

## MAT 614 Problem Set 1

**Homework Policy.** Please read through all the problems. I will be happy to discuss the solutions during office hours.

### Problems.

**Problem 1.** For a finite type, separated  $k$ -scheme  $X$ , recall the alternative definition of the subgroup  $\text{Rat}_l(X) \subset Z_l(X)$ . It equals the subgroup generated by all pushforward classes,  $i_*[W_0] - i_*[W_\infty]$ , for all  $k$ -schemes  $W$  of pure dimension  $l + 1$ , and for all proper morphisms

$$(h, i) : W \rightarrow \mathbb{P}^1 \times_k X,$$

such that the first component morphism

$$h : W \rightarrow \mathbb{P}^1,$$

is flat over 0 and  $\infty$ . In particular, for every irreducible  $k$ -scheme  $V$  of dimension  $l$ , check directly that for the identity morphism,

$$(\text{pr}_{\mathbb{P}^1}, \text{pr}_V) : \mathbb{P}^1 \times_k V \rightarrow \mathbb{P}^1 \times_k V,$$

the pushforward class equals 0. This fills one gap from the presentation in lecture.

**Problem 2.** For every integer  $l$ , define  $\text{Alg}_l(X) \subset Z_l(X)$  to be the subgroup generated by all pushforward classes,  $i_*[W_{t_0}] - i_*[W_{t_\infty}]$ , for all proper, irreducible  $k$ -curves  $C$ , for all pairs of  $k$ -points  $t_0, t_\infty \in C(k)$ , for all  $k$ -schemes  $W$  of pure dimension  $l + 1$ , and for all proper morphisms

$$(h, i) : W \rightarrow C \times_k X,$$

such that the first component morphism

$$h : W \rightarrow C,$$

is flat over  $t_0$  and  $t_\infty$ . The quotient group  $Z_l(X)/\text{Alg}_l(X)$  is denoted by  $B_l(X)$ . Check that  $\text{Rat}_l(X)$  is contained in  $\text{Alg}_l(X)$ , and thus the quotient  $Z_l(X) \rightarrow A_l(X)$  factors uniquely through the quotient  $Z_l(X) \rightarrow B_l(X)$ . Give an example where  $\text{Rat}_l(X)$  is properly contained in  $\text{Alg}_l(X)$ .

**Problem 3.** Continuing the previous problem, prove that for every proper morphism of finite type, separated  $k$ -schemes,

$$f : X \rightarrow Y,$$

the pushforward maps on cycles map  $\text{Alg}_l(X)$  to  $\text{Alg}_l(Y)$ . Thus there is an induced pushforward map of quotient groups,

$$f_* : B_l(X) \rightarrow B_l(Y),$$

i.e.,  $X \mapsto B_l(X)$  is covariant for proper morphisms.

**Problem 4.** Continuing the previous problems, also check that the flat pullback maps preserve the subgroups  $\text{Alg}_l(X) \subset Z_l(X)$ . Conclude that  $X \mapsto B_l(X)$  is contravariant for flat pullback maps (with the appropriate degree shift).

**Problem 5.** Let  $g : U \hookrightarrow X$  be an open subset of  $X$ , and denote the closed complement by  $i : E \hookrightarrow X$ . As in the case of  $A_*$ , check that the flat pullback by  $g$  and the proper pushforward by  $i$  induce an exact sequence,

$$B_l(E) \xrightarrow{i_*} B_l(X) \xrightarrow{g^*} B_l(U) \rightarrow 0.$$

Give an example proving that  $i_*$  need not be injective.

**Problem 6.** Let  $n \geq 1$  be an integer. Recall the  $\mathbb{Z}$ -algebra from Problem 10 on Problem Set 1,

$$A_n^* := (\mathbb{Z}[s, t] / \langle x^{n+1}, x^n + \dots + s^{n-r}t^r + \dots + t^n, t^{n+1} \rangle)^{\otimes 2}.$$

For every pair of integers  $0 \leq a \leq b < n$ , denote

$$p_{b,a} = (st)^a (s^{b-a} + \dots + s^{b-a-r}t^r + \dots + t^{b-a}).$$

Check that the images  $(\bar{p}_{b,a})_{0 \leq a \leq b \leq n}$  form a free  $\mathbb{Z}$ -basis for  $A_n^*$ .

