

992-69 (2)

MANIFOLDS WITH ALMOST EQUAL DIAMETER AND INJECTIVITY RADIUS

A Dissertation presented

by

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to

*official*

The Graduate School

in Partial Fulfillment of the Requirements

for the Degree of

DOCTOR OF PHILOSOPHY

in

Department of Mathematics

State University of New York

at

Stony Brook

December 1982

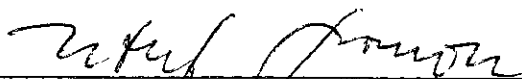
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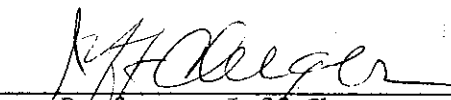
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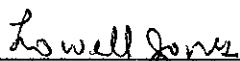
We, the dissertation committee for the above candidate for  
the Ph.D. degree, hereby recommend acceptance of the  
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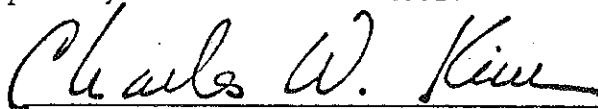


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December 1982

- i) If there exists a point  $p$  in  $M$  with  $i_p/d_p > 1-\epsilon(C)$ , then  $\pi_1(M)$  is trivial or  $\mathbb{Z}_2$ ;
- ii) If  $\pi_1(M) = \mathbb{Z}_2$ , and there exists a point  $p$  in  $M$  such that  $i_M/d_p > 1-\epsilon(C)$ , then  $M^n$  has the homotopy type of  $\mathbb{R}P^n$ ;
- iii) If for some  $p$  in  $M$ ,  $i_M/d_p > 1-\epsilon(C)$ , and the exponential map from  $p$  is of maximal rank on a closed ball of radius  $d_p$  about 0 in  $TM_p$ , then the universal cover of  $M$  is homeomorphic to  $S^n$ , and  $\pi_1(M) = \mathbb{Z}_2$ . Moreover, for  $n \leq 4$ ,  $M^n$  is homeomorphic to  $\mathbb{R}P^n$ . Also, it can be shown that the cut locus of  $p$  is a stratified set which has strata of smooth submanifolds of various dimensions, for all  $n$ . In this case,  $i_M$  is bounded from below in terms of curvature. For a smaller  $\epsilon(C)$ , the cut locus becomes a smooth submanifold of codimension 1.

There are examples showing that the curvature condition of i) can not be removed.

The  $\epsilon(C)$ 's above are different in each case, and their scale is approximately between  $1/10$  and  $1/20$ .

To Robin

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## ACKNOWLEDGEMENTS

I would like to thank my advisor, Professor Detlef Gromoll, for his guidance during the research and the completion of this work, for his comments and encouragement in helpful and inspiring discussions, for sharing his ideas and deep insight of the subject with me, and for his patience and moral support.

I am also grateful to Professor Jeff Cheeger for the encouraging and helpful discussions which led me into new directions. I also owe gratitude to Professor C.H. Sah and Professor L. Jones for their help to improve some of the results.

I would like to express my appreciation to Robin Paetzold Durumeric for her neat work in typing the original manuscript and the moral support during the research of this work.

Finally, I wish to thank the State University of New York for the funding and the Department of Mathematics for its guidance during my education at Stony Brook.



## INTRODUCTION

In this paper, we will give some constraints on the topology of compact, connected Riemannian manifolds whose injectivity radii and diameters are close to each other, in terms of the sectional curvature. For the notation and definitions, we refer to Chapter I.

The case of the spherical cut locus of a point  $p$  in  $M$  and also the stronger case of the equality of diameter and injectivity radius of  $M$ , i.e.  $i_p = d_p$  and  $i_M = d_M$ , have been studied by various authors.

F.W. Warner [Wa] has shown that if there exists a point  $p$  in a compact and simply connected Riemannian manifold  $M$  for which each point of the spherical conjugate locus  $\tilde{Q}_p$  in  $TM_p$  is regular, then that has the same multiplicity as conjugate points which is  $\geq 1$ , and  $M$  is homeomorphic to a sphere or has the integral cohomology ring of one of compact irreducible symmetric spaces of rank 1.

Nakagawa and Shiohama [NS-1], [NS-2], have studied the spherical cut locus for the cases of  $K_M \leq 1$  and  $0 < k \leq K_M \leq 1$ , and obtained that  $M$  should be either  $\mathbb{R}P^n$  or  $S^n$  in some sense, or have a cohomology ring with one generator. For precise

statements, see Chapter I.

It was observed by Omori [O] that if the metric of  $M$  is real analytic and if  $N$  is a real analytic submanifold whose cutlocus  $N'$  has constant distance from  $N$ , then  $N'$  is a real analytic submanifold of  $M$ , and  $M$  has a decomposition  $M = D_N \cup_\phi D_{N'}$ , where  $D_N$  and  $D_{N'}$  are normal disc bundles of  $N$ ,  $N'$ , respectively.

In Besse [Bs], it is claimed that a point  $p \in M$ , where  $M$  is  $C^\infty$ , has a spherical cutlocus if and only if  $M$  is a pointed Blaschke manifold at  $p$ . There is an extensive theory for Blaschke manifolds (see [Bs]). Especially, the Bott-Samelson Theorem gives topological information about  $M$ , similar to the Nakagawa-Shiohama results (see Chapter 7 of [Bs]).

Berger [Bs] has shown that if  $M$  is  $S^n$  or  $\mathbb{R}P^n$  and a Blaschke manifold, i.e.  $i_M = d_M$ , then  $M$  is actually isometric to  $S^n$  or  $\mathbb{R}P^n$ . The Blaschke Conjecture states that any Blaschke manifold is isometric to one of  $S^n$ ,  $\mathbb{R}P^n$ ,  $\mathbb{C}P^n$ ,  $\mathbb{H}P^n$ , or  $\mathbb{C}aP^2$ , with their canonical metrics, up to a constant factor.

The theorems above show that the condition  $i_p = d_p$  for some point  $p$  in a compact Riemannian manifold is a very rigid restriction. Then, a natural question to ask is "What happens if we allow some flexibility in this condition?" This cannot be done arbitrarily, since the example 2 of Chapter II, Section 5,

shows that for any given  $\epsilon_0$ , any compact Riemannian manifold has a new metric on it so that  $i_p$  and  $d_p$  are  $\epsilon_0$ -close. Hence, other conditions, such as on curvature, may be needed. Also, the theorems above do not take this case into consideration and do not seem to generalize easily in this direction, because the nature of the proofs depends very much on the rigidity, with the exception of the Samelson map (see Chapter II, Section 3).

One important result in a similar direction is due to Weinstein [Wa], [Bs]: If  $M$  can be written as  $D \cup_a E$ , where  $D$  is the  $n$ -dimensional closed ball,  $E$  is a  $C^\infty$  closed  $k$ -disc bundle over a  $(n-k)$ -dimensional compact  $C^\infty$  manifold, with boundary  $\partial E$  diffeomorphic to  $S^{n-1}$ , and  $a: \partial D \rightarrow \partial E$  an attaching diffeomorphism; then there exists a new Riemannian metric on  $M$  such that the center of  $D$  has a spherical cut locus. On the other hand, given a manifold with  $i_p$  close to  $d_p$ ; it appears that to show that some neighborhood of  $C_p$  has the structure of a smooth disc bundle over some smooth submanifold is very difficult or perhaps impossible.

A problem which makes this situation  $i_M$  close to  $d_M$  interesting and also illustrates its complexity explicitly is the following: To find quantitative topological restrictions on even dimensional manifolds with  $1 \leq K_M \leq 4 + \epsilon$ , for some  $\epsilon > 0$ . Grove and Shiohama [GS] have shown that if  $d_M > \pi/2$  then  $M$  is

homeomorphic to  $S^n$ . Gromoll and Grove [GG] extended this result: If  $d_M = \pi/2$ , then  $M$  is homeomorphic to  $S^n$ , or isometric to a symmetric space of rank 1. By Klingenberg's Lemma, we have  $i_M \geq \pi(4+\epsilon)^{-1/2}$ . The case of  $\pi(4+\epsilon)^{-1/2} \leq i_M \leq d_M < \pi/2$  is recently resolved by Berger [B-3]: "There exists a real number  $\delta < 1/4$  such that any simply connected, even dimensional compact Riemannian manifold  $M$  with  $0 < \delta \leq K_M \leq 1$ , is necessarily homeomorphic to  $S^n$  or diffeomorphic to a symmetric space of rank 1."

The primary goal of this paper is to construct some universal constants such that if  $i_p$  or  $i_M$  is close to  $d_p$  or  $d_M$  in terms of these constants, then there will be constraints on the topology of the manifold. These universal constants depend only on the lower bound of the sectional curvature, and in some cases also on the dimension of  $M$ . Although different in each case, their general scale is not too small by comparison.

In Chapter I, we list the basic definitions, notations, and theorems that we will use.

Chapter II contains the proofs of the main theorems, corollaries, and examples. An elementary description of the universal cover is given in Section 1. In Section 2, we present and prove Theorem I, which gives the restriction on the fundamental group if  $i_p$  is close to  $d_p$ , in terms of a universal constant which depends on the lower bound of the sectional curvature. Section 3

deals with Theorem 2, which classifies the non-simply connected case. The case of the conjugate locus  $Q_p$  being bounded away from the cut locus  $C_p$  of a point  $p$  of  $M$  is investigated in Section 4. We show that  $M$  cannot be simply connected in this case, and give some stratification structure to the cut locus, and conditions which make  $C_p$  a codimension 1 submanifold, so that we can apply Weinstein's result. Finally, we present some examples, counterexamples, and immediate consequences of the main theorems in Section 5.

The Theorems 1-5B generalize some of the results of Shiohama and Nakagawa, especially in the non-simply connected case.

Although Berger [B-3] has resolved the problem of almost-1/4-pinched manifolds; the problem of finding more topological restrictions on the simply connected manifolds whose injectivity radius is close to the diameter in terms of the lower bound of the sectional curvature or any other geometric quantities, still needs to be investigated.

# CHAPTER I

## NOTATION AND PRELIMINARIES

In this chapter, the basic definitions, notations, and the theorems which will be used in Chapter II are given.

For the basic notions of manifolds and Riemannian Geometry, we refer to Cheeger and Ebin [CE], Gromoll, Klingenberg, and Meyer [GKM], and Kobayashi and Nomizu [KN].

In this text,  $M^n$  always denotes a compact, smooth, connected,  $n$ -dimensional Riemannian manifold without boundary.  $TM$ ,  $UM$ ,  $TM_p$ , and  $UM_p$  denote the tangent bundle of  $M$ , the unit sphere bundle of the tangent bundle, the tangent space at  $p$ , and the unit vectors in  $TM_p$  with respect to the Riemannian metric  $\langle \cdot, \cdot \rangle_p$ , respectively.

For any smooth map  $f: M_1 \rightarrow M_2$ , where  $M_i$  are smooth manifolds, for  $i=1,2$ ,  $f_*$  denotes the differential (Jacobian) of  $f$ , i.e. the induced map  $f_*: TM_1 \rightarrow TM_2$ .

Unless it is specified, all coordinate systems around any point of  $M$  are normal, and all geodesics are parametrized by their arclength; that is, the velocity vectors are unit vectors. If  $\gamma(t)$  is said to be any geodesic from  $p$  to  $q$ , then it is assumed that  $\gamma: [0, \ell] \rightarrow M$  such that  $\gamma(0)=p$ ,  $\gamma(\ell)=q$ , and the length

of  $\gamma$ , which is denoted by  $\ell(\gamma)$  is to be  $\ell$ . If  $\gamma$  is also said to be minimal, then  $d(p,q)=\ell$ , where  $d(p,q)$  denotes the distance between  $p$  and  $q$ .  $\gamma'(t)$  denotes the velocity vector of  $\gamma$  at  $\gamma(t)$ . Similarly, if  $r=\gamma(t_r)$  and  $t_r$  is unique, then  $\gamma'(r)$  also denotes  $\gamma'(t_r)$ .

Let  $v_1, v_2$  be non-zero vectors in  $TM_p$  for some  $p \in M$ . The angle  $\angle(v_1, v_2)$  between  $v_1$  and  $v_2$  is always measured to be between 0 and  $\pi$ , and it is given by  $\cos(\angle(v_1, v_2)) \cdot \|v_1\| \cdot \|v_2\| = \langle v_1, v_2 \rangle_p$ .

$d_M: M \times M \rightarrow [0, \infty)$  is the distance function. The diameter of  $M$  which is the maximum value of the function  $d_M(.,.)$  will also be denoted by  $d_M$  or  $d(M)$ . If there is no chance of ambiguity,  $M$  will be suppressed in  $d_M(.,.)$  and  $d(p,q)$  will be written instead of  $d_M(p,q)$ , for  $p, q \in M$ .

DEFINITION 1. For any subset  $X$  of  $M$ , the closure, the interior, and the boundary of  $X$  will be denoted by  $\bar{X}$ ,  $\text{int}(X)$ , and  $\partial X$ , respectively. Let  $\exp_p: TM_p \rightarrow M$  be the exponential map. For any  $p \in M$  and  $v \in UM_p$ , the cut value in the direction of  $v$   $c_p(v)$  is to be  $\text{Max}\{\lambda \in \mathbb{R} \mid \lambda > 0, d(p, \exp_p(\lambda v)) = \lambda\}$  and the fundamental region  $A_p$  to be  $\{v \in TM_p \mid d(p, \exp_p(v)) = \|v\|\}$ . The tangential cut locus of  $p$ ,  $\tilde{C}_p$ , is defined to be  $\partial A_p$  and the cut locus of  $p$ ,  $C_p$ , be  $\exp_p \tilde{C}_p$ .

One can show that  $c_p(v)$  depends on  $p$  and  $v$  continuously, and  $c_p(v) > 0$  is finite for all  $v \in UM_p$ , since  $M$  is compact. Hence,  $\partial A_p = \{c_p(v) \cdot v \mid v \in UM_p\}$ , and it is homeomorphic to  $S^{n-1}$ . See [GKM].

DEFINITION 2. Let  $p \in M$  be given. The injectivity radius at  $p$  is defined to be  $\text{Min}\{c_p(v) \mid v \in U_p\}$  and is denoted by  $i_p$ .  $d_p = \text{Max}\{c_p(v) \mid v \in U_p\}$  is in fact the distance to the furthest point from  $p$ . Let  $i_M$  and  $d_M$  be  $\text{Min}\{i_p \mid p \in M\}$  and  $\text{Max}\{d_p \mid p \in M\}$ , respectively.  $i_M$  and  $d_M$  are called the injectivity radius of  $M$  and the diameter of  $M$ .

This definition of the diameter is equivalent to the previous definition. If there is more than one metric on  $M$ , then  $i_p(M, g)$ ,  $d_p(M, g)$ ,  $d(M, g)$  and  $i(M, g)$  will be used to indicate dependence on some metric  $g$  on  $M$ .

DEFINITION 3. For any metric space  $X$ ,  $B_r(x_0, X)$  and  $\bar{B}_r(x_0, X)$  for some  $x_0 \in X$ , denote the balls  $\{x \in X \mid d_X(x, x_0) < r\}$  and  $\{x \in X \mid d_X(x, x_0) \leq r\}$ , respectively.

Let  $\tilde{M}$  be the universal cover of  $M$  and  $\rho: \tilde{M} \rightarrow M$  be the natural projection map. Since  $\rho$  is a local homeomorphism, it induces a smooth Riemannian manifold structure on  $\tilde{M}$  by pulling back the structure on  $M$  locally. With this natural structure on  $\tilde{M}$ ,  $\rho$  becomes a local isometry and  $\forall p' \in \tilde{M}, \forall v' \in T\tilde{M}_{p'}, \forall t \in \mathbb{R}$ ,  $\rho(\exp_{p'}(tv')) = \exp_{\rho(p')}(t\rho_*(v'))$ . In this paper, whenever the universal cover  $\tilde{M}$  of  $M$  is used, this natural Riemannian structure will always be considered.

DEFINITION 4. Let  $p \in M$ . The first tangential conjugate locus  $\tilde{Q}_p$  of  $p$  is defined to be:



$$\left\{ v \in TM_p \mid \begin{array}{l} (\exp_p)_*(tv): T(TM_p)_{tv} \rightarrow TM_{\exp_p(tv)} \text{ is maximal rank for} \\ 0 \leq t < 1 \text{ and not maximal rank for } t=1. \end{array} \right\}$$

The first conjugate locus  $Q_p$  of  $p$  is defined to be  $\exp_p(\tilde{Q}_p)$ .

Let  $K_M$  denote the sectional curvature of the Riemannian connection on  $M$ , which is torsion free.

DEFINITION 5. For any  $C \in \mathbb{R}$ ,  $M_C^2$  denotes the simply connected two-dimensional, complete Riemannian manifold of constant sectional curvature  $C$ , i.e. a space form which is unique up to isometry. For example, see [CE].

The theorem below was first proved by V.A. Toponogov [T-1], [T-2]. For other proofs and a complete treatment of the subject, see [CE, pg. 43], [GKM, pg. 184]. The following form of the theorem and definitions appear in [CE, pg. 43]. All indices below are taken modulo 3.

DEFINITION 6. A geodesic triangle in the Riemannian manifold  $M$  is a set of three geodesic segments parametrized by arclength  $(\gamma_1, \gamma_2, \gamma_3)$  of lengths  $\ell_1, \ell_2, \ell_3$  such that  $\gamma_i(\ell_i) = \gamma_{i+1}(0)$  and  $\ell_i + \ell_{i+1} \geq \ell_{i+2}$ . Set  $\alpha_i = \angle(-\gamma'_{i+1}(\ell_{i+1}), \gamma'_{i+2}(0))$ , the angle between  $-\gamma'_{i+1}(\ell_{i+1})$  and  $\gamma'_{i+2}(0)$ ,  $0 \leq \alpha_i \leq \pi$ .

THEOREM (Toponogov). Let  $M$  be a complete manifold with  $K_M \geq C$ .

a) Let  $(\gamma_1, \gamma_2, \gamma_3)$  determine a geodesic triangle in  $M$ . Suppose  $\gamma_1, \gamma_3$  are minimal and if  $C > 0$ , suppose  $\ell(\gamma_2) \leq \pi \cdot C^{-1/2}$ . Then in  $M_C^2$ , there exists a geodesic triangle  $(\bar{\gamma}_1, \bar{\gamma}_2, \bar{\gamma}_3)$  such that

$\ell(\gamma_i) = \ell(\bar{\gamma}_i)$  and  $\bar{\alpha}_1 \leq \alpha_1, \bar{\alpha}_3 \leq \alpha_3$ . Except in the case  $C > 0$  and  $\ell(\gamma_i) = \pi \cdot C^{-1/2}$  for some  $i$ , the triangle in  $M_C^2$  is uniquely determined.

b) Let  $\gamma_1, \gamma_2$  be geodesic segments in  $M$  such that  $\gamma_1(\ell_1) = \gamma_2(0)$  and  $\angle(-\gamma_1'(\ell_1), \gamma_2'(0)) = \alpha$ . We call such a configuration a hinge  $L$  and denote it by  $(\gamma_1, \gamma_2, \alpha)$ . Let  $\gamma_1$  be minimal, and if  $C > 0$ ,  $\ell(\gamma_2) \leq \pi \cdot C^{-1/2}$ . Let  $\bar{\gamma}_1, \bar{\gamma}_2 \in M_C^2$  be such that  $\bar{\gamma}_1(\ell_1) = \bar{\gamma}_2(0)$ ,  $\ell(\gamma_i) = \ell(\bar{\gamma}_i) = \ell_i$  and  $\angle(-\bar{\gamma}_1'(\ell_1), \bar{\gamma}_2'(0)) = \alpha$ . Then  $d_M(\gamma_1(0), \gamma_2(\ell_2)) \leq d_{M_C^2}(\bar{\gamma}_1(0), \bar{\gamma}_2(\ell_2))$ .

Toponogov's Theorem guarantees the existence of the triangles in  $M_C^2$ , as long as the lengths of the sides satisfy the triangle inequalities. In this text, unless otherwise specified, the geodesic triangles in  $M$  have sides given by minimal geodesics, so the triangle inequalities are automatically satisfied. Also, all comparisons are done with  $M_C^2$  for  $C \leq 0$ .

Hence, the triangles are uniquely determined up to congruences of  $M_C^2$ . At the same time, the angles  $\bar{\alpha}_i$  are known, if the side lengths  $\ell_i$  are known, by the laws of cosine, [GKM, pg. 195]:

$$\text{if } C=0: \ell_i^2 = \ell_{i+1}^2 + \ell_{i+2}^2 - 2\ell_{i+1} \cdot \ell_{i+2} \cdot \cos \bar{\alpha}_i;$$

if  $C < 0$ :

$$\cosh(\kappa \cdot \ell_i) = \cosh(\kappa \cdot \ell_{i+1}) \cdot \cosh(\kappa \cdot \ell_{i+2}) - \sinh(\kappa \cdot \ell_{i+1}) \sinh(\kappa \cdot \ell_{i+2}) \cos \bar{\alpha}_i,$$

where  $\kappa = (-C)^{1/2}$ .

THEOREM (Rauch [R]). Let  $M_1, M_2$  be Riemannian manifolds of the same dimension,  $\gamma_1: [0, \ell] \rightarrow M_1, \gamma_2: [0, \ell] \rightarrow M_2$  be normal geodesics,  $Y_1, Y_2$  be Jacobi fields along  $\gamma_1, \gamma_2$  with  $Y_1(0) = Y_2(0) = 0$  and  $\langle Y_1', Y_1' \rangle|_{t=0} = \langle Y_2', Y_2' \rangle|_{t=0} = 0$  and  $\|Y_1'(0)\| = \|Y_2'(0)\|$ . Let  $\gamma_2$  have no conjugate points on  $(0, \ell)$ . If the sectional curvature of  $M_1$  along  $\gamma_1$  is smaller than or equal to the sectional curvature of  $M_2$  along  $\gamma_2$ ; i.e.  $K_{M_1}(\sigma_{i,t}) \leq K_{M_2}(\sigma_{i,t})$  for any two-plane  $\sigma_{i,t}$  in  $T(M_i)_{\gamma_i(t)}$ , (in fact, it is sufficient to have the inequality to be true for the two-planes generated by  $Y_i(t)$  and  $\gamma_i'(t), i=1,2$ ) for all  $t \in [0, \ell]$ . Then  $\|Y_1(t)\| \geq \|Y_2(t)\|$ . In fact, for  $t > 0$ ,

$$\frac{d}{dt} (\|Y_1\|^2 / \|Y_2\|^2) \geq 0.$$

PROOF. See [GKM, pg. 181], [CE, pg. 28].

LEMMA (Berger [B-2]). Let  $M$  be a complete Riemannian manifold.

Let  $p, q \in M$  be such that  $d_M(p, q) = d_p$ . For any vector  $v \in TM_q$ , there exists a minimal geodesic  $\gamma$  from  $q$  to  $p$  such that  $\angle(\gamma'(0), v) \leq \pi/2$ .

