

**$p$ -ADIC ALGEBRAIC GEOMETRY  
(SIMONS LECTURES AT STONY BROOK)**

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1. LECTURE 1: OVERVIEW

Fix a prime number  $p$  for the series.

INTRODUCTION

1.1. What are the  $p$ -adic numbers?

**Construction 1.1** (Analytic construction). There is a natural  $p$ -adic metric on  $\mathbf{Q}$  determined by the norm

$$\left| \frac{a}{b} \right| = (1/p)^{\text{val}(a) - \text{val}(b)},$$

i.e.,  $|\frac{a}{b}|$  is small if the numerator is highly divisible by  $p$ . The completion of  $\mathbf{Q}$  for this metric is the field  $\mathbf{Q}_p$  of  $p$ -adic numbers. Thus, a typical  $\alpha \in \mathbf{Q}_p$  is given by a series

$$\alpha := \sum_{i \geq -N} a_i p^i \quad \text{where } 0 \leq a_i \leq p-1.$$

By construction,  $\mathbf{Q}_p$  is a complete valued field.

**Remark 1.2.** The  $p$ -adic metric is nonarchimedean, i.e.  $|a+b| \leq \max(|a|, |b|)$ ,  $\rightsquigarrow$

$$\mathbf{Z}_p := \{a \in \mathbf{Q}_p \mid |a| \leq 1\}$$

is a subring of  $\mathbf{Q}_p$ . Note that  $p \in \mathbf{Z}_p$  but  $1/p \notin \mathbf{Z}_p$ , so  $\mathbf{Z}_p$  is not a field. In fact, we have  $\mathbf{Z}_p[1/p] = \mathbf{Q}_p$ .

**Construction 1.3** (Algebraic construction). One can show that

$$\mathbf{Z}_p = \varprojlim_n \mathbf{Z}/p^n \mathbf{Z} := \{(a_n)_{n \geq 1} \mid a_n \in \mathbf{Z}/p^n \mathbf{Z}, a_{n+1} \equiv a_n \pmod{p^n}\}.$$

We obtain the following picture:

$$\mathbf{Q}_p \xleftarrow{\text{invert } p} \mathbf{Z}_p \xrightarrow{\text{kill } p} \mathbf{Z}/p = \mathbf{F}_p.$$

Thus,  $\mathbf{Z}_p$  relates the characteristic 0 field  $\mathbf{Q}_p$  to the characteristic  $p$  field  $\mathbf{F}_p$ .

**Variation 1.4** (The  $p$ -adic complex numbers). One has a complete and algebraically closed extension  $\mathbf{C}_p/\mathbf{Q}_p$  defined via

$$\mathbf{C}_p = \widehat{\mathbf{Q}_p}.$$

As before, we obtain the following picture:

$$\mathbf{C}_p \xleftarrow{\text{invert } p} \mathcal{O}_{\mathbf{C}_p} := \{a \in \mathbf{C}_p \mid |a| \leq 1\} \xrightarrow{\text{kill } p^{1/n} \forall n} \overline{\mathbf{F}_p}.$$

Thus,  $\mathcal{O}_{\mathbf{C}_p}$  relates algebraically closed fields of characteristic 0 and characteristic  $p$ .

**Remark 1.5.** (1) One has  $\mathbf{C}_p \simeq \mathbf{C}$  as abstract fields.

(2) The group  $G_{\mathbf{C}_p} := \text{Gal}(\mathbf{C}_p/\mathbf{Q}_p)$  is *enormous*, unlike  $\text{Aut}(\mathbf{C}/\mathbf{R})$ .

### 1.2. How do the *p*-adic numbers arise in mathematics?

- (1) **Extrinsically.** The algebraic definition of completion makes sense with  $\mathbf{Z}$  replaced by other abelian groups or fancier objects, e.g.,
  - (Sullivan, Bousfield-Kan) A topological space  $X$  admits a *p*-adic completion  $\widehat{X}$  with each  $\pi_i(X)$  being a  $\mathbf{Z}_p$ -module (and  $\pi_i(\widehat{X}) = \pi_i(X)^\wedge$  under finiteness hypotheses).
  - A complex  $M$  of abelian groups admits a *p*-adic completion  $\widehat{M}$  with each  $H_i(\widehat{M})$  being a  $\mathbf{Z}_p$ -module (and  $H_i(\widehat{M}) = H_i(M)^\wedge$  under finiteness hypotheses).
- (2) **Intrinsically.** There is a good notion of “analytic functions” over  $\mathbf{Q}_p$  or  $\mathbf{C}_p$ ,  $\rightsquigarrow$  to a rich theory of *p*-adic analytic spaces, *p*-adic Hodge theory, etc.

