

*Four-Manifolds,*

*Einstein Metrics, &*

*Differential Topology*

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*Four-Manifolds,*

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*Differential Topology, II*

**Kähler Paradigms  
in a Riemannian World**

October 20, 2016  
University of Pennsylvania

Hitchin-Thorpe Inequality:

$$(2\chi + 3\tau)(M) = \frac{1}{4\pi^2} \int_M \left( \frac{s^2}{24} + 2|W_+|^2 - \frac{|\mathring{r}|^2}{2} \right) d\mu_g$$
$$\text{Einstein} \Rightarrow = \frac{1}{4\pi^2} \int_M \left( \frac{s^2}{24} + 2|W_+|^2 \right) d\mu_g$$

**Theorem** (Hitchin-Thorpe Inequality). *If smooth compact oriented  $M^4$  admits Einstein  $g$ , then*

$$(2\chi + 3\tau)(M) \geq 0,$$

*with equality only if  $\Lambda^+$  is flat on  $(M, g)$ . The latter happens only if  $(M, g)$  finitely covered by a flat  $T^4$  or by a Calabi-Yau  $K3$ .*

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$\therefore$  Topological manifold  $|K3|$  has infinitely many smooth structures, but only one of these admits Einstein metrics.

However, don't get too discouraged...

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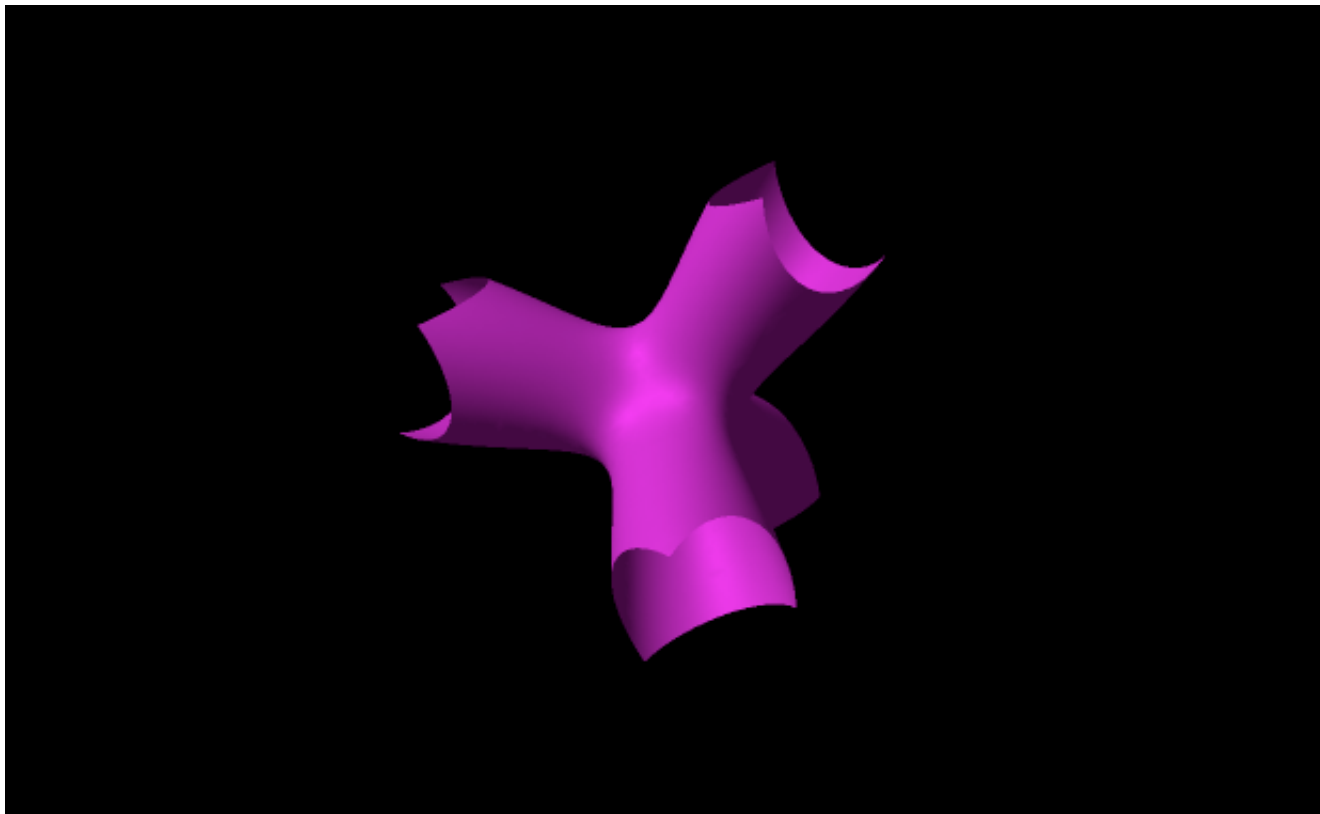
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Fubini-Study

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$$\pi_1 = 0, \quad \chi = \ell(\ell^2 - 4\ell + 6), \quad \tau = -\frac{1}{3}\ell(\ell^2 - 4), \quad \text{spin} \Leftrightarrow \ell \text{ even.}$$



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(Kodaira embedding theorem)

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**Our Focus.** Suppose  $(M^4, J)$  is a compact complex surface. When does  $M^4$  admit an Einstein metric  $g$ , perhaps completely unrelated to  $J$ ?

# Kodaira Classification

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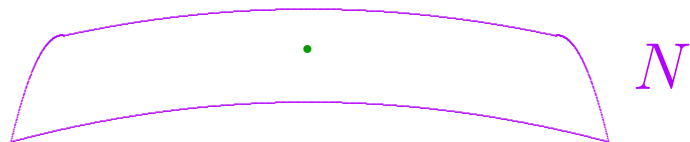
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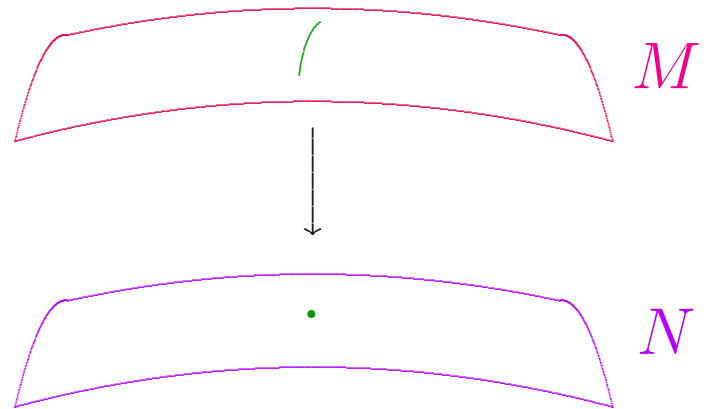
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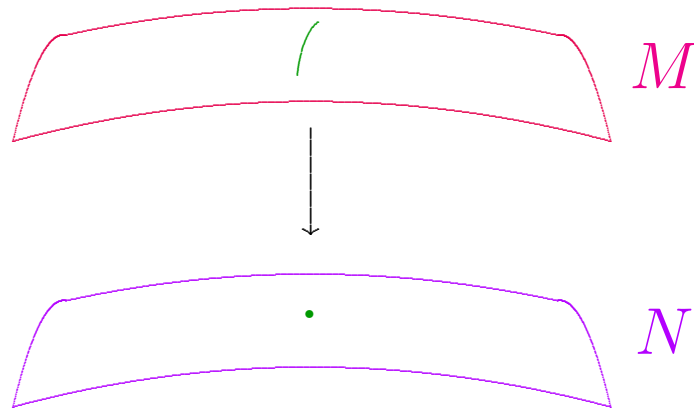


