Moduli Space Maps and Compactifications: A Worked Out Example of Mating

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Joint work with Xavier Buff and Adam Epstein

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#### Pasting Together Julia Sets: A Worked Out Example of Mating

John Milnor

#### CONTENTS

- 1. Introduction
- 2. The Mating Construction
- 3. The Example, a Lattès Mapping
- 4. Semiconjugacies from the Angle Doubling Map
- 5. Measure Properties
- 6. Fractal Tiling with Hubbard Tree Boundaries
- 7. Further Questions
- A. Appendix: The Weierstrass p-function
- B. Appendix: Lattès Maps and Matings
- C. Appendix: External Angles and the Hubbard Tree
- D. Appendix: Some Nonstandard Topological Conjugacies Acknowledgments
- References

2000 AMS Subject Classification: Primary 37F45; Secondary 30D05

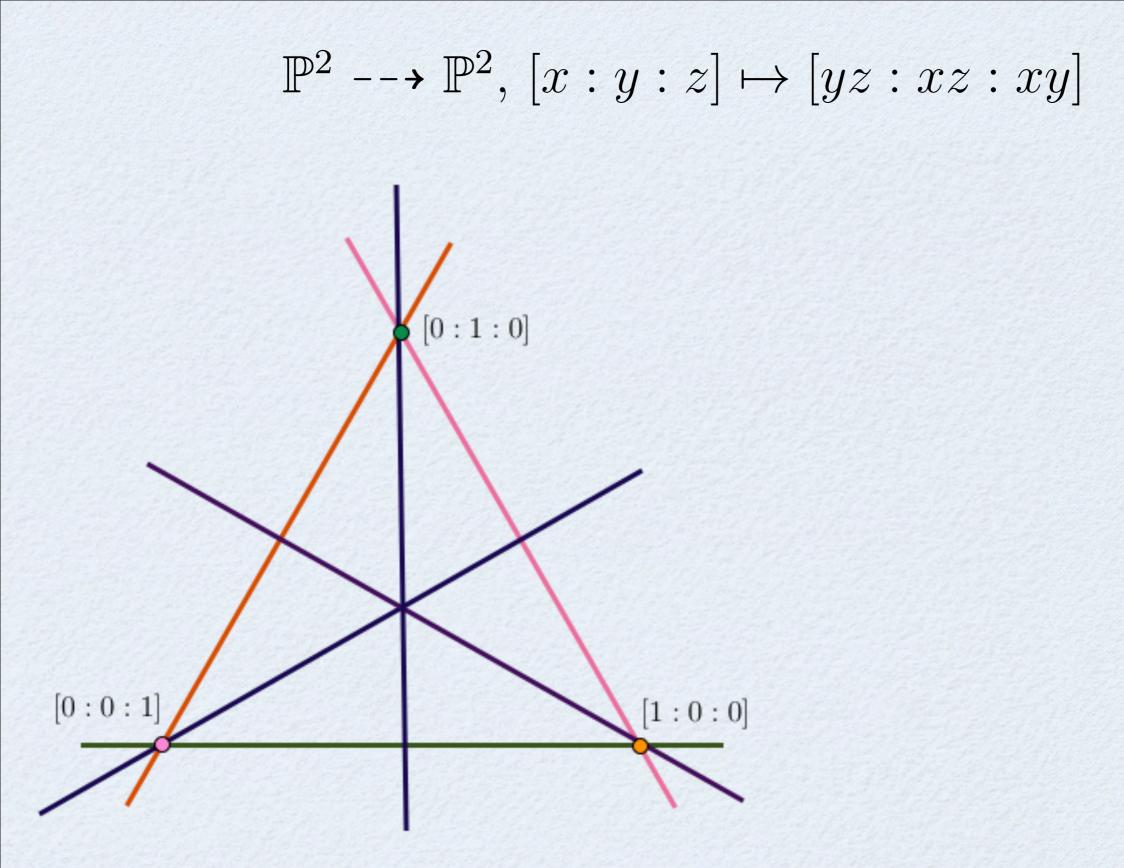
Keywords: Julia set, Lattès map, fractal tiling

The operation of "mating" two suitable complex polynomial maps  $f_1$  and  $f_2$  constructs a new dynamical system by carefully pasting together the boundaries of their filled Julia sets so as to obtain a copy of the Riemann sphere, together with a rational map  $f_1 \perp \!\!\!\perp f_2$  from this sphere to itself. This construction is particularly hard to visualize when the filled Julia sets  $K(f_i)$ are dendrites, with no interior. This note will work out an explicit example of this type, with effectively computable maps from  $K(f_1)$  and  $K(f_2)$  onto the Riemann sphere.

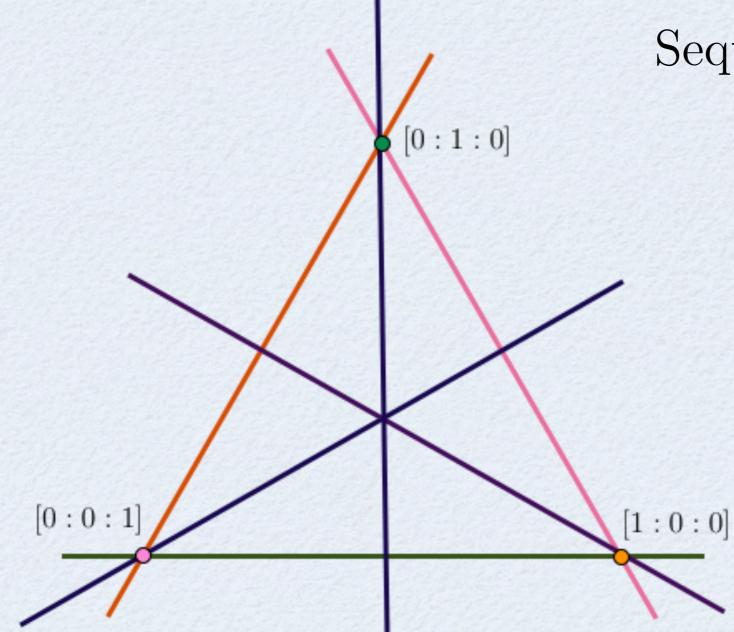
#### 1. INTRODUCTION

The operation of mating, first described by [Douady 83] has been shown to exist for suitable pairs of quadratic polynomial maps by [Tan Lei 90], [Rees 92], and [Shishikura 00]. (See Section 2.) In an attempt to understand this construction, this paper concentrates on one very special example. We consider the (filled) Julia set K = K(f) which is illustrated in Figure 1 and described more precisely in Section 2. The mating  $f \perp f$  exists according to Shishikura. This means that we can form a full Riemann sphere by pasting two copies of  $K = \partial K$ together, in such a way that each copy of K covers the full Riemann sphere, while the map f on each copy corresponds to a smooth quadratic rational map from this sphere to itself. We will give a computationally effective description for this particular example, showing just how such a dendrite can map onto a sphere. The construction is closely related to a well known measure-preserving area filling curve, with associated fractal self-similar tiling,<sup>1</sup> which is known as the "Heighway Dragon." The resulting rational map  $F \cong f \perp f$ , where  $F(z) = (i/2)(z+z^{-1})$ , can also be described as a Lattès mapping, that is as the quotient of a rigid expanding map on a torus. (This is

<sup>&</sup>lt;sup>1</sup>See Section 4.2 and Figures 7 and 16. This construction was discovered by John Heighway, a physicist at NASA, circa 1966. Compare [Davis and Knuth 65], [Edgar 90], and even [Crichton 90].

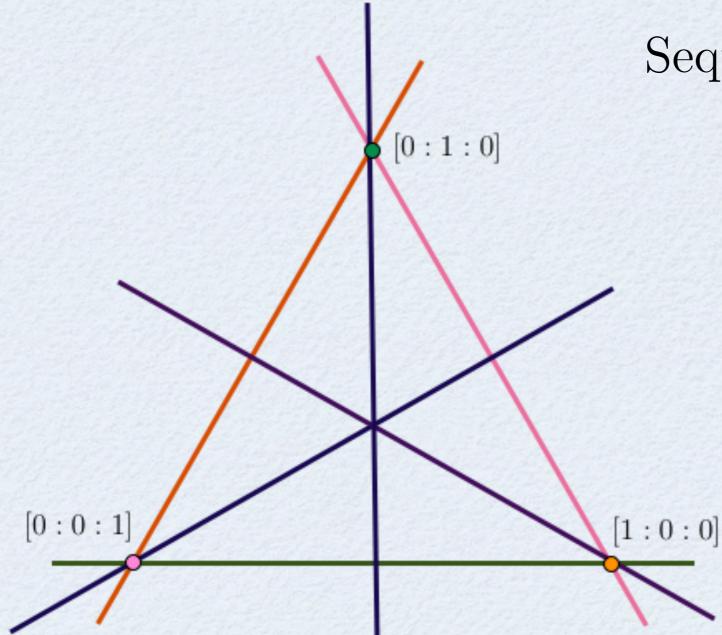


$$\mathbb{P}^2 \dashrightarrow \mathbb{P}^2, \ [x:y:z] \mapsto [yz:xz:xy]$$



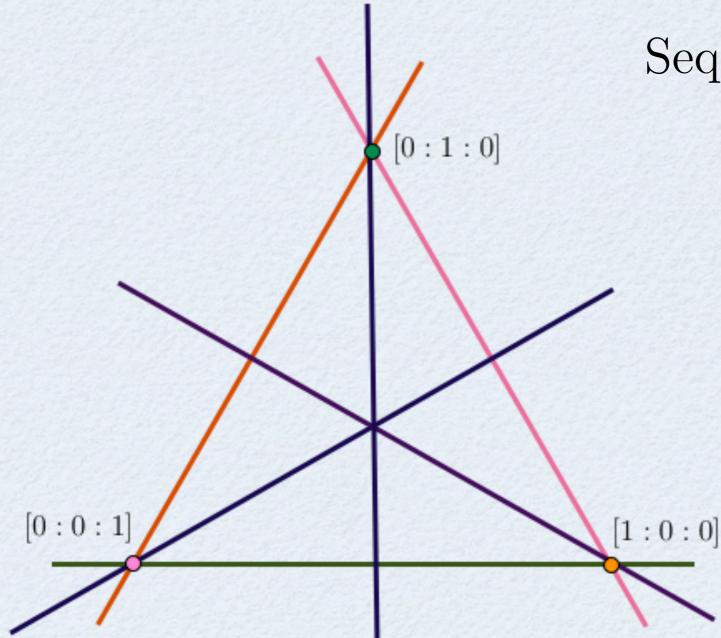
Sequence of algebraic degrees:

