Lecture 8

Structure of mathematical text. Proof techniques

Structures in a mathematical text Structure of mathematical texts Let us read! Let us read! Let us read!

Proofs

Structures in a mathematical text

MAT 250 Lecture 8 Proof techniques

In any mathematical text (article, monograph, textbook, etc.)

These common elements are:

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motivations, definitions, axioms, theorems (statements, propositions, claims, lemmas, corollaries), proofs of theorems, examples, exercises, etc.

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An experienced reader starts with determining the **structure** of the text and sorting out its elements.

The second round is to focus on the **primary** parts of the text:

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Next come examples and **detailed** reading of proofs.

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Let's try to read an excerpt from a math textbook. We do **not** intend to understand the mathematics, nonetheless we should be able to analyze the structure of the text:

As the first step towards classifying the lengths which can be constructed by straightedge and compass, this chapter introduces the concept of an algebraic number. Each such number will satisfy many polynomial equations and our immediate goal is to choose the simplest one.

A number $\alpha \in \mathbb{C}$ is said to be *algebraic over a field* $\mathbb{F} \subseteq \mathbb{C}$ if there exists a nonzero polynomial $f(x) \in \mathbb{F}[x]$ such that α is a zero of f(x).

For each field \mathbb{F} , every number α in \mathbb{F} is algebraic over \mathbb{F} because α is a zero of the polynomial $f(x) = x - \alpha \in \mathbb{F}[x]$. This implies that e and π are algebraic over \mathbb{R} , though they are not algebraic over \mathbb{Q} as we will prove later.

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What is this? Promises,

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What is this? Promises, planning.

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In order to show that a number is algebraic, we look for a suitable polynomial having that number as zero. Try to prove that $1 + \sqrt{3}$ is algebraic over \mathbb{Q} .

It is useful to be able to recognize the definition of "algebraic over a field \mathbb{F} " when it appears in different guises: a number $\alpha \in \mathbb{C}$ is algebraic over $\mathbb{F} \subseteq \mathbb{C}$ if and only if there is a positive integer n such that $\{1, \alpha, \alpha^2, \ldots, \alpha^{n-1}, \alpha^n\}$ are linearly dependent over \mathbb{F} .

Indeed, if $\alpha \in \mathbb{C}$ is algebraic over $\mathbb{F} \subseteq \mathbb{C}$ then there exists a polynomial $f(x) = a_0 + a_1x + \cdots + a_nx^n$, whose coefficients a_0, a_1, \ldots, a_n all belong to \mathbb{F} , at least one of these coefficients is nonzero, and $f(\alpha) = 0$, that is

 $a_0 + a_1 \alpha + a_2 \alpha^2 \dots + a_{n-1} \alpha^{n-1} + a_n \alpha^n = 0. \quad (*)$

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What is this? Explanation, advice.

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What is this? Motivation

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What is this? Theorem, test for algebraicity.

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 $a_0 + a_1\alpha + a_2\alpha^2 \cdots + a_{n-1}\alpha^{n-1} + a_n\alpha^n = 0. \quad (*)$ What is this? Proof.

The coefficients $a_0, a_1, a_2, \ldots, a_{n-1}, a_n$, on the other hand, are all in \mathbb{F} so we can regard them as scalars. Thus, the equality (*) can be interpreted as a linear dependence of vectors $1, \alpha, \alpha^2, \ldots, \alpha^{n-1}, \alpha^n$ in \mathbb{C} .

You will often meet the terms "algebraic number" and "transcendental number" where no field is specified.

In such cases the field is taken to be \mathbb{Q} .

We formalize this as follows.

A complex number is said to be an *algebraic number* if it is algebraic over \mathbb{Q} ; a *transcendental number* if it is not algebraic over \mathbb{Q} .

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Motivation and informal definition.

