## homework assignment 7

 $pp \cdot 105$ exercise 2. Let  $\mathcal{B} = \{\alpha_1, \alpha_2, \alpha_3\}$  be the basis for  $\mathbb{C}^3$  defined by

 $\alpha_1 = (1, 0, -1)$   $\alpha_2 = (1, 1, 1)$   $\alpha_3 = (2, 2, 0).$ 

Find the dual basis of  $\mathcal{B}$ .

**Sol at ion:** The first element of the dual basis is the linear function  $\alpha_1^*$  such that  $\alpha_1^*(\alpha_1) = 1$ ,  $\alpha_1^*(\alpha_2) = 0$  and  $\alpha_1^*(\alpha_3) = 0$ . To describe such a function more explicitly we need to find its values on the standard basis vectors  $e_1$ ,  $e_2$  and  $e_3$ . To do this express  $e_1, e_2, e_3$  through  $\alpha_1, \alpha_2, \alpha_3$  (refer to the solution of Exercise 1 pp. 54-55 from Homework 6). For each i = 1, 2, 3 you will find the numbers  $a_i, b_i, c_i$  such that  $e_1 = a_i\alpha_1 + b_i\alpha_2 + c_i\alpha_3$  (i.e. the coordinates of  $e_i$  relative to the basis  $\alpha_1, \alpha_2, \alpha_3$ ). Then by linearity of  $\alpha_1^*$  we get that  $\alpha_1^*(e_i) = a_i$ . Then  $\alpha_2^*(e_i) = b_i$ , and  $\alpha_3^*(e_i) = c_i$ . This is the answer. It can also be reformulated as follows. If P is the transition matrix from the standard basis  $e_1, e_2, e_3$  to  $\alpha_1, \alpha_2, \alpha_3$ , i.e.  $(\alpha_1, \alpha_2, \alpha_3) = (e_1, e_2, e_3)P$ , then  $(P^{-1})^t$  is the transition matrix from the dual basis  $e_1^*, e_2^*, e_3^*$  to the dual basis  $\alpha_1^*, \alpha_2^*, a_3^*$ , i.e.  $(\alpha_1^*, \alpha_2^*, a_3^*) = (e_1^*, e_2^*, e_3^*)(P^{-1})^t$ .

Note that this problem is basically the change of coordinates problem: e.g. the value of  $\alpha_1^*$  on the vector  $v \in \mathbb{C}^3$  is the first coordinate of v relative to the basis  $\alpha_1, \alpha_2, \alpha_3$ .

Exercise 3.

If *A* and *B* are  $n \times n$  matrices over the field *F*, show that trace(*AB*) =trace(*BA*). Now show that similar matrices have the same trace.

**Solution:** It is easy to check that the trace of *AB* as well as the trace of *BA* equal the sum of all products  $a_{ij}b_{ji}$  where i, j = 1, ..., n.

If A is similar to A' then  $A' = PAP^{-1}$  for some invertible matrix P. Apply the above identity to the matrices P and  $AP^{-1}$ . We get that trace $[P(AP^{-1})] =$ trace $[(AP^{-1})P]$ . Hence, trace(A') = trace $[(AP^{-1})P] =$ trace(A).

**EXERCISE 4**. Let *V* be the vector space of all polynomial functions *p* from  $\mathbb{R}$  to  $\mathbb{R}$  that have degree 2 or less:

$$p(x) = c_0 + c_1 x + c_2 x^2.$$

Define three linear functionals on V by

$$f_1(p) = \int_0^1 p(x)dx, \quad f_2(p) = \int_0^2 p(x)dx, \quad f_3(p) = \int_0^{-1} p(x)dx$$

Show that  $\{f_1, f_2, f_3\}$  is a basis for  $V^*$  by exhibiting the basis for V of which it is dual.

## Solution:

We have to find a basis  $\{p_1, p_2, p_3\}$  for *V* such that  $f_i(p_j) = \delta_{ij}$ . Let's try to find  $p_1$ ;  $p_1$  must be a polynomial of degree at most 2 such that

$$\int_0^1 p_1(x)dx = 1, \ \int_0^2 p_1(x)dx = 0, \ \int_0^{-1} p_1(x)dx = 0$$

Let  $P_1$  be the anti-derivative of  $p_1$ , i.e.  $P'_1(x) = p_1(x)$ . Then, by the fundamental theorem of calculus, the conditions on  $p_1$  become

$$P_1(1) - P_1(0) = 1, P_1(2) - P_1(0) = 0, P_1(-1) - P_1(0) = 0$$

If we choose  $P_1(0)$  to be equal to zero, the conditions are simply  $P_1(1) = 1$ ,  $P_1(2) = 0$ ,  $P_1(-1) = 0$ . This implies that  $P_1$  has roots at 0, 2 and -1. Therefore  $P_1(x) = q(x)x(x-2)(x+1)$  where q is some polynomial. But we also require  $P_1$  to have degree at most 3 (since we want the derivative to have degree at most 2). Therefore q(x) should be a constant polynomial. What constant? Well, we want  $P_1(1) = q(1)1(1-2)(1+1) = 1$  therefore  $q(1) = q(x) = -\frac{1}{2}$ . In the same way we can find  $p_2$  and  $p_3$ .

