

# Mid-Term Solutions

## Geometry/Topology II

Spring 2012

Do **three** of the following problems. **33** points each.

1. Let  $M$  and  $N$  be smooth, non-empty manifolds, and let  $F : M \rightarrow N$  be a smooth submersion. If  $M$  is compact and  $N$  is connected, show that  $F$  is onto, and that  $N$  is compact.

As a corollary of the inverse function theorem, any submersion is an open map. In particular,  $F(M) \subset N$  is open. On the other hand, since  $F$  is continuous and  $M$  is compact,  $F(M)$  is compact, too; and since  $N$  is Hausdorff, it follows that  $F(M) \subset N$  is closed. Thus  $F(M)$  is a non-empty open and closed subset of  $N$ . Since  $N$  is connected, it follows that  $N = F(M)$ . In particular,  $F$  is surjective. Since we have already shown that  $F(M)$  is compact, it in particular follows that  $N$  is compact, as desired.

2. Let  $\mathbb{R}^2$  be equipped with its usual  $(x, y)$  coordinates, and let  $\varphi$  be the 1-form on  $\mathbb{R}^2 - \{0\}$  given by

$$\varphi = \frac{y \, dx - x \, dy}{x^2 + 4y^2} .$$

Let  $\gamma : [0, 1] \rightarrow \mathbb{R}^2$  be the smooth curve defined by

$$\gamma(t) = (2 \cos(2\pi t), \sin(2\pi t)) .$$

Compute  $d\varphi$  and  $\int_{\gamma} \varphi$ . Is  $\varphi$  closed? Is it exact?

Let us first notice that

$$\begin{aligned}
 d\varphi &= d\left(\frac{y \, dx - x \, dy}{x^2 + 4y^2}\right) \\
 &= d\left(\frac{1}{x^2 + 4y^2}\right) \wedge (y \, dx - x \, dy) + \frac{1}{x^2 + 4y^2} d(y \, dx - x \, dy) \\
 &= -\frac{d(x^2 + 4y^2)}{(x^2 + 4y^2)^2} \wedge (y \, dx - x \, dy) - \frac{2 \, dx \wedge dy}{x^2 + 4y^2} \\
 &= -\frac{(2x \, dx + 8y \, dy) \wedge (y \, dx - x \, dy)}{(x^2 + 4y^2)^2} - \frac{2 \, dx \wedge dy}{x^2 + 4y^2} \\
 &= \frac{(2x^2 + 8y^2) \, dx \wedge dy}{(x^2 + 4y^2)^2} - \frac{2 \, dx \wedge dy}{x^2 + 4y^2} \\
 &= \frac{2 \, dx \wedge dy}{x^2 + 4y^2} - \frac{2 \, dx \wedge dy}{x^2 + 4y^2} \\
 &= 0.
 \end{aligned}$$

This shows that  $\varphi$  is closed. On the other hand,

$$\begin{aligned}
 \int_{\gamma} \varphi &= \int_0^1 \gamma^* \varphi \\
 &= \int_0^1 \frac{\sin(2\pi t) \, d(2 \cos(2\pi t)) - 2 \cos(2\pi t) \, d(\sin(2\pi t))}{(2 \cos(2\pi t))^2 + 4(\sin(2\pi t))^2} \\
 &= \int_0^1 \frac{(-4\pi \sin^2(2\pi t) - 4\pi \cos^2(2\pi t)) \, dt}{4} \\
 &= -\pi \int_0^1 dt = -\pi \neq 0.
 \end{aligned}$$

If  $\varphi$  were exact, there would be a function  $f$  with  $\varphi = df$ , implying that

$$\int_{\gamma} \varphi = \int_0^1 d(f \circ \gamma) = f(\gamma(1)) - f(\gamma(0)) = 0$$

because  $\gamma(0) = \gamma(1) = (2, 0)$ . This contradiction shows that, although  $\varphi$  is closed, it is not exact.

3. (a) Let  $N$  be a smooth  $n$ -manifold, and let  $F : M \rightarrow N$  be a smooth map from another manifold to  $N$ . If  $\omega$  is any  $n$ -form on  $N$ , show that  $F^*\omega$  is closed.

Since  $N$  is  $n$ -dimensional,  $\mathcal{A}^{n+1}(N) = 0$ . But we are given that  $\omega \in \mathcal{A}^n(N)$ , and it follows that  $d\omega \in \mathcal{A}^{n+1}(N)$ . Hence  $d\omega = 0$ , and it follows that

$$d(F^*\omega) = F^*d\omega = F^*0 = 0$$

because exterior differentiation commutes with pull-backs. This proves that  $F^*\omega$  is closed.

