Kähler Surfaces

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On Riemannian *n*-manifold (M, g), $n \geq 3$,

$$\mathcal{R}^{ab}{}_{cd} = W^{ab}{}_{cd} + \frac{4}{n-2} \mathring{r}^{[a}{}_{[c} \delta^{b]}_{d]} + \frac{2}{n(n-1)} s \delta^{a}{}_{[c} \delta^{b]}_{d]}$$

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 W^a_{bcd} unchanged if $g \rightsquigarrow \hat{g} = u^2 g$.

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Locally-conformally-flat: $g = u^2 \sum_{j=1}^n (dx^j)^{\otimes 2}$ in suitable local coordinates near any point.

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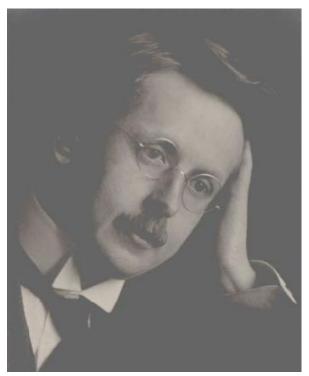
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For metrics on fixed M^n ,

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Measures deviation [g] from conformal flatness.

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- Are there any critical points?
- Can we classify them?

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$$\nabla_a W^a{}_{bcd} = \nabla_{[c}\mathring{r}_{d]b} - \frac{1}{12}g_{b[c}\nabla_{d]}s$$

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Of course, conformally Einstein good enough!

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when $\ell > 0$, because $\mathscr{W} \propto \operatorname{Vol}(T^{\ell})!$

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$$\chi(\mathbf{M}) = \frac{1}{8\pi^2} \int_{\mathbf{M}} \left(\frac{s^2}{24} + |W|^2 - \frac{|\mathring{\mathbf{r}}|^2}{2} \right) d\mu$$

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Henceforth, assume M compact, real dimension 4. If $(M^4, [g])$ is Bach-flat, is it conformally Einstein? No! Locally-conformally-flat metrics are Bach-flat! $W \equiv 0$, so minimize

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Why is Dimension Four Exceptional?

The Lie group SO(4) is not simple:

$$\mathfrak{so}(4) \cong \mathfrak{so}(3) \oplus \mathfrak{so}(3).$$

On oriented
$$(M^4, g)$$
, \Longrightarrow
 $\Lambda^2 = \Lambda^+ \oplus \Lambda^-$

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$$\Lambda^{+*} \qquad \Lambda^{-*}$$

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More generally,

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More generally, anti-self-dual 4-mnfds are Bach-flat.

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Anti-self-dual 4-manifolds: $W_{+} \equiv 0$.

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 $W_+ := \frac{1}{2}(W + \star W)$ called self-dual Weyl tensor.

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Anti-self-dual 4-manifolds: $\Leftrightarrow W = -\star W$.

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Anti-self-dual 4-manifolds: $\Leftrightarrow W = W_{-}$.

 $W_{-} := \frac{1}{2}(W - \star W)$ is anti-self-dual Weyl tensor.

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for signature $\tau(M) = b_{+}(M) - b_{-}(M)$, where

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for signature $\tau(M) = b_{+}(M) - b_{-}(M)$, where $b_{\pm}(M) = \max \dim \text{subspaces} \subset H^{2}(M, \mathbb{R})$ on which intersection pairing

$$H^{2}(M,\mathbb{R}) \times H^{2}(M,\mathbb{R}) \longrightarrow \mathbb{R}$$

$$([\varphi], [\psi]) \mapsto \int_{M} \varphi \wedge \psi$$

is positive (resp. negative) definite.

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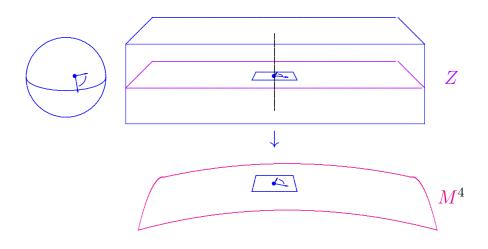
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$$(2\chi + 3\tau)(\mathbf{M}) = \frac{1}{4\pi^2} \int_{\mathbf{M}} \left(\frac{s^2}{24} + 2|W_+|^2 - \frac{|\mathring{\mathbf{r}}|^2}{2} \right) d\mu_g$$

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Violate Hitchin-Thorpe, so $\not\equiv$ Einstein on such M.