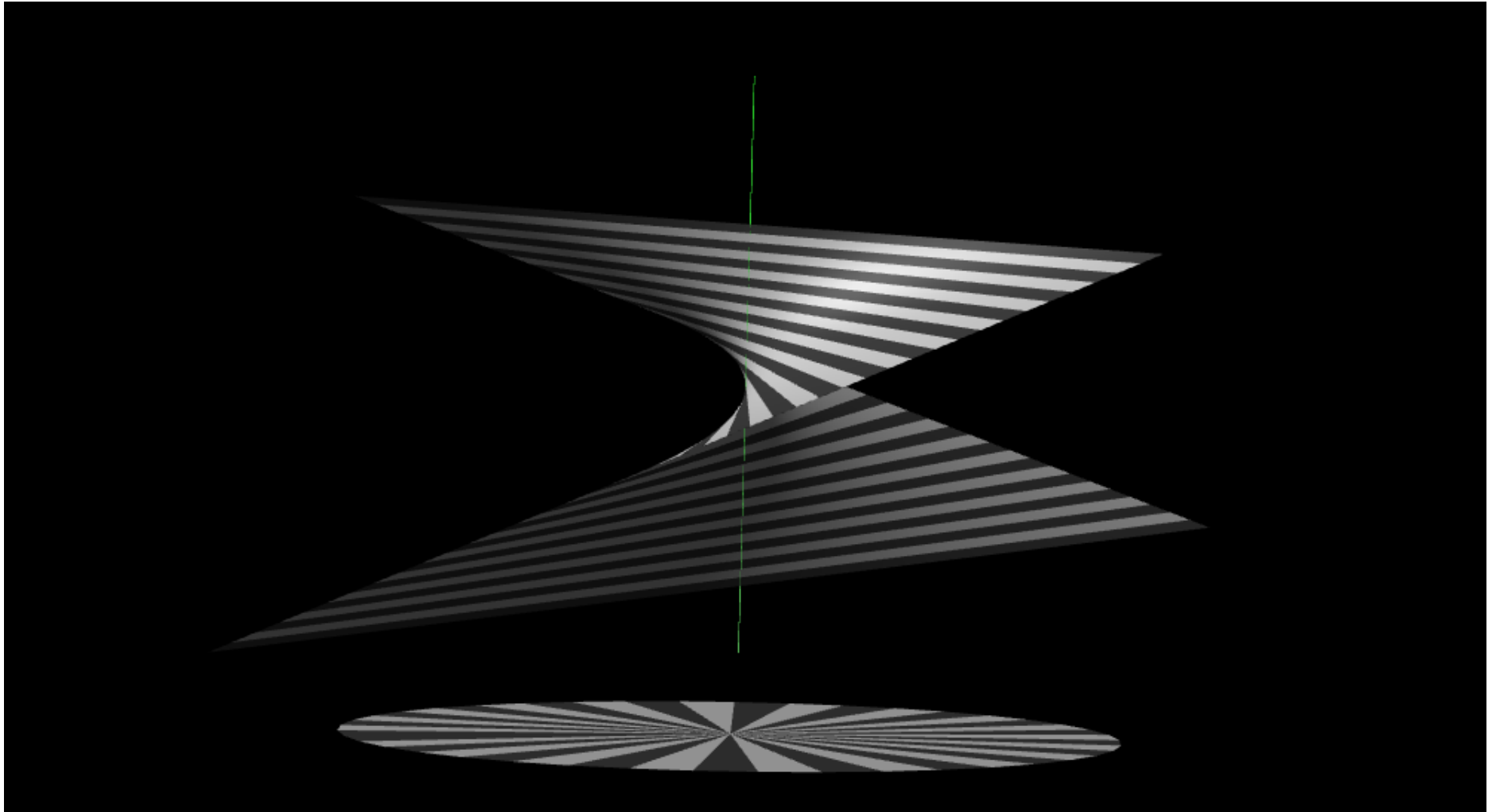
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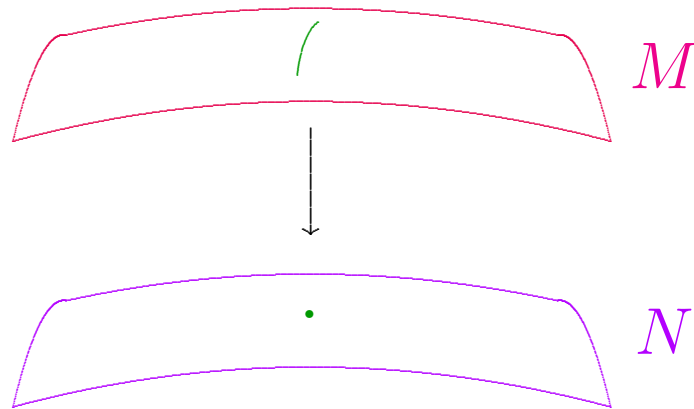


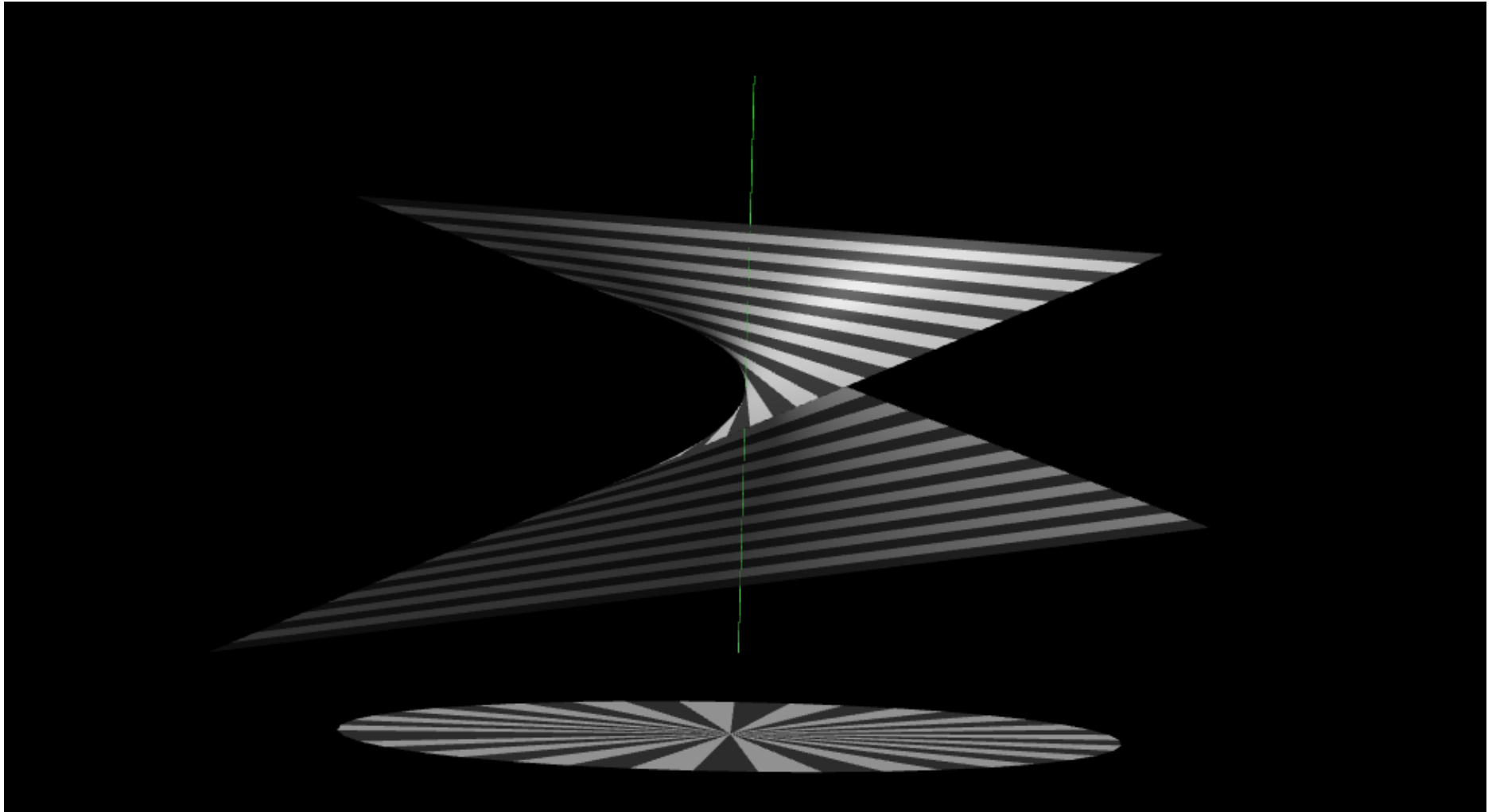
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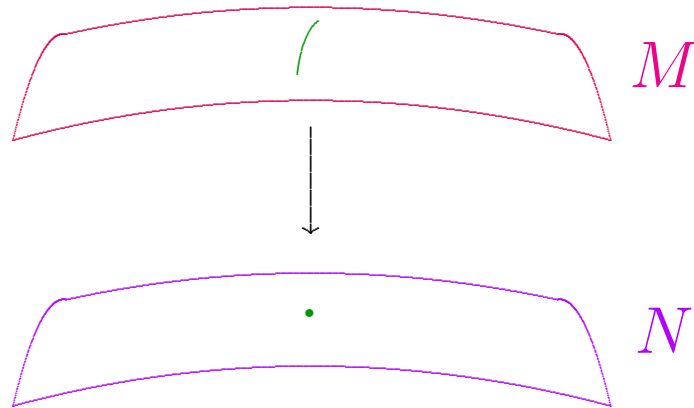


