  $p_k^3(u,0) + q_k^2(u,0) \equiv 0$ . Let us look at  $(\omega_k^i)^{-1}(E_i) = \{g_k(u,0,z) = z^3 + 3p_k(u,0)z + 2q_k(u,0) = 0\}$ by (2.3). Since the z-discriminant of  $g_k(u,0,z)$  is identically zero,  $(\omega_k^i)^{-1}(E_i)$  is reducible. Thus  $\rho^{-1}(E_i)$  has globally two irreducible components because  $\rho$  is two to one over  $E_k$ . Thus the case (b2) belongs to (ii) of (III).
- (c) Let  $o(B) = o(q^2) = 2\mu_i < 3\lambda_i = o(p^3)$  and  $2\mu_i \equiv 0 \pmod{3}$ along with  $E_i$ .
- (cl) If there is no part of the branch locus of  $\rho$  which intersects  $E_i$ , then  $C_i = \rho^{-1}(E_i)$  has globally three components in N. Thus the case (cl) belongs to (i) of (III).

(c2) If there is part of the branch locus,  $E_k$  which intersects  $E_i$ , then we claim that

(1) 
$$o(B) = o(q^2) = 2\mu_k < 3\lambda_k = o(p^3), 2\mu_k \neq 0 \pmod{3}$$
  
along  $E_k$ 

(2)  $\rho^{-1}(E_i)$  is irreducible.

To show (1) is trivial as we see from the proof of Lemma 2.6 and (2) follows immediately from (1) by Corollary 2.5. This case belongs to (iii) of (III).

(d) Let 
$$o(B) = 3\lambda_i = 2\mu_i$$
 along  $E_i$ .

- (d1) If there is no part of the branch locus of  $\rho$  which intersects  $E_i$ , then  $C_i = \rho^{-1}(E_i)$  has globally three components in N. Thus the case (d1) belongs to (i) of (III).
- (d2) If there is part of the branch locus of  $\rho$  which intersects  $E_i$ , then there are two possibilities:
  - There is at least one irreducible component of the branch locus of p over which p is one to one.

(2) There is no such a component.

Consider the case (1). It is obvious that  $C_i = \rho^{-1}(E_i)$  is irreducible. So the case (1) belongs to (iii) of (III). Now consider the case (2). In this case, there might be globally one component or two components of  $\rho^{-1}(E_i)$ . To discuss it more, we follow the same technique as in (a2) and use the same diagram (2.3). Then in this case  $L^{(k)} = \{g_k = z^3 + 3p_k(u,v)z + 2q_k(u,v) = 0\}$ . Similarly as 34 -

in the case (a2),  $L^{(k)}$  and  $V^{(k)}$  have the same normalization  $N^{(k)}$ . Note that  $\rho^{-1}(E_i)$  is connected if and only if the regular set of  $(\omega_k^{\prime})^{-1}(E_i)$  is connected by (2.3).  $(\omega_k^{\prime})^{-1}(E_i) = \{z^3 + 3p_k(u,0)z + 2q_k(u,0) = 0\}$ . Thus connectedness of  $\rho^{-1}(E_i)$  is just dependent on the global irreducibility of the plane curve  $(\omega_k^{\prime})^{-1}(E_i)$ . Thus the case (2) of (d2) belongs to either (ii) or (iii) of (III). For the case (2) of (d2), examples of Proposition 3.4 will be seen to satisfy that  $\rho^{-1}(E_i)$  is connected, and on the other hand if P is a triple point of V but V is reducible near P then observe that  $\rho^{-1}(E_i)$  is not connected.

Now, let us recall that  $C_1 = \rho^{-1}(E_1)$  where  $E_1$  is the curve appearing at the initial quadratic transformation at Q. For  $A_j \notin C_1$ ,  $A_j \cdot X = 0$ . In case (I) with  $A_1 = C_1$ ,  $m_1 = 3$ . In case (II) with  $C_1 = A_1 \cup A_2$  we may say that  $m_1 = 1$  and  $m_2 = 2$  where  $A_1 \cdot A_1 = E_1 \cdot E_1$ and  $2A_2 \cdot A_2 = E_1 \cdot E_1$ . In case (III) for each  $A_j \subset C_1$ ,  $m_j = 1$ .

There is a partial ordering < between the A<sub>j</sub> induced by the order of their appearance in a resolution by (2.2). For each A<sub>j</sub> in N, there is a least m, call it m(j), for which A<sub>j</sub> is the proper transform of a curve in N<sup>(m)</sup>.

<u>Definition 2.7</u>  $A_j < A_k$  if m(j) < m(k) for all possible resolution processes by (2.2).

<u>Definition 2.8</u>  $A_k$  follows  $A_j$  if  $A_j < A_k$  and there does not exist  $A_i$  with  $A_j < A_i < A_k$ .

## §3. From Resolution to Triple Point

<u>Proposition 3.1</u> Let  $\omega: \tilde{V} \to V$  be a normalization of V, a twodimensional analytic space. V may have a nonisolated irreducible singular point P and  $\omega^{-1}(P)$  is the only singular point of  $\tilde{V}$ . Let  $r: N \to V$  be a resolution of V. Let  $A = r^{-1}(P)$  be the exceptional set. A is connected. Let  $A = UA_i$ ,  $1 \le i \le n$ , be its decomposition into irreducible components. Let m be the maximal ideal of P. Suppose that  $r^*(m)$  is locally principal, i.e. that the sheaf  $r^*(m) = r^*(m)\mathcal{O}$  on N is locally free of rank 1. Let  $X = \sum_{i=1}^{m} A_i$ ,  $1 \le i \le n$ , be the divisor of  $r^*(m)$ . Let N' be obtained from V by blowing up V at P and then normalizing. Then N' may also be obtained by blowing down those  $A_i$  in N such that  $A_i \cdot X = 0$ .

Proof The same proof as that of Proposition 5.1 of Laufer's paper [L5, p. 322].

Recall  $\omega_1: N' \to V'$ ,  $\rho_1: V' \to M'$  and  $\rho' = \rho_1 \circ \omega_1: N' \to M'$ defined as in Lemma 1.12. Assume that P' is a singular point of V' and the corresponding Q' (induced by  $\rho_1$ ) is a singular point of the branch locus of  $\rho'$ . But N' may be regular above Q or may have a double or triple point above Q. Note that P' is still a triple point of V'. Let  $r': N^* \to V'$  be a resolution by (2.2) near P' (may be induced by  $r: N \to V$ ). If  $X^*$  is the divisor of  $(r')^*(m)$  where m is its maximal ideal at P', then we want  $-X^* \cdot X^* = 3$  which can be proved in (\*) later. If V' is irreducible near P' then supp  $X^*$  is connected.

Anyhow by Proposition 3.1 and (2.2) we may study a resolution by (2.2) by studying  $r^*(m)$  on any resolution  $r: N \rightarrow V$  near P for which  $r^*(m)$  is principal where P is a triple point of V and m is its maximal ideal. Recall the result of Wagreich [W1, p. 426], if V is normal at P and X is the divisor of  $r^*(m)$  with  $r^*(m)$  principal, then -X.X is the multiplicity of P. Therefore we need to extend this result to the following form (\*).

(\*): Let V be a purely two-dimensional analytic space near P = (0,0,0) in  $\varphi^3$ . V may not be normal at P. Let m be its maximal ideal and let  $r: N \rightarrow V$  be a resolution near P. If  $r^*(m)$  is principal and X is the divisor of  $r^*(m)$ , we claim that  $-X \cdot X$  is the multiplicity of P.

Proof of (\*) By Definition 1.11, the multiplicity of V near P is defined to be the degree of the cover of a generic projection  $\lambda$  on a domain in  $\phi^2$ . Let f and g be generic elements of m. Consider  $(f,g): V \rightarrow \phi^2$ . Let  $(f) = X + W_f$  and  $(g) = X + W_g$  with  $W_f \cap W_g = \phi$ where (f) and (g) are the divisors under the pull-back r\*. To find the number of points in  $\lambda^{-1}(a,b)$  with (a,b) small and generic we may choose a new local coordinate (f',g') by linear change of coordinates for which  $(f'(z),g'(z)) = (0,\epsilon)$  instead of (f(z),g(z))= (a,b). Note that f' and g' are still generic elements of m. Then we may write  $(f') = X + W_f$ , and  $(g') = X + W_g$ , with  $W_f$ ,  $\cap W_g$ ,  $= \phi$ under the pull-back r\*. Note that the number of components of  $W_f$ , meeting  $A_i$  is  $-X \cdot A_i$ . But g' or has a zero of order  $x_i$  on  $A_i$ . Thus 37.

g'or =  $\epsilon$  appears  $x_i$  times on each component of  $W_f$  meeting  $A_i$ . Therefore the number of points in  $\lambda^{-1}(0,\epsilon)$  is  $\Sigma(-X \cdot A_i)x_i = -\Sigma X \cdot x_i A_i$ -X \cdot X.

Now Z be the fundamental cycle for an arbitrary resolution of P.  $r^*(m) \subset \mathcal{O}(-Z)$ . Also [W1, Theorem 2.7, p. 426], the multiplicity of P is at least -Z·Z. Since for P a triple point -X·X =  $3 \ge -Z \cdot Z$ , Z·Z may be -1, -2, or -3. Consider a resolution such that  $r^*(m)$  is principal. X = Z + D with D  $\ge 0$ . X·X = Z·Z + 2Z·D + D·D. D·D  $\le 0$ , since the intersection matrix for the A<sub>j</sub> is negative definite. D·D = 0 if and only if D = 0. Z·D  $\le 0$  by the definition of Z.

Lemma 3.2 If P is a triple point and  $Z \cdot Z = -3$ , then on any resolution,  $r^*(m)$  is principal and equal to  $\mathcal{O}(-Z)$ .

<u>Proof</u> If  $r^*(m)$  is not principal, let  $Y = \sum m_i A_i$ , where  $m_i$  is the order to which functions gor,  $g \in m$ , generically vanish on  $A_i$ . Let  $r_1 : N_1 \rightarrow V$  be a resolution on which  $r_1^*(m)$  is principal. Let  $r_1 = r \circ \pi$ . Then on  $N_1$ , letting  $\pi^*$  denote the pull-back,  $X > \pi^*Y \ge \pi^*Z = Z_1$ . Since  $X \cdot X = -3 < \pi^*Y \cdot \pi^*Y \le Z_1 \cdot Z_1 = -3$ , it is a contradiction. So  $r^*(m)$  is principal and X = Z.

Thus to describe  $r^*(m)$  for triple points, there only remain the cases  $Z \cdot Z = -2$  and  $Z \cdot Z = -1$ . If  $r^*(m)$  is principal, then X > Z. Recall that  $X = \sum_{j=1}^{m} A_j$ ,  $1 \le j \le n$ , is the divisor of  $r^*(m)$  and that  $A = UA_j$ ,  $1 \le j \le n$ . Definition 3.3 A cycle D on A is a integral combination of the  $A_i$ , i.e.,  $D = \Sigma d_i A_i$ ,  $1 \le i \le n$ , with  $d_i$  an integer. In the following cycle will always mean a cycle on A. There is a natural partial ordering, denoted by <, between cycles defined by comparing the coefficients. We shall only be considering cycles  $D \ge 0$ . Let supp  $D = UA_i$ ,  $d_i \ge 0$ , denote the support of D. Let L and K be two cycles on A where  $L = \Sigma l_i A_i$  and  $K = \Sigma k_i A_i$ . The cycle Min(L,K) is defined by  $\Sigma \min(l_i, k_i) A_i$  where  $\min(l_i, k_i)$  is the minimum of  $l_i$  and  $k_i$ . For any integer  $\lambda$ ,  $\lambda D$  is defined by  $\Sigma \lambda d_i A_i$ . D supp E means the cycle restricted to the supp E where E is a cycle on A.

<u>Proposition 3.4</u> Let  $V = \{(x,y,z) : z^3 + 3p(x,y)z + 2q(x,y) = 0\}$ be an analytic space with  $P = (0,0,0) \in V$  and P an irreducible singular point. Let  $\omega : \tilde{V} \to V$  be the normalization of V and  $\omega^{-1}(P)$ , the only singular point of  $\tilde{V}$ . Let  $r : N \to V$  be a resolution by (2.2). Let  $A = r^{-1}(P)$  be the exceptional set. A is connected. Let  $A = UA_i, 1 \le i \le n$ , be its decomposition into irreducible components. Let  $X = \sum_j A_j, 1 \le j \le m$ , be the divisor of the pull-back under  $r : N \to V$  of the ideal (x,y) on V. Then  $X \cdot X = -3$ . Let Z be the fundamental cycle on  $UA_i, 1 \le i \le n$ . Assume that  $Z \cdot Z = -2$  or -1. Let us discuss the structure Z and X in terms of the  $A_i$ . There are two cases, (I) and (II) below.

(1) If Z·Z = -2, then we have the subcases (A), (B) and (C).
(A) There exists A<sub>1</sub> such that Z·A<sub>1</sub> = -2 and A<sub>1</sub> has coefficient 1 in Z. Let X = Z + D. Then D is the fundamental cycle on a

connected component of  $UA_1$ ,  $i \neq 1$ .  $D \cdot D = -1$ . Let  $A_2$  be such that  $D \cdot A_2 = -1$ . Then  $A_2$  has coefficient 1 in D. So  $A_1$  and  $A_2$ have coefficients 1 and 2 in X, respectively.  $X \cdot A_1 = X \cdot A_2 = -1$ .

- (B) There is  $A_1 \neq A_2$  such that  $Z \cdot A_1 = Z \cdot A_2 = -1$  and  $A_1$  and  $A_2$  have coefficients 1 in Z. Then X = Z + D where D is the fundamental cycle on a connected component of  $UA_1$ ,  $i \neq 1,2$ .  $D \cdot D = -1$ . Let  $A_3$  be such that  $D \cdot A_3 = -1$ . Then  $X \cdot A_3 = -1$ . So  $A_3$  has coefficient either 2 or 3 in X.
- (B1) If  $A_3$  has coefficient 3 in X, then  $X \cdot A_1 = X \cdot A_2 = 0$ .  $A_1$ ,  $A_2$ and  $A_3$  have coefficients 1, 1 and 3 in X, respectively.
- (B2) If  $A_3$  has coefficient 2, then either  $(X \cdot A_1 = -1 \text{ and } X \cdot A_2 = 0)$ or  $(X \cdot A_1 = 0 \text{ and } X \cdot A_2 = -1)$ .  $A_1$ ,  $A_2$  and  $A_3$  have coefficients 1, 1 and 2 in X, respectively.
- (B1) Consider the case that  $A_3$  has coefficient 3 in X. Then  $A_1$  and  $A_2$  have coefficient 1 in Z 2D.  $A_3 \not\in \operatorname{supp}(Z 2D)$ .  $(Z 2D) \cdot A_1 = (Z 2D) \cdot A_2 = -3$  and  $(Z 2D)^2 = -6$ .  $\operatorname{Supp}(Z 2D)$  is not connected. In fact,  $\operatorname{supp}(Z 2D)$  is a union of two disjoint connected components of  $UA_1$ ,  $i \neq 3$  where one component  $C_1$  contains  $A_1$  and the other component  $C_2$  contains  $A_2$ . Also supp D is a unique component of  $UA_1$ ,  $i \neq 1, 2$  which intersects both  $A_1$  and  $A_2$ . Let  $Z_1$  and  $Z_2$  be the fundamental cycles on  $C_1$  and  $C_2$ , respectively. Then  $Z_1^2 = Z_1 \cdot A_1 = -3$  and  $Z_2^2 = Z_2 \cdot A_2 = -3$ .
- (B2) Consider the case that  $A_3$  has coefficient 2 in X. Then we may assume without loss of generality that  $X \cdot A_1 = -1$  and

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 $X \cdot A_2 = 0$ . Then supp D is a connected component of  $UA_1$ ,  $i \neq 2$ which does not contain  $A_1$ . Also there exists a unique component C of  $UA_1$ ,  $i \neq 1,3$  which intersects  $A_1$  and  $A_3$  both. Then  $A_2 \subset C$ . Let  $Z_*$  be the fundamental cycle on C. Then  $Z_*^2 = -3$  and  $A_2$  has coefficient 1 in  $Z_*$ . Either  $Z_* \cdot A_2 = -2$ ,  $Z_* \cdot A_t = -1$ , where  $A_2$  and  $A_t$  have coefficients 1 in  $Z_*$  or  $Z_* \cdot A_2 = -3$ . If  $Z_* \cdot A_2 = -2$  and  $Z_* \cdot A_t = -1$ , then let  $D_*$  be the fundamental cycle of the connected component of  $UA_1$ ,  $i \neq 1, 2$ which contains  $A_t$  (and intersects both  $A_1$  and  $A_2$ ). Then  $D_* \cdot D_* \neq -1$ .

- (C) There exists  $A_1$  such that  $Z \cdot A_1 = -1$  and  $A_1$  has coefficient 2 in Z. Then X = Z + D where D is the fundamental cycle on a connected component of  $UA_1$ ,  $i \neq 1$ .  $D \cdot D = -1$ . Let  $A_2$  be such that  $D \cdot A_2 = -1$ . Then  $X \cdot A_2 = -1$  with  $A_2$  coefficient 3 in X.  $A_1$  has coefficient 2 in Z - 2D and  $A_2$  is not contained in supp(Z - 2D).  $(Z - 2D) \cdot A_1 = -3$  and  $(Z - 2D)^2 = -6$ . So supp(Z - 2D) is the connected component of  $UA_1$ ,  $i \neq 2$  which contains  $A_1$ . Let  $Z_*$  be the fundamental cycle on supp(Z - 2D). Then  $Z_*^2 = -2$  or -3 and also  $Z_* \cdot A_1 = 0$  or -1. Let  $X_*$  be the cycle on supp(Z - 2D) such that  $X_* \cdot A_1 \leq 0$  for all  $A_1 \subseteq$  supp(Z - 2D) and  $X_* \cdot X_* = -3$ . Then  $X_* \cdot A_1 = 0$ . So we have the following possibilities (C1), (C2), (C3), (C4) and (C5).
- (C1) Let  $A_s \neq A_1$  be such that  $X_* \cdot A_s = -3$ . Then  $X_* \cdot A_2 = 1$ .  $A_s$  has coefficient 1 in X and then  $A_s \cap \text{supp D} = \phi$ . Since  $Z 2D > X_*$ , let  $Z 2D = X_* + F$ . Then  $F^2 = F \cdot A_1 = -3$ .

 $F \cdot A_2 = 1$  and  $F \cdot A_s = 3$ . So F is the fundamental cycle on the connected component of  $UA_i$ ,  $i \neq 2, s$ , which contains  $A_1$ . Consider the cycle  $X_* - F$ .  $(X_* - F) \cdot A_s = -6$ .  $A_s$  has coefficient 1 in  $X_* - F$  and  $(X_* - F)^2 = -6$ . Thus  $supp(X_* - F)$  is the connected component of  $UA_i$ ,  $i \neq 1$  which contains  $A_s$  and  $X_* - F$  is the fundamental cycle on its support.

- (C2) Let  $A_s \neq A_t$  be such that  $X_* \cdot A_s = -2$  and  $X_* \cdot A_t = -1$ . Then  $X_* \cdot A_2 = 1$ .  $A_s$  and  $A_t$  have coefficients 1 in X. So  $A_s$  and  $A_t$ are not contained in supp D. Since  $Z - 2D > X_*$ , let  $Z - 2D = X_* + F$ . Then  $F^2 = F \cdot A_1 = -3$ ,  $F \cdot A_2 = 1$ ,  $F \cdot A_s = 2$  and  $F \cdot A_t = 1$ . So F is the fundamental cycle on the connected component of  $UA_i$ ,  $i \neq 2$ , s, t which contains  $A_1$ . Let us consider the cycle  $X_* - F$ . Then  $(X_* - F) \cdot A_s = -4$ ,  $(X_* - F) \cdot A_t = -2$  and  $(X_* - F)^2 = -6$  since  $A_s$  and  $A_t$  have coefficient 1 in  $X_* - F$ . So there is no fundamental cycle with its self-intersection number -1 on any component of supp $(X_* - F)$ .
- (C3) Let  $A_{s1}$ ,  $A_{s2}$  and  $A_{s3}$  be distinct with  $X_* \cdot A_{s1} = X_* \cdot A_{s2} = X_* \cdot A_{s3} = -1$ . So  $A_{s1}$ ,  $A_{s2}$  and  $A_{s3}$  have coefficient 1 in X and are not contained in supp D. Since  $Z 2D > X_*$ , let  $Z 2D = X_* + F$ . Then  $F^2 = F \cdot A_1 = -3$ ,  $F \cdot A_2 = 1$  and  $F \cdot A_{s1} = F \cdot A_{s2} = F \cdot A_{s3} = 1$ . So supp F is the connected component of  $UA_i$ ,  $i \neq 2$ , s1, s2, s3 which contains  $A_1$ . Consider the cycle  $X_* F$ . Then  $(X_* F) \cdot A_{s1} = (X_* F) \cdot A_{s2} = (X_* F) \cdot A_{s3} = -2$ ,  $(X_* F)^2 = -6$  and  $A_{s1}$ ,  $A_{s2}$  and  $A_{s3}$  have coefficient 1 in  $X_* F$ . So there is no fundamental cycle with its self-intersection number -1 on any component of  $supp(X_* F)$ .

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(C4) Let  $A_s$  be such that  $X_* \cdot A_s = -1$  with coefficient 3 in  $X_*$ . Then  $X_* \cdot A_2 = 1$ . So  $A_s$  has coefficient 3 in X and then  $A_s$  is not contained in supp D. Since  $A_s$  has coefficient 3 in Z-2D,  $Z - 2D > X_*$ . Let  $Z - 2D = X_* + F$ . Then  $F^2 = F \cdot A_1 = -3$ ,  $F \cdot A_2 = 1$  and  $F \cdot A_s = 1$ . So supp F is the connected component of  $UA_1$ ,  $i \neq 2$ , s which contains  $A_1$ . Consider the cycle  $X_* - F$ . Then  $(X_* - F) \cdot A_s = -2$ ,  $(X_* - F) \cdot A_1 = 3$  and  $A_s$  has coefficient 3 in X.  $A_1$  is not contained in supp $(X_* - F)$ .  $(X_* - F)^2 = -6$ . So supp $(X_* - F)$  is the connected component of  $UA_1$ ,  $i \neq 1$  which contains  $A_s$ . Note that there is no fundamental cycle L with  $L^2 = -1$  on supp $(X_* - F)$ .

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(C5) Let  $A_s \neq A_t$  be such that  $X_* \cdot A_s = X_* \cdot A_t = -1$  where  $A_s$  and  $A_t$ have coefficients 2 and 1 in X respectively. Then  $X_* \cdot A_2 = 1$ . So  $A_s$  and  $A_t$  have coefficients 2 and 1 in X respectively.  $A_s$  and  $A_t$  are not contained in supp D. Since  $A_s$  and  $A_t$  have coefficients 2 and 1 in Z - 2D, Z - 2D > X\_\*. Let Z - 2D = X\_\* + F. Then  $F^2 = F \cdot A_1 = -3$ ,  $F \cdot A_2 = F \cdot A_s = F \cdot A_t = 1$ . So supp F is the connected component of  $UA_1$ ,  $i \neq 2, s, t$  which contains  $A_1$ . Consider the cycle  $X_* - F$ . Then  $(X_* - F) \cdot A_s = (X_* - F) \cdot A_t = -2$ and  $(X_* - F)^2 = -6$  since  $A_s$  and  $A_t$  have coefficient 2 and 1 in  $X_* - F$  respectively. Moreover  $X_*$  is the fundamental cycle on supp(Z - 2D) and there is no fundamental cycle L with L·L = -1 on any connected component of supp( $X_* - F$ ).