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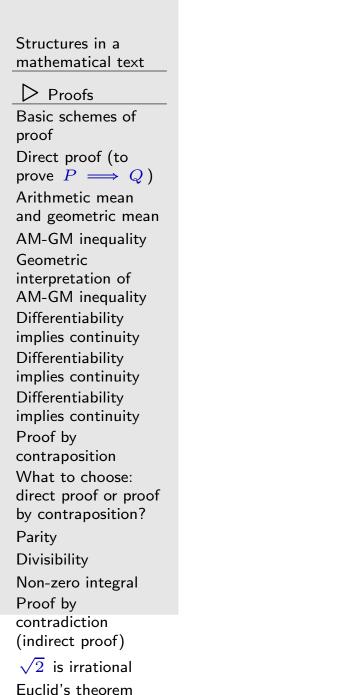
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These are definitions.



Proofs

• Direct proof

- Direct proof
- Proof by contraposition

- Direct proof
- Proof by contraposition
- Proof by contradiction

- Direct proof
- Proof by contraposition
- Proof by contradiction
- Proof by exhaustion (proof by cases)

Logical justification: $(P \land (P \Longrightarrow Q)) \Longrightarrow Q$ is a tautology.

Logical justification: $(P \land (P \implies Q)) \implies Q$ is a tautology.

This rule of logical deduction is called *modus ponens*.

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It allows to eliminate a conditional statement from a proof.

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(quod erat demonstrandum)

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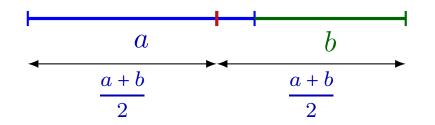
Geometric interpretation of AM-GM inequality

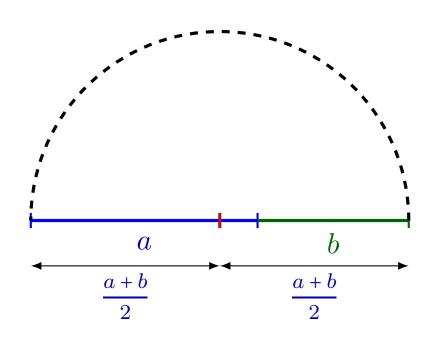
MAT 250 Lecture 8 Proof techniques

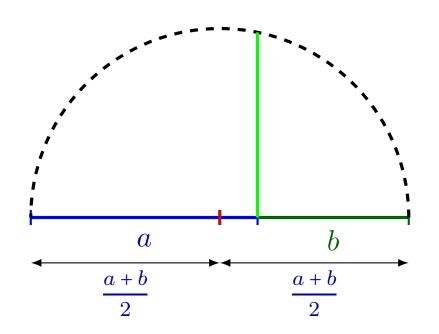
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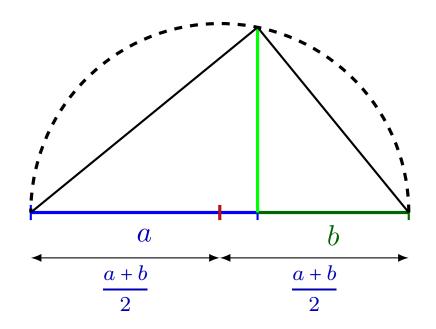


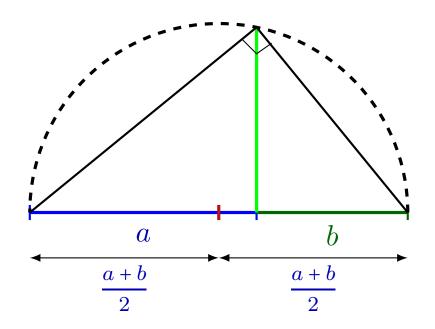
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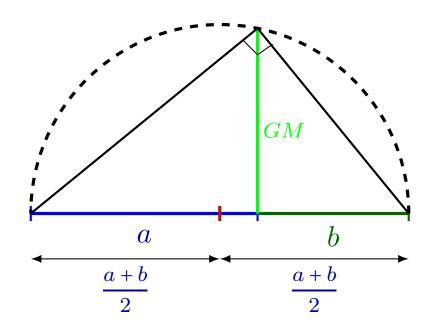