PROOF. See [GKM, pg. 257] or [CE, pg. 106].

DEFINITION 7. A subset  $S$  of a Riemannian manifold  $M$  is called strongly convex if for any  $q_1, q_2 \in \bar{S}$ , there exists a unique minimal

geodesic  $\gamma_{q_1, q_2}$  from  $q_1$  to  $q_2$  such that  $\gamma_{q_1, q_2}: [0, d(q_1, q_2)] \rightarrow M$ ,  $\gamma_{q_1, q_2}(0) = q_1, \gamma_{q_1, q_2}(d(q_1, q_2)) = q_2$  and  $\gamma_{q_1, q_2}((0, d(q_1, q_2))) \subseteq S$ .

THEOREM (Whitehead [Wh]). If  $r < (1/2) \cdot \text{Min}(\pi \cdot K^{-1/2}, i_M)$ , then

$B_r(p, M)$  is strongly convex, where  $K = \text{Max}(K_M)$ . (If  $K \leq 0$ , consider  $\infty$

instead of  $\pi/K^{1/2}$ .)

PROOF. See [CE,pg. 103].

LEMMA A. Let  $p, q \in M$  be such that  $d(p, q) = i_p$ . Then either there is a minimal geodesic from  $p$  to  $q$  along which  $p$  is conjugate to  $q$ , or there are precisely two minimal geodesics  $\gamma_1, \gamma_2$  from  $p$  to  $q$  such that  $\gamma_1'(q) = -\gamma_2'(q)$ .

PROOF. See [CE,pg. 95].

LEMMA (Klingenberg). If  $K_1 \geq K_M \geq K_0 > 0$ , then  $i_M \geq \min(\pi/K_1^{1/2}, \ell/2)$ , where  $\ell$  is the length of the shortest smooth closed geodesic in  $M$ . If  $M$  is also an even dimensional, oriented manifold, then  $i_M \geq \pi/K_1^{1/2}$ .

PROOF. See [GKM,pg.227], [CE,pg.96,98].

DEFINITION 8. Let  $p \in M$ .  $p$  is said to have a spherical cut locus if  $i_p = d_p$ .

DEFINITION 9. Let  $p \in M$  and  $q \in C_p$ . The link from  $p$  to  $q$  is defined to be  $\Lambda(p, q) = \{v \in U M_q \mid \exp_q(d(p, q) \cdot v) = p\}$ .

DEFINITION 10. A compact Riemannian manifold  $M$  is called a pointed Blaschke manifold at  $p$ , for some  $p \in M$ , if  $\forall q \in C_p$ ,  $\Lambda(p, q)$  is the intersection of  $U M_q$  with a subspace of  $T M_q$ .  $M$  is called a Blaschke manifold if it is a pointed Blaschke manifold at  $p$ , for all  $p \in M$ .

THEOREM (Nakagawa-Shiohama [NS-1]). Let  $M$  be a compact, connected

Riemannian manifold with  $K_M \leq 1$  such that there exists  $p \in M$  with

$\ell = i_p = d_p$ . Then

- i)  $\ell \geq \pi/2$ ;
- ii) if  $\ell = \pi/2$ , then  $M$  is isometric to  $\mathbb{R}P^n$  with constant sectional curvature 1;
- iii) if  $\pi/2 < \ell < \pi$ , then  $M$  has the same cohomology groups as that of  $\mathbb{R}P^n$  and  $\tilde{M}^n$  is homeomorphic to  $S^n$ . Hence, if  $M$  is simply connected, then  $\ell \geq \pi$ .

iv) if  $C_p \not\subseteq Q_p$ , then  $\tilde{C}_p \cap \tilde{Q}_p = \emptyset$  and hence,  $M$  has the same cohomology groups as that of  $\mathbb{R}P^n$ , and  $\tilde{M}$  is homeomorphic to  $S^n$ .

THEOREM (Nakagawa-Shiohama [NS-2]). Let  $M$  be an  $n$ -dimensional,

connected, compact  $C^\infty$ -manifold. Assume that there exists  $p \in M$  such that  $d(p, q) = \ell$  for all  $q \in C_p$ , where  $\ell = \pi \cdot (\text{Max}(K_M))^{-1/2}$ . Then every geodesic segment starting from  $p$  with length  $2\ell$  is a geodesic loop at  $p$ , and we have, for any point  $q \in Q_p$ , the multiplicity of  $p$  and  $q$  as a conjugate pair is constant  $\lambda$ , where  $\lambda = 0, 1, 3, 7, n-1$ .

Moreover,

i) If  $\pi_1(M) \neq 0$ , then  $M$  has the same cohomology groups as that of  $\mathbb{R}P^n$ , and  $\tilde{M}^n$  is homeomorphic to  $S^n$ , where  $\lambda = 0$  holds.

ii) If  $\pi_1(M) = 0$ , then the integral cohomology ring  $H^*(M, \mathbb{Z})$  is a truncated polynomial ring generated by one element (in  $H^{\lambda+1}(M, \mathbb{Z})$ ). In particular, if  $\lambda = n-1$ , then  $M$  is isometric to a sphere of constant sectional curvature  $\text{Max}(K_M)$ .

## CHAPTER II

### SECTION 1. A DESCRIPTION OF THE UNIVERSAL COVER $\tilde{M}$ .

The following description is elementary, and it gives a proper perspective of the universal cover, which is used in the proofs of Theorems 1 and 2.

Let  $M$  be any non-simply connected, compact Riemannian manifold,  $\tilde{M}$  be its Riemannian universal cover, and  $\rho: \tilde{M} \rightarrow M$  be the natural Riemannian projection map which is a local isometry. There is a natural one-to-one correspondence between  $\pi_1(M)$  and the deck transformations of  $\tilde{M}$ . For  $[\gamma_i] \in \pi_1(M)$ , let  $\gamma_i: \tilde{M} \rightarrow \tilde{M}$  also represent the corresponding deck transformation.

Let  $U = M - C_p$ , for some  $p \in M$ . It is known that  $U$  is homeomorphic to an open ball and is dense in  $M$ . [CE], [GKM].

Fix  $p \in M$  and  $p_0 \in \tilde{M}$  such that  $\rho(p_0) = p$ , and set  $p_i = \gamma_i(p_0)$ , for  $[\gamma_i] \in \pi_1(M)$ . Since  $U$  is contractible, there exists a unique open connected set  $U_i$  in  $\tilde{M}$  such that  $p_i \in U_i$  and  $\rho|_{U_i}: U_i \rightarrow U$  is a homeomorphism. Thus, we have  $U_i \cap U_j = \emptyset$ , if  $[\gamma_i] \neq [\gamma_j]$  in  $\pi_1(M)$ , and  $\tilde{M} = \bigcup_{[\gamma_i] \in \pi_1(M)} \overline{U_i}$ . To see that, if  $U_i \cap U_j \neq \emptyset$ , then we would obtain a continuous curve from  $p_i$  to  $p_j$  in  $U_i \cup U_j$ , whose image under  $\rho$  lies in  $U$ , and hence,

a curve representing a non-trivial element of  $\pi_1(M)$  would be lying in a contractible set, which is a contradiction. Second part follows from  $\rho(\bar{U}_0)=M$ .

For  $U_i, U_j$  such that  $\bar{U}_i \cap \bar{U}_j \neq \emptyset$ , let  $q_{ij}$  be any point  $\bar{U}_i \cap \bar{U}_j$  and  $\theta_i, \theta_j$  be minimal geodesic segments such that  $\theta_i(0)=p_i$ ,  $\theta_i(d(p_i, q_{ij}))=q_{ij}$ ,  $\theta_j(0)=q_{ij}$  and  $\theta_j(d(q_{ij}, p_j))=p_j$ . Define

$$\gamma_{ij}(t) = \begin{cases} \theta_i(t), & \text{if } 0 \leq t \leq d(p_i, q_{ij}) \\ \theta_j(t - d(p_i, q_{ij})), & \text{if } d(p_i, q_{ij}) < t \leq d(p_i, q_{ij}) + d(q_{ij}, p_j). \end{cases}$$

Obviously,  $\gamma_{ij}$  represents  $[\gamma_j] \cdot [\gamma_i]^{-1} \in \pi_1(M)$ . For any

$[\gamma_{i_0}] \in \pi_1(M)$ , consider any minimal geodesic  $\gamma$  from  $p_0$  to  $p_{i_0}$ .

The set  $I = \{[\gamma_j] \in \pi_1(M) \mid \bar{U}_j \cap \text{Im}(\gamma) \neq \emptyset\}$  is a finite set, because all such  $\bar{U}_j$ 's lie in  $2d_M$  neighborhood of  $\gamma$  whose length is finite, and all  $\bar{U}_j$  have the same volume.  $\text{Im}(\gamma)$  is a connected set, so we can find a sequence  $n_0, n_1, \dots, n_k$ , with  $[\gamma_{n_k}] \in I$ , such that  $n_0=0$ ,  $n_k=i_0$  and  $\bar{U}_{n_\ell} \cap \bar{U}_{n_{\ell+1}} \neq \emptyset$ , for  $\ell=1, \dots, k-1$ . If  $\gamma_{n_\ell n_{\ell+1}}$  is constructed as above, then clearly the union of these curves

$\gamma_{n_\ell n_{\ell+1}}$ ,  $\ell=1, \dots, k-1$ ; is a continuous curve from  $p_0$  to  $p_{i_0}$  and

hence, its image under  $\rho$  represents  $[\gamma_{i_0}] \in \pi_1(M)$ . Let

$\Theta = \rho(\{\gamma_{ij} \mid \bar{U}_i \cap \bar{U}_j \neq \emptyset\})$ .  $\Theta$  is a set of loops at  $p$ , and it generates

$\pi_1(M)$ .  $\Theta$  is a set that contains some curves which are the union of two minimal geodesic segments: the first one is from  $p$  to a point in  $C_p$  and the second is from the end point of the first, back to  $p$  along possibly another minimal geodesic.

It follows that  $\Lambda = \{[\gamma_j] \in \pi_1(M) \mid \bar{U}_j \cap \bar{U}_0 \neq \emptyset\}$  is a set of generators for  $\pi_1(M)$ .

$\exp_p: \text{int}(A_p) \rightarrow U$  is a homeomorphism, where  $A_p \subseteq TM_p$ . [CE], [GKM]. Let  $\rho_*$  be the induced map on the tangent spaces. Since  $\rho$  is a local isometry, the following diagram is commutative:

$$\begin{array}{ccc}
 (\rho_*(p_0))^{-1}(\text{int}(A_p)) & \xrightarrow{\exp_{p_0}} & U_0 \\
 \downarrow \rho_* & & \downarrow \rho \\
 \text{int}(A_p) & \xrightarrow{\exp_p} & U
 \end{array}$$

Since  $A_p$  is compact,  $\exp_{p_0}((\rho_*(p_0))^{-1}(A_p))$  is compact.  $\text{int}(A_p)$  is dense in  $A_p$ , so  $\exp_{p_0}((\rho_*(p_0))^{-1}(\text{int}(A_p))) = U_0$  is dense in  $\exp_{p_0}((\rho_*(p_0))^{-1}(A_p))$ . Therefore,  $\bar{U}_0 = \exp_{p_0}((\rho_*(p_0))^{-1}(A_p))$ . Since  $\exp_{p_0}$  is a homeomorphism on  $(\rho_*(p_0))^{-1}(\text{int}(A_p))$ , it takes interior points to interior points. Hence,

$$\partial U_0 = \bar{U}_0 - U_0 \subseteq \exp_{p_0}((\rho_*(p_0))^{-1}(\partial A_p)).$$

$\exp_p(\partial A_p) = C_p$  and  $C_p \cap U = \emptyset$ , so  $\exp_{p_0}((\rho_*(p_0))^{-1}(\partial A_p)) \cap U_0 = \emptyset$ .

Therefore,  $\exp_{p_0}((\rho_*(p_0))^{-1}(\partial A_p)) \subseteq \partial U_0$ , and hence,

$\exp_{p_0}((\rho_*(p_0))^{-1}(\partial A_p)) = \partial U_0$ . It is known that for a compact



Riemannian manifold  $M^n$ ,  $\partial A_p$  is homeomorphic to  $S^{n-1}$ . Hence,  $\partial U_0$  is an image of a connected set under a continuous map  $\exp_{p_0} \circ (\rho_*(p_0))^{-1}$ . We have now proved that:

LEMMA 1.  $\partial U_0$  is connected.

We have  $\rho(\partial U_i) = C_p$ , for all  $i$ .  $\forall q \in \partial U_i = \exp_{p_i}((\rho_*(p_i))^{-1}(\partial A_p))$ , that is, there is a  $v \in \partial A_p$  such that  $q = \exp_{p_i}((\rho_*(p_i))^{-1}(v))$  and  $\exp_{p_i}(t \cdot ((\rho_*(p_i))^{-1}(v)))$  is a minimal geodesic from  $p_i$  to  $q$ . So,  $i_p \leq d(q, p_i) \leq c_p(v/\|v\|) \leq d_p$ .

REMARK. If any statement is true for  $p_i$  or  $U_i$ , for some  $i$ , then the analogue is true for all  $i$ , by using an appropriate deck transformation which is an isometry of  $\tilde{M}$ .

Some facts about  $U_i$  that we will use in the following without referring: For  $U_i, U_j$  disjoint, for any point  $q$  in  $\overline{U_i} \cap \overline{U_j}$ , every neighborhood of  $q$  intersects with  $U_i$  and  $U_j$ , so  $\overline{U_i} \cap \overline{U_j} \subseteq \partial U_i$ . Obviously,  $\partial U_i = \overline{U_i} - U_i$  and  $\partial \overline{U_i} = \overline{U_i} - \text{int}(\overline{U_i})$ . Since  $U_i$  is open, we have  $U_i \subseteq \text{int}(\overline{U_i})$ ; therefore,  $\partial \overline{U_i} \subseteq \partial U_i$ .  $U_i$  is dense in  $\overline{U_i}$ , so  $U_i$  is dense in  $\text{int}(\overline{U_i})$ .  $U_i \cap U_j = \emptyset$  implies that  $(\text{int}(\overline{U_i})) \cap U_j = \emptyset$ . Moreover,  $(\text{int}(\overline{U_i})) \cap (\text{int}(\overline{U_j})) = \emptyset$ . Finally,  $\overline{U_i} \cap \overline{U_j} = \partial \overline{U_i} \cap \partial \overline{U_j} = \partial U_i \cap \partial U_j$ , and hence,  $\overline{U_i} \cap \overline{U_j} \subseteq \partial \overline{U_i}$ .

## SECTION 2. THE FUNDAMENTAL GROUP.

The main result of this section is:

THEOREM 1. Given  $C \in \mathbb{R}$ , there exists a universal constant  $\varepsilon_1(C)$  depending only on  $C$ , such that: For any compact Riemannian manifold  $M^n$ ,  $n \geq 2$ ; if

- i)  $d_M^2 \cdot K_M \geq C$ , and
- ii) there exists a point  $p$  in  $M$ , such that  $i_p/d_p > 1 - \varepsilon_1(C)$ ;

then  $\pi_1(M) = 1$  or  $\mathbb{Z}_2$ .

For the proof of Theorem 1, we need some preliminary lemmas.

LEMMA 2. For  $C \leq 0$ . Let two geodesic triangles in  $M_C^2$  be given with sides of lengths  $A_1, B_1, C_1$  and  $A_2, B_2, C_2$ , respectively. Let  $\alpha_i, \beta_i, \gamma_i$  be the angles between the sides of length  $B_i, C_i$ ;  $A_i, C_i$ ; and  $A_i, B_i$ , respectively, for  $i=1, 2$ .

- a) If  $A_1 = A_2$ ,  $C_1 = C_2$  and  $B_1 < B_2$ , then  $\beta_1 < \beta_2$ .
- b) If  $A_1 > A_2$ ,  $C_1 = C_2$ ,  $B_1 = B_2$  and  $\beta_1 > \pi/2$ , then  $\beta_1 < \beta_2$ .

PROOF. Case for  $C < 0$ : By multiplying the metric with  $(-C)^{1/2}$ , we can reduce the problem to the case  $C = -1$ . The cosine theorem for

the hyperbolic space [GKM, pg.195] states that:

$$\cos \beta = \frac{(\cosh A) \cdot (\cosh C) - \cosh B}{(\sinh A) \cdot (\sinh C)} \quad (1)$$

a) is obvious from (1).

b) Let  $B_0=B_1=B_2$ ,  $C_0=C_1=C_2$  and  $A(t)=t \cdot A_2 + (1-t) \cdot A_1$ , for  $t \in [0,1]$ . Define

$$f(t) = \frac{(\cosh A(t)) \cdot (\cosh C_0) - (\cosh B_0)}{(\sinh A(t)) \cdot (\sinh C_0)}.$$

$f$  is a smooth function, because  $A_1, A_2, C_0$  are all positive.

A straightforward calculation shows that:

$$f'(t) = A'(t) \cdot \frac{(\sinh C_0) \cdot ((\cosh A(t)) \cdot (\cosh B_0) - (\cosh C_0))}{((\sinh A(t)) \cdot (\sinh C_0))^2}.$$

On the other hand:

$$\begin{aligned} (\cosh A(t)) \cdot (\cosh B_0) - (\cosh C_0) &\geq (\cosh A(0)) \cdot (\cosh B_0) - (\cosh C_0) \\ &= (\cos \gamma_1) \cdot (\sinh A_1) \cdot (\sinh B_1) \\ &> 0, \end{aligned}$$

because  $\beta_1 > \pi/2$  and by Gauss-Bonnet Theorem the sum of the internal angles of a geodesic triangle in  $M_C^2$  is  $\leq \pi$ , for  $C < 0$ , and hence,  $\gamma_1 < \pi/2$ . Therefore,  $f'(t)$  has the same sign of  $A'(t) = A_2 - A_1 < 0$ .

$$\therefore \cos \beta_2 = f(1) < f(0) = \cos \beta_1.$$

$$\therefore \beta_2 > \beta_1.$$

Case for  $C=0$ : It follows from  $\cos \beta = (A^2 + C^2 - B^2)/2AC$  by a similar argument. Lemma 2 QED.

LEMMA 3. Let  $x_1, x_2, \dots, x_k$  be distinct unit vectors in  $\mathbb{R}^N$ , with the standard inner product, such that  $\angle(x_i, x_j) > \arccos(-1/n)$ , for  $x_i \neq x_j$ . Then  $k < n+1$ .

PROOF.  $\angle(x_i, x_j) > \arccos(-1/n) \Leftrightarrow \langle x_i, x_j \rangle < -1/n$ .

$$\begin{aligned} 0 &\leq \left\| \sum_{i=1}^k x_i \right\|^2 = \left\langle \sum_{i=1}^k x_i, \sum_{j=1}^k x_j \right\rangle = \sum_{i=1}^k \sum_{j=1}^k \langle x_i, x_j \rangle \\ &= \sum_{i=1}^k \left( \left( \sum_{\substack{j=1 \\ j \neq i}}^k \langle x_i, x_j \rangle \right) + 1 \right) < \sum_{i=1}^k \left( (k-1)(-1/n) + 1 \right). \end{aligned}$$

$\Rightarrow 0 < 1 - (k-1)/n$ , and hence,  $k < n+1$ . Lemma 3 QED.

First, we prove Theorem 1 by using Lemma 4, second, we give some facts and prove Lemma 5 and finally, we prove Lemma 4 by using Lemma 5.

PROOF (Theorem 1).  $e_1(C)$  is constructed as follows: Given  $C \in \mathbb{R}$ .

Case for  $C \leq 0$ . Let  $x \in [0, 1]$ . Consider the following two geodesic triangles in  $M_C^2$ . The first one has sides of length  $1+x, 1+x$ , and 2. Let  $\beta_1(x)$  be the angle between the sides of length  $1+x$ . The second one has sides of length  $1+x, 1+3x$ , and 2. Let  $\beta_2(x)$  be the angle between the sides of length  $1+x$  and  $1+3x$ . See Figures 1 and 2.  $\beta_1(x)$  and  $\beta_2(x)$  are strictly decreasing continuous functions of  $x$ , whenever each is  $\geq \pi/2$ ; by the Laws of Cosine and by applying

Lemma 2b twice, by changing one side at a time.  $\beta_2(1)=0$  and if  $\beta_2(x) < \pi/2$ , then  $2\beta_2(x) + \beta_1(x) < \pi + \beta_1(x) < 2\pi$ .  $\beta_1(0) = \beta_2(0) = \pi$ . Therefore, there exists a unique  $x_0(C)$  such that  $\beta_1(x_0(C)) + 2\beta_2(x_0(C)) = 2\pi$ .

If  $\beta_2(x) \geq \pi/2$  and  $x \neq 0$ , by Lemma 2b we will obtain that

$\beta_1(x) > \beta_2(x)$  and hence,  $\beta_1(x_0(C)) > 2\pi/3$ .

Let  $q_1, q_2$  and  $q_3$  be points in  $M_C^2$  with  $d(q_1, q_2) = d(q_1, q_3) = d(q_2, q_3) = 1$  and  $\gamma_1$  be the minimal geodesic from  $q_2$  to  $q_3$ ,  $\gamma_1$  be defined after passing through  $q_3$ . Let  $q_4$  be  $\gamma_1(1+2x_0)$ , and  $\gamma_2, \gamma_3$  be the minimal geodesic segments from  $q_4$  and  $q_3$  to  $q_1$ , respectively. If  $\alpha_1 = \angle(-\gamma_1'(q_4), \gamma_2'(q_4))$ , then define  $\varepsilon_1'(C) = \text{Min}(x_0, \beta_1^{-1}(\pi - \alpha_1))$  and  $\varepsilon_1(C) = 1 - (1 + \varepsilon_1'(C))^{-1}$ . Also set  $\alpha(C) = \beta_1(\varepsilon_1'(C)) = \text{Max}(\pi - \alpha_1, \beta_1(x_0))$ . See Figure 3.

Case for  $C > 0$ . Set  $\varepsilon_1(C) = \varepsilon_1(0)$ .

Lemma 6 shows that  $x_0(C) < 1/10$ , for all  $C \in \mathbb{R}$ .