**Example 1.6.** Tate showed (late 50s) that for any  $q \in \mathbf{C}_p$  with  $0 < |q| < 1$ , the space

$$E_q := \mathbf{C}_p^*/q^{\mathbf{Z}}$$

is naturally an elliptic curve over  $\mathbf{C}_p$ .

- (3) **As the glue between characteristic 0 and *p*.** A nice algebraic variety object  $X/\mathcal{O}_{\mathbf{C}_p}$  (e.g., an algebraic variety) gives a very close relationship between the characteristic *p* variety  $X_{\overline{\mathbf{F}}_p}$  and the (*p*-adic) complex variety  $X_{\mathbf{C}_p}$

### 1.3. What are some of the new techniques?

- (1) **Perfectoid spaces.**

These are “infinite sheeted covers of *p*-adic analytic spaces that are “infinitely ramified in characteristic *p*”

**Example 1.7.** • Let  $D = \{z \in \mathbf{C}_p \mid |z| \leq 1\}$  be the closed unit disc. Then the inverse limit of

$$\dots D \xrightarrow{z \mapsto z^p} D \xrightarrow{z \mapsto z^p} D \xrightarrow{z \mapsto z^p} D$$

is naturally a perfectoid space.

- Let  $E$  be an elliptic curve over  $\mathbf{C}_p$ . Then the inverse limit of

$$\dots E \xrightarrow{p} E \xrightarrow{p} E \xrightarrow{p} E$$

is naturally a perfectoid space.

Surprisingly, perfectoid spaces are simpler than *p*-adic analytic spaces in some important ways: they are completely controlled by certain objects that live in characteristic *p* and are thus easier to study (e.g., using the Frobenius endomorphism that acts on everything in characteristic *p*).

- (2) **Prismatic cohomology.**

This is a new integral cohomology theory for geometric objects over  $\mathbf{Z}_p$  that interpolates between all previous known *p*-adic cohomology theories available in this setting (e.g., de Rham, Hodge, crystalline, étale), leading to new relations between these theories.

## A SAMPLING OF APPLICATIONS

### 1.4. Number theory.

**Theorem 1.8** (Scholze’s torsion Langlands theorem, 2013). *For many number fields  $F$ , any  $\mathbf{F}_p$ -automorphic form on for  $GL_{n,F}$  has an attached Galois representation  $\text{Gal}(\overline{F}/F) \rightarrow \text{GL}_n(\overline{\mathbf{F}}_p)$ .*

**Remark 1.9.** (1) The key technical theorem above was:

**Theorem 1.10.** *Let  $\mathcal{A}_g[p^\infty]$  be the space parametrizing abelian varieties  $A/\mathbf{C}_p$  with a trivialization of  $H_1(A, \mathbf{Z}_p)$ . Then  $\mathcal{A}_g[p^\infty]$  is a perfectoid space.*

- (2) In 2018, the ten author<sup>1</sup> paper used the above to prove the Sato-Tate conjecture for elliptic curves over CM number fields.

