ON SINGULARITIES OF PRIMITIVE COHOMOLOGY CLASSES

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Abstract. Green and Griffiths have introduced several notions of singularities associated with normal functions, especially in connection with middle dimensional primitive Hodge classes. In this note, by using the more elementary aspects of the Decomposition Theorem, we define global and local singularities associated with primitive middle dimensional cohomology classes and by using the Relative Hard Lefschetz Theorem, we show that these singularities detect the global and local triviality of the primitive class.

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1. INTRODUCTION

Let \( X^{2n} \subseteq \mathbb{P}^d \) be a \( 2n \)-dimensional projective manifold embedded in projective space. The study of the hyperplane sections of \( X \) has proved to be very fruitful classically, as well as in present times. In the context of this paper, the even dimensional case is the critical case.

Following Poincaré and Lefschetz, Griffiths has introduced ([7]) normal functions, i.e. certain holomorphic cross sections of the bundle of intermediate Jacobians of the hyperplane sections of \( X \) over the complement of the dual variety \( \hat{X} \) inside the dual space \( \mathbb{P}^r \). The original hope, modeled on Lefschetz’s proof of the (1,1)-Theorem, had been to start with a primitive, i.e. killed by cupping with a hyperplane class, integral \( (n,n) \)-class in middle cohomology, extract a highly transcendental normal function, and then build an algebraic cycle out of it, thus establishing the truth of the Hodge Conjecture (HC).

As is well-known, this approach meets a very serious difficulty due to the well-known failure of Jacobi inversion in dimension higher than two.

In [8], Thomas has shown that the Hodge Conjecture (HC) is equivalent to the existence of special hyperplane sections; see Remarks 3.7 and 3.9.

In [6], Green and Griffiths have introduced several notions of singularities of normal functions, especially in connection with middle dimensional primitive Hodge classes, and have tied these notions the HC. The notions are an attempt at codifying the behavior of normal functions near the dual variety of singular hyperplane sections. Roughly speaking, the HC is equivalent to the non vanishing of these singularities at some point of \( \hat{X} \). As is well-known, by virtue of standard inductive

2. DECOMPOSITION THEOREM FORMULÆ

2.1. Set-up

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By using M. Saito’s theories of mixed Hodge modules and admissible normal functions, the preprint [2] introduces, among other things, a notion of singularity that essentially coincides with ours. We hope that the two different points of view can both be useful for further geometric investigations.

We have reached the conclusions contained in §3 in the Spring of 2007, during our visit at I.A.S., Princeton. We thank Phil Griffiths for inspiring conversations. We thank the referee for correcting some inaccuracies.

2. Decomposition Theorem formule

In this section we collect the facts we need from the Decomposition Theorem in [1].

2.1. Set-up. We work with rational cohomology. Let:
- $X^{2n} \subseteq \mathbb{P}^d$ be a smooth, irreducible and projective manifold of dimension $2n$;
- $\mathcal{L}$ be the hyperplane bundle;
- $\zeta \in H^{2n}(X)$ be a cohomology class;
- there is the universal hyperplane family (for simplicity, we write $\mathbb{P}$ also for $\mathbb{P}^\vee$)
  $$
  X \xrightarrow{q} \mathfrak{X} \xrightarrow{\pi} \mathbb{P}^d,
  \quad \dim \mathfrak{X} = 2n - 1 + d
  $$

and we have the hyperplane sections as fibers
  $$
  \mathfrak{X}_p := \pi^{-1}(p), \quad \dim \mathfrak{X}_p = 2n - 1.
  $$

The main objects of investigation here are the classes
  $$
  q^*\zeta \in H^{2n}(\mathfrak{X}), \quad (q^*\zeta)|_{\mathfrak{X}_p} = \zeta|_{\mathfrak{X}_p} \in H^{2n}(\mathfrak{X}_p).
  $$

