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<sup>1</sup> Heckmann, O., and E. Schücking, in *Gravitation: An Introduction to Current Research*, ed. L. Witten (New York: John Wiley and Sons, 1962).

<sup>2</sup> Taub, A. H., *Ann. Math.*, **53**, 472 (1951).

<sup>3</sup> Newman, E., L. Tamborino, and T. Unti, *J. Math. Phys.*, **4**, 915 (1963).

<sup>4</sup> Misner, C. W., *J. Math. Phys.*, **4**, 924 (1963); Misner, C. W., and A. H. Taub, *A Singularity-Free Empty Universe*, to appear.

<sup>5</sup> Lifshitz, E. M., and I. M. Khalatnikov, *Advan. Phys.*, **12**, 185 (1963), transl. by J. L. Beeby.

<sup>6</sup> Compare K. Gödel in *Proc. Intern. Congr. Math. 1950*, vol. 1, p. 175.

<sup>7</sup> Raychaudhuri, A., *Phys. Rev.*, **98**, 1123 (1955); also see ref. 1.

<sup>8</sup> Wright, J. P., MRC Tech. Summary Rept. No. 448, Math. Res. Cent., U.S. Army, Univ. of Wisconsin, December 1963.

<sup>9</sup> Compare the comments on the fall of a cloud of dust in connection with stellar collapse by J. A. Wheeler in *Relativity, Groups and Topology*, ed. C. DeWitt and B. DeWitt (New York: Gordon and Breach, 1964).

<sup>10</sup> Helgason, S., *Differential Geometry and Symmetric Spaces* (New York: Academic Press, 1962).

<sup>11</sup> The lemma, stated more fully, says that either the determinant of the metric is constant or else it goes to zero in a finite proper time; the option of a constant determinant is not allowed in a dust-filled space. The approach to zero determinant may be either toward the past or toward the future, and not necessarily in both directions. This lemma is proved by Lifshitz and Khalatnikov (ref. 5), who remark that this fact was originally pointed out by L. D. Landau (no ref. given). This lemma was proved by A. Komar, *Phys. Rev.*, **104**, 544 (1956), and in the special case of zero rotation by Raychaudhuri (ref. 7).

## DISTAL FUNCTIONS

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Let  $G$  be a fixed abelian topological group. A pair  $(X, G)$  is a flow if  $X$  is a compact Hausdorff space and if  $G$  acts on  $X$  in a jointly continuous manner. The flow is minimal<sup>1</sup> if every orbit is dense. It is distal<sup>2</sup> if whenever  $\{g_n\}$  is a net (i.e., a generalized sequence indexed by any directed set) in  $G$  with  $g_n x \rightarrow z$  and  $g_n y \rightarrow z$ , then  $x = y$ . Auslander and Hahn<sup>3</sup> introduced classes of distal and minimal functions defined on  $G$ . A distal function is any complex-valued function defined on  $G$  which is equivalent to the restriction to a single orbit of a continuous function defined on the space  $X$  of some distal flow  $(X, G)$ , and a minimal function on  $G$  arises in this manner from a minimal flow. Among other things, they proved that the distal functions on  $G$  form a Banach algebra (with the supremum norm), but, for instance, the minimal functions on the additive group of reals are not closed under addition. Earlier work of Ellis<sup>2</sup> implied that almost periodic functions are distal and that distal functions are minimal.

The purpose of the present note is to announce two structure theorems for

distal functions (Theorems 2 and 3) when  $G$  is locally compact abelian and has a countable dense set.

We shall give our own definition of distal function, which is equivalent to Auslander's and Hahn's. Following an idea suggested by the approach in a paper of Bochner's,<sup>4</sup> we consider nets  $\{g_n\}$  in  $G$  such that, for every  $f$  in the set  $UC$  of bounded uniformly continuous complex-valued functions on  $G$ , the limit

$$\lim f(g + g_n)$$

exists for all  $g$  in  $G$ . (Here, plus denotes group addition.) Two such nets are equivalent if they yield the same limit for every such  $f$  and for all  $g$ . Then each equivalence class defines a *shift operator* which maps  $f$  into its limit function. Shift operators are denoted  $T_\alpha, T_\beta$ , etc. Each shift operator is a homomorphism of norm one of  $UC$  into itself. With this notation  $f$  is *distal* if  $T_\alpha T_\beta f = T_\alpha T_\gamma f$  always implies  $T_\beta f = T_\gamma f$ , and  $f$  is *minimal* if for any  $T_\alpha$  there is a  $T_\beta$  such that  $T_\beta T_\alpha f = f$ . A *distal algebra* is a group-invariant conjugate-closed Banach algebra of distal functions containing the constants.<sup>5</sup>

We call a shift operator  $T_u$  idempotent if  $T_u T_u = T_u$ , and we call  $T_u$  minimal if  $T_u f$  is minimal for every  $f$  in  $UC$ .

