

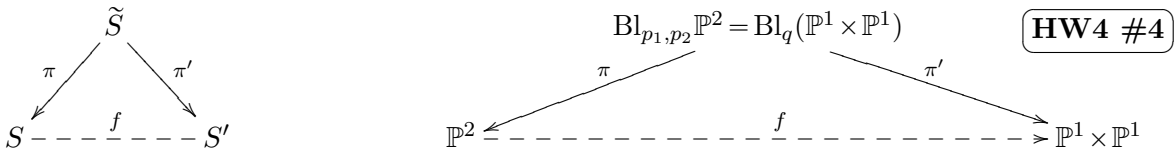
MAT 644: Complex Curves and Surfaces

Notes for 04/20/20

Last time: $S = \mathbb{C}$ -surface, $M = \mathbb{C}$ -mfld

- (1) $f: S \dashrightarrow M$ is rational map if $f: S - F \rightarrow M$ is holomorphic for some finite $F \subset S$
- (2) rational $f: S \dashrightarrow S'$ is birational if $\exists g: S' \dashrightarrow S$ rational s.t. $g \circ f = \text{id}_S$ and $f \circ g = \text{id}_{S'}$ outside of divisors on S, S'

Prp: $S, S' =$ projective surface. Then $f: S \dashrightarrow S'$ is birational iff \exists blowups $\pi: \tilde{S} \rightarrow S$ and $\pi': \tilde{S} \rightarrow S'$ s.t. $\pi' = f \circ \pi$ wherever RHS is defined



$$[Z_0, Z_1, Z_2] \xrightarrow[p_1=[0,1,0], p_2=[0,0,1], q=[(0,1],[0,1])]{\text{blowup}} ([Z_0, Z_1], [Z_0, Z_2])$$

- S, S' birational \implies
- (1) $\pi_1(S) \approx \pi_1(S')$: π_1 does not change under blowups
 - (2) $h^{p,q}(S) = h^{p,q}(S')$ if $(p, q) \neq (1, 1)$: these $h^{p,q}$ do not change under blowups
 - (3) $P_n(S) = P_n(S')$: $H^0(K_S^{\otimes n}) \xrightarrow{\{d\pi\}^*} H^0(K_{\tilde{S}}^{\otimes n})$ isomor. if $\pi: \tilde{S} \rightarrow S$ is blowup
- $P_n(S) \equiv \dim H^0(K_S^{\otimes n})$ n -th pluri-genus, $P_1(S) = h^{2,0}(S)$ if S is cmpt Kähler

Today: Rational Surfaces

Dfn: Projective surface S is rational if S is birational to \mathbb{P}^2
 $\implies S =$ cmpt connected, $\pi_1(S) = 0$, $P_n(S) \equiv \dim H^0(K_S^{\otimes n}) = 0 \forall n \in \mathbb{Z}^+$

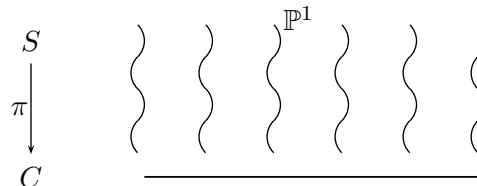
Next Monday (?): converse to (weaker version of) this, Castelnuovo-Enriques Thm

Examples: $\mathbb{P}^2, \mathbb{P}^1 \times \mathbb{P}^1$ minimal (no exceptional curves); blowups of these

Today: more minimal rational surfaces

Dfn: \mathbb{C} -mfld S is ruled if \exists holomor. $\pi: S \rightarrow C$ s.t. $\pi^{-1}(p) \approx \mathbb{P}^1 \forall p \in C$
 (can assume $\dim_{\mathbb{C}} S = 2 \implies \dim_{\mathbb{C}} C = 1$)

Example 0: $S = C \times \mathbb{P}^1$



Lemma 1: If $\pi: S \rightarrow C$ is holomor. s.t. $\pi^{-1}(p) \approx \mathbb{P}^1 \forall p \in C$ and $\dim_{\mathbb{C}} S = 2$ (or π is submersion), then π is a \mathbb{P}^1 -bundle over C ($\pi: S \rightarrow C$ locally trivial).