Let $Z$ be a variety, $L$ be a local system on a dense open subset $U \subseteq Z_{\text{reg}}$. The intersection cohomology complex $IC_Z(L)$ is a complex of sheaves on $Z$. Its cohomology sheaves satisfy

$$(2.1) \quad H^i(IC_Z(L)) = 0, \quad i \notin [-\dim Z, -1].$$

We have the intersection cohomology groups

$$(2.2) \quad IH^k(Z, L) := H^{k-\dim Z}(Z, IC_Z(L)).$$

Clearly,

$$(2.3) \quad IH^k(Z, L) = 0, \quad k \notin [0, 2\dim Z].$$

2.2. The Decomposition Theorem for $\pi$. The Decomposition Theorem for $\pi : \mathfrak{X} \to \mathbb{P}^d$ gives a non canonical decomposition

$$(2.4) \quad \phi : \bigoplus_{i \in \mathbb{Z}} \bigoplus_{j \in \mathbb{N}} IC(L_{ij})[-i - (2n - 1 + d)] \simeq R\pi_*\mathbb{Q}$$

where $L_{ij}$ is a local system on the codimension $j$ stratum $S_{d-j} \subseteq \mathbb{P}^d$. Strata are not connected, so that by $IC$ here we mean the direct sum of the $IC$’s on the connected components of the same dimension.

The $L_{i0}$ are the local systems on $S_d \subseteq \mathbb{P}^d \setminus X^\vee :$

$$(2.5) \quad L_{i0} = R^{2n-1+i} := (R^{2n-1+i}\pi_*\mathbb{Q})|_{S_d}.$$
In what follows, the pedantic notation is to make the formulæ ready to use later. We have a non canonical decomposition for the cohomology groups
\[ H^i(X) = \phi \left( \bigoplus_{i \in \mathbb{Z}} \bigoplus_{j \in \mathbb{N}} H^{(l-2n)-j-\left(i-1\right)}(S_{d-j}, L_{ij}) \right), \]
for cohomology sheaves
\[ R^i \pi_* \mathcal{Q} = \phi \left( \bigoplus_{i \in \mathbb{Z}} \bigoplus_{j \in \mathbb{N}} \mathcal{H}^{(l-2n)-(d-j)-j-\left(i-1\right)}(IC(L_{ij})) \right) \]
and one for the cohomology groups
\[ (R^i \pi_* \mathcal{Q})_p = H^i(X_p) = \phi \left( \bigoplus_{i \in \mathbb{Z}} \bigoplus_{j \in \mathbb{N}} \mathcal{H}^{(l-2n)-(d-j)-j-\left(i-1\right)}(IC(L_{ij}))_p \right) \]

### 2.3. Definition of the filtrations on \( H(X) \) and \( H(X_p) \)
These filtrations are discussed and used in our paper [3]. The theory of perverse sheaves filters the groups \( H(X) \). The Decomposition Theorem makes this more visible.

**Remark 2.1.** *(Reminder on the perverse filtration)* The perverse filtration on \( H(X) \) is by Hodge substructures and it coincides, up to some shifts, with the monodromy filtration associated with the nilpotent cup-product action of \( q^*L \) on \( H(X) \) [3]. A splitting \( \phi_L \) in the category of Hodge structures exists, as shown in [4], but we do not need it here (see Remark 3.5). Over the regular part of \( \pi \), the perverse filtration coincides, up to re-numbering, with the filtration coming from the Leray spectral sequence.

The **perverse filtration** on \( H^i(X) \) is the increasing filtration indexed by \( i \in \mathbb{Z} \):
\[ H^i_{\leq i}(X) := \phi \left( \bigoplus_{i' \leq i} \bigoplus_{j \in \mathbb{N}} H^{(l-2n)-j-\left(i'-1\right)}(S_{d-j}, L_{ij}) \right) \subseteq H^i(X). \]

The perverse filtration is independent of the splitting \( \phi \). The graded pieces \( H^i_t(X) \) are canonically isomorphic to
\[ H^i_t(X) = \bigoplus_{j \in \mathbb{N}} H^{(l-2n)-j-\left(i-1\right)}(S_{d-j}, L_{ij}). \]