**THEOREM 1.** *If  $f$  is in  $UC$ , then  $f$  is distal if and only if  $T_u T_\alpha f = T_\alpha f$  for every  $T_\alpha$  and for every  $T_u$  which is both minimal and idempotent.*

Theorem 1 gives an alternate proof of Auslander's and Hahn's observation that the distal functions form a Banach algebra and appears to be useful in relating distal functions to differential equations.

A standard argument with nets shows that the set  $S$  of shift operators is closed under composition. We topologize  $S$  by saying that  $\lim T_{\alpha_n} = T_\alpha$  if  $\lim T_{\alpha_n} f = T_\alpha f$  pointwise for every  $f$  in  $UC$ . Then  $S$  is a semigroup and a compact Hausdorff space, and the map  $T_\alpha \rightarrow T_\alpha T_\beta$  is continuous for fixed  $T_\beta$ .

We say that  $f$  is *almost periodic* (a.p.) over a distal algebra  $B$  if  $f$  is distal and if furthermore

$$\lim T_{\alpha_n} T_{\delta_n} f = T_\alpha T_\delta f \tag{1}$$

pointwise whenever

$$T_{\alpha_n} \rightarrow T_\alpha \text{ and } T_{\delta_n} \rightarrow T_\delta \tag{2a}$$

and

$$T_{\delta_n} h = T_\delta h = h \tag{2b}$$

for all  $h$  in  $B$  and for all  $n$ . A distal algebra  $A$  is a.p. over  $B$  if  $A \supseteq B$  and if every function in  $A$  is a.p. over  $B$ . The functions a.p. over  $B$  form a distal algebra containing  $B$ . The functions a.p. over the constants are exactly the ordinary almost periodic functions; in fact, when  $B$  is the algebra of constants, condition (2b) is trivially fulfilled by every shift operator, and equation (1) is then equivalent with the characterization

$$T_\alpha T_\beta f = T_{\beta+\alpha} f$$

given by Bochner.<sup>4</sup>

The proof of the following theorem involves (1) the main theorem in a paper

of Furstenberg's,<sup>6</sup> (2) a suitable elaboration of this theorem, and (3) the equivalence between almost periodicity of one separable distal algebra over another and the notion of isometric extension discussed in the same paper.<sup>6</sup>

**THEOREM 2.** *If  $A$  is a distal algebra, then there exist an ordinal  $\nu$  and a system  $\{A_\xi\}$  of distal subalgebras of  $A$ , indexed by all ordinals  $\xi \leq \nu$ , such that*

- (1)  $A_0$  is the algebra of constant functions, and  $A_\nu = A$ ;
- (2) if  $\xi \leq \xi'$ , then  $A_\xi \leq A_{\xi'}$ ;
- (3) if  $\xi < \nu$ , then  $A_{\xi+1}$  is a.p. over  $A_\xi$ ;
- (4) if  $\xi$  is a limit ordinal  $\leq \nu$ , then  $A_\xi = \text{closure} \left( \bigcup_{\eta < \xi} A_\eta \right)$ .

The effect of Theorem 2 is to reduce the problem of total analysis of a distal algebra to a problem of relative analysis, namely, of a distal subalgebra  $A$  a.p. over a smaller distal subalgebra  $B$ . Theorem 3 will show that for this situation there exists a sort of Fourier analysis of  $A$  with coefficients in  $B$ .

Let  $A$  and  $B$  be distal algebras with  $A$  a.p. over  $B$ . The Fourier analysis that we shall do will be an analysis of the action of  $G$  on  $A$ , but in describing it we shall introduce some auxiliary groups  $I_z$ , which will disappear before the statement of Theorem 3. Let  $M(A)$  and  $M(B)$  be the maximal ideal spaces of  $A$  and  $B$ , let  $\pi$  be the projection of  $M(A)$  onto  $M(B)$ , let  $x_0$  be the evaluation-at-zero element of  $M(A)$ , and let  $z_0 = \pi(x_0)$ . Then  $A$  is canonically identified with the set of all continuous functions on  $M(A)$ . Any net  $\{g_n\}$  that defines a shift operator  $T_\alpha$  on functions also defines a shift operator on points of  $M(A)$  under the definition  $T_\alpha x = \lim g_n x$ ,<sup>7</sup> and again the shift operators have a semigroup structure and a compact topological structure. Let  $z \in M(B)$ . The definition of almost periodicity of  $A$  over  $B$  implies that the set of all shift operators which map  $\pi^{-1}(z)$  into itself forms a compact group called  $I_z$ , provided we identify shift operators which have the same action on  $\pi^{-1}(z)$ . (Although  $G$  is, by assumption, abelian, the groups  $I_z$  are ordinarily nonabelian.) There exists at least one  $T_\gamma$  with  $T_\gamma x_0 \in \pi^{-1}(z)$ . For a fixed such  $T_\gamma$  and for varying  $T_\delta$ , the map  $\varphi_\gamma(T_\delta) = T_\gamma T_\delta T_\gamma^{-1}$  induces a topological isomorphism of  $I_{z_0}$  onto  $I_z$ , and any two such maps (for different choices of  $T_\gamma$ ) differ by an inner automorphism of  $I_z$ . Let  $\Lambda = \{\lambda\}$  be the set of equivalence classes of irreducible finite-dimensional unitary representations of  $I_{z_0}$ , let their traces be  $\chi_\lambda(t)$ ,  $t \in I_{z_0}$ , and let their degrees be  $\alpha_\lambda$ . The map  $\varphi_\lambda$  of  $I_{z_0}$  into  $I_z$  defined above maps these representations into the corresponding set for  $I_z$ . Now if  $T_\gamma x_0 = T_{\gamma'} x_0$ , then the facts that  $\varphi_\gamma$  and  $\varphi_{\gamma'}$  differ by an inner automorphism and that equivalence classes of representations are invariant under conjugates imply that the mappings of representations induced by  $\varphi_\gamma$  and  $\varphi_{\gamma'}$  are identical. Thus for fixed  $\lambda$ , we can speak unambiguously of  $\chi_\lambda$  and  $\alpha_\lambda$  as associated to all  $I_z$ .

