

Einstein Metrics,

Harmonic Forms, &

Conformally Kähler Geometry

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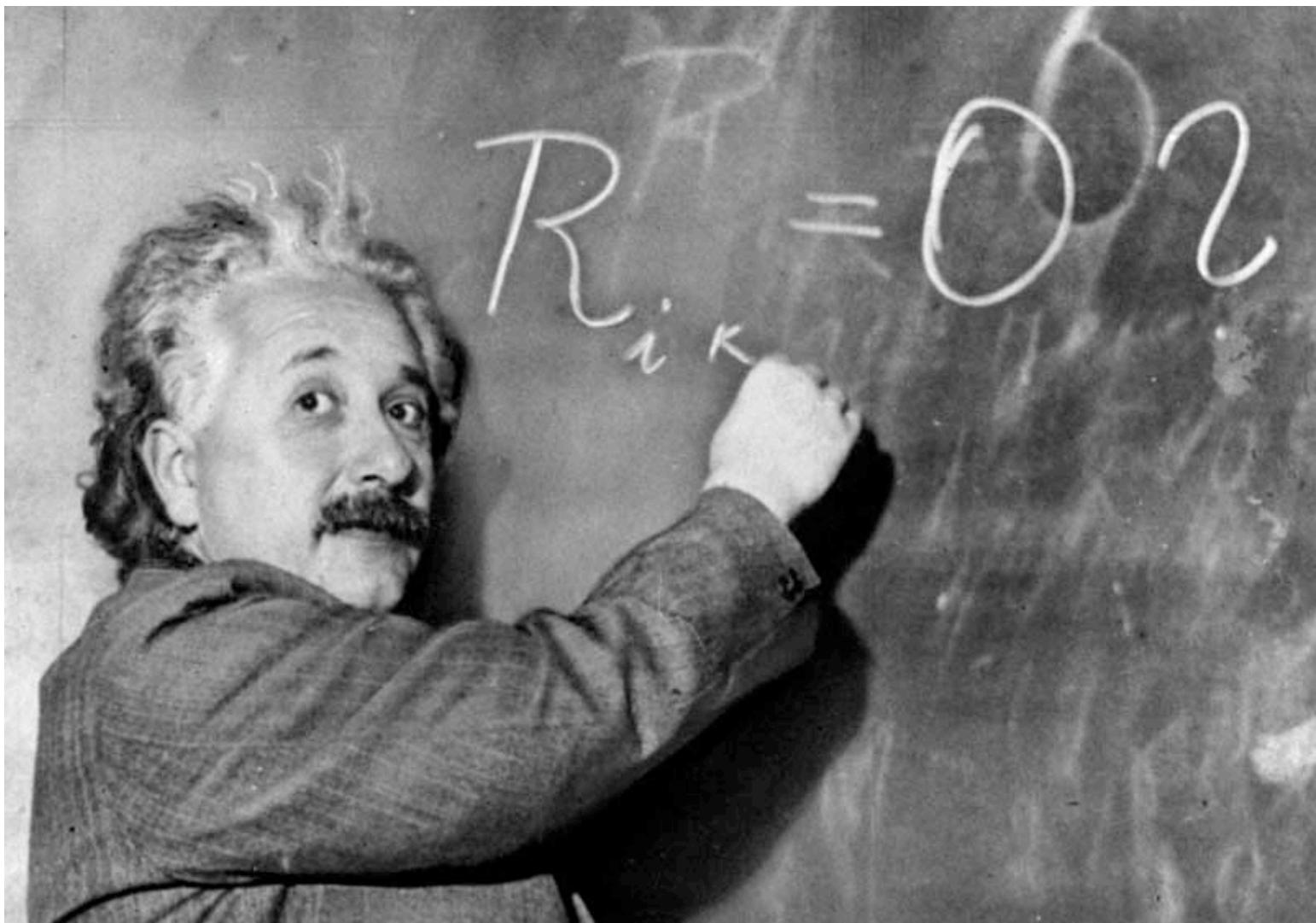
“...the greatest blunder of my life!”

— A. Einstein, to G. Gamow

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$$s = r_j^j = \mathcal{R}^{ij}_{ij}.$$

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When $n = 4$, situation is more encouraging...

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One key question:

Does enough rigidity really hold in dimension four to make this a genuine geometrization?

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Some Suggestive Questions. *If (M^4, ω) is a symplectic 4-manifold, when does M^4 admit an Einstein metric h (unrelated to ω)? What if we also require $\lambda \geq 0$?*

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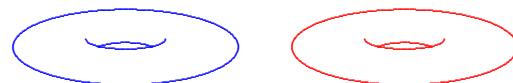
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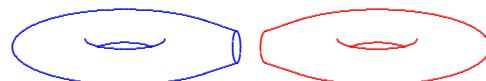
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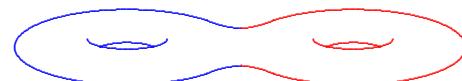
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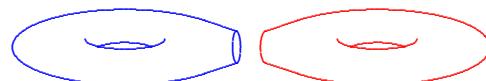
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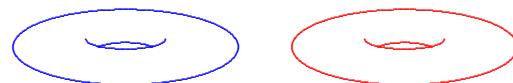
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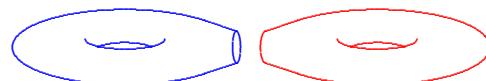
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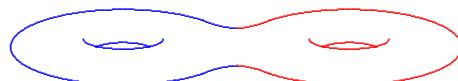
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—André Weil, 1958

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T^4 = Picard torus of curve of genus 2.

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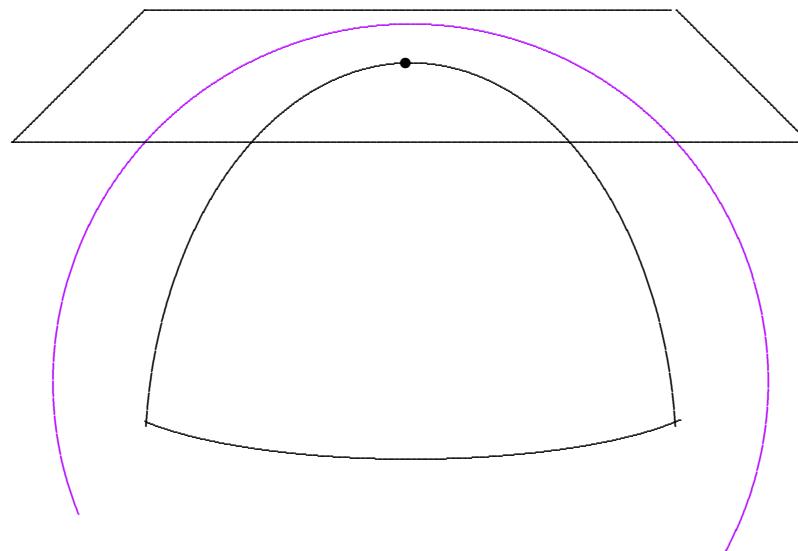


Calabi/Yau: Admits Ricci-flat Kähler metrics.