Let  $M^n$  and  $p \in M^n$  be as in the hypothesis. Multiply the metric with  $1/i_p$  and normalize it so that with this new metric the hypothesis becomes:

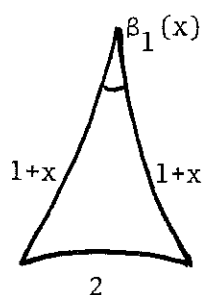
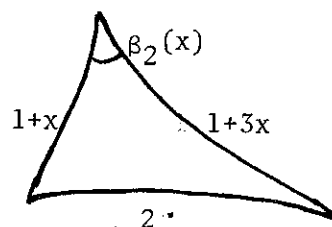
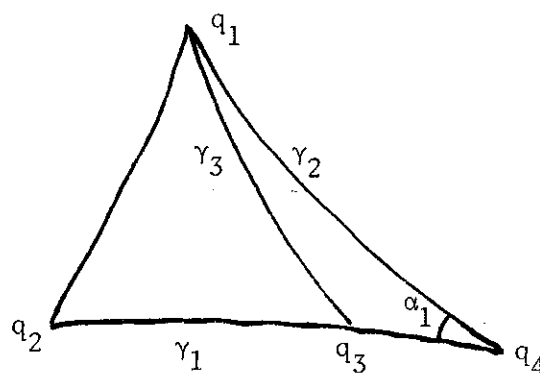
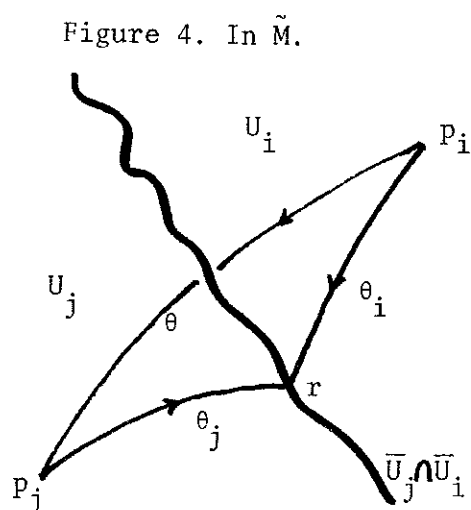
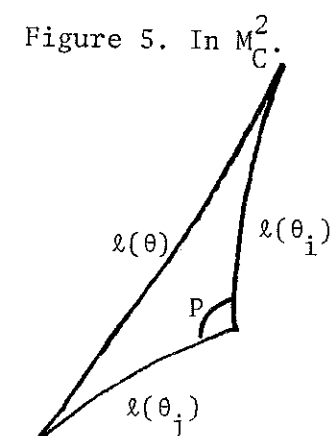
$$i) \quad K_M \geq \text{Min}(C, 0),$$

$$ii) \quad 1 = i_p \leq d_p < 1 + \varepsilon_1'(C).$$

For i), if  $\text{Min}(K_M) \leq 0$ , then  $K_M \geq \text{Min}(K_M) = i_p^2 \cdot \text{Min}(K_M) \geq d_M^2 \cdot \text{Min}(K_M) \geq C$ .

If  $\text{Min}(K_M) > 0$ , then  $K_M \geq 0$ .  $i_p/d_p = 1/d_p > 1 - \varepsilon_1(C) = (1 + \varepsilon_1'(C))^{-1}$ .

REMARK 1. The way we choose  $\varepsilon_1(C)$ , for  $C > 0$ , enables us to deal with the change of the lower bound of the curvature for a positively

Figure 1. In  $M_C^2$ .Figure 2. In  $M_C^2$ .Figure 3. In  $M_C^2$ .Figure 4. In  $\tilde{M}$ .Figure 5. In  $M_C^2$ .

curved manifold when we normalize the metric. So, for any positively curved manifold, we will take  $C$  to be 0. In fact, it is obvious from the proof that the hypothesis i) can be replaced by  $i_p^2 \cdot K_M \geq C$ . If this is done, then  $\varepsilon_1(C)$  can be made bigger for  $C > 0$ .

Let  $U = M - C_p$ , and construct  $U_i$  in  $\tilde{M}$  as in Section 1. Fix  $p_0$  and  $U_0$ . Recall that there is a one-to-one correspondence between  $p_i = \gamma_i(p_0)$  and  $[\gamma_i] \in \pi_1(M)$ . If  $\bar{U}_0 = \tilde{M}$ , then  $\pi_1(M) = 1$  and there is nothing to prove. If  $\tilde{M} \neq \bar{U}_0$ , then pick any  $q \in \tilde{M} - \bar{U}_0$  and let  $f: [0, 1] \rightarrow \tilde{M}$  be any continuous path from  $q$  to  $p_0$ .  $f$  exists, since  $\tilde{M}$  is path-connected. As in Section 1,  $\{[\gamma_j] \in \pi_1(M) \mid \bar{U}_j \cap f(I) \neq \emptyset\}$  is a finite set, where  $I = [0, 1]$ ; and hence, both  $f^{-1}(\bar{U}_0)$  and  $f^{-1}(\bigcup_{j \neq 0} \bar{U}_j)$  are closed. Therefore, there exists  $q'$  in  $\bar{U}_0 \cap (\bigcup_{j \neq 0} \bar{U}_j)$ ; because  $I = f^{-1}(\bar{U}_0) \cup f^{-1}(\bigcup_{j \neq 0} \bar{U}_j)$  and each is non-empty. Choose any of  $U_j$  with  $\bar{U}_j \cap \bar{U}_0 \neq \emptyset$  and  $U_j \neq U_0$ ; call it  $U_1$ . If  $\bar{U}_1 \cup \bar{U}_0 = \tilde{M}$ , then  $\pi_1(M) = \mathbb{Z}_2$ , and there is nothing more to prove.

Suppose  $\bar{U}_1 \cup \bar{U}_0 \neq \tilde{M}$ . Let  $q \in \tilde{M} - (\bar{U}_0 \cup \bar{U}_1)$  be any element. By a similar proof as above, it can be shown that there exists  $q' \in (\bar{U}_1 \cup \bar{U}_0) \cap (\bigcup_{j \neq 0, 1} \bar{U}_j)$ . If  $q' \in \bar{U}_0$ , then there exists  $U_2$  such that  $U_2 \neq U_0$ ,  $U_2 \neq U_1$ , and  $\bar{U}_2 \cap \bar{U}_0 \neq \emptyset$ . In the case of  $q' \in \bar{U}_1 - \bar{U}_0$ , there is a  $U_j$  with  $U_j \neq U_0$ ,  $U_j \neq U_1$  and  $\bar{U}_j \cap \bar{U}_1 \neq \emptyset$ . So there are at least two other  $U_i$  intersecting with  $U_1$ , namely  $U_0$  and  $U_{j_0}$ . By taking

an appropriate deck transformation (i.e.  $\gamma_1^{-1}$ ), there are at least two other  $U_i$  intersecting with  $U_0$ . In either case,  $U_2$  exists with  $U_2 \nmid U_1$ ,  $U_2 \nmid U_0$ ,  $\bar{U}_2 \cap \bar{U}_0 \neq \emptyset$  and previously we had  $U_1 \nmid U_0$ ,  $\bar{U}_1 \cap \bar{U}_0 \neq \emptyset$ . If this is the case, define

$$F: \partial U_0 \rightarrow \mathbb{R} \text{ by } F(q) = d_{\tilde{M}}(q, \bar{U}_1).$$

LEMMA 4. With the hypothesis of Theorem 1, and if  $F$  is as above,

then, a) There does not exist  $q \in \partial U_0$  such that  $F(q) = 3x_0(C)$ ;

b) For any  $q \in \bar{U}_0 \cap \bar{U}_2 \subseteq \partial U_0$ ,  $F(q) \geq 1/2 - x_0(C)$ .

Now we can prove Theorem 1, by using Lemma 4:

$F$  is defined by restricting the distance function to  $\partial U_0$ , so it is continuous. By Lemma 1,  $\partial U_0$  is connected. Therefore,  $F(\partial U_0)$  is a connected subset of  $\mathbb{R}$ . For any  $q \in \bar{U}_0 \cap \bar{U}_1 \subseteq \partial U_0$ ,  $F(q) = 0$ , obviously. By Lemma 4, for any  $q \in \bar{U}_0 \cap \bar{U}_2$ ,  $F(q) \geq 1/2 - x_0(C)$  and there does not exist any  $q$  such that  $F(q) = 3x_0(C)$ . This is a contradiction, since  $0 < x_0(C) \leq 1/10$  and  $\bar{U}_2 \cap \bar{U}_0$  and  $\bar{U}_1 \cap \bar{U}_0$  are non-empty. Therefore, such  $U_2$  as above does not exist. Consequently,  $\tilde{M} = \bar{U}_0 \cup \bar{U}_1$  or  $\tilde{M} = \bar{U}_0$ , that is  $\pi_1(M) = \mathbb{Z}_2$  or 1. Theorem 1 QED.

To prove Lemma 4, we need the following facts and Lemma 5.

CLAIM 1. If  $p_i \nmid p_j$ , then  $d_{\tilde{M}}(p_i, p_j) \geq 2$ .

To see this, consider any minimal geodesic from  $p_i$  to  $p_j$ .



Its image under  $\rho$  is a geodesic loop at  $p$  in  $M$ . So its length is bigger than  $2i_p = 2$ . See Klingenberg's Lemma. [CE], [GKM].

CLAIM 2. Let  $U_i, U_j$  be such that  $U_i \cap U_j = \emptyset$  and  $\bar{U}_i \cap \bar{U}_j \neq \emptyset$ , and  $r$  be in  $\bar{U}_i \cap \bar{U}_j$ ;  $\theta_i, \theta_j$  be minimal geodesics from  $p_i$  and  $p_j$  to  $r$ , respectively. Then  $\angle(\theta_i^!(r), \theta_j^!(r)) > \beta_1(\epsilon_1') > 2\pi/3$ .

To prove that: Let  $\theta$  be any minimal geodesic from  $p_i$  to  $p_j$ . Consider a geodesic triangle with sides of length  $\ell(\theta_i)$ ,  $\ell(\theta_j)$ , and  $\ell(\theta)$  in  $M_C^2$  and  $P$  be the angle between the sides of length  $\ell(\theta_i)$  and  $\ell(\theta_j)$ . See Figures 4 and 5. We have:

$$1 \leq \ell(\theta_i) \leq d_p < 1 + \epsilon_1'$$

$$1 \leq \ell(\theta_j) \leq d_p < 1 + \epsilon_1'$$

$$2 \leq \ell(\theta).$$

Consider a geodesic triangle with sides of length  $1 + \epsilon_1'$ ,  $1 + \epsilon_1'$ , and 2 in  $M_C^2$ , that is a triangle with  $x = \epsilon_1'$  in Figure 1. Obviously, the angle between the sides of length  $1 + \epsilon_1'$  is  $\beta_1(\epsilon_1')$ . To compare  $\angle P$  and  $\beta_1(\epsilon_1')$ , apply Lemma 2 to the triangles above with sides of length  $\ell(\theta_i), \ell(\theta_j), \ell(\theta)$  and  $1 + \epsilon_1', 1 + \epsilon_1', 2$  in  $M_C^2$ , successively three times by changing one side length at a time. It follows that  $\angle P > \beta_1(\epsilon_1') > 2\pi/3$ . Now apply Toponogov's Theorem to the geodesic triangle in  $\tilde{M}$  with vertices  $p_i, p_j, r$  and sides given by the minimal geodesics  $\theta_i, \theta_j$  and  $\theta$ , and obtain that  $\angle(\theta_i^!(r), \theta_j^!(r)) \geq \angle P$ . Consequently,  $\angle(\theta_i^!(r), \theta_j^!(r)) > \beta_1(\epsilon_1') > 2\pi/3$ .

CLAIM 3. If  $U_i, U_j, U_k$  are distinct, then  $\bar{U}_i \cap \bar{U}_j \cap \bar{U}_k = \emptyset$ .

Suppose the contrary. Let  $r \in \bar{U}_i \cap \bar{U}_j \cap \bar{U}_k$  be any element, and  $\theta_i, \theta_j, \theta_k$  be any minimal geodesics from  $r$  to  $p_i, p_j, p_k$ , respectively. By Claim 2,  $\angle(\theta_i'(r), \theta_j'(r)), \angle(\theta_i'(r), \theta_k'(r)), \angle(\theta_j'(r), \theta_k'(r))$ ; all are  $> \beta_1(\epsilon_1') \geq 2\pi/3 = \arccos(-1/2)$ . This contradicts Lemma 3.

REMARK 2.  $\partial \bar{U}_0$  is not necessarily connected. If it is connected, then Claim 3 would be enough to prove Theorem 1, without Lemma 4.

Let  $q \in \tilde{M} - \bar{U}_i$ , for some  $i$ , and  $\theta$  be any minimal geodesic from  $p_i$  to  $q$ . Define  $t_r =: \text{Max}\{t \mid t \geq 0, \theta(t) \in \bar{U}_i\}$ . Also set  $r = \theta(t_r)$ .

CLAIM 4.  $t_r = c_p(\rho_*(\theta'(p_i)))$ , that is,  $\exp_{p_i}(t \cdot \theta'(p_i)) = \theta(t)$  as a radial geodesic, it reaches  $\partial U_i$  at  $r$ ; it does not stay in  $\partial U_i$  after  $r$ , and leaves  $\bar{U}_i$ , does not intersect  $\bar{U}_i$  again before it reaches  $q$ . Equivalently,  $\{r\} = (\text{Im}(\theta)) \cap \partial \bar{U}_i = (\text{Im}(\theta)) \cap \partial U_i$ .

To prove this: Since  $t_r = \text{Max}\{t \mid 0 \leq t, \theta(t) \in \bar{U}_i\}$ , we have  $r \in \partial \bar{U}_i \subseteq \partial U_i = \exp_{p_i}(\rho_*^{-1}(\partial A_p))$ . Therefore, there exists  $v$  in  $\rho_*^{-1}(\partial A_p)$  such that  $r = \exp_{p_i} v$ .  $\exp_p(t \cdot \rho_*(v/\|v\|))$  is a minimal geodesic from  $p$  to its image points, for  $t \leq \|v\|$ , so its lift  $\exp_{p_i}(t \cdot v/\|v\|)$  to  $\tilde{M}$  has the same property, from  $p_i$ . On the other hand,  $\theta$  is a minimal geodesic from  $p_i$  to  $q$ , so it is the only minimal geodesic from  $p_i$  to any point ( $\neq q$ ) on  $\theta$ .  $q \neq r$ , therefore,  $v/\|v\| = \theta'(p_i)$ . But,  $\exp_p(t \cdot \rho_*(v/\|v\|))$  lies in  $U$ , for

$t < \|v\| = c_p(\rho_*(v/\|v\|))$ , so  $\exp_{p_i}(t \cdot v/\|v\|)$  lies in  $U_i$  for  $t < c_p(\rho_*(\theta'(p_i)))$  and  $r = \exp_{p_i} v = \theta(\|v\|)$ . Finally,  $\|v\| = t_r = c_p(\rho_*(\theta'(p_i)))$ . This proves Claim 4.

Let  $q \in \tilde{M} - \bar{U}_i$  be any element, and  $\theta$  be any minimal geodesic from  $p_i$  to  $q$ , also  $\theta$  be defined after passing through  $q$ . Let  $r$  be the unique element in  $\partial \bar{U}_i \cap \{\theta(t) \mid 0 \leq t \leq d(p_i, q)\}$ . By Claim 3, there is a unique  $j_0$ , with  $U_{j_0} \neq U_i$ , such that  $r \in \bar{U}_i \cap \bar{U}_{j_0}$ .

LEMMA 5. a)  $\{\theta(t_r+t) \mid 0 < t \leq \min(2x_0, d_{\tilde{M}}(q, r))\} \subseteq \text{int}(\bar{U}_{j_0})$ ,

b) If  $d_{\tilde{M}}(q, r) > 2x_0$ , then

$\{\theta(t_r+t) \mid 2x_0 < t \leq \min(1/2, d_{\tilde{M}}(q, r))\} \subseteq U_{j_0}$ .

PROOF (Lemma 5):

a) Suppose the contrary:

$\{\theta(t_r+t) \mid 0 < t \leq \min(2x_0, d_{\tilde{M}}(q, r))\} \not\subseteq \text{int}(\bar{U}_{j_0})$ . Then, there exists  $t_0$  with  $0 < t_0 \leq \min(2x_0, d_{\tilde{M}}(q, r))$ , such that  $\theta(t_r+t_0) \in \partial \bar{U}_{j_0}$ . So, there exists  $j_1$  with  $U_{j_1} \neq U_{j_0}$ , such that  $\theta(t_r+t_0) \in \bar{U}_{j_0} \cap \bar{U}_{j_1}$ . Since,  $\{r\} = \partial \bar{U}_i \cap \{\theta(t) \mid 0 \leq t \leq d(p_i, q)\}$ , we have  $U_{j_1} \neq U_i$ . Let  $\theta_0, \theta_1$  be minimal geodesics from  $\theta(t_r+t_0)$  to  $p_{j_0}$  and  $p_{j_1}$ , respectively. Let  $\theta_2$  be any minimal geodesic from  $p_i$  to  $p_{j_0}$ . See Figure 6. Consider the geodesic triangle in  $\tilde{M}$  with vertices  $p_i, p_{j_0}$ , and  $\theta(t_r+t_0)$ , and the sides given by the minimal geodesics:

$\theta$  from  $p_i$  to  $\theta(t_r+t_0)$ ,

$\theta_0$  from  $\theta(t_r+t_0)$  to  $p_{j_0}$ ,

$\theta_2$  from  $p_i$  to  $p_{j_0}$ .

Now, consider a geodesic triangle in  $M_C^2$  with sides of length  $t_r+t_0$ ,  $\ell(\theta_0)$  and  $\ell(\theta_2)$ ; such a triangle exists, since triangle inequalities are satisfied. Figure 7. Let  $R$  be the angle between the sides of length  $t_r+t_0$  and  $\ell(\theta_0)$ . Therefore, Toponogov's Theorem is applicable to these triangles and

$$\angle(-\theta'(t_r+t_0), \theta'_0(0)) \geq R.$$

$$1 \leq \ell(\theta_0) < 1+x_0, \quad (\theta(t_r+t_0) \in \partial U_{j_0})$$

$$1 \leq t_r+t_0 < 1+3x_0, \quad (r \in \partial U_i)$$

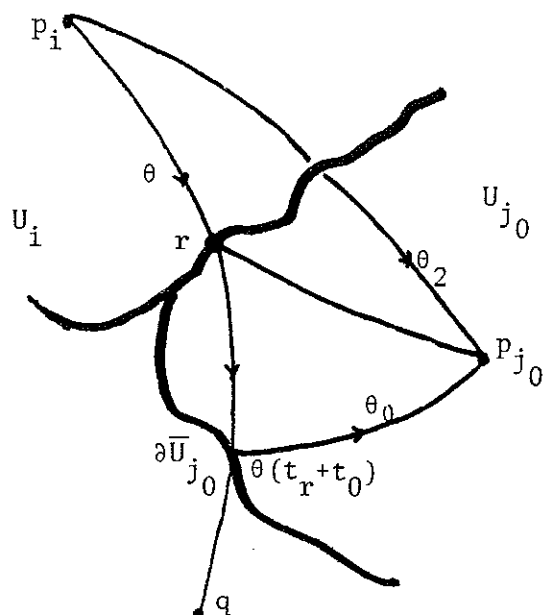
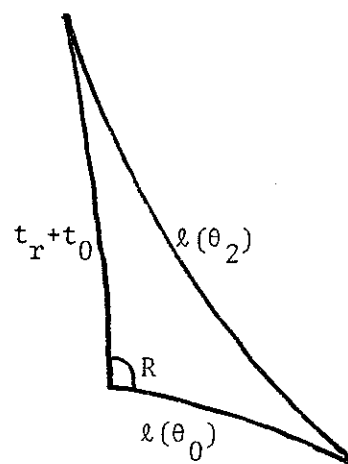
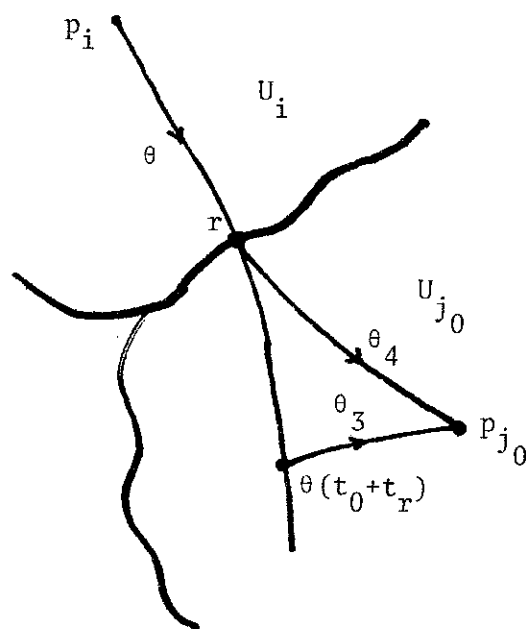
$$2 \leq \ell(\theta_2). \quad (\text{Claim 1})$$

Similar to the proof of Claim 2, consider a geodesic triangle in  $M_C^2$  with sides of length  $1+x_0$ ,  $1+3x_0$ , and 2, that is a triangle in Figure 2, for  $x=x_0$ . The angle between the sides of length  $1+x_0$  and  $1+3x_0$  is  $\beta_2(x_0)$ . To compare  $R$  and  $\beta_2(x_0)$ , we apply Lemma 2 to these triangles with side lengths  $\ell(\theta_0)$ ,  $\ell(\theta_2)$ ,  $t_r+t_0$  and  $1+x_0$ , 2,  $1+3x_0$  in  $M_C^2$ , successively three times by changing only one side length at a time. Recall that  $\beta_2(x_0) > \pi/2$ , to apply Lemma 2. It follows that  $R > \beta_2(x_0)$ . Consequently,

$$\angle(-\theta'(t_r+t_0), \theta'_0(0)) > \beta_2(x_0). \text{ Similarly, } \angle(-\theta'(t_r+t_0), \theta'_1(0)) > \beta_2(x_0).$$

By Claim 2,  $\angle(\theta'_0(0), \theta'_1(0)) > \beta_1(\varepsilon_1) \geq \beta_1(x_0)$ , since

$\theta(t_r+t_0) \in \bar{U}_{j_0} \cap \bar{U}_{j_1}$ . Therefore, we have:

Figure 6. In  $\tilde{M}$ .Figure 7. In  $M_C^2$ .Figure 8. In  $\tilde{M}$ .

$$\begin{aligned} & \angle(-\theta'(t_r+t_0), \theta'_0(0)) + \angle(-\theta'(t_r+t_0), \theta'_1(0)) + \angle(\theta'_0(0), \theta'_1(0)) \\ & > 2\beta_2(x_0) + \beta_1(x_0) = 2\pi. \end{aligned}$$

Obviously,  $-\theta'(t_r+t_0), \theta'_0(0), \theta'_1(0)$  are distinct, since  $U_{j_0}, U_{j_1}, U_i$  are distinct. For any distinct three vectors in  $\mathbb{R}^n$ , they lie in some 3-dimensional subspace; and in  $\mathbb{R}^3$ , the sum of all of the angles between any two of three vectors is  $\leq 2\pi$ . This is a contradiction. Therefore, for all  $t$  with  $0 < t \leq \min(2x_0, d(q, r))$ ;  $\theta(t_r+t) \in \text{int}(\bar{U}_{j_0})$  holds.

b) Given any  $t_0 \in \mathbb{R}$  such that  $2x_0 < t_0 \leq \min(1/2, d_M(r, q))$ .

Let  $\theta_3, \theta_4$  be any minimal geodesics from  $\theta(t_0+t_r)$  to  $p_{j_0}$  and from  $r$  to  $p_{j_0}$ , respectively.  $1 \leq \ell(\theta_4) < 1 + \epsilon'_1$  and

$\angle(\theta'_4(0), -\theta'(t_r)) > \beta_1(\epsilon'_1) = \alpha$ , by Claim 2. See Figure 8.