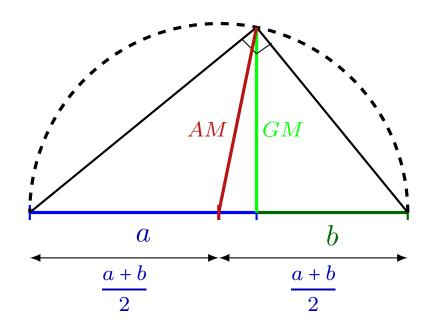




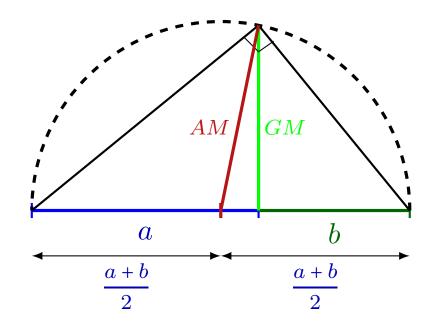
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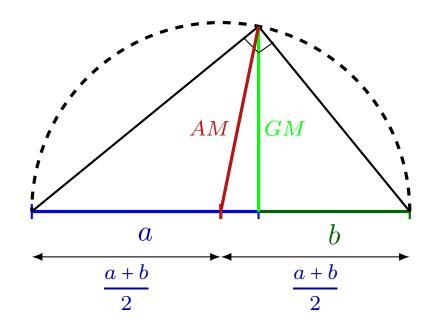


MAT 250 Lecture 8 Proof techniques



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$$GM = \sqrt{ab}$$
$$AM \ge GM$$

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$$AM = GM \iff a = b$$

Example 3. Prove that if a function is differentiable at a point,

Discussion.

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- **1.** $\exists \lim_{x \to a} f(x)$
- **2.** f(x) is defined at x = a

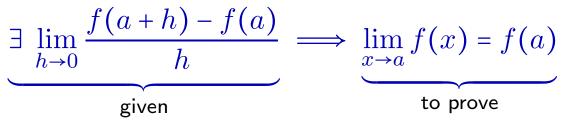
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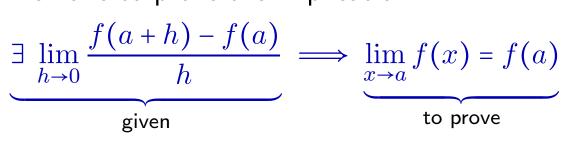
- **1.** $\exists \lim_{x \to a} f(x)$
- **2.** f(x) is defined at x = a
- **3.** $\lim_{x \to a} f(x) = f(a)$.

$$\exists \lim_{h \to 0} \frac{f(a+h) - f(a)}{h} \implies \lim_{x \to a} f(x) = f(a)$$

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given to prove



Let us prove that $\lim_{x \to a} f(x) - f(a) = 0$:



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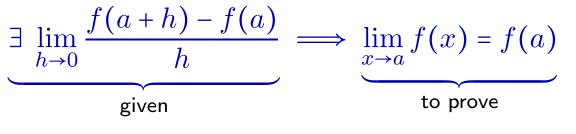
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$$\lim_{x \to a} f(x) - \underbrace{f(a)}_{\checkmark} =$$

constant



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$$\lim_{x \to a} f(x) - \underbrace{f(a)}_{\text{constant}} = \lim_{x \to a} (f(x) - f(a))$$

$$\underbrace{\exists \lim_{h \to 0} \frac{f(a+h) - f(a)}{h}}_{\text{given}} \Longrightarrow \underbrace{\lim_{x \to a} f(x) = f(a)}_{\text{to prove}}$$
Let us prove that $\lim_{x \to a} f(x) - f(a) = 0$:
$$\lim_{x \to a} f(x) - \underbrace{f(a)}_{\text{constant}} = \lim_{x \to a} (f(x) - f(a)) = \lim_{x \neq a} \lim_{x \to a} \left(\frac{f(x) - f(a)}{x - a} \cdot (x - a) \right)$$

by det. of lim

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We have to prove the implication