Let  $p_1(x) = -\frac{1}{2}[(x-2)(x-1) + x(x+1) + x(x-2)]$ ,  $p_2(x) = \frac{1}{6}[(x-1)(x+1) + x(x+1) + x(x-1)]$ ,  $p_3(x) = -\frac{1}{6}[(x-1)(x-2) + x(x-2) + x(x-1)]$ . Then  $\mathcal{B} = \{p_1, p_2, p_3\}$  is a basis whose dual is  $\{f_1, f_2, f_3\}$ . To verify that it is a basis, it is enough to show an invertible matrix which maps the vectors  $\{p_1, p_2, p_3\}$  onto the vectors  $\{1, x, x^2\}$  (both sets expressed with coordinates in the basis  $\{1, x, x^2\}$ ). This matrix is

$$M = \begin{pmatrix} 1 & \frac{1}{2} & \frac{1}{3} \\ 2 & 2 & \frac{8}{3} \\ -1 & \frac{1}{2} & -\frac{1}{3} \end{pmatrix}$$

To verify that  $\{f_1, f_2, f_3\}$  is the dual basis, calculate the integrals and verify that  $f_i(p_j) = \delta_{ij}$ .

 $e_{xepcise 8}$ . Let *W* be the subspace of  $\mathbb{R}^5$  that is spanned by the vectors

$$\alpha_1 = e_1 + 2e_2 + e_3, \quad \alpha_2 = e_2 + 3e_3 + 3e_4 + e_5,$$
  
 $\alpha_3 = e_1 + 4e_2 + 6e_3 + 4e_4 + e_5.$ 

Find a basis for  $W^0$ .

**Solution**: The vectors  $\alpha_1, \alpha_2, \alpha_3$  are linearly independent. This can be checked by row reducing the matrix whose row vectors are  $\alpha_1, \alpha_2, \alpha_3$ . We get the matrix

$$\begin{pmatrix} 1 & 0 & 0 & 4 & 3 \\ 0 & 1 & 0 & -3 & -2 \\ 0 & 0 & 1 & 2 & 1 \end{pmatrix}$$

This matrix also shows that if we let  $\alpha_4 = e_4$  and  $\alpha_5 = e_5$ , the ordered set  $\{\alpha_1, \alpha_2, \alpha_3, \alpha_4, \alpha_5\}$  is a basis for  $\mathbb{R}^5$ . Let  $\{f_1, f_2, f_3, f_4, \ldots, f_5\}$  be the dual basis. Then a basis for  $W^0$  is  $\{f_4, f_5\}$ 

**Exercise 9**. Let V be the vector space of all  $2 \times 2$  matrices over the field of real numbers and let

$$B = \begin{pmatrix} 2 & -2 \\ -1 & 1 \end{pmatrix}$$

Let *W* be the subspace of *V* consisting of all *A* such that AB = 0. Let *f* be a linear functional on *V* which is in the annihilator of *W*. Suppose that f(I) = 0 and f(C) = 3, where *I* is the 2 × 2 identity matrix and

$$C = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

Find f(B). **Solution**: Notice that

$$B = \begin{pmatrix} -1 & -2 \\ -1 & -2 \end{pmatrix} + 3 \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

The first matrix of this sum is in *W*, therefore  $f(B) = f(3I) = 3f(I) = 3 \cdot 0 = 0$ 

 $pp \cdot lll$ exercise  $l \cdot$  Let *n* be a positive integer and *F* a field. Let *W* be the set of all vectors  $(x_1, \ldots, x_n)$  in  $F^n$  such that  $x_1 + \cdots + x_n = 0$ .

a Prove that  $W^0$  consists of all linear functionals f of the form

$$f(x_1,\ldots,x_n) = c \sum_{j=1}^n x_j$$

b Show that the dual space  $W^*$  of W can be 'naturally' identified with the linear functionals  $f(x_1, \ldots, x_n) = c_1 x_1 + \cdots + c_n x_n$  on  $F_n$  which satisfy  $c_1 + \cdots + c_n = 0$ 

**Solution:** a. Let f be a functional in  $W^0$ . Since f is a linear functional it is of the form  $f(x_1, \ldots, x_n) = a_1x_1 + \cdots + a_nx_n$ . But since f(w) = 0 for all  $w \in W$  we must have that  $f(1, -1, 0, \ldots, 0) = 0$ , therefore  $a_1 = a_2$ . Analogously,  $a_1 = a_3 = a_3 = \cdots = a_n$ . Thus  $f(x_1, \ldots, x_n) = a_1(x_1 + \cdots + x_n)$ . Now let f be a functional of the form  $f(x_1, \ldots, x_n) = c \sum_{j=1}^n x_j$ , then, by the defini-

tion of W we must have f(w) = 0 for all  $w \in W$ , i.e.  $f \in W^0$ .

b. W can be naturally identified with the linear functionals

$$f(x_1,\ldots,x_n) = c_1 x_1 + \cdots + c_n x_n$$

which satisfy  $c_1 + \cdots + c_n = 0$  just by making a point  $(w_1, \ldots, w_n)$  correspond to the functional  $f(x_1, \ldots, x_n) = w_1 x_1 + \cdots + w_n x_n$