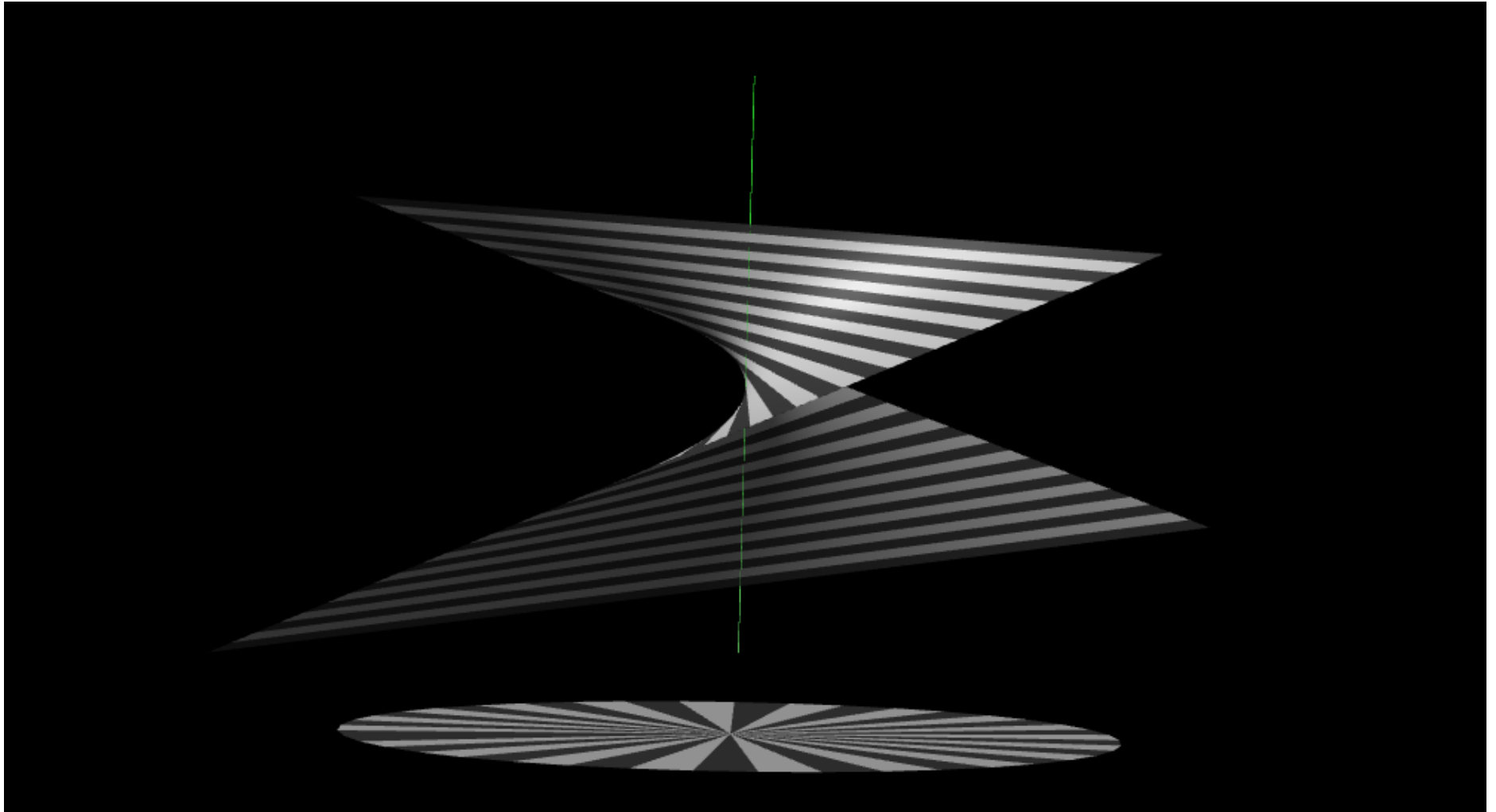
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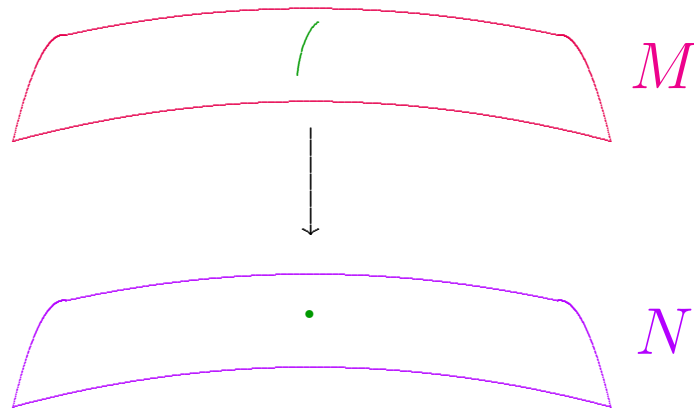


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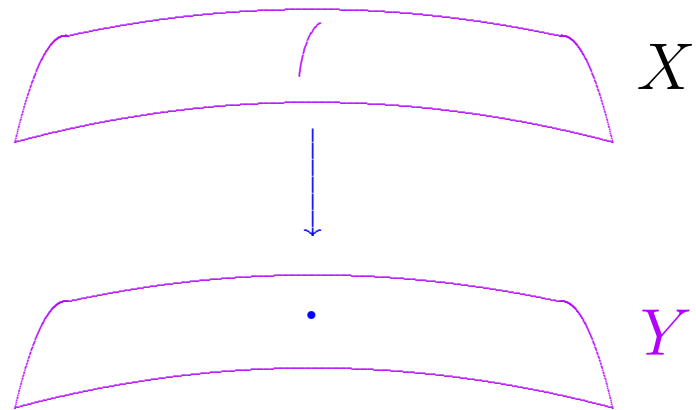
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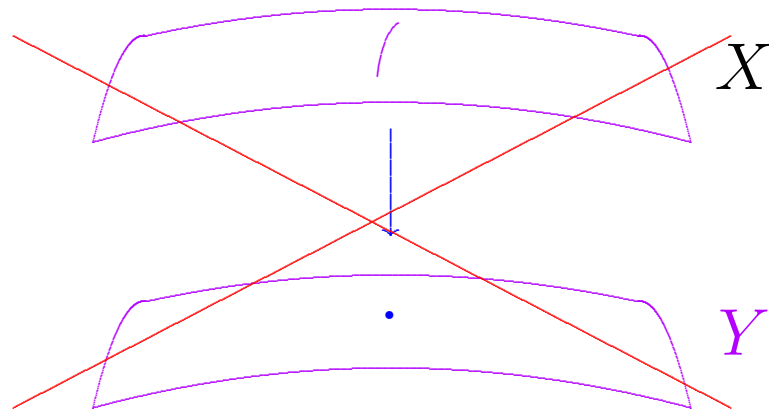
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2-form  $\omega$  with  $d\omega = 0$  and  $\omega \wedge \omega > 0$ .

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$\therefore$  Minimal model must have  $c_1^2 \geq 0 \dots$

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Let's think more about Riemannian 4-manifolds...