(M^n, g) : holonomy

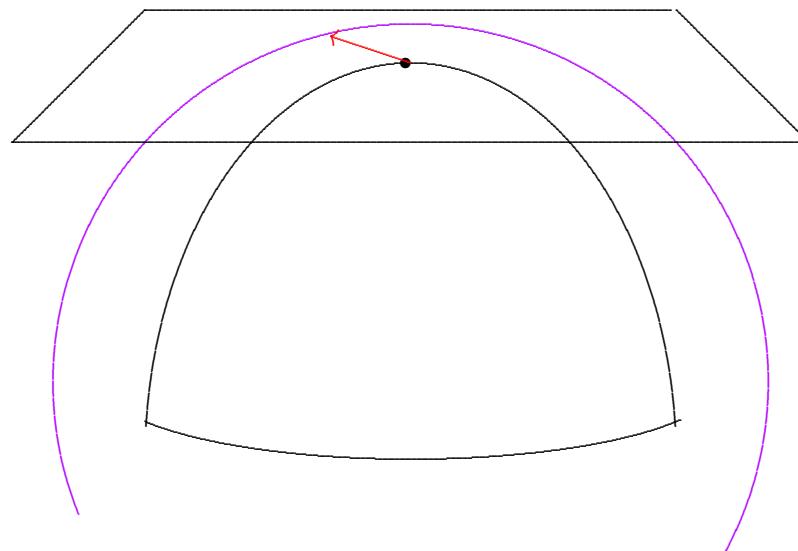
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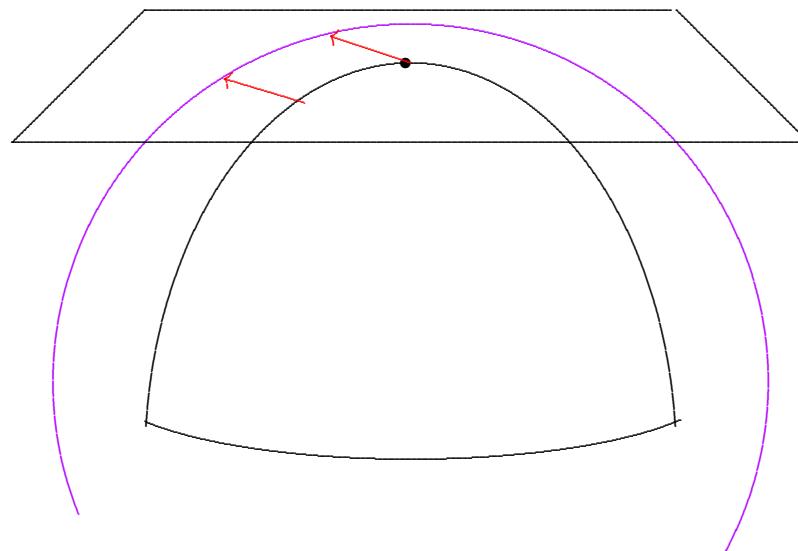
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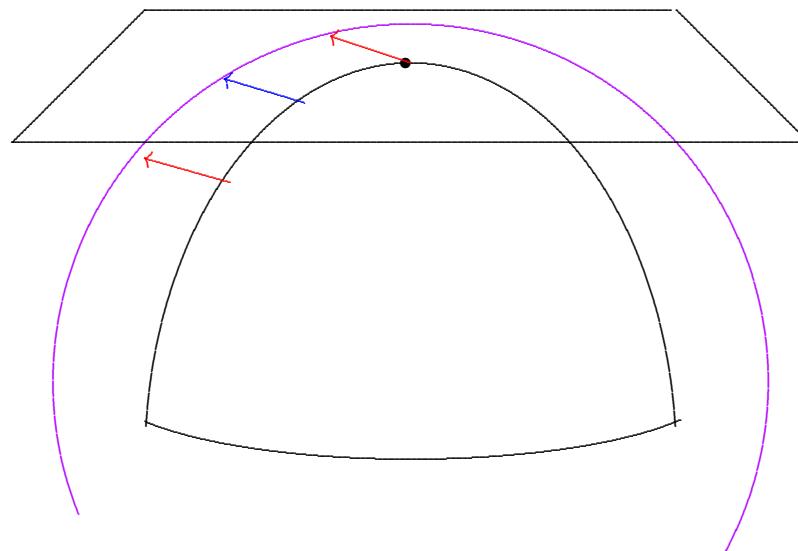
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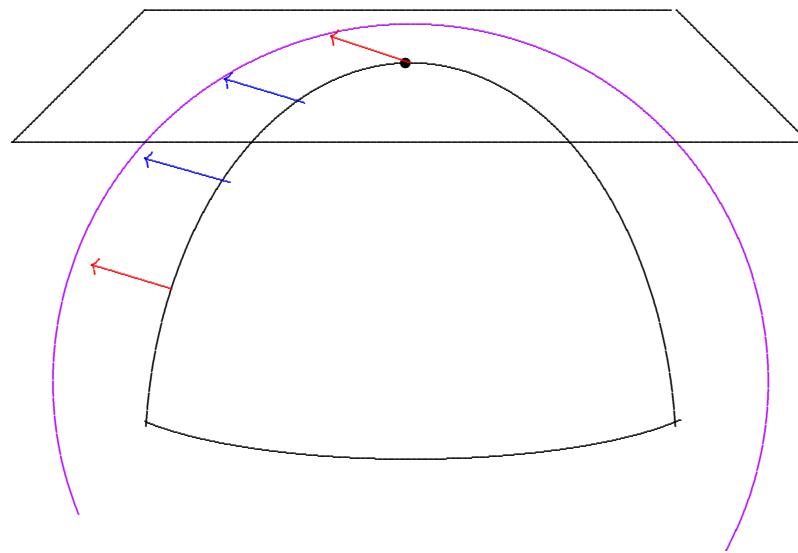
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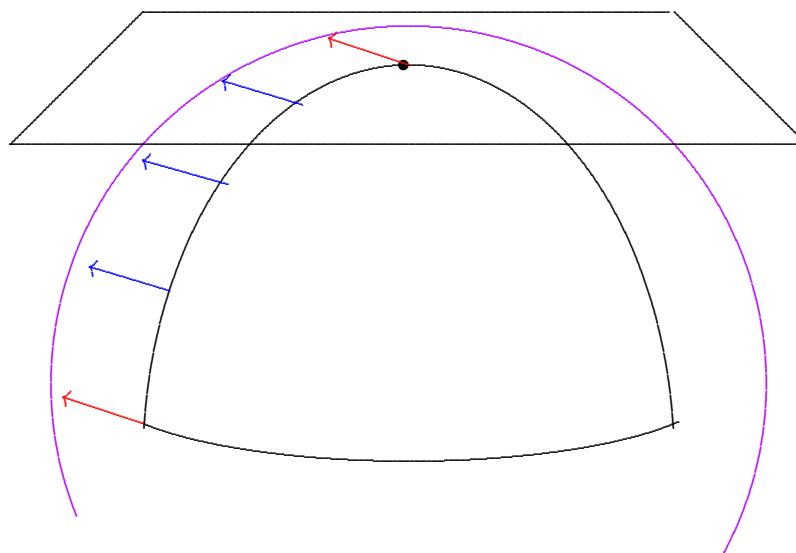
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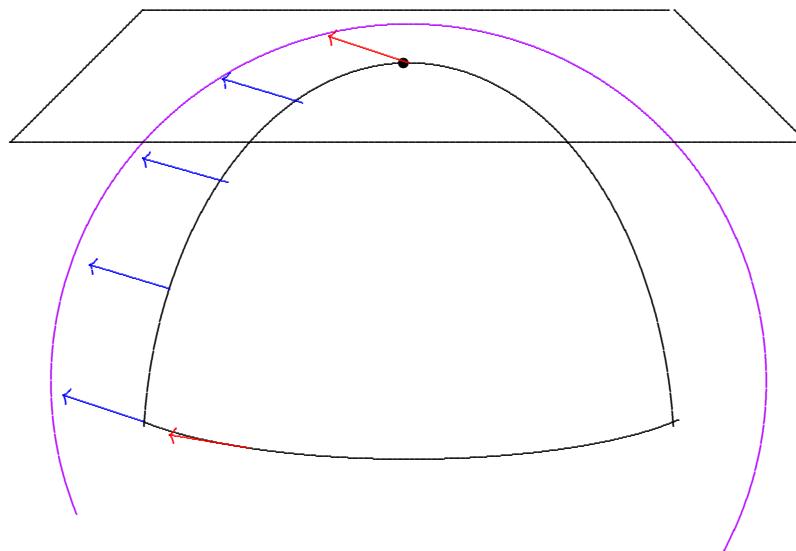
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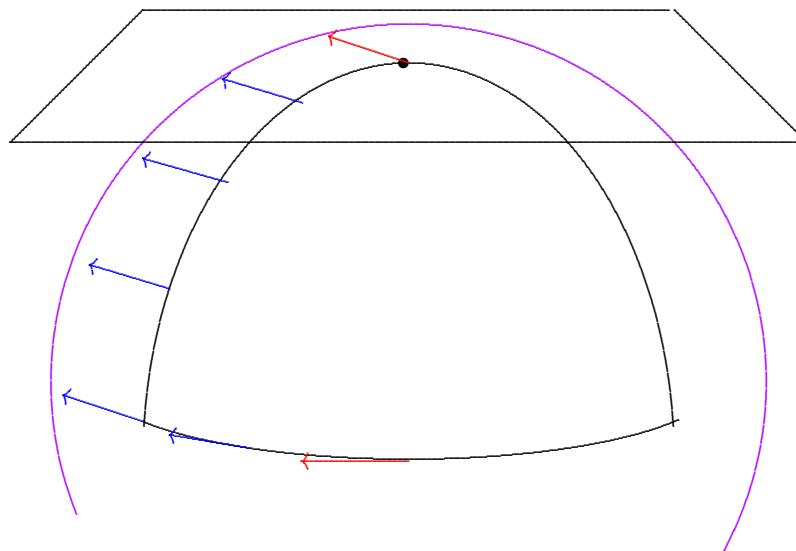
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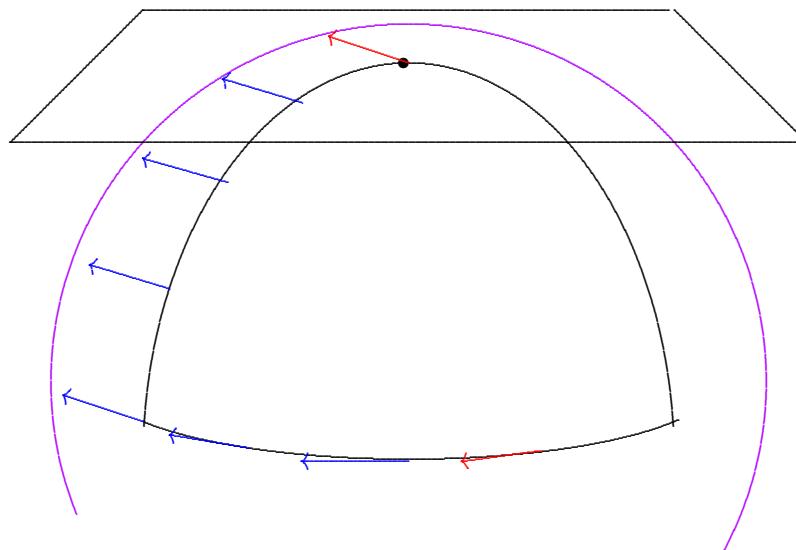
