

On
Genus-1 Gromov-Witten Invariants
of Complete Intersection 3-Folds

- Description and Dfn of GW-Invariants
- Broad Ques., Partial Answers, Extensions
- Outline of Proof of Main Theorem

GW-Invariants

(X, ω, J) (almost) Kahler cmpt mnfd

$$\rightsquigarrow \boxed{\text{GW}_{g,k}^{\omega,A}(\mu) \in \mathbb{Q}}$$

$$g, k \geq 0, \quad A \in H_2(X), \quad \mu = (\mu_1, \dots, \mu_k) \in H^*(X)^{\oplus k}$$

very
roughly

counts of J -holomor. **curves in X**

$$C \subset X, \quad \dim_{\mathbb{R}} C = 2, \quad JTC = TC \subset TX$$

roughly

counts of J -holomor. maps into X

$$u: \underbrace{(\Sigma, j)}_{\text{Riemann surf. of genus } g} \longrightarrow (X, J), \quad \underbrace{\bar{\partial}_J u \equiv du + J \circ du \circ j = 0}_{\text{Cauchy-Riemann eqn.}}$$

really

integrals over $\overline{\mathfrak{M}}_{g,k}(X, A; J)$

moduli space of J -holomor. maps

$$\langle \text{ev}_1^* \mu_1 \cup \dots \cup \text{ev}_k^* \mu_k, [\overline{\mathfrak{M}}_{g,k}(X, A; J)]^{\text{vir}} \rangle$$

$$\text{ev}_i: \overline{\mathfrak{M}}_{g,k}(X, A; J) \longrightarrow X, \quad (\Sigma, x_1, \dots, x_k; u) \longrightarrow u(x_i)$$

Standard Fact from Topology


$$\begin{array}{ccc} \mathbb{C}^n & \longrightarrow & V \\ & & \downarrow \\ & & M^m \end{array} \quad \varphi$$

If φ is generic,

$$\boxed{[\varphi^{-1}(0)] = \text{PD}_X e(V) \in H_{m-2n}(M)}$$

Dfn of $\text{GW}_{g,k}^{\omega,A}$ or $[\overline{\mathfrak{M}}_{g,k}(X, A; J)]^{\text{vir}}$

$$\begin{array}{c}
 \Gamma_J^{0,1} \\
 \downarrow \quad \curvearrowright \bar{\partial}_J \\
 \mathfrak{X}_{g,k}(X, A) = \{\text{smooth maps } u: \Sigma \longrightarrow X\}
 \end{array}
 \quad
 \Gamma_J^{0,1}(\Sigma, u) = \{\eta: T\Sigma \longrightarrow u^*TX \mid J\eta = -\eta j\}$$


 may have simple nodes

$\text{GW}_{g,k}^{\omega,A} = (\text{PD of})$ "Euler class" of $\Gamma_J^{0,1}$, w.r.t. $\bar{\partial}_J$

$$\text{GW}_{g,k}^{\omega,A} \equiv [\{\bar{\partial}_J + \nu\}^{-1}(0)] \in H_{\text{ind } \bar{\partial}_J}(\mathfrak{X}_{g,k}(X, A); \mathbb{Q})$$

small generic
perturbation

can replace by small neighb. of
 $\bar{\partial}_J^{-1}(0) = \overline{\mathfrak{M}}_{g,k}(X, A; J)$

strata of $\overline{\mathfrak{M}}_{g,k}(X, A; J) \sim$ parametr. of J -holomor. curves
in X of various types

$\left\{ \lim_{t \rightarrow 0} \{ \bar{\partial}_J + t\nu \}^{-1}(0) \right\} \cap$ stratum \sim relates GW-inv. (of ω)
to enum. inv. (of J)

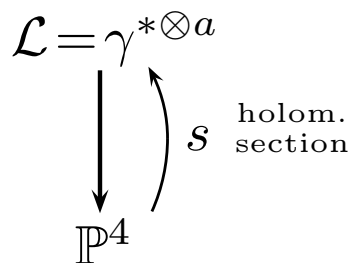
Broad Question

$Y \subset X$ sympl. submfd

\rightsquigarrow Relate $\text{GW}_{*,*}^Y$ to $\text{GW}_{*,*}^X$

Main Example

$X = \mathbb{P}^4$, $Y^3 =$ hypersurface of degree $a \in \mathbb{Z}^+$



$\gamma \longrightarrow \mathbb{P}^4$ taut. line bundle

$$Y = s^{-1}(0)$$

$$\overline{\mathfrak{M}}_{g,k}(Y, d) = \{ (\Sigma, u) \in \overline{\mathfrak{M}}_{g,k}(\mathbb{P}^4, d) : \underbrace{u(\Sigma) \subset Y}_{s \circ u = 0 \in H^0(\Sigma; u^* \mathcal{L})} \}$$