By convention, extend this to all pairs of nonnegative integers  $(a, b)$ , defining  $\bar{p}_{b,a}$  to be 0 if either  $b \geq n$  or  $a > b$ .

**Problem 7.** Now, let  $V$  be a  $k$ -vector space of dimension  $n + 1$ , and let

$$\{0\} = V_0 \subsetneq V_1 \subsetneq \dots \subsetneq V_r \subsetneq \dots \subsetneq V_n \subsetneq V_{n+1} = V,$$

be a complete flag of  $k$ -linear subspaces of  $V$ . For every pair of nonnegative integers  $(a, b)$ , denote

$$\Sigma_{b,a}^o(V_\bullet) := \{[U] \in \text{Grass}(2, V) : \dim(U \cap V_{n-b}) = 1, \dim(U \cap V_{n+1-a}) = 2\}.$$

as a locally closed subset of the Grassmannian  $\text{Grass}(2, V) = \text{Grass}(\mathbb{P}^1, \mathbb{P}V)$ . Denote by  $\Sigma_{b,a}(V_\bullet)$  the Zariski closure of  $\Sigma_{b,a}^o(V_\bullet)$ .

If either  $b \geq n$  or  $a > b$ , prove that  $\Sigma_{b,a}(V_\bullet)$  is empty. If  $0 \leq a \leq b < n$ , prove that  $\Sigma_{b,a}(V_\bullet)$  is isomorphic to an affine space of codimension  $a + b$  in  $\text{Grass}(\mathbb{P}^1, \mathbb{P}V)$ , and the Zariski closure equals

$$\Sigma_{b,a}(V_\bullet) := \{[U] \in \text{Grass}(2, V) : \dim(U \cap V_{n-b}) \geq 1, \dim(U \cap V_{n+1-a}) \geq 2\}.$$

Denote the cycle class  $[\Sigma_{b,a}(V_\bullet)]$  by  $\sigma_{b,a}$ . In particular, this is zero if either  $b \geq n$  or  $a > b$ .

**Problem 8.** Show that there is a well-defined  $\mathbb{Z}$ -module homomorphism,

$$\Phi : A_n^* \rightarrow A_*(\text{Grass}(\mathbb{P}^1, \mathbb{P}V)),$$

sending each element  $\bar{p}_{b,a}$  to  $\sigma_{b,a}$ . Moreover, check that this homomorphism is surjective.

**Problem 9. Pieri's Rule.** First, show that for every  $0 \leq a \leq b < n$  and for every  $0 \leq l < n$ , there is an identity,

$$\bar{p}_{l,0}\bar{p}_{b,a} = \sum_{i=0}^l \bar{p}_{b+l-i,a+i}.$$

Denote by  $J_n$  the ideal in the ring  $\mathbb{Z}[\pi_{b,a}]_{0 \leq a \leq b < n}$  generated by the polynomials,

$$P_{l,a,b} = \pi_{l,0} \cdot \pi_{b,a} - \sum_{i=0}^l \pi_{b+l-i,a+i}, \quad 0 \leq l < n, \quad 0 \leq a \leq b < n,$$

with the convention that  $\pi_{c,d}$  equals 0 if either  $c \geq n$  or  $d > c$ . Denote the quotient ring by,

$$R_n^* = \mathbb{Z}[\pi_{b,a}]_{0 \leq a \leq b < n} / J_n.$$

Show that the elements  $\bar{\pi}_{b,a}$  generate  $R_n^*$  as a  $\mathbb{Z}$ -module. Next use the previous paragraph to show that there is a well-defined  $\mathbb{Z}$ -algebra homomorphism,

$$\bar{p} : R_n^* \rightarrow A_n^*, \quad \bar{\pi}_{b,a} \mapsto \bar{p}_{b,a}.$$

Since the elements  $\bar{p}_{b,a}$  form a  $\mathbb{Z}$ -basis for  $A_n^*$ , conclude that  $\bar{p}$  is an isomorphism.

For every  $1 \leq a \leq b < n$ , prove “Giambelli’s formula”:

$$\bar{\pi}_{b,a} = \bar{\pi}_{a,0}\bar{\pi}_{b,0} - \bar{\pi}_{a-1,0}\bar{\pi}_{b+1,0}.$$

Thus  $R_n^*$  is generated as a  $\mathbb{Z}$ -algebra by the “special classes”  $\bar{\pi}_{b,0}$  for  $1 \leq b < n$ .