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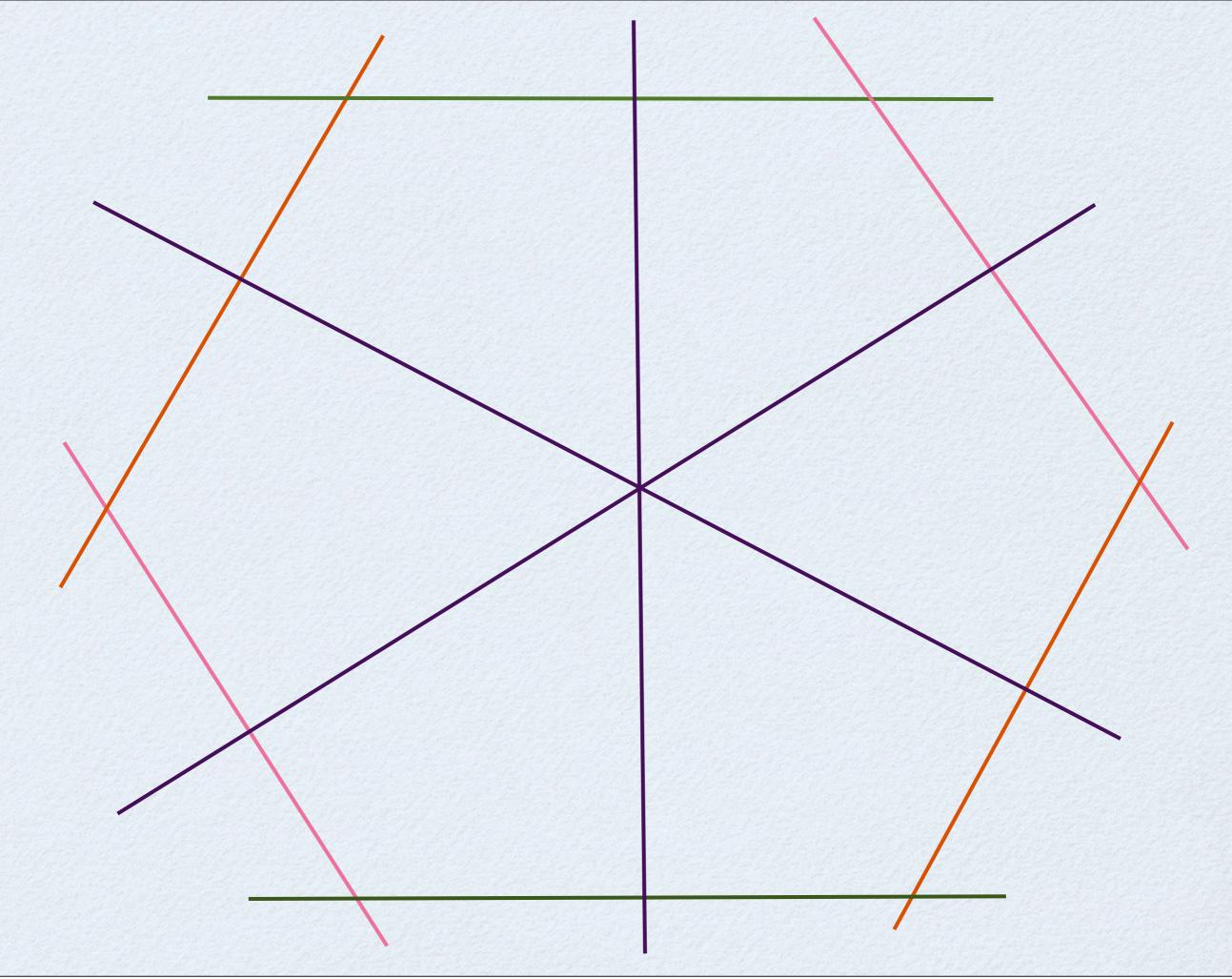
Sequence of algebraic degrees: 1, 2, 1, 2, 1, 2, 1, 2, 1, 2, ...

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This map is *not algebraically stable*.



Notion was introduced by Fornæss and Sibony.

Let X be a compact complex manifold. Let  $F : X \dashrightarrow X$  be a meromorphic map.

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$$\lambda_1 = \lim_{n \to \infty} \| (F^{\circ n})^* \|^{1/n}$$

# Preliminary Preliminaries

Let  $S^2$  be the unit sphere in  $\mathbb{C} \times \mathbb{R}$ , and let

 $P_1: \mathbb{C} \to \mathbb{C}, \text{ and } P_2: \mathbb{C} \to \mathbb{C}$ 

be monic polynomials of degree  $d \ge 2$ . The formal mating of  $P_1$ and  $P_2$  is the branched cover  $f: S^2 \to S^2$  defined as follows.

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Identify dynamical plane of  $P_1$  to  $H^+$ , and identify the dynamical plane of  $P_2$  to  $H^-$  via the projections

$$\rho_1 : \mathbb{C} \to H^+ \quad \text{and} \quad \rho_2 : \mathbb{C} \to H^-$$
$$\rho_1(z) = \frac{(z,1)}{\|(z,1)\|} \quad \text{and} \quad \rho_2(z) = \frac{(\overline{z},1)}{\|(\overline{z},1)\|}.$$

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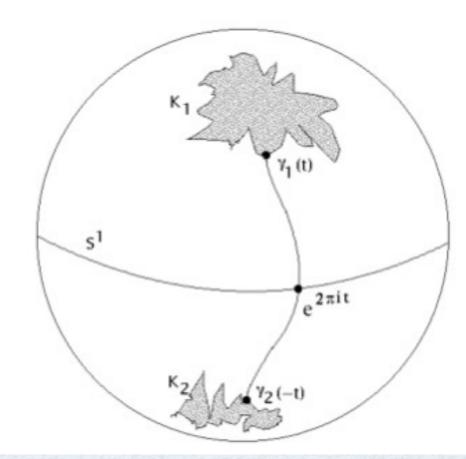
Since the polynomials have the same degree, the map  $\rho_1 \circ P_1 \circ \rho_1^{-1}$  defined on  $H^+$  and the map  $\rho_2 \circ P_2 \circ \rho_2^{-1}$  defined on  $H^-$  extend continuously to the equator of  $S^2$ .

Form the quotient  $S^2 / \sim$  by collapsing along external rays. The rational map  $F : \mathbb{P}^1 \to \mathbb{P}^1$  is a geometric mating of  $P_1$  and  $P_2$  if  $S^2 / \sim$  is homeomorphic to  $S^2$  and if the formal mating  $f : S^2 \to S^2$  induces a map

 $S^2/\sim$   $\rightarrow$   $S^2/\sim$ 

which is topogically conjugate to  $F:\mathbb{P}^1\to\mathbb{P}^1.$ 

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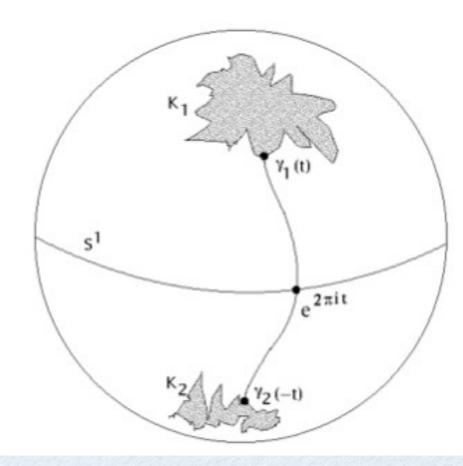


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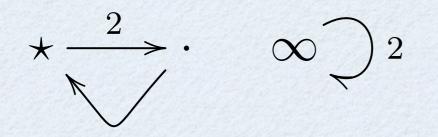
 $S^2/\sim \rightarrow S^2/\sim$ 

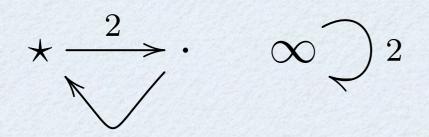
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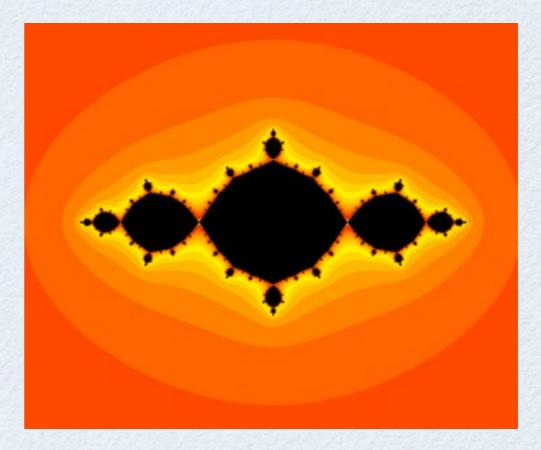
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**Theorem.** (Rees) Let  $P_1$  and  $P_2$  be critically finite hyperbolic polynomials. The formal mating of  $P_1$  and  $P_2$  is combinatorially equivalent to a rational map  $F : \mathbb{P}^1 \to \mathbb{P}^1$  if and only if F is a geometric mating of  $P_1$  and  $P_2$ .

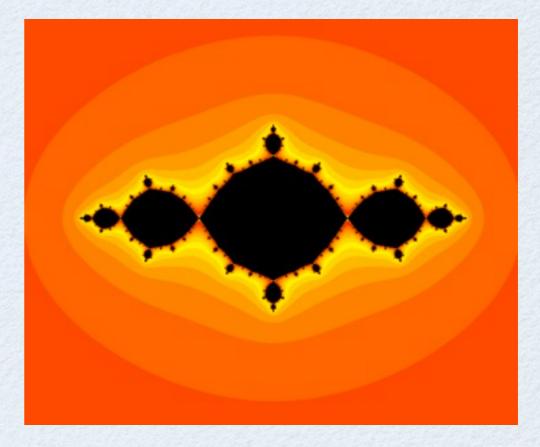


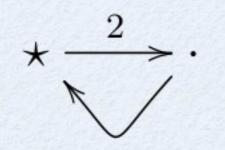


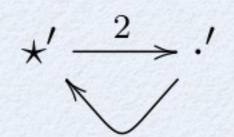


$$\star \xrightarrow{2} \cdots \infty \bigcirc 2$$

Formal mating:  $f: (S^2, P) \rightarrow (S^2, P)$ 

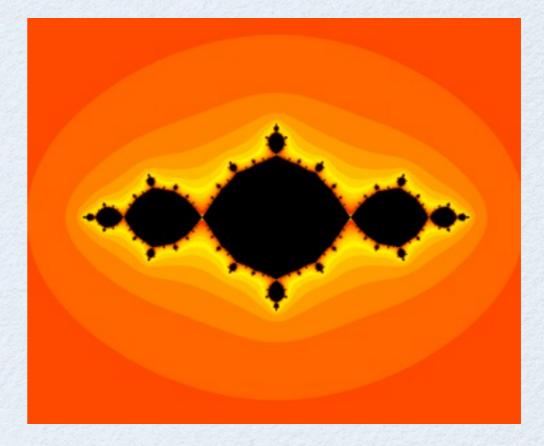


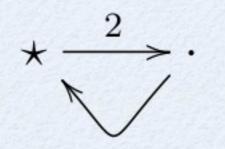


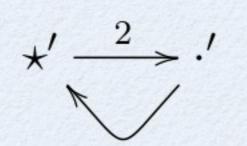


$$\star \xrightarrow{2} \cdot \quad \infty \bigcirc 2$$

Formal mating:  $f: (S^2, P) \rightarrow (S^2, P)$ 







No geometric mating exists; this mating is *obstructed*.

### Twisted Matings

If P is a monic polynomial of degree  $d \ge 2$ , then the polynomial  $T(P) : \mathbb{C} \to \mathbb{C}$  defined by

$$T(P)(z) = e^{-2\pi i/(d-1)} P(e^{2\pi i/(d-1)}z)$$

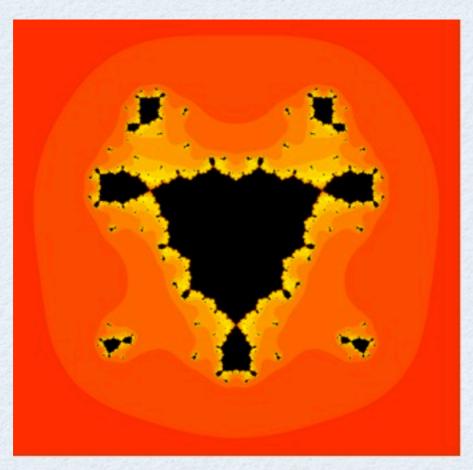
is also monic. The filled Julia set of T(P) is the image of the Julia set of P by the rotation of angle -1/(d-1) turns centered at 0.