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L-Singer '93, Kim-L-Pontecorvo '97 Any rational/ruled (M, J) has blow-ups admitting SFK.

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 $f: \mathbf{M} \to \mathbb{R}$ with $df \neq 0$ along $f^{-1}(0) \neq \emptyset$.

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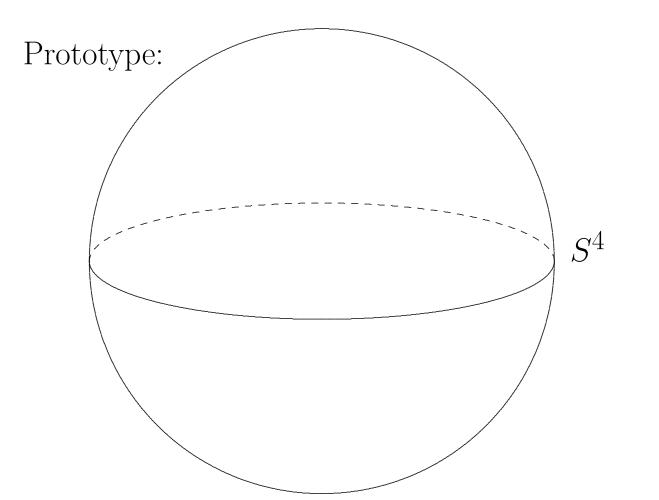
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Prototype:

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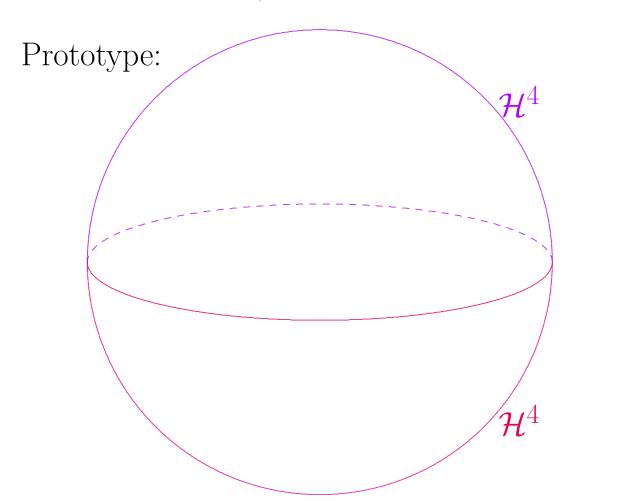
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This prototype is rather degenerate:

 S^4 is also Einstein, ASD.

If $(M^4, [g])$ is Bach-flat, is it conformally Einstein?

No! anti-self-dual 4-manifolds: $W_{+} \equiv 0$.

Another possibility: Double Poincaré-Einstein.

This prototype is rather degenerate.

But \exists genuine examples that aren't.

Open Problem:

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Every Bach-flat 4-manifold one of these three types?

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Locally this is wildly false!

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But no compact counter-examples are known!

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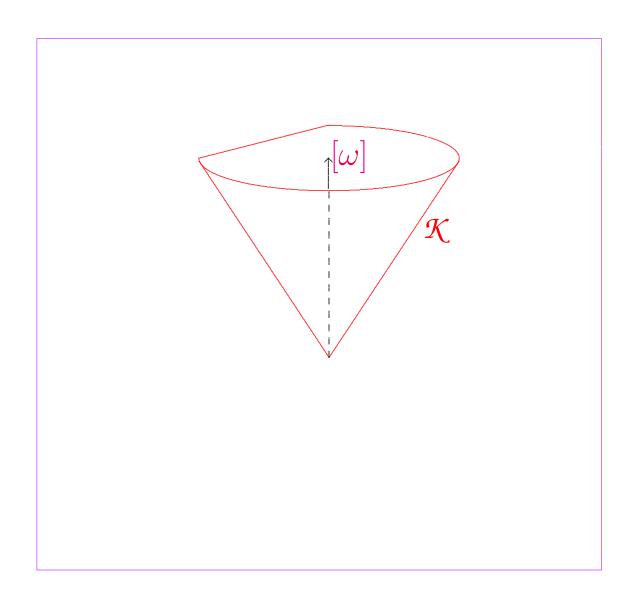
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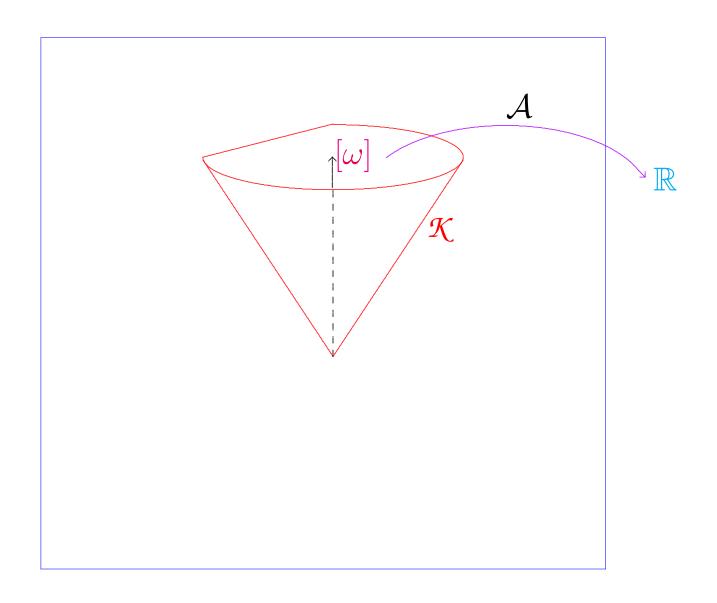
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Bach-flat Kähler ⇒ extremal Kähler



