

A UNIVERSAL METRIC FOR THE CANONICAL BUNDLE OF A HOLOMORPHIC FAMILY OF PROJECTIVE ALGEBRAIC MANIFOLDS

DROR VAROLIN

Dedicated to M. Salah Baouendi on the occasion of his 60th birthday.

1. INTRODUCTION

In his celebrated work [S-98, S-02], Siu proved that the plurigenera of any algebraic manifold are invariant in families. More precisely, let $\pi : \mathcal{X} \rightarrow \mathbb{D}$ be a holomorphic submersion (i.e., $d\pi$ is nowhere zero) from a complex manifold \mathcal{X} to the unit disk \mathbb{D} , and assume that every fiber $\mathcal{X}_t := \pi^{-1}(t)$ is a compact projective manifold. Then for every $m \in \mathbb{N}$, the function $P_m : \mathbb{D} \rightarrow \mathbb{N}$ defined by $P_m(t) := h^0(\mathcal{X}_t, mK_{\mathcal{X}_t})$ is constant.

Siu's approach to the problem begins with the observation that the function P_m is upper semi-continuous. Thus in order to prove that P_m is continuous (hence constant) it suffices to show that given a global holomorphic section s of $mK_{\mathcal{X}_0}$, there is a family of global holomorphic sections s_t of \mathcal{X}_t , for all t in a neighborhood of 0, that varies holomorphically with t and satisfies $s_0 = s$.

To prove such an extension theorem, Siu establishes a generalization of the Ohsawa-Takegoshi Extension Theorem to the setting of complex submanifolds of a Kahler manifold having codimension 1 and cut out by a single, bounded holomorphic function. This theorem, which we will discuss below, requires the existence of a singular Hermitian metric on the ambient manifold having non-negative curvature current, with respect to which the section to be extended is L^2 . Thus in the presence of the extension theorem, the approach reduces to construction of such a metric.

The case where the fibers \mathcal{X}_t of our holomorphic family are of general type was treated in [S-98]. In this setting, Siu produced a single singular Hermitian metric $e^{-\kappa}$ for K_X so that every m -canonical section is L^2 with respect to $e^{-(m-1)\kappa}$.

However, in the case where the fibers \mathcal{X}_t of our holomorphic family are assumed only to be algebraic, and not necessarily of general type, Siu's proof in [S-02] does not construct a single metric as in the case of general type. Instead, Siu constructs for every section s of $mK_{\mathcal{X}_0}$ a singular Hermitian metric for $mK_{\mathcal{X}}$ of non-negative curvature so that s is L^2 with respect to this metric.

DEFINITION. Let $\mathcal{X} \rightarrow \Delta$ be a holomorphic family of complex manifolds and \mathcal{X}_0 the central fiber of \mathcal{X} . A universal canonical metric for the pair $(\mathcal{X}, \mathcal{X}_0)$ is a singular Hermitian metric $e^{-\kappa}$ for the canonical bundle $K_{\mathcal{X}}$ of \mathcal{X} such that for every global holomorphic section $s \in H^0(\mathcal{X}_0, mK_{\mathcal{X}_0})$,

$$\int_{\mathcal{X}_0} |s|^2 e^{-(m-1)\kappa} < +\infty.$$

The goal of this paper is to prove that for any holomorphic family $\mathcal{X} \rightarrow \Delta$ of compact complex algebraic manifolds with central fiber \mathcal{X}_0 , the pair $(\mathcal{X}, \mathcal{X}_0)$ has a universal canonical metric having non-negative curvature current. To this end, our main theorem is the following result.

THEOREM 1. *Let X be a complex manifold admitting a positive line bundle $A \rightarrow X$, and $Z \subset X$ a smooth compact complex submanifold of codimension 1. Assume there is a subvariety $V \subset X$ not containing Z such that $X - V$ is a Stein manifold. Let $T \in H^0(X, Z)$ be a holomorphic section of*

2000 *Mathematics Subject Classification.* 32L10 14F10.

Partially supported by an NSF grant.

the line bundle associated to Z , thought of as a divisor. Let $E \rightarrow X$ be a holomorphic line bundle and denote by K_X the canonical bundle of X . Assume we are given singular metrics $e^{-\varphi_E}$ for E and $e^{-\varphi_Z}$ for the line bundle associated to Z .

Suppose in addition that the above data satisfy the following assumptions.

