

Dynamics of Certain Smooth One-dimensional Mappings

I. The $C^{1+\alpha}$ -Denjoy-Koebe distortion lemma

Yunping Jiang

Institute for Mathematical Sciences, SUNY at Stony Brook
Stony Brook, L.I., NY 11794

June 24, 1990

Abstract

We prove a technical lemma, the $C^{1+\alpha}$ -Denjoy-Koebe distortion lemma, estimating the distortion of a long composition of a $C^{1+\alpha}$ one-dimensional mapping $f : M \mapsto M$ with finitely many, non-recurrent, power law critical points. The proof of this lemma combines the ideas of the distortion lemmas of Denjoy and Koebe.

Contents

- §1 Introduction.
- §2 A Very Good Mapping.
 - §2.1 a power law critical point.
 - §2.2 The new differentiable structure associated with a semi-good mapping.
 - §2.3 The definition of a very good mapping.
- §3 The Distortion Of A Long Composition Of A Very Good Mapping.
 - §3.1 The naive distortion lemma.
 - §3.2 The proof of $C^{1+\alpha}$ -Denjoy-Koebe distortion lemma.
 - §3.3 A larger class of one-dimensional mappings.

§1 Introduction

There are two techniques in studying the distortion of a long composition of a one-dimensional smooth mapping.

“Denjoy Principle”: One technique goes back to Denjoy. Many people have contributed to this technique [D], [S], [N1], [N2], [N3], [H], [M], etc.. We call one of the formulations of this technique the naive distortion lemma because its proof is straightforward – any one, who has been trained in Calculus, will understand the proof ([J1], p25–26, or Lemma 3 in this paper). The naive distortion lemma is one of the key lemmas in studying a long composition of a one-dimensional $C^{1+\alpha}$ -endomorphism.

“Koebe principle”: The second technique was found in recent years in studying a long composition of a mapping with critical points from a one-dimensional manifold to itself. Many people formulated this principle in different ways, [GS], [Su1], [Su2], [WS], [Sw], etc.. We call one version the C^3 -Koebe distortion lemma (see also [J1, p26–27] for a complete proof). I learned this from Sullivan, who invented the name “Koebe principle” in analogy with the Koebe lemma in one variable complex analytic functions. We consider the nonlinearity of a C^2 -function f on an interval I as a one-form

$$n(f) = \frac{f''}{f'} dx.$$

If the nonlinearity of the function f is integrable on I , then the distortion $|f'(x)/f'(y)|$ of f at any pair x and y in I is bounded. The problem is that the nonlinearity of f may be non-integrable if f has a critical point. The C^3 -Koebe distortion lemma estimates the nonlinearity of a one-dimensional C^3 -mapping f with nonnegative Schwarzian derivative. This property, nonnegative Schwarzian derivative, is preserved under composition, which makes the C^3 -Koebe distortion lemma a very useful technique in studying a long composition of a one-dimensional C^3 -mapping with nonpositive Schwarzian derivative (its inverse branches have nonnegative Schwarzian derivatives). However, the assumption of nonpositive Schwarzian derivative is a very strong one.

What we would like to say in this paper. We prove a technical lemma, the $C^{1+\alpha}$ -Denjoy-Koebe distortion lemma, estimating the dis-

tortion of a long composition of a one-dimensional $C^{1+\alpha}$ -mapping with finitely many non-recurrent critical points of certain types. The formulation and the proof of this lemma combine the ideas of the distortion lemmas of Denjoy and Koebe.

Suppose M is an oriented connected one-dimensional C^2 -Riemannian manifold with Riemannian metric dx^2 and associated length element dx . Suppose $f : M \mapsto M$ is a continuous mapping. A critical point c of f is a point in M such that either f is not differentiable at this point or f is differentiable at this point with zero derivative. We always assume that f is C^1 at a noncritical point p , namely there is a neighborhood U_p of p such that the restriction of f to U_p is differentiable and the derivative $(f|_{U_p})'$ is continuous. We call the image of a critical point under f a critical value of f . We say a critical point c of f is a power law critical point if it is an isolated critical point and there is a number $\gamma \geq 1$ such that the limits of ratio, $f'(x)/|x - c|^{\gamma-1}$, exist and equal nonzero numbers as x goes to c from below and from above. We call the number γ the exponent of f at the power law critical point c . We will assume that $f : \bar{M} \mapsto \bar{M}$ is a C^1 -mapping for we are only interested in a smooth critical point of f .

For a C^1 -mapping $f : M \mapsto M$ with only power law critical points such that the set of critical points and the set of critical values of f are disjoint, we define a new differentiable structure on the underlying space M . This new differentiable structure associated with the mapping f has the local parameter $\int dx/|x|^\tau$, where $\tau = 1 - 1/\gamma$, on a neighborhood of a critical value of f if the corresponding critical point has the exponent γ . On a neighborhood of any other point, the new differentiable structure has the local parameter $\int \rho(x)dx$ where $\rho(x)$ is a positive C^2 -function. *With respect to the new differentiable structure, the left and the right derivatives of f at any critical point exist and equal nonzero numbers* (see Figure 1). We call the original differentiable structure the old one.