- (II) If  $Z \cdot Z = -1$ , then let  $A_1$  be such that  $A_1 \cdot Z = -1$ . Then X = Z + D where D is a cycle on a connected component of  $UA_1$ ,  $i \neq 1$ .  $D \cdot D = -2$ . Then there exists  $A_2$  such that  $D \cdot A_2 = -1$ and that  $A_2$  has coefficient 2 in D.  $X \cdot A_2 = -1$  and  $A_2$  has coefficient 3 in X.  $A_1 \cap A_2 = \phi$ . Let  $G = \min(Z | \text{supp D}, D)$ . Then  $G \cdot G = -1$  and so G is the fundamental cycle on supp D. Let  $Z_*$  be the fundamental cycle on the connected component of  $UA_1$ ,  $i \neq 2$  which contains  $A_1$ . Then  $Z_* \cdot Z_* = -2$ ,  $Z_* \cdot A_1 = -1$ and  $A_1$  has coefficient 1 in  $Z_*$ . Let  $A_p$  be such that  $G \cdot A_p = -1$ and then  $A_p$  has coefficient 1 in G.  $Z_* \cdot A_p = -1$  and  $A_1$  and  $A_p$ have coefficient 1 in  $Z_*$ . Let  $X_*$  be the cycle on supp  $Z_*$  such that  $X_* \cdot A_i \leq 0$  for all  $A_i \subset \text{supp } Z_*$  and  $X_* \cdot X_* = -3$ . Then we have the following two possibilities:
- (A) Let  $A_t$  be such that  $X_* \cdot A_t = -1$  and that  $A_t$  has coefficient 3 in  $X_*$ . Supp(D-G) is a connected component of  $UA_i$ ,  $i \neq p$ which does not contain  $A_1$  and D-G is the fundamental cycle on its support with  $(D-G)^2 = (D-G) \cdot A_2 = -1$ . Also  $X_* - Z_*$ is the fundamental cycle with  $(X_* - Z_*)^2 = (X_* - Z_*) \cdot A_t = -1$ on the connected component of  $UA_i$ ,  $i \neq 1$ , p which intersects both  $A_1$  and  $A_p$ .  $A_t$  follows  $A_2$ ,  $A_1$  follows  $A_t$  and  $A_p$  follows  $A_t$ .
- (B) Let  $X_*$  be such that  $X_* \cdot A_t = X_* \cdot A_1 = -1$  and that  $A_1$  and  $A_t$ have coefficients 1 and 2 in X, respectively. Let  $X_* = Z_* + G_*$ . Then supp  $G_*$  is a connected component of  $UA_1$ ,  $i \neq p$  which does not contain  $A_1$  and  $A_2$  both.  $G_*^2 = G_* \cdot A_t = -1$ . Also

supp(D-G) is a connected component of  $UA_i$ ,  $i \neq p$  which does not contain  $A_1$  and  $A_t$ .  $(D-G)^2 = (D-G) \cdot A_2 = -1$ .  $A_t$  and  $A_1$ follow  $A_p$  at the same time and  $A_p$  follows  $A_t$  and  $A_1$ .

Also, we can prove the existence of such cases by providing examples.

## Proof of Proposition 3.4

- (I) Let  $Z \cdot Z = -2$ .
- Let  $A_1$  be such that  $Z \cdot A_1 = -2$  with coefficient 1 in Z. By (A) the definition of Z, X = Z + D with D > 0. Since  $-3 = X \cdot X =$  $Z \cdot Z + 2Z \cdot D + D \cdot D$  and  $Z \cdot Z = -2$ ,  $Z \cdot D \leq 0$  and  $D \cdot D < 0$  imply that  $Z \cdot D = 0$  and  $D \cdot D = -1$ . So  $A_1 \Leftrightarrow \text{supp } D$  since  $Z \cdot D = 0$ . For  $j \neq 1, Z \cdot A_{i} = 0$  implies  $D \cdot A_{i} = (X - Z) \cdot A_{i} \leq 0$ . So  $D \cdot A_{i} \leq 0$ for  $A_1 \subset \text{supp D}$ . Since  $D \cdot D = -1$ , let  $A_2$  be such that  $D \cdot A_2 = -1$ .  $X \cdot A_2 = (Z + D) \cdot A_2 = -1$ . Since  $X \cdot X < X \cdot 2A_2 = -2$ ,  $A_2$  has coefficient either 3 or 2 in X. But we claim that  $A_2$  must have coefficient 2 in X. If  $A_2$  had coefficient 3 in X, then  $X \cdot X = X \cdot 3A_2 = -3$  and so  $X \cdot A_i = 0$  for  $i \neq 2$ . Therefore  $0 = X \cdot A_1 = (Z + D) \cdot A_1 = -2 + D \cdot A_1$  would imply  $D \cdot A_1 = 2$ . Since supp D is a component of UA<sub>i</sub>,  $i \neq 1$ , let G = min(Z | supp D, 2D). Note that A  $_2$  has coefficient 2 in Z and 1 in D. Then G  $\leq$  2D and  $G \cdot A_2 \leq 2D \cdot A_2 = -2$ . Since  $G \cdot G \leq G \cdot 2A_2 \leq -4$  and  $4D \cdot D = -4$ ,  $G \cdot G = -4$  and thus G = 2D. Thus  $Z |_{supp D} \ge 2D$ . Consider Z - 2D. Then supp(Z - 2D) is the connected component of  $UA_i$ ,  $i \neq 2$ containing  $A_1$ , because  $(Z - 2D)^2 = -6$ ,  $(Z - 2D) \cdot A_1 = -6$ ,

 $(Z - 2D) \cdot A_i = 0, i \neq 1, 2, (Z - 2D) \cdot A_2 = 2$  and  $A_2 \notin \text{supp}(Z - 2D)$ . Since  $A_1$  has coefficient 1 in Z - 2D, Z - 2D would be the fundamental cycle on that component and thus it leads to a contradiction because  $(Z - 2D)^2$  must be  $\geq -3$  by Proposition 3.1 and by (\*) below Proposition 3.1. Let  $A_2$  have coefficient 2 in X. Then there is  $A_k$  with  $k \neq 2$  such that  $X \cdot A_k = -1$  and so  $A_k$  has coefficient 1 in X. If  $Z \cdot A_k = 0$ , then X = Z + D would imply  $D \cdot A_k = -1$  for  $k \neq 2$ . So  $Z \cdot A_k < 0$ . Therefore  $A_k = A_1$ . Since  $X \cdot A_1 = -1$  and  $Z \cdot A_1 = -2$ ,  $D \cdot A_1 = 1$ . In fact, D is the fundamental cycle on a connected component of  $UA_i$ ,  $i \neq 1$ . Let  $A_1 \neq A_2$  be such that  $Z \cdot A_1 = Z \cdot A_2 = -1$ . Then  $A_1$  and  $A_2$ have coefficient 1 in Z. By the definition of Z, X = Z + Dwith D > 0. Then by the same argument as in the case (A), D is the fundamental cycle on a connected component of  $UA_i$ ,

(B)

 $i \neq 1,2$  with  $D \cdot D = -1$ . Let  $A_3$  be such that  $D \cdot A_3 = -1$ . Then  $X \cdot A_3 = -1$ . Since  $X \cdot X < X \cdot 2A_3 = -2$ ,  $A_3$  has coefficient either 3 or 2 in X.

(B1) If  $A_3$  has coefficient 3 in X, then  $X \cdot X = X \cdot 3A_3 = -3$  and so  $X \cdot A_1 = X \cdot A_2 = 0$  implies  $D \cdot A_1 = D \cdot A_2 = 1$ . Let  $G = \min(Z | \text{supp D}, 2D)$ . Then  $G \leq 2D$ . Since  $A_3$  has coefficient 2 in Z | supp D and also in 2D,  $G \cdot A_3 \leq 2D \cdot A_3 = -2$ .  $G \cdot G \leq G \cdot 2A_3 \leq -4$  and  $G \cdot G \geq 4D \cdot D = -4$ imply G = 2D. Thus Z | supp D  $\geq 2D$ . Consider the cycle Z - 2D. Note that  $A_1$  and  $A_2$  have coefficient 1 in Z - 2D.  $(Z - 2D) \cdot A_1 = (Z - 2D) \cdot A_2 = -3$ ,  $A_3 \notin \text{supp}(Z - 2D)$  and  $(Z - 2D) \cdot A_3 = 2$ . So supp(Z - 2D) cannot be connected. If not,

Z-2D would be the fundamental cycle on a connected component of UA<sub>i</sub>, i  $\neq$  3 with (Z-2D)<sup>2</sup> = -6 and it is impossible by Proposition 3.1 and (\*). Since the intersection matrix for the A<sub>i</sub> is negative definite, supp(Z-2D) is a union of two disjoint connected components of UA<sub>i</sub>, i  $\neq$  3 where one component C<sub>1</sub> contains A<sub>1</sub> and the other component C<sub>2</sub> contains A<sub>2</sub>. Let Z<sub>1</sub> and Z<sub>2</sub> be the fundamental cycles on C<sub>1</sub> and C<sub>2</sub> respectively. Then clearly Z<sub>1</sub><sup>2</sup> = Z<sub>1</sub>·A<sub>1</sub> = -3 and Z<sub>2</sub><sup>2</sup> = Z<sub>2</sub>·A<sub>2</sub> = -3. Moreover, supp D is the unique component of UA<sub>i</sub>, i  $\neq$  1,2 which intersects both A<sub>1</sub> and A<sub>2</sub>, otherwise supp(Z - 2D) would be connected.

If  $A_3$  has coefficient 2 in X, then  $X \cdot A_1 = -1$  for some  $j \neq 3$ (B2) because  $X \cdot X < X \cdot 2A_3 = -2$ . If  $X \cdot A_1 < 0$  for some  $j \neq 1, 2, 3$ then X = Z + D would imply that  $D \cdot A_i < 0$  for some  $j \neq 1, 2, 3$ . It is impossible. So either  $(X \cdot A_1 = -1 \text{ and } X \cdot A_2 = 0)$  or  $(X \cdot A_1 = 0 \text{ and } X \cdot A_2 = -1)$ . Thus without loss of generality we may assume that  $X \cdot A_1 = -1$  and  $X \cdot A_2 = 0$ . Note that  $A_1$  and  $A_2$  have coefficient 1 in X and  $A_1$  and  $A_2$  are not contained in supp D. X = Z + D implies  $D \cdot A_1 = 0$  and  $D \cdot A_2 = 1$ .  $\operatorname{supp} D \cap A_1 = \phi$  but  $\operatorname{supp} D \cap A_2 \neq \phi$ . Therefore  $\operatorname{supp} D$  is a connected component of  $UA_i$ ,  $i \neq 2$  which does not meet  $A_1$  and intersects  $A_2$ . So there is a unique component C of  $UA_i$ ,  $i \neq 1,3$  which intersects both  $A_1$  and  $A_3$  because  $D \cdot A_1 = 0$ .  $A_2 \subset C$ . Let  $Z_*$  be the fundamental cycle on C. Since  $Z_* < Z$ and  $A_2$  has coefficient 1 in Z,  $A_2$  has coefficient 1 in  $Z_*$  and  $Z \cdot A_2 = -1$  implies  $Z_* \cdot A_2 < 0$ . So we have the following

possibilities:

(1)  $Z_* \cdot Z_* = -1$ , (11)  $Z_* \cdot Z_* = -2$  and (111)  $Z_* \cdot Z_* = -3$ . But we claim that  $Z_* \cdot Z_* = -3$ . Note that  $Z_* \cdot A_1 > 0$  and  $Z_* \cdot A_3 > 0.$ 

If  $Z_* \cdot Z_* = -1$ , then  $Z_* \cdot A_2 = -1$ . (i)  $0 = X \cdot Z_{*}^{*} = Z_{*} \cdot X = Z_{*} \cdot (A_{1} + A_{2} + 2A_{3}) = Z_{*} \cdot A_{1} - 1 + 2Z_{*}^{*}A_{3} > 0.$ It is a contradiction.

(11) If 
$$Z_* \cdot Z_* = -2$$
, then  $Z_* \cdot A_2 = -1$  or  $-2$ .  
If  $Z_* \cdot A_2 = -2$ , then  $0 = X \cdot Z_* = Z_* \cdot X = Z_* \cdot (A_1 + A_2 + 2A_3) = Z_* \cdot A_1 - 2 + 2Z_* \cdot A_3 > 0$ . It is a contradiction. If  $Z_* \cdot A_2 = -1$ ,  
then  $Z_* \cdot A_s = -1$  for some  $s \neq 2$ . Consider  $X - Z_*$ . Then  
 $A_2 \notin \text{supp}(X - Z_*)$  and  $X \cdot A_2 = 0$  implies  $(X - Z_*) \cdot A_2 = 1$ .  
Now we claim that  $A_s \subset \text{supp}(X - Z_*)$ . Let  $X = \sum m_1 A_1$ ,  $1 \leq i \leq n$ .  
Note that  $(X - Z_*)^2 = X \cdot X - 2Z_* \cdot X + Z_* \cdot Z_* = -5$ ,  $(X - Z_*) \cdot A_1$   
 $= -1 - Z_* \cdot A_1$  and  $(X - Z_*) \cdot A_3 = -1 - Z_* \cdot A_3$ . So  $(X - Z_*)^2 = (X - Z_*) \cdot [A_1 + 2A_3 + (m_s - 1) \cdot A_s] = -1 - Z_* \cdot A_1 - 2 - 2Z_* \cdot A_3 + (m_s - 1) = -5$ . Since  $Z_* \cdot A_1 > 0$  and  $Z_* \cdot A_3 > 0$ ,  $m_s - 1 > 0$ .  
Now  $A_1$  and  $A_3$  belong to the different components of  $UA_1$ ,  $i \neq 2$ .  
Therefore  $(X - Z_*) \cdot A_2$  would be greater than 1 because  $A_1$  and  
 $A_3$  have coefficients 1 and 2 in  $X - Z_*$ , respectively and  
 $A_s \subset \text{supp}(X - Z_*)$ . Thus we would get  $1 = (X - Z_*) \cdot A_2 > 1$ .  
It is absurd.

(iii) If  $Z_* \cdot Z_* = -3$ , then  $Z_* \cdot A_2 = -1$ , -2, or -3. Then consider the following subcases:

(iiia)	$Z_* \cdot A_2 = -1$ , $Z_* \cdot A_t = -2$ and $A_2$ and $A_t$ have coefficient both
-	l in Z <sub>*</sub> .
(iiib)	$Z_* \cdot A_2 = Z_* \cdot A_t = -1$ and $A_2$ and $A_t$ have coefficients 1 and 2
	in Z <sub>*</sub> respectively.
(iiic)	$Z_* \cdot A_2 = Z_* \cdot A_{21} = Z_* \cdot A_{22} = -1$ and $A_2$ , $A_{21}$ and $A_{22}$ have
	coefficient 1 in $Z_*$ .
(iiid)	$Z_* \cdot A_2 = -2$ , $Z_* \cdot A_t = -1$ and $A_2$ and $A_t$ have coefficient 1 in $Z_*$ .
	$Z_* \cdot A_2 = -3$ and $A_2$ has coefficient 1 in $Z_*$ .
(iiia)	Since A, has coefficient 1 in Y. A also has coefficient 1 in
•	Since $A_2$ has coefficient 1 in X, $A_t$ also has coefficient 1 in X from case (III) of section 2. $0 = X \cdot Z_* = Z_* \cdot X$
	= $Z_{*} \cdot (A_{2} + A_{1} + A_{1} + 2A_{3}) = -1 - 2 + Z_{*} \cdot A_{1} + 2Z_{*} \cdot A_{3}$ implies
	$Z_* \cdot A_1 = Z_* \cdot A_3 = 1$ . Note that $A_2$ and $A_t$ are not in supp D.
	Consider X - $Z_{*}$ . Then $A_1$ and $A_3$ have coefficients 1 and 2
	in X - Z <sub>*</sub> respectively, $A_t \notin supp(X - Z_*)$ and $A_2 \notin supp(X - Z_*)$ .
	Observe that $(X - Z_*)^2 = -6$ , $(X - Z_*) \cdot A_1 = -1 - Z_* \cdot A_1 = -2$ ,
	$(X - Z_*) \cdot A_t = 2, (X - Z_*) \cdot A_3 = -1 - Z_* \cdot A_3 = -2 \text{ and } (X - Z_*) \cdot A_2$
	= 1. Also X - $Z_{\star} = (Z + D) - Z_{\star} = Z - Z_{\star} + D > D$ . Since $A_{3}$
	has coefficient 2 in X - $Z_{\star}$ , $[(X - Z_{\star}) _{supp D}]^2 = -4$ . Thus
	$X - Z_{*} = 2D$ because $(X - Z_{*}) \cdot A_{3} = -2$ . But $(X - Z_{*}) \cdot A_{2} = 1$
	and $2D \cdot A_2 = 2$ . It is absurd.
(iiib)	Let $Z_* \cdot A_2 = Z_* \cdot A_t = -1$ and $A_2$ and $A_t$ have coefficients 1
	and 2 in Z <sub>*</sub> respectively. Since $A_2$ has coefficient 1, $A_t$
	has coefficient 2 in X, too, from case (II) of section 2.
•	$0 = X \cdot Z_{*} = Z_{*} \cdot X = Z_{*} \cdot (A_{1} + 2A_{3} + A_{2} + 2A_{t}) = Z_{*} \cdot A_{1} + 2Z_{*} \cdot A_{3} - 3.$

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Thus  $Z_* \cdot A_1 = Z_* \cdot A_3 = 1$ . Also  $A_2 \notin \text{supp D}$  and  $A_t \notin \text{supp D}$ since  $A_2 \notin \text{supp}(X - Z_*)$ ,  $A_t \notin \text{supp}(X - Z_*)$  and  $Z \ge Z_*$ .  $(X - Z_*)^2 = -6$ ,  $(X - Z_*) \cdot A_1 = -1 - Z_* \cdot A_1 = -2$ ,  $(X - Z_*) \cdot A_3$  $= -1 - Z_* \cdot A_3 = -2$  and  $(X - Z_*) \cdot A_2 = (X - Z_*) \cdot A_t = 1$ . Also  $X - Z_* = (Z + D) - Z_* = Z - Z_* + D > D$ . So  $\text{supp}(X - Z_*)$  is a sunion of two disjoint components, since supp D is a connected component of  $UA_1$ ,  $i \neq 2$  which does not contain  $A_1$ and contains  $A_3$ . Since  $(X - Z_*) \cdot A_3 = -2$  and  $A_3$  has coefficient 2 in  $X - Z_*$ ,  $(X - Z_*) \mid_{\text{supp D}} = 2D$ . But  $(X - Z_*) \cdot A_2 = 1$  and  $2D \cdot A_2 = 2$ . It is impossible.

(iiic) Let 
$$Z_* \cdot A_2 = Z_* \cdot A_{21} = Z_* \cdot A_{22} = -1$$
 where  $A_2$ ,  $A_{21}$  and  $A_{22}$  have coefficient 1 in  $Z_*$ . Then  $A_2$ ,  $A_{21}$  and  $A_{22}$  have coefficient 1 in X by case (III) of section 2.  $(X - Z_*)^2 = -6$ .  
 $0 = X \cdot Z_* = Z_* \cdot X = Z_* \cdot (A_1 + 2A_3 + A_2 + A_{21} + A_{22}) = Z_* \cdot A_1 + 2Z_* \cdot A_3 - 3$ . Thus  $Z_* \cdot A_1 = Z_* \cdot A_3 = 1$ . Consider  $X - Z_* \cdot A_2$ ,  $A_{21}$  and  $A_{22}$  are not in supp $(X - Z_*)$ .  
 $(X - Z_*) \cdot A_1 = (X - Z_*) \cdot A_3 = -2$  and  $(X - Z_*) \cdot A_2 = (X - Z_*) \cdot A_{21} = (X - Z_*) \cdot A_{22} = 1$ . Consider  $(X - Z_*) \cdot A_3 = -2$ ,  $X - Z_* = 2D$  on supp D. But  $(X - Z_*) \cdot A_2 = D \cdot A_2 = 1$ . It is contradiction.

(iiid) Let 
$$Z_* \cdot A_2 = -2$$
 and  $Z_* \cdot A_t = -1$ . Then  $A_2$  and  $A_t$  have coefficient  
1 in  $Z_*$ . So  $A_2$  and  $A_t$  have the same coefficient 1 in X by  
case (III) of section 2.  $0 = X \cdot Z_* = Z_* \cdot X = Z_* \cdot (A_2 + A_t + A_1 + 2A_3)$   
 $= -2 - 1 + Z_* \cdot A_1 + 2Z_* \cdot A_3$ .  $Z_* \cdot A_1 = Z_* \cdot A_3 = 1$ .  $(X - Z_*)^2 = -6$ .  
 $(X - Z_*) \cdot A_1 = (X - Z_*) \cdot A_3 = -2$ ,  $(X - Z_*) \cdot A_2 = 2$  and  $(X - Z_*) \cdot A_t = 1$ .

Since  $A_3$  has coefficient 2 in X-Z<sub>\*</sub> and  $(X-Z_*) \cdot A_3 = -2$ ,  $X-Z_* = 2D$ on supp D. Let  $D_*$  be the fundamental cycle of the connected component of  $UA_1$ ,  $i \neq 1, 2$  which contains  $A_t$ . Note that  $A_t \Leftrightarrow$  supp D since  $A_t$  has coefficient 1 in X. Let  $Z_* = D_* + K$ . We claim that  $D_* \cdot D_* \neq -1$ . Assume the contrary. Since  $A_2$ and  $A_t$  have coefficient 1 in  $Z_*$ ,  $A_t$  has coefficient 1 in  $D_*$ and  $A_t \Leftrightarrow$  supp K. If  $D_* \cdot A_t = 0$ , then  $K \cdot A_t = -1$  and it is absurd. Thus  $D_* \cdot A_t = -1$  and  $K \cdot A_t = 0$ . Note that  $A_2$  has coefficient 1 in K since  $A_2 \subset$  supp  $Z_*$  and  $A_2 \Leftrightarrow$  supp  $D_*$ . By case (II) and (III) of section 2,  $D_* \cdot A_2 > 0$  and  $D_* \cdot A_1 > 0$ . So  $Z_* \cdot A_1 = 1$  implies  $D_* \cdot A_1 = 1$  and  $K \cdot A_1 = 0$ . Also  $K \cdot A_2 =$  $(Z_* - D_*) \cdot A_2 = -2 - D_* \cdot A_2 < 0$ . Moreover,  $K \cdot A_j \leq 0$  for all  $j \neq 3$  since  $K \cdot A_3 = 1$ . So  $A_1 \subset$  supp K. That is impossible.