Need to show: $\forall p \in C, \exists$ neighborhood $U_p \subset C$ of p and holomorphic trivialization Φ_p of $S|_{U_p}$:

$$\begin{array}{ccc} S|_{U_p} & \overset{\Phi_p}{\dashv\approx} & U_p \times \mathbb{P}^1 \\ \pi \searrow & & \swarrow \pi_1 \\ & U_p & \end{array}$$

No problem in smooth category if π is submersion:

$$\begin{aligned} \text{horizontal lifts of paths } \Phi_p &= \exp: \mathcal{N}_S S_p \approx S_p \times T_p C \rightarrow S \\ \mathcal{N}_S S_p &\equiv \text{normal bundle of } S_p \text{ in } S \end{aligned}$$

Claim: if $\dim_{\mathbb{C}} S = 2$, then π is submersion

Proof of “Need to show” in holomor. category.

Choose positive l.b. $L \rightarrow S$ s.t. $H^1(S; L(-S_p)) = 0$ (can do by Thm B, G&H p159)

(if $\dim_{\mathbb{C}} S > 2$, want $H^1(S; \mathcal{I}_{S_p}(L)) = 0$ with $\mathcal{I}_{S_p} \equiv \{f \in \mathcal{O}_S : f|_{S_p} = 0\}$)

L positive $\implies L|_{S_p} = \mathcal{O}_{\mathbb{P}^1}(d)$ with $d \in \mathbb{Z}^+$ ($S_p \approx \mathbb{P}^1$)

π submersion $\implies [S_q] = [S_p] \in H_2(S; \mathbb{Z}) \forall q \in C$

$$\implies L|_{S_q} = \mathcal{O}_{\mathbb{P}^1}(d) \forall q \in C \quad \text{b/c } d = \langle c_1(L), S_q \rangle$$

$$H^1(S; L(-S_p)) = 0 \implies H^0(S; L) \rightarrow H^0(S_p; L|_{S_p}) \approx \mathbb{C}^{d+1} \text{ onto:}$$

$$0 \rightarrow \mathcal{O}_S(L(-S_p)) \rightarrow \mathcal{O}_S(L) \rightarrow \mathcal{O}_S(L_p)|_{S_p} \rightarrow 0$$

\therefore Can choose $\sigma_0, \dots, \sigma_d \in H^0(S; L)$ s.t. $\sigma_0|_{S_p}, \dots, \sigma_d|_{S_p}$ is basis for $H^0(S_p; L|_{S_p})$

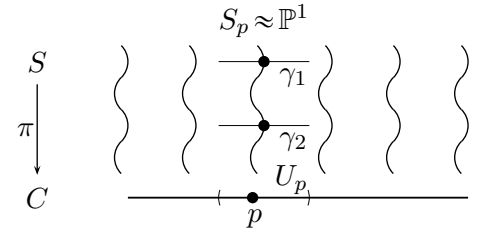
$\implies \sigma_0|_{S_q}, \dots, \sigma_d|_{S_q}$ is basis for $H^0(S_q; L|_{S_q}) \forall q \in C$ near p

$\implies \iota_q: S_q \hookrightarrow \mathbb{P}^d, x \mapsto [\sigma_0(x), \dots, \sigma_d(x)]$, is embedding as nondegen. degree d curve

\implies can choose $\tilde{p}_1, \dots, \tilde{p}_{d-1} \in S_p$ spanning $\mathbb{P}^{d-2} \subset \mathbb{P}^d$ ($\tilde{p}_i \neq \tilde{p}_j$)

Choose lifts $\gamma_i: (U_p, p) \rightarrow (S, \tilde{p}_i) \implies$ (i) $\gamma_i \bar{\cap} S_p$

(ii) $\iota_q(\gamma_1(q)), \dots, \iota_q(\gamma_{d-1}(q)) \in \mathbb{P}^d$ span some $H_q^2 \equiv \mathbb{P}^{d-2} \subset \mathbb{P}^d \forall q \in C$ near p



Pick $\mathbb{P}^1 \subset \mathbb{P}^d - H_q^2 \implies \mathbb{P}^1 \cap H_q^2 = \emptyset \forall q \in C$ near p

\implies get projection $\pi_q: \mathbb{P}^d - H_q^2 \rightarrow \mathbb{P}^1, x \mapsto (\overline{H_q^2 x}) \cap \mathbb{P}^1$

if $x, x' \in \iota_q(S_q) - H_q^2$ with $\pi(x) = \pi(x')$,

then H_q^2, x, x' lie in hypersurface $H \subset \mathbb{P}^n$ with $|\iota_q(S_q) \cap H| > d \implies \iota_q(S_q) \subset H \quad X$