We use the oriented connected one-dimensional smooth manifolds M and \tilde{M} , which are the same topological space but with the old and the new differentiable structures, respectively, to study the dynamics of the mapping $f : M \mapsto M$: the distortions of a long composition of a one-dimensional $C^{1+\alpha}$ -mapping $f : M \mapsto M$ with only finitely many,

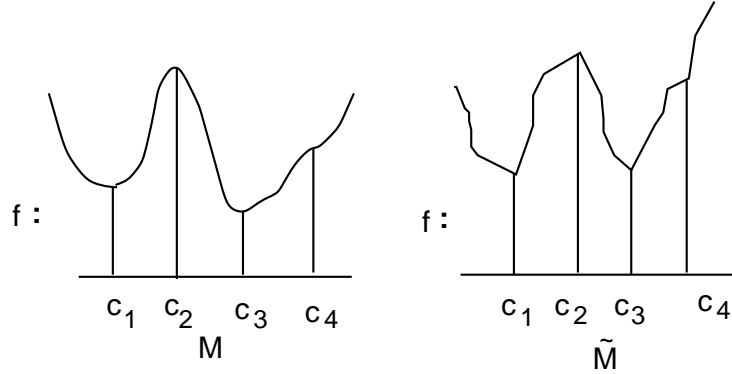


Figure 1

non-recurrent, power law critical points has an estimate like that in the naive distortion lemma and that in the C^3 -Koebe distortion lemma.

Acknowledgement. The preparation of this manuscript was completed in the Graduate Center of CUNY and the IMS in SUNY at Stony Brook. It is a pleasure for me to thank D. Sullivan for many useful discussions and G. Swiatek and E. Cawley for reading the manuscript. I want to thank J. Milnor for reading and correcting the manuscript and for his very helpful remarks, suggestions and criticisms of this and other my manuscripts.

§2 A Very Good Mappings

Suppose M is an oriented connected one-dimensional C^2 -Riemannian manifold with Riemannian metric dx^2 and associated length element dx . Suppose $f : M \rightarrow M$ is a continuous mapping. A critical point c of f is a point in M such that

- (a) f is not differentiable at this point or
- (b) f is differentiable at this point but the derivative of f at this point is zero.

We always assume that f is C^1 at a noncritical point p , namely there is a neighborhood U_p of p such that the restriction of f to U_p is differentiable and the derivative $(f|_{U_p})'$ is continuous. We call the

image of a critical point under f a critical value of f .

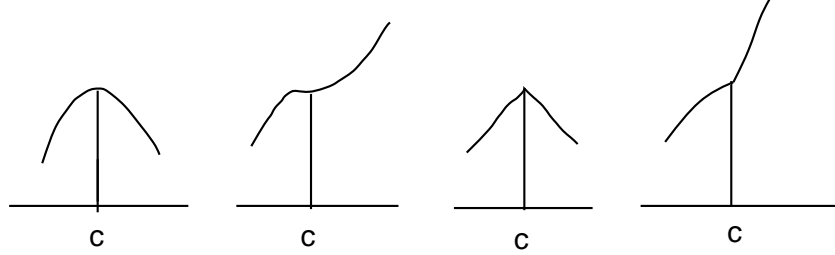
§2.1 A power law critical point.

We give a definition of a power law critical point for the one-dimensional mapping $f : M \mapsto M$ as follows.

DEFINITION 1. *Suppose c is an isolated critical point of f and suppose there are $\gamma^-, \gamma^+ \geq 1$ such that*

$$\lim_{x \rightarrow c^-} f'(x)/|x - c|^{\gamma^- - 1} = A \text{ and } \lim_{x \rightarrow c^+} f'(x)/|x - c|^{\gamma^+ - 1} = B$$

exist and equal nonzero numbers. Then we say that c is a power law critical point with the left and right exponents γ^- and γ^+ .



Examples of power law critical points

Figure 2

The following is essentially proved in [J4] (see [J5], too).

PRELIMINARY LEMMA. *Suppose $f : M \mapsto M$ is a continuous mapping and c is a power law critical point with the left and right exponents γ^- and γ^+ . Then there is a continuous mapping $\tilde{f} : M \mapsto M$ and a real number $\sigma \neq 0$ such that*

(a) *the mapping \tilde{f} either has the form*

$$\tilde{f} = \begin{cases} -\sigma|x - c|^{\gamma^-} + f(c) & x \leq c, \\ |x - c|^{\gamma^+} + f(c) & x \geq c \end{cases} \text{ or } \tilde{f} = \begin{cases} \sigma|x - c|^{\gamma^-} + f(c) & x \leq c, \\ -|x - c|^{\gamma^+} + f(c) & x \geq c \end{cases}$$

where x is in a small neighborhood of c ,

(b) *the mapping f is semi-conjugate to the mapping \tilde{f} . This means that there is a monotone and continuous mapping h from M onto M such that*

$$h \circ f = \tilde{f} \circ h$$

and h is differentiable at c with $h'(c) > 0$.