- (iiie) Let  $Z_* \cdot A_2 = -3$ . Then  $A_2$  has coefficient 1 both in  $Z_*$  and in X by case (III) of section 2.  $0 = X \cdot Z_* = Z_* \cdot X =$  $Z_* \cdot (A_1 + A_2 + 2A_3) = Z_* \cdot A_1 - 3 + 2Z_* \cdot A_3$ . Thus  $Z_* \cdot A_1 =$  $Z_* \cdot A_3 = 1$ . Consider  $X - Z_*$ .  $(X - Z_*)^2 = -6$ .  $(X - Z_*) \cdot A_1$  $= (X - Z_*) \cdot A_3 = -2$ .  $(X - Z_*) \cdot A_2 = 3$ . Since  $A_3$  has coefficient 2 in  $X - Z_*$ ,  $X - Z_* = 2D$  on supp D.
- (C) Let  $A_1$  be such that  $Z \cdot A_1 = -1$  with coefficient 2 in Z. By the definition of Z, X = Z + D which D > 0. Since  $-3 = X \cdot X =$  $Z \cdot Z + 2Z \cdot D + D \cdot D$  and  $Z \cdot Z = -2$ ,  $Z \cdot D \leq 0$  and  $D \cdot D < 0$  imply that  $Z \cdot D = 0$  and  $D \cdot D = -1$ . So  $A_1 \Leftrightarrow$  supp D since  $Z \cdot D = 0$ . For  $j \neq 1$

 $D \cdot A_j = (X - Z) \cdot A_j \leq 0$ . Thus  $D \cdot A_j \leq 0$  for  $A_j \subset \text{supp } D$ . Since  $D \cdot D = -1$ , let  $A_2$  be such that  $D \cdot A_2 = -1$ .  $X \cdot A_2 = (Z + D) \cdot A_2 = -1$ . Since  $X \cdot X < X \cdot 2A_2 = -2$ ,  $X \cdot A_j = -1$  for some j. If  $j \neq 1, 2$ , then  $X \cdot A_{j} = -1$  would imply either  $Z \cdot A_{j} < 0$  or  $D \cdot A_{j} < 0$ . It is a contradiction. If j = 1, then  $X \cdot X \leq X \cdot (2A_1 + 2A_2) = -4$  and it is impossible. So  $A_1 = A_2$  and thus  $A_2$  has coefficient 3 in X. Also  $D \cdot A_1 = (X - Z) \cdot A_1 = 1$  because  $X \cdot A_1 = 0$ . Also D is the fundamental cycle on a connected component of  $UA_i$ ,  $i \neq 1$ . Let G = Min(Z | suppD, 2D). Since  $A_2$  has coefficient 2 in Z and 1 in D, G = 2D. Consider Z - 2D. Then  $(Z - 2D)^2 = -6$ ,  $(Z - 2D) \cdot A_1 = -3$ and  $(Z - 2D) \cdot A_i = 0$  for  $i \neq 1, 2$ . Note that  $A_2 \notin \text{supp}(Z - 2D)$ and  $(Z - 2D) \cdot A_2 = 2$ . Thus supp(Z - 2D) is a connected component of UA<sub>1</sub>,  $i \neq 2$  because A<sub>1</sub> has coefficient 2 in supp(Z - 2D). Let  $Z_*$  be the fundamental cycle on supp(Z - 2D). If  $A_1$  has coefficient 2 in Z<sub>\*</sub>, then Z<sub>\*</sub>  $\cdot A_1 \leq (Z - 2D) \cdot A_1 = -3$  and so  $Z_* \cdot Z_* \leq Z_* \cdot 2A_1 \leq -6$ . It is a contradiction. So  $A_1$  has coefficient 1 in  $Z_*$ . Let K = Min(2 $Z_*$ ,Z-2D). Since  $A_1$  has coefficient 2 both in 2Z<sub>\*</sub> and in Z - 2D, K·A<sub>1</sub>  $\leq$  (Z - 2D) ·A<sub>1</sub> = -3 and  $K \cdot K \leq K \cdot 2A_1 = -6$ . Since  $K \leq Z - 2D$ , K = Z - 2D. Therefore we get  $Z_* < Z - 2D < 2Z_*$  and  $2Z_* \cdot A_1 \ge (Z - 2D) \cdot A_1 = -3$ . So  $Z_* \cdot A_1 = 0 \text{ or } -1$ . Since  $Z_*^2 > (Z - 2D)^2 = -6 > 4Z_*^2$ ,  $Z_*^2 = -2 \text{ or } -3$ . Let X<sub>\*</sub> be the cycle on supp(Z - 2D) such that  $X_* \cdot A_1 \leq 0$  for all  $A_i \subset supp(Z - 2D)$  and that  $X_*^2 = -3$ . If  $A_1$  has coefficient not equal to 1 in  $X_*$ , then let  $K = Min(X_*, Z-2D)$ . Then  $K \cdot A_1 \leq (Z - 2D) \cdot A_1 = -3$  and  $K \cdot K \leq K \cdot 2A_1 = -6$ . But  $K \cdot K \leq X_* \cdot X_* = -3$ 

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and it is impossible. So  $A_1$  has coefficient 1 in  $X_*$ . Now repeating the same argument for  $X_*$  as just above for  $Z_*$ , we get  $X_* \cdot A_1 = 0$  or -1. We claim that  $X_* \cdot A_1 = 0$ . If  $X_* \cdot A_1 = -1$ and  $X_*$  is the fundamental cycle, then  $Z - 2D > X_*$ . Let  $Z - 2D = X_* + E$ . Then we would have the following possibilities:

$$(Z - 2D)^2 = X_*^2 + 2X_* \cdot E + E^2$$
  
-6 = -3 + 0 - 3  
-6 = -3 - 2 - 1

Since  $(Z - 2D) \cdot A_1 = -3$  and  $X_* \cdot A_1 = -1$ ,  $E \cdot A_1 = -2$  and  $A_1$  has coefficient 1 in E. Since  $X_* \cdot E \leq X_* \cdot A_1 = -1$ ,  $X_* \cdot E$  would be -1. Then  $X_* \cdot A_j = 0$  for  $A_j \subset \text{supp E}$ ,  $j \neq 1$ . So  $E \cdot A_j = (Z - 2D - X_*) \cdot A_j$ =  $-X_* \cdot A_i = 0$  for  $A_i \subset \text{supp E}$ ,  $j \neq 1$ . Therefore it would be  $E \cdot E = E \cdot A_1 = -2$ . Thus we get a contradiction. If  $X_* \cdot A_1 = -1$ and  $X_{\star}$  is not the fundamental cycle on supp(Z - 2D) then note that  $Z_* \cdot Z_* = -2$  and by the results of case (I) of this Proposition we got so far, there exists  $A_s \neq A_1$  such that  $X_* \cdot A_s = -1$  and that  $A_s$  has coefficient 2 in  $X_*$ . Let  $\rho^{-1}(E_2)$ =  $C_2 = A_1 U A_s$ . Note that  $\rho$  is 2-1 over  $E_2$  by case (II) of section 2. Since  $A_1$  has coefficient 2 in X,  $A_s$  would have coefficient 4 in X by case (II) of section 2. Recall that  $Z_E = \Sigma z_i E_i$  is the fundamental cycle where (B) =  $(-108(p^3+q^2) \circ \tau_n) = W^{(n)} + \Sigma e_i E_i, 1 \le i \le n$ , be the divisor of Bot<sub>n</sub>. Let  $\rho^{-1}(E_2) = A_1 \cup A_s$ . If  $E_1$  is the curve appearing at the initial quadratic transformation at Q, then  $E_2$  follows  $E_1$  by a partial ordering induced by the order of

appearance of the  $E_i$  in a resolution by (2.2). So  $E_2$  has coefficient 1 in  $Z_E$ . Therefore by case (II) of section 2,  $A_1$ and  $A_s$  have coefficients 1 and 2 in X respectively. Thus we get a contradiction. Hence  $X_* \cdot A_1 = 0$ . Now consider the following subcases (C1), (C2), (C3), (C4) and (C5).

- Assume that  $X_* \cdot A_s = -3$  for  $s \neq 1$ . Since  $X_*$  is the fundamental (C1)cycle on supp(Z - 2D), Z - 2D > X<sub>\*</sub>. Let  $\rho^{-1}(E_2) = A_s$ . Since  $E_2$ has coefficient 1 in  $Z_{E}^{}$ ,  $A_{S}^{}$  has coefficient 1 in X by case (III) of section 2.  $0 = X \cdot X_* = X_* \cdot X = X_* \cdot (A_s + 3A_2) = -3 + 3X_* \cdot A_2$ Thus  $X_* A_2 = 1$ . Since  $A_s$  has coefficient 1 in X and X = Z + D, A has coefficient 1 in Z and A  $_{S}$   $\Leftrightarrow$  supp D. Let Z - 2D = X  $_{*}$  + F. Since A has coefficient 1 both in Z - 2D and in  $X_*$ ,  $A_s \notin supp F$ and so  $X_* \cdot F = 0$ .  $(Z - 2D)^2 = X_*^2 + 2X_* \cdot F + F^2$  and  $(Z - 2D) \cdot A_1 = -3$ imply  $F^2 = F \cdot A_1 = -3$  and  $A_1$  has coefficient 1 in F because  $A_1$ coefficient 2 in Z - 2D and 1 in  $X_*$ . F·A<sub>s</sub> = 3 and has  $F^{A}_{2} = 1$  since  $(Z - 2D) \cdot A_{2} = 1$  and  $X_{*} \cdot A_{2} = 1$ . So F is the fundamental cycle with  $F^2 = -3$  on a connected component of  $UA_i$ ,  $i \neq 2,s$ . Also  $X_* > F$ . Consider  $X_* - F$ . Then  $A_s$  has coefficient 1 and  $A_1 \not \leftarrow supp(X_* - F)$ .  $(X_* - F)^2 = -6$ ,  $(X_* - F) \cdot A_s = -6$  and  $(X_* - F) \cdot A_1 = 3$ . Since  $A_1 \neq \operatorname{supp}(X_* - F)$ ,  $X_{\star}$  - F is the fundamental cycle with  $(X_{\star} - F)^2 = -6$  on a component of  $UA_i$ ,  $i \neq 1$ .
- (C2) Assume that  $X_* \cdot A_s = -2$  and  $X_* \cdot A_t = -1$ . Then  $A_s$  and  $A_t$  have coefficient both 1 in  $X_*$ . Let  $\rho^{-1}(E_2) = A_s \cup A_t$ . Since  $E_2$ has coefficient 1 in  $Z_E$ ,  $A_s$  and  $A_t$  have coefficient both 1 in

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X by case (III) of section 2.  $0 = X \cdot X_* = X_* \cdot X = X_* \cdot (A_s + A_t + 3A_2)$ =  $-2 - 1 - 3X_* \cdot A_2$ . Thus  $X_* \cdot A_2 = 1$ . Since  $A_s$  and  $A_t$  have coefficient 1 in X and X = Z + D,  $A_s \Leftrightarrow \text{supp D}$  and  $A_t \Leftrightarrow \text{supp D}$ . Since X<sub>\*</sub> is fundamental on supp(Z - 2D), let Z - 2D = X<sub>\*</sub> + F. Note that  $A_s \Leftrightarrow \text{supp } F$  and  $A_t \Leftrightarrow \text{supp } F_*$ . So  $X_* \cdot F = 0$ .  $-6 = (Z - 2D)^{2} = X_{*}^{2} + 2X_{*} \cdot F + F^{2} = -3 + F^{2} \text{ implies } F^{2} = -3.$  $F \cdot A_1 = -3$  and  $A_1$  has coefficient 1 in F since  $A_1$  has coefficient 2 in Z-2D and 1 in  $X_{\star}$ . F·A = 2, F·A = 1 and F·A = 1. because  $(Z - 2D) = X_{*} + F$ ,  $(Z - 2D) \cdot A_{2} = 2$  and  $X_{*} \cdot A_{2} = 1$ . So F is the fundamental cycle with  $F^2 = -3$  on the connected component of  $UA_{i}$ ,  $i \neq 2$ , s, t which contains  $A_{1}$ . Since F is fundamental,  $X_* > F$ . Consider  $X_* - F$ . Then  $(X_* - F) \cdot A_s = -4$ ,  $(X_* - F) \cdot A_t = -2$ and  $(X_* - F)^2 = -6$ . Since  $A_1$  has coefficient 1 in both  $X_*$  and F,  $A_1 \neq \text{supp}(X_* - F)$  and  $(X_* - F) \cdot A_1 = 3$ . Since  $A_s$  and  $A_t$  have coefficient both 1 in  $X_{\star}$  - F, there is no fundamental cycle with its self-intersection number -1 on any component of  $supp(X_* - F)$ .

(C3) Assume that  $X_* \cdot A_{s1} = X_* \cdot A_{s2} = X_* \cdot A_{s3} = -1$  where  $A_{s1}$ ,  $A_{s2}$  and  $A_{s3}$  are distinct. Let  $\rho^{-1}(E_2) = A_{s1} \cup A_{s2} \cup A_{s3}$ . Since  $E_2$  has coefficient 1 in  $Z_E$ ,  $A_{s1}$ ,  $A_{s2}$  and  $A_{s3}$  have coefficient 1 in X.  $0 = X \cdot X_* = X_* \cdot X = X_* \cdot (A_{s1} + A_{s2} + A_{s3} + 3A_2) = -3 + 3X_* \cdot A_2$ . Thus  $X_* \cdot A_2 = 1$ . Note that  $A_{s1}$ ,  $A_{s2}$  and  $A_{s3}$  are not contained in supp D, for X = Z+D. Since  $X_*$  is fundamental on supp(Z-2D),  $Z - 2D > X_*$ . Let  $Z - 2D = X_* + F$ . Observe that  $A_{s1}$ ,  $A_{s2}$  and  $A_{s3}$  are not in supp F. So  $X_* \cdot F = 0$ .  $-6 = (Z - 2D)^2 =$ 

 $X_{*}^{2} + 2X_{*} \cdot F + F^{2} = -3 + F^{2}$ ,  $F^{2} = F \cdot A_{1} = -3$ .  $A_{1}$  has coefficient 1 in F since  $A_{1}$  has coefficient 2 in Z - 2D and 1 in  $X_{*}$ .  $F \cdot A_{s1} = F \cdot A_{s2} = F \cdot A_{s3} = 1$ .  $F \cdot A_{2} = 1$  because  $(Z - 2D) \cdot A_{2} = 1$ and  $X_{*} \cdot A_{2} = 1$ . Therefore F is the fundamental cycle with  $F^{2} = -3$  on the connected component of  $UA_{1}$ ,  $i \neq 2, s1, s2, s3$ which contains  $A_{1}$ . Since  $X_{*} > F$ , consider the cycle  $X_{*} - F$ . Then  $A_{s1}$ ,  $A_{s2}$  and  $A_{s3}$  have coefficient 1 in  $X_{*} - F$  and  $A_{1} \notin supp(X_{*} - F)$ .  $(X_{*} - F) \cdot A_{s1} = (X_{*} - F) \cdot A_{s2} = (X_{*} - F) \cdot A_{s3} = -2$ and  $(X_{*} - F) \cdot A_{1} = 3$ .  $(X_{*} - F)^{2} = -6$ . So there is no fundamental cycle with its self-intersection number -1 on any component of  $supp(X_{*} - F)$ .

Let  $A_s$  be such that  $X_* \cdot A_s = -1$  with coefficient 3 in  $X_*$ . (C4) Let  $\rho^{-1}(E_2) = A_s$ . Since  $E_2$  has coefficient 1 in  $Z_E$ ,  $A_s$  has coefficient 3 in X by case (I) of section 2.  $0 = X \cdot X_* = X_* \cdot X$ =  $X_* \cdot (3A_s + 3A_2) = -3 + 3X_* \cdot A_2 \cdot X_* \cdot A_2 = 1$ . Since  $A_s$  has coefficient 3 in X, X = Z + D and  $A_s \subset \text{supp}(Z - 2D)$ ,  $A_s$  has coefficient 3 in Z and  $A_s \not \leftarrow$  supp D. Thus  $A_s$  has coefficient 3 in Z - 2D. So Z - 2D >  $X_{*}$ . Let Z - 2D =  $X_{*}$  + F. Note that  $A_{s} \neq \text{supp F.} \quad X_{*} \cdot F = 0. \quad -6 = (Z - 2D)^{2} = X_{*}^{2} + 2X_{*} \cdot F + F^{2} =$  $-3 + F^2$ .  $F^2 = F \cdot A_1 = -3$ .  $A_1$  has coefficient 1 in F.  $F \cdot A_s = 1$ .  $F \cdot A_2 = 1$  because  $(Z - 2D) \cdot A_2 = 2$  and  $X_* \cdot A_2 = 1$ . Therefore F is the fundamental cycle with  $F^2 = -3$  on the connected component of UA<sub>1</sub>,  $i \neq 2$ , s which contains A<sub>1</sub>. Since  $X_* > F$ , consider the cycle  $X_* - F$ . Then A has coefficient 3 in  $X_* - F$ ,  $A_1 \neq supp(X_* - F), (X_* - F) \cdot A_s = -2 \text{ and } (X_* - F) \cdot A_1 = 3.$  Since

 $(X_{*} - F)^{2} = -6$ ,  $supp(X_{*} - F)$  is a connected component of  $UA_{i}$ , i  $\neq$  1. We claim that there is no fundamental cycle with its self-intersection number -1 on  $supp(X_{*} - F)$ . If there would be the fundamental cycle L with L·L = -1 on  $supp(X_{*} - F)$ , then let  $X_{*} - F = L + M$ . Then we would have the following table:

$$(X_* - F)^2 = L^2 + 2L \cdot M + M^2$$
  
-6 = -1 + 0 + 5  
-6 = -1 - 2 - 3  
-6 = -1 - 4 - 1

If  $L \cdot A_s = -1$ , then  $A_s$  has coefficient 1 in L and 2 in M. Note that  $M \cdot A_s = -1$ . But  $L \cdot M = L \cdot 2A_s = -2$  and  $M^2 = M \cdot 2A_s = -2$ . It is impossible. If  $L \cdot A_t = -1$  for  $A_t \neq A_s$ , then  $M \cdot A_s = -2$ . Moreover, A would have coefficient either 1 or 2 in M. If  $A_s$  would have coefficient 1 in M, then  $M \cdot M \ge M \cdot A_s = -2$  because  $M \cdot A_{i} \ge 0$  for all  $i \ne s$ . According to the above table,  $M^{2} = -1$ and  $L \cdot M = -2$ . Thus A has coefficient 2 in M. But  $M^2 = M \cdot (A_s + 2A_t) = -2 + 2 = 0$ . It is absurd. If  $A_s$  has coefficient 2 in M,  $M \cdot M \ge M \cdot 2A_s = -4$ .  $M^2 = -3$  or -1 according to the above table. If  $M^2 = -1$ , then  $L \cdot M = -2$  and so  $A_t$  has coefficient 2 in M. But  $M^2 = M \cdot (2A_s + 2A_t) = -4 + 2 = -2$ . It is absurd. If  $M^2 = -3$ , then L M = -1 and  $A_t$  has coefficient 1 in M. Now we claim that M > L. Note that  $A_s$  has coefficient 2 in M and 1 in L. Let K = Min(L,M). Since A<sub>t</sub> has coefficient 1 in both L and M,  $K \cdot A_t \leq L \cdot A_t = -1$ . Also  $K \cdot A_s \leq L \cdot A_s \leq 0$ . Since  $M \cdot A_{j} \leq 0$  for  $A_{j} \subset \text{supp } M$ ,  $j \neq t$ ,  $K \cdot A_{j} \leq 0$  for  $A_{j} \subset \text{supp } L$ . 57.

So K = L. Consider the cycle M-L. Then  $A_s$  has coefficient 1 in M-L,  $(M-L) \cdot A_s = -2$ ,  $A_t \Leftrightarrow supp(M-L)$  and  $(M-L) \cdot A_t = 1$ .  $(M-L) \cdot A_1 = 1$  since  $(X_* - F) \cdot A_1 = 3$ ,  $X_* - F = M + L$  and M > L. So M-L is the fundamental cycle with  $(M-L)^2 = -2$  on the connected component of  $UA_{j}$ ,  $i \neq 1$ , t which contains  $A_{s}$ . So M-L < L, Next consider 2L-M. Then  $A_t$  has coefficient 1,  $A_s \notin \text{supp}(2L - M)$ ,  $(2L - M) \cdot A_t = -3$  and  $(2L - M) \cdot A_s = 2$ .  $(2L - M) \cdot A_1 = 0$  and so  $supp(2L - M) \cap A_1 = \phi$ . Note that  $(2L - M)^2$ =  $(2L - M) \cdot A_t = -3$ . Therefore 2L-M is the fundamental cycle on a connected component of  $VA_i$ ,  $i \neq s$ . Observe that  $supp(2L - M) \cap supp D = \phi since supp(2L - M) \cap A_1 = \phi$ . Let  $\rho^{-1}(E_1) = A_2$ ,  $\rho^{-1}(E_2) = A_s$  and  $\rho^{-1}(E_3) = A_t$ . Note that  $(2L - M)^2 = (2L - M) \cdot A_t = -3$ . Since  $A_s$  follows  $A_2$ ,  $A_t$  follows  $A_s$  and E is an exceptional set of the first kind, after a finite suitable number of collapse [L1, Corollary 5.8, p. 86], then we may assume the following diagram:

$$E_3$$
  $E_2$   $E_1$ 

where  $E_3 \cdot E_3 = -1$  and  $E_2 \cdot E_2 = E_1 \cdot E_1 = -2$ . Since  $Z_E$  is independent of such collapse,  $E_3$  has coefficient 1 in  $Z_E$ . So  $A_t$  has coefficient 1 in X by case (III) of section 2. But  $0 = X \cdot (2L - M) = (2L - M) \cdot X = (2L - M) \cdot (A_t + 3A_s) = -3 + 6 = 3$ . Thus we get a contradiction.

(C5) Let  $A_s \neq A_t$  be such that  $X_* \cdot A_s = X_* \cdot A_t = -1$  where  $A_s$  and  $A_t$  have coefficients 2 and 1 in  $X_*$  respectively. Let

 $\rho^{-1}(E_2) = A_s \cup A_t$ . Since  $E_2$  has coefficient 1 in  $Z_E$ .  $A_s$  has coefficient 2 in X and  $A_t$ , 1 in X.  $0 = X \cdot X_* = X_* \cdot X =$  $X_* \cdot (2A_s + A_t + 3A_2) = -2 - 1 + 3X_* \cdot A_2$ . Thus  $X_* \cdot A_2 = 1$ . Since  $A_s$ ,  $A_t \subset supp(Z-2D)$ ,  $A_s$  and  $A_t$  have coefficients 2 and 1 in Z,  $A_{s} \notin \text{supp } D$  and  $A_{t} \notin \text{supp } D$ . So  $A_{s}$  and  $A_{t}$  have coefficient 2 and 1 in Z - 2D respectively. Z - 2D >  $X_*$ . Let Z - 2D =  $X_* + F$ . Note that  $A_s \Leftrightarrow \text{supp } F$  and  $A_t \Leftrightarrow \text{supp } F$ . So  $X_* \cdot F = 0$ .  $-6 = (Z - 2D)^2 = X_*^2 + 2X_* \cdot F + F^2 = -3 + F^2$  implies  $F^2 = -3$ .  $F^{\bullet}A_1 = -3$ .  $A_1$  has coefficient 1 in F.  $F^{\bullet}A_3 = F^{\bullet}A_1 = 1$ .  $F \cdot A_2 = 1$  because  $(Z - 2D) \cdot A_2 = 2$  and  $X_* \cdot A_2 = 1$ . So F is the fundamental cycle with  $F^2 = -3$  on a connected component of UA,  $i \neq 2, s, t$ .  $X_* > F$ . Consider  $X_* - F$ . Then A and A have coefficients 2 and 1 in  $X_* - F$ ,  $A_1 \notin supp(X_* - F)$ ,  $(X_* - F) \cdot A_s =$  $(X_{*} - F) \cdot A_{t} = -2, (X_{*} - F) \cdot A_{1} = 3 \text{ and } (X_{*} - F)^{2} = -6.$  First we claim that  $X_{\star}$  is the fundamental cycle on supp(Z - 2D). Assume the contrary. Let us recall that  $\mathbf{Z}_{\mathbf{x}}$  is the fundamental cycle on supp  $X_{*} = supp(Z - 2D)$ . Then  $Z_{*}^{2} = -2$  and  $Z_{*} \cdot A_{1} = 0$  or -1. If  $Z_* \cdot A_1 = 0$ , then we would have the following three possibilities:  $Z_* \cdot A_p = Z_* \cdot A_q = -1 \text{ for } A_p \neq A_q, A_p \neq A_1 \text{ and } A_q \neq A_1$ (i)  $Z_{*} \cdot A_{D} = -2$ (ii) (iii)  $Z_* A_p = -1$  where  $A_p$  has coefficient 2 in  $Z_*$ . Recall that  $2Z_* > Z - 2D$ . Let  $2Z_* = Z - 2D + E$ . Then we would have the following table:

$$4Z_{*}^{2} = (Z - 2D)^{2} + 2(Z - 2D) \cdot E + E^{2}$$
  
-8 = -6 + 0 - 2.