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let h=x-a

$$\exists \lim_{h \to 0} \frac{f(a+h) - f(a)}{h} \Longrightarrow \lim_{\substack{x \to a}} f(x) = f(a)$$

given
Let us prove that $\lim_{x \to a} f(x) - f(a) = 0$:

$$\lim_{x \to a} f(x) - \underbrace{f(a)}_{\text{constant}} = \lim_{x \to a} (f(x) - f(a)) = \lim_{\substack{x \neq a \\ y \neq a}} \lim_{x \to a} \left(\frac{f(x) - f(a)}{x - a} \cdot (x - a) \right)$$

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We have to prove the implication

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Theorem. Let f be a function defined in a neighborhood of a point a.

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Therefore, $\lim_{x\to a} f(x) = f(a)$, and, by this, f is continuous at a, as required.

Idea: To prove $P \implies Q$, we prove $\neg Q \implies \neg P$.

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Method: Assume (let) $\neg Q$. Then ...

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Method: Assume (let) $\neg Q$. Then ... Then ...

Example 1.

Idea: To prove $P \Longrightarrow Q$, we prove $\neg Q \Longrightarrow \neg P$. Logical justification: $P \Longrightarrow Q$ is equivalent to $\neg Q \Longrightarrow \neg P$. This rule of logical deduction $((P \Longrightarrow Q) \land \neg Q) \Longrightarrow \neg P$ is called *modus tollens*. Method: Assume (let) $\neg Q$. Then ... Then ... Therefore, $\neg P$. So $\neg Q \Longrightarrow \neg P$. By contraposition, $P \Longrightarrow Q$.

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$$P$$

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Why not to prove like this:

Example 1. Let n be an integer. Prove that if n^2 is odd then n is odd.

Discussion. We have to prove that

$$\forall n \in \mathbb{Z} \quad \begin{bmatrix} n^2 \text{ is odd} \\ P \end{bmatrix} \implies \begin{bmatrix} n \text{ is odd} \\ Q \end{bmatrix}$$

Why not to prove like this: n^2 is odd $\implies \sqrt{n^2} = n$ is odd?

For a **direct** proof of $\forall n \in \mathbb{Z}$ $\begin{bmatrix} n^2 \text{ is odd} \end{bmatrix} \implies \begin{bmatrix} n \text{ is odd} \end{bmatrix}$ P Q For a **direct** proof of $\forall n \in \mathbb{Z}$ $\begin{bmatrix} n^2 \text{ is odd} \end{bmatrix} \Longrightarrow \begin{bmatrix} n \text{ is odd} \end{bmatrix}$ P Q

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For a **direct** proof of $\forall n \in \mathbb{Z}$ n^2 is odd $\implies n$ is odd P Q

we have to start with P. But Q seems to be simpler than P.

For a **direct** proof of

$$\forall n \in \mathbb{Z} \quad \begin{bmatrix} n^2 \text{ is odd} \\ P \end{bmatrix} \longrightarrow \begin{bmatrix} n \text{ is odd} \\ Q \end{bmatrix}$$

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Let $\neg Q$,

$$\forall n \in \mathbb{Z} \quad \begin{bmatrix} n^2 \text{ is odd} \\ P \end{bmatrix} \implies \begin{bmatrix} n \text{ is odd} \\ Q \end{bmatrix}$$

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Let $\neg Q$, that is,

$$\forall n \in \mathbb{Z} \quad \begin{bmatrix} n^2 \text{ is odd} \\ P \end{bmatrix} \implies \begin{bmatrix} n \text{ is odd} \\ Q \end{bmatrix}$$

we have to start with P. But Q seems to be simpler than P.