- (R) The metrics $e^{-\varphi_E}$ and $e^{-\varphi_Z}$ restrict to singular metrics on Z .
- (B)

$$\sup_X |T|^2 e^{-\varphi_Z} < +\infty.$$

- (G) The line bundles $p(K_X + Z + E) + A$, $0 \leq p \leq m - 1$, are globally generated, in the sense that a finite number of sections of $H^0(X, p(K_X + Z + E) + A)$ generate the sheaf $\mathcal{O}_X(p(K_X + Z + E) + A)$.
- (P) $\sqrt{-1}\partial\bar{\partial}\varphi_E \geq 0$ and there exists a constant μ such that $\mu\sqrt{-1}\partial\bar{\partial}\varphi_E \geq \sqrt{-1}\partial\bar{\partial}\varphi_Z$.
- (T) The singular metric $e^{-(\varphi_Z + \varphi_E)}|_Z$ has trivial multiplier ideal:

$$\mathcal{I}(Z, e^{-(\varphi_Z + \varphi_E)}|_Z) = \mathcal{O}_Z.$$

Then there is a metric $e^{-\kappa}$ for $K_X + Z + E$ with the following properties:

- (C) $\sqrt{-1}\partial\bar{\partial}\kappa \geq 0$.
- (L) For every $m > 0$ and every section $s \in H^0(Z, m(K_Z + E)|_Z)$, $|s|^2 e^{-((m-1)\kappa + \varphi_E + \varphi_Z)}$ is locally integrable.
- (I) For every integer $m > 0$ and every section $s \in H^0(Z, m(K_Z + E))$,

$$\int_Z |s|^2 e^{-(m-1)\kappa + \varphi_E} < +\infty.$$

REMARKS. (i) For the ambient manifold X , we have in mind the following two examples: either X is compact complex projective (in which case the variety V could be taken to be a hyperplane section of some embedding of X) or else X is a family of compact complex algebraic manifolds. In the former case, it is well-known that the hypothesis (G) holds for any sufficiently ample A , while in the latter case, one might have to shrink X a little to obtain (G). Of course, there are many other examples of such X .

- (ii) Note that in condition (L), the local functions $|s|^2 e^{-((m-1)\kappa + \varphi_E + \varphi_Z)}$ depend on the local trivializations of the line bundles in question. However, the local integrability condition is independent of these choices.

Together with a variant of the Ohsawa-Takegoshi Theorem (Theorem 4 below), Theorem 1 implies a generalization of Siu's extension theorem to the case where the normal bundle of the submanifold Z is not necessarily trivial. The first extension theorem of this type was established by Takayama [Ta-05, Theorem 4.1] under some additional hypotheses. The general case was done in [V-06], where Theorem 4 was also established. The argument here is related to that of [V-06], but the focus is on construction of the metric rather than on the extension theorem.

As a result of Theorem 1, we have the following corollary, which is our stated goal.

COROLLARY 2. *For every holomorphic family $\mathcal{X} \rightarrow \Delta$ of smooth projective varieties with central fiber \mathcal{X}_0 , the pair $(\mathcal{X}, \mathcal{X}_0)$ has, perhaps after slightly shrinking the family, a universal canonical metric having non-negative curvature current.*

Proof. Let X be a family of compact projective manifolds $\pi : \mathcal{X} \rightarrow \mathbb{D}$, and $Z = \mathcal{X}_0$ the central fiber. Take $T = \pi$, $E = \mathcal{O}_{\mathcal{X}}$ and $\varphi_E \equiv 0$. Since \mathcal{X}_0 is cut out by a single holomorphic function, the line bundle associated to \mathcal{X}_0 is trivial. Take $\varphi_Z \equiv 0$. Then the hypotheses of Theorem 1 are satisfied, perhaps after shrinking the family, and we obtain a metric $e^{-\kappa}$ for $K_{\mathcal{X}}$ such that $\sqrt{-1}\partial\bar{\partial}\kappa \geq 0$ and $|s|^2 e^{-(m-1)\kappa}$ is integrable for every integer $m > 0$ and every section $s \in H^0(\mathcal{X}_0, mK_{\mathcal{X}_0})$. \square

REMARK. Note that in the setting of families, the constant μ is not needed, and the hypotheses (L) and (I) are the same.

REMARK. In his paper [Ts-02], Tsuji has claimed the existence of a metric with the properties stated in Corollary 2. As in our approach, Tsuji's proof makes use of an infinite process. It seems that convergence of this process was not checked; in fact, it is demonstrated in [S-02] that Tsuji's process, as well as any reasonable modification of it, diverges.

PROPOSITION 3. *For each integer $m > 0$, fix a basis $s_1^{(m)}, \dots, s_{N_m}^{(m)}$ of $H^0(X, m(K_Z + E|Z))$. Choose constants ε_m such that the metric*

$$\kappa_0 := \log \left(\sum_{m=1}^{\infty} \varepsilon_m \left(\sum_{\ell=1}^{N_m} |s_{\ell}^{(m)}|^2 \right)^{1/m} \right)$$

is convergent. Suppose $e^{-\varphi_E}$ is locally integrable. Then for each $m > 0$ and every $s \in H^0(X, m(K_Z + E|Z))$,

$$\int_Z |s|^2 e^{-((m-1)\kappa_0 + \varphi_E)} < +\infty.$$

Proof. Fix $s \in H^0(X, m(K_Z + E|Z))$, and let $\kappa_{0,m} = \log \left(\sum_{\ell=1}^{N_m} |s_{\ell}^{(m)}|^2 \right)^{1/m}$. Note that $e^{-\kappa_0} \lesssim e^{-\kappa_{0,m}}$, and thus we have