### 1.5. Algebraic geometry.

**Theorem 1.11** (Bhatt, 2020). *Kodaira vanishing holds true, up to passage to finite covers, in mixed characteristic algebraic geometry.*

**Remark 1.12.** (1) The theorem has a *very* concrete consequence:

- (\*) Let  $R = \mathbf{Z}[x_1, \dots, x_n]$  and let  $R^+$  be the integral closure of  $R$  in  $\overline{\text{Frac}(R)}$ . Then  $(p, x_1, \dots, x_n)$  is a regular sequence on  $R^+$ , i.e.,  $x_i$  acts injectively on  $R^+/(p, x_1, \dots, x_{i-1})$  for  $i \geq 1$ .
- (\*) is highly non-trivial even for  $n = 2$ .
- (2) The proof of the theorem relies on prismatic cohomology as well as a *p*-adic Riemann-Hilbert correspondence for perverse  $\mathbf{F}_p$ -sheaves (Bhatt-Lurie).
- (3) (\*) implies the “direct summand conjecture” and the “weakly functorial big Cohen-Macaulay module conjecture” of Hochster. These were recently shown by Y. André, and are known to imply most of the “homological conjectures” in commutative algebra.
- (4) Theorem forms an essential ingredient of the following:

**Theorem 1.13** (BMPSTWW and Yoshikawa-Takkamatsu, 2020). *The minimal model program holds true in dimension  $\leq 3$  over  $\mathbf{Z}_p$  for  $p \geq 5$ .*

**1.6. Homotopy theory.** Write  $K(X)$  for the complex *K*-theory of a topological space  $X$ . Recall the following basic result:

**Theorem 1.14** (Bott, Atiyah-Hirzebruch). *Given a nice topological space  $X$ , we can filter the *K*-theory  $K(X)$  by singular cohomology, i.e., there exists a spectral sequence*

$$E_2^{i,j} : H^i(X, \mathbf{Z}(\frac{-j}{2})) \Rightarrow K^{i+j}(X)$$

*that degenerates modulo torsion, where  $\mathbf{Z}(\frac{-j}{2})$  vanishes if  $j$  is odd, and is  $(2\pi i)^{-\frac{j}{2}}\mathbf{Z}$  for  $j$  even.*

**Theorem 1.15** (Bhatt-Morrow-Scholze and Clausen-Mathew-Morrow, 2018). *Let  $R$  be a *p*-adically complete ring. Then we can filter the *p*-adic étale *K*-theory space  $K_{\text{ét}}(R)^\wedge$  of  $R$  in terms of syntomic cohomology  $H^*(R, \mathbf{Z}_p(\frac{-j}{2}))$ .*

- Remark 1.16.** (1) The complementary case where  $p \in R^*$  was conjectured by Beilinson (mid 80s), and is classical (Thomason, Gabber, and Suslin (also 80s)).
- (2) Syntomic cohomology is defined in terms of prismatic cohomology. In fact, the relevant cases of both were discovered in [BMS] in a quest to prove the above theorem.
- (3) Theorem has led to new calculations in algebraic *K*-theory.

<sup>1</sup>Allen, Calegari, Caraiani, Gee, Helm, Le Hung, Newton, Scholze, Taylor, Thorne

2. LECTURE 2: PRISMATIC COHOMOLOGY

Unattributed results are joint with Morrow and Scholze

2.1. Understanding  $\mathbf{F}_p$ -cohomology geometrically.

**Question 2.1.** Let  $M$  be a compact Kähler manifold. Hodge theory describes  $H^i(M, \mathbf{C})$  via differential forms. How to see  $H^i(M, \mathbf{Z})_{tors}$  or  $H^i(M, \mathbf{F}_p)$  geometrically?

**Notation 2.2.** We set  $C := \mathbf{C}_p = \widehat{\mathbf{Q}_p}$ , giving rise to

$$C \xleftarrow{\text{invert } p} \mathcal{O}_C := \{a \in C \mid |a| \leq 1\} \xrightarrow{\text{kill } p^{1/n} \forall n} \overline{\mathbf{F}_p} =: k$$

as in the first talk.

Let  $X/\mathcal{O}_C$  be a smooth projective variety,  
 $\rightsquigarrow$  smooth projective varieties  $X_C/C$  and  $X_k/k$  in characteristics 0 and  $p$  respectively.

**Theorem 2.3.**  $\mathbf{F}_p$ -cohomology classes on  $X_C$  are obstructions to integration of forms on  $X_k$ . More precisely, we have

$$(*) \dim_{\mathbf{F}_p} H^i(X_C, \mathbf{F}_p) \leq \dim_k H_{dR}^i(X_k).$$

**Example 2.4.** Say  $p = 2$  and  $X_C$  is an Enriques surface, so  $\pi_1(X_C) = \mathbf{F}_2$ , whence  $H^1(X_C, \mathbf{F}_2) \neq 0$ . Then  $(*)$  implies that  $H_{dR}^1(X_k) \neq 0$  (W. Lang, Illusie).