Moreover,

(i) if f is $C^{1+\alpha}$ on $x \leq c$ and on $x \geq c$ for some $0 < \alpha \leq 1$, and $r_-(x) = f'(x)/|x - c|^{\gamma^- - 1}$, $x \leq c$, and $r_+(x) = f'(x)/|x - c|^{\gamma^+ - 1}$, $x \geq c$, are C^β for some $0 < \beta \leq 1$, where x is in a small neighborhood of c , then the mapping h can be an orientation-preserving C^1 -diffeomorphism.

(ii) The mapping h can be an orientation-preserving $C^{1,1}$ or C^2 -diffeomorphism if and only if f is $C^{1,1}$ or C^2 on $x \leq c$ and $x \geq c$, and $r_-(x)$ and $r_+(x)$ are Lipschitz or C^1 , where x is in a small neighborhood of c .

Remark. For a power law critical point of f , the left and right exponents are C^1 -invariants. By this we mean that they are the same numbers for f and for $h \circ f \circ h^{-1}$ whenever h is an orientation-preserving C^1 -diffeomorphism. When the left and right exponents are the same, we then have an important C^1 -invariant

$$\sigma = \lim_{x \rightarrow c^-} \frac{f'(x)}{f'(-x + 2c)}$$

which we call the asymmetry of f at c . The number σ in Preliminary Lemma is the asymmetry. In the paper [J1], we showed that the asymmetry is an independent C^1 -invariant.

§2.2 The new differentiable structure associated with a semi-good mapping.

Although the results in the rest of the paper hold for a mapping f with both smooth and non-smooth critical points, but we are only interested in a smooth critical point of f . Henceforth we will assume that $f : M \mapsto M$ is a C^1 -mapping. Moreover we will assume that the left and right exponents of f at a power law critical point are the same.

DEFINITION 2. We say f is a semi-good mapping if

- (I) the mapping f has only finitely many power law critical points,
- (II) the set of critical points and the set of critical values of f are disjoint, and if
- (III) the exponents of f at two critical points are the same whenever the images of these two points under f are the same.

Suppose $f : M \mapsto M$ is a semi-good mapping. Let $CP = \{c_1, \dots, c_d\}$ be the set of critical points of f and $\Gamma = \{\gamma_1, \dots, \gamma_d\}$ be the set of corresponding exponents. We define a new differentiable structure associated with f as follows.

Suppose $\Phi = \{(w_j, W_j)\}_{j \in \Lambda}$ is a C^2 -atlas of M , this means that $\{W_j\}_{j \in \Lambda}$ is a cover of open sets of M and $\{w_j : W_j \mapsto \mathbf{R}^1\}_{j \in \Lambda}$ is a set of homeomorphisms such that every $w_{jk} = w_j \circ w_k^{-1}$ is a C^2 -function whenever W_j and W_k are overlap. Suppose every critical value $v_i = f(c_i)$ is in one and only one chart (w_i, W_i) and w_i maps the critical value v_i to 0. For every critical value $v_i = f(c_i)$, we use $k_i(x)$ to denote the homeomorphism $\int_0^x dx/|x|^{\tau_i} : \mathbf{R}^1 \mapsto \mathbf{R}^1$ where $\tau_i = 1 - 1/\gamma_i$. Let $\tilde{w}_j = k_i \circ w_i$ if $W_j = W_i$ contains a critical value $v_i = f(c_i)$ and $\tilde{w}_j = w_j$ if W_j does not contain any critical values. The set $\tilde{\Phi} = \{(\tilde{w}_j, W_j)\}$ is another C^2 -atlas of M . We call the maximal C^2 -atlas of M which contains the set $\tilde{\Phi} = \{(\tilde{w}_j, W_j)\}$ the new differentiable structure associated with f on M . We denote the topological space M equipped with this new differentiable structure as a differentiable manifold \tilde{M} .

It is often convenient to think the new differentiable structure associated with f as a singular metric $\rho(x)dx$ with respect to dx and the mapping $h = \int \rho(x)dx : M \mapsto M$ as the corresponding change of coordinate on M . The mapping $\tilde{f} = h \circ f \circ h^{-1} : M \mapsto M$ is the representation of the mapping $f : \tilde{M} \mapsto \tilde{M}$.

LEMMA 1. *Suppose $f : M \mapsto M$ is a semi-good mapping and CP is the set of critical points of f . Then the mapping $f : \tilde{M} \mapsto \tilde{M}$ is a continuous mapping and at every point $c_i \in CP$, the left and right derivatives of $f : \tilde{M} \mapsto \tilde{M}$ exist and equal nonzero numbers.*

Proof. The proof of this lemma is easy. The reader may do it as an exercise or refer to the proof in [J1, p21].

§2.3 The definition of a very good mapping.

We define a very good mapping. Before to give the definition of a very good mapping, we define the term $C^{1+\alpha}$ for a real number $0 < \alpha \leq 1$ and a semi-good mapping.

Suppose $f : M \mapsto M$ is a semi-good mapping. Let CP be the set of critical points of f . Suppose η_0 is the set of the closures of the intervals

of the complement of CP . We say a homeomorphism $g : I \mapsto J$ is a $C^{1+\alpha}$ -embedding for some $0 < \alpha \leq 1$ if g and g^{-1} are both differentiable with α -Hölder continuous derivatives.