$$\begin{aligned} \int_Z |s|^2 e^{-(m-1)\kappa_0 + \varphi_E} &\lesssim \int_Z |s|^2 e^{-(m-1)\kappa_{0,m} + \varphi_E} \\ &= \int_Z |s|^{2/m} \left(\frac{|s|^2}{|s_1^{(m)}|^2 + \dots + |s_{N_m}^{(m)}|^2} \right)^{(m-1)/m} e^{\gamma_E - \varphi_E} e^{-\gamma_E} \\ &\lesssim \int_Z |s|^{2/m} e^{\gamma_E - \varphi_E} e^{-\gamma_E} \\ &\lesssim \left(\int_Z |s|^2 e^{\gamma_E - \varphi_E} e^{-m\gamma_E} \omega^{-(n-1)(m-1)} \right)^{1/m} \left(\int_Z e^{\gamma_E - \varphi_E} \omega^{n-1} \right)^{(m-1)/m}, \end{aligned}$$

where ω is a fixed Kähler form for Z and $e^{-\gamma_Z}$ is a smooth metric for $E|Z$. The last inequality is a consequence of Hölder's Inequality. Since $e^{-\varphi_E}$ is locally integrable, we are done. \square

A calculation similar to the proof of Proposition 3 shows that $|s|^2 e^{-((m-1)\kappa_0 + \varphi_Z + \varphi_E)}$ is locally integrable on Z . Thus in view of Proposition 3, Theorem 1 follows if we construct a metric $e^{-\kappa}$ with non-negative curvature current such that $e^{-\kappa}|Z = e^{-\kappa_0}$. This is precisely what we do. We employ a technical simplification, due to Paun [P-05], of Siu's original idea of extending metrics using an Ohsawa-Takegoshi-type extension theorem for sections.

CONTENTS

1. Introduction	1
2. The Ohsawa-Takegoshi Extension theorem	4
3. Inductive construction of certain sections by extension	4
4. Construction of the metric	7
4.1. A metric associated to $\mathbf{m}(\mathbf{K}_X + \mathbf{Z} + \mathbf{E})$	7
4.2. The metric for $\mathbf{K}_X + \mathbf{Z} + \mathbf{E}$; Proof of Theorem 1	9
References	10

2. THE OHSAWA-TAKEGOSHI EXTENSION THEOREM

Let Y be a Kähler manifold of complex dimension n . Assume there exists an analytic hypersurface $V \subset Y$ such that $Y - V$ is Stein. Examples of such manifolds are Stein manifolds (where V is empty) and projective algebraic manifolds (where one can take V to be the intersection of Y with a projective hyperplane in some projective space in which Y is embedded).

Fix a smooth hypersurface $Z \subset Y$ such that $Z \not\subset V$. In [V-06] we proved the following generalization of the Ohsawa-Takogoshi Extension Theorem.

THEOREM 4. *Suppose given a holomorphic line bundle $H \rightarrow Y$ with a singular Hermitian metric $e^{-\psi}$, and a singular Hermitian metric $e^{-\varphi_Z}$ for the line bundle associated to the divisor Z , such that the following properties hold.*

- (i) *The restrictions $e^{-\psi}|_Z$ and $e^{-\varphi_Z}|_Z$ are singular metrics.*
- (ii) *There is a global holomorphic section $T \in H^0(Y, Z)$ such that*

$$Z = \{T = 0\} \quad \text{and} \quad \sup_Y |T|^2 e^{-\varphi_Z} = 1.$$

- (iii) *$\sqrt{-1}\partial\bar{\partial}\psi \geq 0$ and there is an integer $\mu > 0$ such that $\mu\sqrt{-1}\partial\bar{\partial}\psi \geq \sqrt{-1}\partial\bar{\partial}\varphi_Z$.*

Then for every $s \in H^0(Z, K_Z + H)$ such that

$$\int_Z |s|^2 e^{-\psi} < +\infty \quad \text{and} \quad s \wedge dT \in \mathcal{I}(e^{-(\varphi_Z + \psi)}|_Z),$$

there exists a section $S \in H^0(Y, K_Y + Z + H)$ such that

$$S|_Z = s \wedge dT \quad \text{and} \quad \int_Y |S|^2 e^{-(\varphi_Z + \psi)} \leq 40\pi\mu \int_Z |s|^2 e^{-\psi}.$$