$$\begin{array}{c} \mathcal{V}_{g,k} \\ \downarrow \\ \overline{\mathfrak{M}}_{g,k}(\mathbb{P}^4, d) \end{array} \begin{array}{c} \nearrow \tilde{s} \end{array}$$

$$\mathcal{V}_{g,k}(\Sigma, u) = H^0(\Sigma; u^* \mathcal{L})$$

$$\tilde{s}(\Sigma, u) = s \circ u$$

$$\boxed{\overline{\mathfrak{M}}_{g,k}(Y, d) = \tilde{s}^{-1}(0)}$$

Suggests

$$[\overline{\mathfrak{M}}_{g,k}(Y, d)]^{\text{vir}} = \text{PDe}(\mathcal{V}_{g,k}) \in H_*(\overline{\mathfrak{M}}_{g,k}(\mathbb{P}^4, d))$$

Fact 1: true if $g=0$
 $\overline{\mathfrak{M}}_{0,k}(\mathbb{P}^4, d)$ smooth; $\mathcal{V}_{0,k} \longrightarrow \overline{\mathfrak{M}}_{0,k}(\mathbb{P}^4, d)$ v.b.

Implications of Fact 1

- (a) compute $\text{GW}_{0,k}^Y$ by Atiyah-Bott localiz. thm.
- (b) verify $g=0$ mirror symmetry prediction for curves in Calabi-Yau 3-folds
(Givental, Lian-Liu-Yau, etc.)

Fact 2: if $g=0$, **true** for general $Y \subset X$
with appropriate interpretations

$$\begin{aligned} [\overline{\mathfrak{M}}_{0,k}(\mathbb{P}^4, d)] &\rightsquigarrow [\overline{\mathfrak{M}}_{0,k}(X, A; J)]^{\text{vir}} \\ \text{PDe}(\mathcal{V}_{0,k}) &\rightsquigarrow \text{PDe}(\mathcal{V}_{0,k})^{\text{vir}} \equiv e(H^0 - H^1) \equiv \text{ind } \bar{\partial}_{\mathcal{L}} \end{aligned}$$

Fact 3: **false** if $g \geq 1$

$$[\overline{\mathfrak{M}}_{g,k}(Y, d)]^{\text{vir}} \neq \text{PDe}(\mathcal{V}_{g,k})^{\text{vir}}$$

with interpretations as above

Theorem A (J. Li, Z.-)

$$\text{GW}_{1,k}^{Y,d}(\mu) = \frac{2+(a-5)d}{24} \text{GW}_{0,k}^{Y,d}(\mu) + \langle \mu e(\mathcal{V}_{1,k}), [\overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^4, d)] \rangle$$

$$\begin{aligned} \overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^4, d) &= \text{main comp. of } \overline{\mathfrak{M}}_{1,k}(\mathbb{P}^4, d) \\ &= \overline{\{u : \text{smooth } \Sigma \longrightarrow \mathbb{P}^4\}} \end{aligned}$$

Theorem (Z.-)

- (1) $\overline{\mathfrak{M}}_{1,k}^0(X, A; J)$ defined for all (X, ω, J)
algebraic-genus compactification
- (2) if $J \approx J_0$, $[\overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^l, d; J)]$ carries fund. class
- (3) if $J \approx J_0$, PD of euler class of $\mathcal{V}_{1,k} \longrightarrow \overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^l, d; J)$
is well-defined: zero set of a generic section

Theorem B (R. Vakil, Z.-)

(1) \exists desing. $\widetilde{\mathfrak{M}}_{1,k}^0(\mathbb{P}^l, d) \longrightarrow \overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^l, d)$

(2) \exists desing. $\widetilde{\mathcal{V}}_{1,k} \longrightarrow \widetilde{\mathfrak{M}}_{1,k}^0(\mathbb{P}^l, d)$ of

$$\mathcal{V}_{1,k} \longrightarrow \overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^l, d) \rightsquigarrow \boxed{e(\widetilde{\mathcal{V}}_{1,k}) \sim e(\mathcal{V}_{1,k})}$$