This suggests a proof by **contraposition**:

Let $\neg Q$, that is, let n be even

$$\begin{array}{ccc} \forall \ n \in \mathbb{Z} & \boxed{n^2 \ \text{is odd}} \Longrightarrow & \boxed{n \ \text{is odd}} \\ & P & Q \end{array}$$

we have to start with P. But Q seems to be simpler than P. This suggests a proof by **contraposition**:

Let $\neg Q$, that is, let *n* be even, that is, n = 2k for some integer *k*.

$$\forall n \in \mathbb{Z} \quad \begin{bmatrix} n^2 \text{ is odd} \\ P \end{bmatrix} \implies \begin{bmatrix} n \text{ is odd} \\ Q \end{bmatrix}$$

we have to start with P. But Q seems to be simpler than P.

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Let $\neg Q$, that is, let n be even, that is, n = 2k for some integer k. Then n^2

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we have to start with P. But Q seems to be simpler than P.

This suggests a proof by **contraposition**:

Let $\neg Q$, that is, let n be even, that is, n = 2k for some integer k. Then $n^2 = 4k^2$,

$$\forall n \in \mathbb{Z} \quad \begin{bmatrix} n^2 \text{ is odd} \\ P \end{bmatrix} \longrightarrow \begin{bmatrix} n \text{ is odd} \\ Q \end{bmatrix}$$

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we have to start with P. But Q seems to be simpler than P.

This suggests a proof by **contraposition**:

Let $\neg Q$, that is, let n be even, that is, n = 2k for some integer k. Then $n^2 = 4k^2$, which is even $(\neg P)$.

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This suggests a proof by **contraposition**:

Let $\neg Q$, that is, let n be even, that is, n = 2k for some integer k. Then $n^2 = 4k^2$, which is even $(\neg P)$. Therefore, $\neg Q \implies \neg P$,

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This suggests a proof by **contraposition**:

Let $\neg Q$, that is, let n be even, that is, n = 2k for some integer k. Then $n^2 = 4k^2$, which is even $(\neg P)$. Therefore, $\neg Q \implies \neg P$, or, equivalently, $P \implies Q$. Cast off crutches:

$$\forall n \in \mathbb{Z} \quad \begin{bmatrix} n^2 \text{ is odd} \\ P \end{bmatrix} \implies \begin{bmatrix} n \text{ is odd} \\ Q \end{bmatrix}$$

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Proposition. For any integer n, if n^2 is odd then n is odd.

$$\forall n \in \mathbb{Z} \quad \boxed{n^2 \text{ is odd}} \Longrightarrow \boxed{n \text{ is odd}}$$
$$P \qquad Q$$

we have to start with P. But Q seems to be simpler than P.

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Let $\neg Q$, that is, let n be even, that is, n = 2k for some integer k. Then $n^2 = 4k^2$, which is even $(\neg P)$.

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Proposition. For any integer n, if n^2 is odd then n is odd.

Proof. Let *n* be even. Then n = 2k for some integer *k*. So $n^2 = 4k^2$, which is even. Therefore, by contraposition, if n^2 is odd then *n* is odd, as required.

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Proof. We have to prove that n and n^2 have the same parity,

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Indeed, if n is even, then n = 2k for some $k \in \mathbb{Z}$.

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Which one is simpler, P or Q? Q is simpler, so we'll do contraposition: Assume that $2 \neq n$ $(\neg Q)$. Then n = 2k + 1 for some integer k.

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We have proved that $2 + n \implies 8 \mid (n^2 - 1)$.

By contraposition, $8 \neq (n^2 - 1) \implies 2 \mid n$, as required.

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Logical justification: $(\neg P \implies Q) \land (\neg P \implies \neg Q)) \implies P$ is a tautology.

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Method: Assume (let) $\neg P$.

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Example 1. Prove that $\sqrt{2}$ is irrational.