3. INDUCTIVE CONSTRUCTION OF CERTAIN SECTIONS BY EXTENSION

Fix a holomorphic line bundle $A \rightarrow X$ such that the property (G) in Theorem 1 holds. Let us fix bases

$$\{\tilde{\sigma}_j^{(m,0,p)} ; 1 \leq j \leq M_p\}$$

of $H^0(X, p(K_X + Z + E) + A)$. We let $\sigma_j^{(m,0,p)} \in H^0(Z, p(K_Z + E|_Z) + A|_Z)$ be such that

$$\tilde{\sigma}_j^{(m,0,p)}|_Z = \sigma_j^{(m,0,p)} \wedge (dT)^{\otimes p}.$$

We also fix smooth metrics

$$e^{-\gamma_Z} \quad \text{and} \quad e^{-\gamma_E} \quad \text{for } Z \rightarrow X, \text{ and } E \rightarrow X$$

respectively. Finally, let us fix bases

$$s_1^{(m)}, \dots, s_{N_m}^{(m)} \quad \text{for } H^0(X, m(K_Z + E|_Z)), \quad m = 1, 2, \dots,$$

orthonormal with respect to the singular metric $(\omega^{-(n-1)}e^{-\gamma_E})^{m-1}e^{-\varphi_E}$ for $(m-1)K_Z + mE|_Z$. (Since $e^{-\varphi_E}$ is locally integrable, every holomorphic section is integrable with respect to this metric.)

PROPOSITION 5. *For each $m = 1, 2, \dots$ there exist a constant $C_m < +\infty$ and sections*

$$\tilde{\sigma}_{j,\ell}^{(m,k,p)} \in H^0(X, (km+p)(K_X + Z + E) + A)$$

where $p = 1, 2, \dots, m-1$, $1 \leq j \leq M_p$, $1 \leq \ell \leq N_m$ and $k = 1, 2, \dots$, with the following properties.

- (a) $\tilde{\sigma}_{j,\ell}^{(m,k,p)}|_Z = (s_\ell^{(m)})^{\otimes k} \otimes \sigma_j^{(m,0,p)} \wedge (dT)^{(km+p)}$

(b) If $k \geq 1$,

$$\int_X \frac{\sum_{j=1}^{M_0} |\tilde{\sigma}_{j,\ell}^{(m,k,0)}|^2 e^{-(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_{m-1}} |\tilde{\sigma}_{j,\ell}^{(m,k-1,m-1)}|^2} \leq C_m.$$

(c) For $1 \leq p \leq m-1$,

$$\int_X \frac{\sum_{j=1}^{M_p} |\tilde{\sigma}_{j,\ell}^{(m,k,p)}|^2 e^{-(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_{p-1}} |\tilde{\sigma}_{j,\ell}^{(m,k,p-1)}|^2} \leq C_m.$$

Proof. (Double induction on k and p .) Fix a constant \widehat{C}_m such that the

$$\sup_X \frac{\sum_{j=1}^{M_0} |\tilde{\sigma}_j^{(m,0,0)}|^2 \omega^{n(m-1)} e^{(m-1)(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_{m-1}} |\tilde{\sigma}_j^{(m,0,m-1)}|^2} \leq \widehat{C}_m$$

and

$$\sup_Z \frac{\sum_{j=1}^{M_0} |\sigma_j^{(m,0,0)}|^2 \omega^{(n-1)(m-1)} e^{(m-1)\gamma_E}}{\sum_{j=1}^{M_{m-1}} |\sigma_j^{(m,0,m-1)}|^2} \leq \widehat{C}_m,$$

and for all $0 \leq p \leq m-2$,

$$\sup_X \frac{\sum_{j=1}^{N_{p+1}} |\tilde{\sigma}_j^{(m,0,p+1)}|^2 \omega^{-n} e^{-(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_p} |\tilde{\sigma}_j^{(m,0,p)}|^2} \leq \widehat{C}_m,$$

and

$$\sup_Z \frac{\sum_{j=1}^{N_{p+1}} |\sigma_j^{(m,0,p+1)}|^2 \omega^{-(n-1)} e^{-\gamma_E}}{\sum_{j=1}^{M_p} |\sigma_j^{(m,0,p)}|^2} \leq \widehat{C}_m.$$

($k=0$) We set $\tilde{\sigma}_{j,\ell}^{(m,0,p)} := \tilde{\sigma}_j^{(m,0,p)}$ and simply observe that

$$\int_X \frac{\sum_{j=1}^{M_p} |\tilde{\sigma}_{j,\ell}^{(m,0,p)}|^2 e^{-(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_{p-1}} |\tilde{\sigma}_{j,\ell}^{(m,0,p-1)}|^2} \leq \widehat{C}_m \int_X \omega^n.$$

($k \geq 1$) Assume the result has been proved for $k-1$.

(($p=0$)): Consider the sections $(s_\ell^{(m)})^{\otimes k} \otimes \sigma_j^{(m,0,0)}$, and define the semi-positively curved metric

$$\psi_{k,\ell,0} := \log \sum_{j=1}^{M_{m-1}} |\tilde{\sigma}_{j,\ell}^{(m,k-1,m-1)}|^2$$

for the line bundle $(mk-1)(K_X + Z + E) + A$. Observe that locally on Z ,

$$\begin{aligned} |(s_\ell^{(m)} \wedge dT^m)^k \otimes \sigma_j^{(m,0,0)}|^2 e^{-(\varphi_Z + \psi_{k,\ell,0} + \varphi_E)} &= |s_\ell^{(m)} \wedge dT^m|^2 \frac{|\sigma_j^{(m,0,0)}|^2 e^{-(\varphi_Z + \varphi_E)}}{\sum_{j=1}^{M_{m-1}} |\sigma_j^{(m,0,m-1)}|^2} \\ &\lesssim |s_\ell^{(m)}|^2 e^{-(\varphi_Z + \varphi_E)}. \end{aligned}$$

