

On

Four-Dimensional

Einstein Manifolds

Claude LeBrun

SUNY Stony Brook

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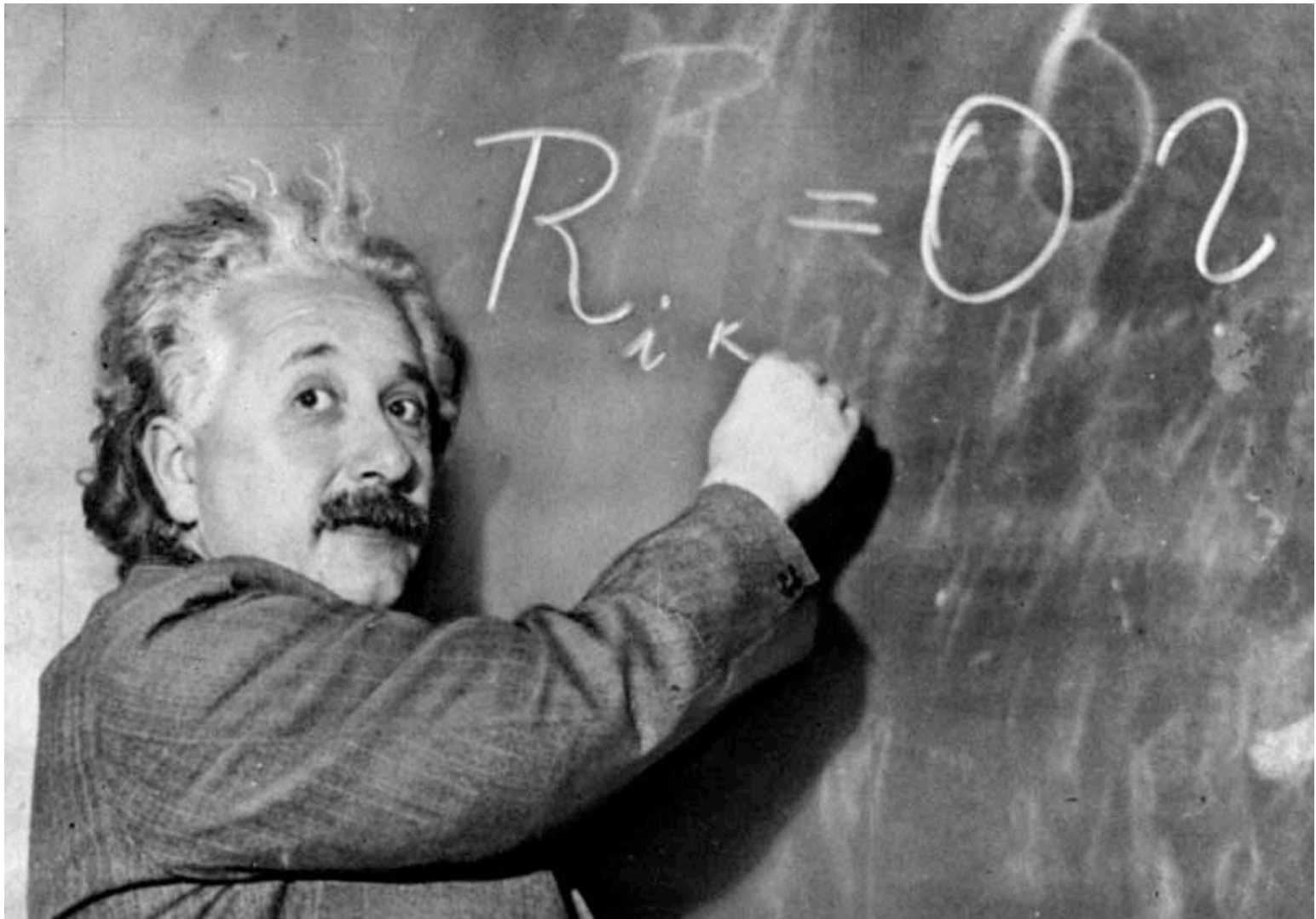
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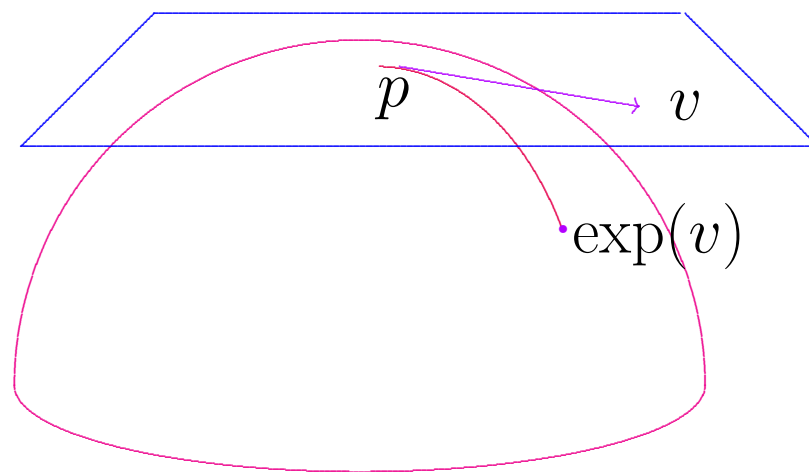
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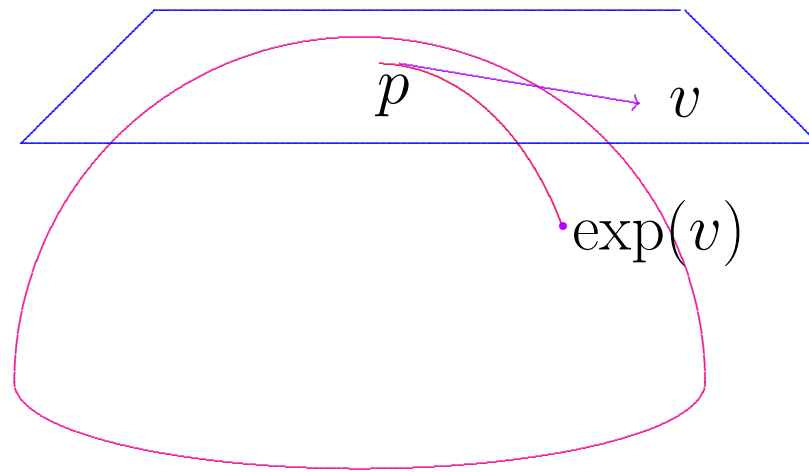
Ricci curvature measures