**Remark 2.5.** (1) The inequality  $(*)$  can be strict: there can be (topologically) distinct  $X_C$ 's for the same  $X_k$ .

(2)  $(*)$  was previously known in some special cases where it is an equality (Faltings, Caruso).

(3)  $(*)$  has been extended to the “semistable” case (Cesnavicius-Koshikawa).

2.2. Fontaine’s deformation (aka the prismatic cohomology of a point).

**Observation 2.6.** If  $R$  is a commutative ring of characteristic  $p$ , then there is a natural “Frobenius” endomorphism

$$\phi : R \rightarrow R, \quad \phi(f) = f^p.$$

By naturality, this acts on characteristic  $p$  algebraic geometry.

Can we do something similar in mixed characteristic?

**Exercise 2.7.** Show that there is no endomorphism  $\phi : \mathcal{O}_C \rightarrow \mathcal{O}_C$  such that  $\phi(f) = f^p \pmod{p}$ .

Nevertheless, Fontaine found a beautiful fix:

**Construction 2.8** (Fontaine).

$$A_{\text{inf}} := W \left( \varprojlim (\dots \rightarrow \mathcal{O}_C/p \xrightarrow{\phi} \mathcal{O}_C/p \xrightarrow{\phi} \mathcal{O}_C/p) \right).$$

So what does this really mean??

- By functoriality of  $W(-)$ , the Frobenius on  $\mathcal{O}_C/p$  gives an automorphism  $\phi : A_{\text{inf}} \rightarrow A_{\text{inf}}$  such that

$$\phi(f) = f^p \pmod{pA_{\text{inf}}}$$

for all  $f \in A_{\text{inf}}$ .

- There is an element  $u \in A_{\text{inf}}$  such that  $\phi(u) = u^p$  and  $A_{\text{inf}}/(u - p) \simeq \mathcal{O}_C$ .

The triple  $(A_{\text{inf}}, \phi, (u - p))$  is an example of a *perfect prism*.

2.3. Prismatic cohomology in general.

**Theorem 2.9.** There exists a cohomology theory  $H_{\Delta}^*(X)$  valued in finitely generated  $A_{\text{inf}}$ -modules and equipped with a (non-bijective!) Frobenius action  $\phi_X : H_{\Delta}^*(X) \rightarrow H_{\Delta}^*(X)$  with the following properties:

- (1) Extending scalars along  $A_{\text{inf}} \rightarrow A_{\text{inf}}/(u - p)$  gives  $H_{dR}^*(X)$ .
- (2) Extending scalars along  $A_{\text{inf}} \rightarrow A_{\text{inf}}[1/(u - p)]^\wedge$  gives  $H^*(X_C, \mathbf{Z}_p) \otimes_{\mathbf{Z}_p} A_{\text{inf}}[1/(u - p)]^\wedge$ .

In particular, we obtain  $(*)$  by semicontinuity.

**2.4. Where did prismatic cohomology come from?** Two rather distinct inspirations:

- (1) *Abstract p-adic Hodge theory*: Say  $X$  is defined over  $\mathbf{Z}_p$ , so  $G_{\mathbf{Q}_p}$  acts on  $L := H^i(X_C, \mathbf{Z}_p)$ . Kisin had attached (in 2006) certain  $A_{\text{inf}}$ -modules  $T(L)$  equipped with Frobenius actions to the  $G_{\mathbf{Q}_p}$ -representation  $L/\text{torsion}$  with the property that  $T(L)/(u-p)T(L)$  is closely related to  $H_{dR}^*(X)/\text{torsion}$ .