Since  $Z_* \cdot A_p = Z_* \cdot A_q = -1$ , then  $E \cdot A_p = E \cdot A_q = -2$ . Case (i): Since  $A_1$  has coefficient 2 both in 22, and Z - 2D,  $A_1 \neq \text{supp E}$ . So  $E^2 \leq E \cdot A_p + E \cdot A_q = -4$ . Contradiction. Case (ii): Since  $Z_* \cdot A_p = -2$ , then  $E \cdot A_p = -4$ . Since  $A_1 \Leftrightarrow \text{supp E}, E^2 \leq E \cdot A_p = -4$ . Contradiction. Case (iii): Since  $Z_* A_p = -1$  and  $A_p$  has coefficient 2 in  $Z_*$ , by the arguments in the beginning of case (C),  ${\rm A}_{{\rm S}}$  should have coefficient 3 in  $X_{\star}$ . Contradiction to the assumption of  $X_{\star}$ . Now, if  $Z_* \cdot A_1 = -1$ , then  $Z_* \cdot A_t = -1$  and  $A_s$  has coefficient 1 in  $Z_*$  because  $Z_* \cdot Z_* = -2$ ,  $A_1$  has coefficient 1 in  $Z_*$ ,  $X_* \cdot A_t = X_* \cdot A_s = -1$  and  $A_s$  and  $A_t$  have coefficients 2 and 1 in  $X_*$  respectively and so by case (B2) of (I) of this proposition. Let  $2Z_* = Z - 2D + E$  as before. Then in this case,  $E \cdot E = E \cdot A_t$ = -2 and  $E \cdot A_1 = 1$  since  $Z_* \cdot A_1 = -1$  and  $(Z - 2D) \cdot A_1 = -3$ . Note that  $A_1 \notin \text{supp E}$ . If we put  $X_* = Z_* + G_*$ , then  $-3 = X_*^2 =$  $Z_{*}^{2} + 2Z_{*} \cdot G_{*} + G_{*}^{2}, Z_{*} \cdot G_{*} \leq 0$  and  $G_{*}^{2} < 0$  imply  $G_{*}^{2} = -1$  and  $G_* \cdot A_s = -1$ . Since  $X_* \cdot A_1 = 0$  and  $Z_* \cdot A_1 = -1$ ,  $G_* \cdot A_1 = 1$ . Note that  $A_1 \not\subset supp G_*$  and  $A_s$  has coefficient 1 in  $G_*$ . Therefore E is the fundamental cycle of the component of  $UA_i$ ,  $i \neq 1$  which contains A and G is the fundamental cycle of the component tof UA<sub>i</sub>,  $i \neq 1$  which contains A<sub>s</sub>. So by negative definiteness of the intersection matrix for the  $A_i$ ,  $supp(X_{\star} - F) =$ supp E U supp  $G_*$ . Let Z' = E +  $G_*$  + F + D. Note that  $A_1 \subset \text{supp } F$ . Since  $\text{supp } F \cap \text{supp } E \neq \phi$ ,  $\text{supp } F \cap \text{supp } G_* \neq \phi$ and supp F  $\cap$  supp D  $\neq \phi_{i}$  supp Z' = supp Z. Since A<sub>1</sub>, A<sub>s</sub>, A<sub>t</sub>

and  ${\rm A}_2$  have coefficient 1 in Z',

$$Z' \cdot A_{1} = (E + C_{*} + F + D) \cdot A_{1} = 1 + 1 - 3 + 1 = 0$$

$$Z' \cdot A_{2} = (E + G_{*} + F + D) \cdot A_{2} = 1 - 1 = 0$$

$$Z' \cdot A_{5} = (E + G_{*} + F + D) \cdot A_{5} = -1 + 1 = 0 \text{ and}$$

$$Z' \cdot A_{5} = (E + G_{*} + F + D) \cdot A_{5} = -2 + 1 = -1.$$
Thus 
$$Z' \cdot A_{5} \leq 0 \text{ for all j and } Z'^{2} = -1 > Z^{2} = -2.$$
 Since Z is fundamental, it is impossible. Next, we claim that there is no fundamental cycle with its sclf-intersection number -1 on any component of supp(X\_{\*} - F). Recall that  $A_{5}$  and  $A_{5}$  have coefficients 2 and 1 in  $X_{*} - F$ ,  $A_{1} \neq \text{supp}(X_{*} - F)$ ,  $(X_{*} - F) \cdot A_{5}$ 

$$= (X_{*} - F) \cdot A_{5} = -2, (X_{*} - F) \cdot A_{1} = 3 \text{ and } (X_{*} - F)^{2} = -6.$$
 If supp $(X_{*} - F)$  is not connected, then by negative definiteness of the intersection matrix for the  $A_{1}$ ,  $\text{supp}(X_{*} - F)$  is two disjoint union of connected components of  $UA_{1}$ ,  $i \neq 1$ . One component  $C_{1}$  contains  $A_{5}$  and the other component  $C_{2}$  contains  $A_{5}$ . But the self-intersection number of the fundamental cycle on  $C_{2}$  is  $-2$  since  $A_{5}$  has coefficient 1 in  $X_{*} - F$ . Therefore it suffices to show that there is no fundamental cycle L with L L = -1 on  $C_{1}$ . If it exists, let  $(X_{*} - F) |_{C_{1}} = L + M$ . Then we would have the following table:

 $-4 = [(X_{*} - F)|_{C_{1}}]^{2} = L^{2} + 2L \cdot M + M^{2}$ -4 = -1 + 0 - 3 -4 = -1 - 2 - 1

If  $L \cdot A_p = -1$  for  $A_p \neq A_s$ , then  $L \cdot A_s = 0$  and  $M \cdot A_s = -2$ . Since  $A_s$  has coefficient 2 in  $X_* - F$ ,  $A_s$  has coefficient 1 in both L

and M. Since M·A<sub>s</sub> = -2 and M·A<sub>p</sub> = 1,  $M^2 \ge M \cdot A_s = -2$ .  $M^2 = -1$ and A<sub>p</sub> has coefficient 1 in M by the above table. So supp L = supp M. L·A<sub>1</sub> = M·A<sub>1</sub> = 1 since  $(X_* - F) \cdot A_1 = 3$  and supp $(X_* - F)$ = C<sub>1</sub> U C<sub>2</sub>. Let K = Min(L,M). Since A<sub>p</sub> has coefficient 1 in both L and M and A<sub>s</sub>, 1 in both L and M, K·A<sub>p</sub>  $\le$  L·A<sub>p</sub> = -1 and K·A<sub>s</sub>  $\le M \cdot A_s = -2$ . So K<sup>2</sup>  $\le K \cdot A_p + K \cdot A_s = -3$ . This would be a contradiction. If L·A<sub>s</sub> = -1, then M·A<sub>s</sub> = -1. Since A<sub>s</sub> has coefficient 1 in both L and M, L = M. Let Z' = L + (X<sub>\*</sub>-F)  $|_{C_2} + F + D$ . Then A<sub>1</sub>, A<sub>s</sub>, A<sub>t</sub> and A<sub>2</sub> have coefficient 1 in Z', respectively. Z'·A<sub>1</sub> = 0, Z'·A<sub>s</sub> = 0, Z'·A<sub>t</sub> = -1 and Z'·A<sub>2</sub> = 0. Since supp Z' = supp Z and Z'·Z' = -1 > Z·Z = -2, it is a contradiction. If supp(X<sub>\*</sub> - F) is connected and there exists such L, then let X<sub>\*</sub> - F = L+M. Note that A<sub>t</sub> has coefficient 1 in X<sub>\*</sub> - F and A<sub>t</sub>  $\notin$  supp M. If L·A<sub>t</sub> = 0, then M·A<sub>t</sub> = -2. It is absurd. If L·A<sub>t</sub> = -1, then M·A<sub>t</sub> = -1. Still it is impossible.

- (II) Let  $Z \cdot Z = -1$ . Then there exists  $A_1$  such that  $Z \cdot A_1 = -1$ . By the definition of Z, X = Z + D with D > 0. Since  $-3 = X \cdot X =$  $Z \cdot Z + 2Z \cdot D + D \cdot D$ ,  $Z \cdot Z = -1$ ,  $Z \cdot D \le 0$  and  $D \cdot D < 0$ ,  $Z \cdot D = 0$  and  $D \cdot D = -2$ . So  $A_1 \Leftrightarrow$  supp D since  $Z \cdot D = 0$ . For  $j \ne 1$ ,  $D \cdot A_j =$  $(X - Z) \cdot A_j \le 0$ . Thus  $D \cdot A_j \le 0$  for  $A_j \subset$  supp D. Since  $D \cdot D = -2$ , we have the following possibilities:
  - (a) There exists  $A_2$  such that  $D \cdot A_2 = -2$ .
  - (b) There exist  $A_2 \neq A_3$  such that  $D \cdot A_2 = D \cdot A_3 = -1$ .
  - (c) There exists  $A_2$  such that  $D \cdot A_2 = -1$  and  $A_2$  has coefficient 2 in D.

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If (a) is true, then  $X \cdot A_2 = (Z + D) \cdot A_2 = -2$ . Since  $X \cdot X \le X \cdot 2A_2$ = -4, this is a contradiction. If (b) is true, then  $X \cdot A_2 = 2$  $(Z+D) \cdot A_2 = -1$  and  $X \cdot A_3 = (Z+D) \cdot A_3 = -1$ . But  $X \cdot X \leq X(2A_2 + 2A_3)$ = -4. It is impossible. Now consider the case (c).  $X \cdot A_2 =$  $(Z+D) \cdot A_2 = -1$ . Since  $A_2$  has coefficient 2 in D,  $X \ge 3A_2$ . So  $X \cdot X \leq X \cdot 3A_{2} = -3$ .  $A_{2}$  has coefficient 3 in X and  $X \cdot A_{1} = 0$  for  $j \neq 2$ .  $D \cdot A_1 = 1$ . Let us consider the cycle D in more detail. Supp D is a connected component of  $UA_i$ ,  $i \neq 1$ . Let  $Z = A_1 + \Sigma F_i$ where the supp  $F_i$  are connected components of  $UA_i$ ,  $i \neq 1$ . Then supp F = supp D for some i.  $Z |_{supp D} \cdot D = -1$  because  $0 = Z \cdot D = (A_1 + \Sigma F_i) \cdot D = A_1 \cdot D + Z |_{suppD} \cdot D = 1 + Z |_{suppD} \cdot D.$ Now we claim that  $A_1 \cap A_2 = \phi$ . If  $A_1 \cap A_2 \neq \phi$ , then  $(Z|_{suppD} + A_1) \cdot A_2 = 0$  would imply  $Z|_{suppD} \cdot A_2 = -A_1 \cdot A_2 = -1$ since  $D \cdot A_1 = 1$ . But  $Z |_{supp} D \cdot D \leq Z |_{supp} D \cdot 2A_2 = -2A_1 \cdot A_2 = -2$ . This leads to a contradiction for  $Z |_{supp} \cdot D = -1$ . Let G = Min(Z | suppD, D). Since  $A_2$  has coefficient 1 in Z and 2 in D, G < D. So  $G \cdot G = -1$ . G is the fundamental cycle on supp D. To prove 2Z > D, let K = Min(2Z | suppD, D). Since  $A_2$  has coefficient 2 in both 2Z and D,  $K \cdot A_2 \leq D \cdot A_2 = -1$  $K \cdot K \leq K \cdot 2A_2 = -2$ . K = D because  $K \leq D$ . Consider 2Z - D.  $(2Z - D) \cdot A_1 = -3$ ,  $(2Z - D) \cdot A_2 = 1$ ,  $A_1$  has coefficient 2 in 2Z - Dand  $A_2 \neq \text{supp}(2Z - D)$ . Since  $(2Z - D)^2 = -6$ , supp(2Z - D) is the connected component of  $UA_i$ ,  $i \neq 2$  which contains  $A_1$ . Let  $Z_*$ be the fundamental cycle on supp(2Z - D).  $Z_* < 2Z - D$ . Then  $A_1$  has coefficient 1 in  $Z_k$  otherwise the fact that  $A_1$  has

coefficient 2 in both  $Z_*$  and 2Z - D imply  $Z_* > 2Z - D$ . Since  $G \cdot G = -1$ , let  $A_p$  be such that  $G \cdot A_p = -1$ . We claim that  $Z_* A_p = -1$ . Let D = G + F.  $-2 = D^2 = G^2 + 2G \cdot F + F^2$ ,  $G \cdot F \leq 0$ and  $F^2 < 0$  imply  $F^2 = -1$ . If  $G \cdot A_2 = -1$ , then D = G + F would imply  $F \cdot A_2 = 0$  and also  $F \cdot A_i = (D - G) \cdot A_i = 0$  for all  $A_i \subset \text{supp D}$ . This is a contradiction. So  $G \cdot A_p = -1$  for  $A_p \neq A_2$  and  $G \cdot A_2 = 0$ .  $F \cdot A_2 = -1$ . Since  $G \cdot F = 0$ ,  $A_p \Leftrightarrow \text{supp } F$ and  $F^{\bullet}A_{p} = (D - G)^{\bullet}A_{p} = 1$ , Since  $D^{\bullet}A_{1} = G^{\bullet}A_{1} = 1$ ,  $A_{1} \cap \text{supp } F$ =  $\phi$ . Therefore F is the fundamental cycle with  $F^2 = F \cdot A_2 = -1$ on a connected component of  $UA_i$ ,  $i \neq p$ . Let  $Z = \sum_{i \in A_i} A_i$ .  $0 = Z \cdot G = G \cdot (A_1 + z_p A_p) = 1 - z_p$ . So  $z_p = 1$ . Let Z' = Z - F= Z - (D-G) = Z + G - D. Then  $A_1$  and  $A_p$  have coefficient 1 in Z' and  $\Lambda_2 \notin \text{supp Z'}$ . Z'  $\Lambda_1 = (Z + G - D) \cdot \Lambda_1 = -1$ .  $Z' \cdot A_p = (Z + G - D) \cdot A_p = -1$  and  $Z' \cdot A_2 = (Z + G - D) \cdot A_2 = 1$ . Note that supp Z' = supp(2Z - D).  $Z' \cdot Z' = (Z + G - D)^2 = -2$ . So Z' is the fundamental cycle on supp(2Z - D). So  $Z_* = Z'$  and  $Z_* \cdot A_p = -1$ . Let  $X_*$  be the cycle on supp  $Z_*$  such that  $X_* \cdot X_* = -3$ and  $X_* \cdot A_1 \leq 0$  for all  $A_1 \subset \text{supp } Z_*$ . Since  $Z_* \cdot A_1 = Z_* \cdot A_p = -1$ with  $A_1 \neq A_p$  and  $Z_*^2 = -2$ , by case (B) of (I) of this proposition, we have the following three cases:

(A) 
$$X_* \cdot A_t = -1$$
 where  $A_t$  has coefficient 3 in  $X_*$ .

- (B)  $X_* \cdot A_1 = -1$  and  $X_* \cdot A_t = -1$  with  $A_1 \neq A_t$  where  $A_1$  and  $A_t$  have coefficients 1 and 2 in  $X_*$  respectively.
- (C)  $X_* \cdot A_p = -1$  and  $X_* \cdot A_t = -1$  with  $A_p \neq A_t$ ,  $A_p \neq A_1$  and  $A_t \neq A_1$  where  $A_p$  and  $A_t$  have coefficients 1 and 2 in  $X_*$  respectively.

(A)

(B)

Consider the case that  $A_t \cdot X_* = -1$  and that  $A_t$  has coefficient 3 in  $X_*$ . It is trivial by case (B1) of (I).

Let  $A_1 \neq A_t$  be such that  $X_* \cdot A_1 = X_* \cdot A_t = -1$ ,  $A_1$  and  $A_p$  have coefficient 1 in  $X_*$  and  $A_t$  has coefficient 2 in  $X_*$ . Then  $X_* \cdot A_p = 0$ . Since  $A_1$  has coefficient 1 in X,  $A_t$  has coefficient 2 in X.  $0 = X \cdot X_* = X_* \cdot X = X_* \cdot (A_1 + 2A_t + 3A_2) = -3 + 3X_* \cdot A_2$ .  $X_* \cdot A_2 = 1$ . Let  $X_* = Z_* + G_*$ . Since  $A_1$ ,  $A_p$  and  $A_t$  have coefficients 1, 1 and 2 in  $X_*$  respectively and  $A_1$ ,  $A_p$  and  $A_t$ have the same coefficient 1 in  $Z_*$ ,  $G_* \cdot A_t = -1$ ,  $G_* \cdot A_p = 1$ ,  $G_* \cdot A_1 = 0$ .  $A_p \notin \text{supp } G_* \text{ and } A_1 \cap \text{supp } G_* = \phi$ .  $G_* \cdot A_2 = 0$ and  $A_2 \cap \text{supp } G_* = \phi$  because  $(2Z - D) \cdot A_2 = X_* \cdot A_2 = Z_* \cdot A_2 = 1$ . Since  $G_*^2 = -1$  and  $Z_* \cdot G_* = 0$ ,  $G_*$  is the fundamental cycle on the connected component of  $U_{A_i}$ ,  $i \neq p$  which does not intersect  $A_1$  and  $A_2$  both and contains  $A_t$ . Now we claim that  $A_t \subset \text{supp D}$ . Note that  $2Z_* \geq 2Z - D$  because  $A_1$  has coefficient 2 in both 2Z - D and 2Z<sub>\*</sub>,  $(2Z - D)^2 = -6$  and  $(2Z_*)^2 = -8$ . If  $A_t \notin supp D$ , then X = Z + D implies that  $A_t$  has coefficient 2 in Z since A has coefficient 2 in X. So A has coefficient 4 in 2Z - D. Since  $2Z_* \ge 2Z - D$ ,  $A_t$  has coefficient  $\ge 4$  in  $2Z_*$ . Thus  $A_t$  has coefficient  $\geq 2$  in  $Z_*$ . In fact  $A_t$  has coefficient 1 in  $Z_*$ . Therefore  $A_t \subset \text{supp D}$ . Let  $2Z_* =$  $2Z - D + E \cdot -8 = 4Z_*^2 = (2Z - D)^2 + 2(2Z - D) \cdot E + E^2$  and  $(2Z - D)^2 = -6$  imply that  $(2Z - D) \cdot E = 0$  and  $E^2 = -2$  by (22 - D)  $\cdot E \leq 0$  and negative definiteness of the intersection matrix for the  $A_j$ . Since  $A_1$ ,  $A_j$  and  $A_j$  have the same

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coefficient 2 in 2Z<sub>\*</sub> and have coefficients 2, 1 and 1 in 2Z - D respectively,  $A_p$  and  $A_t$  have the same coefficient 1 in E,  $A_1 \notin$  supp E, E· $A_p = -2$ , E· $A_1 = E \cdot A_2 = 1$ . So E is the fundamental cycle on the connected component of  $UA_i$ ,  $i \neq 1, 2$ which contains  $A_p$  and  $A_t$ . Therefore  $E > G_*$ .  $(E - G_*) \cdot A_1 =$   $(E - G_*) \cdot A_2 = 1$ .  $(E - G_*) \cdot A_p = -3$ .  $A_t \notin$  supp $(E - G_*)$  and  $(E - G_*) \cdot A_t = 1$  because  $A_t$  has coefficient 1 in both E and  $G_*$ . Since  $(E - G_*)^2 = E^2 - 2E \cdot G_* + G_*^2 = -3$  and  $(E - G_*) \cdot A_p =$  -3,  $E - G_*$  is the fundamental cycle on a connected component of  $UA_i$ ,  $i \neq 1, 2$  and t. Observe that  $A_t$  and  $A_1$  follow  $A_p$  at the same time and  $A_p$  follows  $A_t$  and  $A_1$  at the same time. It is clear that D - G is the fundamental cycle on a connected component of  $UA_i$ ,  $i \neq p$  which does not contain  $A_1$  and  $A_t$  with  $(D - G)^2 = (D - G) \cdot A_p = -1$ .

C) Let 
$$A_p \neq A_t$$
 be such that  $X_* \cdot A_p = X_* \cdot A_t = -1$  and  $A_p$  and  $A_t$  have  
coefficients 1 and 2 in  $X_*$  respectively. Note that  $A_t \neq A_1$   
and  $X_* \cdot A_1 = 0$ . Recall that  $A_p$  has coefficient 1 in both Z and  
D. So  $A_p$  has coefficient 2 in X. Let  $\rho^{-1}(E_1) = A_2$ . Let  
 $\rho^{-1}(E_2) = A_p \cup A_t$ . Then by case (II) of section 2,  $A_t$  has  
coefficient 4 in X. Note that  $E_2$  has coefficient 1 in  
 $Z_E$  because  $E_2$  follows  $E_1$ . Again by case (II) of section 2,  
 $A_p$  and  $A_t$  have coefficients 1 and 2, respectively. It is  
impossible.




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(B2)  $X \cdot A_1 = X \cdot A_3 = -1$  and  $A_1$  and  $A_3$  have coefficients 1 and 2 in X respectively.

(iiid) 
$$Z_* \cdot A_t = -1$$
,  $Z_* \cdot A_2 = -2$  and  $Z_* \cdot Z_* = -3$ .  
Let  $V = \{z^3 + 2x^3z + 2y^{12} = 0\}$   
 $B = \{-108(x^9 + y^{24}) = 0\}$   
E:  $\frac{E_1}{-2} + \frac{E_2}{-3} + \frac{E_4}{-2} + \frac{E_5}{-3} + \frac{E_3}{-3}$ 

(B) =  $9E_1 + 18E_2 + 24E_3 + 45E_4 + 72E_5 + W^{(5)}$ where  $W^{(5)}$  meets  $E_5$  in three points.

 $Z_{E} = 1 1 2 3$ 1







2 Z<sub>\*</sub> = 1 1 4 1

(iiie) 
$$Z_* \cdot Z_* = Z_* \cdot A_2 = -3$$
  
Let  $V = \{z^3 + 3x^3z + 2y^9 = 0\}$   
 $B = \{-108(x^9 + y^{18}) = 0\}$   
E:  $\begin{array}{c} E_1 \\ -2 \\ -2 \\ \end{array}$   
(B)  $= 9E_1 + 18E_2 + W^{(2)}$   
where  $W^{(2)}$  meets  $E_2$  in nine points.  
 $Z_E = \begin{array}{c} 1 \\ -2 \\ \end{array}$   
A:  $\begin{array}{c} A_1 \\ A_2 \\ A_3 \\ -1 \end{array}$  where  $A_2$  is of genus 3.  
 $X = \begin{array}{c} 1 \\ 2 \\ \end{array}$   
 $X = \begin{array}{c} 1 \\ 2 \\ \end{array}$   
 $Z_* = \begin{array}{c} A_2 \\ -3 \\ \end{array}$  where  $A_2$  is of genus 3.  
(C)  $Z \cdot Z = -2, \ Z \cdot A_1 = -1 \ and \ A_1 \ has \ coefficient \ 2 \\ X \cdot A_2 = -1 \ and \ A_2 \ has \ coefficient \ 3 \ in \ Z.$ 

3.

2 in Z

(C1) 
$$X_* \cdot X_* = X_* \cdot A_s = -3$$
  
Let  $V = \{x^3 + 2(x^6 + y^3)(x^6 + y^4) = 0\}$   
 $B = \{-108(x^6 + \overline{y}^3)^2 \cdot (x^6 + y^4)^2 = 0\}$ 

E: 
$$\frac{E_1}{-3} - \frac{E_3}{-1} - \frac{E_2}{-2}$$
  
(B) =  $14E_1 + 24E_2 + 42E_3 + w^{(3)}$   
where  $w^{(3)}$  meets  $E_2$  in three points with each point multiplicity 2.  
2 and  $E_3$  in two points with each point multiplicity 2.  
 $Z_E = \frac{1}{2} - \frac{2}{1}$   
A:  $\frac{A_2}{-1} - \frac{A_1}{-3} - \frac{A_3}{-6}$  where  $A_1$  and  $A_9$  are of genus 1.  
 $x = \frac{3}{-1} - \frac{2}{-3} - \frac{1}{-6}$  where  $A_1$  and  $A_9$  are of genus 1.  
 $x = \frac{3}{-1} - \frac{2}{-3} - \frac{1}{-6}$   $y = \frac{1}{A_1}$   
(C2)  $X_x \cdot X_x = -3$ ,  $X_x \cdot A_g = -2$  and  $X_x \cdot A_L = -1$ .  
Let  $V = \{z^3 + 3x^4yz + 2(y^5 + x^{10}y^2 + x^{11}) = 0\}$   
 $B = \{-108[x^{12}y^3 + (y^5 + x^{10}y^2 + x^{11})^2] = 0\}$   
E:  $\frac{E_1}{-3} - \frac{E_3}{-2} - \frac{E_4}{-3} - \frac{E_6}{-1} - \frac{E_5}{-2} - \frac{E_8}{-6} - \frac{E_9}{-2} - \frac{E_7}{-1} - \frac{2}{-3}$   
(B) =  $10E_1 + 18E_2 + 30E_3 + 50E_4 + 69E_5 + 120E_6 + 20E_7 + 39E_8$   
 $+ 60E_9 + w^{(9)}$  where  $w^{(9)}$  meets  $E_6$  and  $E_9$  in one point  
respectively.  
A:  $\frac{A_2}{-1} - \frac{A_1}{-6} - \frac{A_2}{-1} - \frac{A_1}{-2} - \frac{A_2}{-6} - \frac{A_3}{-2} - \frac{A_4}{-3} - \frac{A_4}{-2} - \frac{A_4}{-6} - \frac{A_4}{-2} - \frac{A_4}{-6} - \frac{A_4}{-2} - \frac{A_4}{-1} - \frac{A_$ 

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-2<sup>A</sup>s3



(i)

Let 
$$V = \{z^3 + 2(x^8 + y^5) = 0\}$$
  
 $B = \{-108(x^8 + y^5)^2 = 0\}$   
 $E = \underbrace{E_1 & E_3 & E_5 & E_4 & E_2 \\ \hline -3 & -3 & -3 & -2 & -3 \\ \hline -3 & E_6 \\ \hline -3 & E_7 \\ \hline -1 & E_7 \\ \hline -$ 

(B) =  $10E_1 + 16E_2 + 30E_3 + 48E_4 + 80E_5 + 82E_6 + 84E_7 + 162E_8 + W^{(8)}$ where  $W^{(8)}$  meets  $E_7$  in one point with multiplicity 2.