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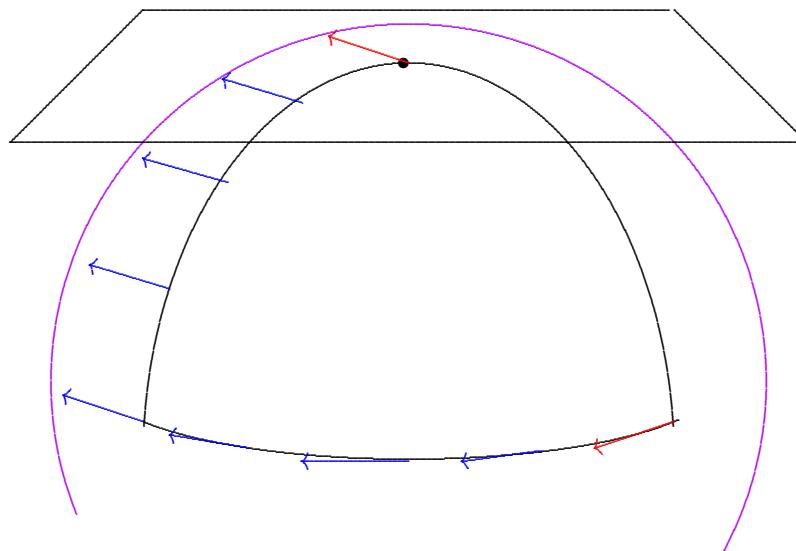
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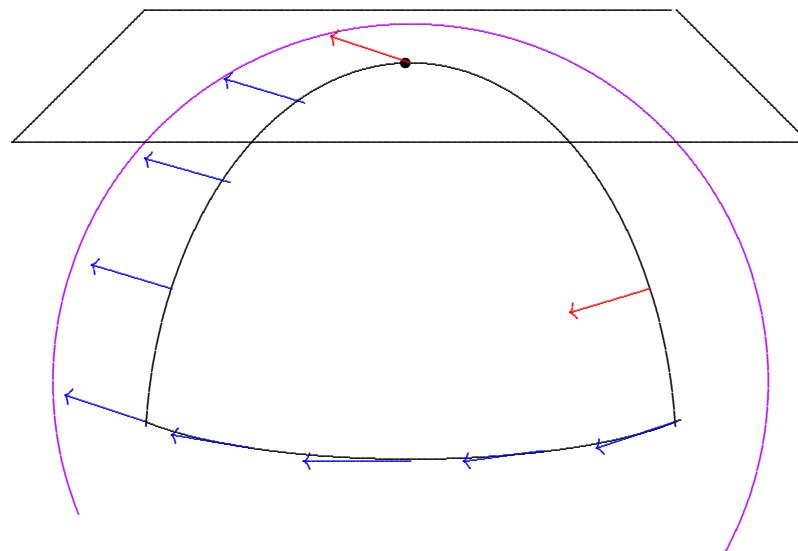
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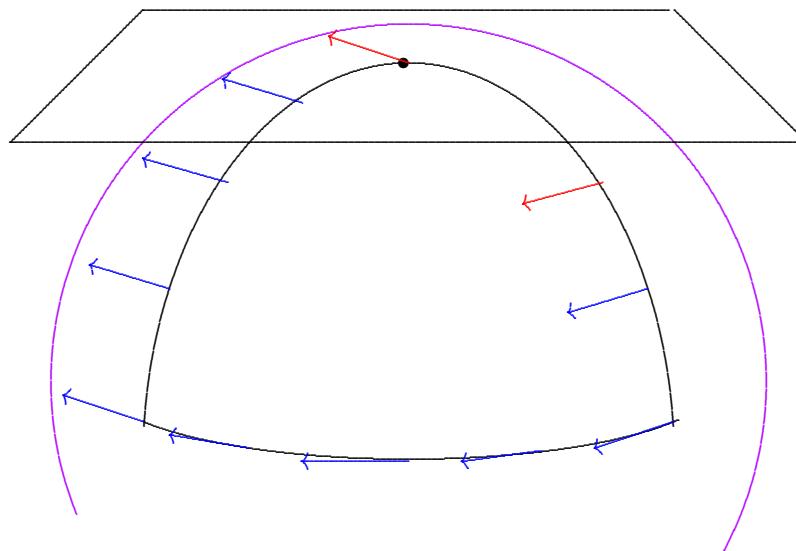
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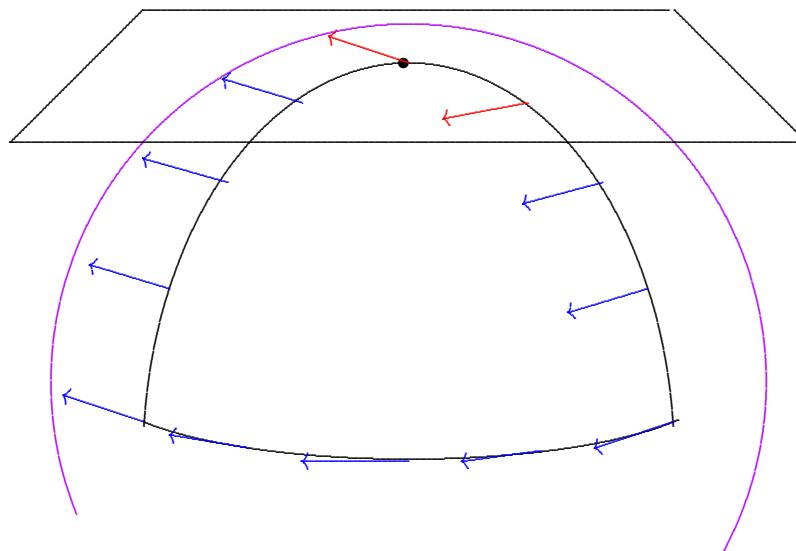
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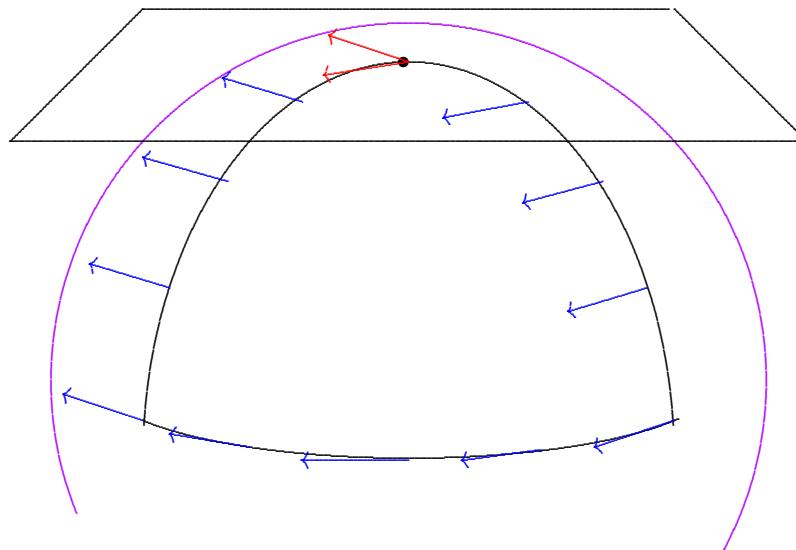
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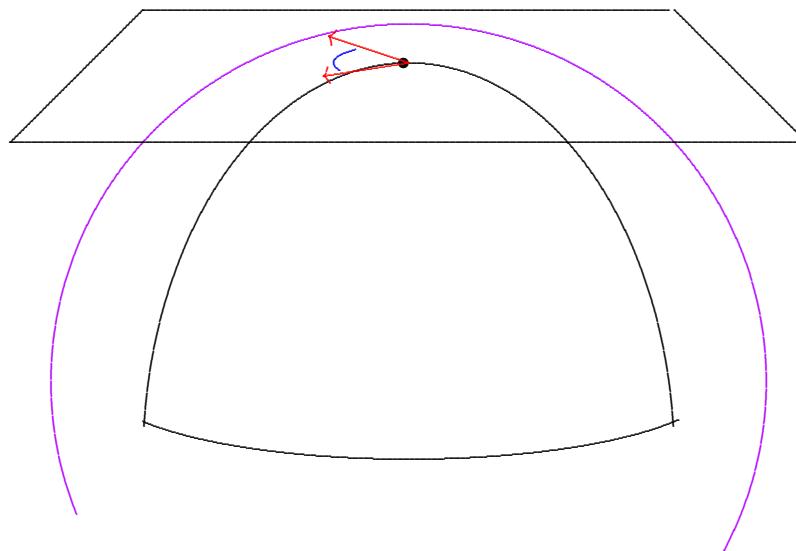
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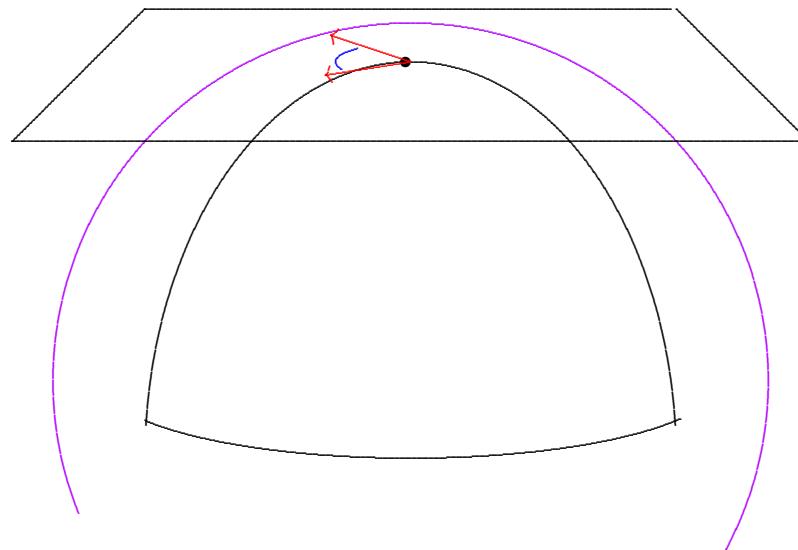
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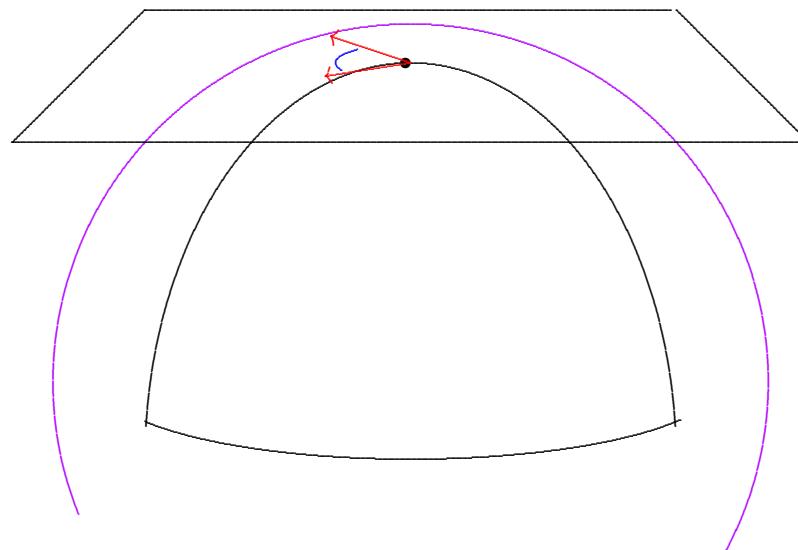
holonomy $\subset \mathbf{O}(n)$