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Proof. The statement to prove: $\sqrt{2}$ is irrational P. Assume, to the contrary, that $\sqrt{2}$ is rational $\neg P$. Then $\sqrt{2} = \frac{p}{q}$ for some $p, q \in \mathbb{Z}, q \neq 0$. Since any fraction $\frac{p}{q}$ can be reduced to lowest terms,

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MAT 250 Lecture 8 Proof techniques

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According to our assumption, $\sqrt{2} = \frac{p}{q}$.

Since any fraction $\frac{p}{q}$ can be reduced to lowest terms, we may assume, without loss of generality, that $\boxed{\gcd(p,q)=1}_Q$. According to our assumption, $\sqrt{2} = \frac{p}{q}$. By squaring, we get $2 = \frac{p^2}{q^2}$, Since any fraction $\frac{p}{q}$ can be reduced to lowest terms, we may assume, without loss of generality, that $\boxed{\gcd(p,q)=1}_Q$. According to our assumption, $\sqrt{2} = \frac{p}{q}$. By squaring, we get $2 = \frac{p^2}{q^2}$, so $2q^2 = p^2$.

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Since any fraction $\frac{p}{q}$ can be reduced to lowest terms, we may assume, without loss of generality, that $\boxed{\gcd(p,q)=1}_Q$. According to our assumption, $\sqrt{2} = \frac{p}{q}$. By squaring, we get $2 = \frac{p^2}{q^2}$, so $2q^2 = p^2$. It means that p^2 is even. Since any fraction $\frac{p}{q}$ can be reduced to lowest terms, we may assume, without loss of generality, that $\boxed{\gcd(p,q)=1}_Q$. According to our assumption, $\sqrt{2} = \frac{p}{q}$. By squaring, we get $2 = \frac{p^2}{q^2}$, so $2q^2 = p^2$. It means that p^2 is even. Since p has the same parity as p^2 Since any fraction $\frac{p}{q}$ can be reduced to lowest terms, we may assume, without loss of generality, that $\boxed{\gcd(p,q)=1}_Q$. According to our assumption, $\sqrt{2} = \frac{p}{q}$. By squaring, we get $2 = \frac{p^2}{q^2}$, so $2q^2 = p^2$. It means that p^2 is even. 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MAT 250 Lecture 8 Proof techniques

Theorem (Euclid).

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For source and comments see

Euclid's Elements, Book IX, Proposition 20.

http://aleph0.clarku.edu/ djoyce/java/elements/bookIX/propIX20.html

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Listen to the proof and try to write it down...

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Proof (by cases).

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Therefore, $|a + b| \le |a| + |b|$ for all real numbers a and b,

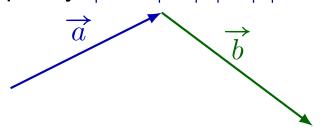
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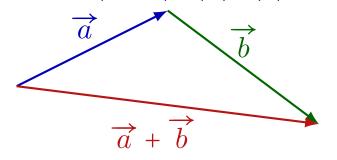
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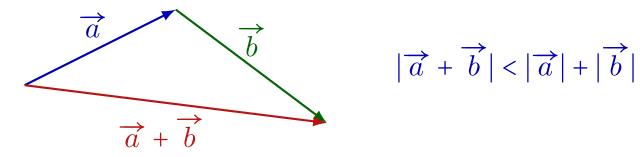
 \overrightarrow{a}