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(C5)  $X_* \cdot A_s = X_* \cdot A_t = -1$  and  $A_s$  and  $A_t$  have coefficients 2 and 1 in  $X_*$  respectively. Recall that  $X_*$  is the fundamental cycle on supp(Z - 2D). Let  $V = \{z^3 + 3y^5z + 2x^4 = 0\}$  $B = \{-108(y^{15} + x^8) = 0\}$ E:  $\underbrace{E_1 = \frac{E_3}{-3} - \frac{E_4}{-2} - \frac{E_10}{-3} - \frac{E_5}{-2} - \frac{E_6}{-3} - \frac{E_7}{-2} - \frac{E_{11}}{-3} - \frac{E_8}{-1} - \frac{E_9}{-3} - \frac{E_2}{-2} - \frac{E_{11}}{-3} - \frac{E_{1$ 

$$(B) = 8E_1 + 15E_2 + 24E_3 + 40E_4 + 56E_5 + 72E_6 + 88E_7 + 104E_8 + 120E_9 + 96E_{10} + 192E_{11} + W^{(11)}$$
 where  $W^{(11)}$  meets  $E_9$  in one point.

$$Z_{E} = \frac{1}{2} + \frac{2}{3} + \frac{3}{7} + \frac{4}{4} + \frac{5}{5} + \frac{6}{6} + \frac{13}{13} + \frac{7}{7} + \frac{8}{8} + \frac{1}{4}$$

$$A: \frac{A_{2} + A_{1}}{-1 - 6 - 1 - 3 - 1 - 3 - 1 - 3 + \frac{4}{7} + \frac{5}{8} + \frac{6}{4} + \frac{7}{8} + \frac{6}{8} + \frac{7}{8} + \frac$$

(II) 
$$Z \cdot Z = Z \cdot A_1 = -1$$
.  
 $X \cdot A_2 = -1$  and  $A_2$  has coefficient 3 in X.  
(A)  $X_* \cdot A_t = -1$  and  $A_t$  has coefficient 3 in  $X_*$ .  
Let  $V = \{z^3 + 2(x^7 + y^{21}) = 0\}$   
 $B = \{-108(x^7 + y^{21})^2 = 0\}$ 

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E:  

$$E: \frac{E_1}{-3} - \frac{E_3}{-1} - \frac{E_2}{-3} - \frac{E_4}{-1}$$
(B) =  $14E_1 + 28E_2 + 42E_3 + 42E_4 + W^{(4)}$   
where  $W^{(4)}$  meets  $E_4$  in seven points with each point multiplicity 2.  
 $Z_E = \frac{1}{2} - \frac{2}{1} - \frac{1}{1}$   
A:  
 $\frac{A_2}{-1} - \frac{A_p}{-3} - \frac{A_t}{-1} - \frac{A_1}{-3}$  where  $A_1$  is of genus 6.  
 $X = \frac{3}{2} - \frac{2}{3} - \frac{3}{1}$   
 $Z_{\pm} = \frac{1}{2} - \frac{1}{2} - \frac{1}{2}$   
 $Z_{\pm} = \frac{1}{2} - \frac{3}{1} - \frac{1}{2} - \frac{1}{2}$   
 $Z_{\pm} = \frac{1}{2} - \frac{3}{1} - \frac{1}{2} - \frac{1}{2}$   
 $Z_{\pm} = \frac{1}{2} - \frac{2}{1} - \frac{1}{2} - \frac{1}{2}$ 

(B)

 $X_{\star} \cdot A_{1} = X_{\star} \cdot A_{t} = -1$  and  $A_{1}$  and  $A_{t}$  have coefficients 1 and 2 in  $X_{\star}$  respectively. Let  $V = \{z^{3} + 3y^{5}z + 2x^{5} = 0\}$ 

$$B = \{-108(y^{15} + x^{10}) = 0\}$$

$$E: \begin{array}{c} E_1 & E_3 & E_2 \\ \hline -3 & -1 & -2 \end{array}$$

(B) =  $10E_1 + 15E_2 + 30E_3 + W^{(3)}$ where  $W^{(3)}$  meets  $E_3$  in five points.



where  $A_p$  is of genus 2.

where  $A_p$  is of genus 2.

<u>Corollary 3.5</u> Suppose that the hypotheses of Proposition 3.4 are satisfied. Consider the following subcases as we discussed in Proposition 3.4. We will follow notations and numberings of Proposition 3.4.

(I) Let  $Z \cdot Z = -2$ 

(A)  $A_1$  and  $A_2$  appear first in a resolution process by (2.2).

- (B1)  $A_3$  appears first in that resolution.  $A_1$  follows  $A_3$ and  $A_2$  follows  $A_3$ .
- (B2) Either (i)  $A_1$  and  $A_3$  first appear in that resolution and  $A_2$  follows  $A_1$  and  $A_3$  at the same time
  - or (ii)  $A_1$  and  $A_3$  first appear in that resolution and  $A_2$  and  $A_t$  follow  $A_3$  and  $A_1$  at the same time.
- (C)  $A_2$  appears first in that resolution.
- (C1)  $A_s$  follows  $A_2$  and  $A_1$  follows  $A_s$ .
- (C2)  $A_{s}$  and  $A_{t}$  follow  $A_{2}$  at the same time and
  - $A_1$  follows  $A_s$  and  $A_t$  at the same time.
- (C3)  $A_{s1}^{A}, A_{s2}^{A}$  and  $A_{s3}^{A}$  follow  $A_{2}^{A}$  at the same time and  $A_{1}^{A}$  follows  $A_{s1}^{A}, A_{s2}^{A}$  and  $A_{s3}^{A}$  at the same time.
- (C4)  $A_{s}$  follows  $A_{2}$  and  $A_{1}$  follows  $A_{s}$ .
- (C5)  $A_s$  and  $A_t$  follows  $A_2$  at the same time and  $A_1$  follows  $A_s$  and  $A_t$  at the same time.
- (II) Let  $Z \cdot Z = -1$ . A appear first in that resolution.
  - (A)  $A_t$  follows  $A_2$  and then  $A_1$  follows  $A_t$  and  $A_p$  follows  $A_t$ .
  - (B)  $A_1$  and  $A_t$  follow  $A_2$  at the same time and

 $A_{p}$  follows  $A_{1}$  and  $A_{t}$  at the same time.

Now consider the minimal resolution  $r: N \rightarrow V$ . Thus to describe  $r^{*}(m)$  for irreducible triple points, let us consider the case that  $r^{*}(m)$  is not principal. If  $r^{*}(m)$  is not principal, let  $Y = \sum_{i=1}^{m} A_{i}$ , where  $m_{i}$  is the order to which functions gor,  $g \in m$ ,

(B)

generically vanish on  $A_i$ . Let  $r_1 : N_1 \rightarrow V$  be a resolution on which  $r_1^*(m)$  is principal. Let  $r_1 = r \circ \pi$ . Then on  $N_1$ , letting  $\pi^*$  denote the pull-back,

$$X > \pi^* Y \ge \pi^* Z = Z_1$$

where X is the divisor of the pull-back  $r_1^*(m)$  of the maximal ideal at P  $\in$  V. Since Z  $\cdot$ Z = -2 or -1, consider the following cases:

- (I) If  $Z_1 \cdot Z_1 = -2$ , then  $\pi^* Y = Z_1$ . Then Y is the fundamental cycle Z on N. If  $A_1 \cdot Z = 0$  on N, then as in the proof of [L5, Proposition 5.1, p. 323] a generic function gor,  $g \in m$ , generates  $r^*(m)$  in a neighborhood of  $A_1$ . So  $r^*(m)$  is locally principal near  $A_1$  for  $A_1 \cdot Z = 0$ . Since  $Z \cdot Z = -2$ , consider the subcases below:
- (i) There exists a A<sub>1</sub> with z<sub>1</sub> = 1 such that A<sub>1</sub> · Z = -2 where Z = ∑z<sub>1</sub>A<sub>1</sub>. On A<sub>1</sub>, (∂(-Z)/∂(-Z A<sub>1</sub>) is the sheaf of germs of a section of a line bundle L<sub>1</sub> of chern class -A<sub>1</sub> · Z = 2. Let g ∈ m be generic, so that gor vanishes to order m<sub>1</sub> = z<sub>1</sub> on each of the A<sub>1</sub>. Then as a section of L<sub>1</sub>, gor has either one double zero at some point s ∈ A<sub>1</sub> or two distinct simple zeros at s<sub>1</sub> and s<sub>2</sub> in A<sub>1</sub>. First of all, observe the following facts: Suppose that there exists a resolution r<sub>1</sub> : N<sub>1</sub> → V on which r<sub>1</sub><sup>\*</sup>(m) is principal. Let X be its divisor. Then by (\*) below Proposition 3.1, X · X = -3. So we may assume that r<sub>1</sub> : N<sub>1</sub> → V is a resolution by (2.2), without loss of generality. Then by the explicit computation of such a resolution in

section 2 and by case (A) of (I) in Proposition 3.4, we see that any connected component of  $UA_{i}^{}$ ,  $i \neq 1$  of supp X which does not contain  $A_2$  is a exceptional set of the first kind, and so can be collapsed down, become to the empty graph and moreover, does not produce any singularity of A, for the minimal resolution except possibly a singularity of  $A_1$  resulted from blowing down the component containing  $A_2$ , because we assumed that  $r^*(m)$  is not principal. So we get for the minimal resolution that  $\operatorname{supp Z} = A_1, A_1 \cdot A_1 = -2$  and  $A_1$  is a rational curve. By following the notations of case (A) of (I) in Proposition 3.4, observe that X = Z + D,  $D \cdot A_1 = 1$ ,  $A_2 \subset \text{supp } D$ , supp D is the connected component with  $D \cdot D = -1$ ,  $X \cdot A_1 = X \cdot A_2 = -1$  and  $A_1$  and  $A_2$  have coefficients 1 and 2 in X, respectively. Therefore for the minimal resolution we claim that  $supp Z = A_1$  is nonsingular. If not, that singularity could be resulted from blowing down the connected component of  $UA_i$ ,  $i \neq 1$  which does contain  $A_2$  but we would get  $D^{\bullet}A_1 \ge 2$ . Thus we proved that supp  $Z = A_1$  is nonsingular and  $A_1 \cdot A_1 = -2$ . So we might have two subcases below.

(a) Assume that gor have one double zero at s ∈ A<sub>1</sub>, as a section of L<sub>1</sub>. Since r<sup>\*</sup>(m) is assumed not to be principal, all such gor have double zeros at the same point s. Now s is a regular point. Let π':N' → N be the blow-up of N at s. Let A<sub>0</sub> = (π')<sup>-1</sup>(s) and let r' = roπ'. Then still all such gor',

 $g \in m$  have common simple zeros at  $A_1 \cap A_0$ . So  $(r')^*(m)$  is not principal. But observe that  $A_0 = A_2$ , following the notation of case (A) of (I) in Proposition 3.4 and so  $(r')^*(m)$  would be principal. Thus we get a contradiction.

- (b) Assume that gor has two simple zeros at s<sub>1</sub> and s<sub>2</sub> in A<sub>1</sub> respectively with s<sub>1</sub> ≠ s<sub>2</sub>. If all such gor have two simple zeros at the same points s<sub>1</sub> and s<sub>2</sub> then X·X would be ≤ -4. It is a contradiction. Since r<sup>\*</sup>(m) is assumed not to be principal we may assume that all such gor have one and only one common simple zeros at the same point s<sub>1</sub>. Moreover, since Z·Z = -2, s<sub>1</sub> is a regular point of A. Let π: N<sub>1</sub> → N be the blow-up of N at s<sub>1</sub>. Let A<sub>0</sub> = π<sup>-1</sup>(s) and let r<sub>1</sub> = ro π. Then r<sub>1</sub><sup>\*</sup>(m) ⊂ Ø(-π<sup>\*</sup>Z A<sub>0</sub>) and (π<sup>\*</sup>Z + A<sub>0</sub>) · (π<sup>\*</sup>Z + A<sub>0</sub>) = -3. Thus r<sub>1</sub><sup>\*</sup>(m) = Ø(-π<sup>\*</sup>Z A<sub>0</sub>) and r<sub>1</sub><sup>\*</sup>(m) is principal. Moreover, in this case we will prove later in Theorem 4.7 that V has a normalization isomorphic to the variety {z<sup>3</sup> + 3xz + 2y<sup>2</sup> = 0}. That has a rational double point at (0,0,0).
- (ii) There exist  $A_1 \neq A_2$  such that  $A_1 \cdot Z = A_2 \cdot Z = -1$ . On  $A_1$ ,  $\mathcal{O}(-Z)/\mathcal{O}(-Z - A_1)$  is the sheaf of germs of a section of a line bundle  $L_1$  of chern class  $-A_1 \cdot Z = 1$ . On  $A_2$ ,  $\mathcal{O}(-Z)/\mathcal{O}(-Z - A_2)$  is the sheaf of germs of a section of a line bundle  $L_2$  of chern class  $-A_2 \cdot Z = 1$ . Let  $g \in m$  be generic, so that gor vanishes to order  $m_i = z_i$  on each of the  $A_i$ . Then, as a section of  $L_1$ , gor has a simple zero at some point  $s_1 \in A_1$ , and also, as a section of  $L_2$ , gor has a simple zero at some point  $s_2 \in A_2$ .

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But either  $A_1 \cap A_2 = \phi$  or  $A_1 \cap A_2 \neq \phi$ 

- (a) Assume that  $A_1 \cap A_2 = \phi$ . Since  $r^*(m)$  is assumed not to be principal, we claim that either all such gor have simple zeros at the same point  $s_1 \in A_1$  or all such gor have simple zeros at the same point  $s_2 \in A_2$ , not both. If all such gor have simple zeros at the same point  $s_1 \in A_1$  and also have simple zeros at the same point  $s_2 \in A_2$ , then X\*X would be  $\leq -4$ . It would be a contradiction.
- (al) Let us assume that all such gor have simple zeros at the same point  $s_1 \in A_1$ . Since  $Z \cdot Z = -2$ ,  $s_1$  is a regular point. Let  $\pi : N_1 \rightarrow N$  be the blow-up of N at  $s_1$ . Let  $A_0 = \pi^{-1}(s_1)$ and let  $r_1 = r \circ \pi$ . Then  $r_1^*(m) \subset \mathcal{O}(-\pi^* Z - A_0)$  and  $(\pi^* Z + A_0) \cdot (\pi^* Z + A_0) = -3$ . Thus  $r_1^*(m) = \mathcal{O}(-\pi^* Z - A_0)$  and  $r_1^*(m)$ is principal.
- (a2) Let us assume that all such gor have simple zeros at the same point  $s_2 \in A_2$ . Since  $Z \cdot Z = -2$ ,  $s_2$  is a regular point. Let  $\pi : N_1 \rightarrow N$  be the blow-up of N at  $s_2$ . Let  $A_0 = \pi^{-1}(s_2)$  and let  $r_1 = r \circ \pi$ . Then  $r_1^*(m) \subset \mathcal{O}(-\pi^* Z - A_0)$  and  $(\pi^* Z + A_0) \cdot (\pi^* Z + A_0)$ = -3. Thus  $r_1^*(m) = \mathcal{O}(-\pi^* Z - A_0)$  and  $r_1^*(m)$  is principal.
- (b) Assume that A<sub>1</sub> ∩ A<sub>2</sub> ≠ φ. Since r<sup>\*</sup>(m) is assumed not to be principal, we claim that either (b1) all such gor have simple zeros at the same point s<sub>1</sub> ∈ A<sub>1</sub> A<sub>2</sub> or (b2) all such gor have simple zeros at the same point s<sub>2</sub> ∈ A<sub>2</sub> A<sub>1</sub> or (b3) all such gor have simple zeros at the same point s = A<sub>1</sub> ∩ A<sub>2</sub>. In the

first two cases (b1) and (b2), we have the same result as in (a). So we may assume that all such gor have simple zeros at the same point  $s = A_1 \cap A_2$ . Since  $Z \cdot Z = -2$ , s is a regular point of  $A_1$  and  $A_2$  because of case (B1) of (I) of Proposition 3.4. Let  $\pi: N_1 \rightarrow N$  be the blow-up at s. Let  $A_0 = \pi^{-1}(s)$  and let  $r_1 = ro\pi$ . Then  $r_1^*(m) \subset \mathcal{O}(-\pi^* Z - A_0)$  and  $(\pi^* Z + A_0) \cdot (\pi^* Z + A_0) = -3$ . Thus  $r_1^*(m) = \mathcal{O}(-\pi^* Z - A_0)$  and  $r_1^*(m)$  is principal.

- (iii) There is  $A_1$  with  $z_1 = 2$  such that  $A_1 \cdot Z = -1$  where  $Z = \sum z_1 A_1 \cdot O(A_1, O(-Z)/O(-Z A_1))$  is the sheaf of germs of a section of a line bundle  $L_1$  of chern class  $-A_1 \cdot Z = 1$ . Let  $g \in m$  be generic, so that gor vanishes to order  $m_1 = z_1$  on each of the  $A_1$ . Then as a section of  $L_1$ , gor has a simple zero at some point  $s \in A_1$ . Since  $r^*(m)$  is assumed not to be principal, all such gor have simple zeros at the same point s. Moreover, since  $Z \cdot Z = -2$ , s is a regular point of A. Let  $\pi : N_1 \neq N$  be the blow-up of N at s. Let  $A_0 = \pi^{-1}(s)$  and let  $r_1 = r \circ \pi$ . Then  $r_1^*(m) \subset O(-\pi^* Z A_0)$  and  $(\pi^* Z + A_0) \cdot (\pi^* Z + A_0) = -3$ . Thus  $r_1^*(m) = O(-\pi^* Z A_0)$  and  $r_1^*(m)$  is principal.
- (II) If  $Z_1 \cdot Z_1 = -1$ , then  $-3 = X \cdot X \leq \pi^* Y \cdot \pi^* Y \leq Z_1 \cdot Z_1 = -1$ . So  $\pi^* Y \cdot \pi^* Y = -2$  or -1. Since  $Z \cdot Z = -1$ , then let  $A_1$  be such that  $A_1 \cdot Z = -1$ .
- (i) Assume that  $\pi^* Y \cdot \pi^* Y = -2$ . Since Y > Z, let Y = Z + G. Then  $-2 = Y \cdot Y = Z^2 + 2Z \cdot G + G^2$ ,  $G \cdot Z \leq 0$  and  $G^2 \leq 0$  imply  $G^2 = -1$ and  $G \cdot Z = 0$ . Since  $G \cdot Z = 0$ ,  $A_1 \notin$  supp G and  $G \cdot A_1 = 1$ . For

 $A_i \subseteq \operatorname{supp} G$ ,  $G \cdot A_i = (Y - Z) \cdot A_i \leq 0$ . Since  $G^2 = -1$ , let  $A_p$  be such that  $G \cdot A_p = -1$ . Then  $Y \cdot A_p = -1$  and  $Y \cdot Y \leq Y \cdot 2A_p = -2$ imply that  $A_p$  has coefficient 2 in Y. So Y replaces Z with the previous arguments as in case (iii) of (I).

Assume that  $\pi^* Y \cdot \pi^* Y = -1$ . Then  $\pi^* Y = Z_1$  and also Y is the (ii)fundamental cycle Z on N. Since  $A_i \cdot Z = 0$  for  $i \neq 1$ , then as in the proof of [L5, Proposition 5.1, p. 323], a generic function gor,  $g \in m$ , generates  $r^{*}(m)$  in a neighborhood of  $A_{i}$ . So r'(m) is locally principal near A for  $A_i \cdot Z = 0$ . On  $A_1$ ,  $\mathcal{O}(-Z)/\mathcal{O}(-Z-A_1)$  is the sheaf of germs of a section of a line bundle L of chern class  $-A_1 \cdot Z = 1$ . Let  $g \in m$  be generic, so that gor vanishes to order  $m_i = z_i$  on each of the  $A_i$  where  $Z = \Sigma z_1 A_1$ . Then as a section of  $L_1$ , gor has a simple zero at some point  $s_1 \in A_1$ . Since  $r^*(m)$  is assumed not to be principal, all such gor have simple zeros at the same point  $s_1 \in A_1$ . Since  $Y \cdot Y = Z \cdot Z = -1$ ,  $s_1$  is a regular point of A. Let  $\pi': \mathbb{N}' \to \mathbb{N}$  be the blow-up at  $s_1$ . Let  $A_0 = (\pi')^{-1}(s_1)$  and let  $\mathbf{r'} = \mathbf{r} \circ \pi'$ . Then  $(\mathbf{r'})^*(\mathbf{m}) \subset \mathcal{O}(-\pi^* \mathbf{Z} - \mathbf{A}_0)$  and  $(\pi'^{*}Z + A_{0}) \cdot (\pi'^{*}Z + A_{0}) = -2$ . Let  $Z' = \pi'^{*}Z + A_{0}$ . Then  $Z' \cdot A_j = 0$  for  $A_j \neq A_0$ .  $Z' \cdot A_0 = -1$  and  $A_0$  has coefficient 2 in Z'. Therefore, as in the proof of the previous case (i), let  $\pi'': N'' \rightarrow N'$  be the blow-up at  $s_2 \in A_0$ . Let  $A_0' = (\pi'')^{-1}(s_2)$ and let  $r'' = r' \circ \pi''$ . Then  $(r'')^*(m) = O(-\pi''^*Z' - A_0')$  and (r")<sup>\*</sup>(m) is principal.

If we summarize the previous results, we have the following: <u>Proposition 3.6</u> Let  $r: N \rightarrow V$  be the minimal resolution of a twodimensional irreducible triple point P. Let m be the maximal ideal at P. Let Z be the fundamental cycle on N and let us assume that  $Z \cdot Z = -1$  or -2. If  $r^{*}(m)$  is principal, then the divisor X of  $r^{*}(m)$ satisfies X > Z. If  $r^{*}(m)$  is not principal, consider the following cases:

- (I) Suppose that  $Z \cdot Z = -2$  on N. Then there exist the following three subcases.
- (i) There exists  $A_1$  with  $z_1 = 1$  such that  $A_1 \cdot Z = -2$  where  $Z = \Sigma z_1 A_1$ . Then  $O(-Z)/r^*(m)$  is the structure sheaf for an embedded point  $s \in A_1$ . s is a regular point. Blowing up N at s makes  $r_1^*(m)$  principal where  $\pi : N_1 \rightarrow N$  is the blow-up of N at s and  $r_1 = r \circ \pi$ . Moreover, V has a normalization isomorphic to the variety  $\{z^3 + 3xz + 2y^2 = 0\}$ , which will be proved in Theorem 4.7.
- (ii) There exist  $A_1 \neq A_2$  such that  $Z \cdot A_1 = Z \cdot A_2 = -1$ . Then  $\mathcal{O}(-Z)/r^*(m)$  is the structure sheaf for an embedded point s where  $s = A_1 \cap A_2$ ,  $s \in A_1 A_2$  or  $s \in A_2 A_1$ . s is a regular point of  $A_1 \cup A_2$ . Blowing up N at s makes  $r_1^*(m)$  principal where  $\pi : N_1 \neq N$  is the blow-up of N at s and  $r_1 = r \circ \pi$ .
- (iii) There exists  $A_1$  with  $z_1 = 2$  such that  $A_1 \cdot Z = -1$  where  $Z = \Sigma z_1 A_1$ . Then  $(\mathcal{O}(-Z)/r^*(\mathbf{m}))$  is the structure sheaf for an embedded point  $s \in A_1$ . s is a regular point. Blowing up N at s makes  $r_1^*(\mathbf{m})$  principal where  $\pi : N_1 \to N$  is the blow-up of N at s and  $r_1 = r \circ \pi$ .

- (II) Suppose that  $Z \cdot Z = -1$  on N. Let  $Y = \sum_{i=1}^{m} A_i$ , where  $m_i$  is the order to which functions gor,  $g \in m$ , generically vanish on  $A_i$ . Then there exist the following two subcases.
- (i) Let  $Y \cdot Y = -2$ . Then there is  $A_p$  such that  $Y \cdot A_p = -1$ ,  $m_p = 2$ .  $\mathcal{O}(-Y)/r^*(m)$  is the structure sheaf for an embedded point  $s \in A_p$ , s is a regular point of A. Blowing up N at s makes  $r_1^*(m)$  principal where  $\pi : N_1 \to N$  is the blow-up of N at s and  $r_1 = r \circ \pi$ .
- (ii) Let  $Y \cdot Y = -1$ , i.e., Y = Z. Then there is  $A_1$  such that  $Z \cdot A_1 = -1$ .  $\mathcal{O}(-Z)/r^*(m)$  is the structure sheaf for an embedded point  $s \in A_1$ . s is a regular point. Let  $\pi : N_1 \to N$  be the blow-up of N at s. Let  $r_1 = r \circ \pi$ . Let  $A_0 = \pi^{-1}(s)$  and  $Z_1 = \pi^* Z + A_0$ . Then  $r_1^*(m) \subset \mathcal{O}(-Z_1)$  and  $Z_1 \cdot Z_1 = -2$ . Again  $\mathcal{O}(-Z_1)/r_1^*(m)$  is the structure sheaf for an embedded point  $t \in A_0$ . t is a regular point of  $supp Z_1$ . Blowing up  $N_1$  at t makes  $r_2^*(m)$  principal where  $\pi_1 : N_2 \to N_1$  is the blow-up of  $N_1$  at t and  $r_2 = r_1 \circ \pi_1 = r \circ \pi \circ \pi_1$ .

Examples of Proposition 3.6

(1) 
$$Z \cdot Z = -2$$
  
Let  $Z \cdot A_1 = -2$   
Let  $V = \{z^3 + 3x^3z + 2y^2x^3 = 0\}$ .  
 $B = \{-108x^6(x^3 + y^4) = 0\}$ .