### Twisted Matings

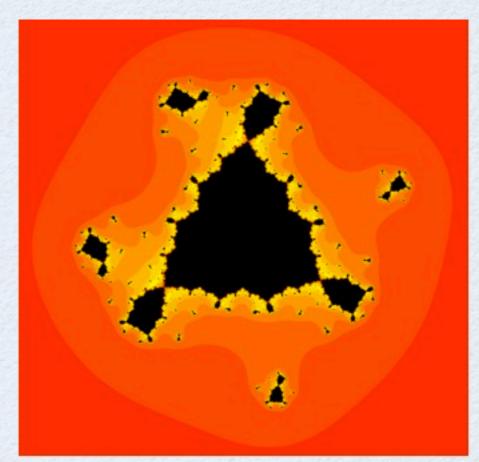
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$$p(z) = z^7 + z^3 - \frac{6}{7}i$$



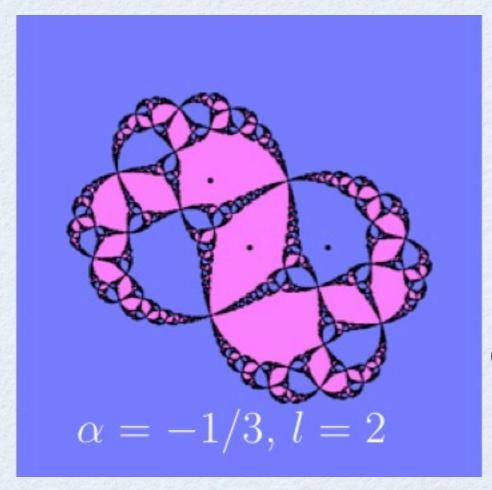
 $T(p)(z) = z^7 - e^{-\pi i/3} \left( z^3 - \frac{\pi i}{2} \right)$ 

Construct the formal mating  $f : S^2 \to S^2$ , and form  $S^2 / \sim$  by identifying  $\theta$  and  $-k/(d-1) - \theta$ .

**Proposition.** Let  $P_1$  and  $P_2$  be two monic polynomials of degree  $d \ge 2$  which are critically finite. Let  $f : (S^2, \mathcal{P}_f) \to (S^2, \mathcal{P}_f)$  be the formal mating of  $P_1$  and  $P_2$ , and let  $g : (S^2, \mathcal{P}_g) \to (S^2, \mathcal{P}_g)$  be the formal mating of  $P_1$  and  $T^{\circ k}(P_2)$  (the twisted mating of angle k/(d-1)). Let  $D : S^2 \to S^2$  be the Dehn twist around the equator of  $S^2 - \mathcal{P}_f$ . Then g is combinatorially equivalent to  $D^{\circ k} \circ f$ .

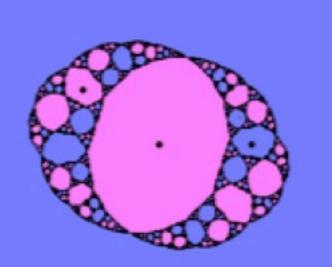
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$$P(z) = z^2 - 1$$

geometric twisted mating of angle  $\alpha$  of  $P^{\circ l}$ with itself



 $\alpha = -3/15, l = 4$ 

# Preliminaries

Recall that if  $f: (S^2, P) \to (S^2, P)$  is a critically finite branched cover, then there is an associated holomorphic endomorphism

$$\sigma_f:\mathcal{T}_P\to\mathcal{T}_P$$

where  $\mathcal{T}_P$  is the *Teichmüller space* of  $(S^2, P)$ :

 $\phi: S^2 \to \mathbb{P}^1 : \phi_1 \sim \phi_2 \iff \exists \mu \in \operatorname{Aut}(\mathbb{P}^1) \text{ such that}$ 

• 
$$\phi_1|_P = (\mu \circ \phi_2)|_P$$
, and

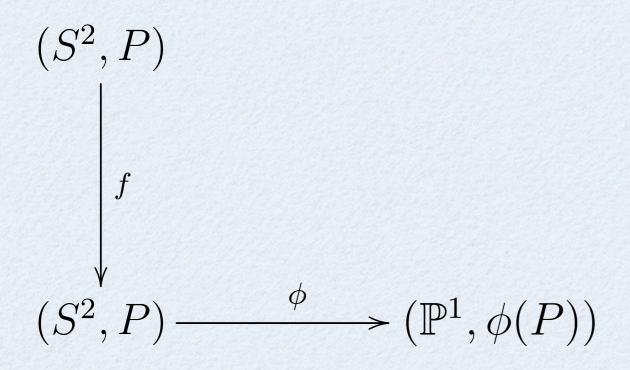
•  $\phi_1$  is isotopic to  $\mu \circ \phi_2$  relative to P

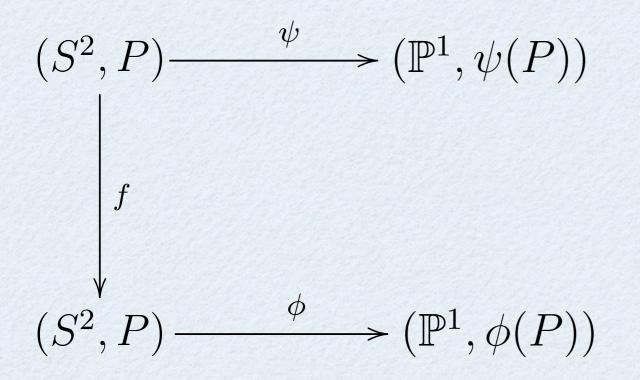
The space  $\mathcal{T}_P$  is the universal cover of the *moduli space*,  $\mathcal{M}_P$ :  $\{\varphi: P \hookrightarrow \mathbb{P}^1 \text{ up to postcomposition by elements of Aut}(\mathbb{P}^1)\}.$ 

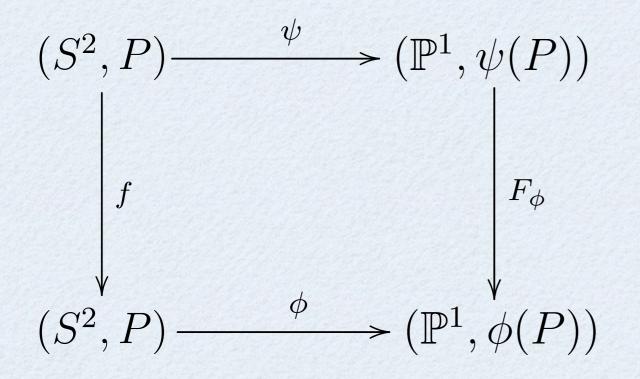
$$\pi:\mathcal{T}_P\to\mathcal{M}_P$$

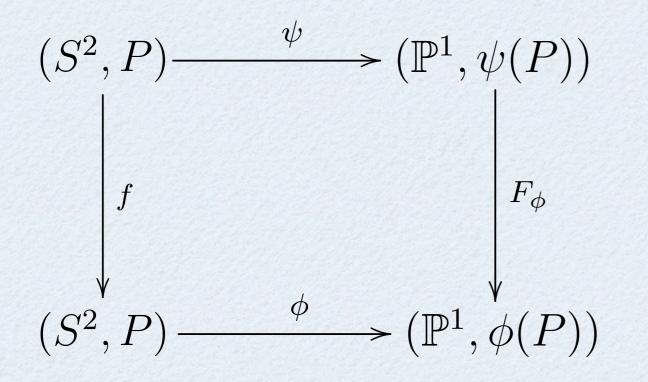
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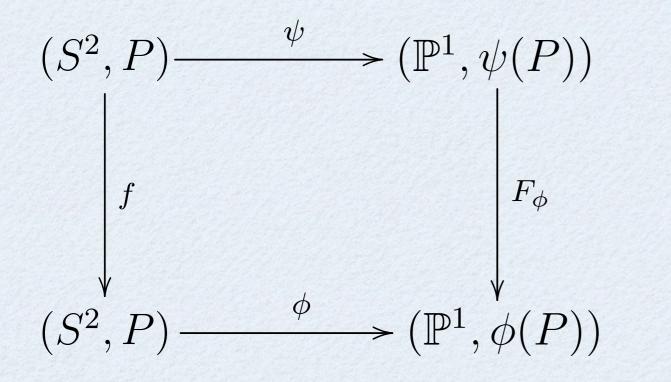




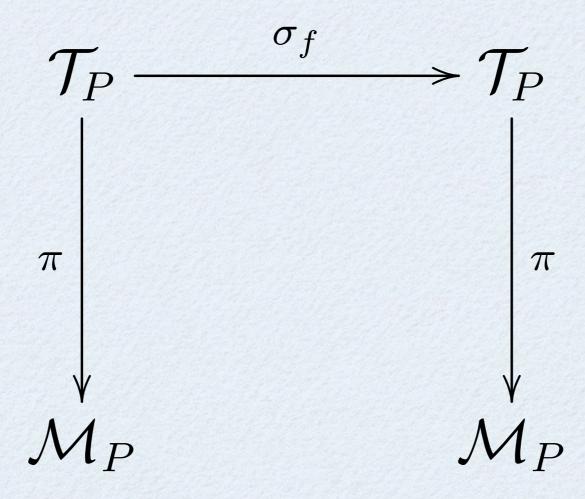


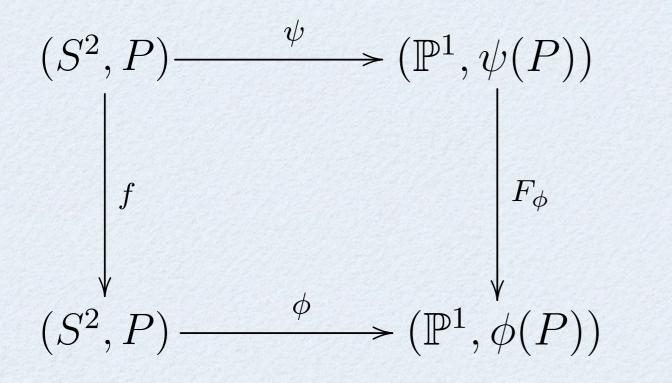


 $\sigma_f: [\phi] \mapsto [\psi]$ 

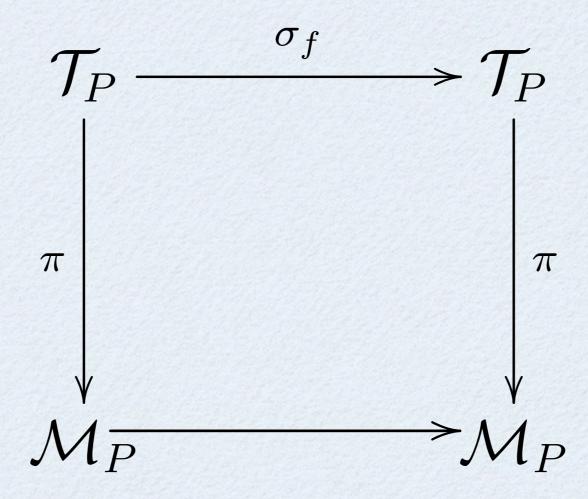


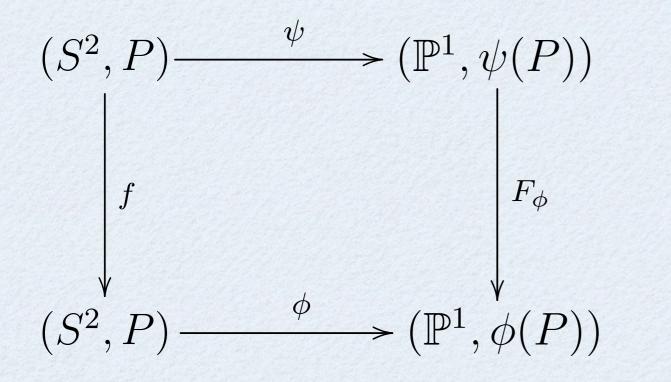
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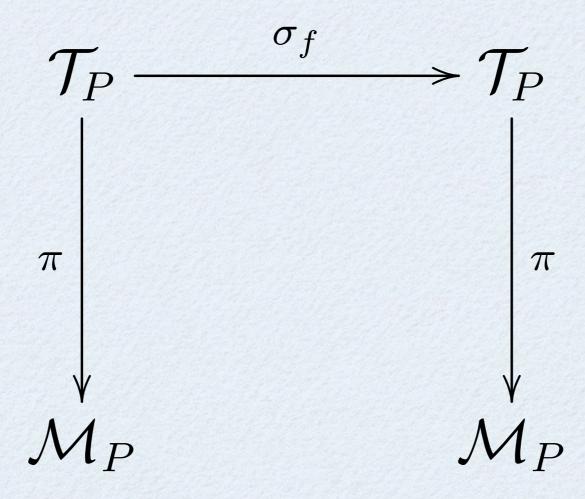