DEFINITION 3. *we say f is $C^{1+\alpha}$ for some $0 < \alpha \leq 1$ if*

(1) *the restriction of f to every interval in η_0 is differentiable with α -Hölder continuous derivative,*

(2) *for every critical point c_i , there is a neighborhood U_i of c_i such that the restrictions of $f : \tilde{M} \mapsto \tilde{M}$ to the intersection of U_i and $\{x \leq c_i\}$ and the intersection of U_i and $\{x \geq c_i\}$ are $C^{1+\alpha}$ -embeddings.*

We will assume that U_i is a closed interval for every $i = 1, \dots, d$. Suppose \mathcal{U} be the union $\cup_{i=1}^d U_i$ and \mathcal{V} be the closure of the complement of \mathcal{U} in M .

DEFINITION 4. *A C^1 -mapping $f : M \mapsto M$ is a very good $C^{1+\alpha}$ -mapping (or a very good mapping) for some $0 < \alpha \leq 1$ if it is a semi-good mapping and satisfies*

(IV) *f is $C^{1+\alpha}$,*

(V) *the set CP of critical points and the closure of the post-critical orbits $\cup_{n=1}^{\infty} f^{on}(CP)$ are disjoint and*

(VI) *there are two constants $K > 0$ and $\nu > 1$ such that for any $\mathcal{O}_{x,n} = \{x, f(x), \dots, f^{o(n-1)}(x)\}$ with $\mathcal{O}_{x,n} \cap \mathcal{U} = \emptyset$, $|(f^{ok})'(x)| \geq K\nu^k$ for any $1 \leq k \leq n$.*

The space of good mappings is a quite large one, for example, it contains all C^3 semi-good mappings with nonpositive Schwarzian derivative and finitely many non-recurrent critical points (see, for example, [Mi], [MS] and [J2]).

§3 The Distortion Of A Long Composition Of A Very Good Mapping

Suppose $f : M \mapsto M$ is a very good $C^{1+\alpha}$ -mapping for some $0 < \alpha \leq 1$. We always assume that \mathcal{U} , the union of all U_i in Definition 3, is disjoint with the closure of the post-critical orbits $\cup_{n=1}^{\infty} f^{on}(CP)$. We use U_{i-} to denote the subset consisting of all points x in U_i with $x \leq c_i$ and use U_{i+} to denote the subset consisting of all points x in U_i with $x \geq c_i$. Let \mathcal{W} be the collection of all U_{i-} and U_{i+} . Remember that \mathcal{V} is the closure of the complement of \mathcal{U} in M . We say a sequence

$\mathcal{I} = \{I_j\}_{j=0}^n$ of intervals of M is suitable if

- (i) I_j is the image of I_{j+1} under f for $j = 0, \dots, n-1$ and
- (ii) either I_j is in \mathcal{V} or I_j is in some interval in \mathcal{W} for every $j = 0, \dots, n$.

For a suitable sequence $\mathcal{I} = \{I_j\}_{j=0}^n$ of intervals of M , we use g_j to denote the inverse of the restriction of $f^{\circ j}$ to I_j . For a pair of points x and y in I_0 , we use x_j and y_j to denote the images of x and y under g_j and call the ratio $|g'_n(x)|/|g'_n(y)|$ the distortion of f at x and y along \mathcal{I} . We use D_{xy} to denote the distance between $\{x, y\}$ and post-critical orbits $\cup_{n=1}^{\infty} f^{\circ n}(CP)$.

The main result of this paper is the following:

LEMMA 2 (the $C^{1+\alpha}$ -Denjoy-Koebe distortion lemma). *Suppose $f : M \mapsto M$ is a very good $C^{1+\alpha}$ -mapping for some $0 < \alpha \leq 1$. There are two positive constants A and B such that for any suitable sequence $\mathcal{I} = \{I_j\}_{j=0}^n$ of intervals of M and any pair x and y in I_0 , the distortion of f at x and y along \mathcal{I} satisfies*

$$\frac{|g'_n(x)|}{|g'_n(y)|} \leq \exp\left(A \sum_{i=0}^n |x_i - y_i|^\alpha + \frac{B|x - y|}{D_{xy}}\right).$$

§3.1. The naive distortion lemma.

Before to prove Lemma 2, we state the naive distortion lemma. Suppose $g : U \mapsto M$ is a $C^{1+\alpha}$ -mapping for some $0 < \alpha \leq 1$ where U is an interval of M . Let K be the α -Hölder constant of the derivative of g , this means that K is the smallest positive constant such that

$$|g'(x) - g'(y)| \leq K|x - y|^\alpha$$

for all x and y in U . Suppose $\{I_j\}_{j=1}^n$ is a sequence of intervals of U and x_i and y_i are two points in I_j for $1 \leq j \leq n$. We also call the product of ratios $\prod_{j=1}^n |g'(x_j)|/|g'(y_j)|$ the distortion of g at $\{x_j\}_{j=1}^n$ and $\{y_j\}_{j=1}^n$. Let β be the minimum of $|g'|$ on $\cup_{j=0}^n I_j$.