Let  $q_1, q_2, q_3, q_4, \gamma_1, \gamma_2$  and  $\gamma_3$  be as in the construction of  $\epsilon_1(C)$ , in  $M_C^2$ . Figures 3 and 9. Since the triangle with vertices  $q_1, q_2$ , and  $q_3$  is an equilateral triangle in  $M_C^2$ ,  $C \leq 0$ , by Toponogov's Theorem:  $\angle(-\gamma'_1(q_3), \gamma'_3(q_3)) \leq \pi/3$ . By Toponogov's Theorem and the Law of Cosines in the flat case:

$$\begin{aligned} d(q_1, q_4)^2 & \geq d(q_3, q_4)^2 + d(q_1, q_3)^2 - 2d(q_3, q_4) \cdot d(q_1, q_3) \langle \gamma'_3(q_3), \gamma'_1(q_3) \rangle \\ & \geq (2x_0)^2 + 1 - 4x_0 \cos(2\pi/3) \\ & = 1 + 2x_0 + 4x_0^2 > (1+x_0)^2. \end{aligned}$$

Let  $q_5$  be a point on  $\gamma_1$  between  $q_3$  and  $q_4$  with  $d(q_1, q_5) = \ell(\theta_4)$ .

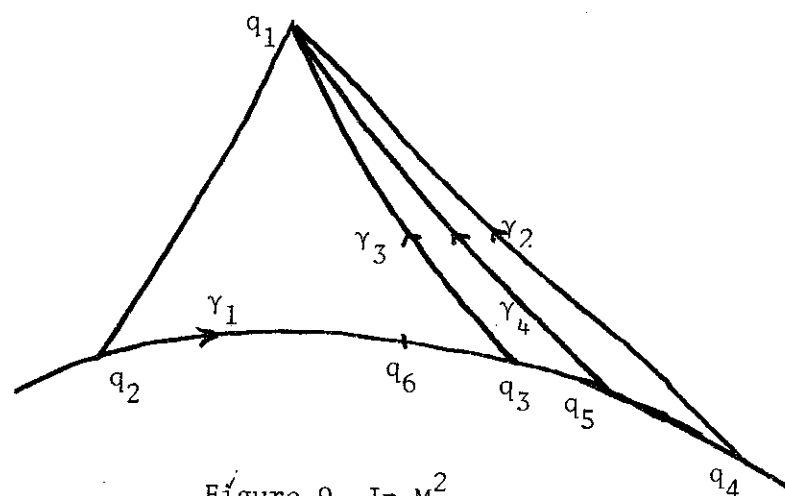
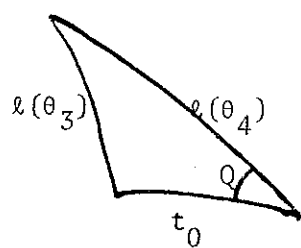
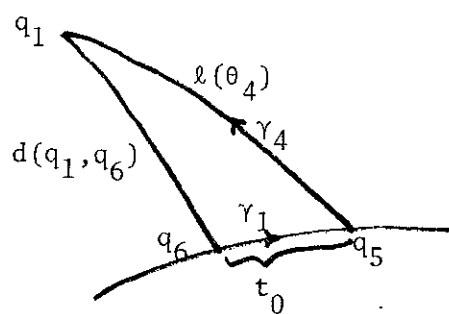
$q_5$  exists by the continuity of the distance function and

$d(q_1, q_3) = 1 \leq \ell(\theta_4) < 1 + \varepsilon_1 \leq 1 + x_0 \leq d(q_1, q_4)$ .  $q_5$  is unique, because, for  $C \leq 0$ , every metric ball is strongly convex in  $M_C^2$ . Let  $\gamma_4$  be the minimal geodesic from  $q_5$  to  $q_1$ . If  $q_5 = \gamma_1(t_1)$ , then set  $q_6 = \gamma_1(t_1 - t_0)$ .  $2x_0 < t_0 \leq \text{Min}(1/2, d_M(q, r))$  and  $1 \leq t_1 \leq 1 + 2x_0$  imply that  $1/2 \leq t_1 - t_0 < 1$ . Consider any geodesic triangle in  $M_C^2$  with sides of length  $t_0, \ell(\theta_3)$ , and  $\ell(\theta_4)$ ; and let  $Q$  be the angle between the sides of length  $t_0$  and  $\ell(\theta_4)$ . Figure 10. Such a triangle exists, since those lengths satisfy triangle inequalities. Also consider the geodesic triangle in  $M_C^2$  with vertices  $q_1, q_5, q_6$  given as above. Figure 11.

For  $C \leq 0$ , every metric ball is strongly convex in  $M_C^2$ , so  $d(q_1, q_6) < 1$ . Now suppose that  $\ell(\theta_3) \geq 1$ . Consider the geodesic triangles in  $M_C^2$  mentioned above. Since two of their side lengths are the same, and the third ones are  $\ell(\theta_3)$  and  $d(q_1, q_6)$  and  $\ell(\theta_3) \geq 1 > d(q_1, q_6)$ , by Lemma 2 we conclude that  $Q > \angle(-\gamma_1'(q_5), \gamma_4'(q_5))$ .

By Toponogov's Theorem applied to the geodesic triangle in  $\tilde{M}$  with vertices  $r, p_{j_0}$ , and  $\theta(t_0 + t_r)$  with sides given by the minimal geodesics  $\theta, \theta_3$  and  $\theta_4$ , we will obtain that:

$Q \leq \angle(\theta'(t_r), \theta_4'(0))$ . By Claim 2:  $\angle(\theta_4'(0), -\theta'(t_r)) > \alpha$ . Combining all of the above, we obtain that  $\angle(\gamma_1'(q_5), \gamma_4'(q_5)) > \alpha$ . On the other hand,  $\angle(-\gamma_1'(q_4), \gamma_2'(q_4)) = \alpha_1 \geq \pi - \alpha$ , because  $\alpha = \text{Max}(\pi - \alpha_1, \beta_1(x_0))$ . Therefore,  $\angle(-\gamma_1'(q_4), \gamma_2'(q_4)) + \angle(\gamma_1'(q_5), \gamma_4'(q_5)) > \pi$ .

Figure 9. In  $M_C^2$ .Figure 10. In  $M_C^2$ .Figure 11. In  $M_C^2$ .



By Gauss-Bonnet Theorem, this can not happen for a geodesic triangle in  $M_C^2$ , for  $C$  non-positive. Hence,  $\ell(\theta_3) < 1$ ; and by the minimality of  $\theta_3$ , we conclude that

$d(p_{j_0}, \theta(t_r + t_0)) = \ell(\theta_3) < 1 = i_p$ .  $t_0$  was fixed, but arbitrary, so

$\{\theta(t_r + t) \mid 2x_0 < t \leq \min(1/2, d_M(r, q))\} \subseteq U_{j_0}$ . Lemma 5 QED.

PROOF (Lemma 4).

a) Suppose there exists  $q \in \partial U_0$  such that  $d_M(q, \bar{U}_1) = 3x_0$ . Let  $\theta$  be any minimal geodesic from  $p_1$  to  $q$ . Let  $r$  be the unique element in  $\text{Im}(\theta) \cap \partial \bar{U}_1$ .  $d(q, r) \geq 3x_0$ . Suppose  $d(q, r) > 4x_0$ , then  $d(p_1, q) = d(q, r) + d(r, p_1) > 1 + 4x_0$ .  $\forall r' \in \partial \bar{U}_1$ ,  $d(r', p_1) < 1 + x_0$ ; so  $d(q, r') > 1 + 4x_0 - (1 + x_0) = 3x_0$  and this is a contradiction. Hence,  $3x_0 \leq d(q, r) \leq 4x_0 < 1/2$ . By Lemma 5b,  $q \in U_{j_0}$ , for some  $j_0$ .  $q \in \partial U_0$ , so every neighborhood of  $q$  intersects with  $U_0$ .  $U_{j_0} \cap U_0 \neq \emptyset$ . Therefore,  $U_{j_0} = U_0$ .  $U_0$  is open, so  $\partial U_0 = \bar{U}_0 - U_0$ ,  $\partial U_0 \cap U_0 = \emptyset$  and  $q \in U_{j_0} = U_0$  and  $q \in \partial U_0$ . This is a contradiction.

b) Let  $q \in \bar{U}_2 \cap \bar{U}_0$  be any element, and  $\theta$  be a minimal geodesic from  $p_1$  to  $q$ ; and  $r$  be the unique element in  $\partial \bar{U}_1 \cap \text{Im}(\theta)$ .  $r \neq q$ , by Claim 3. Let  $r \in \partial \bar{U}_{i_0}$ , for some  $i_0$ . By Lemma 5,  $\theta(t_r + t) \in \text{int}(\bar{U}_{i_0})$  for  $0 < t \leq \min(1/2, d_M(r, q))$ . Suppose that  $q \in \text{int}(\bar{U}_{i_0})$ , then:

$$\begin{aligned}
q \in \bar{U}_2 \cap \bar{U}_0 &\Rightarrow q \in \bar{U}_2 \\
&\Rightarrow q \in \bar{U}_2 \cap \text{int}(\bar{U}_{i_0}) \\
&\Rightarrow U_2 \cap \text{int}(\bar{U}_{i_0}) \neq \emptyset \quad (\text{Since } \text{int}(\bar{U}_{i_0}) \text{ is open}) \\
&\Rightarrow U_2 \cap \bar{U}_{i_0} \neq \emptyset \\
&\Rightarrow U_2 \cap U_{i_0} \neq \emptyset \quad (\text{Since } U_2 \text{ is open}) \\
&\Rightarrow U_2 = U_{i_0}.
\end{aligned}$$

Similarly,  $U_0 = U_{i_0}$ . But,  $U_2 \neq U_0$ , which gives a contradiction.

Therefore,  $q \notin \text{int}(\bar{U}_{i_0})$ . Finally,  $d_M(r, q) > 1/2$ .  $\theta$  is a minimal geodesic:

$$d_M(q, p_1) = d_M(q, r) + d_M(r, p_1) \geq 1/2 + 1 = 3/2.$$

Since  $\bar{U}_1$  is compact, there exists a  $q_0 \in \partial \bar{U}_1$  such that

$$d_M(q, q_0) = d_M(q, \bar{U}_1). \text{ Hence,}$$

$$d_M(q, q_0) \geq d_M(q, p_1) - d_M(p_1, q_0) \geq 3/2 - d_{p_1} \geq 3/2 - (1 + \epsilon_1) \geq 1/2 - \epsilon_0.$$

Lemma 4 QED. This concludes the proof of Theorem 1.

REMARK 3. a) In Lemma 5:  $1/2$  can be replaced by any  $\delta$  with  $1/2 \leq \delta < 1$ ; b) We do not need the minimality of  $\theta$  between  $r$  and  $q$  to apply Toponogov's Theorem in Lemma 5b. All we need is the triangle inequality to be satisfied; so  $\text{Min}(1/2, d_M(r, q))$  can be replaced by any  $\delta$  as above.

We will need the following fact in the proof of Theorem 2:

PROPOSITION B. If the hypothesis of Theorem 1 is satisfied and  $\bar{U}_1 \cap \bar{U}_j \neq \emptyset$ , then  $\forall q \in \partial U_j$ ,  $d_M(q, \bar{U}_1) \leq 2x_0$ .

PROOF. It follows from the proof of Lemma 4, Theorem 1 by the connectedness of  $\partial U_j$  and taking  $2x_0 + \delta$  instead of  $3x_0$  in Lemma 4a, for any  $\delta > 0$ . Also, Lemma 4a does not use the existence of  $U_2$ , it only depends on the existence of  $U_0$  and  $U_1$ , so it is applicable to this case; since the contradiction of the proof of Theorem 1 comes from the existence of  $U_2$  only.

LEMMA 6. If  $C_1 \leq C_2$ , then  $x_0(C_1) \leq x_0(C_2)$ .  $x_0(0) < 1/10$ .

PROOF. Let  $\beta_i(x, C)$  denote  $\beta_i(x)$  in  $M_C^2$ ,  $i=1,2$ , in the construction of  $\varepsilon_1(C)$  of Theorem 1. By Toponogov's Theorem:

$\beta_i(x, C_2) \geq \beta_i(x, C_1)$ , for  $i=1,2$ ; and hence,  $x_0(C_2) \geq x_0(C_1)$ .

Fix  $C=0$ . An elementary calculation shows that:

$\beta_1(1/10, 0) = \arccos(-79/121)$  and  $\beta_2(1/10, 0) = \arccos(-5/13)$ ; hence,

$\sin(\beta_1(1/10, 0) + 2\beta_2(1/10, 0)) < 0$ . Since  $\beta_i < \pi$ , we have

$2\beta_2(1/10, 0) + \beta_1(1/10, 0) < 3\pi$ . Consequently,

$2\beta_2(1/10, 0) + \beta_1(1/10, 0) < 2\pi$ . Therefore,  $x_0(0) < 1/10$ .

REMARK 4. a) Example 2 of Section 5 shows that  $\lim_{C \rightarrow -\infty} \varepsilon_1(C) = 0$ .

b) For  $C=0$ ; if we solve the system of equations:

$$\cos \beta_1 = 1 - 2/(1+x)^2,$$

$$\cos \beta_2 = (5x-1)/(3x+1),$$

$$2\beta_2 + \beta_1 = 2\pi;$$

then we will obtain that  $x_0(0)$  is a solution of  $16x^4 + 16x^3 - 7x^2 - 10x + 1 = 0$ . It is obvious that the derivative of this polynomial is negative for  $0 < x < 1/10$ . So, there is only one root in  $[0, 1/10]$ . An approximate solution is  $x_0(0) \approx 0.095$ .

Since  $\pi - \alpha_1(0) < \beta_1(x_0(0))$ , we have  $\varepsilon_1'(0) = x_0(0)$ ; and  $\varepsilon_1(0) \approx 0.087$ .

### SECTION 3. THE NON-SIMPLY CONNECTED CASE.

Theorem 1 of Section 2 shows that a compact Riemannian manifold  $M^n$  with  $i_p$  close to  $d_p$  (for some  $p \in M$ ); in terms of the lower bound of the sectional curvature, has fundamental group  $\mathbb{Z}_2$  or trivial. In this section, more restrictions on the topology of these manifolds with the fundamental group  $\mathbb{Z}_2$  will be obtained by imposing a slightly stronger hypothesis.

THEOREM 2. Given  $C \in \mathbb{R}$ , there exists a universal constant  $\varepsilon_2(C)$ , only depending on  $C$ , such that: For any compact Riemannian manifold  $M^n$ ,  $n \geq 2$ , if

- i)  $d_M^2 \cdot K_M \geq C$ ,
- ii) There exists a point  $p$  in  $M$  such that  $i_M/d_p > 1 - \varepsilon_2(C)$ , and
- iii)  $\pi_1(M) = \mathbb{Z}_2$ ;

then, a)  $M^n$  is oriented if and only if  $n$  is odd,

b)  $H^*(M^n, \mathbb{Z}) \cong H^*(\mathbb{RP}^n, \mathbb{Z})$ , the isomorphism is naturally induced by a map from  $\mathbb{RP}^n$  to  $M^n$ . Moreover,  $M^n$  has the homotopy type of  $\mathbb{RP}^n$ .

PROOF. We construct  $\varepsilon_2(C)$  as follows: Given  $C \in \mathbb{R}$ .

Case for  $C \leq 0$ . Let  $x \in [0, 1/4)$ . Consider the following two geodesic triangles in  $M_C^2$ . The first one has sides of length

1, 1,  $1-4x$ , and the second one has sides of length 1, 1,  $2-4x$ .

Figures 12 and 13. Let  $\beta_3(x)$  and  $\beta_4(x)$  be the angles between the sides of length 1 in the first and second triangles, respectively.

$\beta_3(x)$  and  $\beta_4(x)$  are strictly decreasing continuous functions of  $x$ . By a similar argument as in Theorem 1, using Lemma 2.

$\beta_3(0) + \beta_4(0) > \beta_4(0) = \pi$ , and  $\beta_3(1/4) + \beta_4(1/4) = \beta_4(1/4) < \pi$ . Therefore, there exists  $x_1 \in (0, 1/4)$  such that  $\beta_3(x_1(C)) + \beta_4(x_1(C)) = \pi$ . Let  $x_2(C) = \min(x_1(C), x_0(C))$ , where  $x_0(C)$  is as in Theorem 1. Let  $q_1, q_2$  and  $q_3$  be points of  $M_C^2$  with  $d(q_1, q_2) = d(q_1, q_3) = d(q_2, q_3) = 1$ ,  $\gamma_1$  be the minimal geodesic from  $q_2$  to  $q_3$  and  $\gamma_1$  be defined beyond  $q_3$ , as in the construction of  $\varepsilon_1(C)$  of Theorem 1.

Set  $q'_4 = \gamma_1(1 + 2x_2(C))$ , and let  $\gamma_2$  be the minimal geodesic from  $q'_4$  to  $q_1$ . If  $\alpha_2 = \angle(-\gamma'_1(q'_4), \gamma'_2(q'_4))$ , then define  $\varepsilon'_2(C) = \min(x_2(C), \beta_1^{-1}(\pi - \alpha_2))$ , and  $\varepsilon_2(C) = 1 - (1 + \varepsilon'_2(C))^{-1}$ . Figure 14.

Case for  $C > 0$ . Set  $\varepsilon_2(C) = \varepsilon_2(0)$ .

Let  $M^n$  and  $p \in M^n$  be as in the hypothesis. We normalize the metric by multiplying by  $1/i_M$ . With the new metric, the hypothesis becomes:

i) If  $\min(K_M) \leq 0$ , then  $K_M \geq \min(K_M) = i_M^2 \cdot \min(K_M) \geq d_M^2 \cdot \min(K_M) \geq C$ .

If  $K_M > 0$ , then  $K_M \geq 0$ , obviously. In short,  $K_M \geq \min(C, 0)$ .

ii)  $i_M/d_p > 1 - \varepsilon_2(C)$ , or equivalently,  $i_M = 1$  and  $1 = i_M \leq d_p < 1 + \varepsilon'_2(C)$ ,

iii)  $\pi_1(M) = \mathbb{Z}_2$ . Recall Remark 1 of Section 2.

Let  $U = M - C_p$ , and construct  $U_0$  and  $U_1$  in  $\tilde{M}$  as in Section 1. We have  $p_i \in U_i$ ,  $\rho(p_i) = p$ , for  $i=1,2$ ,  $U_0 \cap U_1 = \emptyset$  and  $\overline{U_0} \cup \overline{U_1} = \tilde{M}$ .

To prove Theorem 2, we need Lemmas 7 and 8.

LEMMA 7. For any  $w \in UM_p$ ,  $d_M(\exp_p w, \exp_p -w) < 1 = i_M$ ; if the hypothesis of Theorem 2 holds true.

PROOF of Lemma 7. Given any  $v \in UM_{p_0}$ , let  $q(v) = \exp_{p_0} v$  and  $r(v) = \exp_{p_0}(c_p(\rho_*(v)) \cdot v)$ .  $d_M(q(v), r(v)) \leq c_p(\rho_*(v)) - 1 \leq d_p - i_p$ , and obviously by the hypothesis:  $d_p - i_p < \varepsilon'_2(C) \leq x_2(C)$ . Since  $d_p < 1 + \varepsilon'_2(C) \leq 1 + x_2$ ,  $\varepsilon'_2(C) = \min(x_2(C), \beta_1^{-1}(\pi - \alpha_2))$ ,  $x_2(C) \leq x_0(C)$  and  $\alpha_2$  is constructed in a similar way to  $\alpha_1$ ;  $x_0$  can be replaced by  $x_2$  in the proof of Lemmas 4a and 5b and therefore in Proposition B, with the hypothesis of Theorem 2. So,

$d_M(r(v), \overline{U_1}) \leq 2x_2(C)$ , since  $r(v) \in \exp_{p_0}((\rho_*(p_0))^{-1}(\partial A_p)) = \partial U_0$ .

$\overline{U_1}$  is compact, so there exists  $s(v) \in \partial \overline{U_1}$  such that

$d_M(s(v), r(v)) = d_M(r(v), \overline{U_1})$ .

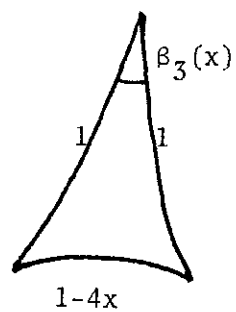
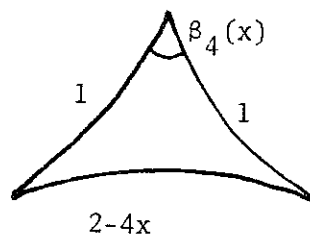
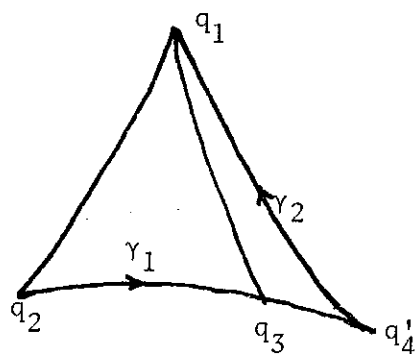
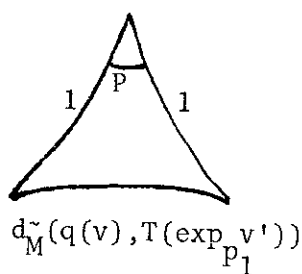
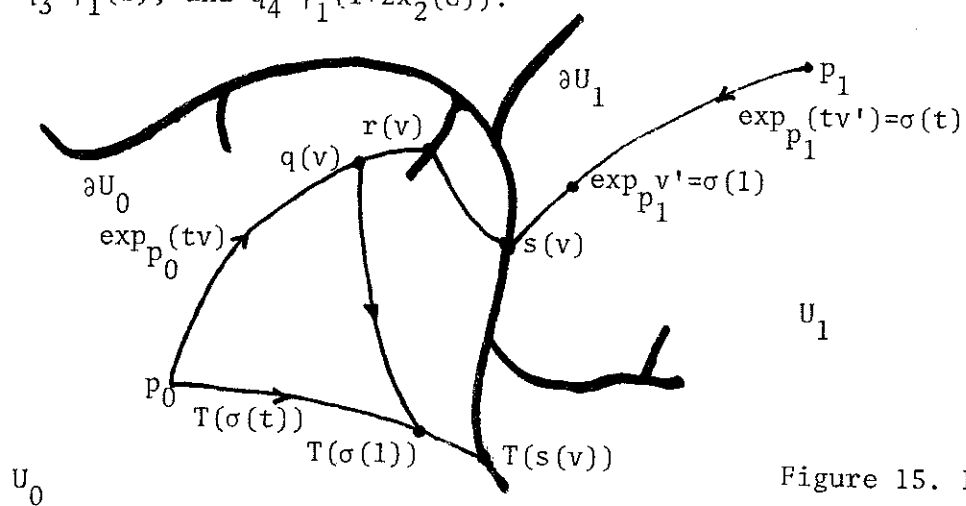
$s(v) \in \partial \overline{U_1} \subseteq \partial U_1 = \exp_{p_1}((\rho_*(p_1))^{-1}(\partial A_p))$ ; hence, there exists

$v' \in UM_{p_1}$  such that  $s(v) = \exp_{p_1}(c_p(\rho_*(v')) \cdot v')$ . Obviously  $v'$

depends only on  $v$ .