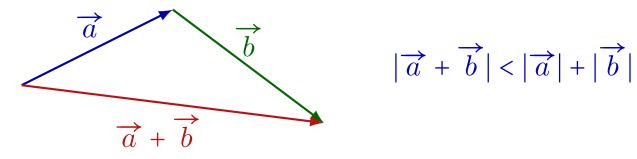
MAT 250 Lecture 8 Proof techniques



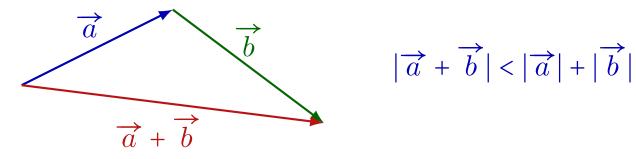
MAT 250 Lecture 8 Proof techniques





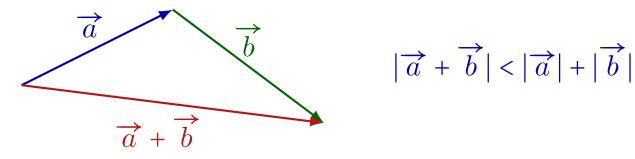


Corollary 1. $|a-b| \leq |a| + |b|$ for all $a, b \in \mathbb{R}$.



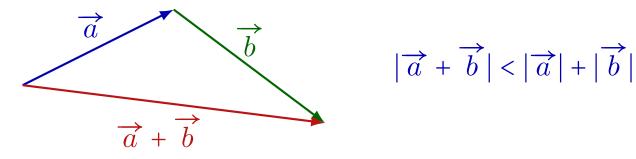
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Proof. Apply the triangle inequality to a and -b:



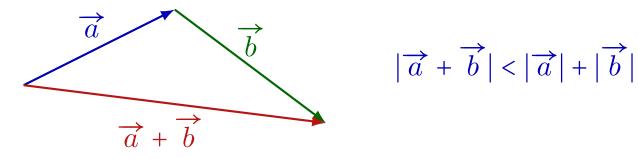
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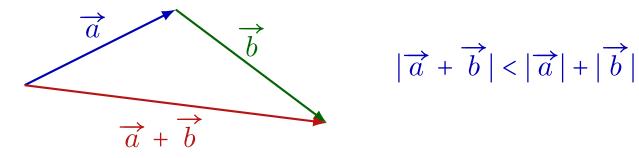
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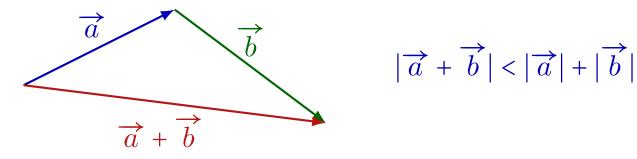
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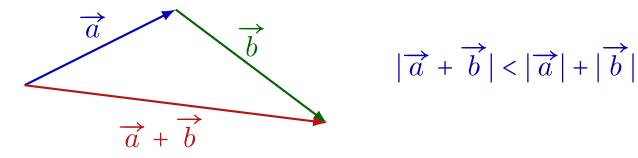
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Corollary 2. $||a| - |b|| \le |a - b|$ for all $a, b \in \mathbb{R}$.



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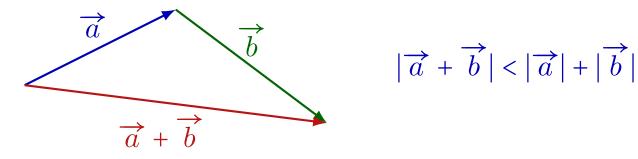
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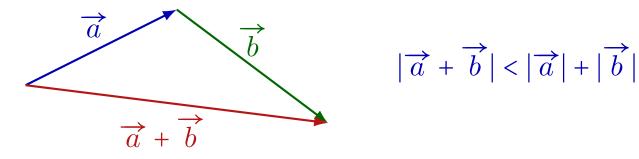
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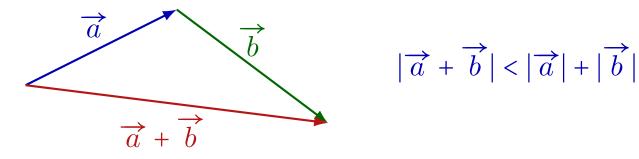
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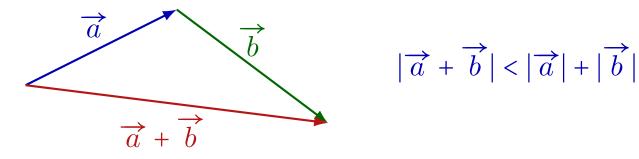
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 $|b| = |(b-a) + a| \le |b-a| + |a| \implies |a| - |b| \ge -|a-b|$. Therefore, $-|a-b| \le |a| - |b| \le |a-b|$.