(b) Use part (a), with  $N = S^2$ , to show that the 2-form

$$\psi = \frac{x \, dy \wedge dz + y \, dz \wedge dx + z \, dx \wedge dy}{(x^2 + y^2 + z^2)^{3/2}}$$

on  $\mathbb{R}^3 - \{0\}$  is closed, without resorting to brute-force calculation.

If  $X$  denotes the radially directed vector field  $X = x \frac{\partial}{\partial x} + y \frac{\partial}{\partial y} + z \frac{\partial}{\partial z}$ , then  $\psi = \mu(X, \_, \_)$ , where

$$\mu = \frac{dx \wedge dy \wedge dz}{(x^2 + y^2 + z^2)^{3/2}}.$$

It follows that  $\psi(X, Y) = \mu(X, X, Y) = 0$  for any  $Y$ , since  $\mu$  is alternating.

Now if

$$\begin{aligned} F : \mathbb{R}^3 - \{0\} &\longrightarrow S^2 \\ (x, y, z) &\longmapsto \frac{(x, y, z)}{\|(x, y, z)\|} \end{aligned}$$

is the radial projection, then  $X$  spans the kernel of  $F_*$  at each point. Thus, if  $j : S^2 \hookrightarrow \mathbb{R}^3 - \{0\}$  is the inclusion of the standard unit 2-sphere, and if we let  $\omega$  denote the 2-form on  $S^2$  given by  $\omega := j^*\psi$ , then  $\psi$  must agree with  $F^*\omega$  at every point of the unit sphere. However,  $\psi$  is also invariant under  $(x, y, z) \mapsto (\lambda x, \lambda y, \lambda z)$  for any constant  $\lambda > 0$ , since this substitution multiplies both the numerator and denominator by  $\lambda^3$ ; meanwhile, for any  $\lambda > 0$ , this formula defines a diffeomorphism  $\Phi_\lambda : \mathbb{R}^3 - \{0\} \rightarrow \mathbb{R}^3 - \{0\}$  such that  $F \circ \Phi_\lambda = F$ , and it follows that  $\Phi_\lambda^*(F^*\omega) = F^*\omega$  for any  $\lambda > 0$ . Since  $\Phi_\lambda$  sends the unit 2-sphere to the 2-sphere of radius  $\lambda$ , it follows that  $\psi$  and  $F^*\omega$  also agree along the sphere of radius  $\lambda$ , for any  $\lambda > 0$ . Hence  $\psi = F^*\omega$ , and part (a) therefore implies that  $\psi$  is closed.

4. (a) Let  $M$  be a smooth non-empty compact manifold, and suppose that  $\beta$  is a smooth 1-form which is non-zero at every point of  $M$ . Show that  $\beta$  is not exact.

Suppose that  $\beta = df$  for some smooth function  $f : M \rightarrow \mathbb{R}$ . Then  $f$  has a maximum at some point  $p$ , and  $df = 0$  at  $p$ , since otherwise  $f$  would have to increase in some direction along some curve through  $p$ . But since  $\beta = df$  by assumption, this would show that  $\beta = 0$  at  $p$ , which contradicts our hypothesis. Thus such a 1-form  $\beta$  can never be exact.

(b) Let  $M$  be a smooth, non-empty compact manifold which admits a smooth submersion  $F : M \rightarrow S^1$ . Use part (a) of problems 3 and 4 to show that  $H^1(M) \neq 0$ .

If  $F : M \rightarrow S^1$  is a submersion, then  $F^* : T_q^*S^1 \rightarrow T_p^*M$  is an injection, for all  $q \in S^1$  and  $p \in F^{-1}(\{q\})$ . Thus, if we choose a 1-form  $\phi$  on  $S^1$  which is nowhere zero, its pull-back  $\beta := F^*\phi$  will also be non-zero everywhere. If  $M$  is compact, then, by part (a) above,  $\beta = F^*\phi$  cannot be exact. On the other hand, by part (a) of problem 3,  $F^*\phi$  is closed:

$$d(F^*\phi) = F^*d\phi = F^*0 = 0$$

Thus, if we can find a nowhere-zero 1-form  $\phi$  on  $S^1$ , we have produced a closed 1-form on  $M$  which is not exact, and so proved that

$$H^1(M) = \frac{\{ \text{closed 1-forms on } M \}}{\{ \text{exact 1-forms on } M \}} \neq 0.$$

Now there is an obvious choice of such a  $\phi$ , and its usual name illustrates how important it is that  $M$  has been assumed to be compact. Indeed, the famous form in question is usually misleadingly denoted by “ $d\theta$ .” Here the angle function  $\theta$  is not actually defined on  $S^1$ , but rather only on its universal cover  $\mathbb{R}$ . Notice that, by part (a), this form is certainly *not* exact on  $S^1$ . However, its pull-back to  $\mathbb{R}$  is exact — “upstairs,” it simply becomes the differential of the standard coordinate. Notice that the covering map  $\mathbb{R} \rightarrow S^1$  is a submersion, but this does not contradict our conclusion, because  $\mathbb{R}$  is non-compact.