**Question 2.10.** Can one construct  $T(L)$  geometrically via  $X$  in a fashion that sees torsion?

- (2) *Hesselholt's Bott periodicity*: An important object in  $K$ -theory is the following spectrum attached to a ring  $R$ :

$$TP(R) = THH(R)^{tS^1} := (R \otimes_{R \otimes_{\mathbf{S}} R} R)^{tS^1}$$

Motivated by the Lichtenbaum-Quillen conjecture, Hesselholt had proved a periodicity theorem

$$\pi_* TP(\mathcal{O}_C) = A_{\text{inf}}[u, u^{-1}] \quad \text{with} \quad \deg(u) = 2$$

and moreover observed that  $\pi_* TP(\mathcal{O}_C)$  has a natural Frobenius action.

( $\rightsquigarrow$  get purely  $p$ -adic proof of Bott periodicity (Hesselholt-Nikolaus).)

**Question 2.11.** Is there a version of this calculation for  $TP(X)$ ?

**Remark 2.12.** By now, there are 3 constructions of prismatic cohomology, in increasing order of generality:

- (1)  $p$ -adic Hodge theory — relies crucially on the Faltings almost purity theorem.
- (2) Topological Hochschild homology — relies on quasi-syntomic descent.
- (3) The prismatic site.

**2.5. Other applications and followups.**

- (1) Prismatic cohomology is computed in local co-ordinates by  $q$ -deformations of de Rham complexes  $\rightsquigarrow$  co-ordinate independence of  $q$ -de Rham cohomology (conjectured by Scholze).
- (2) Syntomic cohomology and  $K$ -theory calculations (Liu-Wang, Bhatt-Clausen-Mathew,...).

**Example 2.13** (Special case of odd vanishing).  $\pi_* K(\mathcal{O}_C/p^n)$  is concentrated in even degrees.

**Example 2.14** (Weight 1 syntomic cohomology). For any  $p$ -complete ring  $R$ , we get a fibre sequence

$$\mathbf{Z}_p(1)(R) = \text{Pic}(R)^\wedge[-2] \rightarrow \text{Fil}^1 \Delta_R \xrightarrow{\phi-1} \Delta_R,$$

giving a (very weak)  $p$ -adic analog of the Lefschetz (1, 1)-theorem.

- (3) Perfections in mixed characteristic (discussed next time)
- (4) Potential applications to the  $p$ -adic Langlands program (e.g., calculation of  $H^*(\Omega, \mathbf{Z}_p)$  by Colmez-Dospinescu-Niziol),
- (5) A good candidate for the notion of a “mod  $p$  crystalline Galois representation” (Drinfeld)