Kähler metrics:

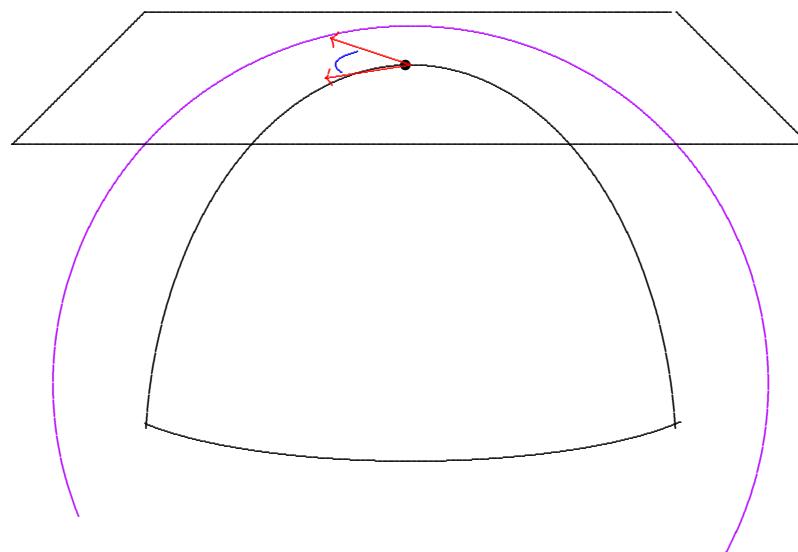
(M^{2m}, g) :

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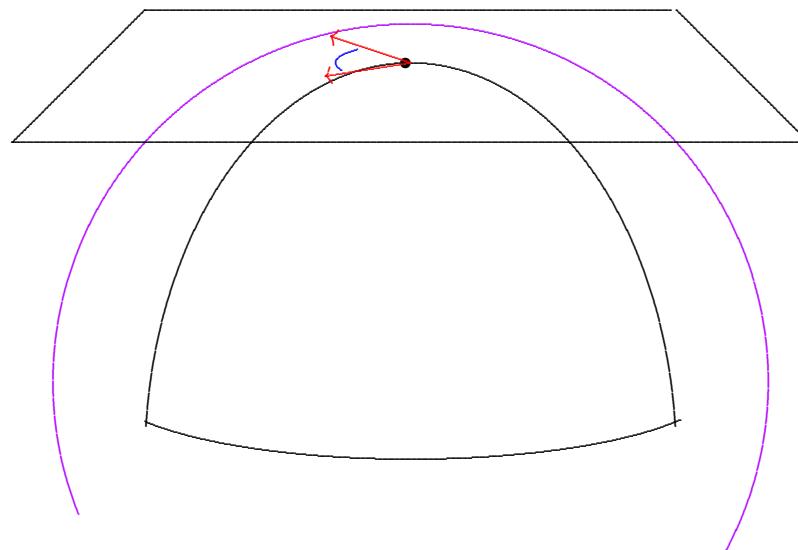
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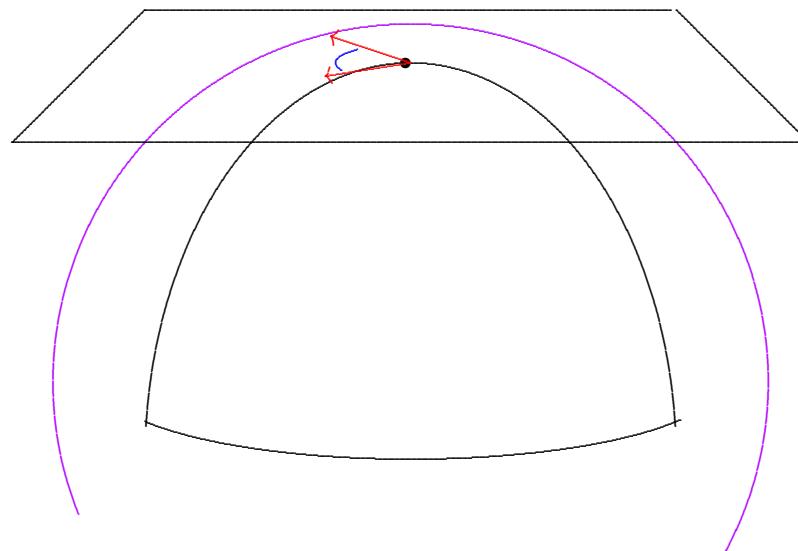
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$$\mathbf{U}(m) := \mathbf{O}(2m) \cap \mathbf{GL}(m, \mathbb{C})$$

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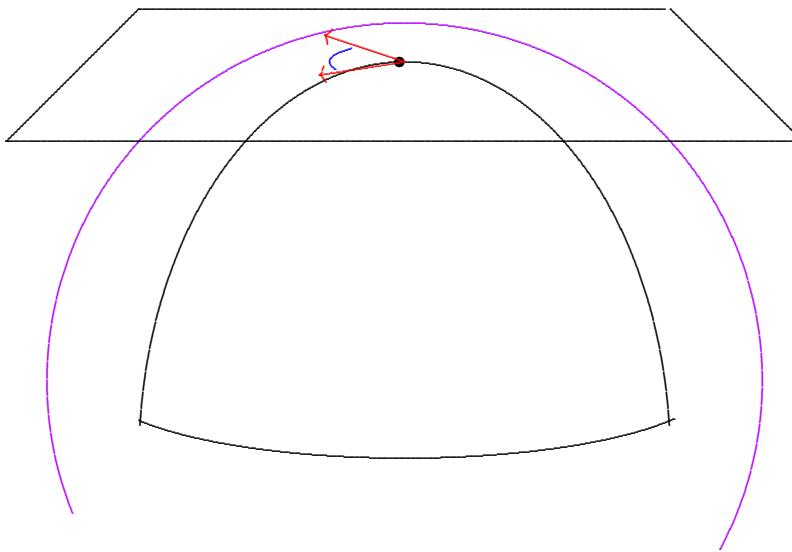
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Makes tangent space a complex vector space!