Alternatively, you might cite the fact that any orientable  $n$ -manifold  $N$  admits a nowhere-zero  $n$ -form  $\phi$ ; we proved this by a partition-of-unity argument. The fact that  $S^1$  admits a non-zero 1-form  $\phi$  is thus precisely equivalent to the fact that the circle is orientable.

5. Let  $N$  be a smooth  $n$ -manifold, and let  $L \subset N$  and  $M \subset N$  be smooth submanifolds, of dimensions  $\ell$  and  $m$ , respectively. We say that  $L$  and  $M$  are *transverse* submanifolds if, at every  $p \in L \cap M$ , the tangent spaces  $T_pL$  and  $T_pM$  together span  $T_pN$ :

$$T_pN = T_pL + T_pM \quad \forall p \in L \cap M.$$

If  $L$  and  $M$  are transverse and are not disjoint, show that their intersection  $L \cap M$  is a submanifold. What is its dimension?

(**Hint:** in a neighborhood of  $p$ ,  $L \cap M$  is characterized by the vanishing of a finite collection of smooth real functions with independent differentials.)

Set  $k_1 = n - \ell$  and  $k_2 = n - m$ ; these numbers are called the *codimensions* of  $L$  and  $M$ , respectively. Near a point  $p$  of  $L \cap M$ , we can find coordinates  $(x^1, \dots, x^\ell, u^1, \dots, u^{k_1})$  on an open set  $\mathcal{U} \subset N$ ,  $p \in \mathcal{U}$ , such that  $L \cap \mathcal{U}$  is given by the equations  $u^1 = \dots = u^{k_1} = 0$ ; similarly, we can find a coordinate system  $(y^1, \dots, y^m, v^1, \dots, v^{k_2})$  on an open set  $\mathcal{V} \subset N$ ,  $p \in \mathcal{V}$ , such that  $M \cap \mathcal{V}$  is given by the equations  $v^1 = \dots = v^{k_2} = 0$ . Now consider the neighborhood of  $p \in N$  defined by  $\mathcal{W} = \mathcal{U} \cap \mathcal{V}$ , together with the smooth map  $F : \mathcal{W} \rightarrow \mathbb{R}^{k_1+k_2}$  defined by  $(u^1, \dots, u^{k_1}, v^1, \dots, v^{k_2})$ . Since  $L$  and  $M$  are transverse,  $T_pN \cong (T_pL \oplus T_pM)/(T_pL \cap T_pM)$ , and it follows that the tautological projection

$$T_pN \rightarrow (T_pN/T_pL) \oplus (T_pN/T_pM)$$

is onto. Hence  $du^1, \dots, du^{k_1}, dv^1, \dots, dv^{k_2}$  are linearly independent at  $p$ , and the derivative of  $F : \mathcal{W} \rightarrow \mathbb{R}^{k_1+k_2}$  at  $p$  is therefore surjective. Thus, as a corollary of the inverse function theorem,  $F$  restricts to some smaller neighborhood  $\mathcal{W}'$  of  $p$  as a submersion, and the equations  $u^1 = \dots = u^{k_1} = v^1 = \dots = v^{k_2} = 0$  define a submanifold of dimension

$$n - (k_1 + k_2) = n - (n - \ell) - (n - m) = \ell + m - n$$

of the open subset  $\mathcal{W}' \subset N$ . However, this submanifold exactly consists of points of  $\mathcal{W}'$  which solve the equations  $u^1 = \dots = u^{k_1} = 0$  and also solve the equations  $v^1 = \dots = v^{k_2} = 0$ ; in other words, it is exactly the subset  $(L \cap \mathcal{W}') \cap (M \cap \mathcal{W}') = (L \cap M) \cap \mathcal{W}'$ . We have therefore shown that  $L \cap M$  is a submanifold near each of its points  $p$ , and is therefore a smooth submanifold. Moreover, our argument shows that the dimension of  $L \cap M$  is  $\ell + m - n$ .