LEMMA 3 (the naive distortion lemma). *The distortion of g at $\{x_j\}_{j=1}^n$ and $\{y_j\}_{j=1}^n$ satisfies*

$$\prod_{j=1}^n \frac{|g'(x_j)|}{|g'(y_j)|} \leq \exp\left(\frac{K}{\beta} \sum_{j=0}^n |x_j - y_j|^\alpha\right).$$

Proof. Take the function $\log x$ at $\prod_{j=1}^n |g'(x_j)|/|g'(y_j)|$, we have

$$\log \left(\prod_{j=1}^n \frac{|g'(x_j)|}{|g'(y_j)|} \right) = \sum_{j=1}^n \left(\log |g'(x_j)| - \log |g'(y_j)| \right).$$

Because $\log x$ is Lipschitz continuous with the Lipschitz constant $1/\beta$ on the interval $[\beta, +\infty)$ and the α -Hölder constant of g' on U is K , we have that

$$\left| \sum_{j=0}^n \left(\log |g'(x_j)| - \log |g'(y_j)| \right) \right| \leq \frac{1}{\beta} \sum_{j=0}^n |g'(x_j) - g'(y_j)|$$

which is bounded above by $(K/\beta) \sum_{j=0}^n |x_j - y_j|^\alpha$.

§3.2 The proof of $C^{1+\alpha}$ -Denjoy-Koebe distortion lemma.

We call \mathcal{U} , the union of U_i for $i = 1, \dots, d$, the critical set and \mathcal{V} , the closure of the complement of \mathcal{U} in M , the noncritical set (see Figure 3). Let η_0 be the set of the closures of the intervals of the complement of the set CP of critical points of f in M . Let $\tilde{f} = h \circ f \circ h^{-1} : M \mapsto M$ be the representation of $f : \tilde{M} \mapsto \tilde{M}$, where h is the corresponding change of coordinate. Remember that $U_{i-} = U_i \cap \{x : x \leq c_i\}$ and $U_{i+} = U_i \cap \{x : x \geq c_i\}$.

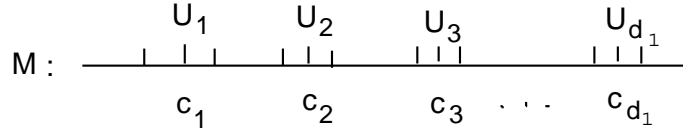


Figure 3

Let $K_1 > 0$ be the maximum of the α -Hölder constants of the derivatives of the restrictions of f to the intervals in η_0 and $\beta_1 > 0$ be the minimum of the absolute value of the restriction of the derivative f' of f to \mathcal{V} .

The restrictions of \tilde{f} to the sets U_{i-} and U_{i+} are $C^{1+\alpha}$ -embeddings for $i = 1, \dots, d$. Let $K_2 > 0$ be the maximum of the α -Hölder constants of the derivatives of these restrictions and $\beta_2 > 0$ be the minimum of the absolute value of the derivatives of these restrictions.

The restrictions of h to the intervals of \mathcal{U} are $C^{1,1}$. Let $K_3 > 0$ be the maximum of Lipschitz constants of the derivatives of these restrictions and $\beta_3 > 0$ be the minimum of the absolute value of the derivatives of these restrictions.

The distortion of f along \mathcal{I} at x and y satisfies

$$\frac{|g'_n(x)|}{|g'_n(y)|} = \frac{|(f^{\circ n})'(y_n)|}{|(f^{\circ n})'(x_n)|}.$$

By the chain rule, the ratio $|(f^{\circ n})'(y_n)|/|(f^{\circ n})'(x_n)|$ equals the product of ratios $|f'(y_{n-i})|/|f'(x_{n-i})|$ where i runs from 0 to $n-1$. This product can be factored into two products,

$$\prod_{x_i, y_i \in \mathcal{V}} \frac{|f'(y_i)|}{|f'(x_i)|} \quad \text{and} \quad \prod_{x_i, y_i \in \mathcal{U}} \frac{|f'(y_i)|}{|f'(x_i)|}.$$

We note that the subscript i in the products are integers in the range $[1, n]$.

Using Lemma 3 (the naive distortion lemma), we can show that the first product

$$\prod_{x_i, y_i \in \mathcal{V}} \frac{|f'(y_i)|}{|f'(x_i)|} \leq \exp\left(\frac{K_1}{\beta_1} \sum_{i=0}^n |x_i - y_i|^\alpha\right).$$