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In “geodesic normal coordinates”
metric volume measure is

$$d\mu_g = \left[1 - \frac{1}{6} r_{jk} x^j x^k + O(|x|^3) \right] d\mu_{\text{Euclidean}},$$

where r is the *Ricci tensor* $r_{jk} = \mathcal{R}^i_{jik}$.

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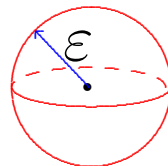
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$$\frac{\text{vol}_g(B_\varepsilon(p))}{c_n \varepsilon^n} = 1 - s \frac{\varepsilon^2}{6(n+2)} + O(\varepsilon^4)$$



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Symplectic Analog. If M^4 is a smooth compact 4-manifold with symplectic form ω , when does M^4 admit Einstein metrics?

Kähler metrics:

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(Warning: In rare circumstances,
 h could still be Kähler for some $\tilde{J} \neq J$!)

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In $\lambda < 0$ case, corresponding questions still open.
Will try to briefly indicate what's currently known.

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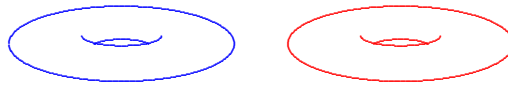
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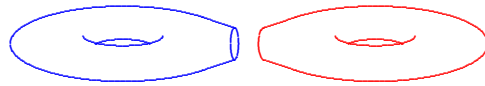
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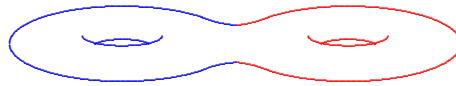
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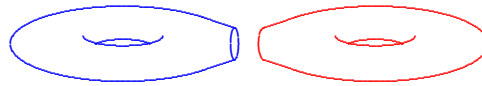
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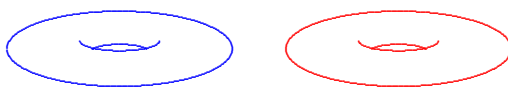
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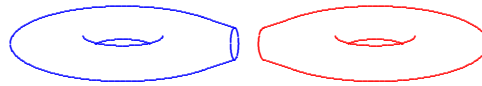
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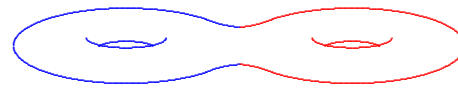
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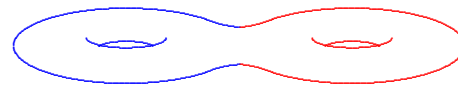
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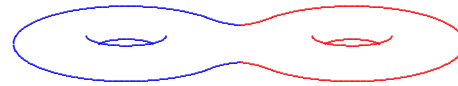


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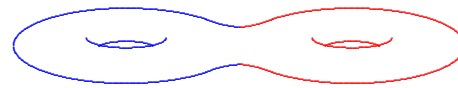
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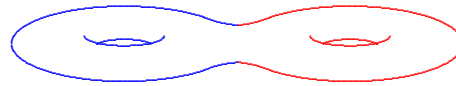
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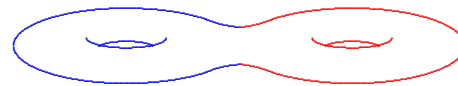
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in which new $\mathbb{C}P_1$ has self-intersection -1 .