(3) localiz. data similar to genus-0 case

Results for Quintic 3-Fold

d	1	2	3	4
$\langle \dots \rangle$	0	$\frac{2,875}{32}$	$\frac{49,355,000}{81}$	$\frac{952,691,384,375}{256}$
$N_1(d)$	$\frac{2,875}{12}$	$\frac{407,125}{8}$	$\frac{243,388,750}{9}$	$\frac{366,163,353,125}{16}$
$n_1(d)$	0	0	609,250	3,721,431,625

$N_1(d) = \text{GW}_{1,0}^{Y,d}(1)$ $n_1(d) =$ “# of genus-1 deg.- d **curves**”

table agrees with predictions of Bershadsky, etc.’93

Hope

Thms A,B \rightsquigarrow verify $g=1$ mirror symm. predic.
for curves in Calabi-Yau 3-folds

Extensions of Theorem A

(1) applies to all $Y^3 = s^{-1}(0) \subset X^{3+n}$ **regular**

(2) should generalize to all $Y = s^{-1}(0) \subset X$ **regular** as

$$\mathrm{GW}_{1,k}^{Y,A}(\mu) = \mathrm{GW}_{0,k}^{Y,A}(\psi, \mu) + \langle \mu e(\mathcal{V}_{1,k}), [\overline{\mathfrak{M}}_{1,k}^0(X, A)] \rangle$$

$$\psi = \psi(\dim Y, c(TY))$$

Conjectural Extensions

(1) applies to all $Y = s^{-1}(0) \subset X$ via $\text{PDe}(\mathcal{V}_{1,k})^{\text{vir}}$

(2) can define $\overline{\mathfrak{m}}_{g,k}^0(X, A; J)$ for all g

(3) if J is **regular**, PD of euler class of $\mathcal{V}_{g,k} \longrightarrow \overline{\mathfrak{m}}_{g,k}^0(X, A; J)$ is well defined

(4) $Y^3 = s^{-1}(0) \subset X^{3+n}$ **regular**

$$\begin{aligned} \text{GW}_{g,k}^{Y,A}(\mu) &= \sum_{g'=0}^{g-1} C_{g'}^g(\langle c_1(TY), A \rangle) \text{GW}_{g',k}^{Y,A}(\mu) \\ &\quad + \langle \mu e(\mathcal{V}_{g,k}), [\overline{\mathfrak{m}}_{g,k}^0(X, A; J)] \rangle \end{aligned}$$

$C_{g'}^g(\langle c_1(TY), A \rangle) \in \mathbb{Q}$ determined by $H^*(\overline{\mathcal{M}}_{g'',k'})$

related to Pandharipande's #'s for degenerate contributions
for 3-folds

Outline of Pf

$$\begin{array}{c} \Gamma_J^{0,1} \\ \downarrow \quad \curvearrowright \bar{\partial}_J \\ \mathfrak{X}_{1,k}(Y, \mu) = \{(\Sigma, x_1, \dots, x_k; u) \in \mathfrak{X}_{1,k}(Y, A) : u(x_l) \in \mu_l\} \end{array}$$

$$\boxed{\text{GW}_{1,k}^{Y,d}(\mu) = \pm |\{\bar{\partial}_J + \nu\}^{-1}(0)|}$$

$$\nu \text{ small} \implies \{\bar{\partial}_J + \nu\}^{-1}(0) \text{ close to } \bar{\partial}_J^{-1}(0) = \overline{\mathfrak{M}}_{1,k}(Y, d; J)$$

General Approach

determine # of $\{\bar{\partial}_J + \nu\}^{-1}(0)$ close to each stratum of $\overline{\mathfrak{M}}_{1,k}(Y, d; J)$

\rightsquigarrow contribution of stratum to $\text{GW}_{1,k}^{Y,d}(\mu)$

do this for good $J \approx J_0$

Assumptions on $J \approx J_0$ on $Y \subset \mathbb{P}^4$

of genus-0,1 J -holomor. curves in Y thr. μ_1, \dots, μ_k
is **finite** (to 1st order)
all such curves are smooth

Implications: Y non-CY ($a \neq 5$)

If $(\Sigma, u) \in \mathfrak{X}_{1,k}(Y, \mu)$ is J -holomor.,

- Σ has one or two irred. components
- u non-const on exactly one comp.
- u is 1:1 on that comp.