$d_M(\exp_{p_0} v, \exp_{p_1} v') \leq d_M(\exp_{p_0} v, r(v)) + d_M(r(v), s(v)) + d_M(s(v), \exp_{p_1} v')$

$$< x_2 + 2x_2 + x_2 = 4x_2(C).$$

Figure 12. In  $M_C^2$ .Figure 13. In  $M_C^2$ .Figure 14. In  $M_C^2$ , where  $q_2 = \gamma_1(0)$ ,  $q_3 = \gamma_1(1)$ , and  $q_4' = \gamma_1(1+2x_2(C))$ .Figure 16. In  $M_C^2$ .Figure 15. In  $\tilde{M}$ .



Let  $T$  be the non-trivial deck transformation on  $\tilde{M}$ , i.e.

$\rho(T(m)) = \rho(m)$ ,  $T(m) \neq m$ ,  $T^2(m) = m$ , for all  $m \in \tilde{M}$ . Recall that  $T$  is an isometry, since  $\rho$  is a local isometry as a covering map.

$d_M^{\sim}(m, T(m)) \geq 2i_M = 2$  for all  $m \in \tilde{M}$ . Proof of this fact is the same as Claim 1 of Theorem 1. (Klingenberg's Lemma) Therefore:

$$\begin{aligned} d_M^{\sim}(q(v), T(\exp_{p_1} v')) &\geq d_M^{\sim}(\exp_{p_1} v', T(\exp_{p_1} v')) - d_M^{\sim}(q(v), \exp_{p_1} v') \\ &> 2 - 4x_2(C) \geq 2 - 4x_1(C). \end{aligned}$$

Let  $\sigma(t) = \exp_{p_1}(tv')$ . Consider the geodesic triangles in  $\tilde{M}$  with vertices  $p_0$ ,  $q(v)$ , and  $T(\exp_{p_1} v')$  and sides are given by the minimal geodesics  $\exp_{p_0}(tv)$ ,  $0 \leq t \leq 1$ ;  $T(\exp_{p_1}(tv')) = T(\sigma(t))$ ,  $0 \leq t \leq 1$ ; and any minimal geodesic from  $q(v)$  to  $T(\sigma(1)) = T(\exp_{p_1}(v'))$ .

Figure 15. We have:

$$\begin{aligned} d_M^{\sim}(q(v), p_0) &= 1, \\ d_M^{\sim}(p_0, T(\sigma(1))) &= d_M^{\sim}(T(p_0), \sigma(1)) = d_M^{\sim}(p_1, \sigma(1)) = 1, \\ d_M^{\sim}(q(v), T(\sigma(1))) &> 2 - 4x_1(C). \end{aligned}$$

Take any geodesic triangle in  $M_C^2$  with side lengths 1, 1, and  $d_M^{\sim}(q(v), T(\sigma(1)))$ . Figure 16. Let  $P$  be the angle between the sides of length 1. By Toponogov's Theorem,  $\angle(v, T_*(v')) \geq P$ , since  $T(\sigma(t)) = T(\exp_{p_1}(tv')) = \exp_{p_0}(t \cdot T_*(v'))$ . On the other hand, by Lemma 2,  $P > \beta_4(x_1(C))$ ; since  $d_M^{\sim}(q(v), T(\sigma(1))) > 2 - 4x_1(C)$  and Figures 13 and 16.

Therefore,  $\angle(v, T_*(v')) > \beta_4(x_1(C))$ .

$\angle(-v, T_*(v')) < \pi - \beta_4(x_1) = \beta_3(x_1)$ . Consider the geodesic hinge in  $\tilde{M}$  with vertices  $\exp_{p_0}^{-v}$ ,  $p_0$  and  $T(\exp_{p_1} v')$ , with minimal geodesics  $\exp_{p_0}^{-tv}$  from  $p_0$  to  $\exp_{p_0}^{-v}$  and  $T(\exp_{p_1} tv')$  from  $p_0$  to  $T(\exp_{p_1} v')$ . Also consider the geodesic triangle with side lengths 1, 1, and  $1 - 4x_1(C)$  in  $M_C^2$ , Figure 12. Apply Toponogov's Theorem and Lemma 2, in a similar fashion as above to obtain  $d_M(\exp_{p_0}^{-v}, T(\exp_{p_1} v')) < 1 - 4x_1(C)$ , by taking a hinge in  $M_C^2$  of two minimal geodesics of length 1, beginning from the same point with an angle between them  $\angle(-v, T_*(v'))$ .

Let  $w \in UM_p$  be any element. There exists a unique  $v \in \tilde{UM}_{p_0}$  such that  $\rho_*(v) = w$ . Choose  $v'$  as above depending on  $v$  and hence, on  $w$ . Since,  $\forall m_1, m_2 \in \tilde{M}$ ,  $d_M(m_1, m_2) \geq d_M(\rho(m_1), \rho(m_2))$ ,  $\rho \circ T = \rho$ ,  $\rho$  is a local isometry and  $\rho$  commutes with  $\exp$  by the diagram in Section 1; we have:

$$\begin{aligned} d_M(\exp_p w, \exp_p^{-w}) &\leq d_M(\exp_p^{-w}, \rho(T(\exp_{p_1} v'))) + d_M(\rho(\exp_{p_1} v'), \exp_p w) \\ &\leq d_M(\exp_{p_0}((\rho_*(p_0))^{-1}(-w)), T(\exp_{p_1} v')) + d_M(\exp_{p_1} v', \exp_{p_0}((\rho_*(p_0))^{-1}w)) \\ &= d_M(\exp_{p_0}^{-v}, T(\exp_{p_1} v')) + d_M(\exp_{p_1} v', \exp_{p_0} v) \\ &< 1 - 4x_1(C) + 4x_2(C) \leq 1 = i_M. \end{aligned}$$

Therefore,  $d_M(\exp_p w, \exp_p^{-w}) < 1$  and this does not depend on the

choice of  $v'$ .  $w$  was arbitrary, so it is true for all  $w \in UM_p$ .

Lemma 7 QED.

From the proof above, we also conclude that:

LEMMA 7B.  $\forall v \in \tilde{UM}_{p_0}$ ,  $d_M(T(\exp_{p_0} -v), \exp_{p_0} v) < 1 = i_M \leq i_M^{\sim}$ .

PROOF.

$$\begin{aligned} d_M(T(\exp_{p_0} -v), \exp_{p_0} v) &\leq d_M(T(\exp_{p_0} -v), \exp_{p_1} v') + d_M(\exp_{p_1} v', \exp_{p_0} v) \\ &= d_M(\exp_{p_0} -v, T(\exp_{p_1} v')) + d_M(\exp_{p_1} v', \exp_{p_0} v) < 1 \end{aligned}$$

from the proof of Lemma 7. QED.

LEMMA 8. There exists a continuous function  $f: \mathbb{R}P^n \rightarrow M$  such that  $f: f^{-1}(B_r(p, M)) \rightarrow B_r(p, M)$  is a diffeomorphism, for some  $r > 0$ , and  $f(B_{r'}(a, \mathbb{R}P^n)) = B_{r'}(p, M)$  for all  $r' \leq r$  and for some  $a \in \mathbb{R}P^n$ ; if the hypothesis of Theorem 2 holds true.

PROOF. Given any  $w \in UM_p$ , there exists a unique minimal geodesic  $\theta_w$  from  $\exp_p w$  to  $\exp_p -w$ , since  $d_M(\exp_p w, \exp_p -w) < i_M$ .  $\ell(\theta_w) = d_M(\exp_p w, \exp_p -w) = \ell(\theta_{-w})$ . Fix a point  $a \in \mathbb{R}P^n(1)$  and  $\psi$  be an isometry of  $T\mathbb{R}P_a^n$  onto  $TM_p^n$ .

$$\begin{array}{ccc}
 \overline{B}_{\pi/2}(0, \text{TRP}_a^n) & \xrightarrow{\psi} & \overline{B}_{\pi/2}(0, \text{TM}_p) \\
 \downarrow \exp_a & & \downarrow h \\
 \mathbb{RP}^n & \xrightarrow{f} & M^n
 \end{array}
 \quad \text{where}$$

$$h(y) = \begin{cases} \exp_p y & \text{if } \|y\| \leq 1; \\ \theta_{y/\|y\|} \left( \frac{\|y\| - 1}{\pi - 2} \cdot \ell(\theta_{y/\|y\|}) \right), & \text{if } 1 < \|y\| \leq \pi/2. \end{cases}$$

By symmetry,  $\theta_w(t) = \theta_{-w}(\ell(\theta_w) - t)$  and hence,

$\theta_w(\ell(\theta_w)/2) = \theta_{-w}(\ell(\theta_w)/2)$ . If  $w_1, w_2 \in \text{TRP}_a^n$  with  $\pi/2 = \|w_1\| = \|w_2\|$ ; then  $(\exp_a w_1 = \exp_a w_2 \text{ if and only if } w_1 = \pm w_2)$ . Let  $w \in \text{TRP}_a^n$  such that  $\|w\| = \pi/2$ .

$$\begin{aligned}
 h(\psi(w)) &= \theta_{\psi(w)/\|\psi(w)\|} \left( \frac{\pi/2 - 1}{\pi - 2} \cdot \ell(\theta_{\psi(w)/\|\psi(w)\|}) \right) \\
 &= \theta_{-\psi(w)/\|\psi(w)\|} (\ell(\theta_{\psi(w)/\|\psi(w)\|})/2) = h(\psi(-w)).
 \end{aligned}$$

Since  $\exp_a$  is one-to-one on the interior of  $\overline{B}_{\pi/2}(0, \text{TRP}_a^n)$ ; by above, there exists a unique well-defined function  $f: \mathbb{RP}^n \rightarrow M$  which makes the diagram above commutative.

CLAIM:  $f$  is continuous.

Continuity of  $f$  on  $\exp_a(B_1(0, \text{TRP}_a^n))$  is obvious, because it is defined by diffeomorphisms on the interior.

Let  $t_n \in [0, 1]$ ,  $n \in \mathbb{N}$ , such that  $t_n \rightarrow t_0$  as  $n \rightarrow \infty$ ; and  $w_n \in \text{UM}_p$ ,  $n \in \mathbb{N}$ , such that  $w_n \rightarrow w_0$  as  $n \rightarrow \infty$ . Consider the sequence  $q_n = \theta_{w_n}(t_n \cdot \ell(\theta_{w_n}))$ . By compactness of  $M$ , this sequence has a convergent subsequence. Take any convergent subsequence  $q_{n_k}$ ,

let  $q_{n_k} \rightarrow q$ . By the continuity of the exponential map and the distance function and  $d_M(q_{n_k}, \exp_p w_{n_k}) = t_{n_k} \cdot \ell(\theta_{w_{n_k}})$ , we obtain that  $\exp_p w_{n_k} \rightarrow \exp_p w_0$ ,  $d_M(q_{n_k}, \exp_p w_{n_k}) \rightarrow d_M(q, \exp_p w_0)$  and  $t_{n_k} \cdot \ell(\theta_{w_{n_k}}) \rightarrow t_0 \cdot \ell(\theta_{w_0})$ . Therefore,  $d_M(q, \exp_p w_0) = t_0 \cdot \ell(\theta_{w_0})$ . By the same argument:  $d_M(q, \exp_p -w_0) = (1-t_0) \cdot \ell(\theta_{w_0})$ . There is exactly one point  $q \in M$  with these properties, otherwise we would obtain two curves from  $\exp_p w_0$  to  $\exp_p -w_0$  of length  $\ell(\theta_{w_0}) = d_M(\exp_p w_0, \exp_p -w_0)$ ; and this is not the case. So,  $q = \theta_{w_0}(t_0 \cdot \ell(\theta_{w_0}))$ .  $q_{n_k}$  was any convergent subsequence of  $q_n$ ; by compactness of  $M$ , we have  $\theta_{w_n}(t_n \cdot \ell(\theta_{w_n})) \rightarrow \theta_{w_0}(t_0 \cdot \ell(\theta_{w_0}))$ . Any convergence sequence in  $\mathbb{R}P^n - \exp_a(B_1(0, \mathbb{R}P_a^n))$  can be written of the form  $\exp_a w_n(1+t_n(\pi-2))$  where  $w_n, t_n$  as above, for  $n$  large.  $\theta_w(0) = \exp_p w$  and  $\theta_w(t \cdot \ell(\theta_w)) = \theta_{-w}((1-t) \cdot \ell(\theta_w))$ , for  $0 \leq t \leq 1$ . Now the continuity of  $f$  follows easily.

Although  $f$  is continuous, it may not be smooth. But, it is smooth everywhere except on  $\exp_a(\partial B_1(0, \mathbb{R}P_a^n))$ . See above.

$\ell(\theta_w) < 1$  and  $d_M(p, \exp_p w) = d_M(p, \exp_p -w) = 1$ , so  $\theta_w$  never passes through  $p$ . Let  $r \in \mathbb{R}$  be such that

$$1 > \min_{w \in \cup_p} \left( \min_{0 \leq t \leq \ell(\theta_w)} d_M(p, \theta_w(t)) \right) = 2r > 0.$$

Therefore,  $f^{-1}(B_r(p, M)) = B_r(a, \mathbb{R}P^n)$  and on this set  $f$  is defined by non-singular one-to-one exponential maps, so it is a diffeomorphism onto  $B_r(p, M)$ . The second part of the conclusion is obvious from the construction of  $f$ . Lemma 8 QED.

We complete the proof of Theorem 2 as follows:

By Lemma 7B;  $\forall v \in \tilde{U}_{p_0}^M$ ,  $d_M(\exp_{p_0} v, T(\exp_{p_0} -v)) < l = i_{M=M}$ .

Let  $\tilde{\theta}_v$  be the unique minimal geodesic from  $\exp_{p_0} v$  to

$T(\exp_{p_0} -v)$ .  $\rho(\tilde{\theta}_v)$  is a geodesic from  $\rho(\exp_{p_0} v) = \exp_p(\rho_*(v))$

to  $\rho(T(\exp_{p_0} -v)) = \exp_p(-\rho_*(v))$ , whose length is  $< l = i_M$ .

Therefore  $\rho(\tilde{\theta}_v) = \theta_{\rho_*(v)}$ . Define

$$\gamma_v(t) = \begin{cases} \exp_{p_0} tv & \text{if } 0 \leq t \leq 1, \\ \tilde{\theta}_v((t-1) \cdot \frac{l(\tilde{\theta}_v)}{\pi-2}) & \text{if } 1 < t \leq \pi-1, \\ T(\exp_{p_0} (-v(\pi-t))) & \text{if } \pi-1 < t \leq \pi. \end{cases}$$

Clearly,  $\gamma_v(t)$  is a continuous curve from  $p_0$  to  $p_1$ . Hence, it represents the non-trivial element of  $\pi_1(M)$ . On the other hand,

$$f(\exp_a(t(\psi^{-1}(\rho_*(v)))) = \begin{cases} \exp_p(t\rho_*(v)) & \text{if } t \in [0, 1], \\ \theta_{\rho_*(v)}((t-1) \cdot \frac{l(\theta_{\rho_*(v)})}{\pi-2}) & \text{if } t \in (1, \pi-1], \\ \exp_p(-\rho_*(v)(\pi-t)) & \text{if } t \in (\pi-1, \pi]. \end{cases}$$

Clearly,  $f(\exp_a(t(\psi^{-1}(\rho_*(v)))) = \rho(\gamma_v(t))$ .

Recall that  $\exp_a tv = \exp_a (t-\pi)v$  for all  $v \in \mathbb{U}\mathbb{R}P_a^n$ , for the above equality. Hence,  $f_*: \pi_1(\mathbb{R}P^n) \rightarrow \pi_1(M)$  is an isomorphism. By

Lemma 8,  $f_*: H_n(\bar{B}_{r/2}(a, \mathbb{R}P^n), \bar{B}_{r/2}(a, \mathbb{R}P^n) - a) \rightarrow$

$H_n(\bar{B}_{r/2}(p, M), \bar{B}_{r/2}(p, M) - p)$  is an

isomorphism; and consequently,

$f_*: H_n(\mathbb{R}P^n, \mathbb{R}P^n - a) \rightarrow H_n(M, M - p)$  is an isomorphism by excision. In other words,  $f$  has local degree  $\pm 1$ , with  $\mathbb{Z}$ -coefficients.

$M^n$  or  $\mathbb{R}P^n$  may not be  $\mathbb{Z}$ -orientable, but if we use  $\mathbb{Z}_2$  coefficients:

$$\begin{array}{ccc} \mathbb{Z}_2 \cong H_n(\mathbb{R}P^n, \mathbb{R}P^n - a; \mathbb{Z}_2) & \xrightarrow[\cong]{f_*} & H_n(M, M - p; \mathbb{Z}_2) \cong \mathbb{Z}_2 \\ \cong \uparrow & & \uparrow \cong \\ \mathbb{Z}_2 \cong H_n(\mathbb{R}P^n, \mathbb{Z}_2) & \xrightarrow{f_*} & H_n(M, \mathbb{Z}_2) \cong \mathbb{Z}_2 \end{array}$$

By commutativity,  $f$  induces an isomorphism of  $H_n(\mathbb{R}P^n, \mathbb{Z}_2)$  onto  $H_n(M, \mathbb{Z}_2)$ , and hence, it induces isomorphisms on  $H^0$ ,  $H_0$ ,  $H^n$  levels with  $\mathbb{Z}_2$  coefficients, by the duality of  $H^0$  and  $H_0$ , and Poincaré duality.

Hence,  $f_*: H^n(M, \mathbb{Z}_2) \rightarrow H^n(\mathbb{R}P^n, \mathbb{Z}_2) \cong \mathbb{Z}_2$  is an isomorphism.

We follow [SA] and [B-1, pg.135-141] in the following; to obtain the cohomology ring of  $M$ . Although the results of Samelson are obtained under different hypotheses, he uses only the existence of a continuous function from  $\mathbb{R}P^n$  to  $M$  of local degree  $\pm 1$ , and the rest of his arguments do not use any other assumption. Those proofs are purely algebraic topological, so they are

applicable to our case.

CLAIM:  $f^*: H^*(M, \mathbb{Z}_2) \rightarrow H^*(\mathbb{RP}^n, \mathbb{Z}_2)$  is an isomorphism.

To prove this, given any  $e \in H^*(M, \mathbb{Z}_2), e \neq 0$ , there exists  $e' \in H^*(M, \mathbb{Z}_2)$  such that  $e \cup e' = [M]$  where  $[M]$  is the generator of  $H^n(M, \mathbb{Z}_2)$ , by Poincaré duality and the duality of  $H_k$  and  $H^k$  with field coefficients.  $f^*(e \cup e') = f^*(e) \cup f^*(e') = f^*([M]) = [\mathbb{RP}^n] \neq 0$ , thus  $f^*$  is injective. Hence,  $H^*(M, \mathbb{Z}_2)$  is isomorphic to a subring of  $H^*(\mathbb{RP}^n, \mathbb{Z}_2)$  which is a truncated polynomial algebra with one generator in  $H^1(\mathbb{RP}^n, \mathbb{Z}_2)$ .  $\pi_1(M) = \mathbb{Z}_2 = H_1(M, \mathbb{Z}_2) = H^1(M, \mathbb{Z}_2)$ . This completes the argument for the claim.

By [SA], Proposition C:  $M^n$  is oriented if and only if  $n$  is odd.

Whenever  $n$  is odd, both  $M^n$  and  $\mathbb{RP}^n$  are  $\mathbb{Z}$ -orientable, and  $f_*$  has local and global degree  $\pm 1$  with  $\mathbb{Z}$ -coefficients. The proof above does not work, since we do not have field coefficients; but  $f^*: H^*(M, \mathbb{Z}) \rightarrow H^*(\mathbb{RP}^n, \mathbb{Z})$  is still injective, see [Bw], pg. 8, Theorem I.2.5.

By [SA], Theorems D, E:  $f^*: H^*(M, \mathbb{Z}) \rightarrow H^*(\mathbb{RP}^n, \mathbb{Z})$  is an isomorphism, for  $n$  odd or even.

By a similar argument as in Samelson's proof, a stronger conclusion can be obtained as follows: There exists a unique function  $\tilde{f}: S^n \rightarrow \tilde{M}$  which makes the following diagram commutative:



$$\begin{array}{ccc}
 S^n & \xrightarrow{\tilde{f}} & \tilde{M} \\
 \rho' \downarrow & \searrow f \circ \rho' & \downarrow \rho \\
 \mathbb{RP}^n & \xrightarrow{f} & M
 \end{array}$$

Let  $a' \in S^n$  such that  $\rho'(a') = a$ , and  $w \in US^n_{a'}$ . Define  $\zeta(w)$  to be  $((\rho_*(p_0))^{-1} \circ \psi \circ \rho_*!)(w)$ . In fact, a straightforward calculation shows that  $(f \circ \rho')(\exp_{a'} tw) = f(\exp_a t\rho_*!(w)) = \rho(\gamma_{\zeta(w)}(t))$ , for  $0 \leq t \leq \pi$ . Therefore,  $\tilde{f}(\exp_{a'} tw) = \gamma_{\zeta(w)}(t)$  which is also equal to:

$$\begin{cases} \exp_{p_0} t\zeta(w) & \text{if } 0 \leq t \leq 1, \\ \tilde{\theta}_{\zeta(w)}((t-1) \cdot \frac{\ell(\tilde{\theta}_{\zeta(w)})}{\pi-2}) & \text{if } 1 \leq t \leq \pi-1, \\ T(\exp_{p_0}(\pi-t) \cdot (-\zeta(w))) & \text{if } \pi-1 < t \leq \pi; \text{ for all } t \in [0, \pi]. \end{cases}$$

Hence,  $\tilde{f}^{-1}(B_r(p_0, \tilde{M})) = B_r(a', S^n)$  and  $\tilde{f}: B_r(a', S^n) \rightarrow B_r(p_0, \tilde{M})$  is a diffeomorphism.  $\tilde{f}$  has local degree  $\pm 1$  on this open set, that gives  $\tilde{f}_*: H_n(S^n, S^n - a') \xrightarrow{\cong} H_n(\tilde{M}, \tilde{M} - p_0)$ .  $\tilde{M}$  and  $S^n$  are both oriented, so  $\tilde{f}_*: H_n(S^n, \mathbb{Z}) \xrightarrow{\cong} H_n(\tilde{M}, \mathbb{Z})$ . By [Bw], pg. 8, Theorem I.2.5;  $\tilde{f}^*: H^*(\tilde{M}) \rightarrow H^*(S^n)$  is injective and hence, an isomorphism. By Whitehead's Theorem,  $\tilde{f}$  induces isomorphisms for all homotopy groups, so  $\tilde{M}$  is a homotopy sphere. By [LM], pg. 43,  $M$  has the homotopy type of  $\mathbb{RP}^n$ , since the  $\mathbb{Z}_2$  action on  $\tilde{M}$  which yields  $M$  as a quotient is a smooth action. Theorem 2 QED.