The second product

$$\prod_{x_i, y_i \in \mathcal{U}} \frac{|f'(y_i)|}{|f'(x_i)|}$$

can be factored into three products

$$\prod_{x_i, y_i \in \mathcal{U}} \frac{|h'(y_i)|}{|h'(x_i)|} \cdot \prod_{x_i, y_i \in \mathcal{U}} \frac{|\tilde{f}'(h(y_i))|}{|\tilde{f}'(h(x_i))|} \cdot \prod_{x_i, y_i \in \mathcal{U}} \frac{|h'(f(x_i))|}{|h'(f(y_i))|},$$

by using the formula

$$f'(x) = \frac{h'(x)\tilde{f}'(h(x))}{h'(f(x))}.$$

By using Lemma 3 again, the first product

$$\prod_{x_i, y_i \in \mathcal{U}} \frac{|h'(y_i)|}{|h'(x_i)|} \leq \exp\left(\frac{K_3}{\beta_3} \sum_{i=0}^n |x_i - y_i|\right)$$

and the second product

$$\prod_{x_i, y_i \in \mathcal{U}} \frac{|\tilde{f}'(h(y_i))|}{|\tilde{f}'(h(x_i))|} \leq \exp\left(\frac{K_3^\alpha K_2}{\beta_2} \sum_{i=0}^n |x_i - y_i|^\alpha\right).$$

Suppose x_i, y_i and $c_{k(i)}$ are in the same set $U_{k(i)}$ and $v_{k(i)} = f(c_{k(i)})$ is the critical value. Because $h'(x) = 1/|x - v_{k(i)}|^{\tau_{k(i)}}$ on a neighborhood of $v_{k(i)}$, where $\tau_{k(i)} = 1 - 1/\gamma_{k(i)}$ and $\gamma_{k(i)}$ is the exponent of f at $c_{k(i)}$, the third product has the form

$$\prod_{x_i, y_i \in \mathcal{U}} \left(\frac{|y_{i-1} - v_{k(i)}|}{|x_{i-1} - v_{k(i)}|}\right)^{\tau_{k(i)}}.$$

We note that $x_{i-1} = f(x_i)$ and $y_{i-1} = f(y_i)$ are the points near the critical value $v_{k(i)}$ for x_i and y_i are in the set $U_{k(i)}$.

To control the third product we write

$$\frac{|y_{i-1} - v_{k(i)}|}{|x_{i-1} - v_{k(i)}|} = \left|1 + \frac{y_{i-1} - x_{i-1}}{x_{i-1} - v_{k(i)}}\right|,$$

which is less than or equal to $1 + |x_{i-1} - y_{i-1}|/|x_{i-1} - v_{k(i)}|$, for every pair x_i and y_i in \mathcal{U} .

Suppose l is the smallest positive integer such that x_l and y_l are in \mathcal{U} . We consider l in the two cases. The first case is that $l = 1$ and the second case is that $l > 1$.

In the first case, the images of x_l and y_l under f are x and y . We have that

$$\frac{|x - y|}{|x - v_{k(l)}|} \leq \frac{|x - y|}{D_{xy}}.$$

In the second case, suppose I_l is the smallest interval containing x_l, y_l and $c_{k(l)}$ and $I_{l-i} = f^{\circ i}(I_l)$ for $i = 0, \dots, l$. Because the intervals I_{l-i} are contained in \mathcal{V} for $i = 1, \dots, l-1$ (we can always reduce to this

case), by using (VI) of Definition 4 and Lemma 3, there is a constant $K_4 > 1$ such that

$$\frac{|x_{l-1} - y_{l-1}|}{|x_{l-1} - v_{k(l)}|} \leq K_4 \frac{|x - y|}{|x - f^{\circ(l-1)}(v_{k(l)})|}.$$

We note that $f^{\circ l}(x_l) = x$ and $f^{\circ l}(y_l) = y$ (see Figure 4-a). This implies that

$$\frac{|y_{l-1} - x_{l-1}|}{|x_{l-1} - v_{k(l)}|} \leq K_4 \frac{|x - y|}{|x - f^{\circ(l-1)}(v_{k(l)})|} \leq K_4 \frac{|x - y|}{D_{xy}}.$$