Implications: Y CY ($a=5$)

If $(\Sigma, u) \in \mathfrak{X}_{1,k}(Y, \mu)$ J -holomor., either

- u is covering of smooth genus-1 curve, or
- image of u is smooth genus-0 curve

Admissibility of Assumptions: Y non-CY

$g=0$: OK by standard arguments

$g=1$: OK by structure of $\overline{\mathfrak{M}}_{1,k}^0(Y, d; J)$

Admissibility of Assumptions: Y general

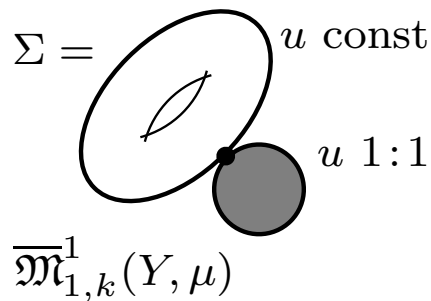
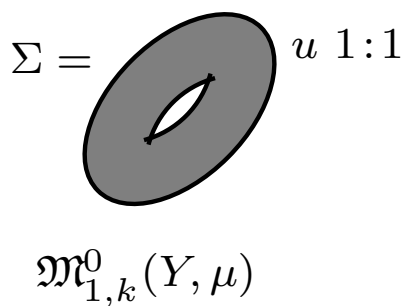
Ionel-Parker'02: OK for all g ; *proof incomplete*

Solution

use perturbed (in a restricted way) J -holomor. maps

\rightsquigarrow makes proof same in all cases

Strata of $\overline{\mathfrak{M}}_{1,k}(Y, d; J)$



Choice of ν

$$\begin{array}{ccc}
 \Gamma_J^{0,1} & & \nu \text{ supported near } \overline{\mathfrak{M}}_{1,k}(Y, \mu; J) \\
 \downarrow & \curvearrowright & \\
 \mathfrak{X}_{1,k}(\mathbb{P}^4, \mu) & \supset & \mathfrak{X}_{1,k}(Y, \mu)
 \end{array}$$

Contribution from $\mathfrak{M}_{1,k}^0(Y, \mu; J)$

of solutions $\exp_u \xi$ of

$$\begin{cases}
 \bar{\partial}_J \exp_u \xi + \nu(\exp_u \xi) = 0 & u \in \mathfrak{M}_{1,k}^0(\mathbb{P}^4, d; J) \\
 s \circ \exp_u \xi = 0 & \xi \in T_u \mathfrak{X}_{1,k}(\mathbb{P}^4, \mu)
 \end{cases}$$

\parallel
 $\ker D_{J,u} \oplus \Gamma_+(u)$
 \curvearrowright linear. of $\bar{\partial}_J$

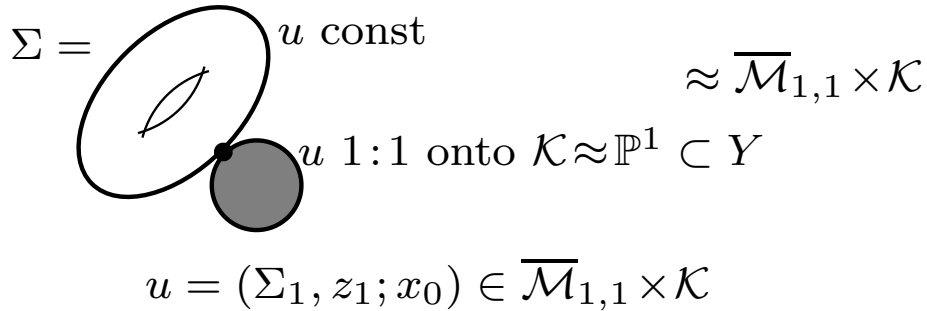
has unique solution $\xi_+(u) \in \Gamma_+(u)$

$\rightsquigarrow s \circ \exp_u \xi_+(u) = 0 \in \mathcal{V}_{1,k}$

\rightsquigarrow small perturb. of \tilde{s} over $\overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^4, \mu; J)$:

$$\text{Contr}(\mathfrak{M}_{1,k}^0(Y, \mu; J)) = \langle e(\mathcal{V}_{1,k}), [\overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^4, \mu; J)] \rangle$$