Theorem. Suppose that M is a smooth compact oriented 4-manifold which admits a complex structure J . Then M also admits an (unrelated) Einstein metric g with $\lambda > 0$

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Diffeotypes: Del Pezzo surfaces. ($\exists J$ with $c_1 > 0$.)

Theorem. *Suppose that M is a smooth compact oriented 4-manifold which admits a symplectic form ω . Then M also admits an (unrelated) Einstein metric g with $\lambda > 0$*

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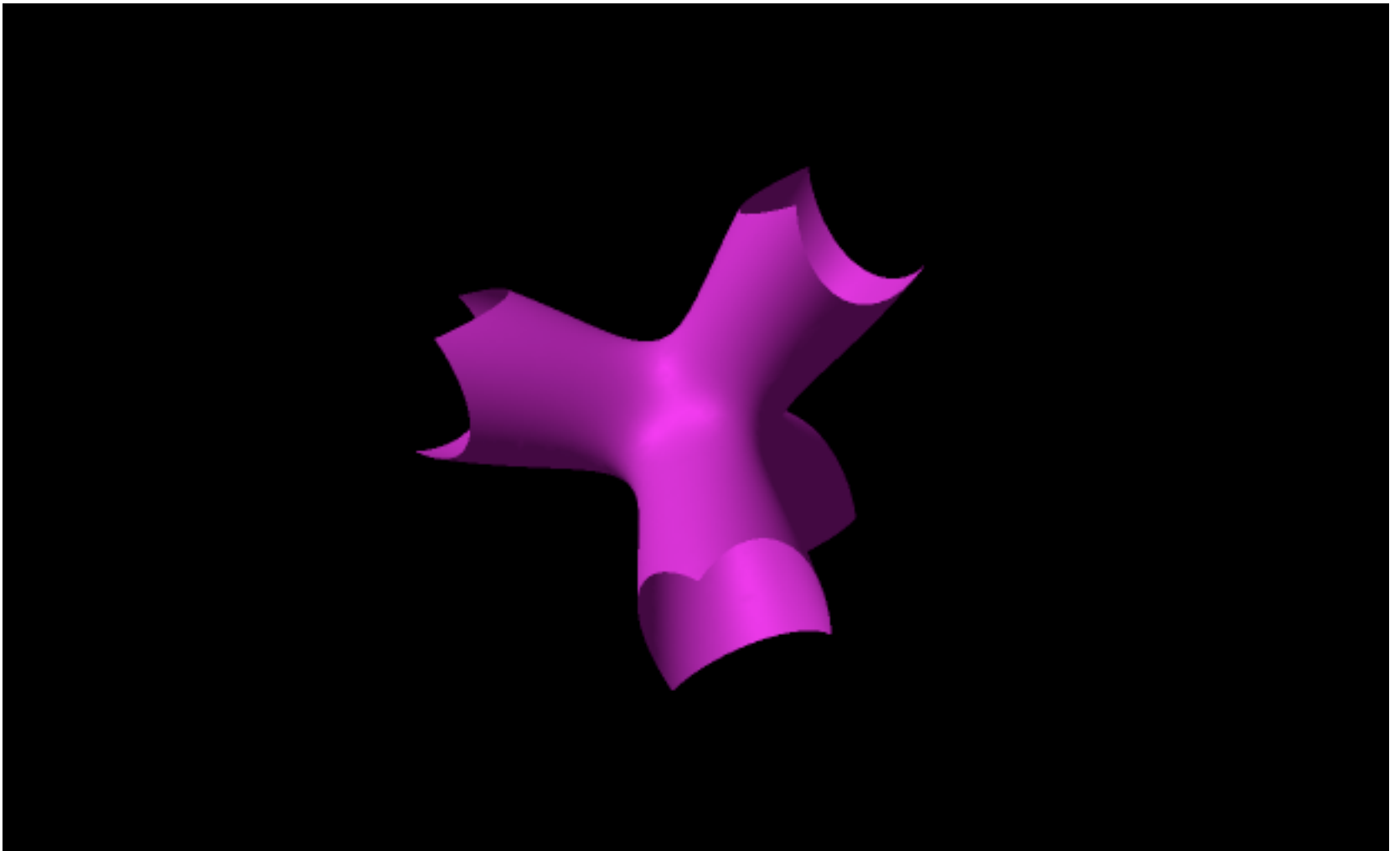
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Differentiable model for relevant \mathbb{Z}_2 -action:

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In cases other than Del Pezzo surfaces:

also know moduli space of **all** Einstein metrics.