$$\mathcal{K} \subset H^{1,1}(M,\mathbb{R}) \subset H^2(M,\mathbb{R})$$



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For any extremal Kähler (M^4, g, J) ,

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where \mathcal{F} is Futaki invariant.

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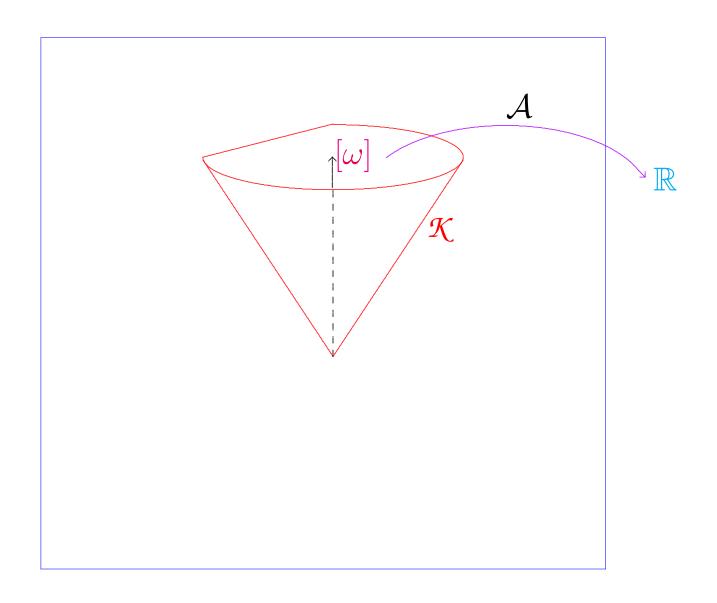
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- $[\omega]$ is a critical point of $\mathcal{A}: \mathcal{K} \to \mathbb{R}$.



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Theorem. Let (M^4, g, J) be compact connected Bach-flat Kähler surface.

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If **not** Kähler-Einstein:

I. s is positive. Then

$$(M, s^{-2}g)$$
 Einstein, $\lambda > 0$, $Hol = SO(4)$.

- II. s is zero. Then (M, g, J) SFK, but not Ricci-flat.
- III. s changes sign. Then

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Page, Siu, Yau, Tian, Odaka-Spotti-Sun, ...

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Inspired by numerical experiments of Gideon Maschler.

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Main point: if $\min s = 0$,

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II. $s \equiv 0$. Then

- (a) (M, g, J) Kähler-Einstein, $\lambda = 0$; or else
- (b) (M, g, J) anti-self-dual, but not Einstein.

Previously discussed this case: $W_{+} = 0$.

Main point: if $\min s = 0$, then $s \equiv 0$.

- (a) (M, g, J) Kähler-Einstein, $\lambda > 0$; or else
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(a) \Longrightarrow Kod (M, J) = 0.

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Previously discussed this case: $W_{+} = 0$.

(a)
$$\Longrightarrow$$
 Kod $(M, J) = 0$.

(b)
$$\Longrightarrow$$
 Kod $(M, J) = -\infty$.