Contribution from $\overline{\mathfrak{M}}_{1,k}^1(Y, \mu)$



find coker of $D_{J,u} = d\bar{\partial}_J : \underbrace{T_u \mathfrak{X}_{1,k}(Y, \mu)}_{\parallel T_{z_1} \Sigma_1 \otimes T_{x_0} \mathcal{K} \oplus \Gamma(u)} \longrightarrow \Gamma_J^{0,1}(u)$

\rightsquigarrow find coker of

$$\bar{D}_{J,u} : T_{z_1} \Sigma_1 \otimes T_{x_0} \mathcal{K} \longrightarrow \Gamma_J^{0,1}(u) / D_{J,u}(\Gamma(u)) = \mathcal{H}_{\Sigma}^{0,1} \otimes T_{x_0} Y \approx \mathbb{E}_{z_1}^* \otimes T_{x_0} Y$$

$(0, 1)$ -harmonic forms

$\mathbb{E} \longrightarrow \overline{\mathcal{M}}_{1,1}$ Hodge line bndl

Fact: $\bar{D}_{J,u}v = v \in \mathbb{E}_{z_1}^* \otimes T_{x_0}\mathcal{K} \subset \mathbb{E}_{z_1}^* \otimes T_{x_0}Y$

\rightsquigarrow $\text{coker } D_{J,u} = \text{coker } \bar{D}_{J,u} = \mathbb{E}_{z_1}^* \otimes N_Y\mathcal{K}|_{x_0}$

$$\begin{aligned} \rightsquigarrow \# \{ \{ \bar{\partial}_J + \nu \} (f) = 0 : f \text{ close to } \bar{\mathcal{M}}_{1,1} \times \mathcal{K} \} \\ &= \langle e(\mathbb{E}^* \otimes N_Y\mathcal{K}), [\bar{\mathcal{M}}_{1,1} \times \mathcal{K}] \rangle \\ &= - \frac{\langle c_1(N_Y\mathcal{K}), d\ell \rangle}{24} = \frac{2+d(a-5)}{24} \end{aligned}$$

Note: # of such \mathcal{K} 's = $\text{GW}_{0,k}^{Y,d}(\mu)$

$$\rightsquigarrow \text{Contr}(\bar{\mathfrak{M}}_{1,k}^1(Y, \mu; J)) = \frac{2+d(a-5)}{24} \text{GW}_{0,k}^{Y,d}(\mu)$$

Theorem

euler class of $\mathcal{V}_{1,k} \longrightarrow \overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^l, d)$ is well-defined

Reminder

$$\begin{array}{c} \mathcal{L} = \gamma^{*\otimes a} \\ \downarrow \\ \mathbb{P}^4 \end{array}$$

$$a \in \mathbb{Z}^+$$

$$\mathcal{V}_{1,k}|_{(\Sigma, u)} = H^0(\Sigma; u^* \mathcal{L})$$

Outline of Proof

$$m = \dim_{\mathbb{C}} \overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^l, d) \equiv \dim_{\mathbb{C}} \mathfrak{M}_{1,k}^0(\mathbb{P}^l, d)$$

$$n = \dim_{\mathbb{C}} H^0(\Sigma; u^* \mathcal{L}) - \underbrace{\dim_{\mathbb{C}} H^1(\Sigma; u^* \mathcal{L})}_{0 \text{ or } 1} = \text{ind}_{\mathbb{C}} \bar{\partial}_{\mathcal{L}, u}$$

if $(\Sigma, u) \in \mathfrak{M}_{1,k}^0(\mathbb{P}^l, d) \equiv \{(\Sigma, u) \in \overline{\mathfrak{M}}_{1,k}(\mathbb{P}^l, d) : \Sigma \text{ smooth}\}$

(1) find section $\varphi: \overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^l, d) \longrightarrow \mathcal{V}_{1,k}$ s.t.

$$\dim_{\mathbb{R}} \varphi^{-1}(0) \cap \underbrace{\partial \overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^l, d)}_{\overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^l, d) - \mathfrak{M}_{1,k}^0(\mathbb{P}^l, d)} \leq 2(m-n) - 2$$

(2) show space of such sections is path-connected

$$\varphi \text{ generic} \implies \dim_{\mathbb{R}} \varphi^{-1}(0) \cap \mathfrak{M}_{1,k}^0(\mathbb{P}^l, d) = 2(m-n)$$

$$+ \text{ bound. cond.} \implies [\varphi^{-1}(0)] \in H_{2(m-n)}(\mathfrak{M}_{1,k}^0(\mathbb{P}^l, d))$$

Key Proposition

\exists stratification $\partial \overline{\mathfrak{m}}_{1,k}^0(\mathbb{P}^l, d) = \bigsqcup_{\alpha \in A} \mathcal{S}_\alpha$ and for $\alpha \in A$ neighb. \mathcal{U}_α of \mathcal{S}_α in $\overline{\mathfrak{m}}_{1,k}^0(\mathbb{P}^l, d)$ and v.b. $V_\alpha \longrightarrow \mathcal{U}_\alpha$ s.t.