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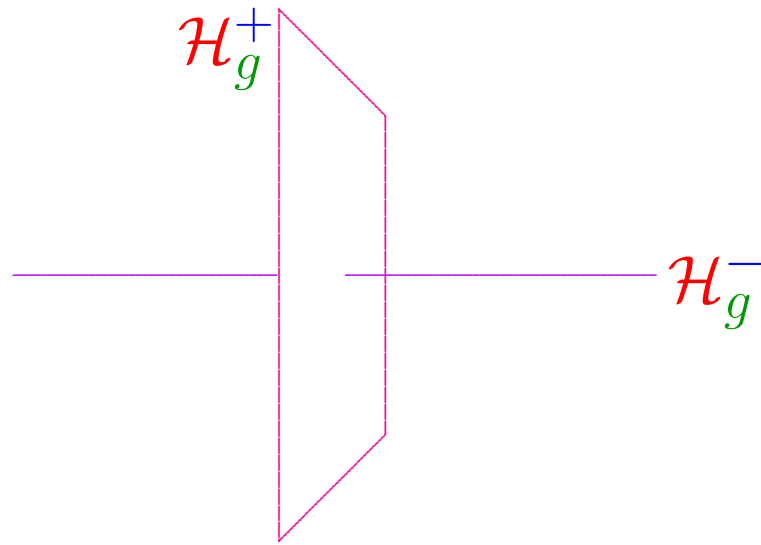
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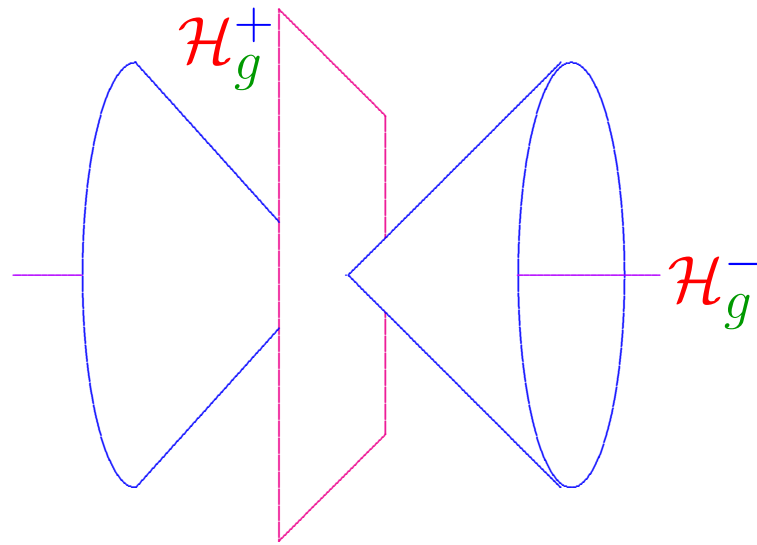
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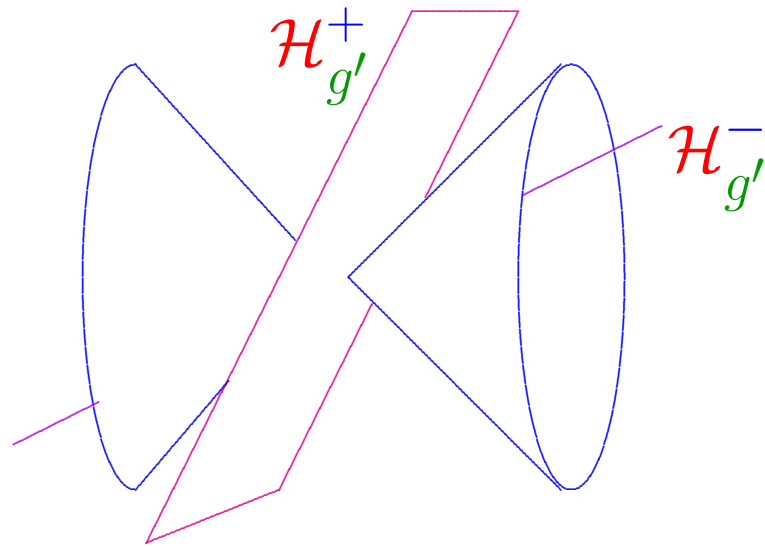
$$b_\pm(M) = \dim \mathcal{H}_g^\pm.$$