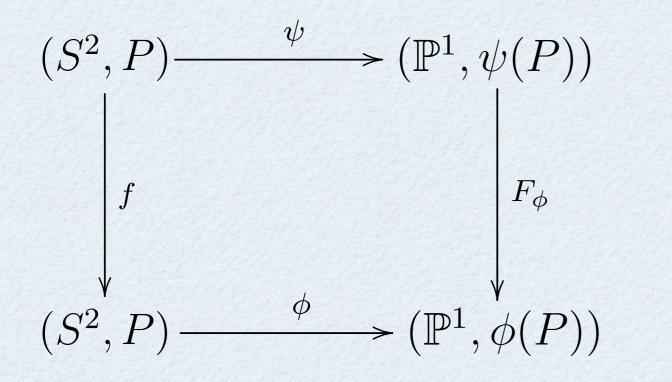
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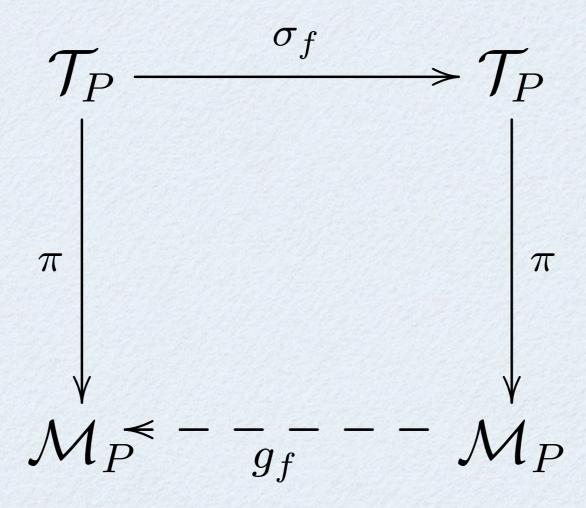


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**Proposition.** Let  $f : (S^2, P) \to (S^2, P)$  be a critically finite branched cover which is a topological polynomial such that the critical points of f are contained in P. Then a moduli space map exists.

**Corollary.** Let  $f: (S^2, P) \to (S^2, P)$  be a critically finite branched cover such that the critical points of f are contained in P, and there is a critical point of multiplicity d - 1. Then a moduli space map  $g_f: \mathcal{M}_P \dashrightarrow \mathcal{M}_P$  exists.

Application. Mating two critically finite hyperbolic polynomials of degree  $d \ge 2$ .

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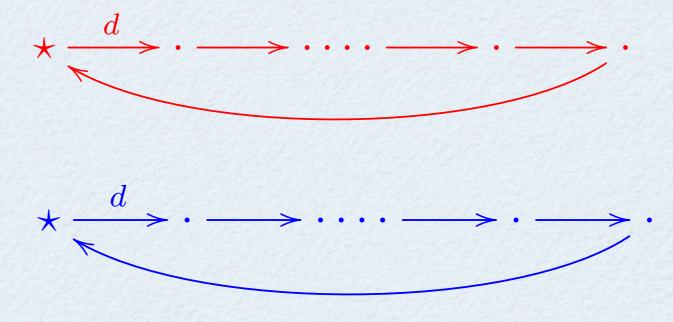
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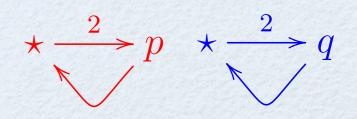
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## Example: Basilica mate Basilica $P = \{\star, p, \star, q\} \qquad f: (S^2, P) \to (S^2, P) \qquad \star \xrightarrow{2} p \quad \star \xrightarrow{2} q$

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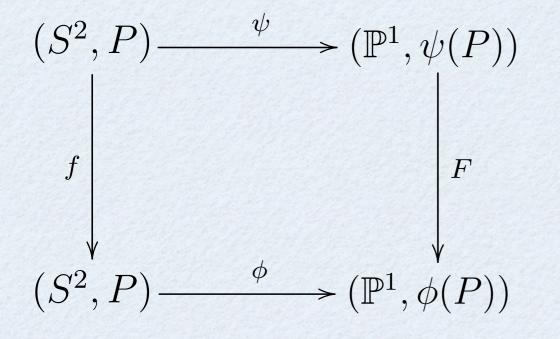
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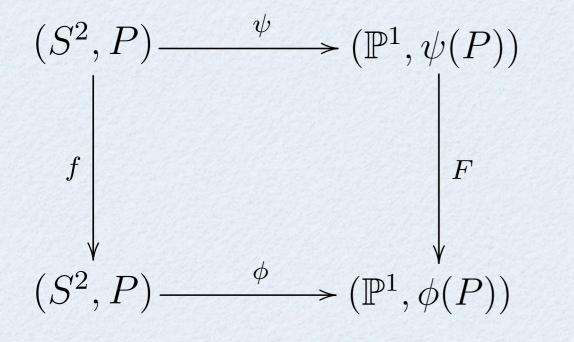
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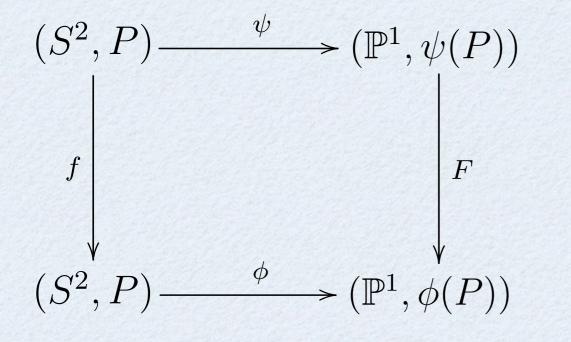
 $\infty$ 

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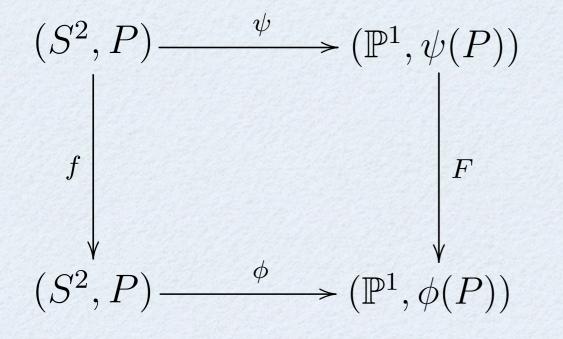