The decomposition \( \phi \) induces, in the same way an increasing filtration on the stalks which we call the **induced filtration** (also independent of \( \phi \))
\[ H^i_{\leq i}(X_p) = \phi \left( \bigoplus_{i' \leq i} \bigoplus_{j \in \mathbb{N}} \mathcal{H}^{(l-2n)-(d-j)-j-\left(i'-1\right)}(IC(L_{i'j})) \right) \subseteq H^i(X_p), \]
with graded pieces canonically isomorphic to
\[ H^i_t(X_p) = \bigoplus_{j \in \mathbb{N}} \mathcal{H}^{(l-2n)-(d-j)-j-\left(i-1\right)}(IC(L_{ij}))_p. \]

The restriction map \( r : H(X) \rightarrow H(X_p) \) is filtered and strict (obvious since the map is a direct sum map) with respect to the perverse and induced filtrations:
\[ r : H_{\leq i}(X) \rightarrow H_{\leq i}(X_p). \]

If we fix a small neighborhood \( U \subseteq \mathbb{R}^d \) of \( p \) and set \( X_U := \pi^{-1}(U) \), then we have filtered isomorphisms
\[ r : H_{\leq i}(X_U) \simeq H_{\leq i}(X_p). \]
3. The Green-Griffiths singularity

3.1. Bound on the filtrations on \( H(\mathcal{X}) \) and \( H(\mathcal{X}_p) \). In order to define the Green-Griffiths singularity we need (ii) below.

**Lemma 3.1.** (i) \[
H^2_{\leq i}(\mathcal{X}) = H^{2n}(\mathcal{X}), \quad H^2_{\leq i}(\mathcal{X}_p) = H^{2n}(\mathcal{X}_p).
\]

(ii) If \( \zeta \in H^{2n}(X) \) is primitive, then \[
q^*\zeta \in H^2_{\leq 0}(\mathcal{X}), \quad \zeta|_{\mathcal{X}_p} \in H^2_{\leq 0}(\mathcal{X}_p).
\]

**Proof.** By virtue of the obvious vanishing (2.3) in negative degrees, the graded piece (2.10)
\[
H^2_{i}(\mathcal{X}) = \bigoplus_{j \in \mathbb{N}} H^{-j-i-(i-1)}(S_{d-j}, L_{ij}) = 0, \quad \forall i \geq 2.
\]
This holds also for \( \mathcal{X}_U \). In view of (2.13), this proves (i).

The graded piece
\[
H^2_{i}(\mathcal{X}) = \bigoplus_{j \in \mathbb{N}} H^{-j}(S_{d-j}, IC(L_{1j})) = IH^0(\mathbb{P}^d, L_{10}).
\]
By (2.5), \( L_{10} = R^{2n} \) is the local system on the dense stratum of \( \mathbb{P}^d \) corresponding to the variation of \( H^{2n}(\mathcal{X}_\eta) \), where \( \mathcal{X}_\eta \) is a smooth hyperplane section. The group in question is just the space of global invariants. Since we are assuming that \( \zeta \) is primitive, \( \zeta|_{\mathcal{X}_\eta} = 0 \) defines the zero section in this group and (ii) follows. \( \square \)

3.2. Definition of the Green-Griffiths invariant. We have the decompositions:
(2.6) for \( H(\mathcal{X}) \) (non canonical), (2.10) for the graded \( H_i(\mathcal{X}) \) (canonical), for (2.8) \( H(\mathcal{X}_p) \) (non canonical) and (2.12) for the graded \( H_i(\mathcal{X}_p) \) (canonical).

Let \( \zeta \in H^{2n}(X) \). There is the non canonical decomposition associated with \( \phi \):
\[
q^*\zeta = \phi \left( \sum_{ij} [q^*\zeta]_{ij} \right), \quad \zeta|_{\mathcal{X}_p} = \phi \left( \sum_{ij} [\zeta|_{\mathcal{X}_p}]_{ij} \right),
\]
where the terms \([-]_{ij}\) depend on \( \phi \).