Moreover, we have

$$\sqrt{-1} \partial \bar{\partial} (\psi_{k,\ell,0} + \varphi_E) \geq 0 \quad \text{and} \quad \mu \sqrt{-1} \partial \bar{\partial} (\psi_{k,\ell,0} + \varphi_E) \geq \sqrt{-1} \partial \bar{\partial} \varphi_Z.$$

Finally,

$$\begin{aligned} & \int_Z |(s_\ell^{(m)})^k \otimes \sigma_j^{(m,0,0)}|^2 e^{-(\psi_{k,\ell,0} + \varphi_E)} \\ &= \int_Z |s_\ell^{(m)}|^2 \frac{|\sigma_j^{(m,0,0)}|^2 e^{(m-1)\gamma_E} e^{-((m-1)\gamma_E + \varphi_E)}}{\sum_{j=1}^{M_{m-1}} |\sigma_j^{(m,0,m-1)}|^2} < +\infty. \end{aligned}$$

We may thus apply Theorem 4 to obtain sections

$$\tilde{\sigma}_{j,\ell}^{(m,k,0)} \in H^0(X, mk(K_X + Z + E) + A), \quad 1 \leq j \leq M_0, \quad 1 \leq \ell \leq N_m,$$

such that

$$\tilde{\sigma}_{j,\ell}^{(m,k,0)}|_Z = (s_\ell^{(m)})^{\otimes k} \otimes \sigma_j^{(m,0,0)} \wedge (dT)^{\otimes km}, \quad 1 \leq j \leq M_0, \quad 1 \leq \ell \leq N_m,$$

and

$$\int_X |\tilde{\sigma}_{j,\ell}^{(m,k,0)}|^2 e^{-(\psi_{k,\ell,0} + \varphi_Z + \varphi_E)} \leq 40\pi\mu \int_Z |s_\ell^{(m)}|^2 \frac{|\sigma_j^{(0)}|^2 e^{-(\varphi_E + \varphi_B)}}{\sum_{j=1}^{N_{m-1}} |\sigma_j^{(m-1)}|^2}.$$

Summing over j , we obtain

$$\begin{aligned} & \int_X \frac{\sum_{j=1}^{M_0} |\tilde{\sigma}_{j,\ell}^{(m,k,0)}|^2 e^{-(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_{m-1}} |\tilde{\sigma}_{j,\ell}^{(m,k-1,m-1)}|^2} \\ & \leq \sup_X e^{\varphi_Z + \varphi_E - \gamma_Z - \gamma_E} \int_X \frac{\sum_{j=1}^{M_0} |\tilde{\sigma}_{j,\ell}^{(m,k,0)}|^2 e^{-(\varphi_Z + \varphi_E)}}{\sum_{j=1}^{M_{m-1}} |\tilde{\sigma}_{j,\ell}^{(m,k-1,m-1)}|^2} \\ & \leq 40\pi \sup_X e^{\varphi_Z + \varphi_E - \gamma_Z - \gamma_E} \int_Z |s_\ell^{(m)}|^2 \frac{\sum_{j=1}^{M_0} |\sigma_j^{(m,0,0)}|^2 e^{-\varphi_E}}{\sum_{j=1}^{M_{m-1}} |\sigma_j^{(m,0,m-1)}|^2} e^{-\kappa} \\ & \leq 40\pi \widehat{C}_m \sup_X e^{\varphi_Z + \varphi_E - \gamma_Z - \gamma_E} \int_Z |s_\ell^{(m)}|^2 \omega^{-(n-1)(m-1)} e^{-((m-1)\gamma_E + \varphi_E)} \\ & = 40\pi \widehat{C}_m \sup_X e^{\varphi_Z + \varphi_E - \gamma_Z - \gamma_E}. \end{aligned}$$

$((1 \leq p \leq m-1))$: Assume that we have obtained the sections $\tilde{\sigma}_{j,\ell}^{(m,k,p-1)}$, $1 \leq j \leq M_{p-1}$, $1 \leq \ell \leq N_m$. Consider the non-negatively curved singular metric

$$\psi_{k,\ell,p} := \log \sum_{j=1}^{M_{p-1}} |\tilde{\sigma}_{j,\ell}^{(m,k,p-1)}|^2$$

for $(km + p - 1)(K_X + Z + E) + A$. We have

$$|(s_\ell^{(m)})^k \otimes \sigma_j^{(m,0,p)}|^2 e^{-(\varphi_Z + \psi_{k,\ell,p} + \varphi_E)} = \frac{|\sigma_j^{(m,0,p)}|^2 e^{-(\varphi_Z + \varphi_E)}}{\sum_{j=1}^{M_{p-1}} |\sigma_j^{(m,0,p-1)}|^2} \lesssim e^{-(\varphi_Z + \varphi_E)},$$