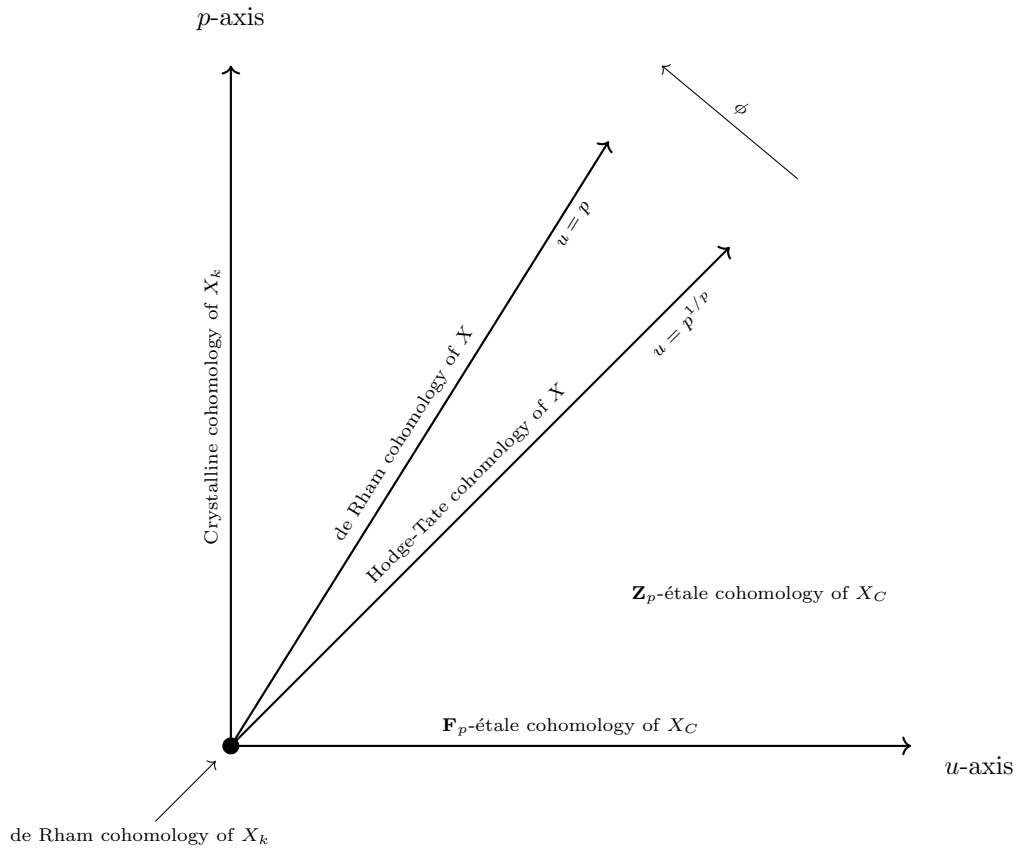


FIGURE 1. The “values” over  $R\Gamma_{\Delta}(X)$  over  $\text{Spec}(A_{\text{inf}})$  as provided by Theorem 2.9

3. LECTURE 3: RIEMANN-HILBERT AND APPLICATIONS

3.1. Background over  $\mathbf{C}$ .

**Theorem 3.1** (Kodaira). *Let  $X$  be a smooth projective variety over  $\mathbf{C}$ , and let  $L$  be an ample line bundle on  $X$ . Then  $H^i(X, L^{-1}) = 0$  for  $i < d = \dim(X)$ .*

*Proof sketch.* For very ample  $L$ , if  $H \in |L|$  is a general section, then Hodge theory shows that  $H^i(X, L^{-1})$  is a summand of  $H_c^i(X - H, \mathbf{C})$ . Now  $X - H$  is a smooth affine of dimension  $d$ , so Artin vanishing gives  $H_c^i(X - H, \mathbf{C}) = 0$  for  $i < d$ . For general  $L$ , use the cyclic covering trick.  $\square$

**Remark 3.2.** (1) Kodaira vanishing is false in characteristic  $p$  (Raynaud) and probably in mixed characteristic (c.f., Totaro).

(2) Theorem KV is often useful in lifting sections, e.g., if  $H \in |L|$  is a section, then adjunction implies that  $\omega_X(H)|_D = \omega_H$ , and KV then implies that  $H^0(X, \omega_X(H)) \rightarrow H^0(H, \omega_H)$  is surjective

**3.2. Kodaira vanishing in mixed characteristic.** Recall that a noetherian local ring  $(R, \mathfrak{m})$  is called *Cohen-Macaulay (CM)* if one of the following equivalent conditions holds true:

- (1) Every system of parameters in  $\mathfrak{m}$  is a regular sequence.
- (2) We have  $H_{\mathfrak{m}}^i(R) = 0$  for  $i < \dim(R)$ .
- (3) (If  $R$  admits a dualizing complex) The dualizing complex  $\omega_R$  is concentrated in a single degree.

**Theorem 3.3** (Theorem CM). (1) *Local: Let  $R$  be an excellent noetherian domain with  $p \in \text{Rad}(R)$ . Let  $R^+$  be an absolute integral closure of  $R$ , i.e., the integral closure of  $R$  in  $\overline{\text{Frac}(R)}$ . Then  $R^+$  is CM over  $R$  at all points of characteristic  $p$  ( $\xrightarrow{\text{BMPSTWW}} \widehat{R^+}$  is CM over  $R$ ).*

(2) *Global: Let  $V$  be a  $p$ -adic DVR,  $X/V$  a proper flat  $V$ -scheme of relative dimension  $d$ , and  $L$  a semiample and big line bundle on  $X$ . Then there exists a finite cover  $\pi : Y \rightarrow X$  such that  $\pi^*$  annihilates the following groups:*

- (a)  $H^{>0}(X, \mathcal{O})_{\text{tors}}$
- (b)  $H^{>0}(X, L)_{\text{tors}}$ .
- (c)  $H^{<d}(X, L^{-1})_{\text{tors}}$ .