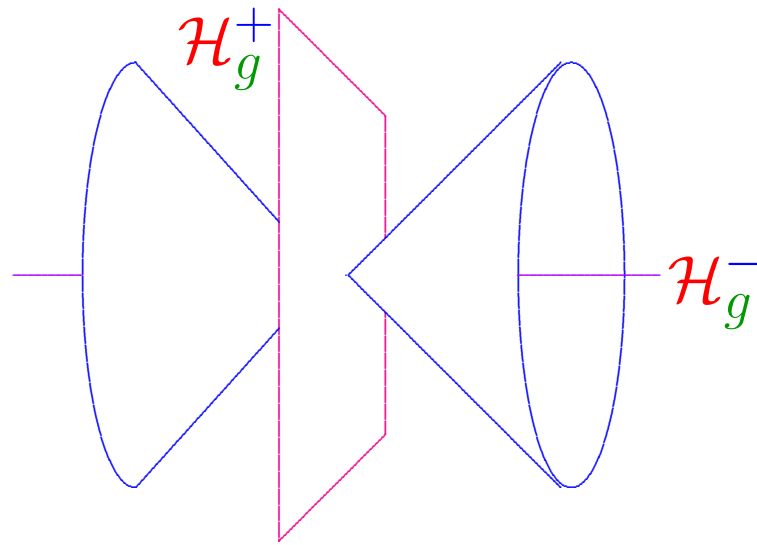
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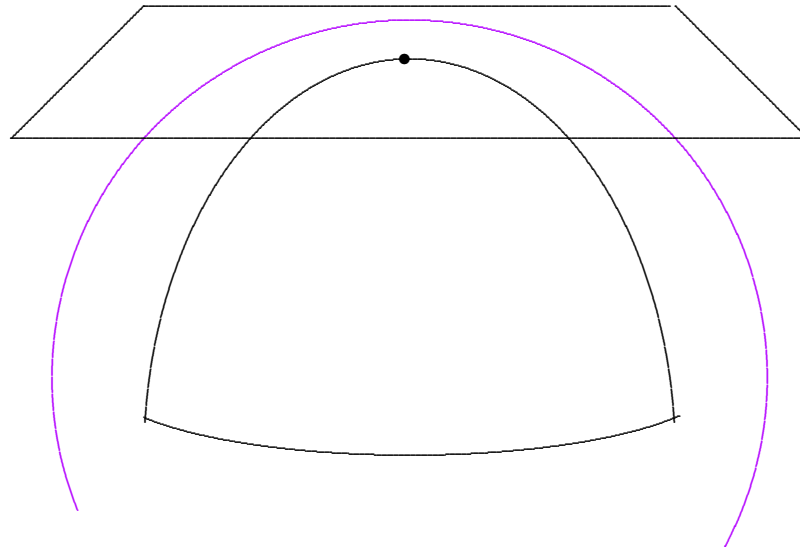
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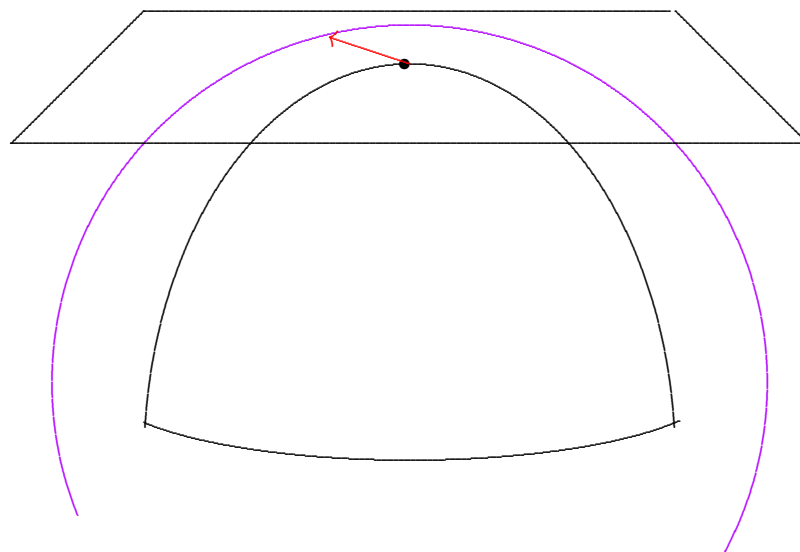
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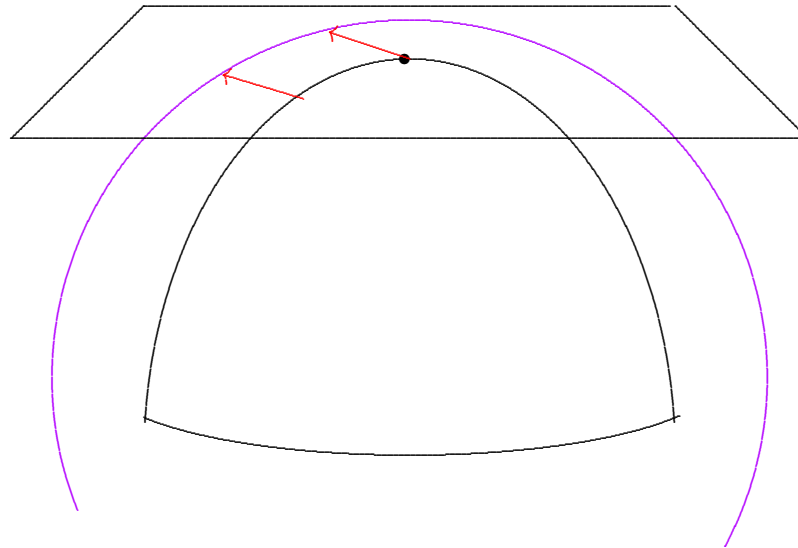
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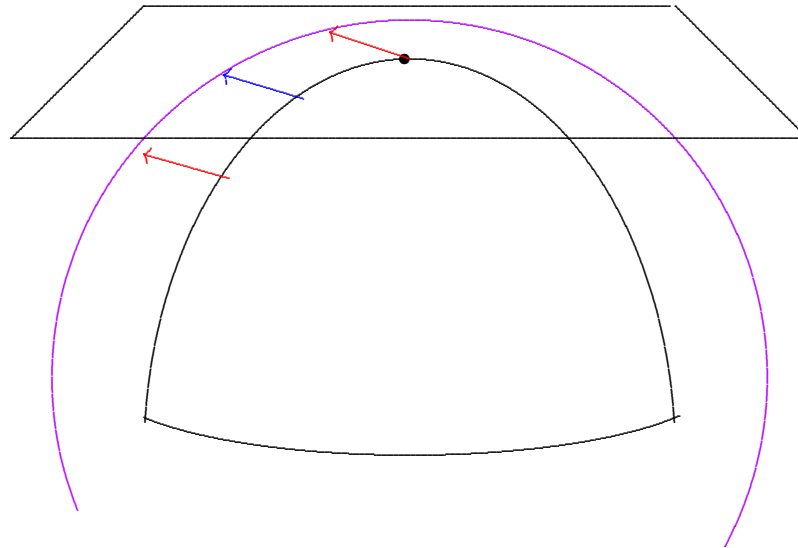
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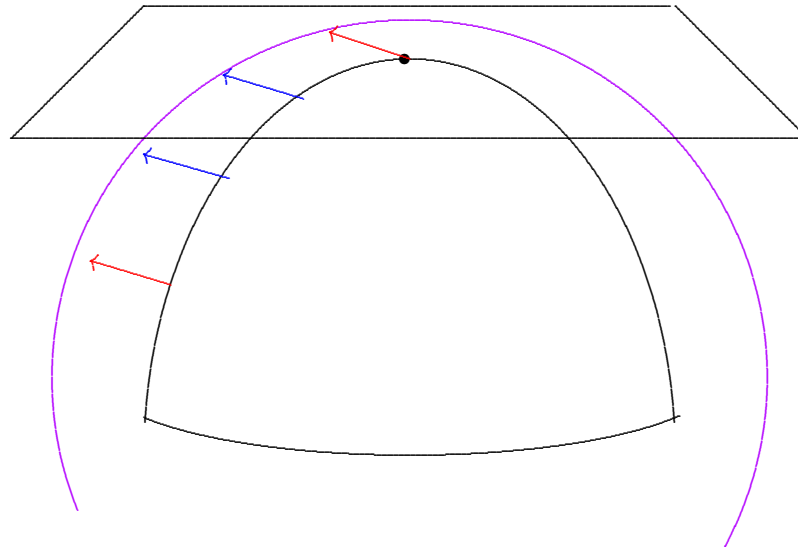
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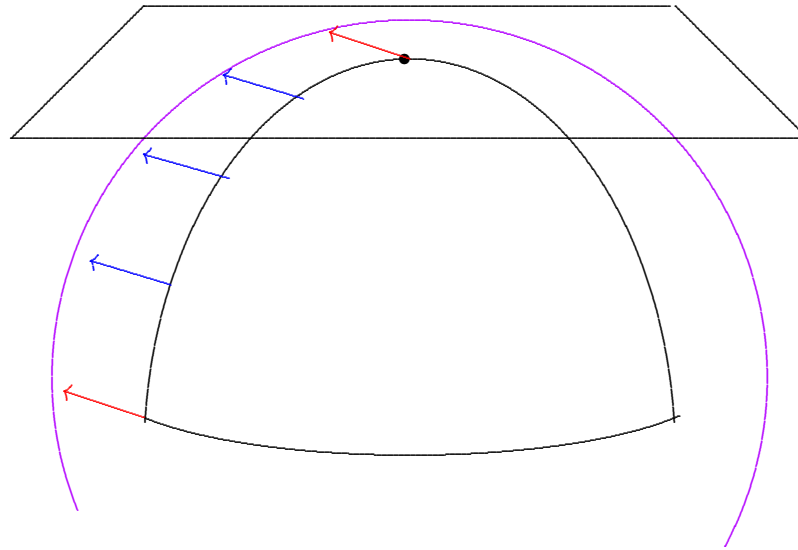
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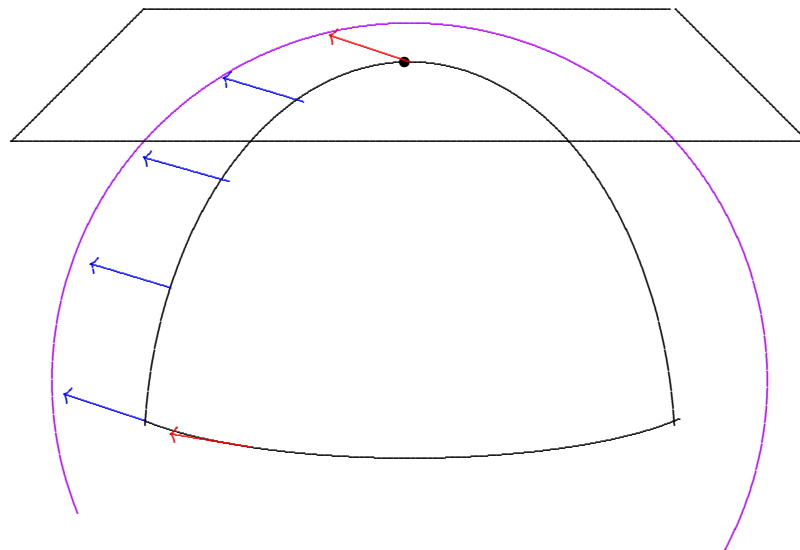
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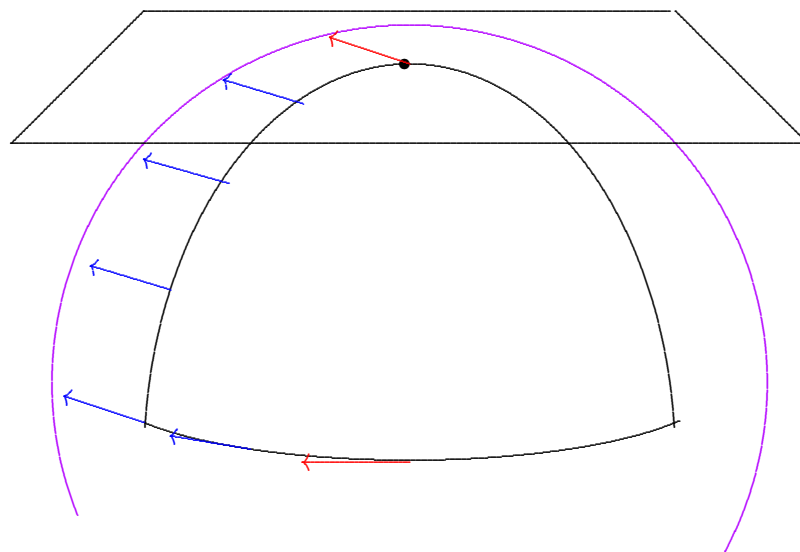
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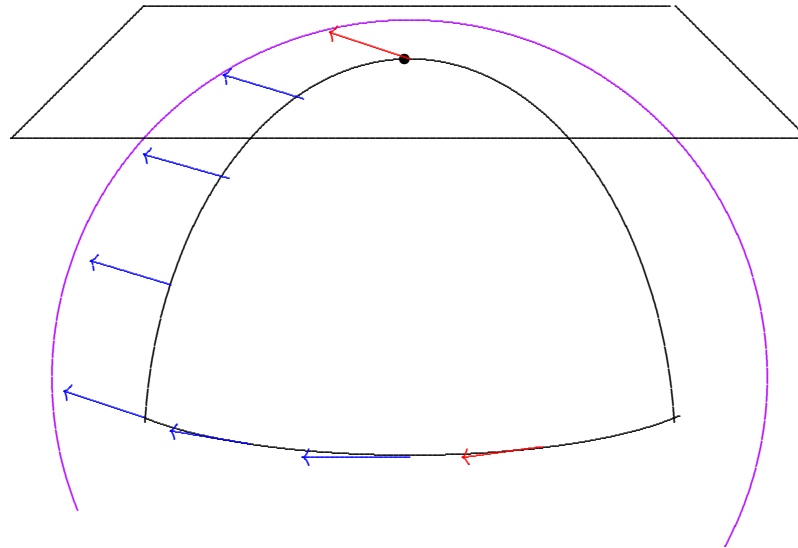