which is locally integrable on Z by the hypothesis (T). Next,

$$\begin{aligned} \int_Z |(s_\ell^{(m)})^k \otimes \sigma_j^{(m,0,p)}|^2 e^{-(\psi_{k,\ell,p} + \varphi_E)} &= \int_Z \frac{|\sigma_j^{(m,0,p)}|^2 e^{-\varphi_E}}{\sum_{j=1}^{M_{p-1}} |\sigma_j^{(m,0,p-1)}|^2} \\ &\leq C^* \int_Z e^{\gamma_Z} \frac{|\sigma_j^{(m,0,p)}|^2 e^{-(\varphi_Z + \varphi_E)}}{\sum_{j=1}^{M_{p-1}} |\sigma_j^{(m,0,p-1)}|^2} < +\infty, \end{aligned}$$

where

$$C^* := \sup_Z e^{\varphi_Z - \gamma_Z}.$$

Moreover,

$$\sqrt{-1}\partial\bar{\partial}(\psi_{k,\ell,p} + \varphi_E) \geq 0 \quad \text{and} \quad \sqrt{-1}\partial\bar{\partial}(\psi_{k,\ell,p} + \varphi_E) \geq \sqrt{-1}\partial\bar{\partial}\varphi_Z.$$

By Theorem 4 there exist sections

$$\tilde{\sigma}_{j,\ell}^{(m,k,p)} \in H^0(X, (mk+p)(K_X + Z + E) + A), \quad 1 \leq j \leq M_0$$

such that

$$\tilde{\sigma}_{j,\ell}^{(m,k,p)}|_Z = (s_\ell^{(m)})^{\otimes k} \otimes \sigma_{j,\ell}^{(m,0,p)} \wedge (dT)^{\otimes km+p}, \quad 1 \leq j \leq M_p,$$

and

$$\int_X |\tilde{\sigma}_{j,\ell}^{(m,k,p)}|^2 e^{-(\psi_{k,\ell,p} + \varphi_Z + \varphi_E)} \leq 40\pi\mu \int_Z \frac{|\sigma_j^{(m,0,p)}|^2 e^{-\varphi_E}}{\sum_{j=1}^{M_{p-1}} |\sigma_j^{(m,0,p-1)}|^2}.$$

Summing over j , we obtain

$$\int_X \frac{\sum_{j=1}^{M_p} |\tilde{\sigma}_{j,\ell}^{(m,k,p)}|^2 e^{-(\gamma_Z + \gamma_E)}}{\sum_{j=1}^{M_{p-1}} |\tilde{\sigma}_{j,\ell}^{(m,k,p-1)}|^2} \leq 40\pi\mu \sup_X e^{\varphi_Z + \varphi_E - \gamma_Z - \gamma_E} \widehat{C}_m \int_Z e^{-\varphi_E} \omega^{n-1}.$$

Letting

$$C_m := 40\pi\mu \widehat{C}_m \max \left(\int_X \omega^n, \sup_X e^{\varphi_Z + \varphi_E + \varphi_B - \gamma_Z - \gamma_E}, \sup_X e^{\varphi_Z + \varphi_E - \gamma_Z - \gamma_E} \int_Z e^{-\varphi_E} \omega^{n-1} \right)$$

completes the proof. \square

4. CONSTRUCTION OF THE METRIC

4.1. A metric associated to $\mathbf{m}(\mathbf{K}_X + \mathbf{Z} + \mathbf{E})$. Fix a smooth metric $e^{-\psi}$ for $A \rightarrow X$. Consider the functions

$$\lambda_{\ell,N}^{(m)} := \log \sum_{j=1}^{M_p} |\tilde{\sigma}_{j,\ell}^{(m,k,p)}|^2 \omega^{-n(mk+p)} e^{-(km(\gamma_Z + \gamma_E) + \psi)},$$

where $N = mk + p$. Set

$$\lambda_N^{(m)} := \log \sum_{\ell=1}^{N_m} e^{\lambda_{\ell,N}^{(m)}}.$$

LEMMA 6. *For any non-empty open subset $V \subset X$ and any smooth function $f : \bar{V} \rightarrow \mathbb{R}_+$,*

$$\frac{1}{\int_V f \omega^n} \int_V (\lambda_N^{(m)} - \lambda_{N-1}^{(m)}) f \omega^n \leq \log \left(\frac{N_m C_m \sup_V f}{\int_V f \omega^n} \right).$$

Proof. Observe that by Proposition 5, there exists a constant C_m such that for any open subset $V \subset X$,

$$\int_V (e^{\lambda_{\ell,N}^{(m)}} - e^{\lambda_{\ell,N-1}^{(m)}}) f \omega^n \leq C_m \sup_V f,$$

and thus

$$\int_V (e^{\lambda_N^{(m)}} - e^{\lambda_{N-1}^{(m)}}) f \omega^n = \sum_{\ell=1}^{N_m} \int_V (e^{\lambda_{\ell,N}^{(m)}} - e^{\lambda_{\ell,N-1}^{(m)}}) f \omega^n \leq N_m C_m \sup_V f.$$