E:  $\begin{array}{c} E_1 & E_4 & E_3 & E_5 & E_5 \\ \hline -4 & -1 & -3 & -1 \end{array}$ 

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Note that V is not normal at (0,0,0) and the normalization of V is given by  $V_1 = \{z^3 + 3xz + 2y^2 = 0\}$  which has a rational double point singularity at (0,0,0).

- (ii) Let  $Z \cdot A_1 = Z \cdot A_2 = -1$
- (a) Let  $V = \{z^3 + 3x^3z + 2y^{12} = 0\}$  (an example of Proposition 3.4) B =  $\{-108(x^9 + y^{24}) = 0\}$





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blowing down



Note that s  $\in A_2 - UA_1$ ,  $i \neq 2$  and  $A_1 \cap A_2 = \phi$ 

(b) Let  $V_1 = \{z^3 + 3x^3z + 2y^9 = 0\}$  (an example of Proposition 3.4) B =  $\{-108(x^9 + y^{18}) = 0\}$ 



where  $A_2$  is of genus 3.



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blowing down

 $\begin{array}{c} A_1 \\ \bullet \\ \hline -2 \\ \hline -2 \\ \hline -2 \\ \hline -2 \\ \hline \end{array}$ 

where  $A_2$  is of genus 3.

 $Z = \underbrace{-2 \quad -2}^{\bullet}$ 

Note that  $s \in A_2 - A_1$  and  $A_1 \cap A_2 \neq \phi$ Let  $V_2 = \{z^3 + 2y(x^7 + y^{14}) = 0\}$  $B = \{-108y^2(x^7 + y^{14})^2 = 0\}$  $\begin{array}{c} E_3 & E_1 & E_2 \\ \hline -1 & -3 & -1 \end{array}$ Ε: (B) =  $16E_1 + 30E_2 + 18E_3 + W^{(3)}$ where  $W^{(3)}$  meets  $E_2$  in seven points with each point multiplicity 2 and meets  $E_3$  in one point with multiplicity 2.  $\begin{array}{cccc} A_1 & A_3 & A_2 \\ \hline -3 & -1 & -3 \end{array} \quad \text{where } A_2 \text{ is of genus 6.} \end{array}$ 1 3 1 blowing down Х ==  $\begin{array}{c} A_{1} \\ -2 \\ -2 \\ -2 \end{array}$ where  $A_2$  is of genus 6. 1 1 Z = Note that  $s = A_1 \cap A_2$  and  $A_1 \cap A_2 \neq \phi$ . Let  $Z^{\bullet}A_1 = -1$  where  $A_1$  has coefficient 2 in Z. (iii) Let  $V = \{z^3 + 3y^4z + 2x^4 = 0\}$  (an example of Proposition 3.4)  $B = \{-108(y^{12} + x^8) = 0\}$  $A_2$   $A_1$  -2-1 -3 -2 where  $A_1$  is of genus 1.

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blowing-down

where  $A_1$  is of genus 1.

(11) 
$$2 \cdot 2 = 2 \cdot A_1 = -1$$
  
(i) Let  $Y \cdot Y = -2$  and  $Y \cdot A_p = -1$  where  $A_p$  has coefficient 2 in  $A_p$ .  
Let  $V = \{z^3 + 3y^7 z + 2x^7 = 0\}$   
 $B = \{-108(y^{21} + x^{14}) = 0\}$   
E:  $\frac{E_1}{-3} = \frac{E_2}{-1} = \frac{E_2}{-2}$   
(B) =  $14E_1 + 21E_2 + 42E_3 + W^{(3)}$   
where  $W^{(3)}$  meets  $E_3$  in seven points.  
A<sub>1</sub>

Its resolution is



where  $A_p$  is of genus 3.

 $\xrightarrow{\text{blowing-down}} \qquad \xrightarrow{A_2 \qquad A_p \qquad A_1} \\ \xrightarrow{-1 \qquad -2 \qquad -2} \\ X = \qquad \xrightarrow{3 \qquad 2 \qquad 1}$ 

where A is of genus 3. p



 $\begin{array}{c} A_1 & A_3 \\ \hline -2 & -1 \end{array}$ 

blowing-downs

where  $A_3$  is of genus 3.

 $Y = Z = \underbrace{\begin{array}{c}1 \\ \bullet \end{array}}$ 

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Note that the above examples (i) and (ii) of (II) have the same topological minimal resolutions but they have different equisingular types, i.e., the multiplicity  $\mu$  are different [M&O]. Example (i) has  $\mu$  = 114 and example (ii) has  $\mu$  = 138.

§4 From Resolution to Normal Triple Point with the Condition (4.1)

Given V of Lemma 1.12, let V = {(x,y,z) : f =  $z^3 + 3p(x,y)z$ + 2q(x,y) = 0} be a normal two-dimensional analytic space with P = (0,0,0) its only singularity. Let us recall that  $\tau : M \neq \varphi^2$  and (B) =  $W^{(n)} + \Sigma e_i E_i$ ,  $1 \le i \le n$ , is a divisor of  $-108(p^3 + q^2)$  in (2.2). Note that  $-108(p^3 + q^2)$  is the z-discriminant of the above f. Throughout the rest of this paper, we can now consider our main concern. But in order to avoid complicated and difficult situations, we are going to impose the condition (4.1) which is described below: (4.1): If  $p(x,y) \ne 0$  in V, then we assume that  $-108(p^3 + q^2)$  is a product of distinct prime factors up to a unit near Q = (0,0) and  $o(B) = Min[o(p^3), o(q^2)]$  along  $E_i$ ,  $1 \le i \le n$ .

If  $p(x,y) \equiv 0$ , then q(x,y) in V must be square free near Q. Observe that all examples given for Propositions 3.4 and 3.6 satisfy (4.1). Moreover, by Lemma 2.6 and (2.2) we can stop a resolution process by (2.2) if the branch locus satisfies (i) and (ii) in (2.2). Note that (4.1) is preserved under additional blow-ups provided we do not blow up again at a point where an irreducible curve of the proper transform of B and an exceptional curve intersect transversely. Here is an example which fails to satisfy (4.1). Let  $V = \{z^3 - 3x^4z + 2(x^6 + x^9 + y^9) = 0\}$ . Note that V is normal at P because  $-108(p^3 + q^2) = -108(x^9 + y^9) \cdot (2x^6 + x^9 + y^9)$  is a product of distinct prime factors near (0,0). But observe that  $o(B) = 15 > o(p^3) = o(q^2) = 12$  along  $E_1$  where  $E_1$  is the curve appearing at the initial blow-up at (0,0). Now given  $\tilde{r}: \tilde{N} + V$ , the

minimal good resolution of a normal two-dimensional triple point singularity P, when can we get a resolution by (2.2)? Let  $r: N \rightarrow V$ be a resolution by (2.2). Let  $\tilde{\Gamma}$  and  $\Gamma$  denote topological embeddings of  $\tilde{r}^{-1}(P)$  and  $r^{-1}(P)$  respectively. The examples of (II) of Proposition 3.6 show that topologically different P can yield the same  $\tilde{\Gamma}$  for the minimal resolution. If  $\Gamma$  is found, then what can be said about the topological type of the singularity Q of the plane curve which is the discriminant locus determined by P independently of choice of coordinates? Then we need the following propositions.

Let  $V = \{(x,y,z) \mid z^3 + 3p(x,y)z + 2q(x,y) = 0\}$  be a Lemma 4.1 two-dimensional analytic space with P = (0,0,0) its only singularity. Suppose that we have completed t of the n-steps needed for a resolution process by (2.2). Let  $V^{(t)}$  be locally defined by  $\{f_{t} = z^{3} + 3v^{\lambda}p_{t}(u,v)z + 2v^{\mu}q_{t}(u,v) = 0\} \text{ where } v \not = p_{t} \text{ and } v \not = q_{t}.$ Let  $E_t = \{v = 0\}$ . Note that the z-discriminant of  $f_t = t$  $-108(v^{3\lambda}p_t^3 + v^{2\mu}q_t^2) = -108v^e b_t(u,v)$  where  $v \nmid b_t(u,v)$  and  $b_t(u,v)$ is holomorphic in u and v. Recall that  $o(p^3) = 3\lambda$ ,  $o(q^2) = 2\mu$  and  $o(B) = e \text{ along } E_t$ . If  $f_t$  is reducible in (<u,v>|z|), the polynomial ring in z with coefficients holomorphic in cau, v>, then f can be written  $f_t = (z - r_1) (z^2 + r_1 z + r_2)$  where  $r_1$  and  $r_2$  are holomorphic near (u,v) = (0,0). Let o(r) = k be an integer such that  $v^k | r$  and  $v^{k+1} \not| r$  where r is holomorphic near (u,v) = (0,0). Note that  $3p = 3v^{\lambda}p_1 = -r_1^2 + r^2$ ,  $2q = 2v^{\mu}q_1 = -r_1r_2$  and the z-discriminant of  $f_t$  is  $-108[v^{3\lambda}p_t^3 + v^{2\mu}q_t^2] = (2r_1^2 + r_2)^2 (r_1^2 - 4r_2)$ . Then compare  $o(2r_1^2 + r_2)$  and  $o(r_1^2 - 4r_2)$  in terms of  $o(r_1^2)$  and  $o(r_2)$ .

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(1) If 
$$o(r_1^2) < o(r_2)$$
 along  $E_t$ , then  $o(2r_1^2 + r_2) = o(r_1^2 - 4r_2)$   
and  $o(p^3) < o(q^2)$  with  $o(p^3) \equiv 0 \pmod{2}$ .  
(2) If  $o(r_1^2) > o(r_2)$  along  $E_t$ , then  $o(2r_1^2 + r_2) = o(r_1^2 - 4r_2)$   
and  $o(p^3) < o(q^2)$ .  
(3) If  $o(r_1^2) = o(r_2)$  along  $E_t$ , then  $o(p^3) \ge o(q^2)$  with  
 $o(q^2) \equiv 0 \pmod{3}$ .  
(i) If  $o(p^3) > o(q^2)$ , then  $o(2r_1^2 + r_2) = o(r_1^2 - 4r_2)$ .  
(ii) If  $o(B) = o(p^3) = o(q^2)$ , then  $o(2r_1^2 + r_2) = o(r_1^2 - 4r_2)$ .  
(iii) If  $o(B) = od(p^3) = o(q^2)$ , then  $o(r_1^2 - 4r_2) \ge 2 \cdot o(r_1)$   
 $= o(r_2) = o(2r_1^2 + r_2)$ .  
(iv) If  $o(B) = even > o(p^3) = o(q^2)$ , then  
 $either o(r_1^2 - 4r_2) > 2 \cdot o(r_1) = o(r_2) = o(2r_1^2 + r_2)$ , not both

Proof

(1) Since 
$$o(r_1^2) < o(r_2)$$
, trivially  $o(2r_1^2 + r_2) = o(r_1^2 - 4r_2)$   
=  $o(-r_1^2 + r_2) = o(p) = 2 \cdot o(r_1)$ . But  $o(q) = o(r_1) + o(r_2)$   
>  $3 \cdot o(r_1)$ . Thus  $o(q^2) > 6 \cdot o(r_1) = o(p^3)$ .

(2) It is trivial that 
$$o(2r_1^2 + r_2) = o(r_1^2 - 4r_2) = o(-r_1^2 + r_2)$$
  
=  $o(p) = o(r_2)$ . But  $o(q) = o(r_1) + o(r_2) > \frac{3}{2} \cdot o(r_2)$ . Thus  $o(q^2) > 3 \cdot o(r_2) = o(p^3)$ .

(3) Since 
$$o(p) = o(-r_1^2 + r_2)$$
 and  $o(r_1^2) = o(r_2)$ , then  $o(p) \ge 2 \cdot o(r_1)$   
=  $o(r_2)$ . So  $o(p^3) \ge 6 \cdot o(r_1) = 3 \cdot o(r_2) = o(q^2)$ .

(i) If 
$$o(p^3) > o(q^2) = 6 \cdot o(r_1)$$
, then  $o(B) = o(p^3 + q^2) = o(q^2)$ 

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$$= 6 \cdot o(r_1) = 2 \cdot o(2r_1^2 + r_2) + o(r_1^2 - 4r_2) \ge 4 \cdot o(r_1) + 2 \cdot o(r_1)$$
  
= 6 \cdot o(r\_1). So  $o(2r_1^2 + r_2) = 2 \cdot o(r_1) = o(r_1^2 - 4r_2).$ 

(ii) If  $o(B) = o(p^3) = o(q^2)$ , then by the same argument as case (i),  $o(2r_1^2 + r_2) = o(r_1^2 - 4r_2)$ .

Now if  $o(B) > o(p^3) = o(q^2)$  then either o(B) = even or o(B) = odd. We know that either  $o(2r_1^2 + r_2) > 2 \cdot o(r_1) = o(r_2)$  or  $o(r_1^2 - 4r_2) > 2 \cdot o(r_1) = o(r_2)$ , but not both, otherwise o(p) =  $o(-r_1^2 + r_2) = o[2r_1^2 + r_2 - (r_1^2 - 4r_2)] > 2 \cdot o(r_1) = o(r_2)$  implies  $o(p^3) > o(q^2)$ . If o(B) = odd, then  $o(B) = 2 \cdot o(2r_1^2 + r_2) + o(r_1^2 - 4r_2)$ implies  $o(r_1^2 - 4r_2) = \text{odd}$ . So  $o(r_1^2 - 4r_2) > 2 \cdot o(r_1) = o(r_2)$ . Therefore, if o(B) = odd,  $o(r_1^2 - 4r_2) > o(2r_1^2 + r_2) = 2 \cdot o(r_1)$  $= o(r_2)$ .

<u>Proposition 4.2</u> Let V satisfy (4.1). Suppose that we have completed t of the n-steps for a resolution by (2.2). Let  $V^{(t)}$  be defined by  $\{f_t = z^3 + 3v^{\lambda}u^{\alpha}p_t(u,v)z + 2v^{\mu}u^{\beta}q_t(u,v) = 0\}$  near (u,v,z) = (0,0,0) where  $v \not p_t$ ,  $v \not q_t$ ,  $u \not p_t$  and  $u \not q_t$ . Let  $E_t = \{v = 0\}$  be an exceptional curve which appears in  $V^{(t)}$ . Assume that  $o(B) = 3\lambda < 2\mu$  along  $E_t$  or  $o(B) = 2\mu < 3\lambda$  along  $E_t$  and that  $E_t$ intersects irreducible curves of the proper transform of B which vanish at (u,v) = (0,0). Then  $f_t$  is irreducible in  $\ van (u,v) = 1$ . Observe that irreducibility in  $\ van (u,v) = 1$ . Therefore (0,0,0) is an irreducible singular point of  $V^{(t)}$ . 98.

First we assume that  $o(B) = 3\lambda < 2\mu$  along  $E_t$ . Proof To prove this, let us divide it into the following three cases;  $3\alpha = 2\beta$  which may be equal to zero (i) (ii)  $3\alpha < 2\beta$ (iii)  $2\beta < 3\alpha$ Suppose that  $f_t$  is reducible in (<u,v>[z]). We write  $f_t$  as (i)  $f_t = (z - r_1) \cdot (z^2 + r_1 z + r_2) = z^3 + (-r_1^2 + r_2) z - r_1 r_2$ where  $r_1$  and  $r_2$  are holomorphic near (0,0). Then in terms of this expression, the z-discriminant of f is  $(2r_1^2 + r_2)^2 \cdot (r_1^2 - 4r_2) = -108v^{3\lambda} \cdot u^{3\alpha} [p_t^3 + v^{2\mu - 3\lambda}q_t^2]$ . By Lemma 4.1,  $2r_1^2 + r_2 = v^{\lambda}u^{\alpha}h$  and  $r_1^2 - 4r_2 = v^{\lambda}u^{\alpha}Ip_t^3 + v^{2\mu-3\lambda}q_t^2]k$ where h and k are units near (0,0) because  $p_t^3 + v^{2\mu-3\lambda}q_t^2$  is a product of distinct prime factors up to a unit near (0,0). So  $3v^{\lambda}u^{\alpha}p_{t}(u,v) = -r_{1}^{2} + r_{2} = -\frac{1}{3}[(2r_{1}^{2} + r_{2}) + (r_{1}^{2} - 4r_{2})]$ 

 $= -\frac{1}{3} [v^{\lambda} u^{\alpha} h + v^{\lambda} u^{\alpha} (p_{t}^{3} + v^{2\mu-3\lambda} q_{t}^{2})k]$   $= -\frac{1}{3} v^{\lambda} \cdot u^{\alpha} [h + (p_{t}^{3} + v^{2\mu-3\lambda} q_{t}^{2})k]. \text{ Then we would get}$   $3p_{t} = -\frac{1}{3} [h + (p_{t}^{3} + v^{2\mu-3\lambda} q_{t}^{2})k] \text{ and } p_{t}(0,0) \neq 0. \text{ By}$   $assumption p_{t}^{3} + v^{2\mu-3\lambda} q_{t}^{2} \text{ vanishes at } (0,0) \text{ and so } p_{t}(0,0) = 0.$  It is absurd.

(ii) Let 
$$3\alpha < 2\beta$$
. Suppose that  $f_t$  is reducible in  $(.  
 $f_t$  can be written as  
 $f_t = (z - r_1) \cdot (z^2 + r_1 z + r_2) = z^3 + (-r_1^2 + r_2)z - r_1 r_2$   
where  $r_1$  and  $r_2$  are holomorphic near  $(0,0)$ . Then the$ 

$$\begin{aligned} z-\text{discriminant of } f_{t} \text{ is } (2r_{1}^{2} + r_{2})^{2} \cdot (r_{1}^{2} - 4r_{2}) \\ &= -108v^{3\lambda} \cdot u^{3\alpha} [p_{t}^{3} + v^{2\mu-3\lambda} u^{2\beta-3\alpha} q_{t}^{2}]. \text{ By Lemma 4.1,} \\ 2r_{1}^{2} + r_{2} &= v^{\lambda} u^{\alpha} \text{h and } r_{1}^{2} - 4r_{2} = v^{\lambda} u^{\alpha} [p_{t}^{3} + v^{2\mu-3\lambda} u^{2\beta-3\alpha} q_{t}^{2}] \text{k} \\ \text{where h and k are units near (0,0) because } p_{t}^{3} + v^{2\mu-3\lambda} u^{2\beta-3\alpha} q_{t}^{2} \\ \text{is a product of distinct prime factors up to a unit near } \\ (0,0) \cdot So 3v^{\lambda} u^{\alpha} p_{t}(u,v) &= -r_{1}^{2} + r_{2} = -\frac{1}{3} [(2r_{1}^{2} + r_{2}) + (r_{1}^{2} - 4r_{2})] \\ &= -\frac{1}{3} [v^{\lambda} u^{\alpha} \text{h} + v^{\lambda} u^{\alpha} (p_{t}^{3} + v^{2\mu-3\lambda} u^{2\beta-3\alpha} q_{t}^{2}) \text{k}] \\ &= -\frac{1}{3} v^{\lambda} u^{\alpha} [\text{h} + (p_{t}^{3} + v^{2\mu-3\lambda} u^{2\beta-3\alpha} q_{t}^{2}) \text{k}]. \text{ Then we would get } \\ 3p_{t} &= -\frac{1}{3} [\text{h} + (p_{t}^{3} + v^{2\mu-3\lambda} u^{2\beta-3\alpha} q_{t}^{2}) \text{k}] \text{ and then } p_{t}(0,0) \neq 0. \\ \text{But by assumption } p_{t}^{3} + v^{2\mu-3\lambda} u^{2\beta-3\alpha} q_{t}^{2} \text{ vanishes at (0,0).} \end{aligned}$$

(iii) Let 
$$2\beta < 3\alpha$$
. If  $2\beta \not\equiv 0 \pmod{3}$ , then it is trivial by  
Corollary 2.5. So we may assume that  $2\beta \equiv 0 \pmod{3}$ .  
Suppose that  $f_t$  is reducible in  $([z])$ .  $f_t$  can be written  
as  $f_t = (z - r_1) \cdot (z^2 + r_1 z + r_2)$  where  $r_1$  and  $r_2$  are holomorphic  
near (0,0). Then the z-discriminant of  $f_t$  is  
 $(2r_1^2 + r_2)^2 \cdot (r_1^2 - 4r_2) = -108v^{3\lambda} \cdot u^{2\beta} [u^{3\alpha-2\beta}p_t^3 + v^{2\mu-3\lambda}q_t^2]$ .  
Since  $2\beta \equiv 0 \pmod{3}$ , let  $\beta = 3\beta'$ . Then by Lemma 4.1,

 $2r_1^2 + r_2 = v^{\lambda}u^{2\beta'}h \text{ and } r_1^2 - 4r_2 = v^{\lambda}u^{2\beta'}[u^{3\alpha-6\beta'}p_t^3 + v^{2\mu-3\lambda}q_t^2]k$ where h and k are units near (0,0). So

$$\begin{aligned} 3v^{\lambda}u^{\alpha}p_{t}(u,v) &= -r_{1}^{2} + r_{2} = -\frac{1}{3}[(2r_{1}^{2} + r_{2}) + (r_{1}^{2} - 4r_{2})] \\ &= -\frac{1}{3}[v^{\lambda}u^{2\beta}h + v^{\lambda}u^{2\beta}(u^{3\alpha-6\beta}p_{t}^{3} + v^{2\mu-3\lambda}q_{t}^{2})k] \\ &= -\frac{1}{3}v^{\lambda}u^{2\beta}[h + (u^{3\alpha-6\beta}p_{t}^{3} + v^{2\mu-3\lambda}q_{t}^{2})k]. \end{aligned}$$

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Thus  $3 \cdot u^{\alpha - 2\beta} \, p_t = -\frac{1}{3} [h + (u^{3\alpha - 6\beta} \, p_t^3 + v^{2\mu - 3\lambda} q_t^2)k]$ . This is a contradiction because  $u^{\alpha - 2\beta} \, p_t$  vanishes at (0,0) but the right side of this equation does not vanish at (0,0).

Next, if  $o(B) = 2\mu < 3\lambda$  along  $E_t$ , similarly we can prove it.

<u>Corollary 4.3</u> Let V and V<sup>(t)</sup> be defined as in Proposition 4.2. Recall that  $\omega_t : N^{(t)} \to V^{(t)}$  is the normalization of V<sup>(t)</sup>. Let  $E_t = \{v = 0\}$  and  $E_s = \{u = 0\}$  be exceptional curves which appear in V<sup>(t)</sup>. Assume that  $o(B) = odd = 3\lambda < 2\mu$  along  $E_t$  and  $o(B) = odd = 3\alpha < 2\beta$  along  $E_s$ . Suppose that  $E_t \cap E_s \neq \phi$  and the proper transform of B does not vanish at (u,v) = (0,0). Then V<sup>(t)</sup> is reducible near (0,0,0). So N<sup>(t)</sup> has a double point singularity at a point of  $\rho_t^{-1}(0,0,0)$ .

**Proof** Recall that the z-discriminant of the local defining equation  $f_t$  for  $v^{(t)}$  is  $-108v^{3\lambda} \cdot u^{3\alpha}$  h where h is a unit near (0,0) since  $3\lambda < 2\mu$  and  $3\alpha < 2\beta$ . Resolve  $v^{(t)}$  over (0,0) by a resolution process by (2.2). Since  $3\lambda$  and  $3\alpha$  are odd, after just one blowingup at (u,v) = (0,0), the new exceptional curve E is not part of the branch locus of  $\rho$ . The corresponding weighted dual graph for a resolution by (2.2) of  $v^{(t)}$  near (u,v) = (0,0) is  $A_1 \cup A_2$  where  $\rho^{-1}(E) = A_1 \cup A_2$ ,  $A_1 \cdot A_1 = -2$  and  $A_2 \cdot A_2 = -1$ . Note that  $A_1 \cup A_2$  is disconnected. Thus we proved that  $v^{(t)}$  is reducible near (0,0,0)and that  $N^{(t)}$  has a double point singularity at a point of  $\omega_t^{-1}(0,0,0)$ .  $101 \cdot$ 

## Examples of Corollary 4.3

Let V = { $z^3$  + 3x(x + 2y)<sup>2</sup>z + 2(x<sup>9</sup> + y<sup>9</sup>) = 0}. Then B = {-108[ $x^3(x + 2y)^6$  + (x<sup>9</sup> + y<sup>9</sup>)<sup>2</sup>] = 0}.

Note that V has a normal triple point singularity at (0,0,0). Then after 7-steps, we may assume that

E <sub>4</sub>	E <sub>3</sub>	E2	$E_1$	E <sub>5</sub>	E <sub>7</sub>	E <sub>6</sub>
-1	-2	-2	-3	-3	-1	-2

(B) =  $9E_1 + 12E_2 + 15E_3 + 18E_4 + 15E_5 + 18E_6 + 36E_7 + W^{(7)}$  and  $W^{(7)}$  meets  $E_4$  and  $E_7$  in three points respectively. Since  $\rho^{(7)}$  are 2-1 over  $E_1$  and  $E_5$  and  $E_1 \cap E_5 \neq \phi$ , we have the situation mentioned in Corollary 4.3.