Let \( \zeta \in H^{2n}(\mathcal{X}) \) be primitive. Then, by Lemma 3.1, the terms \([-]_0\) and \([-]_{0j}\) are well-defined, independently of \( \phi \):
\[
[q^*\zeta]_0 = \sum_{j \in \mathbb{N}} [q^*\zeta]_{0j} \in H^0_{2n}(\mathcal{X}) = \bigoplus_{j \in \mathbb{N}} HIH^{-j-1}(S_{d-j}, IC(L_{0j})),
\]
\[
[\zeta|_{\mathcal{X}_p}]_0 = \sum_{j \in \mathbb{N}} [\zeta|_{\mathcal{X}_p}]_{0j} \in H^0_{2n}(\mathcal{X}_p) = \bigoplus_{j \in \mathbb{N}} \mathcal{H}^{-d+1}(IC(L_{0j})).
\]

As in the proof of Lemma 3.1, complemented by the support conditions (2.1) and (2.3), the terms with \( j \geq 2 \) are zero:
\[
[q^*\zeta]_0 = [q^*\zeta]_{00} + [q^*\zeta]_{01},
\]
\[
[\zeta|_{\mathcal{X}_p}]_0 = [\zeta|_{\mathcal{X}_p}]_{00} + [\zeta|_{\mathcal{X}_p}]_{01}
\]
where we write explicitly, remembering that our notation calls for \( IC_Z(L) \) to have cohomology sheaves in the interval \([- \dim Z, -1] \):
\[
[q^*\zeta]_{00} \in \mathcal{H}^{-d+1}(IC(R^{2n-1})), \quad [\zeta|_{\mathcal{X}_p}]_{01} \in \mathcal{H}^{-d+1}(IC(L_{01})).
\]
Remark 3.2. The local system $L_{01}$ is usually defined on the regular part of the dual variety $X^\vee \subseteq \mathbb{P}^d$. If we take the embedding associated with $m\mathcal{L}$, $m \gg 0$, then the local system $L_{01} = 0$. This follows from [SGA 7.2, XVIII. 5.3.5 (“Condition \text{A’}”) and 6.4 (“Condition \text{A’}” is verified for $m \gg 0$)]. In fact, the stalk of $L_{01}$ at a general point of the dual hypersurface measures the failure of the adjunction map 5.3.2 (loc.cit.) to be an isomorphism (it is surjective for Lefschetz pencils). This can be also seen by using the Clemens-Schmid sequence.

Definition 3.3. Let $\zeta \in H^{2n}(X)$ be a primitive class.

The global Green-Griffiths invariant $s(\zeta)$ is defined to be

$$s(\zeta) := [q^*\zeta]_0 \in IH^1(\mathbb{P}^d, IC(R^{2n-1})),$$

The local Green-Griffiths invariant $s(\zeta)_p$ is defined to be

$$s(\zeta)_p := [\zeta|_{x_p}]_0 \in \mathcal{H}^{-d+1}(IC(R^{2n-1}))(p).$$

Clearly, these invariants depend on the embedding.

Remark 3.4. From the conditions of support of $IC$, it follows that the locus

$$\text{Sing}(\zeta) := \{ p \in \mathbb{P}^d \mid s(\zeta)_p \neq 0 \}$$

is of codimension at least two.

Remark 3.5. The Hodge-theoretic splitting $\phi_\mathcal{L}$ of [4] shows that $H^2_0(\mathcal{X})$ is endowed with a natural pure Hodge structure so that if $\zeta$ is a Hodge class, then so are $[q^*\zeta]_0$ and $s(\zeta)$. Our paper [4] does not afford local results. However, using the M. Saito’s general theory of mixed Hodge modules one can reach similar conclusions for $[\zeta|x_p]_0$ and $s(\zeta)_p$.