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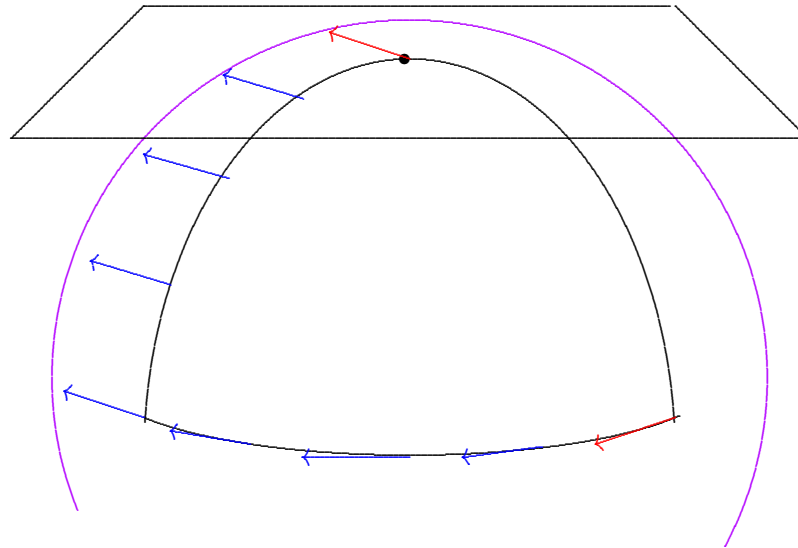
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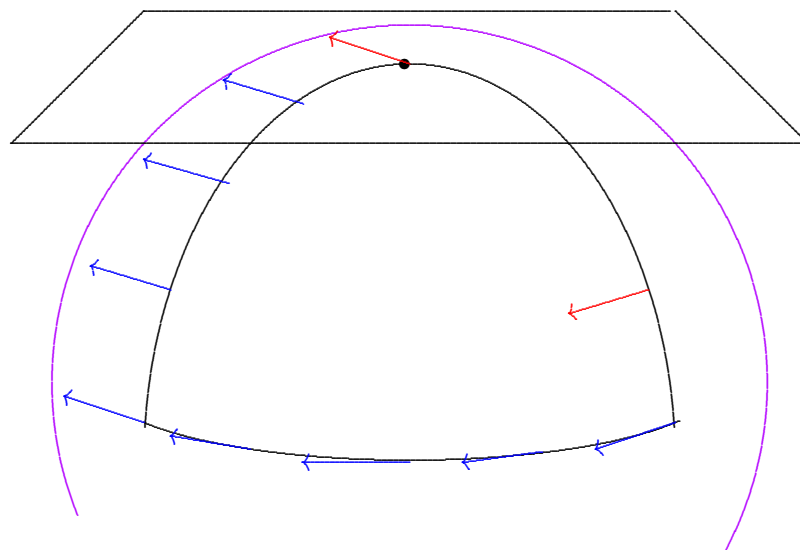
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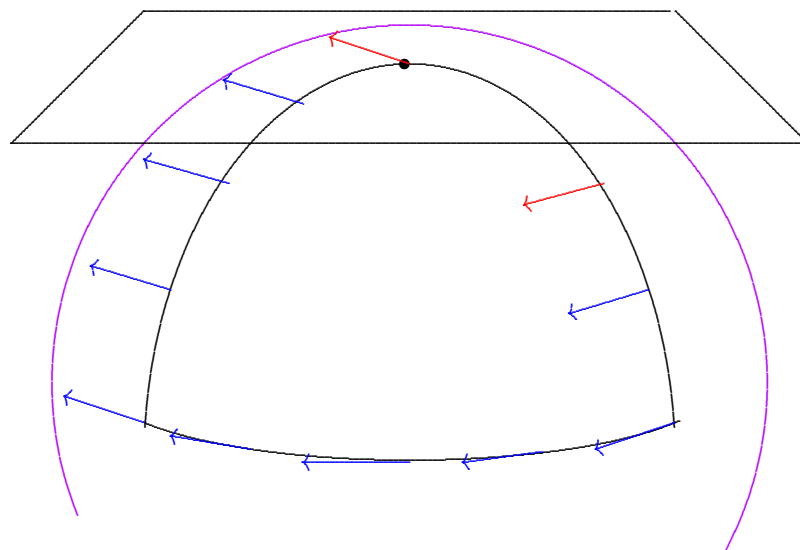
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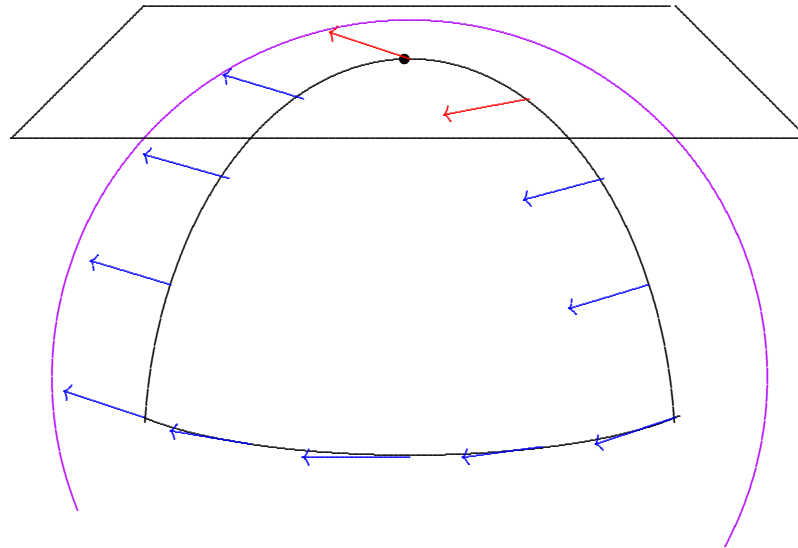
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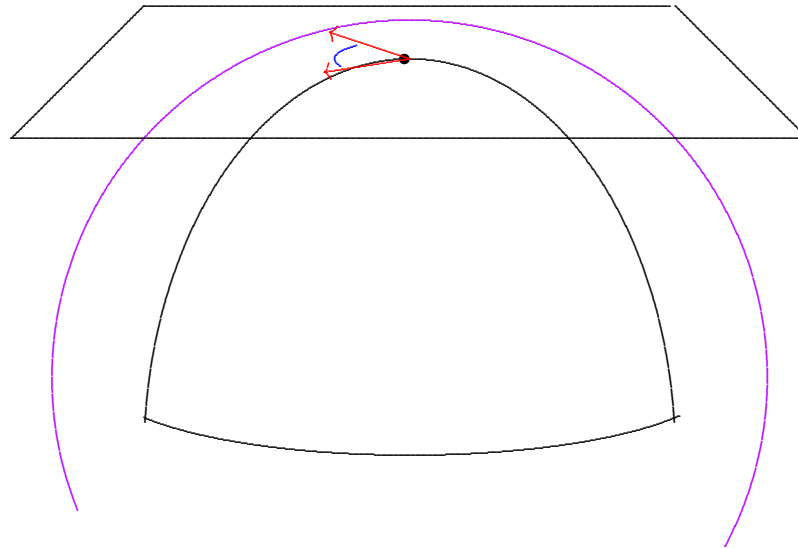
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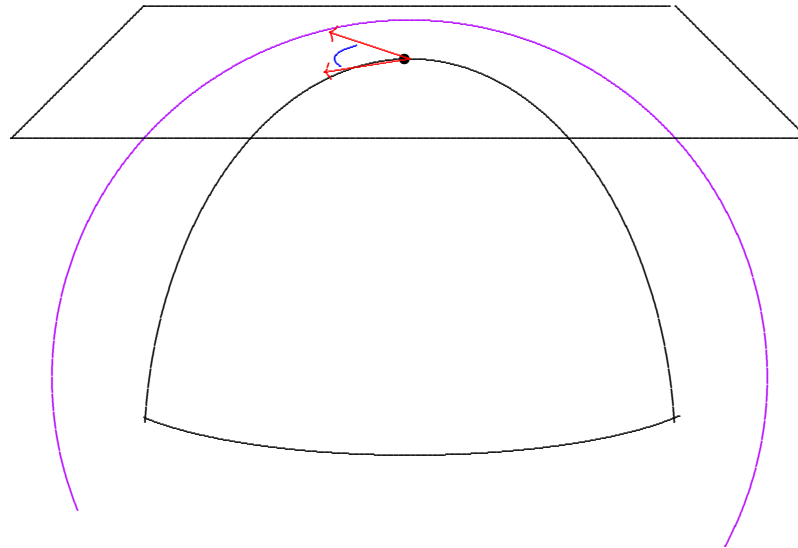
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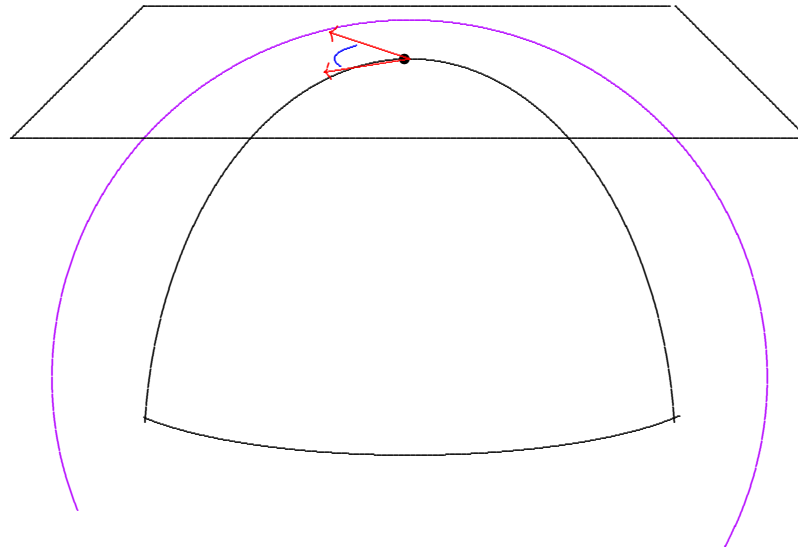
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$$dz^1 \wedge dz^2 \quad dz^j \wedge d\bar{z}^k \quad d\bar{z}^1 \wedge d\bar{z}^2$$