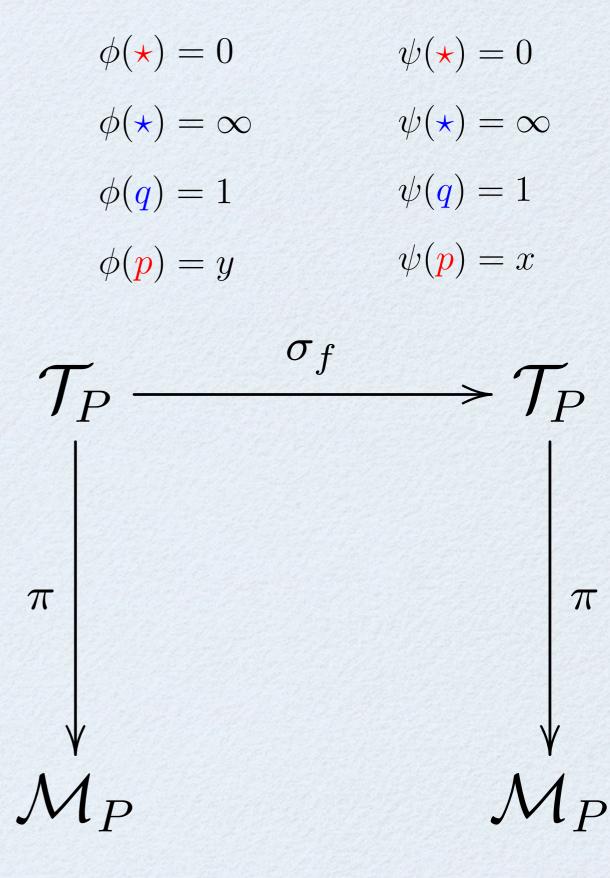


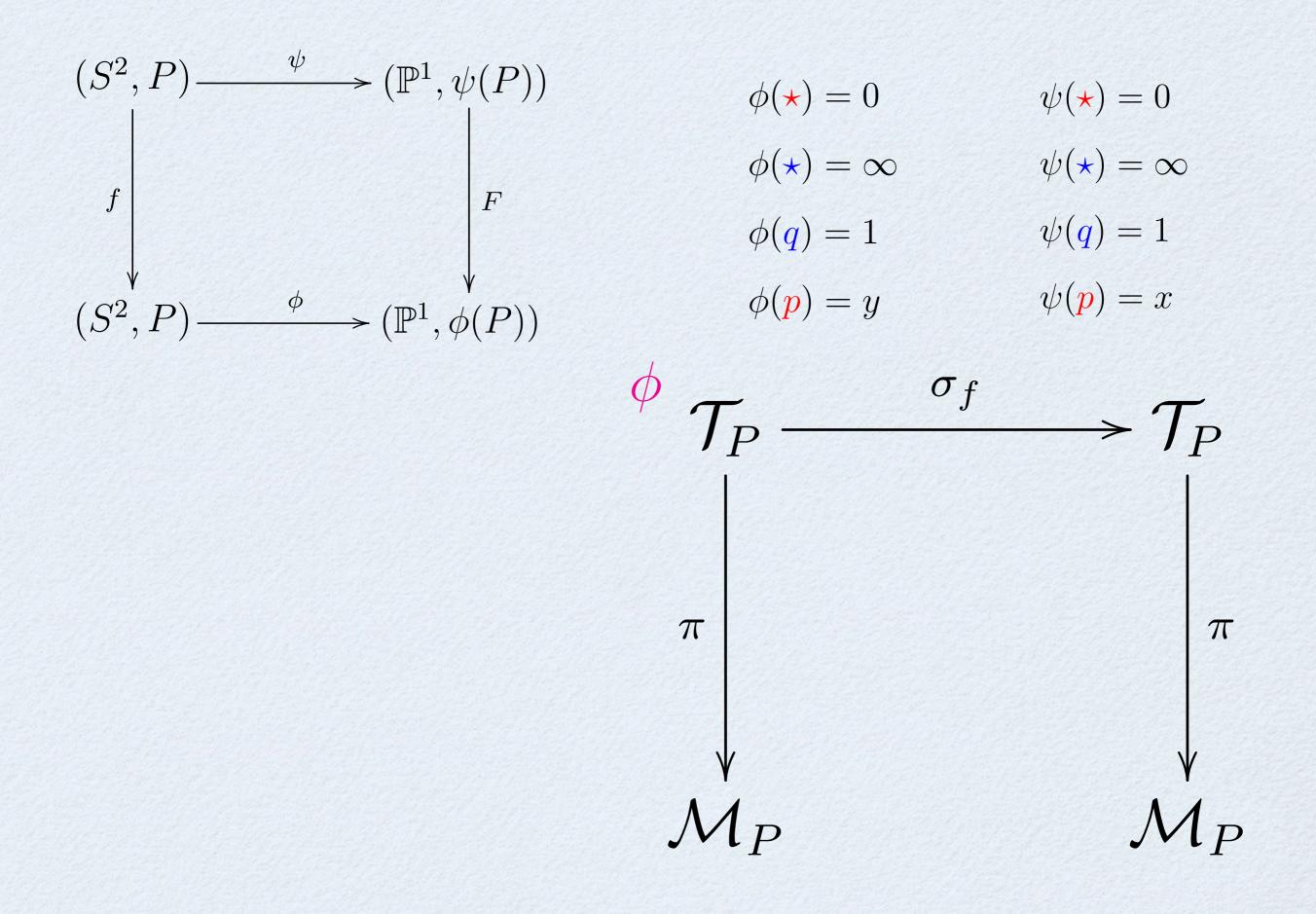
$$\phi(\star) = 0$$
$$\phi(\star) = \infty$$
$$\phi(q) = 1$$
$$\phi(p) = y$$

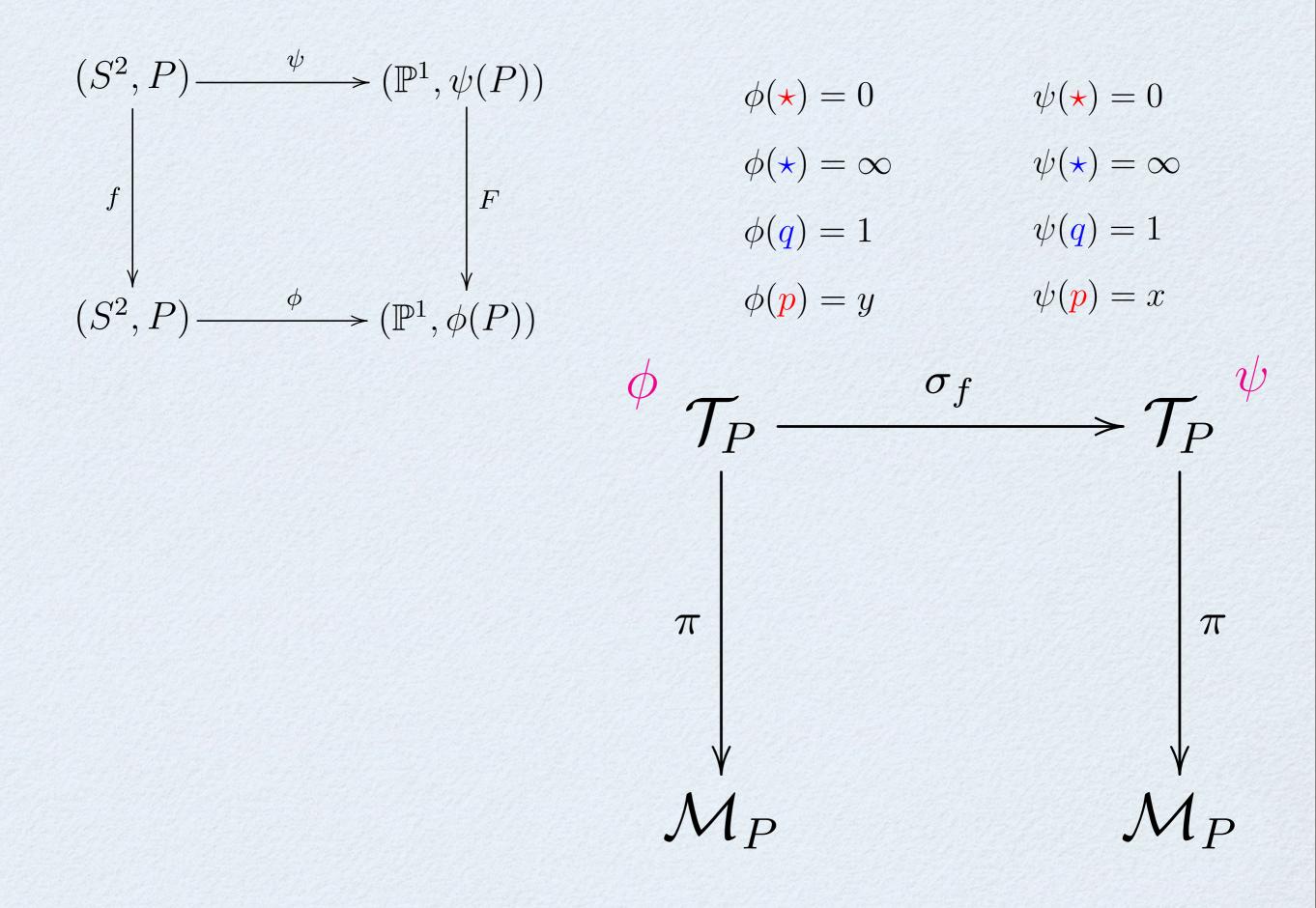


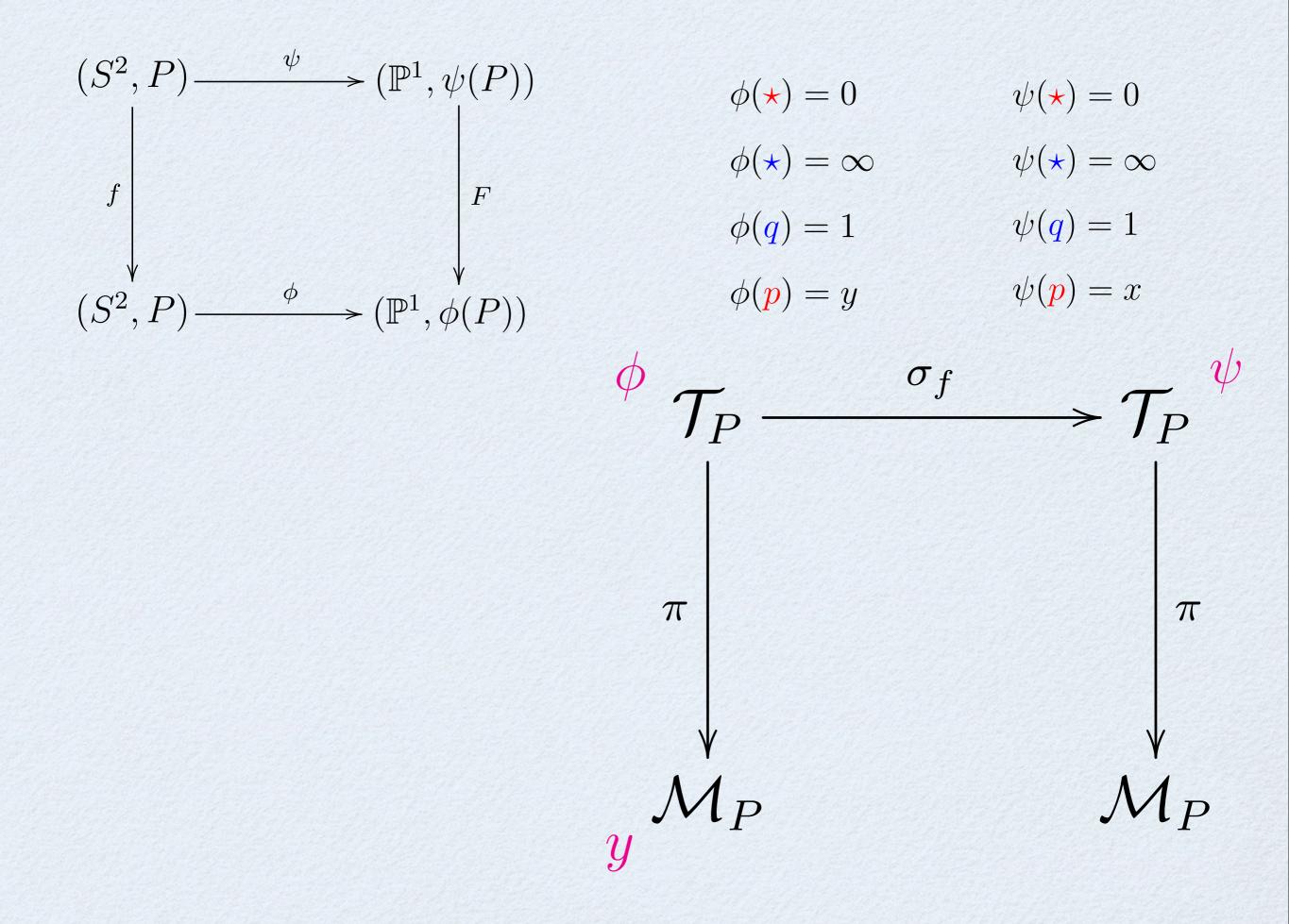
$\phi(\star) = 0$	$\psi(\star) = 0$
$\phi(\star) = \infty$	$\psi(\star) = \infty$
$\phi(\mathbf{q}) = 1$	$\psi(\mathbf{q}) = 1$
$\phi(\mathbf{p}) = y$	$\psi(\mathbf{p}) = x$











$$(S^{2}, P) \xrightarrow{\psi} (\mathbb{P}^{1}, \psi(P)) \qquad \phi(\star) = 0 \qquad \psi(\star) = 0$$

$$f \qquad \downarrow F \qquad \phi(\star) = \infty \qquad \psi(\star) = \infty$$