An elementary calculation for  $C=0$  shows that:

$x_1(0) = (3 - 7^{1/2})/8$ ; so  $x_2(0) = x_1(0)$  and  $\alpha_2(0) > \alpha_1(0)$ . Hence,

$\beta_1^{-1}(\pi - \alpha_2(0)) > \beta_1^{-1}(\pi - \alpha_1(0))$ . Finally,  $\varepsilon_2'(0) = x_2(0)$  and

$\varepsilon_2(0) = (13 - 4 \cdot 7^{1/2})/57 \approx 0.04$ .

# SECTION 4. A SPECIAL CASE: CONJUGATE LOCUS BOUNDED AWAY FROM THE CUT LOCUS.

In this section, the case in which the first conjugate locus of a particular point is bounded away from the cut locus of the same point will be investigated. The main results are Theorems 3, 4, and 5B. Before proving these theorems, some preliminary results are needed.

LEMMA 9 ([GKM], pg 198). Let  $M$  be a complete Riemannian manifold,  $p \in M$  and  $\exp_p: B_R(0, TM_p) \rightarrow M$  be of maximal rank. Given  $v, w \in B_R(0, TM_p)$  such that  $v \neq w$ , and  $\exp_p v = \exp_p w =: r \in M$ . For  $t_0 \in [0, 1]$  fixed, let  $q = \exp_p t_0 v$ ,  $c_0: [0, 1] \rightarrow M$  be the geodesic given by  $c_0(t) = \exp_p t t_0 v$ , from  $p$  to  $q$ , and  $c_1: [0, 1] \rightarrow M$  be the broken geodesic given by

$$c_1(t) = \begin{cases} \exp_p(2tw) & \text{if } 0 \leq t \leq 1/2, \\ \exp_p((1-(2t-1)(1-t_0))v) & \text{if } 1/2 < t \leq 1. \end{cases}$$

For any homotopy  $H: [0, 1] \times [0, 1] \rightarrow M$  between  $c_0$  and  $c_1$ , fixing the end points, i.e.  $H(0, t) = c_0(t)$  and  $H(1, t) = c_1(t)$ , for all  $t \in [0, 1]$ , and  $H(s, 0) = p$ ,  $H(s, 1) = q$ , for all  $s \in [0, 1]$ , then there exists  $s_0 \in [0, 1]$  so that  $\ell(c_0) + \ell(H(s_0, t)) \geq 2R$ .

PROOF. See [GKM], pg. 198-199.

LEMMA 10. For all  $C \in \mathbb{R}$ , for all  $\alpha \in (0, \pi)$ , there exists  $\varepsilon = \varepsilon(\alpha, C) > 0$  such that: for any compact Riemannian manifold  $M^n$  with  $K_M \cdot i_M^2 \leq C$ , and if there exists a point  $p \in M$  with

$$i) \quad i_M/d_p > 1 - \varepsilon(\alpha, C) \text{ and}$$

$$ii) \quad \exp_p: \bar{B}_d(0, TM_p) \rightarrow M \text{ is of maximal rank;}$$

then, for any  $q \in C_p$  and for any two distinct minimal geodesics  $\gamma_1, \gamma_2$  from  $p$  to  $q$ , we have  $\angle(\gamma_1'(q), \gamma_2'(q)) > \alpha$ .

PROOF.  $\varepsilon(\alpha, C)$  will be constructed as follows: Given  $C \in \mathbb{R}$  and  $\alpha \in (0, \pi)$ . Case for  $C \leq 0$ : Let  $x \in [0, \infty)$ . Consider a geodesic triangle in  $M_C^2$  with sides of length 1,  $x + 1/2$ , and  $x + 1/2$ . Figure 17. Let  $\beta_5(x)$  be the angle between the sides of length  $x + 1/2$ . By Lemma 2,  $\beta_5(x)$  is a strictly decreasing function of  $x$ , for all  $x \in [0, \infty)$ . In fact,  $\lim_{x \rightarrow \infty} \beta_5(x) = 0$ . Obviously  $\beta_5(0) = \pi$ . Define  $\varepsilon'(\alpha, C) = \beta_5^{-1}(\alpha)$  in  $M_C^2$ , and  $\varepsilon(\alpha, C) = 1 - (1 + \varepsilon'(\alpha, C))^{-1}$ . For  $C > 0$ : define  $\varepsilon(\alpha, C) := \varepsilon(\alpha, 0)$ .

Similar to the proofs of Theorems 1 and 2, multiply the metric with  $1/i_M$ , and with the new metric, the hypothesis becomes  $K_M \leq \min(C, 0)$ ,  $1 = i_M \leq d_p \leq 1 + \varepsilon'(\alpha, C)$ ; the other conditions remain unchanged. Now let  $\gamma_1$  and  $\gamma_2$  be as in the hypothesis, and  $\ell_0 = d_M(p, q)$ . Define  $f: [0, \ell_0] \rightarrow \mathbb{R}$  by  $f(s) = d_M(\gamma_1(s), \gamma_2(s))$ .  $f$  is continuous; and  $f(s) > 0$ , for  $s \in (0, \ell_0)$ .

Suppose that  $f(s) < i_M = 1$ , for all  $s \in [0, \ell_0]$ . For any fixed

$s \in [0, l_0]$ , let  $\theta_s(t)$  be the unique minimal geodesic from  $\gamma_1(s)$  to  $\gamma_2(s)$ . See Figure 18.  $\theta_s(t)$  depends on  $s$  continuously, i.e.  $\lim_{s \rightarrow s_0} \theta_s(t) = \theta_{s_0}(t)$ . Proof of this fact is similar to the

continuity of the function  $f$  of Lemma 8. In short, we can say that the minimal geodesics  $\theta_s(t)$  from  $\gamma_1(s)$  to  $\gamma_2(s)$  have a convergent subsequence and any convergent subsequence converges to a minimal geodesic from  $\gamma_1(s_0)$  to  $\gamma_2(s_0)$ , but there is only one such minimal geodesic, namely  $\theta_{s_0}(t)$ . So

$\lim_{s \rightarrow s_0} \theta_s(t) = \theta_{s_0}(t)$ . By definition,  $d_p \geq l_0$ .

$f(s) = d(\gamma_1(s), \gamma_2(s)) \leq d(\gamma_1(s), p) + d(\gamma_2(s), p) = 2s$ . If  $s > 0$ , then  $f(s) < 3s$ . Similarly,  $f(s) \leq d(\gamma_1(s), q) + d(\gamma_2(s), q) = 2(l_0 - s)$ . Let  $v = \gamma_1'(0) \cdot l_0$ ,  $w = \gamma_2'(0) \cdot l_0$ ,  $t_0 = 1/2$  and  $c_0(t) = \exp_p(tv/2) = \gamma_1(l_0 t/2)$ ,  $c_1(t) = \begin{cases} \exp_p 2tw & \text{if } 0 \leq t \leq 1/2, \\ \exp_p(3/2 - t)v & \text{if } 1/2 \leq t \leq 1. \end{cases}$  Obviously,  $\exp_p w = \exp_p v = q$ .

Set  $I = [0, 1]$  and define a homotopy  $G: I \times I \rightarrow M$  as follows:

$$G(s, t) = \begin{cases} \gamma_2(3tsl_0) & \text{if } 0 \leq t \leq 1/3, \\ \theta_{sl_0}((2-3t) \cdot f(l_0 s)) & \text{if } 1/3 \leq t \leq 2/3, \\ \gamma_1(l_0((3/2 - 3s)t + 3s - 1)) & \text{if } 2/3 \leq t \leq 1. \end{cases}$$

Continuity of  $G$  follows from the continuity of  $\gamma_1$  and  $\gamma_2$ , and the continuous dependence of  $\theta_s(t)$  on  $s$ . See Figures 19 and 20. Clearly,  $\gamma_2(sl_0) = \theta_{sl_0}(f(l_0 s))$  and  $\theta_{sl_0}(0) = \gamma_1(sl_0)$ .

$$G(0,t) = \begin{cases} p & \text{if } 0 \leq t \leq 2/3, \\ \gamma_1((3t/2 - 1)\ell_0) & \text{if } 2/3 \leq t \leq 1. \end{cases} = c_0(h_0(t)) \text{ and}$$

$$G(1,t) = \begin{cases} \gamma_2(3t\ell_0) & \text{if } 0 \leq t \leq 1/3, \\ q & \text{if } 1/3 \leq t \leq 2/3, \\ \gamma_1((-3t/2 + 2)\ell_0) & \text{if } 2/3 \leq t \leq 1. \end{cases} = c_1(h_1(t)), \text{ where}$$

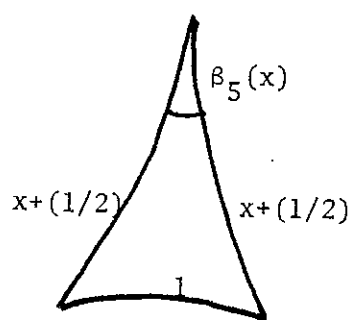
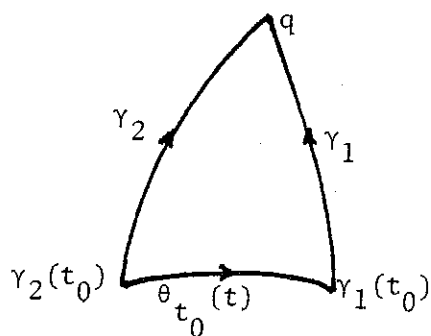
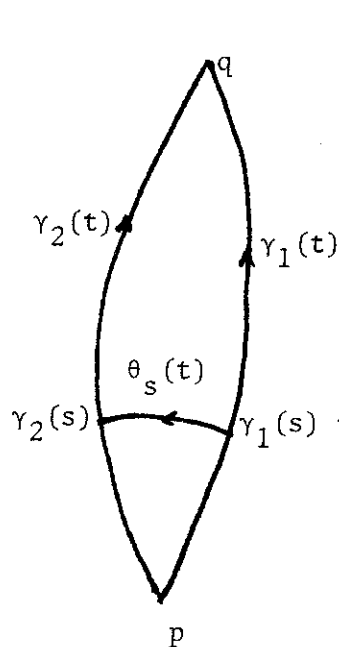
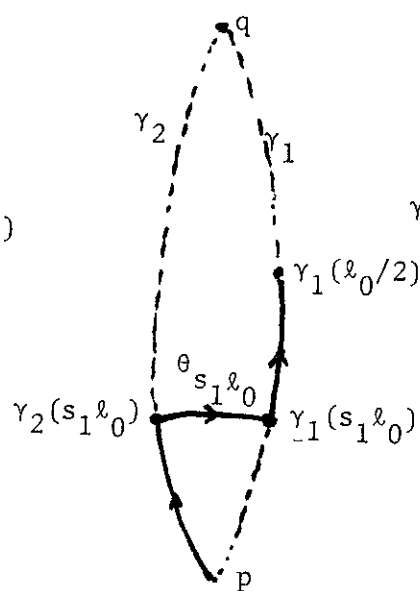
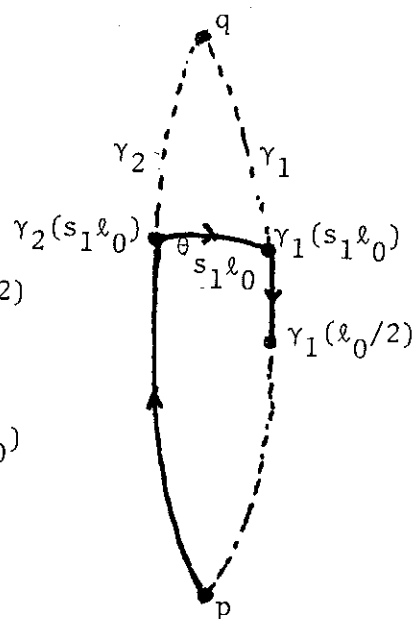
$$h_0(t) = \begin{cases} 0 & \text{if } 0 \leq t \leq 2/3, \\ 3t-2 & \text{if } 2/3 \leq t \leq 1. \end{cases} \text{ and}$$

$$h_1(t) = \begin{cases} 3t/2 & \text{if } 0 \leq t \leq 1/3, \\ 1/2 & \text{if } 1/3 \leq t \leq 2/3, \\ 3t/2 - 1/2 & \text{if } 2/3 \leq t \leq 1. \end{cases} \text{ Trivially, } h_0 \text{ and } h_1 \text{ are continuous.}$$

Define  $H: I \times I \rightarrow M$  by:

$$H(s,t) = \begin{cases} c_0(3st + (1-3s)h_0(t)) & \text{if } 0 \leq s \leq 1/3, \\ G(3s-1,t) & \text{if } 1/3 \leq s \leq 2/3, \\ c_1((3s-2)t + (3-3s)h_1(t)) & \text{if } 2/3 \leq s \leq 1. \end{cases}$$

$H$  is clearly continuous by the above.  $H(s,0)=p$ ,  $H(s,1)=\exp_p v/2 = \gamma_1(\ell_0/2)$ , for all  $s \in I$ ; and  $H(0,t)=c_0(t)$ ,  $H(1,t)=c_1(t)$ , for all  $t \in I$ .  $\exp_p: \bar{B}_{d_p}(0, TM_p) \rightarrow M$  is of full rank, therefore there exists  $\delta > 0$  such that  $\exp_p: B_{d_p+\delta}(0, TM_p) \rightarrow M$  is still of maximal rank, because being singular is a closed condition. Hence, Lemma 9 is applicable and there exists  $s_0 \in [0,1]$  such that  $\ell(H(s_0,t)) + \ell(c_0) \geq 2(d_p + \delta)$ .

Figure 17. In  $M_C^2$ .Figure 21. In  $M$ .Figure 18. In  $M$ .Figure 19.  
For fixed  $0 \leq s_1 \leq 1/2$ ,  
Graph of  $G(s_1, t)$  in  $M$ .Figure 20.  
For fixed  
 $1/2 \leq s_1 \leq 1$ , Graph of  
 $G(s_1, t)$  in  $M$ .

If  $0 \leq s_0 \leq 1/3$ , then  $\ell(H(s_0, t)) = \ell(c_0(t))$ . If  $2/3 \leq s_0 \leq 1$ , then  $\ell(H(s_0, t)) = \ell(c_1(t))$ .  $2\ell(c_0) = \ell_0 < 2(d_p + \delta)$ .  
 $\ell(c_0) + \ell(c_1) = 2\ell_0 \leq 2d_p < 2(d_p + \delta)$ . Therefore,  $s_0 \in [1/3, 2/3]$ . Set  $s_1 = 3s_0 - 1$ . For any  $s_1 \in [0, 1]$ ,  $G(s_1, t)$  is a union of broken geodesics with parametrizations different from arc length: from  $p$  to  $\gamma_2(s_1 \ell_0)$  along  $\gamma_2$ , from  $\gamma_2(s_1 \ell_0)$  to  $\gamma_1(s_1 \ell_0)$  along  $\theta_{\ell_0 s_1}$  with opposite direction, and from  $\gamma_1(s_1 \ell_0)$  to  $\gamma_1(\ell_0/2)$  along  $\gamma_1$  with the same direction or opposite direction depending on whether  $s_1 \in [0, 1/2]$  or  $s_1 \in [1/2, 1]$ . See Figures 19 and 20. Since  $\gamma_1, \gamma_2$ , and  $\theta_s$  are minimal geodesics between those points:

$$\begin{aligned} \ell(G(s_1, t)) &= d(p, \gamma_2(s_1 \ell_0)) + d(\gamma_2(s_1 \ell_0), \gamma_1(s_1 \ell_0)) + d(\gamma_1(s_1 \ell_0), \gamma_1(\ell_0/2)) \\ &= s_1 \ell_0 + f(s_1 \ell_0) + |s_1 - 1/2| \ell_0. \end{aligned}$$

$$\begin{aligned} \text{If } 0 \leq s_1 \leq 1/2, \text{ then } \ell(G(s_1, t)) + \ell(c_0) &\leq s_1 \ell_0 + f(s_1 \ell_0) + (1/2 - s_1) \ell_0 + \ell_0/2 \\ &\leq \ell_0 < 2(d_p + \delta). \end{aligned}$$

$$\begin{aligned} \text{If } 1/2 \leq s_1 \leq 1, \text{ then } \ell(G(s_1, t)) + \ell(c_0) &\leq s_1 \ell_0 + f(s_1 \ell_0) + (s_1 - 1/2) \ell_0 + \ell_0/2 \\ &\leq 2s_1 \ell_0 + 0 + 2(\ell_0 - \ell_0 s_1) \\ &= 2\ell_0 < 2(d_p + \delta), \end{aligned}$$

since  $f(s) \leq 2(\ell_0 - s)$ .  $H(s_0, t) = G(s_1, t)$ , and previously we had obtained that  $\ell(H(s_0, t)) + \ell(c_0) \geq 2(d_p + \delta)$  by using Lemma 9. This is a contradiction. Therefore, such  $H$  does not exist. Consequently, there exists  $t_0 \in [0, \ell_0]$  such that  $f(t_0) = 1 = i_M$ .

By the triangle inequality,  $1/2 \leq t_0 \leq \ell_0 - 1/2$ . Now consider the geodesic triangle in  $M$  determined by:



$\gamma_1(t)$ , for  $t_0 \leq t \leq \ell_0$ , from  $\gamma_1(t_0)$  to  $q$ ,

$\gamma_2(t)$ , for  $t_0 \leq t \leq \ell_0$ , from  $\gamma_2(t_0)$  to  $q$ ,

$\theta_{t_0}(t)$ , for  $0 \leq t \leq f(t_0)=1$ , from  $\gamma_1(t_0)$  to  $\gamma_2(t_0)$ . Figure 21.

$\ell_0 - t_0 \leq \ell_0 - 1/2 \leq d_p - 1/2 < 1 + \epsilon'(\alpha, C) - 1/2 = 1/2 + \epsilon'(\alpha, C)$ . Now consider a geodesic triangle in  $M_C^2$  with side lengths 1,  $\ell_0 - t_0$ , and  $\ell_0 - t_0$ . By Toponogov's Theorem and the construction of  $\beta_5(x)$ , and Lemma 2, we obtain that  $\angle(\gamma_1'(q), \gamma_2'(q)) \geq \beta_5(\ell_0 - t_0 - 1/2) > \beta_5(\epsilon'(\alpha, C)) = \alpha$ . Lemma 10 QED.

Straightforward calculations show that:

$\epsilon(\alpha, 0) = (1 - \sin(\alpha/2)) / (1 + \sin(\alpha/2))$ , and if  $C < 0$ , then  $\epsilon(\alpha, C) = -1 + (\ln((e^\kappa - 1 + (e^{2\kappa} + 1 - 2e^\kappa \cos \alpha)^{1/2}) / 2 \sin(\alpha/2))) / \kappa$ , where  $\kappa = (-C)^{1/2}$ .

THEOREM 3. For any given  $C \in \mathbb{R}$ , there exists  $\epsilon_3(C)$  such that:

for any compact Riemannian manifold  $M^n$ ,  $n \geq 2$ , if

- i)  $d_M^2 \cdot K_M \geq C$ ,
- ii) there exists a point  $p$  in  $M$  such that  $i_M/d_p > 1 - \epsilon_3(C)$ , and
- iii) for the same point  $p$ ,  $\exp_p: \bar{B}_{d_p}(0, TM_p) \rightarrow M$  is of maximal rank; then  $\tilde{M}$  is homeomorphic to  $S^n$  and  $\pi_1(M) = \mathbb{Z}_2$ .

If  $n \leq 4$ , then  $M$  is homeomorphic to  $\mathbb{RP}^n$ .

For the proof of Theorem 3, we need the following:

THEOREM (Sugahara, [Su]). For any compact Riemannian manifold  $M^n$ , if there exists a point  $p \in M$  such that the first conjugate locus of  $p$  is disjoint from the cut locus of  $p$  and the number of minimal geodesics from  $p$  to any point on its cut locus is 2, then  $\pi_1(M) = \mathbb{Z}_2$  and  $\tilde{M}$  is homeomorphic to  $S^n$ .

THEOREM (Livesay, [L]). If  $T: S^3 \rightarrow S^3$  is any fixed point free continuous involution, i.e.  $T^2x = x$ ,  $Tx \neq x$  for all  $x \in S^3$ ; then there exists a homeomorphism  $h: S^3 \rightarrow S^3$  such that  $(hTh^{-1})(x) = Ax$ , where  $A$  is the antipodal map.

PROOF. (Theorem 3) Take  $\varepsilon_3(C) = \varepsilon(2\pi/3, C)$ .  $d_M^2 \cdot K_M \geq C$  implies that  $i_M^2 \cdot K_M \geq \text{Min}(C, 0)$ . By Lemma 10, for any  $q \in C_p$ , if  $\gamma_1, \gamma_2$  are any two minimal geodesics from  $p$  to  $q$ , then  $\angle(\gamma_1'(q), \gamma_2'(q)) > 2\pi/3 = \arccos(-1/2)$ . There are at most two geodesics from  $p$  to  $q$  by Lemma 3. Since  $q$  is not conjugate to  $p$  along any minimal geodesic, there are at least 2 such geodesics. (For example, see [CE, pg.93]) Therefore, the hypothesis of Sugahara's Theorem B is satisfied. Hence,  $\tilde{M}$  is homeomorphic to  $S^n$  and  $\pi_1(M) = \mathbb{Z}_2$ .

If  $M^n$  is as above, define  $\psi: \partial A_p \rightarrow \partial A_p$  by  $\psi(v) = w$  if and only if  $\exp_p v = \exp_p w$  and  $v \neq w$ . Obviously,  $\psi^2 = \text{identity}$ , and  $\partial A_p$  is homeomorphic to  $S^{n-1}$ . [CE], [GKM].

Define  $\tilde{g}: \bar{B}_1(0, TM_p) \rightarrow A_p$  by  $\tilde{g}(u) = u \cdot c_p(u/\|u\|)$ , if  $u \neq 0$ ; and

$\tilde{g}(0)=0$ .  $\tilde{g}$  is a homeomorphism. Let  $g=\tilde{g}|_{UM_p}$ . The map

$g^{-1} \circ \psi \circ g: UM_p \rightarrow UM_p$  is a continuous fixed point free involution.

If  $n=4$ , by Livesay's Theorem, there exists  $h: UM_p \rightarrow UM_p$  such that  $(h \circ \tilde{g}^{-1} \circ \psi \circ g \circ h^{-1})(x) = -x$ , that is  $\psi((g \circ h^{-1})(x)) = (g \circ h^{-1})(-x)$ , for all

$x \in UM_p$ . Now define  $\tilde{h}: \bar{B}_1(0, TM_p) \rightarrow \bar{B}_1(0, TM_p)$  by  $\tilde{h}(0)=0$  and if  $u \neq 0$ ,

$\tilde{h}(u) = \|u\| \cdot h(u/\|u\|)$ . Also define the following two equivalence

relations: For  $x, y \in \bar{B}_1(0, TM_p)$ ;  $x \approx y$  if and only if  $x = -y$ , and

$x, y \in UM_p$ . For  $u, v \in A_p$ ;  $u \approx v$  if and only if  $u, v \in \partial A_p$  and  $u = \psi(v)$ .