3.3. The classes $[q^*\zeta]_0$ and $[\zeta|x_p]_0$ detect global/local triviality. Given a primitive class $\zeta \in H^{2n}(X)$, the class $q^*\zeta \in H^2_{0,0}(X)$ and it defines a canonical element $[q^*\zeta]_0 \in H^2_0(\mathcal{X})$. Ditto for $\zeta_{X_p} \in H^2_{0,0}(\mathcal{X}_p)$ and $[\zeta|x_p]_0 \in H^2_{0,0}(\mathcal{X}_p)$.

The following proposition ensures that, given any embedding $|\mathcal{L}|$, the global/local triviality of primitive classes is detected by the global/local classes $[-0]$.

It is a simple consequence of the Relative Hard Lefschetz Theorem [1]. In particular, we only need that cupping with the relatively ample line bundle $q^*\mathcal{L}$ is injective on $H^0_{\leq -1}(\mathcal{X})$ and on $H^0_{\leq -1}(\mathcal{X}_p)$ (see [4]).

Proposition 3.6. Let $\zeta \in H^{2n}(X)$ be primitive.

(i) The class $\zeta = 0$ IFF $[q^*\zeta]_0 = 0$.

(ii) The class $\zeta|x_p = 0$ IFF $[\zeta|x_p]_0 = 0$.

Proof. We prove (i). The proof for (ii) is analogous. One direction is trivial. Let $\zeta \neq 0$, so that $q^*\zeta \neq 0$. If $[q^*\zeta]_0 = 0$, then $q^*\zeta \in H^2_{\leq -1}(\mathcal{X})$. By the Relative Hard Lefschetz, the cup product with $q^*\mathcal{L}$ is injective on $H^2_{\leq -1}(\mathcal{X})$, contradicting $q^*(\mathcal{L} \cdot \zeta) = 0$.

Remark 3.7. (Relation with the Hodge Conjecture) Thomas [8] has proved that the Hodge conjecture is equivalent, given an arbitrary middle dimensional primitive Hodge class $\zeta$, to the existence of $m \gg 0$ such that there exists $p \in |m\mathcal{L}|$ with $\mathcal{X}_p$ nodal and $\zeta|x_p \neq 0$. If we drop the nodal requirement, the resulting statement is of course still true. Because of primitivity, the hypersurface must be singular and nodality is an interesting improvement. See also Remark 3.9.
3.4. The local Green-Griffiths invariants detects global/local triviality.

The Green-Griffiths invariant captures the primitive class, i.e. we have the following, where by \(m \gg 0\), we mean that we replace the embedding given by \(|L|\) with the one given by \(|mL|\), with \(m \gg 0\):

**Proposition 3.8.** Let \(\zeta \in H^{2n}(X)\) be primitive.

(i) The class \(\zeta = 0\) IFF \(s(\zeta) = 0\).

(ii) Let \(m \gg 0\). The class \(\zeta|_{X_p} = 0\) IFF \(s(\zeta)|_p = 0\).

**Proof.** By Remark 3.2 and by (3.3) and (3.4), we have that, for \(m \gg 0\):

\[
s(\zeta)|_p = [\zeta|_{X_p}]_0 = [\zeta|_{X_p}]_0
\]

and we apply Proposition 3.6 to deduce (ii). The proof of (i) is identical (except for the fact that the result holds for every embedding).

**Remark 3.9.** Proposition 3.8 implies that one can state R. Thomas’ result using \([\zeta|_{X_p}]_0\), or \(s(\zeta)|_p\), instead of \(\zeta|_{X_p}\). We do not need the Hodge-theoretic nature of \(\phi_L\) to prove the resulting statement. As it is pointed out by B. Totaro, one uses \(\zeta|_{X_p}\) and Deligne’s mixed Hodge structures.

3.5. Further characterizations of vanishing of \(s(\zeta)|_p\).

In this section we clarify the relation between \(s(\zeta)|_p\) and two other invariants associated with \(\zeta\).