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The triangle inequality, another proof

MAT 250 Lecture 8 Proof techniques

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MAT 250 Lecture 8 Proof techniques

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MAT 250 Lecture 8 Proof techniques

Let us give another proof of the triangle inequality. For any real numbers a and b, we have $(a+b)^2 = a^2 + b^2 + 2ab \leq a^2 + b^2 + 2|ab| = |a|^2 + |b|^2 + 2|a||b|$ $ab \leq |ab|$

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Example 1.

Alternative 1: $P \iff R \iff S \iff \cdots \iff Q$

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Example 1. Let a, b, c be the lengths of the sides of a triangle

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Example 1. Let a, b, c be the lengths of the sides of a triangle and $a \le b \le c$.

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Example 1. Let a, b, c be the lengths of the sides of a triangle and $a \le b \le c$. Using the law of cosines, prove that the triangle is right if and only if $a^2 + b^2 = c^2$.

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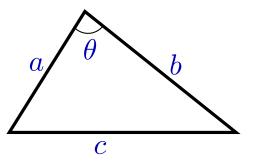
Proof. What is the law of cosines?

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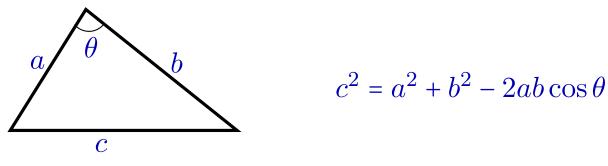


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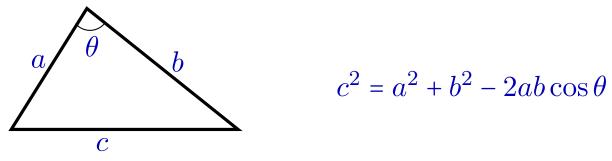


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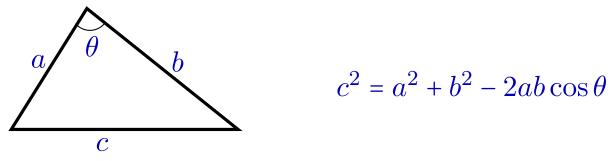
A triangle with the sides a, b, c is right

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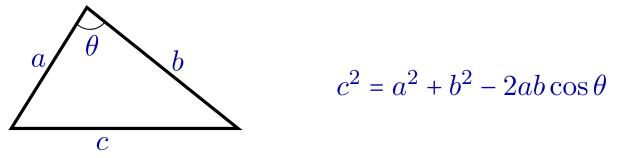
A triangle with the sides a, b, c is right $\iff \theta = 90^{\circ}$

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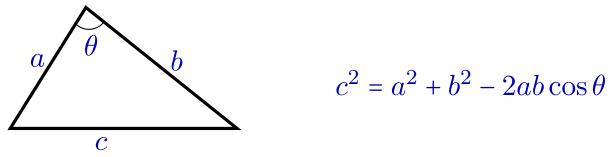
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A triangle with the sides a, b, c is right $\iff \theta = 90^{\circ} \iff \cos \theta = 0$ $\implies c^2 = a^2 + b^2$.

Example 2. Let n be an integer.

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Proof. Let us prove first that

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Let n be even, so n = 2k for some $k \in \mathbb{Z}$. Then $n^3 = 8k^3$,

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Let us prove now that

 n^3 is even $\implies n$ is even.

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Let us prove now that $\frac{3}{3}$.

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Assume that n is odd.

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