If  $f$  is a continuous function on  $M(A)$ , i.e., if  $f$  restricts to a member of  $A$ , we define  $P_\lambda f$  on  $M(A)$  by

$$P_\lambda f(x) = \alpha_\lambda \int_{t \in I_{\pi(x)}} \overline{\chi_\lambda(t)} f(tx) dt,$$

where  $dt$  is normalized Haar measure on  $I_{\pi(x)}$ . When  $\lambda = \lambda_0$  is the class of the trivial representation,  $\chi_{\lambda_0}(t)$  is identically one, and  $P_{\lambda_0} f$  reduces to a constant on each fiber  $\pi^{-1}(z)$  because  $I_z$  acts transitively on  $\pi^{-1}(z)$ . We define

$$E(f|B)(z) = P_{\lambda_0} f(x) \text{ if } z \in M(B) \text{ and } \pi(x) = z.$$

The functional  $E(\cdot|B)$  has all the properties of a conditional integral, and  $E(f|B)$  turns out to be a continuous function on  $M(B)$  and hence restricts to a member of  $B$ .

**THEOREM 3.** *If  $A$  is a.p. over  $B$ , then for each  $\lambda$  in  $\Lambda$ :*

- (1)  $P_\lambda$  maps the set of continuous functions on  $M(A)$  into itself, and  $P_\lambda^2 = P_\lambda$ ;
  - (2) image  $(P_\lambda)$  is a  $G$ -invariant unitary finitely-generated  $B$ -module, and image  $(P_\lambda)$  contains no proper nonzero  $G$ -invariant  $B$ -submodule.
- If, in addition,  $f$  and  $g$  are continuous on  $M(A)$ , then
- (3) (Orthogonality relation) If  $\lambda \neq \sigma$ , then  $E((P_\lambda f)(\overline{P_\sigma g})|B) = 0$ .
  - (4) (Parseval's equality)  $P_\lambda f$  is identically zero except for countably many  $\lambda$ , say  $\lambda_1, \lambda_2, \dots$ . Moreover,

$$E(|f|^2|B) = \sum_{k=1}^{\infty} E(|P_{\lambda_k} f|^2|B),$$

where the series on the right converges uniformly on  $M(B)$ .

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<sup>1</sup> Gottschalk, W. H., and G. A. Hedlund, "Topological dynamics," *Amer. Math. Soc. Colloq. Publ.*, **36**, 14 (1955).

<sup>2</sup> Ellis, R., "Distal transformation groups," *Pacific J. Math.*, **8**, 401-405 (1958).

<sup>3</sup> Auslander, L., and F. Hahn, "Real functions coming from flows on compact spaces and concepts of almost periodicity," *Trans. Amer. Math. Soc.*, **106**, 415-426 (1963).

<sup>4</sup> Bochner, S., "A new approach to almost periodicity," these PROCEEDINGS, **48**, 2039-2043 (1962).

<sup>5</sup> Although a distal algebra is assumed closed under group translation, it does not have to be invariant under the shift operators.

<sup>6</sup> Furstenberg, H., "The structure of distal flows," *Amer. J. Math.*, **85**, 477-515 (1963).

<sup>7</sup> More than one shift operator on functions may define the same shift operator on points of  $M(A)$ .

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## FUNDAMENTAL PROPERTIES OF GENERALIZED SPLINES

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1. *Introduction.*—A spline function of a single variable (more simply, a spline), defined on an interval  $[a, b]$  of the real line, is composed of segments of polynomial functions of degree  $2n - 1$  so joined that the resulting composite function is of class  $C^{2n-2} [a, b]$ . The cubic spline function ( $n = 2$ ) represents the analytic counterpart of the draftsman's spline in consequence of the small deflection property of beams.

In 1946, Schoenberg<sup>1</sup> studied the use of splines in the smoothing of equidistant