The natural map \(\mathbb{Q}_{X_p}[\dim X_p] \to IC_{X_p}\) induces a map \(H^{2n}(X_p) \to IH^{2n}(X_p)\). By [4], Theorem 3.2.1, the kernel of this map is precisely \(W_{2n-1}H^{2n}(X_p)\). Since \(\zeta|_{X_p}\) is of type \((n, n)\) for the mixed Hodge structure, it is not in the kernel and we have the following (cf. [6], Theorem 2.ii):

**Corollary 3.10.** Let \(\zeta \in H^{2n}_{\mathbb{Q}}(X)\) be a primitive Hodge class on \(X\).

The class \([\zeta|_{X_p}]_0 = 0\) IFF \(\zeta|_{X_p} = 0\) in \(IH^{2n}(X_p) = IH_{2n-2}(X_p)\).

Let \(m \gg 0\). The Green-Griffiths invariant \(s(\zeta)|_p = 0\) IFF \(\zeta|_{X_p} = 0\) in \(IH^{2n}(X_p) = IH_{2n-2}(X_p)\).

It is possible to give a more precise characterization for the locus in which the Green-Griffiths invariant does not vanish, which appears as a natural generalization of the condition for the (non) extendibility of a normal function in [5]. We need the preliminary

**Lemma 3.11.** Let \(U\) be a contractible neighborhood of \(0 \in \mathbb{C}^d\), let \(D \ni 0\) be a divisor, and \(L\) be a local system on \(U \setminus D\). Then \(H^{1-d}(IC(L))_0\) injects naturally in \(H^1(U \setminus D, L)\).

**Proof.** Let \(U^* = U_d \subseteq U_{d-1} \subseteq \ldots U_0 = U\) be the ascending chain of open subsets \(U_t = \prod_{t \geq t} S_t\) associated with a stratification of \((\mathbb{C}^d, D)\), and denote by \(j_t : U_{t+1} \to U_t\) the corresponding imbeddings. We have the well-known formula

\[
IC_U(L) := \tau_{-1}Rj_{0*}(\ldots (\tau_{-d+1}Rj_{d-2*}(\tau_{-d}Rj_{d-1*}L[d])))\ldots).
\]

Since the truncations relative to \(j_i\) for \(i \leq d-2\) are in degree bigger than or equal to \(1-d\), and \(\tau_{-d}Rj_{d-1*}L[d] = (j_{d-1*}L)[d]\), setting \(J : U_{d-1} \to U\) we have

\[
H^{1-d}(IC(L))_0 = \mathbb{H}^{1-d}(U, RJ_{d-1*}L)[d] = H^1(U_{d-1}, j_{d-1*}L).
\]

The latter cohomology group is the term \(E_2^{10}\) in the Grothendieck spectral sequence for \(\mathbb{H}^{1}(U_{d-1}, Rj_{d-1*}L) = H^1(U^*, L)\). The statement follows from the edge sequence.

Recall our notation \(X_U = \pi^{-1}(U)\).
Corollary 3.12. Let $U$ be a contractible neighborhood of $p$, and $U^* = U \setminus U \cap X^\vee$. Let $m \gg 0$. Then $s(\zeta)_p = 0$ IFF $q^* \zeta|_{X^*} = 0$ in $H^{2n}(X^*)$.

Proof. Let $u : U^* \to U$ be the open imbedding. The map $\pi : \pi^{-1}(U^*) \to U^*$ is smooth so that we have, by Deligne’s theorem, the splitting on the right end side below:

$$R\pi_* \mathbb{Q}_{X_U} \longrightarrow Ru_* u^* R\pi_* \mathbb{Q}_{X_U} \simeq \oplus Ru_* R^l \pi_* \mathbb{Q}_{X_U} [-l].$$

The statement follows from 3.8.ii and 3.11 applied to $L = R^{2n-1} = R^{2n-1} \pi_* \mathbb{Q}_{X_U}$. 

References


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