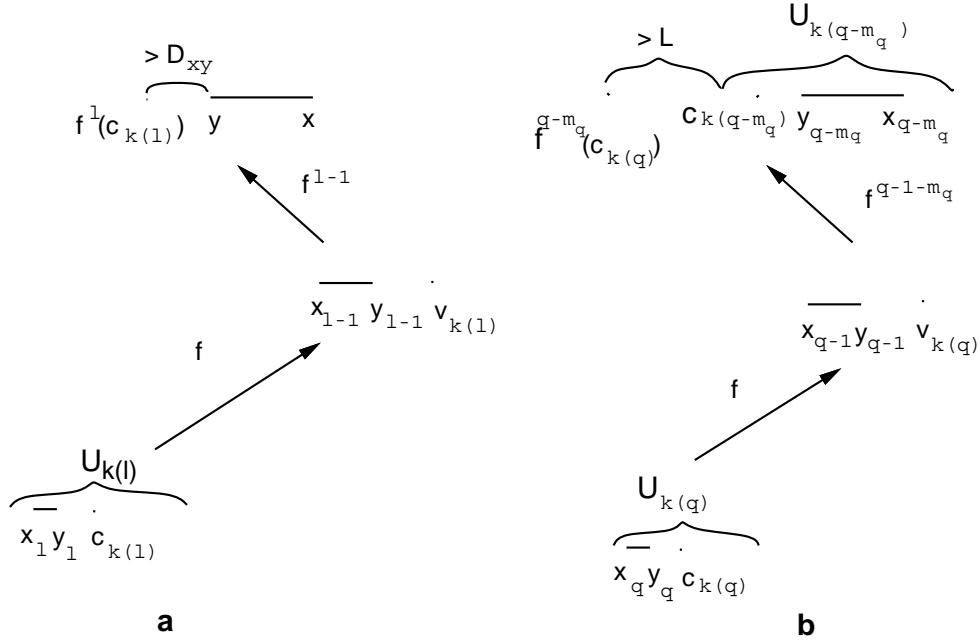


Figure 4

For any $q > l$ with x_q and y_q in \mathcal{U} , let m_q be the smallest positive integer such that x_{q-m_q} and y_{q-m_q} are in \mathcal{U} (see Figure 4-b).

Suppose I_q is the smallest interval containing x_q , y_q and $c_{k(q)}$ and $I_{q-i} = f^{\circ i}(I_q)$ for $i = 0, \dots, m_q$. The intervals I_{q-i} for $i = 1, \dots, m_q - 1$ are contained in \mathcal{V} (we always can reduce to this case). By using (VI) of Definition 4 and Lemma 3, there is a positive constant, we still denote it as K_4 , such that

$$\frac{|y_{q-1} - x_{q-1}|}{|x_{q-1} - v_{k(q)}|} \leq K_4 \frac{|y_{q-m_q} - x_{q-m_q}|}{|x_{q-m_q} - f^{\circ(q-m_q)}(c_{k(q)})|}.$$

Because x_{q-m_q} is in \mathcal{U} and $f^{\circ(q-m_q)}(c_{k(q)})$ is not in \mathcal{U} , the number $|x_{q-m_q} - f^{\circ(q-m_q)}(c_{k(q)})|$ is bigger than or equal to L , the distance between the set \mathcal{U} and the closure of the post-critical orbits $\cup_{n=1}^{\infty} f^{\circ n}(CP)$. Hence we get

$$\frac{|x_{q-1} - y_{q-1}|}{|x_{q-1} - v_{k(q)}|} \leq K_4 \frac{|x_{q-m_q} - y_{q-m_q}|}{L}.$$

Now the third product satisfies that

$$\prod_{x_i, y_i \in \mathcal{U}} \left(\frac{|y_{i-1} - v_{k(i)}|}{|x_{i-1} - v_{k(i)}|} \right)^{\tau_{k(i)}} \leq \exp \left(\frac{K_4 |x - y|}{\tau D_{xy}} + \frac{K_4}{L\tau} \sum_{i=1}^n |x_i - y_i| \right),$$

where τ is the maximum of $\tau_j = 1 - 1/\gamma_j$ for $j = 1, \dots, d$.

We now prove Lemma 2 by putting all the estimates together and $A = K_1/c_1 + (K_3^\alpha K_2)/c_2 + K_3/c_3 + K_4/(L\tau)$ and $B = K_4/\tau$.

§3.3 A larger class of one-dimensional mappings.

We can actually prove Lemma 2 for a wider class of one-dimensional mappings as follows.

Suppose $f : M \mapsto M$ is a C^1 -mapping with only power law critical points. Let $CP = \{c_1, \dots, c_d\}$ be the set of critical points of f and $\Gamma = \{\gamma_1, \dots, \gamma_d\}$ be the set of corresponding exponents. Suppose η_0 be the set of the closures of the intervals of the complement of the set CP of critical points of f in M .

DEFINITION 5. *We say f is $C^{1+\alpha}$ for some $0 < \alpha \leq 1$ if*

(1) *the restriction of f to every interval in η_0 is differentiable with α -Hölder continuous derivative,*

(2) *for every critical point c_i , there is a neighborhood U_i of c_i such that the functions $r_{i,-}(x) = f'(x)/|x - c_i|^{\gamma_i-1}$ for $x < c_i$ in U_i and $r_{i,+}(x) = f'(x)/|x - c_i|^{\gamma_i-1}$ for $x > c_i$ in U_i are α -Hölder continuous.*

Suppose \mathcal{U} is the union of U_i for $i = 1, \dots, d$ and \mathcal{V} is the closure of the complement of \mathcal{U} in M . Let U_{i-} be the subset consisting of all points x in U_i with $x \leq c_i$ and U_{i+} be the subset consisting of all points x in U_i with $x \geq c_i$, for $i = 1, \dots, d$. Suppose \mathcal{W} be the collection of all U_{i-} and U_{i+} .

DEFINITION 6. Suppose $f : M \mapsto M$ is a C^1 -mapping. We say f is a good $C^{1+\alpha}$ -mapping (or good mapping) for some $0 < \alpha \leq 1$ if

(I) f has only finitely many power law critical points,

(II) f is $C^{1+\alpha}$,

(III) there is a positive integer N such that the set CP of critical points and the closure of the set $\cup_{n=N}^{\infty} f^{\circ n}(CP)$ are disjoint,

(IV) there are two constants $K > 0$ and $\nu > 1$ such that for any $\mathcal{O}_{x,n} = \{x, f(x), \dots, f^{\circ(n-1)}(x)\}$ with $\mathcal{O}_{x,n} \cap \mathcal{U} = \emptyset$, $|(f^{\circ k})'(x)| \geq K\nu^k$ for any $1 \leq k \leq n$.