- (a) (M, g, J) Kähler-Einstein, $\lambda > 0$; or else
- (b) $(M, s^{-2}g)$ *Einstein*, $\lambda > 0$, Hol = SO(4).

II. $s \equiv 0$. Then

- (a) (M, g, J) Kähler-Einstein, $\lambda = 0$; or else
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 - (a) (M, g, J) Kähler-Einstein, $\lambda > 0$; or else
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- II. $s \equiv 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda = 0$; or else
 - (b) (M, g, J) anti-self-dual, but not Einstein.
- III. $\min s < 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda < 0$; or else
 - (b) $(M, s^{-2}g)$ double Poincaré-Einstein. Here, s = 0 defines smooth connected \mathbb{Z}^3 , and $M \mathbb{Z}$ has exactly two components.

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If $\min s < 0$, then s either constant,

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- II. $s \equiv 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda = 0$; or else
 - (b) (M, g, J) anti-self-dual, but not Einstein.
- III. $\min s < 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda < 0$; or else
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If $\min s < 0$, then s either constant, or changes sign.

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- II. $s \equiv 0$. Then
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$$(a) \Longrightarrow \operatorname{Kod}(M, J) = 2.$$

- I. $\min s > 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda > 0$; or else
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- (a) \Longrightarrow Kod (M, J) = 2. (b) \Longrightarrow Kod $(M, J) = -\infty$.

- I. $\min s > 0$. Then
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Examples of (b): Hwang-Simanca, Tønnesen-Friedman

A few words about the proof...

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

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Lemma. Suppose (M^4, g, J) Bach-flat Kähler, with s non-constant.

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Lemma. Suppose (M^4, g, J) Bach-flat Kähler, with s non-constant. Then $s: M \to \mathbb{R}$ is a Morse-Bott function, with critical submanifolds either complex curves, or isolated points.

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Reason: $J\nabla s$ is Killing field.

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$$(\nabla \mathbf{s}) \Big|_{p} = 0 \implies (\nabla \nabla \mathbf{s}) \Big|_{p} \neq 0,$$

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Reason: $J\nabla s$ is Killing field. In particular,

$$(\nabla s) \Big|_p = 0 \implies (\nabla \nabla s) \Big|_p \neq 0,$$

 $\Delta s \neq 0$ at min s and max s.

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

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$$(ds)\Big|_p = 0 \implies \operatorname{Hess}(s)\Big|_p \neq 0,$$

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$$0 = s\mathring{r} + 2 \text{Hess}_0(s).$$

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On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

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$$0 = s\mathring{r} + 2\text{Hess}_0(s).$$

On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

Define

$$\kappa := -6s\Delta s - 12|\nabla s|^2 + s^3,$$

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

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On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

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$$\kappa := -6s\Delta s - 12|\nabla s|^2 + s^3,$$

where $\Delta = -\nabla^a \nabla_a$.

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Lemma. The function κ is constant,

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

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On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

Define

$$\kappa := -6s\Delta s - 12|\nabla s|^2 + s^3.$$

Lemma. The function κ is constant, and has the same sign (+, -, 0) as min s.

Obvious if s constant.

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

so Bach-flat $\Longrightarrow g$ extremal and

$$0 = s\mathring{r} + 2 \text{Hess}_0(s).$$

On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

Define

$$\kappa := -6s\Delta s - 12|\nabla s|^2 + s^3.$$

Otherwise
$$\kappa = (s^2 - 6\Delta s)s$$
 at min s.

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

so Bach-flat $\Longrightarrow g$ extremal and

$$0 = s\mathring{r} + 2 \text{Hess}_0(s).$$

On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

Define

$$\kappa := -6s\Delta s - 12|\nabla s|^2 + s^3.$$

Otherwise
$$\kappa = (+)s$$
 at min s .

$$B = \frac{1}{12} \left[2s\mathring{r} + \text{Hess}_0(s) + 3J^* \text{Hess}_0(s) \right]$$

so Bach-flat $\Longrightarrow g$ extremal and

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On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

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so Bach-flat $\Longrightarrow g$ extremal and

$$0 = s\mathring{r} + 2\text{Hess}_0(s).$$

On set where $s \neq 0$, the metric $s^{-2}g$ is Einstein.

Define

$$\kappa := -6s\Delta s - 12|\nabla s|^2 + s^3.$$

Lemma. The function κ is constant, and has the same sign (+, -, 0) as min s. On set where $s \neq 0$, the constant $\kappa = scalar$ curvature of $s^{-2}g$.