<u>Proposition 4.4</u> Let V satisfy the condition (4.1). Suppose that we have completed t of the n-steps needed for a resolution by (2.2). Let  $v^{(t)}$  be defined by  $\{f_t = z^3 + 3v^{\lambda}p_t(u,v)z + 2v^{\mu}q_t(u,v) = 0\}$ near (u,v,z) = (0,0,0) where  $v \nmid p_t$  and  $v \nmid q_t$ . Let  $E_t = \{v = 0\}$ be an exceptional curve which appears first in  $v^{(t)}$ . Assume that  $o(B) = 3\lambda = 2\mu$  along  $E_t$ . Note that the z-discriminant of  $f_t$  is  $-108v^{3\lambda}b = -108v^{3\lambda}(p_t^3 + q_t^2)$  where  $b = b(u,v) = p_t^3 + q_t^2$ . Suppose that irreducible curves of the proper transform of B just after t-steps vanish at a point in  $E_t$ , say (0,0), or b(0,0) = 0. Then either  $p_t(0,0) \neq 0 \neq q_t(0,0)$  or  $p_t(0,0) = q_t(0,0) = 0$ . If  $p_t(0,0) \neq 0 \neq q_t(0,0)$ , then only one irreducible curve of the proper transform vanishes at that point and so meets  $E_t$  with normal crossing. In this case after the n-steps,  $\rho^{-1}(E_t)$  is connected. If  $p_t(0,0) =$
$q_t(0,0) = 0$  then (0,0,0) is an irreducible triple point of  $y^{(t)}$ . Whenever  $b(u_0, 0) = 0$  for some  $u_0$  implies  $p_t(u_0, 0) = q_t(0, 0) = 0$ , then  $\rho^{-1}(E_t)$  has globally three components. In other words, if there exists at least one irreducible curve of the proper transform of B which intersects only  $E_t$  transversely, then  $\rho^{-1}(E_t)$  is connected otherwise  $\rho^{-1}(E_t)$  is composed of globally three components. Recall that the z-discriminant of  $f_t$  is  $-108v^{3\lambda}(p_t^3 + q_t^2)$ . Proof Suppose that the proper transform vanishes at some point (0,0). Then clearly either  $p_t(0,0) \neq 0 \neq q_t(0,0)$  or  $p_t(0,0) = q_t(0,0) = 0$ . If  $p_t(0,0) \neq 0 \neq q_t(0,0)$ , then there exists only one irreducible curve of the proper transform such that it meets  $\mathbf{E}_t$  with normal crossing at that point otherwise it would contradict to (4.1). If  $p_t(0,0) = q_t(0,0) = 0$ , then similar arguments as in the proof of Proposition 4.2 show that  $V^{(t)}$  is irreducible above (0,0). Now suppose that there is no such s at which  $p_t$  and  $q_t$  vanish. Then after n-steps there exists F ,  $1 \leq i \leq k$  and G ,  $1 \leq j \leq l$  such that  $o(B) = 3\lambda_i \leq 2\mu_i$  along  $F_i$  and  $o(B) = 2\beta_j \leq 3\alpha_j$  along  $G_j$  where the F and the G are irreducible components of E = UE,  $1 \le i \le n$  which intersects  $E_t$ , by (4.1). Then we claim that  $o(B) = o(p^3) = o(q^2)$ along any component of E which intersects  $E_t$ . Also we may assume without changing the number of components of  $\rho^{-1}(E_t)$  that if necessary, then by successive blow-ups there are no additional components of  $(p^3)$  or  $(q^2)$  at any point in  $E_t$ . Let  $m = -E_t \cdot E_t$  after n-steps. Then since (B)  $\cdot E_t = 0$ ,  $3\lambda m = 2\mu m = \sum_{i=1}^k 3\lambda_i + \sum_{i=1}^{k} 2\beta_i$ . But note that  $3\lambda_m = \sum_{i=1}^k 3\lambda_i + \sum_{i=1}^{\ell} 3\alpha_i$  and  $2\mu_m = \sum_{i=1}^k 2\mu_i + \sum_{j=1}^{\ell} 2\beta_j$  because

 $(p^3) \cdot E_r = (q^2) \cdot E_t = 0$ . Therefore, the above three equations show that  $2\beta_j = 3\alpha_j$ ,  $1 \le j \le k$  and  $3\lambda_i = 2\mu_i$ ,  $1 \le i \le k$ . Thus  $\rho^{-1}(E_t)$ has three components. So it is enough to consider the case that there is an irreducible curve of the proper transform which meets only  $E_t$  with normal crossings. Recall that  $\rho_t : V^{(t)} \to M^{(t)}$ ,  $\omega_t : N^{(t)} \rightarrow V^{(t)}$ , the normalization of  $V^{(t)}$  and  $\rho^t = \rho_t \circ \omega_t$ . Let  $L^{(t)} = \{g_t = z^3 + 3p_t(u,v)z + 2q_t(u,v) = 0\} \text{ and let } \rho_t^{\dagger} : L^{(t)} \to M^{(t)}$ be defined by  $\rho_t'(u,v,z) = (u,v)$ . Clearly  $L^{(t)}$  and  $V^{(t)}$  have the same normalization N<sup>(t)</sup> since the fact that  $\rho_t$  and  $\rho'_t$  are proper implies that the induced map  $\omega'_t$  ;  $L^{(t)} \rightarrow V^{(t)}$  is proper, and biholomorphic over  $V^{(t)} - \{v = 0\}$  and  $\omega'_t$  is finite. Observe that the number of components of the regular set of  $(\rho^t)^{-1}(E_t)$  and that of components of the regular set of  $L^{(t)}(u,0,z) = \{g_t(u,0,z) = \}$  $z^3 + 3p_t(u,0)z + 2q_t(u,0) = 0$  in L<sup>(t)</sup> are same since the singular set of  $L^{(t)}$  is finite over  $E_t$ . Also  $\rho^{-1}(E_t)$  is connected if and only if the regular set of  $(\rho^t)^{-1}(E_t)$  is connected. If  $L^{(t)}(u,0,z)$  is nonsingular everywhere, then  $\rho^{-1}(E_t)$  must be connected since  $E_t$  can be blown down to an irreducible singular point of V<sup>(i)</sup> for some i  $^{<}$  t by Propositions 4.2 and 4.3. Suppose that  $L^{(t)}(u,0,z)$  is singular at some point. To prove the connectedness of  $\rho^{-1}(E_t)$  assume the contrary. Then the regular set of  $L^{(t)}(u,0,z)$  would be disconnected. Note that  $p_t(u,0)$  and  $q_t(u,0)$  are polynomials in u recalling that  $v^{\lambda}p_{t}(u,0) + v^{\mu}q_{t}(u,0)$  are the leading terms of  $p_{i}(uv,v)$  and  $q_{i}(uv,v)$ for some  $i \leq t$  when we write  $p_i(uv,v)$  and  $q_i(uv,v)$  in terms of power series in v whose coefficients are polynomials in u, respectively.

So the local defining equation  $g_t(u,0,z)$  for  $L^{(t)}(u,0,z)$  would be reducible in  $\varphi[u,z]$  where  $\varphi[u,z]$  is the polynomial ring in z and u over  $\varphi$ . Let  $(u_0,0) \in E_t$  and let o(-) be the order of zero of ---at  $(u_0,0)$ . If  $o(p_t^3(u,0)) = o(q_t^2(u,0)) > 0$  at  $(u_0,0)$  then we must have  $o(p_t^3(u,0) + q_t^2(u,0)) = o(p_t^3(u,0)) = o(q_t^2(u,0))$  at  $(u_0,0)$ otherwise it would contradicts to (4.1) as follows. Take  $u_0 = 0$ . We write  $P_t = P_t(u,v)$  and  $q_t = q_t(u,v)$  in  $V^{(t)}$  as

$p_t^3 =$	$u^{6s}h + v^{\ell}A$	
$q_t^2 =$	$u^{6s}k + v^{m}B$	

where h and k are polynomials in u and units near u = 0, and A and B are holomorphic near v = 0 and v / A and v / B and s is a positive integer. Let  $\ell_1$  and  $m_1$  be total orders of  $v^{\ell}A$  and  $v^{m}B$  at (0,0), respectively. If  $6s < \ell_1$  and  $6s < m_1$ , then it leads to a contradiction to (4.1) by just one blowing up at (u,v) = (0,0). If either  $6s \ge \ell_1$ or  $6s \ge m_1$ , then by successive blowing ups at (u,v) = (0,0) similarly we can find a contradiction to (4.1). Since  $g_t(u,0,z)$  is reducible in  $\ell[u,z]$ , it may be written as  $(z - r_1) \cdot (z^2 + r_1 z + r_2)$  where  $r_1$ and  $r_2$  are polynomials in u. Note that the z-discriminant of  $g_t(u,0,z)$  is  $-108[p_t^3(u,0) + q_t^2(u,0)] = (2r_1^2 + r_2)^2 \cdot (r_1^2 - 4r_2)$ . Since  $E_t$  meets at most two exceptional curves in  $V^{(t)}$ , either the degree of  $p_1^3(u,0)$  or the degree of  $q_1^2(u,0)$ . So we may assume without loss of generality that the degree of  $p_1^3(u,0)$  and the degree of  $p_1^3(u,0) + q_1^2(u,0)$  are same. But by Lemma (4.1) and (4.1)

$$2r_{1}^{2} + r_{2} = a(u - u_{1})^{\alpha_{1}} \dots (u - u_{m})^{\alpha_{m}}$$
  
$$r_{1}^{2} - 4r_{2} = b(u - u_{1})^{\alpha_{1}} \dots (u - u_{m})^{\alpha_{m}} (u - s_{1}) \dots (u - s_{k})$$

where a, b and the s<sub>i</sub> are constant and  $\alpha_1, \ldots, \alpha_m$ , m and k are integers and each  $u - s_i = 0$  is an irreducible curve of the proper transform of B which intersects only  $E_t$  with normal crossing. Since  $3p_t = -r_1^2 + r_2 = -\frac{1}{3}[(2r_1^2 + r_2) + (r_1^2 - 4r_2)]$  then the degree of  $P_t = P_t(u,0)$  is  $\alpha_1 + \ldots + \alpha_m + k$ . But the degree of  $p_t^3(u,0) + q_t^2(u,0)$ is the degree of  $(2r_1^3 + r_2)^2 \cdot (r_1^2 - 4r_2)$ , that is  $3\alpha_1 + \ldots + 3\alpha_m + k$ . Therefore  $3(\alpha_1 + \ldots + \alpha_m + k)$  would be equal to  $3\alpha_1 + \ldots + 3\alpha_m + k$ . Hence we would get k = 0. It contradicts to the assumption that k > 0.

By Proposition 4.2 and Proposition 4.4, we can compute a resolution  $r: N \rightarrow V$  directly. Let us recall that  $E = UE_i$ ,  $1 \le i \le n$ . Then we summarize it below:

- (i) If  $o(B) = o(p^3) = o(q^2)$  along  $E_i$ , then  $\rho^{-1}(E_i)$  has just one component or three components depending on whether some irreducible curves of the proper transform of B intersect E or not respectively.
- (ii) If  $o(B) = o(p^3) < o(q^2)$  along  $E_i$ , then either  $o(p^3) \not\equiv 0$ (mod 2) or not. If  $o(p^3) \not\equiv 0 \pmod{2}$ , then  $\rho^{-1}(E_i)$  consists of just two components. If  $o(p^3) \equiv 0 \pmod{2}$ , then  $\rho^{-1}(E_i)$ consists of two or three components depending on whether part of the branch locus of  $\rho$  intersects  $E_i$  or not.

(iii) Let 
$$o(B) = o(q^2) < o(p^3)$$
 along  $E_i$ . If  $o(q^2) \neq 0 \pmod{3}$ , then

 $\rho^{-1}(E_i)$  is just one component. If not,  $\rho^{-1}(E_i)$  has one component or three components depending on whether part of the branch locus of  $\rho$  intersects  $E_i$  or not,

Corollary 4.5

- (1) Let  $P_1$  and  $P_2$  be the singularities at (0,0,0) of  $V_1 = \{(x,y,z) \mid z^3 + 2q_1(x,y) = 0\}$  and  $V_2 = \{(x,y,z) \mid z^3 + 2q_2(x,y) = 0\}$  respectively. Let  $V_1$  and  $V_2$  be the normal analytic spaces. If  $q_1$  and  $q_2$  define equisingular plane curve singularities at (0,0), then  $P_1$  and  $P_2$  have homeomorphic resolutions by (2,2).
- (2) Let  $P_1$  and  $P_2$  be the singularities at (0,0,0) of  $V_1 = \{(x,y,z) \mid z^3 + 3p_1(x,y)z + 2q_1(x,y) = 0\}$  and  $V_2 = \{(x,y,z) \mid z^3 + 3p_2(x,y)z + 2q_2(x,y) = 0\}$ , respectively with  $P_1(x,y) \neq 0$ , i = 1,2. Let  $V_1$  and  $V_2$  satisfy the condition (4.1). If  $p_1^3 + q_1^2$  and  $p_2^3 + q_2^3$  define equisingular plane curve singularities at (0,0), then  $P_1$  and  $P_2$  have homeomorphic resolutions by (2.2).

Proof By section 2, Proposition 4.2 and Proposition 4.4.

<u>Corollary 4.6</u> Let V of Lemma 1.12 satisfy (4.1). Let  $r: N \rightarrow V$ be a resolution by (2.2). Suppose that we have completed t of the n-steps needed for such a resolution. Recall that  $B^{(t)}$  is the branch locus for  $\rho^{(t)}: N^{(t)} \rightarrow M^{(t)}$  and  $V^{(t)}$  in (2.2). Then  $V^{(t)}$  is irreducible at any singular point of  $B^{(t)}$  except possibly for the points  $E_i \cap E_j$  where  $\rho$  is two to one over  $E_i$  and  $E_j$  and no other component of  $B^{(t)}$  passes through  $E_{i} \cap E_{j}$ . <u>Proof</u> By Propositions 4.2, 4.3 and 4.4.

Observe that if  $v^{(t)}$  has an irreducible singular point P and  $r: N \rightarrow v^{(t)}$  is a resolution by (2.2) near P then  $r^{-1}(P)$  is connected and  $X \cdot X = -3$  where X is a divisor of  $r^{*}(m)$  and m is the maximal ideal of P.

Let V satisfy the condition (4.1). Now let us apply the results from Proposition 4.2 to Corollary 4.5 to Proposition 3.4. We use the same notations in Proposition 3.4.

(4.2) Case (A) of (I) in Proposition 3.4.

Let  $E_1$  be the curve appearing at the initial quadratic transformation at Q. Let  $\rho^{-1}(E_1) = A_1 \cup A_2$ . Note that  $E_1$ is the branch locus of  $\rho$  over which  $\rho$  is two to one. Recall that  $\rho': N' \rightarrow M'$  and B' is the branch locus for  $\rho'$ . Then B' has only one singular point in  $E_1$ . If not, then by Propositions 4.2 and 4.4  $D \cdot A_1 \ge 2$ . Recall that  $D \cdot A_1 =$  $(X + Z) \cdot A_1 = 1$  and it is impossible. Therefore there is only one component of  $\cup A_1$ ,  $i \ne 1, 2$ . In fact this component intersects both  $A_1$  and  $A_2$ .

(4.3) Case (B2) of (I) in Proposition 3.4.

We may assume without loss of generality that  $X \cdot A_1 = -1$ ,  $X \cdot A_2 = 0$  and  $X \cdot A_3 = -1$  where  $A_1$  and  $A_3$  have coefficients 1 and 2 in X respectively. Then note that  $D \cdot A_2 = 1$  and  $D \cdot A_1 = 0$ . Let  $E_1$  be the curve appearing at the initial quadratic

transformation at Q. Let  $\rho^{-1}(E_1) = A_1 \cup A_3$ . Note that  $E_1$  is the branch locus of  $\rho$  over which  $\rho$  is two to one. Recall that B' is the branch locus for  $\rho': N' \rightarrow M'$ . Then B' has only one singular point in E<sub>1</sub>. If not, then by Propositions 4.2 and 4.4 there would be another component of  $UA_i$ ,  $i \neq 1,3$  which meets  $A_1$  and  $A_3$  both. But note that  $D \cdot A_1 = 0$ . So it is impossible. Next, let  $E_2$  be the next exceptional curve which results from blowing up at that point in  $E_1$ . Recall that  $B^{(2)}$ is the branch locus for  $\rho^{(2)}: \mathbb{N}^{(2)} \to \mathbb{M}^{(2)}$ . Note that by (B2) of (I) of Proposition 3.4  $E_2$  is not part of the branch locus for  $\rho$ . If  $B^{(2)}$  is singular at  $E_1 \cap E_2$ , then  $D \cdot A_1 \ge 1$  by Propositions 4.2 and 4.4. Since  $D \cdot A_1 = 0$ , it is absurd. Thus we proved that  $B^{(2)}$  is nonsingular at  $E_1 \cap E_2$ . After n-steps,  $E_1 \cdot E_1 = -2$ . Therefore by (II) of section 2  $A_1 \cdot A_1 = -2$  and  $A_3 \cdot A_3 = -1$ . Let us recall that  $Z_*$  is the fundamental cycle on a component of  $UA_i$ ,  $i \neq 1,3$ . Note that there is only one component of UA<sub>1</sub>, i  $\neq$  1,3 and supp Z<sub>\*</sub> intersects A<sub>1</sub> and A<sub>3</sub> both. If  $Z_* \cdot Z_* = -3$  and  $Z_* \cdot A_2 = -3$ , then  $A_1 \cap A_2 \neq \phi$  and  $A_2 \cap A_3 \neq \phi$ since E<sub>2</sub> follows E<sub>1</sub> and E<sub>1</sub>  $\cap$  E<sub>2</sub>  $\neq \phi$ . If  $Z_* \cdot Z_* = -3$ ,  $Z_* \cdot A_2 =$ -2 and  $Z_* A_t = -1$ , then  $A_1 \cap A_t \neq \phi$  and  $A_3 \cap A_2 \neq \phi$  since  $E_2$ follows  $E_1$  and  $E_1 \cap E_2 \neq \phi$ .

(4.4)

Case (B) of (II) in Proposition 3.4.

Note that  $A_1$  and  $A_t$  follows  $A_2$  at the same time and  $A_p$  follows  $A_1$  and  $A_t$ . Let  $E_1$  be the curve appearing at the initial quadratic transformation at Q. Then  $\rho^{-1}(E_1) = A_2$ . Let  $E_2$ and  $E_3$  be such that  $\rho^{-1}(E_2) = A_1 \cup A_t$  and  $\rho^{-1}(E_3) = A_p$ .

Recall that  $Z_{*}$  is the fundamental cycle on the connected component of  $UA_{i}$ ,  $i \neq 2$  which contains  $A_{1}$ ,  $A_{p}$  and  $A_{t}$ . Note that  $Z_{*} \cdot A_{1} = Z_{*} \cdot A_{p} = -1$ ,  $Z_{*} \cdot Z_{*} = -2$  and that  $X_{*}$  is the cycle on supp  $Z_{*}$  such that  $X_{*} \cdot A_{1} = X_{*} \cdot A_{t} = -1$  and that  $A_{1}$  and  $A_{t}$  have coefficients 1 and 2 in  $X_{*}$  respectively. So after  $A_{2}$  appears, we get the same situation on supp  $Z_{*}$  as in (4.3). Therefore  $A_{1} \cdot A_{1} = -2$ ,  $A_{t} \cdot A_{t} = -1$ ,  $A_{1} \cap A_{p} \neq \phi$  and  $A_{t} \cap A_{p} \neq \phi$ . Moreover, there is no connected component of  $UA_{i}$ ,  $i \neq 1$ ,t which does not contain  $A_{p}$ .

<u>Theorem 4.7</u> Let  $V = \{(x,y,z) \mid z^3 + 3p(x,y)z + 2q(x,y) = 0\}$  be a normal two-dimensional analytic space with P = (0,0,0) its only singularity. Let V satisfy (4.1). Let  $r: N \rightarrow V$  be a resolution by (2.2). Let  $\tilde{r}: \tilde{N} \rightarrow V$  be the minimal good resolution. Then N is obtained from  $\tilde{N}$  by at most 5-time quadratic transformations at each  $s_j, 1 \le j \le \ell$  in  $A = \tilde{r}^{-1}(P)$ . Each  $A_j = UA_k$ ,  $k \ne i$  contains at most two  $s_j$ .

<u>Proof</u> Let  $\pi: N \to \tilde{N}$  satisfy  $r = \tilde{r} \circ \pi$ . Proposition 3.1 and (\*) tell what happens in each step of a resolution by (2.2) in terms of a resolution  $r': N' \to V$  with  $(r')^*(m)$  locally principal satisfying  $X' \cdot X' = -3$  where X' is the divisor of  $(r')^*(m)$ . Start with  $r' = \tilde{r}$ . Let Z be the fundamental cycle on N. Then Lemma 3.2, Corrolaries 4.3 and 4.6 show that it is enough to consider the case that  $r^*(m)$  is not principal and the case mentioned in Corollary 4.3.  $Z \cdot Z = -1$  or -2. We use the results and notations of Proposition 3.4.

(1) Let  $Z \cdot Z = -2$ .

(A) There exists  $A_1$  such that  $Z \cdot A_1 = -2$  and  $A_1$  has coefficient 1 in Z. If  $r^*(m)$  is not principal, by (4.2) note that  $Z = A_1$ and  $A_1 \cdot A_1 = -2$ . In case of Corollary 4.3,  $X = A_1 + A_2$  where supp  $X = A_1 \cup A_2$  is not connected,  $A_1 \cdot A_1 = -2$  and  $A_2 \cdot A_2 = -1$ . If this is not the case from Corollary 4.3, then by Proposition 3.6 there is an embedded point  $s \in A_1$  which is blown up to a new exceptional curve  $A_2$ .  $A_1 \cap A_2 \neq \phi$ . By Proposition 3.4,  $E_1$  is part of the branch locus of  $\rho$  where  $\rho^{-1}(E_1) = A_1 \cup A_2$ . So to separate  $A_1$  and  $A_2$ , we need an additional blow-up at  $A_1 \cap A_2$  as the following.

 $\stackrel{A_1}{\xrightarrow{-3}} \stackrel{A_2}{\xrightarrow{-1}} \xrightarrow{A_1} \stackrel{A_3}{\xrightarrow{-4}} \stackrel{A_2}{\xrightarrow{-1}} \stackrel{A_3}{\xrightarrow{-1}} \stackrel{A_2}{\xrightarrow{-2}}$ 

After  $A_1$  and  $A_2$  appear first in a resolution process by (2.2), the fundamental cycle  $Z_*$  of the connected component of  $A_1 \cup A_2 \cup A_3$ ,  $i \neq 1,2$  is  $A_3$  with  $A_3 \cdot A_3 = -1$ . Again by Proposition 3.4 and Proposition 3.6 we need two time blow-ups at  $s \in A_3 - \cup A_1$ ,  $i \neq 1,2$ . Then it becomes



Let  $X_{*}$  be the cycle on  $UA_{i}$ ,  $i \neq 1, 2$  such that  $X_{*} \cdot X_{*} = -3$  and  $X_{*} \cdot A_{j} \leq 0$  for  $j \neq 1, 2$ . Then we know that  $X_{*} \cdot A_{5} = -1$  and  $A_{5}$  has coefficient 3 in  $X_{*}$ . Next, after  $A_{5}$  appears in the second step of a resolution process by (2.2), the fundamental cycle  $Z_{**}$  of the connected component  $A_{3} \cup A_{4}$  is just  $A_{3} + A_{4}$  with  $Z_{*} \cdot Z_{*} = Z_{*} \cdot A_{3} + Z_{*} \cdot A_{4} = -2$ . So by Proposition 3.4 and Proposition 3.6 there is an embedded point  $s_{1}$  in either  $A_{3} \cap A_{4}$  or  $A_{4} - A_{3}$ . But  $E_{1}$  is part of the branch locus.  $s_{1}$  must be  $A_{3} \cap A_{4}$ . Therefore blowing up at  $A_{3} \cap A_{4}$  we have the following graph:



Thus after  $A_6$  follows  $A_5$  in the third step,  $A_3$  and  $A_4$  follows  $A_6$  respectively. Let  $E_2$ ,  $E_3$ ,  $E_4$  and  $E_5$  be such that  $\rho^{-1}(E_2) = A_5$ ,  $\rho^{-1}(E_3) = A_6$ ,  $\rho^{-1}(E_4) = A_3$  and  $\rho^{-1}(E_5) = A_4$ . Note that  $\rho$  is 2-1 over  $E_1$ , 1-1 over  $E_2$  and  $E_3$ , and  $E_4$  and  $E_5$  are not part of the branch locus. Since  $A_3$  and  $A_4$  are of genus 0, the resolution of corresponding branch locus B is:

Note that  $B = 3E_1 + 4E_2 + 8E_3 + 12E_4 + 12E_5 + W^{(5)}$  and  $W^{(5)}$ meets  $E_4$  in one point. B will be found to be equisingular to  $x^3 + y^4$  near (0,0). Therefore V might be a  $\{z^3 + 3xy + 2y^2 = 0\}$ . Note that in this case we did not use the condition (4.1). Thus in the above case we need five time quadratic transformations at  $s \in A_1$  from  $\tilde{N}$  in order to get N.