The following diagram commutes:

$$\begin{array}{ccccc}
 \bar{B}_1(0, TM_p) & \xrightarrow{\tilde{g} \circ \tilde{h}^{-1}} & A_p & \xrightarrow{\exp_p} & M \\
 \downarrow & & \downarrow & \nearrow & \\
 \bar{B}_1(0, TM_p) / \approx & \xrightarrow{\tilde{g} \circ \tilde{h}^{-1}} & A_p / \approx & \xrightarrow{\overline{\exp_p}} & 
 \end{array}$$

$\overline{\exp_p}$  and  $\tilde{g} \circ \tilde{h}^{-1}$  are well defined and one-to-one, since

$\exp_p w = \exp_p \psi(w)$  and  $\psi((g \circ h^{-1})(x)) = (g \circ h^{-1})(-x)$ . They are continuous since  $\exp_p$  and  $\tilde{g} \circ \tilde{h}^{-1}$  are continuous. Hence, they are homeomorphisms.

$\bar{B}_1(0, TM_p) / \approx$  is obviously homeomorphic to  $\mathbb{RP}^4$ .

If  $n=3$ , Livesay's Theorem directly implies that any free continuous action of  $\mathbb{Z}_2$  on  $S^3$  gives a quotient homeomorphic to  $\mathbb{RP}^3$ .

If  $n=2$ , a similar proof to  $n=4$  case can be given by an elementary version of Livesay's Theorem on  $S^1$ . Theorem 3 QED.

NOTATION.  $\sigma_m = \arccos(-1/m)$ .

THEOREM 4. For any compact Riemannian manifold  $M^n$ ,  $n \geq 2$ , if

i)  $d_M^2 \cdot K_M \geq C$ , and

ii) there exists a point  $p$  in  $M$  such that

$i_M/d_p > 1 - \epsilon(\sigma_4, C)$  and  $\exp_p: \bar{B}_{d_p}(0, TM_p) \rightarrow M$  is of maximal rank; then

$C_p = V_1 \cup V_2 \cup V_3$ , where  $V_i$  are disjoint smooth submanifolds of codimension  $i$ , open in their dimensions. If  $n=2$ , then  $V_3 = \emptyset$ .

If  $\sigma_4$  is replaced by  $\sigma_3$ , then  $V_3 = \emptyset$ . If  $\sigma_4$  is replaced by  $\sigma_2$ , then  $V_3 = V_2 = \emptyset$  and hence,  $C_p$  is a smooth submanifold of dimension  $n-1$ , and by the theorem of Weinstein below, there exists a new Riemannian metric on  $M$  such that  $M$  becomes a non-simply connected, pointed Blaschke manifold.

THEOREM (Weinstein, [Wa], [BS].) If  $M$  can be written as  $D \cup_a E$ , where  $D$  is the  $n$ -dimensional closed ball,  $E$  a  $C^\infty$  closed disc bundle over a  $(n-k)$ -dimensional compact  $C^\infty$  manifold, with boundary  $\partial E$  diffeomorphic to  $S^{n-1}$  and  $a: \partial D \rightarrow \partial E$  an attaching diffeomorphism; then there exists a new Riemannian metric on  $M$ , such that  $M$  becomes a Blaschke manifold at  $p$  which is the center of  $D$ .

PROOF (Theorem 4). We define  $N_p: C_p \rightarrow \mathbb{Z}$ , by for any  $q \in C_p$ ,  $N_p(q)$  to be the number of distinct minimal geodesics from  $p$  to  $q$ . Since  $\exp_p|_{\bar{B}_{d_p}(0, TM_p)}$  is maximal rank, for  $\delta$  sufficiently small  $\exp_p|_{B_{d_p+\delta}(0, TM_p)}$  is still maximal rank. Therefore, for all  $q \in C_p$ ,

$q$  is not conjugate to  $p$  along any minimal geodesic, and there are finitely many minimal geodesics from  $p$  to  $q$ , this number is  $\geq 2$ . [CE, pg.93], [Su]. Take  $V_i = N_p^{-1}(i+1)$ . Clearly,  $C_p = \bigcup_{i=1}^{\infty} V_i$ ,  $V_i \cap V_j = \emptyset$ , if  $i \neq j$ .

Let  $q \in C_p$  be any fixed element, and  $\gamma_1, \dots, \gamma_k$  be the all of the distinct minimal geodesics from  $p$  to  $q$ , i.e.  $N_p(q) = k$ .  $\angle(\gamma_i'(q), \gamma_j'(q)) > \sigma_4 = \arccos(-1/4)$ , if  $i \neq j$ ; by Lemma 10; and  $2 \leq k \leq 4$ , by Lemma 3. Therefore,  $V_i = \emptyset$ , if  $i \geq 5$ . Obviously, by the above, if  $\sigma_4$  is replaced by  $\sigma_3$  or  $\sigma_2$ , then furthermore,  $V_3 = \emptyset$  or  $V_2 = V_3 = \emptyset$ , respectively.

Set  $\ell = d(p, q)$ .  $\exp_p|_{B_{d_p+\delta}}$  is a local diffeomorphism, so there exist  $U \subseteq TM_p$ ,  $U_q \subseteq M$  both open sets, such that  $0 \in U$ ,  $q \in U_q$ ,  $p \notin U_q$ ,  $U_i = \ell \cdot \gamma_i'(0) + U$ ; all  $U_i$  are disjoint and  $\exp_p|_{U_i}: U_i \rightarrow U_q$  is a diffeomorphism for all  $i$ ,  $1 \leq i \leq k$ . Let  $f_i = (\exp_p|_{U_i})^{-1}: U_q \rightarrow U_i$  and define  $H_{ij}(q) = \{x \in U_q \mid \|f_i(x)\| = \|f_j(x)\|\}$ .  $\|f_i(x)\|: U_q \rightarrow \mathbb{R}$  is a smooth function of  $x$ , since  $f_i(x)$  is smooth and  $p \notin U_q$  implies that  $0 \notin U_i$ , for  $i=1, \dots, k$ .  $(\text{grad } \|f_i(x)\|)(q) = \gamma_i'(q)$  by Gauss Lemma and the way  $\exp_p$  is defined. [CE], [GKM].  $(\text{grad}(\|f_i(x)\| - \|f_j(x)\|))(q) = \gamma_i'(q) - \gamma_j'(q) \neq 0$ , if  $i \neq j$ , i.e. the function  $\|f_i(x)\| - \|f_j(x)\|$  is regular at  $q$ . Therefore, there exists an open set  $U'_q \subseteq U_q$  such that  $\|f_i(x)\| - \|f_j(x)\|$  is regular on  $U'_q$ .  $H_{ij}(q) \cap U'_q = \{x \in U'_q \mid \|f_i(x)\| - \|f_j(x)\| = \|f_i(q)\| - \|f_j(q)\| = 0\}$  is locally a smooth

submanifold of  $M$  of dimension  $n-1$ , it contains  $q$  and is open in its dimension, by Implicit Function Theorem. Furthermore,  $\gamma_i'(q) - \gamma_j'(q)$  is orthogonal to  $T(H_{ij}(q))_q$  which is a hyperplane.

We will prove the following Lemma later; it is needed to complete the proof of Theorem 4.

LEMMA 11. Let  $w_i \in \mathbb{R}^n$ ,  $i=1, \dots, k \leq 4$ , such that  $\|w_i\|=1$ ,  $\langle w_i, w_j \rangle < 0$ , for  $i \neq j$ . Then  $w_1 - w_k, \dots, w_{k-1} - w_k$  are linearly independent.

Now we continue with the proof of Theorem 4. If we set  $w_i = \gamma_i'(q)$ , then  $\gamma_i'(q) - \gamma_k'(q)$ 's,  $i=1, \dots, k-1$ , form a linearly independent set, and hence, the set of  $H_{ik}(q)$ 's is transversal at  $q$ . Therefore, there exists  $U''_q$ , an open neighborhood of  $q$  such that  $U''_q \subseteq U'_q$  and  $H(q) = U''_q \cap \bigcap_{i=1}^{k-1} H_{ik}(q)$  is an  $n-k+1$  dimensional submanifold of  $M$  locally, open in its dimension, containing  $q$ . Obviously, if  $n=2$ , then  $k \leq 3$ .

CLAIM. There exists an open neighborhood  $U'''_q$  of  $q$  such that  $U'''_q \subseteq U''_q$  and  $U'''_q \cap H(q) = U'''_q \cap V_{k-1} \subseteq C_p$ .

First we prove the existence of  $U'''_q$  with  $U'''_q \cap H(q) \subseteq U'''_q \cap V_{k-1}$ . Suppose that there does not exist any such  $U'''_q$ , i.e. there exist  $q_n \in H(q) \cap U''_q$ ,  $n \in \mathbb{N}$  such that  $q_n \rightarrow q$  and  $q_n \notin V_{k-1}$ ,  $q_n \in H(q) \cap V_{k-1}$ .  $q_n$  are in  $H(q) \subseteq \bigcap_{i=1}^{k-1} H_{ik}(q) = \{x \in U_q \mid \|f_i(x)\| = \|f_k(x)\|, \forall i\}$ . Hence,  $\|f_i(q_n)\| = \|f_k(q_n)\|$ ,

for  $i=1, \dots, k-1$ . Define  $\theta_{n,i}(t) = \exp_p(t \cdot f_i(q_n) / \|f_i(q_n)\|)$ ,  $0 \leq t \leq \|f_i(q_n)\|$ , geodesics from  $p$  to  $q_n$ .  $\theta_{n,i}$  have the same length and all are distinct, for a fixed  $n$ . If  $\|f_k(q_n)\| = d(p, q_n)$  and there is no other geodesic different from  $\theta_{n,i}$ 's from  $p$  to  $q_n$ , then  $q_n \in V_{k-1}$ . If  $q_n \notin V_{k-1}$ , then there exists a minimal geodesic  $\psi_n(t)$  from  $p$  to  $q_n$  which is distinct from all  $\theta_{n,i}$ 's,  $i=1, \dots, k$ . Since  $q_n \rightarrow q$ ,  $\psi_n(t)$  has a convergent subsequence  $\psi_{n_m}$  converging to a minimal geodesic from  $p$  to  $q$ , i.e.  $\gamma_{i_0}(t)$  for some  $1 \leq i_0 \leq k$ . In this case  $\theta_{n_m, i_0}(t)$  and  $\psi_{n_m}(t)$  are distinct geodesics from  $p$  to  $q_{n_m}$  and both converge to  $\gamma_{i_0}(t)$ , as geodesics.  $\exp_p|_{B_{d_p+\delta}(0, TM_p)}$  is of maximal rank, so we conclude that:

$\psi'_{n_m}(0) \cdot d(p, q_{n_m}) \rightarrow f_{i_0}(q)$ ;  $f_{i_0}(q_n) \rightarrow f_{i_0}(q)$  in  $TM_p$  and  $\psi'_{n_m}(0) \cdot d(p, q_{n_m}) \neq f_{i_0}(q_{n_m})$ , since  $\psi_{n_m}(t)$  and  $\theta_{n_m, i_0}(t)$  are distinct geodesics from  $p$  to  $q_{n_m}$ .  $\exp_p \psi'_n(0) \cdot d(p, q_n) = \psi_n(d(p, q_n)) = q_n = \exp_p f_{i_0}(q_n)$ . This contradicts the fact that  $\exp_p|_{U_{i_0}}$  is a diffeomorphism. Therefore, such  $\psi_n(t)$  do not exist. So, in fact,  $q_n \in V_{k-1}$ , for all  $n$  large, and we conclude that there exists  $U''_q$  open, with  $U''_q \cap H(q) \subseteq U''_q \cap V_{k-1}$ .

Second, we need to show the existence of an open set  $U'''_q$  with  $U'''_q \cap V_{k-1} \subseteq U'''_q \cap H(q)$ . Suppose that it does not exist, i.e.

there exist  $q_n \in (V_{k-1} - H(q)) \cap U_q''$ ,  $n \in \mathbb{N}$ , and  $q_n \rightarrow q$ ,  $q_n \in V_{k-1}$ , so there are  $k$  distinct minimal geodesics  $\theta_{n,i}$  from  $p$  to  $q_n$ . By Lemma 10:  $\langle \theta'_{n,i}(0), \theta'_{n,j}(0) \rangle < -1/4$ , for  $i \neq j$ . Therefore, the limit set of these geodesics contains at least  $k$  distinct minimal geodesics from  $p$  to  $q$ . They have to be  $\gamma_1, \dots, \gamma_k$ . For sufficiently large  $n$ , by rearranging  $i$  indices for fixed  $n$ 's, and by taking convergent subsequences, we have  $\theta_{n_m,i}(t) \rightarrow \gamma_i(t)$ , as  $m \rightarrow \infty$ , as curves.  $\theta'_{n_m,i}(0) \rightarrow \gamma'_i(0)$ ;  $\theta'_{n_m,i}(0) \cdot d(p, q_{n_m}) \rightarrow \gamma'_i(0) \cdot d(p, q) = f_i(q)$ . For sufficiently large  $m$ ,  $\theta'_{n_m,i}(0) \cdot d(p, q_{n_m}) \in U_i$  and hence,  $d(p, q_{n_m}) = \|\theta'_{n_m,i}(0) \cdot d(p, q_{n_m})\| = \|f_i(q_{n_m})\|$ . So,  $\|f_i(q_{n_m})\| = \|f_j(q_{n_m})\|$ , for all  $1 \leq i < j \leq k$ , and for sufficiently large  $m$ ,  $q_{n_m} \in H(q)$ . This is a contradiction. Finally, the claim holds to be true, by the existence of  $U_q'''$ , an open set with  $U_q'' \cap H(q) = U_q''' \cap V_{k-1}$ .

For the argument above,  $q$  was fixed, but arbitrarily. For any  $q \in V_{k-1}$ ,  $H(q) \cap U_q''' \subseteq V_{k-1}$  and  $H(q) \cap U_q'''$  is an open piece of an  $n-k+1$  dimensional smooth submanifold of  $M$ . This shows that  $V_{k-1}$  is an  $n-k+1$  dimensional smooth submanifold of  $M$ , which is open in its dimension. If  $q \in \bar{V}_i$ , i.e. there exist  $q_n \in V_i$ ,  $n \in \mathbb{N}$ ,  $q_n \rightarrow q$  as  $n \rightarrow \infty$ , then there are exactly  $i+1$  distinct minimal geodesics from  $p$  to  $q_n$ , and they have a limit set of at least  $i+1$  minimal geodesics from  $p$  to  $q$ , as above, all are distinct. But, there may be other



minimal geodesics from  $p$  to  $q$ , that implies that  $q \in V_{i+m}$ , for some  $m \geq 0$ . Therefore,  $\bar{V}_i - V_i \subseteq \bigcup_{j>i} V_j$ . By a theorem of Sugahara, [Su],  $V_1$  is an open and dense subset of  $C_p$ .  $\partial V_1 = \bar{V}_1 - V_1 = C_p - V_1 = V_2 \cup V_3$ . We only have  $\partial V_2 \subseteq V_3$ , since  $V_2 \cup V_3$  is not necessarily connected,  $V_2$  is not necessarily dense in  $V_2 \cup V_3$ .

If  $\sigma_4$  is replaced by  $\sigma_2$ , then by Lemmas 3 and 10, we have  $C_p = V_1$ , which is an  $n-1$  dimensional compact smooth submanifold of  $M$ .  $V_1$  is locally defined by  $H(q) \cap U_q''' = \{x \in U_q''' \mid \|f_1(x)\| = \|f_2(x)\|\}$ , a level set of a regular smooth function locally and  $\|f_1(x)\|$  is a smooth function on  $U_q'''$ . Therefore,  $\|f_1(x)\| = d(p, x)$  is a smooth function on  $C_p = V_1$ . Hence,  $c_p(v): UM_p \rightarrow \mathbb{R}$  is smooth; and for any  $\delta' > 0$ ,  $V_{\delta'} = \{\exp_p tv \mid v \in UM_p, 0 \leq t \leq c_p(v) - \delta'\}$  is diffeomorphic to  $D^n$  and  $\partial D^n = S^{n-1}$  is diffeomorphic to  $\partial V_{\delta'}$ . Since  $\exp_p$  is of maximal rank on  $B_{d_p+\delta}$  and  $C_p$  is smooth submanifold,  $(\exp_p tv)'|_{t=c_p(v)}$  depends on  $\exp_p c_p(v) \cdot v$  smoothly, for  $v \in UM_p$ . Hence,  $M - V_{\delta'}$  is diffeomorphic to a smooth 1-disc bundle over  $V_1$ . So, Weinstein's Theorem is applicable. Theorem 4 QED.

PROOF (Lemma 11). Obviously,  $w_i \neq w_j$  if  $i \neq j$ .

Case for  $k=2$ : Obvious, since  $w_1 - w_2 \neq 0$ .

Case for  $k=3$ : Suppose that there exists  $c \in \mathbb{R}$  such that

$w_1 - w_3 = c(w_2 - w_3)$ .  $\langle w_1 + w_2, w_3 \rangle = \langle w_1, w_3 \rangle + \langle w_2, w_3 \rangle < 0$ . So,  $w_1 + w_2 \neq 0$ , and hence,  $\langle w_1, w_2 \rangle > -1$ .

$$c\|w_2 - w_3\|^2 = \langle w_1 - w_3, w_2 - w_3 \rangle = \|w_3\|^2 - \langle w_2, w_3 \rangle - \langle w_1, w_3 \rangle + \langle w_1, w_2 \rangle \\ \geq 1 + \langle w_1, w_2 \rangle > 0.$$

Thus,  $c > 0$ . By the symmetry, we may assume that  $0 < c \leq 1$ . If  $c = 1$ , then  $w_2 = w_1$ , which is not the case. If  $0 < c < 1$ , then

$$1 > (1-c)^2 = \|(1-c)w_3\|^2 = \|w_1 - cw_2\|^2 = 1 + c^2 - 2c\langle w_1, w_2 \rangle > 1 + c^2 > 1. \text{ This}$$

gives a contradiction. Hence  $w_1 - w_3, w_2 - w_3$  which are both non-zero, are linearly independent.

Case for  $k=4$ : First of all, we observe that there do not exist four distinct non-zero vectors in  $\mathbb{R}^2$  such that all angles between any two are  $> \pi/2$ . Hence, if  $\langle w_i, w_j \rangle < 0$  for  $1 \leq i < j \leq 4$ , then  $\dim(\text{span}(w_1, w_2, w_3, w_4)) \geq 3$ . Let  $W = \text{span}(w_1 - w_4, w_2 - w_4, w_3 - w_4)$ . By the case for  $k=3$ ,  $\dim W \geq 2$ . Suppose that  $\dim W = 2$ . If  $w_4 \in W$ , then obviously,  $w_1, w_2, w_3, w_4 \in W$ . This is not the case, so  $w_4 \notin W$ . Let  $W_1$  be the three dimensional subspace spanned by  $W$  and  $w_4$ . Since  $w_4 \notin W$ , there exists a unique vector  $w \in W_1$  such that  $\|w\| = 1$ ,  $w \perp W$  and  $\langle w_4, w \rangle = c > 0$ .  $\langle w, w_i - cw \rangle = \langle w, w_i - w_4 + w_4 - cw \rangle = \langle w, w_i - w_4 \rangle + \langle w, w_4 \rangle - \langle w, cw \rangle = 0 + c - c = 0$ , for  $i=1, 2, 3, 4$ . Hence,  $w_i - cw \in W$ , for all  $i$ . Obviously,  $w_i - cw$  are all distinct.  $\langle w_i - cw, w_j - cw \rangle = \langle w_i, w_j \rangle - c\langle w, w_i \rangle - c\langle w, w_j \rangle + c^2 \langle w, w \rangle = \langle w_i, w_j \rangle - c\langle w, w_i - w_4 + w_4 \rangle - c\langle w, w_j \rangle + c^2 = \langle w_i, w_j \rangle - c^2$ . Since  $\|w_i - cw\|^2 = 1 - c^2$ , and  $w_i - cw$  are distinct; we have  $0 < c < 1$ . So,  $w_i - cw$  are all non-zero. If  $i \neq j$ , then  $\langle w_i - cw, w_j - cw \rangle = \langle w_i, w_j \rangle - c^2 < 0$ . Therefore, we obtain four non-zero vectors in  $W$  which is two dimensional, such that all angles between any two are  $> \pi/2$ .

This gives a contradiction. Consequently,  $\dim W=3$ . This proves the case for  $k=4$  and hence, Lemma 11.

REMARK. Lemma 11 may be extended for  $n+1 > k > 4$ , if one could prove that there do not exist  $w_1, \dots, w_k$ , unit vectors in  $\mathbb{R}^{k-2}$  with  $\langle w_i, w_j \rangle < -1/k$ , for  $i \neq j$ . If this is done, then we can let  $V_4, \dots, V_k$  be non-empty submanifolds and replace  $\sigma_4$  by  $\sigma_k$  in Theorem 4.

THEOREM 5. For any compact Riemannian manifold  $M^n$ ,  $n \geq 2$ , if

i)  $d_M^2 \cdot K_M \geq C$ , and

ii) there exists a point  $p \in M$  such that  $i_M/d_p > 1 - \varepsilon(\sigma_n, C)$ ,

where  $n' = \min(n, 4)$ ;

Then,  $d_p \geq \pi / (2K^{1/2})$ , where  $K = \max(K_M)$ , and hence,  $K > 0$ .

PROOF. Let  $q \in M$  be such that  $d(p, q) = d_p$  and suppose that  $d_p < \pi / (2K^{1/2})$ . We will use the notation and construction in Theorem 4. By Rauch Comparison,  $\exp_p|_{\bar{B}_d(0, TM_p)}$  is of maximal rank. Hence, by Lemmas 3 and 10, there are at most  $n'$  geodesics from  $p$  to  $q$ .  $q \in V_k$  for some  $k < n' = \min(n, 4)$ .  $\dim(V_k) \geq n - n' + 1 = \max(1, n-3) \geq 1$ .  $V_k$  is at least a one dimensional submanifold of  $M$ , lying in  $C_p$ . There exists a smooth curve  $\theta(t)$  defined for  $t \in (-\varepsilon, \varepsilon)$ , for  $\varepsilon$  small enough, such that  $\theta(t) \in V_k \cap U_q'''$ ,  $\theta(0) = q$ .