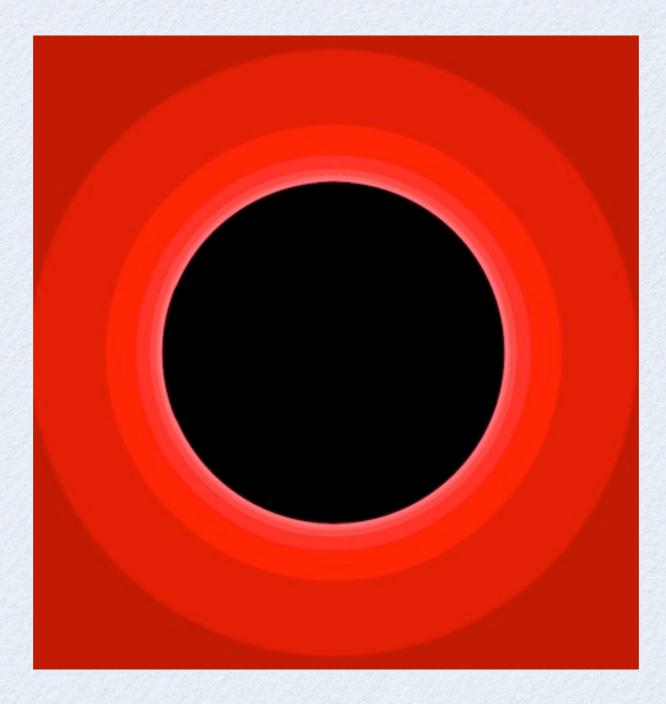
$$\phi(q) = 1 \qquad \psi(q) = 1$$

$$\phi(p) = y \qquad \psi(p) = x$$

$$\phi \qquad \mathcal{T}_{P} \xrightarrow{\sigma_{f}} \mathcal{T}_{P} \qquad \psi$$

$$\pi \qquad \downarrow \qquad \chi$$

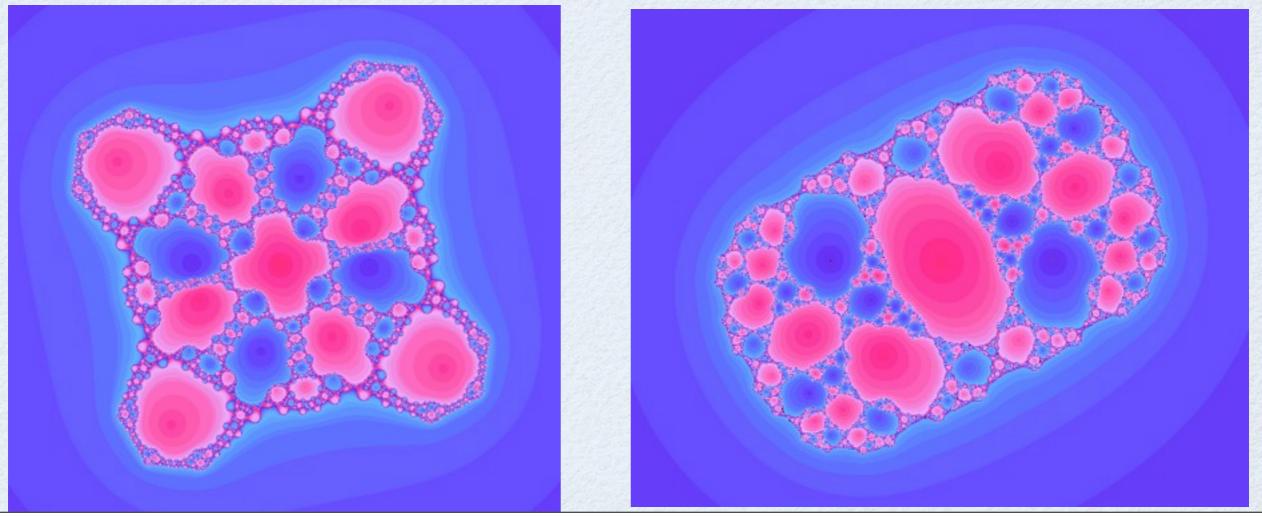
$$\mathcal{M}_{P} \qquad \mathcal{M}_{P} \qquad \mathcal{M}_{P}$$

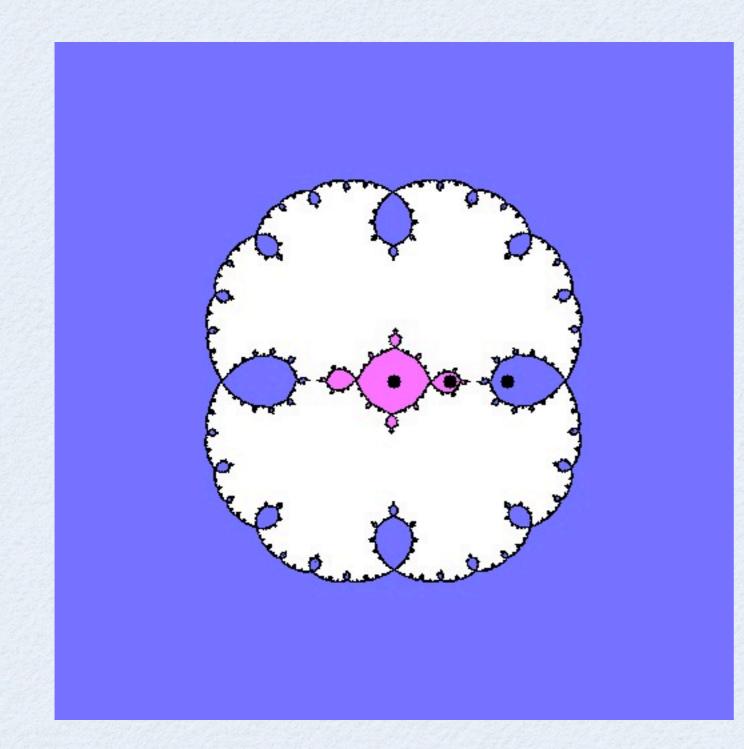


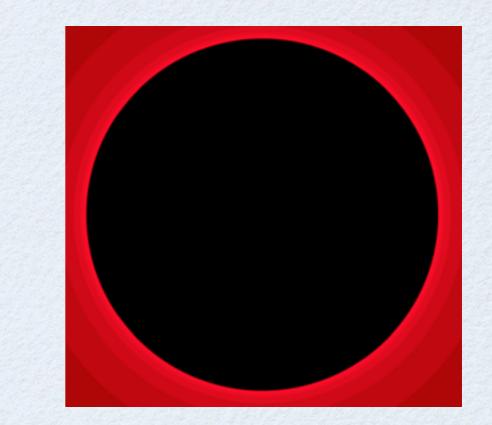
## The skew product

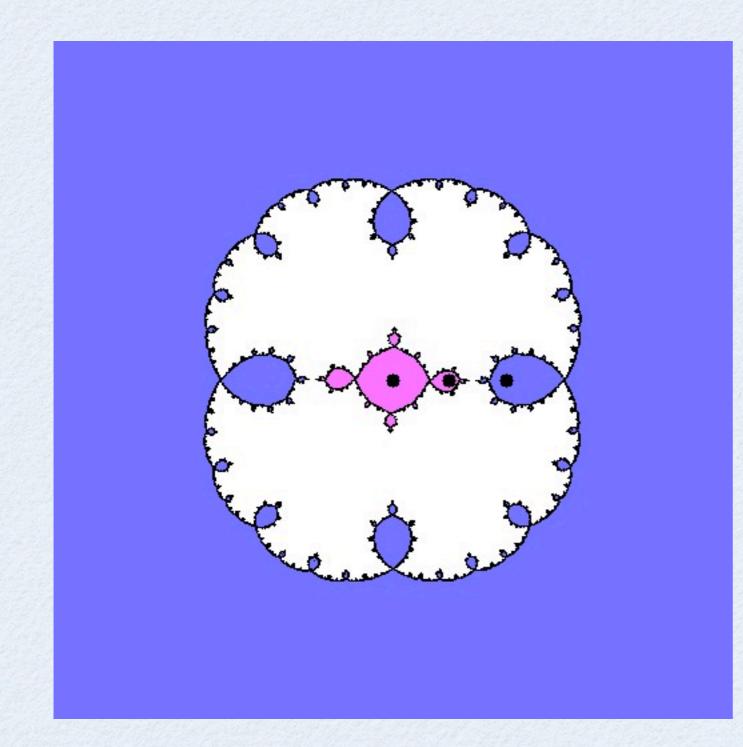
$$G: \mathbb{C}^2 \to \mathbb{C}^2$$
 given by  $G: \begin{pmatrix} t \\ x \end{pmatrix} \mapsto \begin{pmatrix} F_x(t) \\ g(x) \end{pmatrix}$   
where  $F_x(t) = (t^2 - x^2)/(t^2 - 1)$ , and  $g(x) = x^2$ 

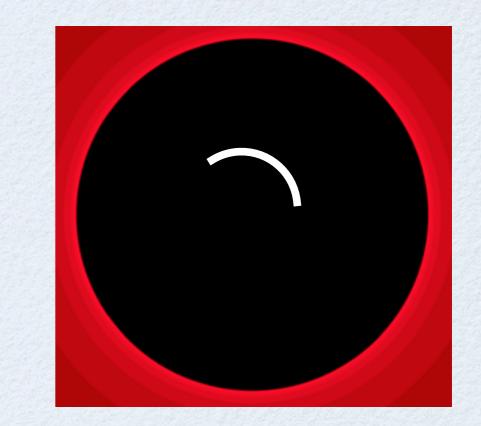
**Proposition.** Let  $\lambda = e^{2\pi i \alpha}$  be a periodic point of g, hence  $\alpha = -k/(2^l - 1)$  for some l. If  $k \neq 0$ , the rational map  $F_{\lambda}^{\circ l}$  is a geometric twisted mating of angle  $\alpha$  of  $P^{\circ l}$  with itself.