**Problem 10.** For generic choices of complete flags  $V_\bullet$  and  $W_\bullet$  in  $V$ , for integers  $0 \leq a \leq b < n$  and  $0 \leq l < n$ , check that  $[\Sigma_{l,0}(V_\bullet) \cap \Sigma_{b,a}(W_\bullet)]$  equals  $\sum_{i=0}^l \sigma_{b+l-i,a+i}$ . This strongly suggests that there is a natural “intersection product” on  $A_*(\text{Grass}(\mathbb{P}^1, \mathbb{P}V))$  such that  $\Phi$  is an isomorphism of rings. In the following exercises, assume this.

**Problem 11.** Inside  $A_3^*$  check the following identities,

$$\sigma_{1,0}^2 = \sigma_{2,0} + \sigma_{1,1}, \quad \sigma_{1,0}\sigma_{2,0} = \sigma_{1,0}\sigma_{1,1} = \sigma_{2,1}, \quad \sigma_{1,0}\sigma_{2,1} = \sigma_{2,2}, \quad \sigma_{2,0}\sigma_{2,0} = \sigma_{1,1}\sigma_{1,1} = \sigma_{2,1}, \quad \sigma_{2,0}\sigma_{1,1} = 0.$$

In particular, check that  $\sigma_{1,0}^4$  equals 1.

**Problem 12.** Let  $X \subset \mathbb{P}^3$  be a smooth, degree  $d$  hypersurface, and assume that the characteristic of  $p$  is prime to  $d(d-1)$ . Denote,

$$\text{Tan}(X) := \{[L] \in \text{Grass}(\mathbb{P}^1, \mathbb{P}^3) : \exists p \in L, 2p \subset L \cap X\},$$

i.e.,  $X$  is tangent to  $L$  at some point  $p$  in  $L$ . Use the method of test families to prove the identity,

$$[\text{Tan}(X)] = d(d-1)\sigma_{1,0}.$$

**Problem 13** Let  $C \subset \mathbb{P}^3$  be a smooth, linearly nondegenerate curve with degree  $d$  and with genus  $g$ . Denote,

$$\text{Inc}(C) := \{[L] \in \text{Grass}(\mathbb{P}^1, \mathbb{P}^3) : L \cap C \neq \emptyset\},$$

i.e.,  $L$  intersects  $C$ . Use the method of test families to prove the identity,

$$[\text{Inc}(C)] = d\sigma_{1,0}.$$

In particular, for smooth, linearly nondegenerate curves  $C_1, C_2 \subset \mathbb{P}^3$  of degrees  $d_1, d_2$ , for a generic projective linear equivalence  $g : \mathbb{P}^3 \rightarrow \mathbb{P}^3$ , conclude that

$$[\text{Inc}(C_1) \cap \text{Inc}(gC_2)] = d_1d_2\sigma_{2,0} + d_1d_2\sigma_{1,1}.$$

**Problem 14.** Let  $C \subset \mathbb{P}^3$  be a smooth, linearly nondegenerate curve with degree  $d$  and with genus  $g$ . Denote,

$$\text{Sec}^2(C) := \{[L] \in \text{Grass}(\mathbb{P}^1, \mathbb{P}^3) : \exists \underline{p} + \underline{q} \in \text{Sym}^2(C), \underline{p} + \underline{q} \subset L \cap C\},$$

i.e.,  $L$  intersects  $C$  in a divisor of degree at least 2 in  $C$ . Use the method of test families to prove the identity,

$$[\text{Sec}^2(C)] = \left( \frac{(d-1)(d-2)}{2} - g \right) \sigma_{2,0} + \frac{d(d-1)}{2} \sigma_{1,1}.$$

Now let  $g_t$  be a one-parameter family of projective equivalences of  $\mathbb{P}^3$  such that  $g_0$  is the identity. Prove that, as  $t$  specializes to 0, the “flat limit” of  $\text{Inc}(C) \cap \text{Inc}(g_t C)$  contains  $\text{Sec}^2(C)$  with multiplicity 2. How do you account for the discrepancy,

$$[\text{Inc}(C) \cap \text{Inc}(g_t C)] - 2[\text{Sec}^2(C)] = (3d + g - 2)\sigma_{2,0} + d\sigma_{1,1}?$$

In particular, note that the flat limit depends on the family  $(g_t)_t$ . Precisely how does the family enter? This illustrates that some care must be exercised when computing intersections by specialization and “conservation of number”.