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Riemann curvature of  $g$

$$\mathcal{R} : \Lambda^2 \rightarrow \Lambda^2$$

splits into 4 irreducible pieces:

	$\Lambda^{+*}$	$\Lambda^{-*}$
$\Lambda^+$	$W_+ + \frac{s}{12}$	$\overset{\circ}{r}$
$\Lambda^-$	$\overset{\circ}{r}$	$W_- + \frac{s}{12}$

where

$s$  = scalar curvature

$\overset{\circ}{r}$  = trace-free Ricci curvature

$W_+$  = self-dual Weyl curvature (*conformally invariant*)

$W_-$  = anti-self-dual Weyl curvature //

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$$\mathcal{R}(\omega) =: \rho$$

Curvature  $\Lambda^+ \rightsquigarrow \rho$ .

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$(M^4, g)$  Kähler  $\iff$  holonomy  $\subset \mathbf{U}(2)$

$\iff \exists$  almost-complex structure  $J$  with  $\nabla J = 0$   
and  $g(J\cdot, J\cdot) = g$ .

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There is a closed 2-form  $\rho$  given by

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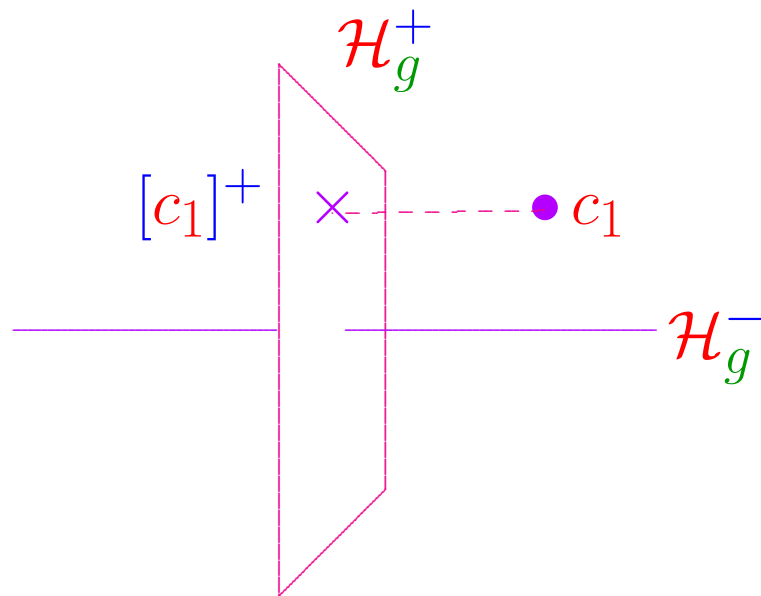
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So Cauchy-Schwarz  $\implies$

$$\int_M s^2 \, d\mu \geq 32\pi^2 \frac{(c_1 \cdot [\omega])^2}{[\omega]^2}$$

because  $\int_M d\mu = [\omega]^2/2$ .



$$H^2(M, \mathbb{R})$$

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**“Kähler Paradigms in a Riemannian World”**

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Self-diffeomorphism unneeded if  $b_+ > 1$  or  $c_1^2 \geq 0$ .

Proof involves a non-linear Dirac equation...