Same as saying that $\kappa = 0$.

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Want to show that *s* is constant.

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If not, s = 0 only at finite set:

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$$0 = s\mathring{r} + 2 \text{Hess}_0(s).$$

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If not, s = 0 only at finite set:

$$0 = s\mathring{r} + 2\text{Hess}_0(s).$$

$$s(p) = 0 \implies \operatorname{Hess}_0(s)\Big|_p = 0.$$

Same as saying that $\kappa = 0$.

Want to show that *s* is constant.

If not, s = 0 only at finite set:

$$0 = s\mathring{r} + 2\text{Hess}_0(s).$$

$$s(p) = 0 \implies (\nabla \nabla s) \Big|_{p} = ag.$$

Same as saying that $\kappa = 0$.

Want to show that *s* is constant.

If not, s = 0 only at finite set:

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$$s(p) = 0 \implies (\nabla \nabla s) \Big|_p = ag.$$

$$(\nabla s) \Big|_p = 0 \implies (\nabla \nabla s) \Big|_p \neq 0,$$

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 $W_{+} \neq 0$ everywhere else.

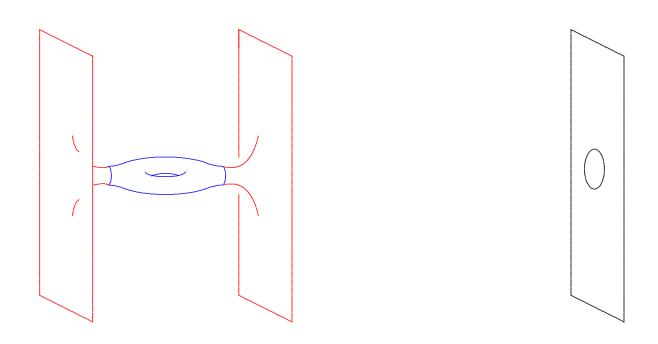
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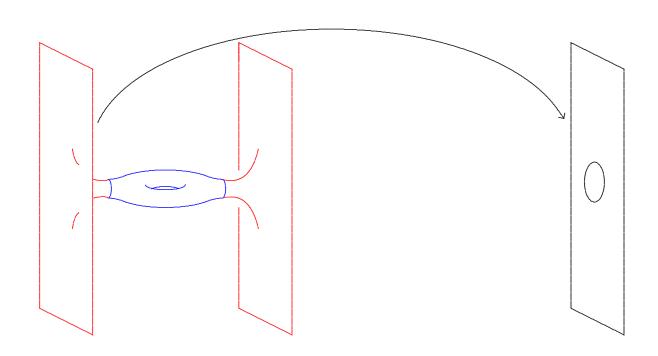
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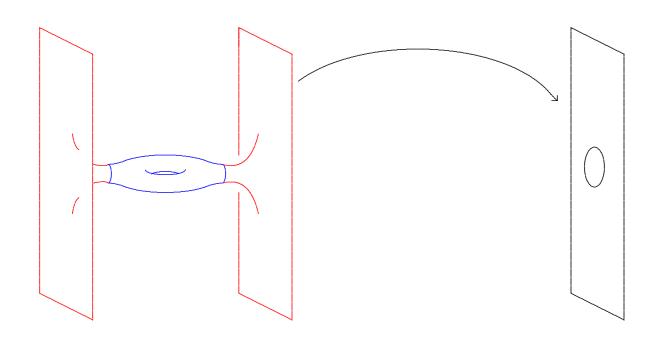
 $h = s^{-2}g$ is Ricci-flat, asymptotically Euclidean.



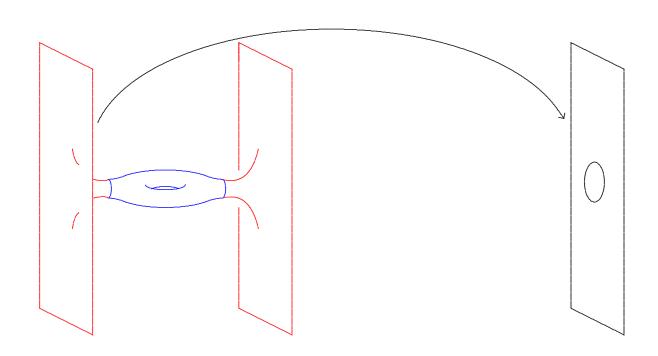
$$g_{jk} = \delta_{jk} + O(|x|^{1 - \frac{n}{2} - \varepsilon})$$
$$g_{jk,\ell} = O(|x|^{-\frac{n}{2} - \varepsilon}), \quad \mathbf{s} \in L^1$$