Kähler metrics:

$$(M^{2m}, g) \text{ Kähler} \iff \text{holonomy} \subset \mathbf{U}(m)$$



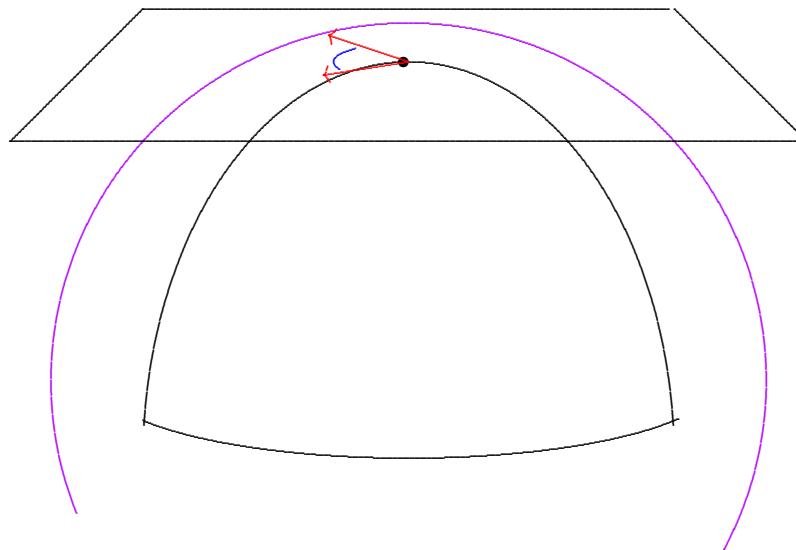
Makes tangent space a complex vector space!

$$J : TM \rightarrow TM, \quad J^2 = -\text{identity}$$

“almost-complex structure”

Kähler metrics:

$$(M^{2m}, g) \text{ Kähler} \iff \text{holonomy} \subset \mathbf{U}(m)$$



Makes tangent space a complex vector space!

Invariant under parallel transport!

Kähler metrics:

$$(\textcolor{violet}{M}^{2m}, \textcolor{blue}{g}) \text{ Kähler} \iff \text{holonomy} \subset \mathbf{U}(m)$$

$\iff \exists$ almost complex-structure J with $\nabla J = 0$
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ω called “Kähler form.”

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$$d\omega = 0$$

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$$g = - \sum_{j,k=1}^m \frac{\partial^2 f}{\partial z^j \partial \bar{z}^k} \left[dz^j \otimes d\bar{z}^k + d\bar{z}^k \otimes dz^j \right]$$

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$$\omega = i \sum_{j,k=1}^m \frac{\partial^2 f}{\partial z^j \partial \bar{z}^k} dz^j \wedge d\bar{z}^k$$

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Kähler magic:

$$\textcolor{brown}{r} = - \sum_{j,k=1}^m \frac{\partial^2}{\partial \textcolor{violet}{z}^j \partial \bar{z}^k} \log \det[g_{p\bar{q}}] \left[dz^j \otimes d\bar{z}^k + d\bar{z}^k \otimes dz^j \right]$$

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Kähler magic:

If we define the Ricci form by

$$\rho = r(J\cdot, \cdot)$$

then $i\rho$ is curvature of canonical line bundle $\Lambda^{m,0}$.

Kähler metrics:

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$$[\omega] \in H^2(M)$$

“Kähler class”

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ω non-degenerate closed 2-form:

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ω non-degenerate closed 2-form: symplectic form

Theorem (L '09). Suppose that M is a smooth compact oriented 4-manifold which admits a symplectic structure ω . Then M also admits an Einstein metric h with $\lambda \geq 0$ if and only if

$$M \stackrel{diff}{\approx} \begin{cases} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, & 0 \leq k \leq 8, \\ S^2 \times S^2, \\ K3, \\ K3/\mathbb{Z}_2, \\ T^4, \\ T^4/\mathbb{Z}_2, T^4/\mathbb{Z}_3, T^4/\mathbb{Z}_4, T^4/\mathbb{Z}_6, \\ T^4/(\mathbb{Z}_2 \oplus \mathbb{Z}_2), T^4/(\mathbb{Z}_3 \oplus \mathbb{Z}_3), \text{ or } T^4/(\mathbb{Z}_2 \oplus \mathbb{Z}_4). \end{cases}$$

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Del Pezzo surfaces,
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Del Pezzo surfaces,
 K3 surface, Enriques surface,
 Abelian surface, Hyper-elliptic surfaces.

$$\begin{aligned}& \mathbb{C}\mathbb{P}_2\# k\overline{\mathbb{C}\mathbb{P}}_2,\quad 0\leq k\leq 8,\\& S^2\times S^2,\\& K3,\\& K3/\mathbb{Z}_2,\\& T^4,\\& T^4/\mathbb{Z}_2, T^4/\mathbb{Z}_3, T^4/\mathbb{Z}_4, T^4/\mathbb{Z}_6,\\& T^4/(\mathbb{Z}_2\oplus\mathbb{Z}_2), T^4/(\mathbb{Z}_3\oplus\mathbb{Z}_3), \text{or } T^4/(\mathbb{Z}_2\oplus\mathbb{Z}_4).\end{aligned}$$

Definitive list . . .

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$$S^2 \times S^2,$$

$$K3,$$

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But we understand some cases better than others!

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Every Einstein metric is Ricci-flat Kähler.

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Moduli space $\mathcal{E}(M)$

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Moduli space $\mathcal{E}(M) = \{\text{Einstein } h\}/(\text{Diffeos} \times \mathbb{R}^+)$

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Every Einstein metric is Ricci-flat Kähler.

Moduli space $\mathcal{E}(M)$ completely understood.

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Every Einstein metric is Ricci-flat Kähler.

Moduli space $\mathcal{E}(M)$ connected!

Above the line:

Know an Einstein metric on each manifold.

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Below the line:

Every Einstein metric is Ricci-flat Kähler.

Moduli space $\mathcal{E}(M)$ connected!

Above the line:

Moduli space $\mathcal{E}(M) \neq \emptyset$.

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Below the line:

Every Einstein metric is Ricci-flat Kähler.

Moduli space $\mathcal{E}(M)$ connected!

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Moduli space $\mathcal{E}(M) \neq \emptyset$. But is it connected?

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Del Pezzo surfaces:

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Blow-up of \mathbb{CP}_2 at k distinct points,
in general position,

Del Pezzo surfaces:

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Blow-up of \mathbb{CP}_2 at k distinct points, $0 \leq k \leq 8$,
in general position,