- (a) $V_\alpha \subset \mathcal{V}_{1,k}$
- (b) $V_\beta|_{\mathcal{S}_\beta} \subset V_\alpha|_{\mathcal{S}_\beta}$ if $\overline{\mathcal{S}}_\alpha \cap \mathcal{S}_\beta \neq \emptyset$
- (c) $\text{rk}_{\mathbb{C}} \mathcal{V}_\alpha > \dim_{\mathbb{C}} \mathcal{S}_\alpha - (m-n)$

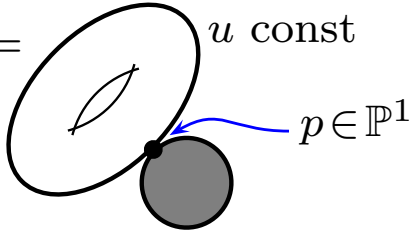
\implies if φ is a generic section of $\mathcal{V}_{1,k} \longrightarrow \overline{\mathfrak{m}}_{1,k}^0(\mathbb{P}^l, d)$,
then $\dim_{\mathbb{R}} \varphi^{-1}(0) \cap \mathcal{S}_\alpha \leq 2(m-n) - 2$

Meaning of Proposition

$$\text{rk}_{\mathbb{C}} \mathcal{V}_{1,k}|_{\overline{\mathfrak{m}}_{1,k}^0(\mathbb{P}^l, d)} = n$$

if $\dim_{\mathbb{C}} H^0(\Sigma; u^* \mathcal{L}) = n+1$, can find large-dim subspace of sections that extend over a neighb. in $\overline{\mathfrak{m}}_{1,k}^0(\mathbb{P}^l, d)$

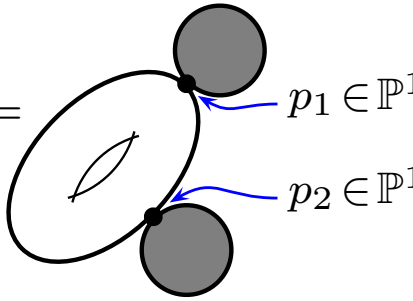
Example 1

$\Sigma =$

 $u \text{ const} \quad (\Sigma, u) \in \overline{\mathfrak{M}}_{1,k}^0(\mathbb{P}^l, d) \implies du|_p = 0$
 $\implies \dim_{\mathbb{C}} \mathcal{S}_\alpha = m - 1$

$$V_\alpha|_{(\Sigma, u)} = \left\{ \xi \in H^0(\Sigma; u^* \mathcal{L}) : \underbrace{\nabla^{\mathcal{L}} \xi|_p}_{\text{indep. of } \nabla^{\mathcal{L}} \text{ in } \mathcal{L} \rightarrow \mathbb{P}^l \text{ b/c } du|_p = 0} = 0 \right\}$$

$$\text{rk}_{\mathbb{C}} V_\alpha|_{(\Sigma, u)} = (n+1) - 1 = n \quad \checkmark$$

Example 2

$\Sigma =$

 $p_1 \in \mathbb{P}^1 \quad \mathcal{S}_\alpha \equiv \{du|_{p_1} = 0, du|_{p_2} = 0\}$
 $p_2 \in \mathbb{P}^1 \quad \implies \dim_{\mathbb{C}} \mathcal{S}_\alpha = m - 2 - l$

$$V_\alpha|_{(\Sigma, u)} = \left\{ (\xi_1, \xi_2) \in H^0(\Sigma; u^* \mathcal{L}) : \nabla^{\mathcal{L}} \xi_i|_{p_i} = 0 \right\}$$

$$\text{rk}_{\mathbb{C}} V_\alpha|_{(\Sigma, u)} = (n+1) - 2 = n - 1 \quad \checkmark$$