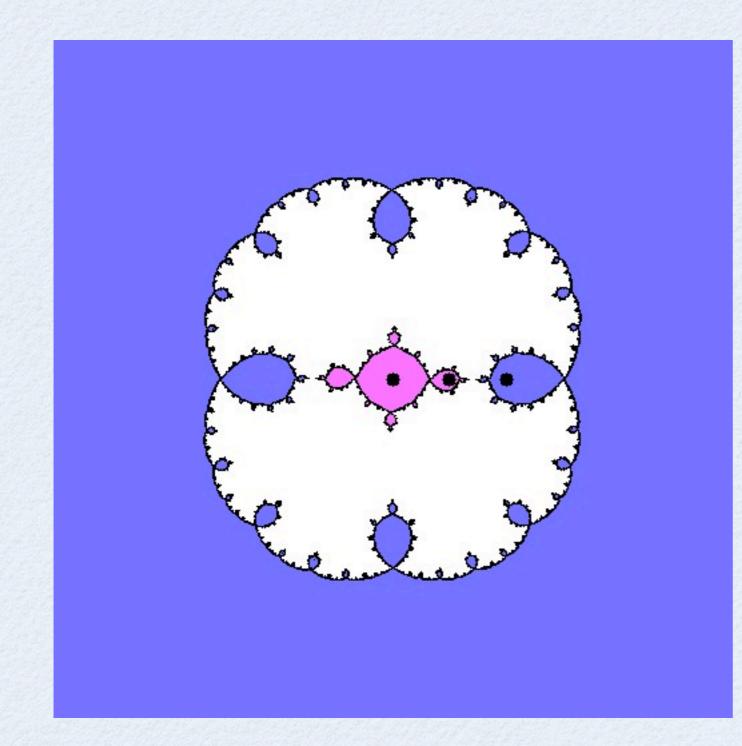


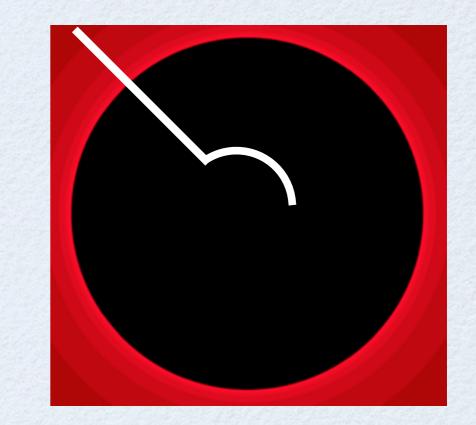






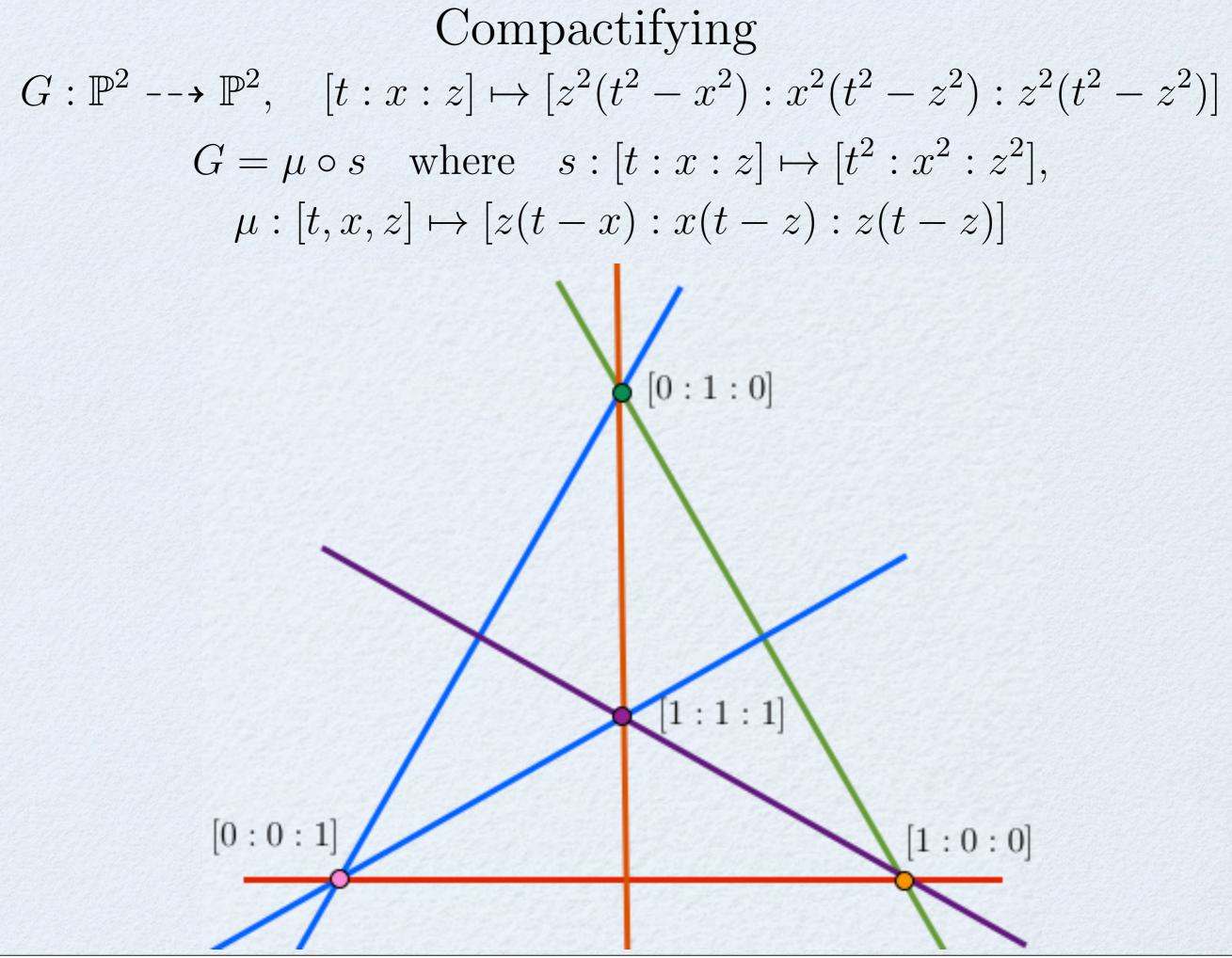




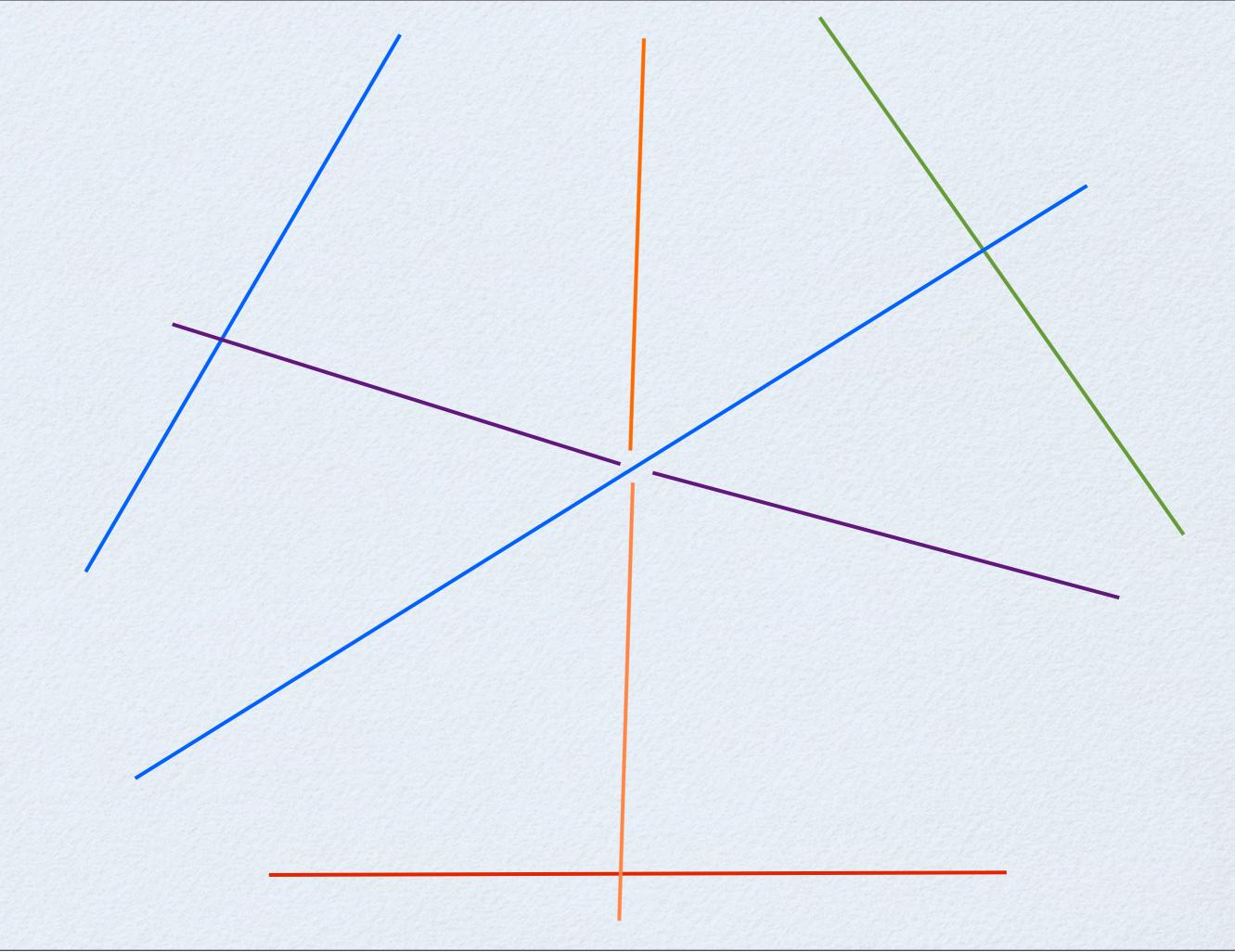


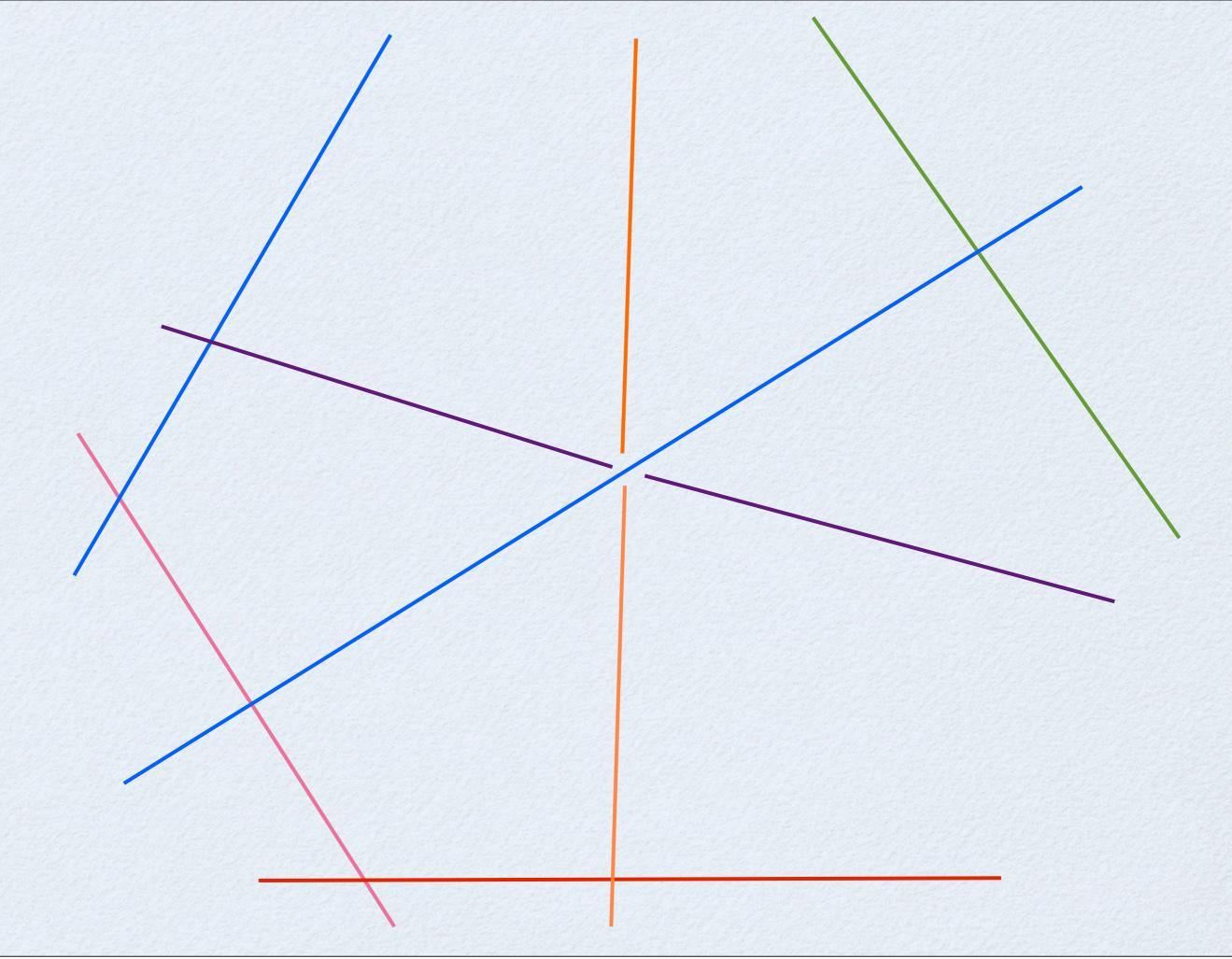
## Compactifying

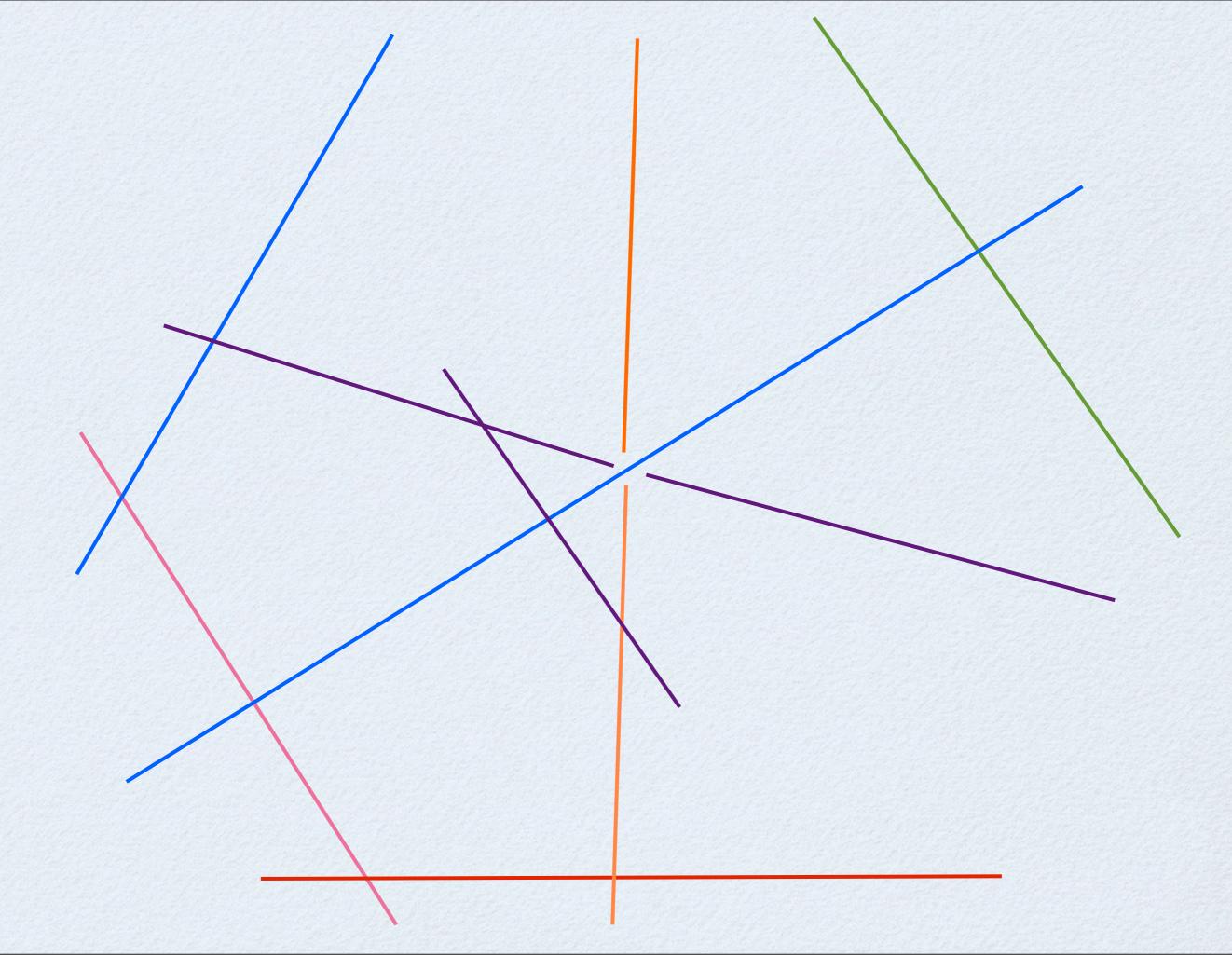