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We begin with existence.

Einstein metrics which are Kähler

Kähler-Einstein metrics

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Of course, $\mathbb{C}P_2$ and $S^2 \times S^2$ also admit K-E metrics with $\lambda > 0$ — namely, obvious homogeneous ones!

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Einstein metric is $g = s^{-2}h$.

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$$M \approx \begin{cases} \mathbb{C}P_2 \# k \overline{\mathbb{C}P_2}, & 0 \leq k \leq 8, \\ S^2 \times S^2, \\ K3, \\ K3/\mathbb{Z}_2, \\ T^4, \\ T^4/\mathbb{Z}_2, T^4/\mathbb{Z}_3, T^4/\mathbb{Z}_4, T^4/\mathbb{Z}_6, \\ T^4/(\mathbb{Z}_2 \oplus \mathbb{Z}_2), T^4/(\mathbb{Z}_3 \oplus \mathbb{Z}_3), \text{ or } T^4/(\mathbb{Z}_2 \oplus \mathbb{Z}_4). \end{cases}$$

We've discussed **existence** of Einstein metrics.

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We've discussed **existence** of Einstein metrics.

Will now discuss **obstructions** to Einstein metrics.

Special character of dimension 4:

On oriented (M^4, g) ,

$$\Lambda^2 = \Lambda^+ \oplus \Lambda^-$$

where Λ^\pm are (± 1) -eigenspaces of

$$\star : \Lambda^2 \rightarrow \Lambda^2,$$

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Λ^+ self-dual 2-forms.

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(M, g) compact oriented Riemannian.

4-dimensional Gauss-Bonnet formula

$$\chi(M) = \frac{1}{8\pi^2} \int_M \left(\frac{s^2}{24} + |W_+|^2 + |W_-|^2 - \frac{|\mathring{r}|^2}{2} \right) d\mu$$

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4-dimensional Hirzebruch signature formula

$$\tau(M) = \frac{1}{12\pi^2} \int_M \left(|W_+|^2 - |W_-|^2 \right) d\mu$$

for signature $\tau(M) = b_+(M) - b_-(M)$.

Hitchin-Thorpe Inequality:

$$(2\chi + 3\tau)(M) = \frac{1}{4\pi^2} \int_M \left(\frac{s^2}{24} + 2|W_+|^2 - \frac{|\mathring{r}|^2}{2} \right) d\mu_g$$

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Theorem (Hitchin-Thorpe Inequality). *If smooth compact oriented M^4 admits Einstein g , then*

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In the $c_1^2(M) > 0$ case, there is then a well-defined Seiberg-Witten invariant of M , for the spin^c structure induced by J or ω .

Seiberg-Witten theory:

generalized Kähler geometry of non-Kähler 4-manifolds.

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But $\bar{\partial} + \bar{\partial}^*$ **does** generalize:

spin^c Dirac operator, preferred connection on L .

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Let $L = \Lambda^{0,2}$ be its anti-canonical line bundle.

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Every unitary connection A on L induces
spin^c Dirac operator

$$D_A : \Gamma(\mathbb{V}_+) \rightarrow \Gamma(\mathbb{V}_-)$$

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Non-linear, but elliptic once ‘gauge-fixing’

$$d^*(A - A_0) = 0$$

imposed to eliminate automorphisms of $L \rightarrow M$.

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If, in addition, $c_1^2 > 0$,

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Del Pezzo by Enriques and Kodaira.

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Symplectic case:

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Del Pezzo by **Enriques** and **Kodaira**.

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Del Pezzo by **Taubes**, **Gromov**, **McDuff**, **Liu**.

Theorem. *Suppose that M is a smooth compact oriented 4-manifold which admits either a complex structure or a symplectic structure. Then M also admits an Einstein metric g with $\lambda \geq 0$ if and only if M is diffeomorphic to*

- *a Del Pezzo surface,*
- *a K3 surface,*
- *an Enriques surface,*
- *an Abelian surface, or*
- *a hyper-elliptic surface.*

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Compact complex surface (M^4, J) **general type** if

$$\dim \Gamma(M, \mathcal{O}(K^{\otimes \ell})) \sim a\ell^2, \quad \ell \gg 0,$$

where $K = \Lambda^{2,0}$ is canonical line bundle.

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Same conclusion holds in symplectic case.

Theorem (L '01). *Let X be a minimal surface of general type, and let*

$$M = X \#_k \overline{\mathbb{C}P}_2.$$

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(Better than Hitchin-Thorpe by a factor of 3.)

So being “very” non-minimal is an obstruction.

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If so, quite different from Kähler-Einstein metrics!