(B) There exist 
$$A_1 \neq A_2$$
 such that  $Z \cdot A_1 = Z \cdot A_2 = -1$ .

- (B1) If  $r^{*}(m)$  is not principal, then by Proposition 3.6 blowing up  $\tilde{N}$  at  $s = A_{1} \cap A_{2}$ ,  $A_{3} = \pi^{-1}(s)$  is a new exceptional curve;  $A_{3}$ appears in the first step of a resolution process by (2.2) where  $\pi : N \to \tilde{N}$  is the blow-up at s. Then  $A_{1}$  follows  $A_{3}$  and  $A_{2}$  follows  $A_{3}$ . So there is no subsequent embedded point that can appear in  $A_{3}$ . Also there is no embedded point in  $A_{1} - UA_{1}$ ,  $i \neq 1$  and  $A_{2} - UA_{1}$ ,  $i \neq 2$ .
- (B2) By Proposition 3.4 and (4.3) we may assume without loss of generality that there is no connected component of  $UA_i$ ,  $i \neq 1$  which does not contain  $A_2$ . If  $r^*(m)$  is not principal, then by Proposition 3.6, let us blow up  $\tilde{N}$  at  $s \in A_2 UA_1$ ,  $i \neq 2$ . Note that  $A_1 \cdot A_1 = -2$ . Let  $A_3 = \pi^{-1}(s)$  where  $\pi : N \rightarrow \tilde{N}$  is the blow-up at s. Then  $A_1$  and  $A_3$  appear in the first step of a resolution process by (2.2). No subsequent embedded point can appear in  $A_3$ . Observe that  $E_1$  with  $\rho^{-1}(E_1) = A_1 \cup A_3$  is part of the branch locus of  $\rho$  and  $A_1 \cdot A_1 = 2A_3 \cdot A_3 = -2$ . There is no embedded point in  $A_1$ . Let  $Z_*$  be the fundamental cycle on the connected component  $UA_i$ ,  $i \neq 1,3$ . Then  $Z_* \cdot Z_* = -3$  and either

 $(Z_* \cdot A_2 = -2, Z_* \cdot A_t = -1)$  or  $Z_* \cdot A_2 = -3$  by following notations in Proposition 3.4. If  $Z_* \cdot A_2 = -2$  and  $Z_* \cdot A_t = -1$ , then it is clear that there is no more embedded point in  $A_2 - UA_1$ ,  $i \neq 2$ and there is no embedded point in  $A_t - UA_1$ ,  $i \neq t$ .  $A_t$  and  $A_2$ follow  $A_1$  and  $A_3$ . If  $Z_* \cdot A_2 = -3$ , then there is no more embedded point in  $A_2 - UA_1$ ,  $i \neq 2$ .  $A_2$  follows  $A_1$  and  $A_3$  at the same time.

- (C) There exists  $A_1$  such that  $Z \cdot A_1 = -2$  and  $A_1$  has coefficient 2 in Z. If  $r^*(m)$  is not principal, then by Proposition 3.6 blowing up at  $s \in A_1 - UA_1$ ,  $i \neq 1$ , let  $A_2 = \pi^{-1}(s)$  where  $\pi : N \neq \tilde{N}$  is the blow-up of  $\tilde{N}$  at s. Then  $A_2$  appears in the first step of a resolution process by (2.2). No subsequent embedded point can appear in  $A_2$ . Let us recall that  $X_*$  is the cycle on supp(Z - 2D) such that  $X_* \cdot A_1 \leq 0$  for all  $A_1 \subset supp X_*$  and  $X_* \cdot X_* = -3$ . Then we have the following subcases.  $X_* \cdot A_1 = 0$ .
- (C1) Let  $A_s \neq A_1$  be such that  $X_* \cdot A_s = -3$ . Then  $A_s$  follows  $A_2$ . There is no embedded point in  $A_s - UA_i$ ,  $i \neq s$ . Also there is no more embedded point in  $A_1 - A_i$ ,  $i \neq 1$  by case (C1) of (I) in Proposition 3.4.
- (C2) Let A<sub>s</sub> ≠ A<sub>t</sub> be such that X<sub>\*</sub> ⋅ A<sub>s</sub> = -2 and X<sub>\*</sub> ⋅ A<sub>t</sub> = -1. Then A<sub>s</sub> and A<sub>t</sub> follow A<sub>2</sub>. There is no embedded point in A<sub>s</sub> UA<sub>i</sub>, i ≠ s and in A<sub>t</sub> UA<sub>i</sub>, i ≠ t. Also, there is no more embedded point in A<sub>1</sub> UA<sub>i</sub>, i ≠ 1 by case (C2) of (I) in Proposition 3.4.
  (C3) Let A<sub>s1</sub>, A<sub>s2</sub> and A<sub>s3</sub> be distinct with X<sub>\*</sub> ⋅ A<sub>s1</sub> = X<sub>\*</sub> ⋅ A<sub>s2</sub> = X<sub>\*</sub> ⋅ A<sub>s3</sub>

= -1. Then  $A_{s1}$ ,  $A_{s2}$  and  $A_{s3}$  follow  $A_2$ . There is no embedded point in  $A_{s1} - UA_i$ ,  $i \neq s1$ ,  $A_{s2} - UA_i$ ,  $i \neq s2$  and  $A_{s3} - UA_i$ ,  $i \neq s3$ . Also, there is no more embedded point in  $A_1 - UA_i$ ,  $i \neq 1$  by case (C3) of (I) of Proposition 3.4.

- (C4) Let  $A_s$  be such that  $X_* \cdot A_s = -1$  with coefficient 3 in  $X_*$ . Then  $A_s$  follows  $A_2$ . If  $X_*$  is the fundamental cycle on supp(Z-2D) then there is no embedded point in  $A_s - UA_i$ ,  $i \neq s$ . Also there is no more embedded point in  $A_1 - UA_i$ ,  $i \neq 1$  by case (C4) of (I) in Proposition 3.4. If  $X_*$  is not fundamental, then let us recall  $Z_*$ , the fundamental cycle on supp  $X_*$ . Note that  $Z_* \cdot Z_* = -2$ ,  $A_1$  has coefficient 1 in  $Z_*$  and  $Z_* \cdot A_1 = 0$  or -1. Of course, there is no embedded point in  $A_s - UA_i$ ,  $i \neq 1$ . By the case (I) in Proposition 3.4 there is no more embedded point in  $A_1 - UA_i$ ,  $i \neq 1$ ,
- (C5) Let A<sub>s</sub> ≠ A<sub>t</sub> be such that X<sub>\*</sub> ⋅ A<sub>s</sub> ≈ X<sub>\*</sub> ⋅ A<sub>t</sub> ≈ -1 and A<sub>s</sub> and A<sub>t</sub> have coefficients 2 and 1 in X, respectively. By case (C5) of (I) in Proposition 3.4, X<sub>\*</sub> is the fundamental cycle on supp(Z 2D). A<sub>s</sub> and A<sub>t</sub> follows A<sub>2</sub>. There is no embedded point in A<sub>s</sub> UA<sub>i</sub>, i ≠ s and A<sub>t</sub> UA<sub>i</sub>, i ≠ t. By case (C5) of (I) in Proposition 3.4, there is no more embedded point in A<sub>1</sub> UA<sub>i</sub>, i ≠ 1.
- (II) Let  $Z \cdot Z = -1$ . Let  $Y = \sum_{i=1}^{n} A_i$  where  $m_i$  is the order to which functions gor,  $g \in m$ , generically vanish on  $A_i$ . Then  $Y \cdot Y = -2$ or  $Y \cdot Y = -1$ .
- (i) Let  $Y \cdot Y = -2$ . Let  $A_p$  be such that  $Y \cdot A_p = -1$  with coefficient 2 in Y by Proposition 3.4. By Proposition 3.6, there is an

embedded point s in  $A_p - UA_i$ ,  $i \neq p$ . Let  $\pi: N \rightarrow N$  be the blow-up at s and  $A_2 = \pi^{-1}(s)$ . Then  $A_2$  appears in the first step of a resolution process by (2.2). There is no subsequent embedded point in  $A_2$ . Let us recall that  $Z_*$  is the fundamental cycle on supp(2Z - D) by Proposition 3.4, (II). Then  $Z_* \cdot Z_* = -2$ and  $Z_* \cdot A_1 = Z_* \cdot A_p = -1$ . By Proposition 3.4 and Proposition 3.6, we have two subcases.

<u>Case (A) of (II) of Proposition 3.4</u>: Then either  $A_1 \cap A_p \neq \phi$ or  $A_1 \cap A_p = \phi$ . If  $A_1 \cap A_p \neq \phi$ , then blowing up at  $A_1 \cap A_p$ by Proposition 3.6,  $A_t$  appears in the next step where  $\pi: N_1 \rightarrow N$  is the blow-up at  $A_1 \cap A_p$  and  $\pi^{-1}(A_1 \cap A_p) = A_t$ . There is no subsequent embedded point in  $A_t - UA_i$ ,  $i \neq t$ . Also  $A_1$  follows  $A_t$  and  $A_p$  follows  $A_t$ . Therefore there is no embedded point in  $A_1 - UA_i$ ,  $i \neq 1$  and  $A_p - UA_i$ ,  $i \neq p$ . If  $A_1 \cap A_p = \phi$ , then there must be only one component of  $UA_i$ ,  $i \neq 2$  which intersects both  $A_1$  and  $A_p$  by case (B1) of (I) in Proposition 3.4. That component contains  $A_t$  which is not a nonsingular rational curve with  $A_t \cdot A_t = -1$ . In this case, also there does not exist such an embedded point as in case of  $A_1 \cap A_p = \phi$ .

<u>Case (B) of (II) of Proposition 3.4</u>: By (4.4), note that  $A_1 \cdot A_1 = -2$ ,  $A_t \cdot A_t = -1$  and  $A_t$  is a nonsingular rational curve. So after blowing up at  $s_1 \in A_p - UA_i$ ,  $i \neq p$ , let  $A_t = \pi_1^{-1}(s_1)$ where  $\pi_1 : N_1 \rightarrow N$  is the blow-up of N at  $s_1$ . Then  $A_1$  and  $A_t$ follow  $A_2$ . So there is no subsequent embedded point in  $A_t$ 

and there is no embedded point in  $A_1$ .  $A_p$  follows  $A_1$  and  $A_t$ . Also  $A_1 \cap A_p \neq \phi$  and  $A_t \cap A_p \neq \phi$ . Thus we showed that there is only two distinct embedded points in  $A_p - UA_i$ ,  $i \neq p$ .

(ii) Let  $Y \cdot Y = -1$ . That is Z = Y. Let  $A_1$  be such that  $Z \cdot A_1 = -1$ . By Proposition 3.6, there is an embedded point s in  $A_1 - UA_1$ ,  $i \neq 1$ . Let  $\pi : N \rightarrow \tilde{N}$  be the blow-up at s and  $A_p = \pi^{-1}(s)$ . Start with  $Z_1 + A_p$  on N where  $Z_1 = \pi^*(Z)$ . Then we have the same situation as the case (i), because  $(Z_1 + A_p) \cdot (Z_1 + A_p) = -2$ ,  $(Z_1 + A_p) \cdot A_p = -1$  and  $A_p$  has coefficient 2 in  $Z_1 + A_p$ . Therefore we need three time quadratic transformations at  $s \in A_1 - UA_1$ in order to get a resolution by (2.2). Moreover, there is no more embedded point in  $A_1 - UA_1$ ,  $i \neq 1$ .

<u>Theorem 4.8</u> Let  $r: N \rightarrow V$  be a resolution by (2.2) of a normal two dimensional triple point singularity P.

- (1) Let  $V = \{(x,y,z) \mid z^3 + 2q(x,y) = 0\}$  with  $P = (0,0,0) \in V$ . Then there is an algorithm to determine the equisingular type of the plane curve singularity (0,0) of  $\{(x,y) \mid q(x,y) = 0\}$  from  $\Gamma$ , the topological type of the embedding of  $A = r^{-1}(P)$  in N.
- (2)

Let  $V = \{(x,y,z) \mid z^3 + 3p(x,y)z + 2q(x,y) = 0\}$  with P = (0,0,0)  $\in V$ . Let V satisfy (4.1). Then there is an algorithm to determine the equisingular type of the plane curve singularity (0,0) of  $\{(x,y) \mid -108(p^3(x,y) + q^2(x,y)) = 0\}$  from  $\Gamma$ , the topological type of the embedding of  $A = r^{-1}(P)$  in N.

We shall describe the algorithm. It will suffice to Proof identify  $r^{*}(m)$  for P and for all subsequent singularities in V<sup>(i)</sup> for some i which appear in a resolution process by (2.2). These subsequent singularities are either (a) irreducible triple point singularities of  $y^{(t)}$ , for some t < n or (b) singularities each of which is mapped by  $\rho^{t}$ , some t < n, to an intersection of only two exceptional curves which are two to one branch locus of  $\rho$  by Corollary 4.6. Suppose that we have completed t of the n-steps needed for a resolution by (2.2).  $N^{(t)}$  is the obtained normal space and let us recall that  $\rho^{t} = \rho_{t} \circ \omega_{t} : \mathbb{N}^{(t)} \to \mathbb{M}^{(t)}$  expresses  $\mathbb{N}^{(t)}$  as a three-fold branched covering of the manifold  $M^{(t)}$ .  $M^{(t)}$  is obtained from  $\xi^2$  by a sequence of quadratic transformations. Choose subscripts for the C of cases i(I), (II) and (III) of section 2 so that  $C_i$  first appear in N<sup>(i)</sup>. Then the singularities of case (a) in  $V^{(t)}$  have resolutions by (2.2) with exceptional sets given by connected components of UA,  $A_i \notin UC_i$ ,  $1 \le i \le t$ , or each of the singularities of case (b) in  $V^{(t)}$  has a resolution by (2.2) with exceptional sets given by the union of two disjoint irreducible curves, say,  $A_k$ ,  $A_\ell$  of  $UA_j$ .  $A_j \notin UC_j$ ,  $1 \le i \le t$ with  $A_k \cdot A_k = -2$  and  $A_l \cdot A_l = -1$  by Corollary 4.3. So, it is enough to consider the case (a). Let A' be such a connected component for a singularity  $P^{(t)}$  in  $N^{(t)}$ . Then the initial step in that resolution of  $P^{(t)}$  corresponds to a quadratic transformation in  $M^{(t)}$  at a center  $Q^{(t)}$ . If  $C_i \cap A' \neq \phi$ , then  $Q^{(t)} \in \rho^{(t)}(C_i)$  and conversely. This determines the topology of  $M^{(t+1)}$  together with the case (b). The new exceptional curve in  $M^{(t+1)}$  is denoted  $E_{t+1}^{(t+1)}$ .

We omit the super-script for  $E_{t+1}^{(t+1)}$  and its proper transforms when no ambiguity in notation arises. So after n-steps, we know the nature of the exceptional set  $E = UE_i$ ,  $1 \le i \le n$ , in  $M^{(n)}$ . We also keep track, as follows, of which  $E_i$  is part of the branch locus  $B^{(n)}$ of  $\rho^{(n)}$ . Namely, after t steps, let  $X = \sum_{i=1}^{n} A_i$  be the divisor near A' of the pull-back of the maximal ideal of  $P^{(t)}$ . X•X = -3. If there exists an  $A_k$  with  $A_k \cdot X = -1$  and  $m_k = 3$ , then we are in case (I) of section 2. Blowing up at  $Q^{(t)}$  and normalizing  $V^{(t+1)}$  induced by this blowing-up gives an  $E_{t+1}$  over which  $\rho^{t+1}$  is one to one. there exist  $A_k \neq A_k$  with  $A_k \cdot X = A_k \cdot X = -1$ ,  $A_k \cdot A_k = 2A_k \cdot A_k$  and  $m_k = 1$ ,  $m_{g} = 2$ , then we are in case (II) of section 2. Blowing at Q<sup>(t)</sup> and normalizing  $V^{(t+1)}$  induced by this blowing up gives an  $E_{t+1}$ over which  $\rho^{t+1}$  is a two-fold branch cover. In other cases, we are in case (III) of section 2.  $E_{t+1}$  is not part of the branch locus. If there is an  $A_k$  such that  $A_k \cdot X = -3$ , then  $C_{t+1} = A_k$ . If there are  $A_k$ ,  $A_k$  and  $A_m$  such that  $A_k \cdot X = A_k \cdot X = A_m \cdot X = -1$ , then  $C_{t+1} = C_{t+1}$  $A_k \cup A_l \cup A_m$ . If there exist  $A_k$  and  $A_l$  such that  $X \cdot A_k = -1$  and  $X \cdot A_{\ell} = -2$  then  $C_t = A_k \cup A_{\ell}$  and  $A_{\ell} \cdot A_{\ell} = 2A_k \cdot A_k$ . The topological types of the C, and how they intersect are known from  $\Gamma$  and the above paragraphs. A C<sub>i</sub> above an E<sub>i</sub> which is not part of B<sup>(n)</sup> is a three-fold branch cover of  $E_i$  with some known branch points at the  $E_{i}$  in  $B^{(n)}$ . The other branch points come from  $W^{(n)}$ , a proper transform on  $M^{(n)}$  of  $B = \{p^3(x,y) + q^2(x,y) = 0\}$ . Then  $\Gamma$  determines how  $W^{(n)}$ , which is nonsingular, meets E with normal crossings. This determines the equisingular type of the plane curve singularity of

B at (0,0), as desired.

Thus there remains to find X, the divisor of  $r^*(m)$  on N. If Z, the fundamental cycle, satisfies  $Z \cdot Z = -3$ , then Z = X by Lemma 3.2. For  $Z \cdot Z = -2$  or -1, then X satisfies the hypotheses of Proposition 3.4. We will follow the same notation of Proposition 3.4.

- (I) If  $Z \cdot Z = -2$ , then there are three cases below:
- (A) If there is  $A_1$  such that  $Z \cdot A_1 = -2$ , then there is only one component C of UA<sub>1</sub>,  $i \neq 1$  by (4.2), which contains  $A_2$ . It is trivial to find X.
- (B) Let  $A_1$  and  $A_2$  be such that  $Z \cdot A_1 = Z \cdot A_2 = -1$ . If  $A_1 \cdot A_1 \neq -2$ and  $A_2 \cdot A_2 \neq -2$ , then there is only one component C of  $UA_1$ ,  $i \neq 1, 2$  which intersects both  $A_1$  and  $A_2$  by case (B) of (I) in Proposition 3.4 and (4.3). So to find X is obvious. This is the case (B1) of (I) in Proposition 3.4. Now without loss of generality we may assume that  $A_1 \cdot A_1 = 2A_3 \cdot A_3 = -2$  with  $X \cdot A_1 =$  $X \cdot A_3 = -1$ . Then by case (B2) of (I) in Proposition 3.4 and (4.3),  $A_2 \cap A_3 \neq \phi$  and  $A_j \cap A_3 = \phi$  for all j,  $j \neq 2,3$ . So it is trivial. This is just the case (B2) of (I) of Proposition 3.4.
- (C) If there exists  $A_1$  such that  $Z \cdot A_1 = -1$  and  $A_1$  has coefficient 2 in Z, then by Propositions 3.4, 3.5, 3.6 and (4.4) we must find the correct component C of  $UA_1$ ,  $i \neq 1$  which contains  $A_2$ . Consider the following inductively defined sets  $S_k$  of subscripts of the  $A_i$ :  $S_0 = \phi$ . With  $S_k$  defined, consider

all fundamental cycles  $Z_{k+1}$  for connected components of  $UA_i$ , i  $\notin S_k$ . For each  $Z_{k+1}$  such that  $Z_{k+1} \cdot Z_{k+1} = -1$ , there is a unique  $A_{k+1}$  such that  $A_{k+1} \cdot Z_{k+1} = -1$ . Let  $T_{k+1}$  be the set of subscripts for such  $A_{k+1}$ . Let  $S_{k+1} = S_k \cup T_{k+1}$ . For sufficiently large k,  $S_k = S_{k+1}$ . Call this largest set S. Then by Proposition 3.1, 3.4, 3.5, 4.6 and by (4.4) S has 4k+3 elements for some integer k. For each component  $C^j$  of  $UA_i$ ,  $i \neq 1$  with its fundamental cycle  $Z_j$  satisfying  $Z^j \cdot Z^j = -1$ , we may form the corresponding set  $S^j$ . But for each  $C^j$  with  $A_2 \notin C^j$ ,  $S^j$  has 4 $\ell$  elements for some integer  $\ell$  by case (II) of Proposition 3.4 and Corollary 3.5 and (4.4) because  $Z^j \cdot Z^j$  = -1. Therefore the correct component C is that component whose  $S^j$  has 4m+3 elements for some integer m.

(II) If Z·Z = -1, then there is A<sub>1</sub> such that Z·A<sub>1</sub> = -1. By Proposition 3.4, 3.5, 3.6 and (4.4) we may find the correct component C of UA<sub>1</sub>, i ≠ 1 which contains A<sub>2</sub> as follows. Consider the following inductively defined sets S<sub>k</sub> of subscripts of the A<sub>1</sub>: S<sub>0</sub> = {1}. With S<sub>k</sub> defined, consider all fundamental cycles Z<sub>k+1</sub> for connected components of UA<sub>1</sub>, i € S<sub>k</sub>. For each Z<sub>k+1</sub> such that Z<sub>k+1</sub>·Z<sub>K+1</sub> = -1, there is a unique A<sub>k+1</sub> such that A<sub>k+1</sub>·Z<sub>k+1</sub> = -1. Let T<sub>k+1</sub> be the set of subscripts for such A<sub>k+1</sub>. Let S<sub>k+1</sub> = S<sub>k</sub> U T<sub>k+1</sub>. For sufficiently large k, S<sub>k</sub> = S<sub>k+1</sub>. Call this largest set S. Then by Proposition 3.1, 3.4, 3.5, 4.6 and by (4.4), S has 4k elements for some integer k. For each component C<sup>j</sup> of UA<sub>i</sub>,

i  $\neq$  1 with its fundamental cycle Z<sup>j</sup> satisfying Z<sup>j</sup>·Z<sup>j</sup> = -1, we may form the corresponding set S<sup>j</sup>. But for each C<sup>j</sup> with  $A_2 \notin C^j$ , S<sup>j</sup> has 4*k* elements for some integer *k* by case (II) of Proposition 3.4 and Corollary 3.5 and (4.4) because Z<sup>j</sup>·Z<sup>j</sup> = -1. Therefore the correct component C is that component whose S<sup>j</sup> has 4m+3 elements for some integer m.

## Corollary 4.9

(1) Let  $P_1$  and  $P_2$  be the singularities at (0,0,0) of  $V_1 = \{(x,y,z) : z^3 + 2q_1(x,y) = 0\}$  and  $V_2 = \{(x,y,z) : z^3 + 2q_2(x,y) = (0,0)\}$  respectively. Let  $V_1$  and  $V_2$  be the normal analytic spaces. Then  $P_1$  and  $P_2$  have homeomorphic resolutions by (2.2) if and only if  $q_1$  and  $q_2$  have equisingular plane curve singularities at (0,0).

(2) Let  $P_1$  and  $P_2$  be the singularities at (0,0,0) of  $V_1 = \{(x,y,z) : z^3 + 3p_1(x,y)z + 2q_1(x,y) = 0\}$  and  $V_2 = \{(x,y,z) : z^3 + 3p_2(x,y)z + 2q_2(x,y) = 0\}$ , respectively with  $P_1(x,y) \neq 0$ , i = 1,2. Let  $V_1$  and  $V_2$  satisfy (4.1). Then  $P_1$  and  $P_2$  have homeomorphic resolutions by (2.2) if and only if  $p_1^3 + q_1^2$  and  $p_2^3 + q_2^2$  have equisingular plane curve singularities at (0,0).

Proof By Corollary 4.5 and Theorem 4.8.

<u>Corollary 4.10</u> Let  $\tilde{\Gamma}$  be the topological type of the exceptional set for the minimal resolution of a normal two-dimensional singularity. Then there are only a finite number of equisingular types for plane curve singularities such that the corresponding two-dimensional triple point with condition (4.1) has a minimal resolution of the topological type of  $\tilde{\Gamma}$ .

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