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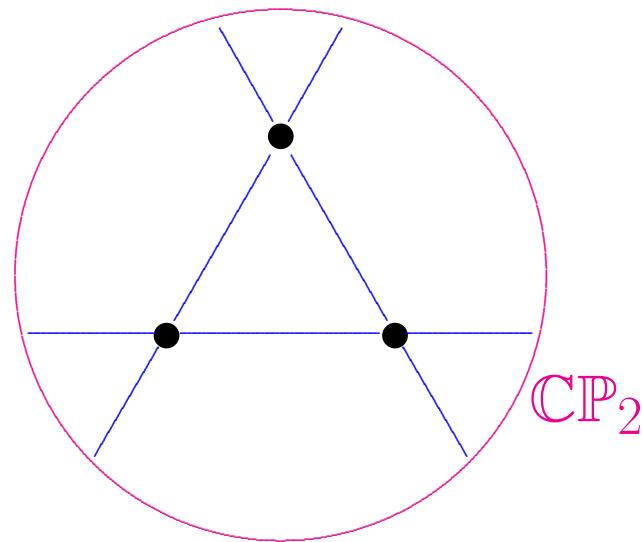
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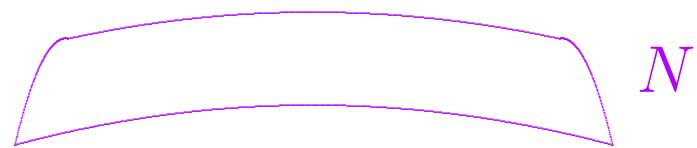
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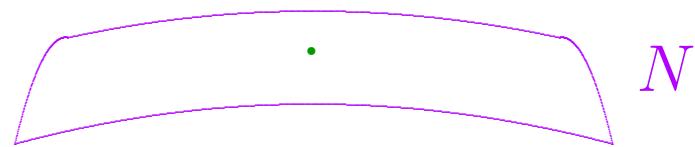
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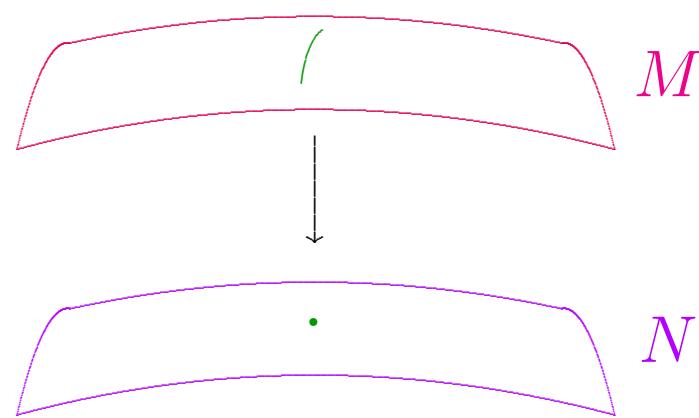
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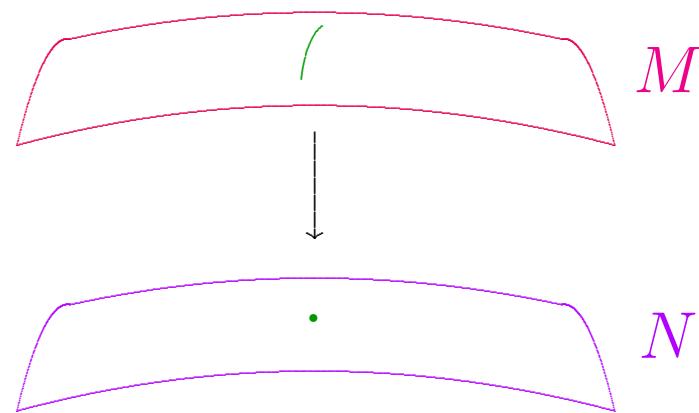


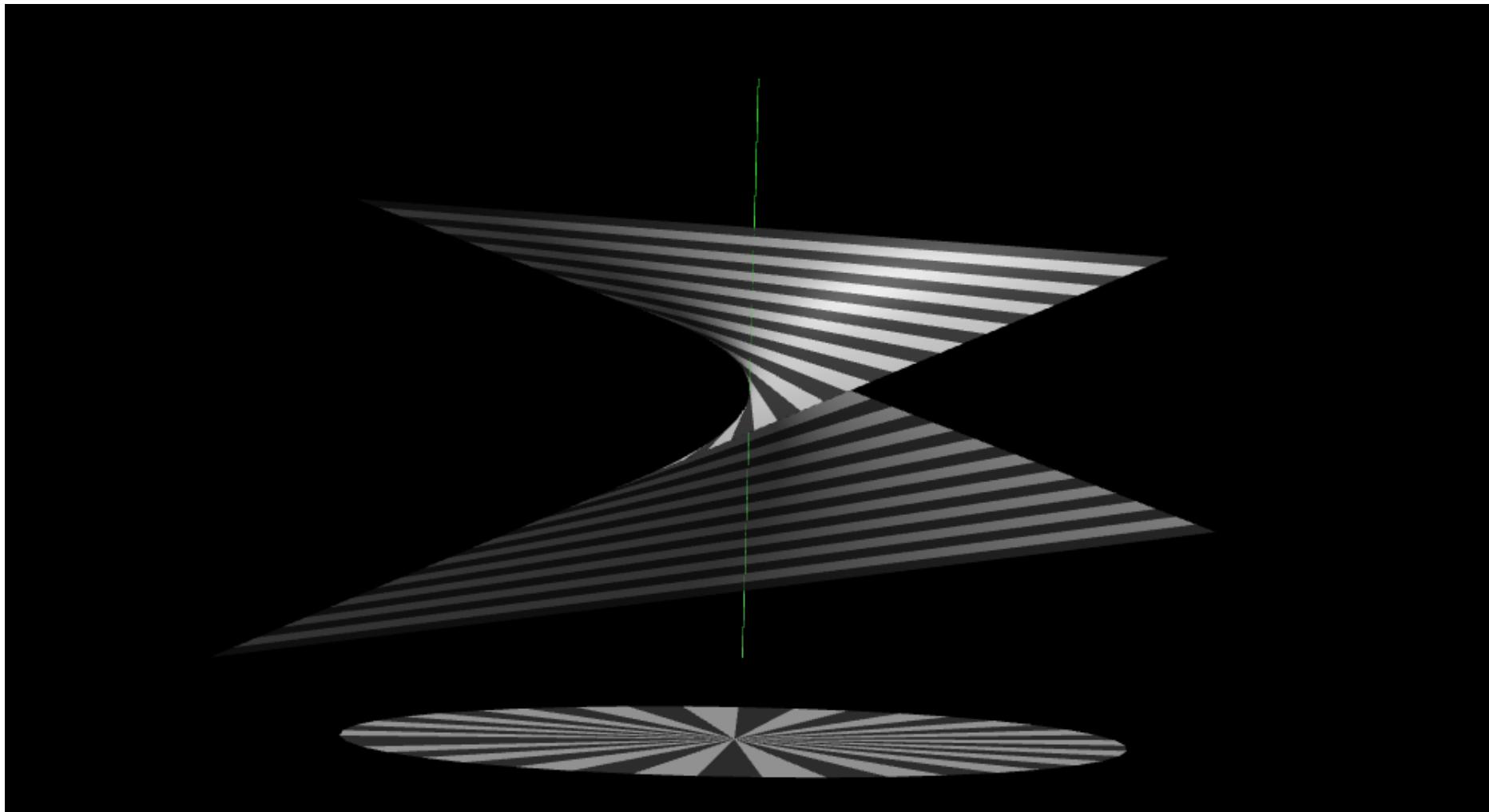
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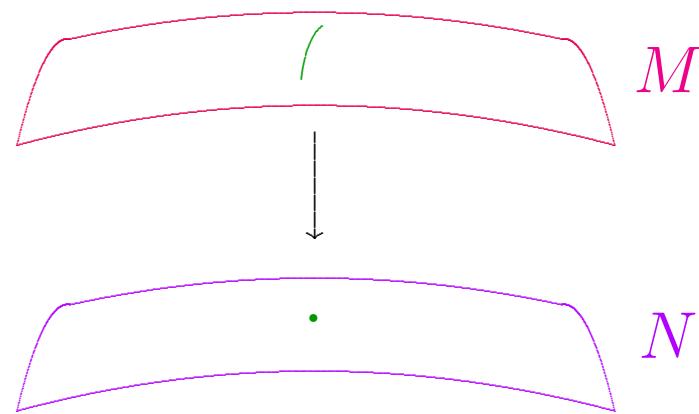