**Remark 3.4.** (1) Theorem CM (1) is completely false in characteristic 0 if  $\dim(R) \geq 3$ .

- (2) Characteristic  $p$  analog of Theorem CM is an important classical result of Hochster-Huneke (for  $L$  ample, and  $V$  a field), very useful in modern  $F$ -singularity theory.
- (3) Theorem CM (1) gives a new and explicit construction of “weakly functorial big CM algebras” (André, Gabber)  $\rightsquigarrow$  (most) homological conjectures in commutative algebra.
- (4) Theorem CM (2) admits a relative variant which is useful in applications, e.g., it is used to prove that one can run the MMP in mixed characteristic in dimension  $\leq 3$  (BMPSTWW, and Takamatsu-Yoshikawa).

**Example 3.5** (Cone over an elliptic curve). Let  $R = \mathbf{Z}_p[[x, y]]/(x^3 + y^3 + p^3)$ , so  $R$  is a 2-dimensional normal local domain with an isolated singularity. Let  $f : X = \text{Bl}_0(\text{Spec}(R)) \rightarrow \text{Spec}(R)$  be the resolution, so we have

$$\begin{array}{ccc} \mathbf{P}_{\mathbf{F}_p}^2 \supset E = V(x^3 + y^3 + z^3) & \longrightarrow & X \\ \downarrow & & \downarrow \\ \text{Spec}(\mathbf{F}_p) & \longrightarrow & \text{Spec}(R). \end{array}$$

One calculates that  $H^1(X, \mathcal{O}_X) \simeq H^1(E, \mathcal{O}_E)$ , which is a copy of  $\mathbf{F}_p$ . The relative version of Theorem CM 2a predicts that there exists a finite cover  $\pi : Y \rightarrow X$  such that  $\pi^*$  kills  $H^1(X, \mathcal{O}_X)$ . To construct it explicitly, one proves, using deformation theory, that the finite flat map  $[p] : E \rightarrow E$  deforms to a finite flat cover  $\pi : Y \rightarrow X$ , which one then checks does the job.

### 3.3. Strategy of the proof of Theorem CM (1).

- (1) (Bhatt-Lurie) Show that  $H_{\mathfrak{m}}^i(R^+)$  is almost zero, i.e., annihilated by  $p^{1/p^n}$  for all  $n$  — uses *p*-adic Riemann-Hilbert functor and a slightly surprising perversity statement on  $R[1/p]$ .
- (2) Show that  $H_{\mathfrak{m}}^i(R^+)$  is actually zero — replace  $R^+$  with  $\Delta_{R^+}$  to exploit the Frobenius.

### 3.4. The Riemann-Hilbert functor (joint with Lurie).

**Notation 3.6.** Let  $C = \mathbf{C}_p$  with residue field  $k = \overline{\mathbf{F}}_p$ . Let  $X/\mathcal{O}_C$  be a finitely presented flat scheme. Write  $X_0 := X \otimes_{\mathcal{O}_C} \mathcal{O}_C/p$ .

**Construction 3.7** (Almost mathematics (Faltings)). The maximal ideal  $\mathfrak{m} \subset \mathcal{O}_C$  satisfies

$$\mathfrak{m} \otimes_{\mathcal{O}_C}^L \mathfrak{m} \simeq \mathfrak{m}.$$

Consequently, restriction of scalars  $D(\mathcal{O}_C/\mathfrak{m}) \rightarrow D(\mathcal{O}_C)$  is fully faithful, and one can contemplate the Verdier quotient

$$D(\mathcal{O}_C)^a := D(\mathcal{O}_C)/D(\mathcal{O}_C/\mathfrak{m}),$$

called the *almost derived category* of  $\mathcal{O}_C$ .

More generally, for  $X$  as above, one has an analogously defined almost derived category  $D_{qc}(X_0)^a$ .

**Example 3.8.** The inclusion  $\mathfrak{m} \subset \mathcal{O}_C$  is an almost isomorphism, but the inclusion  $(p) \subset \mathcal{O}_C$  is not.