We say a sequence $\mathcal{I} = \{I_j\}_{j=0}^n$ of intervals of M is suitable if

(i) I_j is the image of I_{j+1} under f for $j = 0, \dots, n-1$ and

(ii) either I_j is in \mathcal{V} or I_j is in some interval in \mathcal{W} , for every $j = 0, \dots, n$.

LEMMA 4 ($C^{1+\alpha}$ -Denjoy-Koebe distortion lemma). Suppose f is a good $C^{1+\alpha}$ -mapping for some $0 < \alpha \leq 1$. There are positive constants A and B such that for any suitable sequence $\mathcal{I} = \{I_j\}_{j=0}^n$ of intervals of M and any pair x and y in I_0 , the distortion of f at x and y along \mathcal{I} satisfies

$$\frac{|g'_n(x)|}{|g'_n(y)|} \leq \exp \left(A \sum_{i=0}^n |x_i - y_i|^\alpha + \frac{B|x-y|}{D_{xy}} \right)$$

where D_{xy} is the distance between the set $\{x, y\}$ and the post-critical orbit $\cup_{n=1}^{\infty} f^{\circ n}(CP)$.

The idea of the proof of this lemma is the same as that of Lemma 2. Details will be omitted.

References

[Bi] B. Bielefeld (editor). Conformal Dynamics Problems List, [1990].
IMS, SUNY at Stony Brook. Preprint.

- [D] A. Denjoy. Sur les Courbes Définie par les Equations Differentielles a la Surface du Tore, [1932]. *J. Math. Pure et Appl.* 11, Ser. **9**.
- [GS] J. Guckenheimer and Stewart Johnson. Distortion of S-Unimodal Maps, [1988]. Cornell University, Preprint.
- [H] M. R. Herman. Sur la Conjugaison Differentiable des Diffeomorphismes du Cercle á des Rotations, [1979]. *Thesis, University de Paris, Orsay and Publ. Math. IHES, No. 49*
- [Ja] M. Jakobson. Quasisymmetric Conjugacy for Some One-dimensional Maps Inducing Expansion, [1989]. *preprint*.
- [J1] Y. Jiang. Generalized Ulam-von Neumann Transformation, [1990]. *Thesis, Graduate School of CUNY*.
- [J2] Y. Jiang. Dynamics of Certain Smooth One-dimensional Mappings, II. Geometrically finite one-dimensional mappings. *Preprint*.
- [J3] Y. Jiang. Dynamics of Certain Smooth One-dimensional Mappings, III. Scaling function geometry. *Preprint*.
- [J4] Y. Jiang. Local Normalization of One-dimensional Maps, [1989]. *June, 1989, IHES, Preprint*.
- [J5] Y. Jiang. Dynamics of Certain Smooth One-dimensional Mappings, IV. Smooth structure of one-dimensional mappings. *Preprint*.
- [M] R. Mañe. Hyperbolicity, Sinks and Measure in One Dimensional Dynamics, [1985]. *Comm. in Math. Phys.* **100**, 495-524 and *Erratum Comm. in Math. Phys.* **112**, (1987) 721-724.
- [Mi] M. Misiurewicz. Absolutely Continuous Measures for Certain Maps of An Interval, [1981]. *Inst. Hautes. Études. Sci. Publ. Math.* 1978, No. **53**, 17 - 51.
- [MS] W. de Melo and S. van Strien. A Structure Theorem in One Dimensional Dynamics, [1986]. *Report 86-29, Delft University of Technology, Delft*.

- [N1] Z. Nitecki. Smooth, Non-singular Circle Endomorphisms, [1972]. *Preliminary Report, unpublished.*
- [N2] Z. Nitecki. Factorization of Nonsingular Circle Endomorphisms, Salvador Symposium on Dynamical Systems, [1973]. *Ed. by M. Peixoto, Academic Press.*
- [N3] Z. Nitecki. Topological Dynamics on the Interval, Ergodic Theory and Dynamical Systems, [1981]. *vol II, Proceedings of the Special Year, Maryland, 1979-1980, Progress in Math. Birkhauser, Boston.*
- [R] M. R. Rychlik. Another Proof of Jakobson's Theorem and Related Results, [1988]. *Preprint, The Institute for Advanced Study, School of Mathematics, Princeton.*
- [S] A. Schwartz. A Generalization of a Poincaré-Bendixon Theorem to Closed Two-dimensional Manifolds, [1963]. *Amer. J. Math.*
- [Su1] D. Sullivan. Class Notes, [1989].
- [Su2] D. Sullivan. Bounded Structure of Infinitely Renormalizable Mappings, [1989]. *Universality in Chaos, 2ⁿ Edition, Adam Hilger, Bristol, England.*
- [Sw] G. Swiatek. Bounded Distortion Properties of One-dimensional Maps, [1990]. *IMS, SUNY at Stony Brook, Preprint.*