Define $\Phi_p: S|_{U_p} \rightarrow U_p \times \mathbb{P}^1$ by $\Phi_p|_{S_q} \equiv \pi_q \circ \iota_q: S_q - \{\gamma_i(q)\} \xrightarrow{\text{hl}} \{q\} \times \mathbb{P}^1$

extends over $\{\gamma_i(q)\}$ b/c S_q is a curve; injective by above

Φ_p is holomorphic b/c π_q, ι_q depend holomor. on q □

Proof of Claim. Let $p \in C$.

$$\begin{aligned} [S_p] &= k[S_q] \in H_2(X; \mathbb{Q}) \text{ for some } k \in \mathbb{Z}^+ \text{ and generic } q \in C \implies \deg \mathcal{N}_S S_p = 0 \\ -2 &= K_S \cdot S_q = (K_S \cdot S_p)/k = (\langle K_{S_p}, S_p \rangle - \deg \mathcal{N}_S S_p)/k = -2/k \implies k = 1 \\ k &= \min\{l \in \mathbb{Z}^+ : D_x^l \pi \neq 0 \text{ for some } x \in S_p\} \implies (\mathcal{N}_S S_p)^{\otimes k} \longrightarrow S_p \times \mathbb{C} \text{ isom.} \end{aligned} \quad \square$$

Lemma 2: if $S \longrightarrow C$ is a \mathbb{P}^{k-1} -bundle and C is a smooth \mathbb{C} -curve,
then $\exists E \longrightarrow C$ holomor. v.b. of rank $k+1$ s.t. $S \approx \mathbb{P}E$ as \mathbb{P}^{k-1} -bundles over C .

Proof. $E \longleftrightarrow \{\tilde{g}_{\alpha\beta} : U_\alpha \cap U_\beta \longrightarrow \mathrm{GL}_k \mathbb{C}\} \in \check{H}^1(C; \mathrm{GL}_k \mathbb{C})$
 $S \longleftrightarrow \{g_{\alpha\beta} : U_\alpha \cap U_\beta \longrightarrow \mathrm{PGL}_k \mathbb{C}\} \in \check{H}^1(C; \mathrm{PGL}_k \mathbb{C})$
 \therefore enough to show $\check{H}^1(C; \mathrm{GL}_k \mathbb{C}) \longrightarrow \check{H}^1(C; \mathrm{PGL}_k \mathbb{C})$ onto

Note: $\check{H}^1(C; G) \equiv \check{H}^1$ for sheaf of holomorphic functions with values in group G
 $\mathrm{GL}_k \mathbb{C}, \mathrm{PGL}_k \mathbb{C}$ not abelian $\implies \check{H}^1(C; \cdot)$ not a group, $\check{H}^2(C; \cdot)$ not defined

Short exact sequence

$$\{1\} \longrightarrow \mathbb{C}^* \longrightarrow \mathrm{GL}_k \mathbb{C} \longrightarrow \mathrm{PGL}_k \mathbb{C} \longrightarrow \{1\}$$

of groups gives exact sequence in \check{H}^* :

$$\check{H}^1(C; \mathrm{GL}_k \mathbb{C}) \longrightarrow \check{H}^1(C; \mathrm{PGL}_k \mathbb{C}) \longrightarrow \check{H}^2(C; \mathbb{C}^*) \equiv \check{H}^2(C; \mathcal{O}^*)$$

Claim: $\check{H}^2(C; \mathcal{O}^*) = 0 \implies$ Lemma 2

Proof of Claim. Short exact sequence

$$\{0\} \longrightarrow \mathbb{Z} \longrightarrow \mathbb{C} \xrightarrow{\exp(2\pi i \cdot)} \mathbb{C}^* \longrightarrow \{1\}$$

of abelian groups gives exact sequence in \check{H}^* :

$$\check{H}^2(C; \mathbb{C}) \equiv \underbrace{\check{H}^2(C; \mathcal{O})}_{H^{0,2}(C) = \{0\}} \longrightarrow \check{H}^2(C; \mathbb{C}^*) \equiv \check{H}^2(C; \mathcal{O}^*) \longrightarrow \underbrace{\check{H}^3(C; \mathbb{Z})}_{H^3(C; \mathbb{Z}) = \{0\}}$$

More on \check{H}^1 with values in non-abelian groups: MAT 545 HW2 #6
 math/1905.11316 Appendix A

Cr1: if $S \longrightarrow \mathbb{P}^1$ is a projective ruled surface,
then $\exists k \in \mathbb{Z}^{\geq 0}$ s.t. $S \approx \mathbb{P}(\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(k)) \equiv \mathbb{F}_k$ (k -th Hirzebruch surface) as \mathbb{P}^1 -bundles over \mathbb{P}^1 .