An application of (the concave version of) Jensen's inequality to the concave function \log then gives

$$\frac{1}{\int_V f \omega^n} \int_V (\lambda_N^{(m)} - \lambda_{N-1}^{(m)}) f \omega^n \leq \log \left(\frac{N_m C_m \sup_V f}{\int_V f \omega^n} \right).$$

The proof is complete. \square

Consider the function

$$\Lambda_k^{(m)} = \frac{1}{k} \lambda_{mk}^{(m)}.$$

Note that $\Lambda_k^{(m)}$ is locally the sum of a plurisubharmonic function and a smooth function. By applying Lemma 6 and using the telescoping property, we see that for any open set $V \subset X$ and any smooth function $f : \bar{V} \rightarrow \mathbb{R}_+$,

$$(1) \quad \frac{1}{\int_V f \omega^n} \int_V \Lambda_k^{(m)} f \omega^n \leq m \log \left(\frac{N_m C_m \sup_V f}{\int_V f \omega^n} \right).$$

PROPOSITION 7. *There exists a constant $C_o^{(m)}$ such that*

$$\Lambda_k^{(m)}(x) \leq C_o^{(m)}, \quad x \in X.$$

Proof. Let us cover X by coordinate charts V_1, \dots, V_N such that for each j there is a biholomorphic map F_j from V_j to the ball $B(0, 2)$ of radius 2 centered at the origin in \mathbb{C}^n , and such that if $U_j = F_j^{-1}(B(0, 1))$, then U_1, \dots, U_N is also an open cover. Let $W_j = V_j \setminus F_j^{-1}(B(0, 3/2))$.

Now, on each V_j , $\Lambda_k^{(m)}$ is the sum of a plurisubharmonic function and a smooth function. Say $\Lambda_k^{(m)} = h + g$ on V_j , where h is plurisubharmonic and g is smooth. Then for constant A_j we have

$$\begin{aligned} \sup_{U_j} \Lambda_k^{(m)} &\leq \sup_{U_j} g + \sup_{U_j} h \\ &\leq \sup_{U_j} g + A_j \int_{W_j} h \cdot F_{j*} dV \\ &\leq \sup_{U_j} g - A_j \int_{W_j} g \cdot F_{j*} dV + A_j \int_{W_j} \Lambda_k^{(m)} \cdot F_{j*} dV \end{aligned}$$

Let

$$C_j^{(m)} := \sup_{U_j} g - A_j \int_{W_j} g \cdot F_{j*} dV$$

and define the smooth function f_j by

$$f_j \omega^n = F_{j*} dV.$$

Then by (1) applied with $V = W_j$ and $f = f_j$, we have

$$\sup_{U_j} \Lambda_k^{(m)} \leq C_j^{(m)} + mA_j \log \left(\frac{N_m C_m \sup_{W_j} f_j}{\int_{W_j} f_j \omega^n} \right) \int_{W_j} f_j \omega^n.$$

Letting

$$C_o^{(m)} := \max_{1 \leq j \leq N} \left\{ C_j^{(m)} + mA_j \log \left(\frac{N_m C_m \sup_{W_j} f_j}{\int_{W_j} f_j \omega^n} \right) \int_{W_j} f_j \omega^n \right\}$$

completes the proof. \square

Since the upper regularization of the lim sup of a uniformly bounded sequence of plurisubharmonic functions is plurisubharmonic (see, e.g., [H-90, Theorem 1.6.2]), we essentially have the following corollary.

COROLLARY 8. *The function*

$$\Lambda^{(m)}(x) := \limsup_{y \rightarrow x} \limsup_{k \rightarrow \infty} \Lambda_k^{(m)}(y)$$

is locally the sum of a plurisubharmonic function and a smooth function.

Proof. One need only observe that the function Λ_k is obtained from a singular metric on the line bundle $m(K_X + Z + E)$ (this singular metric $e^{-\kappa_k^{(m)}}$ will be described shortly) by multiplying by a fixed smooth metric of the dual line bundle. \square

Consider the singular Hermitian metric $e^{-\kappa^{(m)}}$ for $m(K_X + Z + E)$ defined by

$$e^{-\kappa^{(m)}} = e^{-\Lambda^{(m)}} \omega^{-nm} e^{-m(\gamma_Z + \gamma_E)}.$$

This singular metric is given by the formula

$$e^{-\kappa^{(m)}(x)} = \exp \left(- \limsup_{y \rightarrow x} \limsup_{k \rightarrow \infty} \kappa_k^{(m)}(y) \right),$$

where

$$e^{-\kappa_k^{(m)}} = e^{-\Lambda_k^{(m)}} \omega^{-nm} e^{-m(\gamma_Z + \gamma_E)}.$$