$\theta(t) \in H(q) \cap U_q''''$ . If  $f_i$  are constructed as in Theorem 4, then  
 $\forall r \in H(q) \cap U_q'''' = V_k \cap U_q'''' \subseteq C_p$ , we have  $d(p, r) = \|f_i(r)\|$ .  $H(q)$  was obtained  
 by the intersection of the smooth hypersurfaces which are the  
 level sets of the functions  $\|f_i(x)\| - \|f_j(x)\|$  locally. Since  $f_i(x)$   
 are smooth functions and  $\|f_i(x)\| - \|f_j(x)\|$  are regular on  $H(q) \cap U_q''''$ ;  
 $f_i(x)$  restricted to  $H(q) \cap U_q''''$ , which is a smooth submanifold and  
 a subset of  $C_p$ , is still a smooth function. Consequently,  
 $d(p, \theta(t)) > 0$ , is a smooth function of  $t$ . See the last part of the  
 proof of Theorem 4. Let  $\gamma_i(t)$ ,  $i=1, \dots, k+1$ , be all of the distinct  
 minimal geodesics from  $p$  to  $q$ . Define  $F_i: (-\epsilon, \epsilon) \times [0, 1] \rightarrow M$  by  
 $F_i(s, t) = \exp_p t \cdot f_i(\theta(s))$ . Since  $\gamma_i$  are minimal geodesics and  $\exp_p$   
 is regular at  $f_i(q)$ ,  $F_i$  is one-to-one, for small  $\epsilon$  and  $t > 0$ . Let  
 $S_i = F_{i*}(\partial/\partial s)$  and  $T_i = F_{i*}(\partial/\partial t)$ , where  $F_{i*}: T((-\epsilon, \epsilon) \times [0, 1]) \rightarrow TM$ . Let  
 $\ell_i(s) = \int_0^1 \|T_i(s, t)\| dt = d(p, \theta(s))$ , for  $s \in (-\epsilon, \epsilon)$ . Let  $S_i$  and  $T_i$  also  
 denote  $S_i(0, t)$  and  $T_i(0, t)$ , respectively. By the first variation  
 formula:  $d/ds(\ell_i(s))|_{s=0} =$   
 $(1/\ell_i(0)) \langle S_i, T_i \rangle|_p - \int_0^1 \langle S_i(0, t), \nabla_{T_i} T_i(0, t) \rangle dt = (1/\ell_i(0)) \langle S_i, T_i \rangle_q = 0$ ,  
 since  $d(p, \theta(s))$  is a smooth function of  $s$ ,  $T_i$  are tangent vectors  
 of a geodesic and  $q$  is at the maximal distance. By a similar argument,  
 $d^2/ds^2(\ell_i(s))|_{s=0} \leq 0$ . By the second variation formula, [CE], pg.20,  
 $d^2/ds^2(\ell_i(s))|_{s=0} = \langle \nabla_{S_i} S_i, T_i \rangle + \langle \nabla_{T_i} S_i, S_i \rangle|_q$ , since the variation  
 is through geodesics, i.e.  $S_i$  is a Jacobi field along  $\gamma_i$  and

$\langle S_i, T_i \rangle|_q = \langle S_i, T_i \rangle|_p = 0$ . Let  $X$  be  $\nabla_{\theta'(t)} \theta'(t)|_{t=0}$ .  $(\nabla_{S_i} S_i)(q) = X$ ,

for all  $i$ , since  $S_i(q) = \theta'(0)$  and  $F_i(s, 1) = \theta(s)$  for  $s \in (-\epsilon, \epsilon)$ . If  $X \neq 0$ , then by Berger's Lemma, Chapter 1, there exists  $T_{i_0}$  such that

$\langle X, T_{i_0}(q) \rangle \geq 0$ . If  $X=0$ , then such  $T_{i_0}$  exists obviously. Therefore,

$\langle \nabla_{S_{i_0}} S_{i_0}, T_{i_0} \rangle|_q \geq 0$  and hence,  $\langle \nabla_{T_{i_0}} S_{i_0}, S_{i_0} \rangle|_q \leq 0$ .

$\langle \nabla_{T_{i_0}} S_{i_0}, S_{i_0} \rangle = (1/2) T_{i_0}(\|S_{i_0}\|^2)|_q \leq 0$ , and  $S_{i_0}$  is a Jacobi field.

If  $K > 0$ , then let  $S^n(K^{-1/2})$  be the standard sphere of constant curvature  $K$ . In fact, we will show that  $K > 0$ , below. Let  $p_0 \in S^n = S^n(K^{-1/2})$  be any point,  $\psi$  be any geodesic from  $p_0$  and  $E(t)$  be any parallel unit vector field along  $\psi(t)$ , so  $(\sin t \cdot K^{1/2})E(t)$  is a Jacobi field along  $\psi(t)$ . Now apply Rauch Comparison Theorem 1, Chapter 1, to  $S_{i_0}$  along  $\gamma_{i_0}$  in  $M$  and  $c(\sin t \cdot K^{1/2})E(t)$  along  $\psi(t)$  in  $S^n$ , where  $c = \|S_{i_0}'(0)\|/K^{1/2}$ . For  $t > 0$ , we will get

$$0 \leq d/dt(\|S_{i_0}\|^2 / (c^2 \cdot \sin^2 t \cdot K^{1/2})) \text{ and}$$

$$d/dt(\|S_{i_0}\|^2)|_q = (1/d(p, q)) \cdot (T_{i_0}(\|S_{i_0}\|^2)|_q) \leq 0.$$

Hence,  $2(K^{1/2})(\sin t \cdot K^{1/2})(\cos t \cdot K^{1/2})|_{t=d(p, q)} \leq 0$ , and therefore  $d(p, q) \geq \pi/(2K^{1/2})$ . If  $K$  were non-positive, then we would replace  $K$  above by  $1/m^2$  and by the same proof, we would obtain that  $d(p, q) \geq \pi m/2$ , for any  $m \in \mathbb{N}^+$ .  $d(p, q)$  is finite, so  $K > 0$ . We had assumed that  $d_p < \pi/(2K^{1/2})$ , in the beginning and then obtained that

$d_p \geq \pi/(2K^{1/2})$ , which is a contradiction. So we should have  $d_p \geq \pi/(2K^{1/2})$  in the beginning. Theorem 5 QED.

THEOREM 5B. Theorem 5 still holds, if  $\sigma_n$  is replaced by  $\sigma_n$ .

Theorem 5B follows from Lemma 12, below, which was known to J. Cheeger and D. Gromoll. In fact, Theorems 5 and 5B were known to Jeff Cheeger.

LEMMA 12 (Cheeger-Gromoll). For any compact Riemannian manifold  $M^n$ , if  $d_p < \pi/(2K^{1/2})$  for some  $p \in M$ , where  $K = \text{Max}(K_M)$ , and  $d_p = d(p, q)$ , for some  $q \in C_p$ ; then there are at least  $n+1$  distinct minimal geodesics from  $p$  to  $q$ . (For  $K \leq 0$ , we again mean  $\infty$  instead of  $K^{-1/2}$ .)

PROOF (Theorem 5B). By replacing  $\sigma_n$  by  $\sigma_n$  and supposing that  $d_p < \pi/(2K^{1/2})$ , we would obtain that there are at most  $n$  geodesics from  $p$  to  $q$  by Lemmas 3 and 10. This contradicts Lemma 12. Hence,  $d_p \geq \pi/(2K^{1/2})$ .

PROOF (Lemma 12). Let  $\gamma_1, \dots, \gamma_k$  be all distinct minimal geodesics from  $q$  to  $p$ . Suppose  $k \leq n$ . There exists  $v_0 \in TM_q$  such that  $\langle v_0, \gamma_i'(0) \rangle \leq 0$ , for all  $i=1, 2, \dots, k$ . For the existence of  $v_0$ :  $\gamma_1'(0), \dots, \gamma_{k-1}'(0)$  span at most an  $n-1$  dimensional subspace of  $TM_q$ .

The orthogonal complement of this subspace is at least one dimensional, and contains at least two vectors in opposite directions, one of which makes an angle  $\geq \pi/2$  with  $\gamma'_k(0)$ . Let  $\theta(t) = \exp_q(v_0 t)$ , for  $t \geq 0$ .  $d_p < \pi/(2K^{1/2})$ , so  $\exp_p|_{\bar{B}_p(0, TM_p)}$  is non-singular. Construct  $f_i$  around  $q$  as in Theorem 4. If  $\langle v_0, \gamma'_i(0) \rangle < 0$ , then obviously  $\|f_i(\theta(t))\|$  is strictly increasing at  $t=0$ . If  $\langle v_0, \gamma'_i(0) \rangle = 0$ , then  $\|f_i(\theta(t))\|$  is still strictly increasing. To observe that, consider the pull back metric of  $M$  on  $TM_p$  by  $\exp_p|_{B_{d_p+\delta}(0, TM_p)}$  which is a local diffeomorphism. With this new metric, the metric ball of radius  $d_p$  in  $TM_p$  is strictly convex by Whitehead's Lemma, and hence,  $\|f_i(\theta(t))\|$  is strictly increasing at  $t=0$ . For large  $n \in \mathbb{N}$ , let  $q_n = \exp_q v_0/n$  and  $\theta_n$  be any minimal geodesic from  $p$  to  $q_n$ .  $q_n \rightarrow q$ , therefore  $\theta_n$  has a convergent subsequence  $\theta_{n_m}(t) \rightarrow \theta_0(t)$ , as  $m \rightarrow \infty$ , and hence,  $\theta_0$  is a minimal geodesic from  $p$  to  $q$ .  $\theta_0 = \gamma_{i_0}$ , for some  $i_0$  with  $1 \leq i_0 \leq k$ . For large  $m \in \mathbb{N}$ ,  $v_m(t) = \exp_p t f_{i_0}(q_{n_m})$  is not minimal, since  $\ell(v_m) = \|f_{i_0}(q_{n_m})\| > \|f_{i_0}(q)\| = d(p, q) \geq d(p, q_{n_m})$ . So, we have:

$$f_{i_0}(q_{n_m}) \rightarrow f_{i_0}(q), \quad \theta'_{n_m}(0) \cdot \ell(\theta_{n_m}) \rightarrow f_{i_0}(q), \quad f_{i_0}(q_{n_m}) \neq \theta'_{n_m}(0) \cdot \ell(\theta_{n_m}),$$

and  $\exp_p f_{i_0}(q_{n_m}) = \exp_p \theta'_{n_m}(0) \cdot \ell(\theta_{n_m}) = q_{n_m} \rightarrow q$ . This is a contradiction, since  $\exp_p$  is a local diffeomorphism around  $f_{i_0}(q)$ . Consequently,  $k \geq n+1$ . Lemma 12 QED.

## SECTION 5. SOME EXAMPLES AND IMMEDIATE COROLLARIES.

$S^n$ ,  $\mathbb{R}P^n$ ,  $\mathbb{C}P^n$ ,  $\mathbb{H}P^n$ ,  $\mathbb{C}aP^2$  with their standard metrics (normalized with diameter equal to  $\pi$  except for  $\mathbb{R}P^n$ , and  $d_{\mathbb{R}P^n} = \pi/2$ ) have equal diameter and injectivity radius, so they are obvious examples for Theorem 1 and  $\mathbb{R}P^n$  is for all Theorems 1-5B.

EXAMPLE 1. Let  $M$  be either of the above examples and  $g(t)$  be a  $C^2$ , 1-parameter family of Riemannian metrics on  $M$  such that  $g(0)$  is the standard metric. Since the diameter and injectivity radius depend on the metrics continuously [E], and  $g(0)$  has positive sectional curvature, there exists a neighborhood  $(-\delta, \delta)$  of 0 such that for every  $t \in (-\delta, \delta)$ ,  $i_p(g(t))/d_p(g(t)) > 1 - \epsilon_1(0)$ . Those are non-trivial examples for Theorem 1.

EXAMPLE 2. Let  $M$  be any compact Riemannian manifold with metric  $g_0$ ,  $p \in M$  and  $\epsilon_0 > 0$  be given. There exists a Riemannian metric  $g_1$  on  $M$  such that  $i_p(g_1)/d_p(g_1) > 1 - \epsilon_0$ .

We construct  $g_1$  as follows. Let  $r$  be small enough so that  $\exp_p|_{B_r(0, TM_p)}$  is a diffeomorphism from  $B_r(0, TM_p)$  onto  $B_r(p, M)$ . There exists a smooth function  $\psi: M \rightarrow [0, 1]$  such that  $\text{supp}(\psi) \subseteq B_r(p, M)$



and  $\psi|_{B_{r(1-\varepsilon_0/2)}(p,M)} \equiv 1$ . Let  $d$  denote the diameter of  $(M, g_0)$ .

Define  $g_1 = (1 + 2d\psi/\varepsilon_0 r)g_0$ . Then, we have

$i_p(g_1) \geq (1 - \varepsilon_0/2)r \cdot (2d/\varepsilon_0 r)$  and  $d_p \leq 2dr/\varepsilon_0 r + d$ . Therefore,

$$i_p(g_1)/d_p(g_1) \geq (2 - \varepsilon_0)/(2 + \varepsilon_0) > 1 - \varepsilon_0.$$

REMARKS. 1) Example 2 shows that the curvature condition of Theorem 1 can not be removed. It may be possible that it can be weakened or replaced by using other geometric quantities.

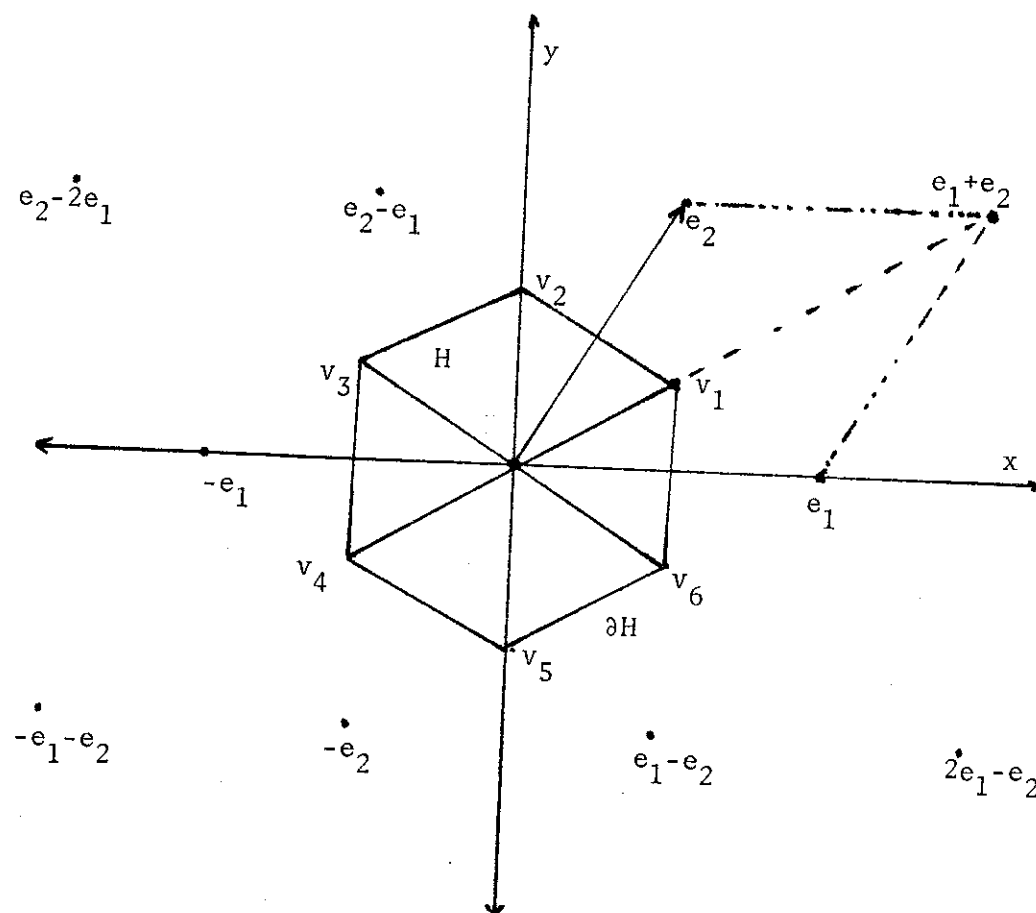
2)  $\lim_{C \rightarrow -\infty} \varepsilon_1(C) = 0$ . We observe this as follows:  $\varepsilon_1(C)$  is decreasing, if  $C$  is decreasing, by Toponogov's Theorem and the construction of  $\varepsilon_1(C)$ . If  $\lim_{C \rightarrow -\infty} \varepsilon_1(C)$  were equal to  $\varepsilon_0 > 0$ , then example 2 would give a counterexample to Theorem 1. Hence,  $\lim_{C \rightarrow -\infty} \varepsilon_1(C) = 0$ . In fact, if one could give a stronger theorem with better  $\varepsilon_1(C)$ , then still  $\inf_C \varepsilon_1(C) = 0$  holds.

3) In example 2, possibly the sectional curvature of  $g_1$  is large, both positively and negatively on the set  $B_{2d/\varepsilon_0}(p, M; g_1) - B_{(1-\varepsilon_0/2)2d/\varepsilon_0}(p, M; g_1)$ . In fact, Theorem 1 implies that the sectional curvature should become smaller than  $C_0$ , where  $\varepsilon_1(C_0) = \varepsilon_0$ , if  $\text{order}(\pi_1(M)) \geq 3$ .

EXAMPLE 3. Consider the lattice  $L = \mathbb{Z}e_1 + \mathbb{Z}e_2$  in  $\mathbb{R}^2$  given by  $e_1 = (1, 0)$  and  $e_2 = (1/2, 3^{1/2}/2)$ .  $T^2 = \mathbb{R}^2/L$  is a flat hexagonal torus. Let  $\rho: \mathbb{R}^2 \rightarrow \mathbb{R}^2/L$  be the natural projection map. A fundamental domain can be chosen as a hexagonal region  $H$  with vertices:  $v_1 = e_1/3 + e_2/3$ ,  $v_2 = -e_1/3 + 2e_2/3$ ,  $v_3 = -2e_1/3 + e_2/3$ ,  $v_4 = -e_1/3 - e_2/3 = -v_1$ ,  $v_5 = -v_2$ , and  $v_6 = -v_3$  and the sides to be the line segments joining  $v_i$  to  $v_{i+1}$ , mod 6. See Figure 22. In fact, if we consider  $\mathbb{R}^2$  to be  $T(T^2)_{(0,0)}$  and  $\rho$  be  $\exp_{(0,0)}$ , then  $A_{(0,0)} = H$  and the tangential cut locus  $\tilde{C}_\rho(0,0)$  of  $\rho(0,0)$  is  $\partial H$ . Hence,  $i_T = 1/2$ ,  $d_T = 3^{-1/2}$  and  $i_T/d_T = 3^{1/2}/2$ , since  $i_T$  and  $d_T$  are independent from the choice of the points in this example.

Example 3 shows that  $\varepsilon(0)$ 's of Theorems 1, 2, and 3 can not be made better than  $1 - (3^{1/2}/2)$ , even with another method.

In  $T^2$  of Example 3, let  $p, q_0, q_1 \in T^2$  be such that  $p = \rho(0,0)$ ,  $q_1 = \rho(v_1) = \rho(v_3) = \rho(v_5)$  and  $q_0 = \rho(v_2) = \rho(v_4) = \rho(v_6)$ .  $d(p, q_1) = d(p, q_0) = d_p = 3^{-1/2}$ .  $C_p = \rho(\partial H)$  is the union of three distinct minimal geodesics from  $q_0$  to  $q_1$ . In fact, there are exactly three distinct minimal geodesics from  $p$  to  $q_0$  and  $q_1$ , each. With the notation of Theorem 4;  $V_2 = \{q_0, q_1\}$  and  $V_1 = \rho(\partial H) - \{q_0, q_1\}$ . Although  $i_T/d_T = 3^{1/2}/2 < 2 \cdot 6^{1/2} - 4 = 1 - \varepsilon(\sigma_3, 0)$ ,  $T^2$  perfectly describes an example of  $C_p$  for the situation of Theorem 4 for  $V_2 \neq \emptyset$ ; but still it is not an example for Theorem 4.

Figure 22. In  $\mathbb{R}^2$ .

Some immediate corollaries of Theorems 1-5B.

For any  $C \in \mathbb{R}$ , there is a universal constant  $\varepsilon(C)$  such that for any compact Riemannian manifold  $M^n$  with  $K_1 \geq K_M \geq K_0$  and diameter  $d$ , the following corollaries hold, ( $\varepsilon(C)$  can be made better, if different ones are used in each case, sometimes depending on the dimension, as in the Theorems. Otherwise, we consider the smallest of all of them, which is positive):

COROLLARY 1. If  $i_M/d_M > 1 - \varepsilon(d^2 K_0)$ , then  $\pi_1(M) = 1$  or  $\mathbb{Z}_2$ .

COROLLARY 2. If  $i_M/d_M > 1 - \varepsilon(d^2 K_0)$  and  $M$  is not simply connected, then  $M^n$  has the homotopy type of  $\mathbb{R}P^n$ .

Corollaries 1 and 2 follow from Theorems 1 and 2, with the fact that  $i_{M \leq p \leq d} \leq d_M$  and hence,  $i_p/d_p \geq i_M/d_M$ .

COROLLARY 3. If  $K_1 \leq 0$ , then  $i_{p \leq d} \leq d_p (1 - \varepsilon(d^2 K_0))$  and hence, for any flat manifold,  $i_p \leq 0.914 \cdot d_p$ .

This follows from Theorem 1 and the fact that any compact Riemannian manifold whose sectional curvature is non-positive, has infinite fundamental group, since its universal cover is diffeomorphic to  $\mathbb{R}^n$ .

COROLLARY 4. If  $K_1 > 0$  and  $d_{\bar{p}} \leq \pi/(2K_1^{1/2})$ , then  $i_{M \leq \bar{p}} > d_{\bar{p}}(1 - \epsilon(d^2 K_0))$ .

This follows from Theorems 4 and 5.

COROLLARY 5. If  $d_{\bar{p}} < \pi/K_1^{1/2}$  and  $i_{M > \bar{p}} > d_{\bar{p}}(1 - \epsilon(d^2 K_0))$ , then

i)  $d_{\bar{p}} \geq \pi/(2K_1^{1/2})$ ,

ii)  $\tilde{M}$  is homeomorphic to  $S^n$ ,

iii)  $\pi_1(M) = \mathbb{Z}_2$ .

Hence,  $M^n$  has the homotopy type of  $\mathbb{R}P^n$ , and if  $n \leq 4$ , it is homeomorphic to  $\mathbb{R}P^n$ .  $C_{\bar{p}}$  is a  $n-1$  dimensional submanifold which has the homotopy type of  $\mathbb{R}P^{n-1}$ . Thus, if we have a simply connected compact Riemannian manifold with

$i_{M > \bar{p}}/d_{\bar{p}} > 1 - \epsilon(d^2 K_0)$ , then  $d_{\bar{p}} \geq \pi/K_1^{1/2}$ .

This follows from Theorems 3, 4, and 5.

COROLLARY 6. Let  $g(t)$  be a  $C^2$  one parameter family of Riemannian metrics on  $\mathbb{R}P^n$ ,  $t \in (-\delta, \delta)$  such that  $g(0)$  is the standard metric on  $\mathbb{R}P^n$  of constant curvature 1. Then there exists  $\delta_1 > 0$  such that, for all  $t \in (-\delta_1, \delta_1)$ , cut locus of any point of  $\mathbb{R}P^n$  with the metric  $g(t)$  is an  $n-1$  dimensional submanifold.

For the proof of this, see Example 1 and Theorem 4.

REMARK. Obviously, the cases of  $i_{\bar{p}} = d_{\bar{p}}$  and  $i_{M \leq \bar{p}} = d_{\bar{p}}$  are included in Theorems 1-5B and above Corollaries, whenever it is appropriate.

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