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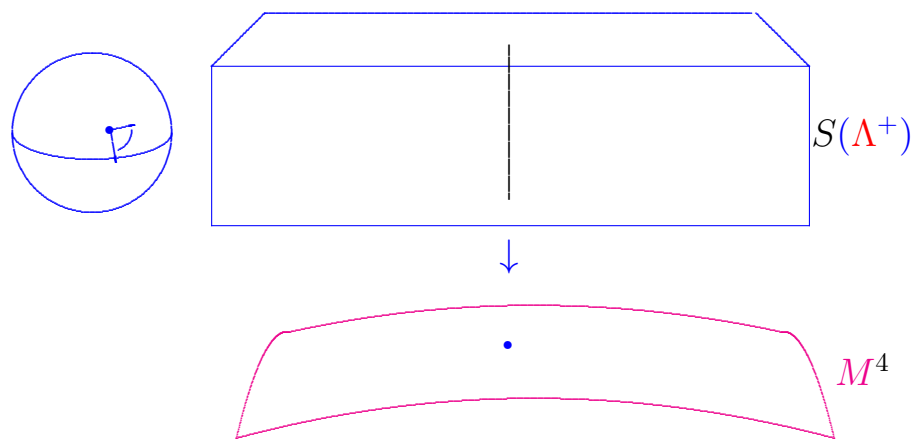
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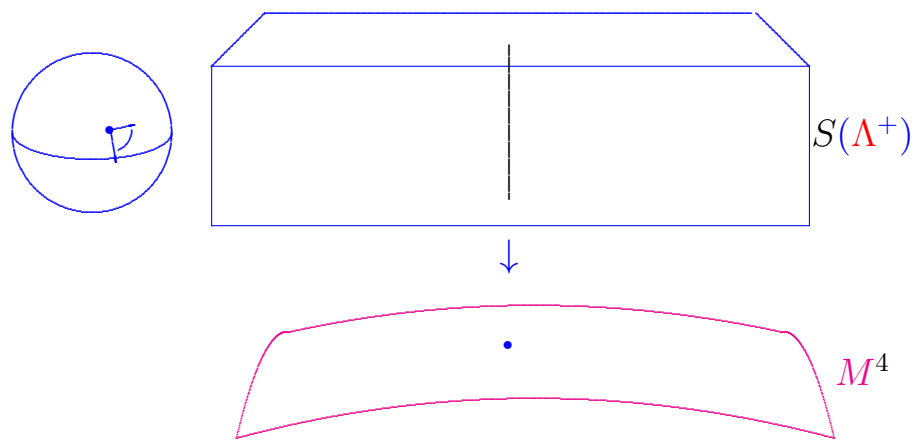
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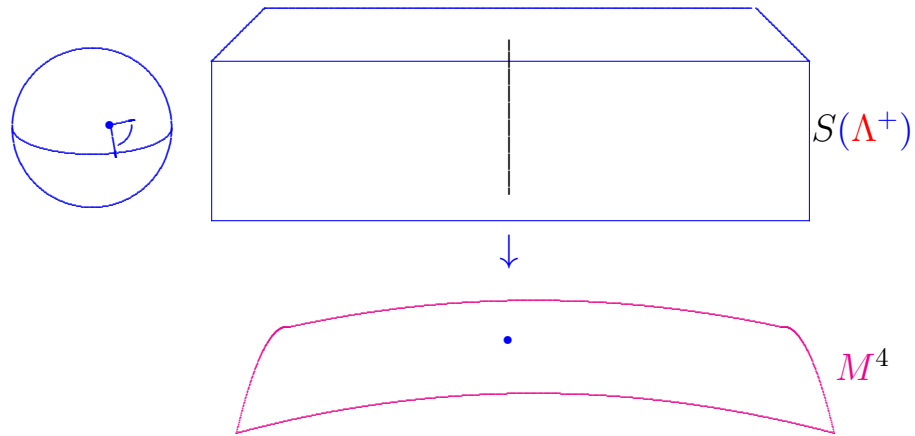
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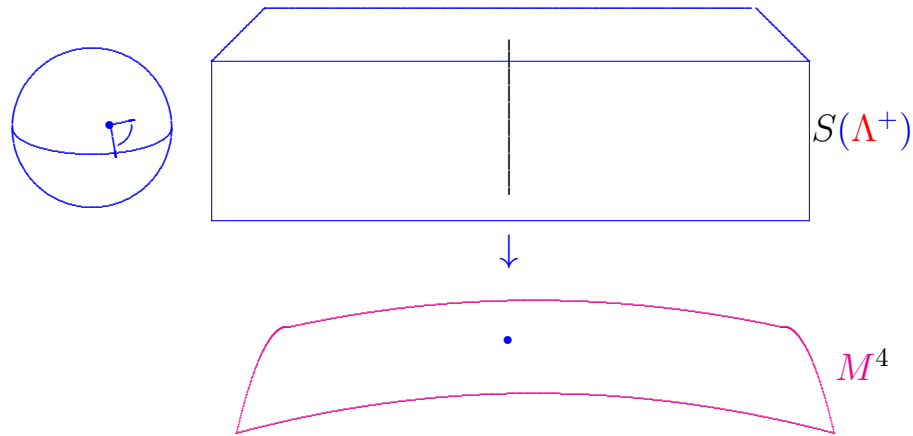
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**Example.**  $\tau(K3) = -16$ .

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 $\sigma : \mathbb{V}_+ \rightarrow \Lambda^+$  is a natural real-quadratic map,

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Non-linear, but elliptic once ‘gauge-fixing’

$$d^*(A - A_0) = 0$$

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Bootstrapping with gauge-fixed equations, one gets  $L_k^p$  bounds for  $(\Phi, A)$  for all  $k, p$ .

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SW invariant  $\in \mathbb{Z}_2$  means mod-2 mapping degree.

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Implies non-existence of metrics  $g$  for which  $s > 0$ .

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Same conclusion if  $M$  admits  $\omega$  instead of  $J$ .

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Tomorrow: We will see that this is sharp!

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is called a basic class of  $M$ .

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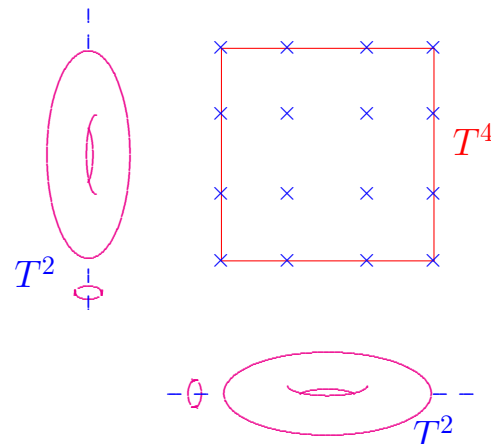
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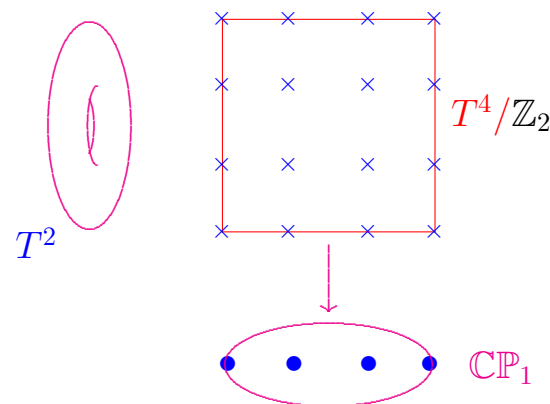
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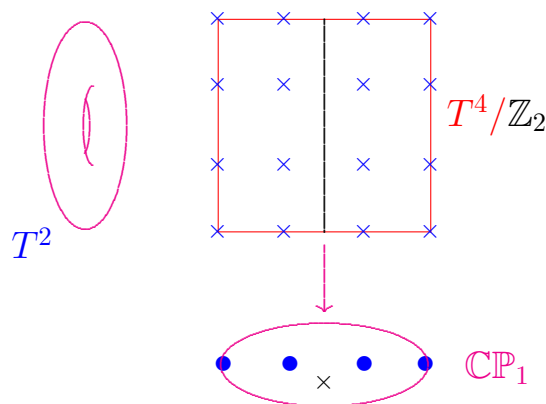
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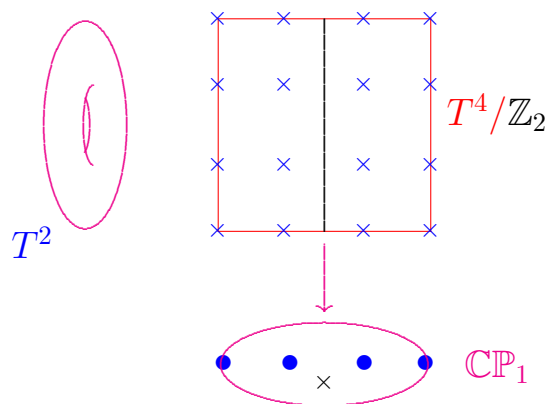
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**Replace** chosen fiber  $T^2$  with  $T^2/\mathbb{Z}_{2\ell+1}$



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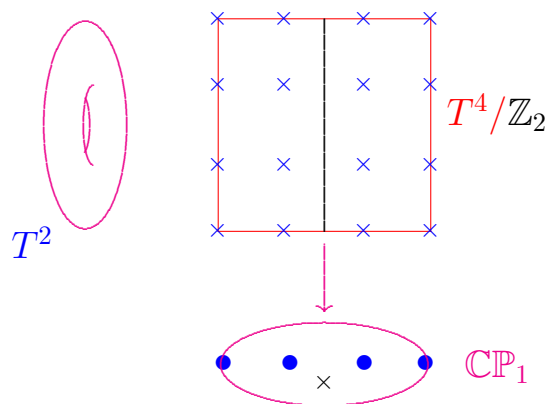
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**Proposition.** *The topological manifold  $|K3|$  admits infinitely many smooth structures. Exactly one of these admits an Einstein metric.*

*End, Part II*