The curvature of $e^{-\kappa_k^{(m)}}$ is thus

$$\begin{aligned} \sqrt{-1} \partial \bar{\partial} \kappa_k^{(m)} &= \frac{\sqrt{-1}}{k} \partial \bar{\partial} \log \sum_{\ell=1}^{N_m} \sum_{j=1}^{N_0} |\tilde{\sigma}_{j,\ell}^{(m,k,0)}|^2 - \frac{1}{k} \sqrt{-1} \partial \bar{\partial} \psi \\ &\geq -\frac{1}{k} \sqrt{-1} \partial \bar{\partial} \psi \end{aligned}$$

We claim next that the curvature of $e^{-\kappa}$ is non-negative. To see this, it suffices to work locally. Then we have that the functions

$$\kappa_k^{(m)} + \frac{1}{k} \psi$$

are plurisubharmonic. But

$$\limsup_{y \rightarrow x} \limsup_{k \rightarrow \infty} \kappa_k^{(m)} + \frac{1}{k} \psi = \limsup_{y \rightarrow x} \limsup_{k \rightarrow \infty} \kappa_k^{(m)} = \kappa^{(m)}.$$

It follows that $\kappa^{(m)}$ is plurisubharmonic, as desired.

4.2. The metric for $K_X + Z + E$; Proof of Theorem 1. Let ε_m be constants, chosen so $\varepsilon_m \searrow 0$ sufficiently rapidly that the sum

$$e^\kappa := \sum_{m=1}^{\infty} \varepsilon_m e^{\frac{1}{m} \kappa^{(m)}} = \sum_{m=1}^{\infty} \exp\left(\frac{1}{m} \kappa^{(m)} + \log \varepsilon_m\right).$$

converges everywhere on X (to a metric for $-(K_X + Z + E)$). It is possible to find such constants since, by Proposition 7, each $\kappa^{(m)}$ is locally uniformly bounded from above. (The lower bound $e^{\kappa^{(m)}} \geq 0$ is trivial.) Moreover, by elementary properties of plurisubharmonic functions, κ is plurisubharmonic. Indeed, for any $r \in \mathbb{N}$, the function

$$\psi_r := \log \sum_{m=1}^r \exp\left(\frac{1}{m} \kappa^{(m)} + \log \varepsilon_m\right)$$

is plurisubharmonic, and $\psi_r \nearrow \kappa$. It follows that $\kappa = \sup_r \psi_r$ is plurisubharmonic. (Again, see [H-90, Theorem 1.6.2].) Thus $e^{-\kappa}$ is a singular Hermitian metric for $K_X + Z + E$ with non-negative curvature current.

Observe that, after identifying K_Z with $(K_X + Z)|_Z$ by dividing by dT ,

$$\kappa_k^{(m)}|_Z = \log \left(\sum_{\ell=1}^{N_m} |s_\ell^{(m)}|^2 \right) + \frac{1}{k} \log \sum_{j=1}^{M_0} |\sigma_j^{(m,0,0)}|^2.$$

Thus we obtain $e^{-\kappa^{(m)}|_Z} = \left(\sum_{\ell=1}^{N_m} |s_\ell^{(m)}|^2 \right)^{-1}$. It follows that

$$e^{-\kappa}|_Z = \frac{1}{\sum_{m=1}^{\infty} \varepsilon_m \left(\sum_{\ell=1}^{N_m} |s_\ell^{(m)}|^2 \right)^{2/m}}.$$

In view of the short discussion following the proof of Proposition 3, the metric $e^{-\kappa}$ satisfies the conclusions of Theorem 1. The proof of Theorem 1 is thus complete. \square

ACKNOWLEDGMENT. I am indebted to Lawrence Ein and Mihnea Popa. It is to a discussion with them that the present paper owes its existence.

REFERENCES

- [H-90] Hörmander, L., *An introduction to complex analysis in several variables*. Third edition. North-Holland Mathematical Library, 7. North-Holland Publishing Co., Amsterdam, 1990.
- [P-05] Paun, M., *Siu's invariance of plurigenera: a one-tower proof*. Preprint 2005.
- [S-98] Siu, Y.-T., *Invariance of plurigenera*. Invent. Math. 134 (1998), no. 3, 661–673.
- [S-02] Siu, Y.-T., *Extension of twisted pluricanonical sections with plurisubharmonic weight and invariance of semi-positively twisted plurigenera for manifolds not necessarily of general type*. Complex geometry. Collection of papers dedicated to Hans Grauert. Springer-Verlag, Berlin, 2002. (223–277)
- [Ta-05] Takayama, S., *Pluricanonical systems on algebraic varieties of general type*, preprint 2005.
- [Ts-02] Tsuji, H., *Deformation invariance of plurigenera*. Nagoya Math. J. 166 (2002), 117–134.
- [V-06] Varolin, D., *A Takayama-type Extension Theorem*. Preprint 2006.

DEPARTMENT OF MATHEMATICS
 STONY BROOK UNIVERSITY
 STONY BROOK, NY 11794