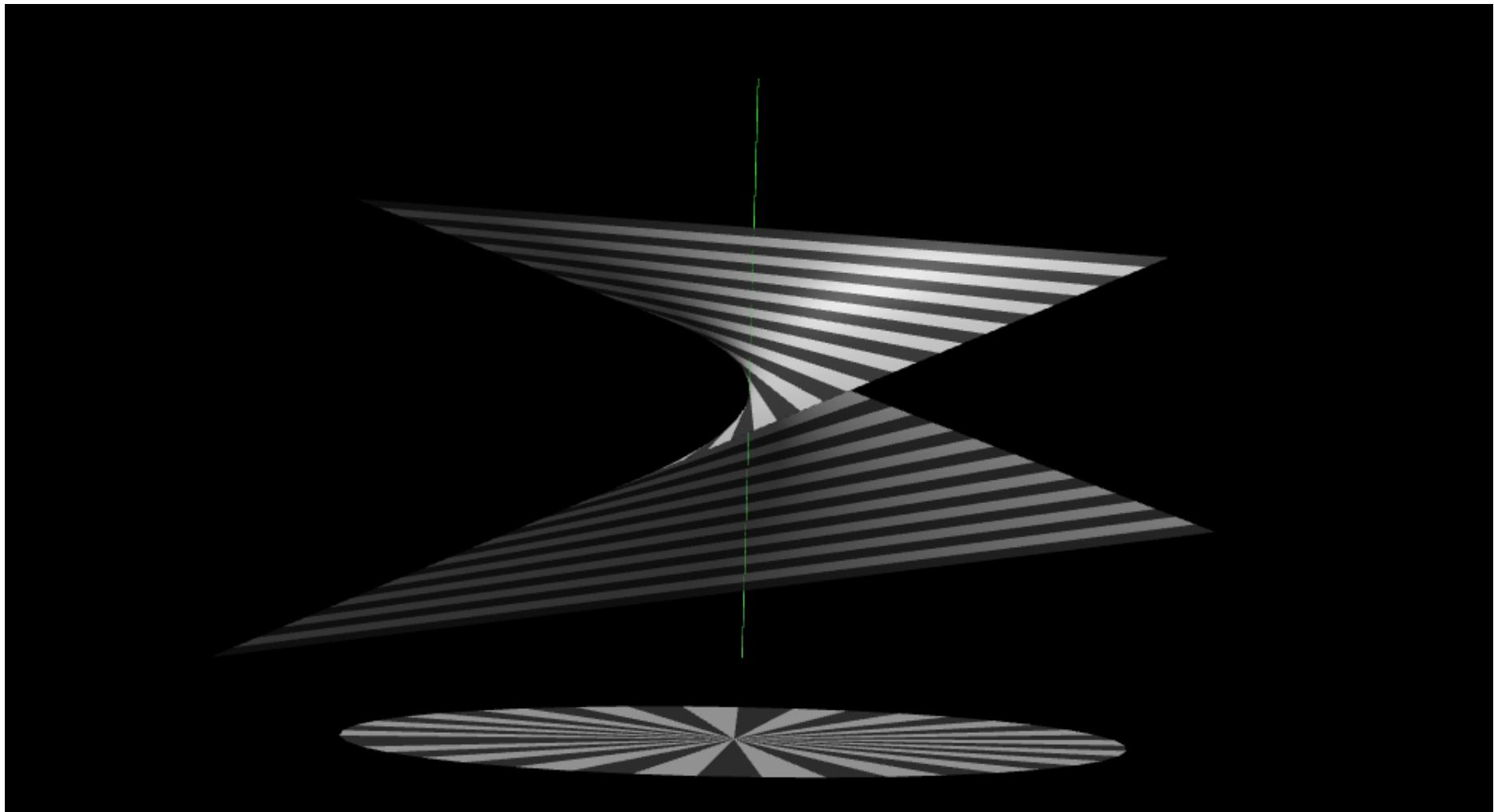
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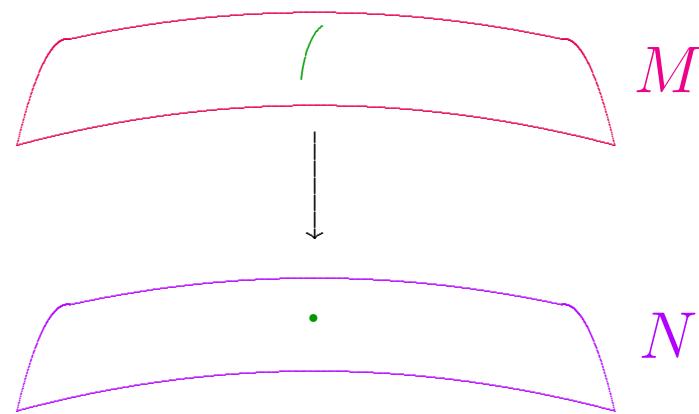


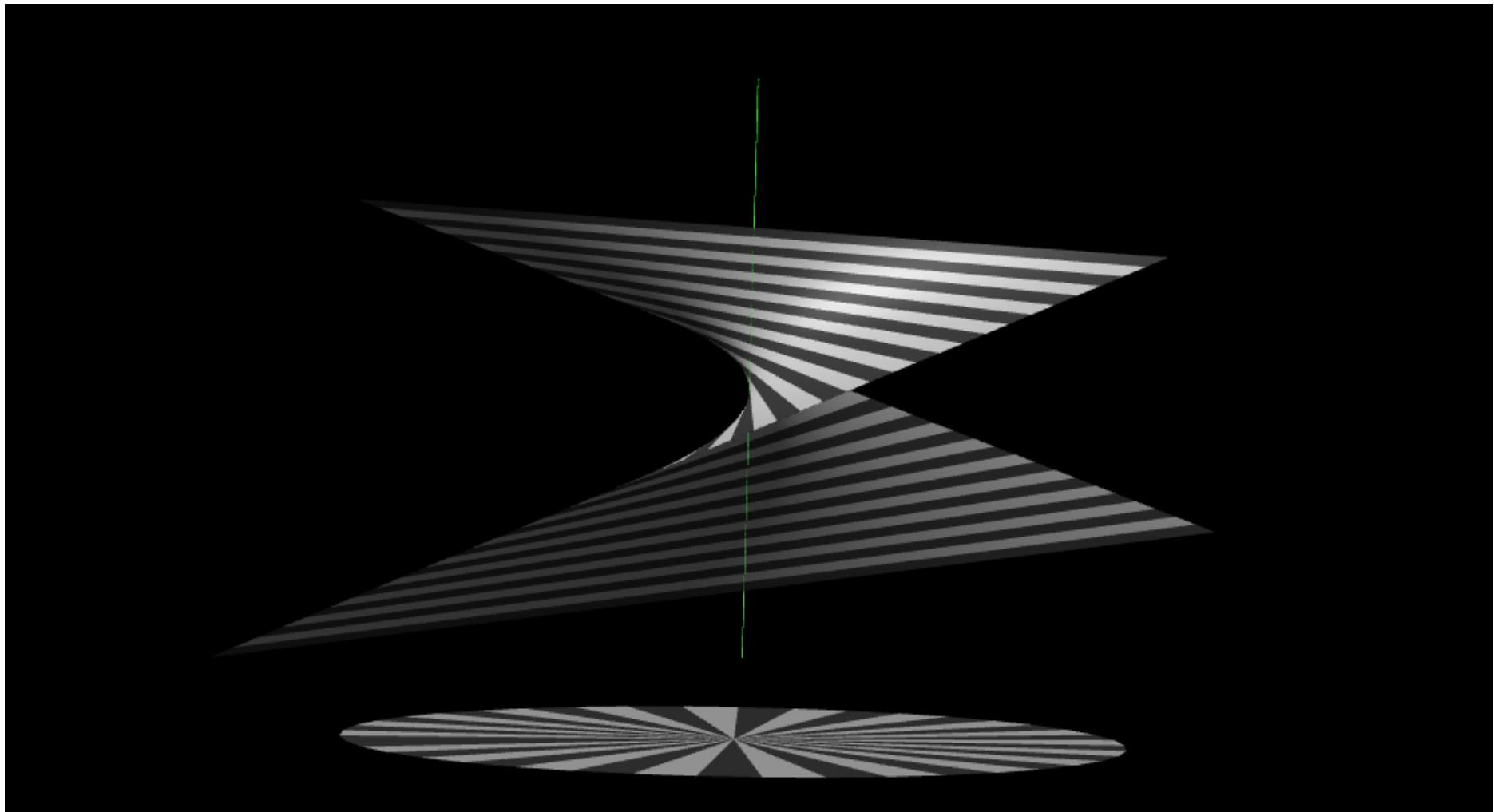
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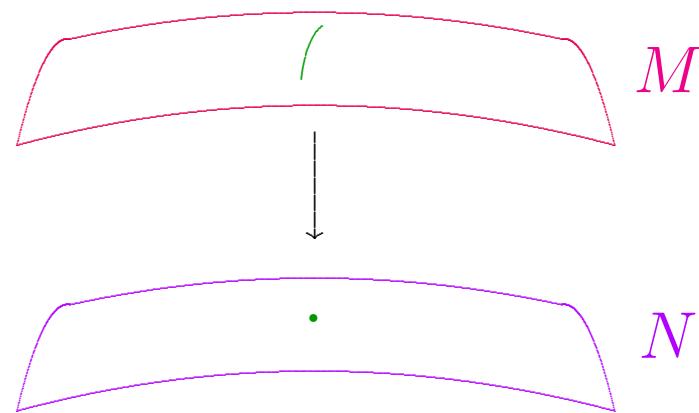