**Problem 15.** Let  $C \subset \mathbb{P}^3$  be a smooth, linearly nondegenerate curve with degree  $d$  and with genus  $g$ . Denote,

$$\text{Tan}(C) := \{[L] \in \text{Grass}(\mathbb{P}^1, \mathbb{P}^3) : \exists p \in C, 2\underline{p} \subset L \cap C\},$$

i.e.,  $L$  is tangent to  $C$  at some point  $p$ . Use the method of test families to prove the identity,

$$[\text{Tan}(C)] = (2d + 2g - 2)\sigma_{2,1}.$$

**Problem 16.** Double-check the identity from lecture for the “Plücker degree” of  $\text{Grass}(\mathbb{P}^1, \mathbb{P}^n)$ , i.e., check

$$\sigma_{1,0}^{2n-2} = \frac{1}{n} \binom{2n-2}{n-1}.$$

**Problem 17.** Give a second computation of the identity from the first lecture, i.e., for generic complete flags  $V_{\bullet}^a, V_{\bullet}^b, V_{\bullet}^c$  and  $V_{\bullet}^d$  of a vector space  $V$  of dimension  $n+1 = 2m$ , we have

$$[\Sigma_{m-1,0}(V_{a\bullet}) \cap \Sigma_{m-1,0}(V_{b\bullet}) \cap \Sigma_{m-1,0}(V_{c\bullet}) \cap \Sigma_{m-1,0}(V_{d\bullet})] = \sigma_{m-1,0}^4 = m\sigma_{2m-2,2m-2}.$$

**Problem 18.** Let  $Q \subset \mathbb{P}^n$  be a smooth quadric hypersurface. Denote,

$$\text{Fano}_1(Q) := \{[L] \in \text{Grass}(\mathbb{P}^1, \mathbb{P}^n) : L \subset Q\},$$

i.e., the line  $L$  is contained in the hypersurface  $Q$ . Use the method of test families to prove the identity,

$$[\text{Fano}_1(Q)] = (2\bar{s} + 0\bar{t})(1\bar{s} + 1\bar{t})(0\bar{s} + 2\bar{t}) = 4\sigma_{2,1}.$$

**Problem 19.** This problem is considerably harder without further techniques, but worth seeing now. Let  $X \subset \mathbb{P}^n$  be a smooth cubic hypersurface. Denote,

$$\text{Fano}_1(X) := \{[L] \in \text{Grass}(\mathbb{P}^1, \mathbb{P}^n) : L \subset X\},$$

i.e., the line  $L$  is contained in the hypersurface  $X$ . Use the method of test families to prove the identity,

$$[\text{Fano}_1(X)] = (3\bar{s} + 0\bar{t})(2\bar{s} + 1\bar{t})(1\bar{s} + 2\bar{t})(0\bar{s} + 3\bar{t}) = 18\sigma_{3,1} + 45\sigma_{2,2}.$$

**Problem 20.** For a degree  $d$  hypersurface  $X \subset \mathbb{P}^n$ , denote

$$\text{Fano}_1(X) := \{[L] \in \text{Grass}(\mathbb{P}^1, \mathbb{P}^n) : L \subset X\},$$

i.e., the line  $L$  is contained in the hypersurface  $X$ . For a sufficiently general degree  $d$  hypersurface  $X$ , we will eventually prove that  $\text{Fano}_1(X)$  is smooth of the “expected” codimension  $d+1$ , and has class,

$$[\text{Fano}_1(X)] = (d\bar{s} + 0\bar{t})((d-1)\bar{s} + 1\bar{t}) \cdots ((d-r)\bar{s} + r\bar{t}) \cdots (1\bar{s} + (d-1)\bar{t})(0\bar{s} + d\bar{t}).$$

However, for every integer  $d \geq 4$ , for some choice of  $n$ , find an example where the dimension of  $\text{Fano}_1(X)$  is strictly larger than the “expected” dimension. Can you find any such example where  $d \leq n$ ? This is related to the (open) Debarre - de Jong Conjecture.