**Theorem 3.9** (The *p*-adic Riemann-Hilbert functor). *There is an exact functor*

$$RH : D_{cons}^b(X_C, \mathbf{F}_p) \rightarrow D_{qc}^b(X_0)^a$$

with the following features:

- (1) *Normalization:* We have  $RH(\mathbf{F}_p) = \mathcal{O}_{X, \text{perfd}}/p := \Delta_{X, \text{perfd}}/(p, d)$ .
- (2) *Proper pushforward:* For a proper map  $f : Y \rightarrow X$ , we have a natural identification

$$RH \circ Rf_* \simeq Rf_* \circ RH.$$

- (3) *Almost coherence:* For  $F \in D_{cons}^b(X, \mathbf{F}_p)$ , the object  $RH(F)$  is almost coherent, i.e., for any  $\epsilon \in \mathfrak{m}$ , there is some  $M_\epsilon \in D_{coh}^b(X_0)$  and a map  $M_\epsilon \rightarrow RH(F)$  whose cone is killed by  $\epsilon$ .

**Example 3.10.**  $\bigoplus_{n \geq 0} \mathcal{O}_C/p^{1/p^n}$  is almost coherent over  $\mathcal{O}_C$  but not coherent.

- (4) *Duality:* We have a natural isomorphism

$$RH \circ \mathbf{D}_{Verd} \simeq \mathbf{D}_{Groth} \circ RH$$

- (5) *Perversity:* We have  $RH(pD^{\leq 0}(X_C, \mathbf{F}_p)) \in D^{\leq 0}$ .

**Remark 3.11.** Some comments on the above

- (1) (3) and (4) are inspired by work of Zavyalov (and Gabber), whose use such a strategy to prove Poincare duality for the  $\mathbf{F}_p$ -cohomology of rigid spaces.
- (2) (4) and (5) that if  $F \in \text{Perv}(X; \mathbf{F}_p)$ , then  $RH(F)[- \dim(X_C)]$  is almost Cohen-Macaulay  $\rightsquigarrow$  by (2), Theorem CM (1) in the almost category reduces to the following characteristic 0 statement:

**Proposition 3.12.** *Let  $X/\mathbf{C}$  be an irreducible algebraic variety. Let  $\pi : X^+ \rightarrow X$  be an absolute integral closure (i.e., normalize  $X$  in  $\overline{K(X)}$ ). Then  $\pi_* \mathbf{F}_p[X]$  is ind-perverse.*

- (3) There is a version of the theorem with  $\mathbf{Z}/p^n$ -coefficients. Taking the inverse limit over  $n$  and inverting  $p$ , we expect to prove the following refinement of the above theorem with  $\mathbf{Q}_p$ -coefficients:

**Theorem 3.13** (Expected theorem). *Let  $X/\mathbf{Q}_p$  be a smooth algebraic variety. There is a natural triangulated subcategory  $D_{cons, wHT}^b(X, \mathbf{Q}_p) \subset D_{cons}^b(X, \mathbf{Q}_p)$  stable under various geometric operations,*



as well as a natural commutative diagram

$$\begin{array}{ccc}
 D_{cons,wHT}^b(X, \mathbf{Q}_p) & \xrightarrow{RH_{\mathcal{D}}} & DF_{good}(\mathcal{D}_X) := \{(\text{derived}) \text{ good filtered } D\text{-modules on } X\} \\
 \downarrow RH & & \downarrow gr \\
 & & D_{coh,gr}^b(T^*X) := \{(\text{derived}) \text{ graded Higgs sheaves on } X\} \\
 & & \downarrow 0^* (= \text{Koszul duality}) \\
 D_{coh,gr}^b(\oplus_i \Omega_{X_C/C}^i[-i]) & \xleftarrow{\otimes_C} & D_{coh,gr}^b(\oplus_i \Omega_{X/\mathbf{Q}_p}^i[-i]) = \{\text{graded Hodge complexes}\}
 \end{array}$$

$\rightsquigarrow$  may apply  $RH_{\mathcal{D}}$  to the BBDG decomposition theorem for  $D_{cons}^b(X, \mathbf{Q}_p)$  to obtain the decomposition theorem for filtered  $D$ -modules of geometric origin (Saito).