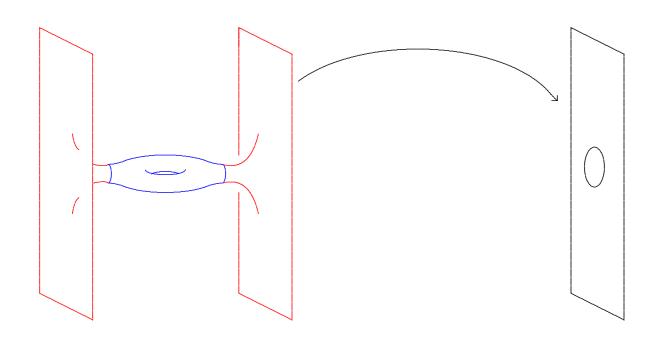
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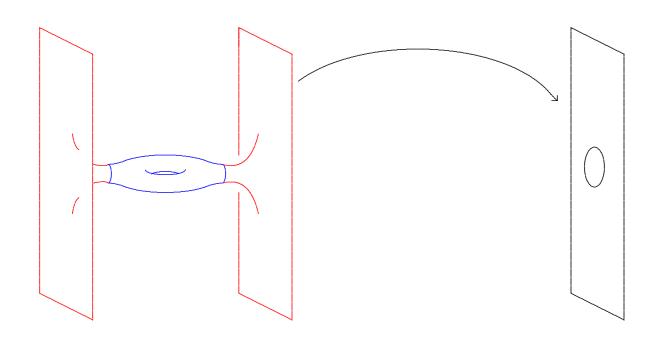
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 $h = s^{-2}g$ is Ricci-flat, asymptotically Euclidean.

Same as saying that $\kappa = 0$.

Want to show that *s* is constant.

If not, s = 0 only at finite set.

 $W_{+} \neq 0$ everywhere else.

 $h = s^{-2}g$ is Ricci-flat, asymptotically Euclidean.

Positive mass theorem

Same as saying that $\kappa = 0$.

Want to show that *s* is constant.

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 $W_{+} \neq 0$ everywhere else.

 $h = s^{-2}g$ is Ricci-flat, asymptotically Euclidean.

Positive mass theorem (or Bishop-Gromov):

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Ricci-flat *h* must be flat!

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So $W_{+} \equiv 0$.

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Contradiction!

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 $h = s^{-2}g$ is Ricci-flat, asymptotically Euclidean.

Positive mass theorem (or Bishop-Gromov):

Ricci-flat *h* must be flat!

So $W_{+} \equiv 0$.

Contradiction! So $s \equiv 0$.

Theorem. Let (M^4, g, J) be compact connected Bach-flat Kähler surface. Then exactly one holds:

- I. $\min s > 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda > 0$; or else
 - (b) $(M, s^{-2}g)$ *Einstein*, $\lambda > 0$, Hol = SO(4).
- II. $s \equiv 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda = 0$; or else
 - (b) (M, g, J) anti-self-dual, but not Einstein.
- III. $\min s < 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda < 0$; or else
 - (b) $(M, s^{-2}g)$ double Poincaré-Einstein. Here, s = 0 defines smooth connected \mathbb{Z}^3 , and $M \mathbb{Z}$ has exactly two components.

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Morse-Bott without critical manifolds of odd index

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Similarly, hypersurface s = 0 connected, too.

Theorem. Let (M^4, g, J) be compact connected Bach-flat Kähler surface. Then exactly one holds:

- I. $\min s > 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda > 0$; or else
 - (b) $(M, s^{-2}g)$ *Einstein*, $\lambda > 0$, Hol = SO(4).
- II. $s \equiv 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda = 0$; or else
 - (b) (M, g, J) anti-self-dual, but not Einstein.
- III. $\min s < 0$. Then
 - (a) (M, g, J) Kähler-Einstein, $\lambda < 0$; or else
 - (b) $(M, s^{-2}g)$ double Poincaré-Einstein. Here, s = 0 defines smooth connected \mathbb{Z}^3 , and $M \mathbb{Z}$ has exactly two components.

¡Muchas Gracias por la Invitación!