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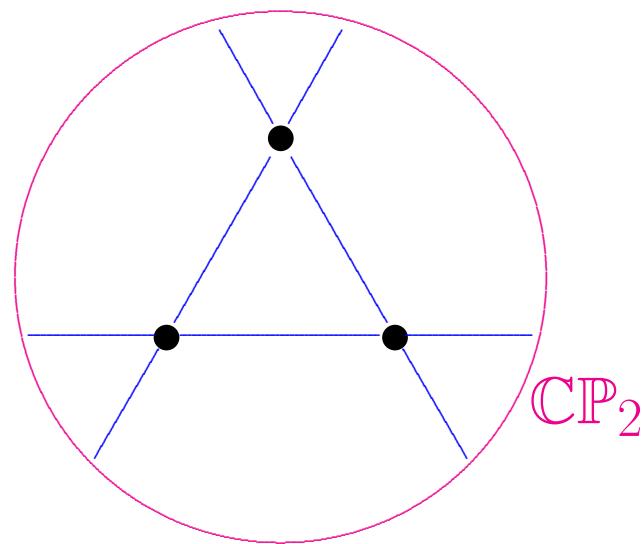
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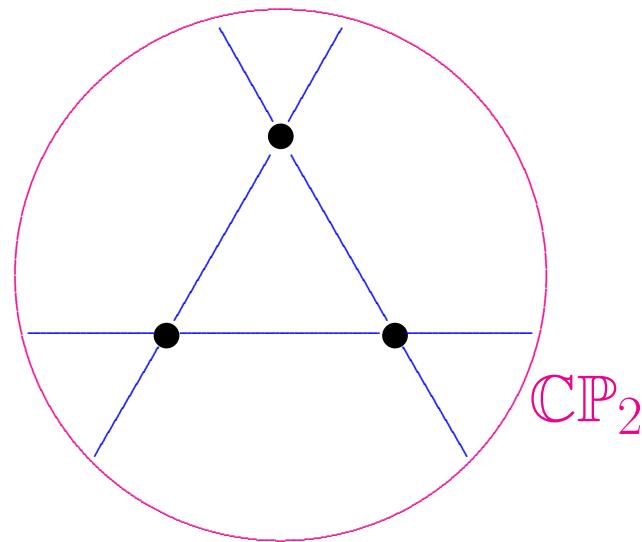
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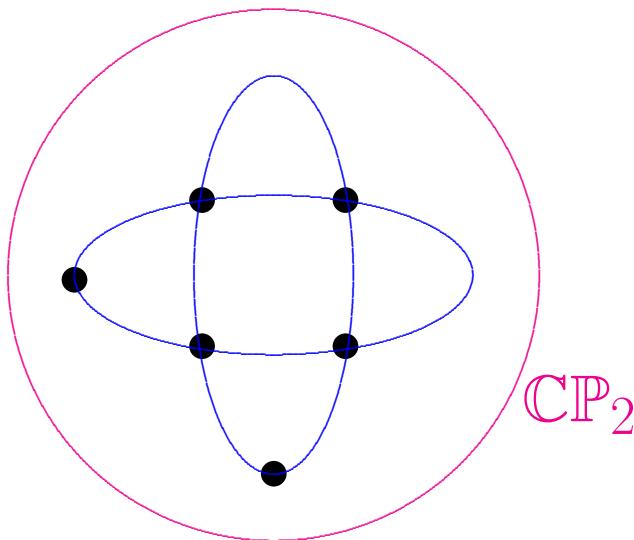


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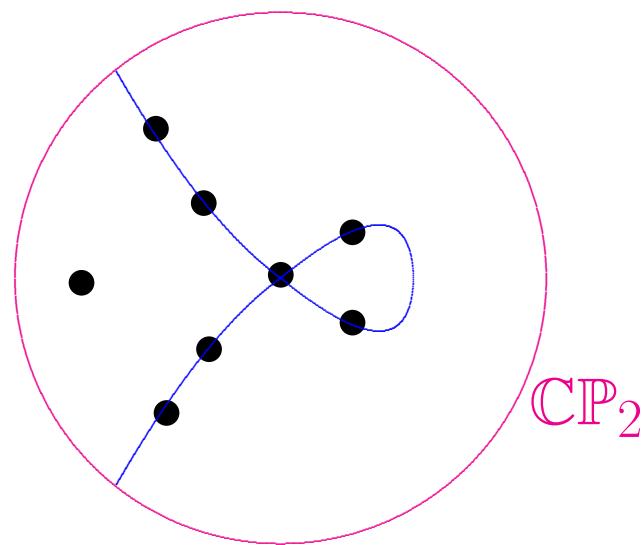


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Uniqueness: Bando-Mabuchi '87, L '12.

Above the line:

Moduli space $\mathcal{E}(M) \neq \emptyset$. But is it connected?

$$\begin{array}{c} \mathbb{CP}_2 \# k \overline{\mathbb{CP}}_2, \quad 0 \leq k \leq 8, \\ S^2 \times S^2, \\ \hline K3, \\ K3/\mathbb{Z}_2, \\ T^4, \\ T^4/\mathbb{Z}_2, T^4/\mathbb{Z}_3, T^4/\mathbb{Z}_4, T^4/\mathbb{Z}_6, \\ T^4/(\mathbb{Z}_2 \oplus \mathbb{Z}_2), T^4/(\mathbb{Z}_3 \oplus \mathbb{Z}_3), \text{ or } T^4/(\mathbb{Z}_2 \oplus \mathbb{Z}_4). \end{array}$$

Below the line:

Every Einstein metric is Ricci-flat Kähler.

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More generally, their dimensions

$$b_\pm(M) = \dim \mathcal{H}_h^\pm$$

are completely metric-independent, and
are oriented homotopy invariants of M .

Key background result:

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$$\int_M W^+(\omega, \omega) d\mu \geq \int_M \frac{s}{6} |\omega|^2 d\mu$$

In particular, an Einstein metric with $\lambda > 0$ has

$$W^+(\omega, \omega) > 0$$

on average. But result requires this everywhere.

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Yes — with a reasonable extra hypothesis on ω ...

Definition.

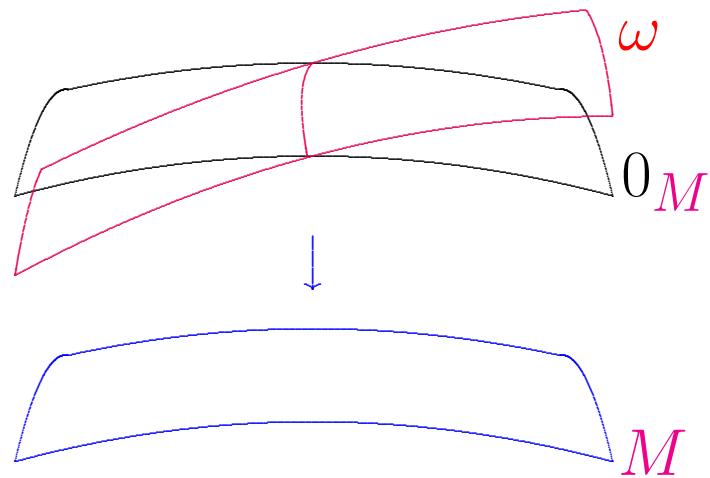
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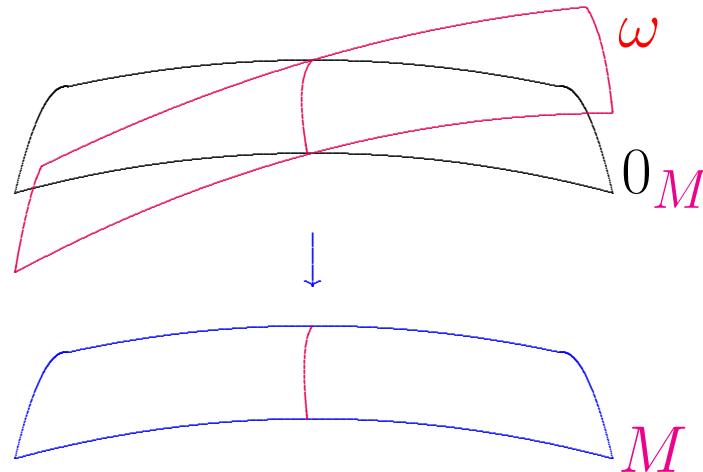
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\implies Zero set Z of ω has codimension 3:

$$Z \approx \sqcup_{j=1}^n S^1.$$

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Theorem (Taubes, et al). If $b_+(M) \neq 0$, such forms exist for an open dense set of metrics h on M .

Theorem A.

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Indeed, all known Einstein metrics on Del Pezzo surfaces arise this way!

Theorem B.

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Conversely, these complex surfaces all admit $\lambda \geq 0$ Einstein metrics h of the above type.

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Moral: Taubes' genericity result does not guarantee genericity among metrics solving an equation!

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$$W^+ = \begin{bmatrix} -\frac{s}{12} & & \\ & -\frac{s}{12} & \\ & & \frac{s}{6} \end{bmatrix}$$

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$$W^+(\omega, \omega) \geq 0, \quad W^+(\omega, \omega) \not\equiv 0$$

Before discussing **Theorems A & B**,
consider simpler case when $W^+(\omega, \omega) > 0$.

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for some g -preserving almost-complex structure J .

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as proxy for Einstein equation.

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for $fW^+ \in \text{End}(\Lambda^+)$.

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(for any almost-Kähler 4-manifold)

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where $W^+(\omega)^\perp$ = projection of $W^+(\omega, \cdot)$ to ω^\perp .

Now take L^2 inner product of Weitzenböck formula

$$0 = \nabla^* \nabla (f W^+) + \frac{s}{2} f W^+ - 6 f W^+ \circ W^+ + 2 f |W^+|^2 I$$

with $\omega \otimes \omega$ and integrate by parts. Then use identity

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$\therefore h \propto s^{-2}g$ globally on M .

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