Proof. Lemmas 1,2 $\implies S \approx E$ for some $E \longrightarrow \mathbb{P}^1$ holomor. v.b. of rank 2
 Grothendieck's Thm (MAT 545 HW6 #6) $\implies E \approx \underbrace{\mathcal{O}_{\mathbb{P}^1}(a) \oplus \mathcal{O}_{\mathbb{P}^1}(b)}_{\approx (\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(b-a)) \otimes \mathcal{O}_{\mathbb{P}^1}(a)}$ for some $a, b \in \mathbb{Z}, a \leq b$
 $\implies S \approx \mathbb{P}(\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(k))$ with $k \equiv b - a \in \mathbb{Z}^{\geq 0}$ □

Examples: $\mathbb{F}_0 \approx \mathbb{P}^1 \times \mathbb{P}^1$ (trivial)
 $\mathbb{F}_1 \approx \mathrm{Bl}_p \mathbb{P}^2$ (later)

Properties of Hirzebruch Surfaces $\pi: \mathbb{F}_k \equiv \mathbb{P}(\mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(k)) \longrightarrow \mathbb{P}^1$

(1) $\pi_1(\mathbb{F}_k) = \{0\}$: homotopy exact sequence of the fiber bundle $\mathbb{P}^1 \longrightarrow \mathbb{F}_k \longrightarrow \mathbb{P}^1$ and $\pi_1(\mathbb{P}^1) = \{0\}$

(2) $H^*(\mathbb{F}_k) \approx H^*(\mathbb{P}^1) \otimes H^*(\mathbb{P}^1)$ as graded \mathbb{Z} -modules (*not* algebras, unless $k=0$)

$$\implies h^{p,q}(\mathbb{F}_k) = \begin{cases} 1, & \text{if } (p, q) = (0, 0), (2, 2); \\ 2, & \text{if } (p, q) = (1, 1); \\ 0, & \text{otherwise.} \end{cases}$$

Reason for \approx . Let $E = \mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}(k)$,

$\tilde{\gamma} \equiv \{(\ell, v) \in \mathbb{P}E \times E : v \in \ell\} \longrightarrow \mathbb{P}E$ tautological line bundle,

$u \equiv c_1(\tilde{\gamma}^*) \in H^2(\mathbb{P}E; \mathbb{Z}) \implies \{1, u|_{\mathbb{P}E_p}\}$ is basis for $H^*(\mathbb{P}E_p; \mathbb{Z})$ for all $p \in C$

Take $\theta: \underbrace{\mathbb{Z}\{1, u\}}_{\mathbb{Z}\text{-Span of } 1, u} \longrightarrow H^*(\mathbb{P}E; \mathbb{Z})$ obvious map

$\mathbb{Z}\{1, u\} \xrightarrow{\theta} H^*(\mathbb{P}E; \mathbb{Z}) \longrightarrow H^*(\mathbb{P}E_p; \mathbb{Z})$ isom. $\forall p \in C$

$\therefore \theta$ is a cohomology extension of the fiber for fiber bundle $\mathbb{P}^1 \longrightarrow \mathbb{P}E \xrightarrow{\pi} \mathbb{P}^1$

Spanier Thm 5.7.9 (MAT 566?) $\implies H^*(\mathbb{P}^1; \mathbb{Z}) \otimes \mathbb{Z}\{1, u\} \longrightarrow H^*(\mathbb{P}E_p; \mathbb{Z})$, $\alpha \otimes \beta \longrightarrow (\pi^* \alpha) \cup \theta(\beta)$,
is isom. of graded \mathbb{Z} -modules

Note: $\tilde{\gamma} \subset \pi^* E \longrightarrow \mathbb{P}E \implies \tilde{\gamma}^* \otimes \pi^* E$ contains trivial \mathbb{C} -subbundle

$$\implies u^2 + (\pi^* c_1(E))u + \pi^* c_2(E) = e(\tilde{\gamma}^* \otimes \pi^* E) = 0$$

$$\therefore H^*(\mathbb{P}^1; \mathbb{Z})[u] / (u^2 + c_1(E)u + c_2(E)) \longrightarrow H^*(\mathbb{P}E; \mathbb{Z}), \quad \alpha \otimes \beta \longrightarrow (\pi^* \alpha) \cup \theta(\beta),$$

is isomorphism of graded \mathbb{Z} -algebras (the product is preserved)