 $G: \mathbb{P}^2 \dashrightarrow \mathbb{P}^2, \quad [t:x:z] \mapsto [z^2(t^2 - x^2):x^2(t^2 - z^2):z^2(t^2 - z^2)]$   $G = \mu \circ s \quad \text{where} \quad s:[t:x:z] \mapsto [t^2:x^2:z^2],$   $\mu:[t,x,z] \mapsto [z(t-x):x(t-z):z(t-z)]$ 

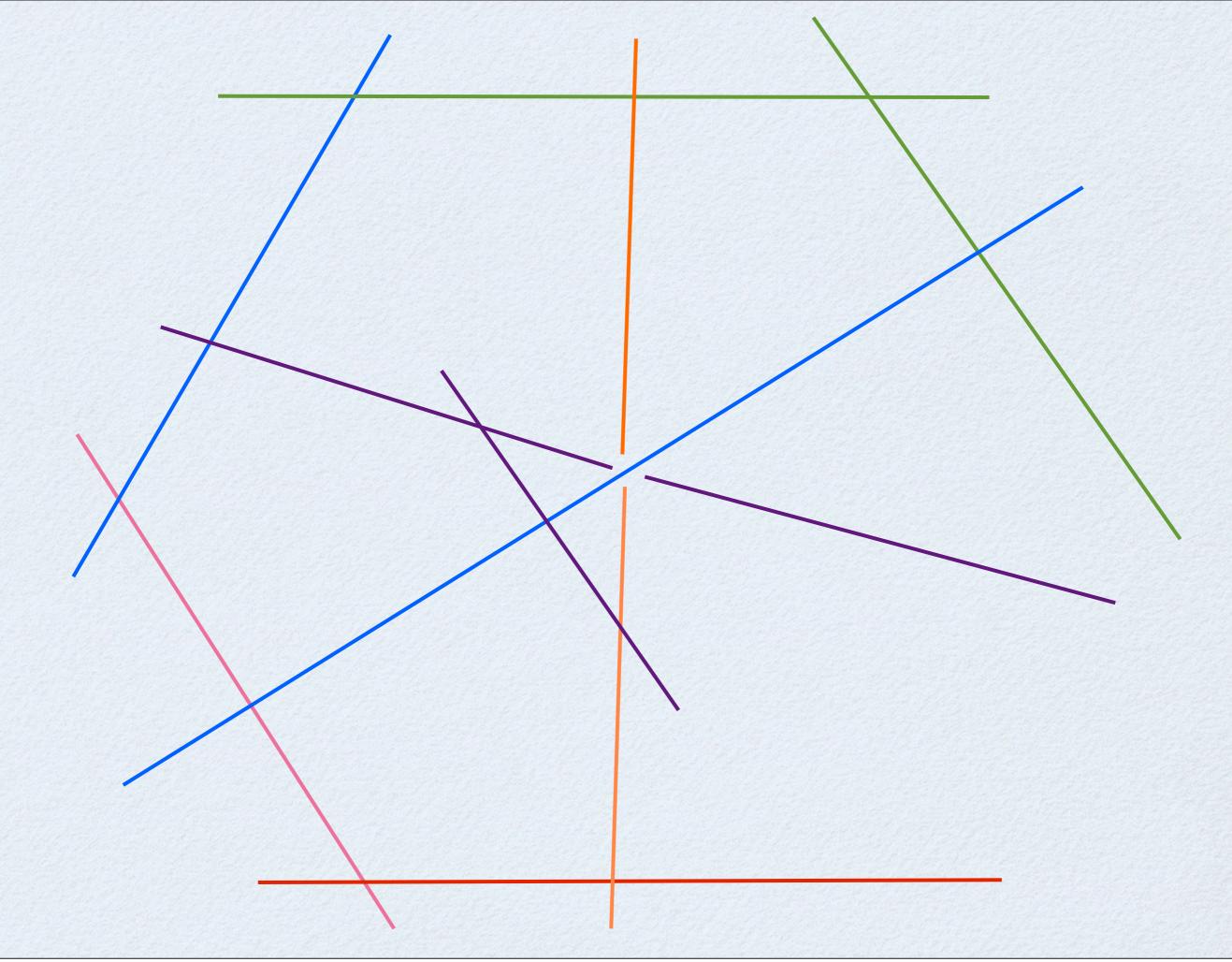


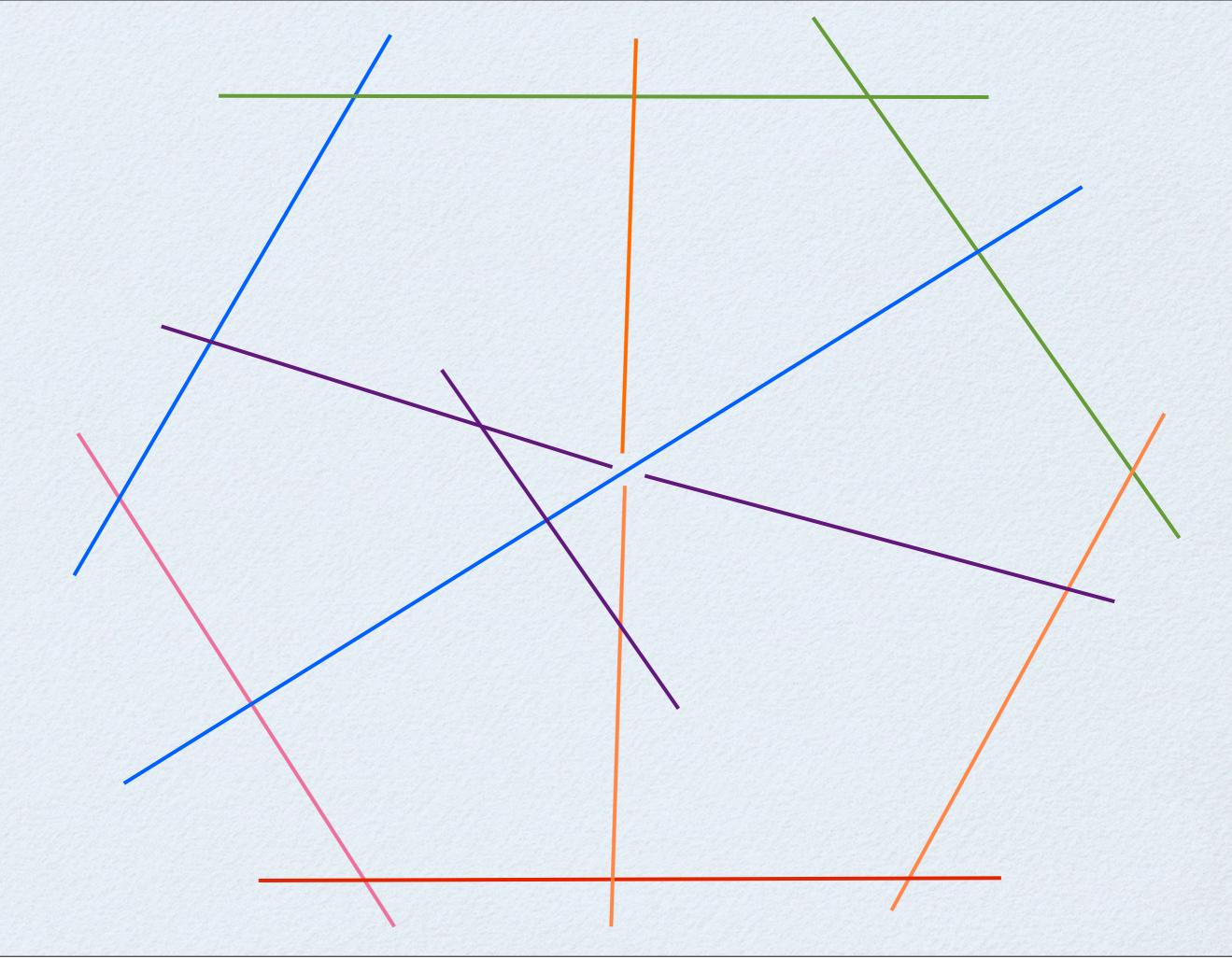
Thursday, February 24, 2011

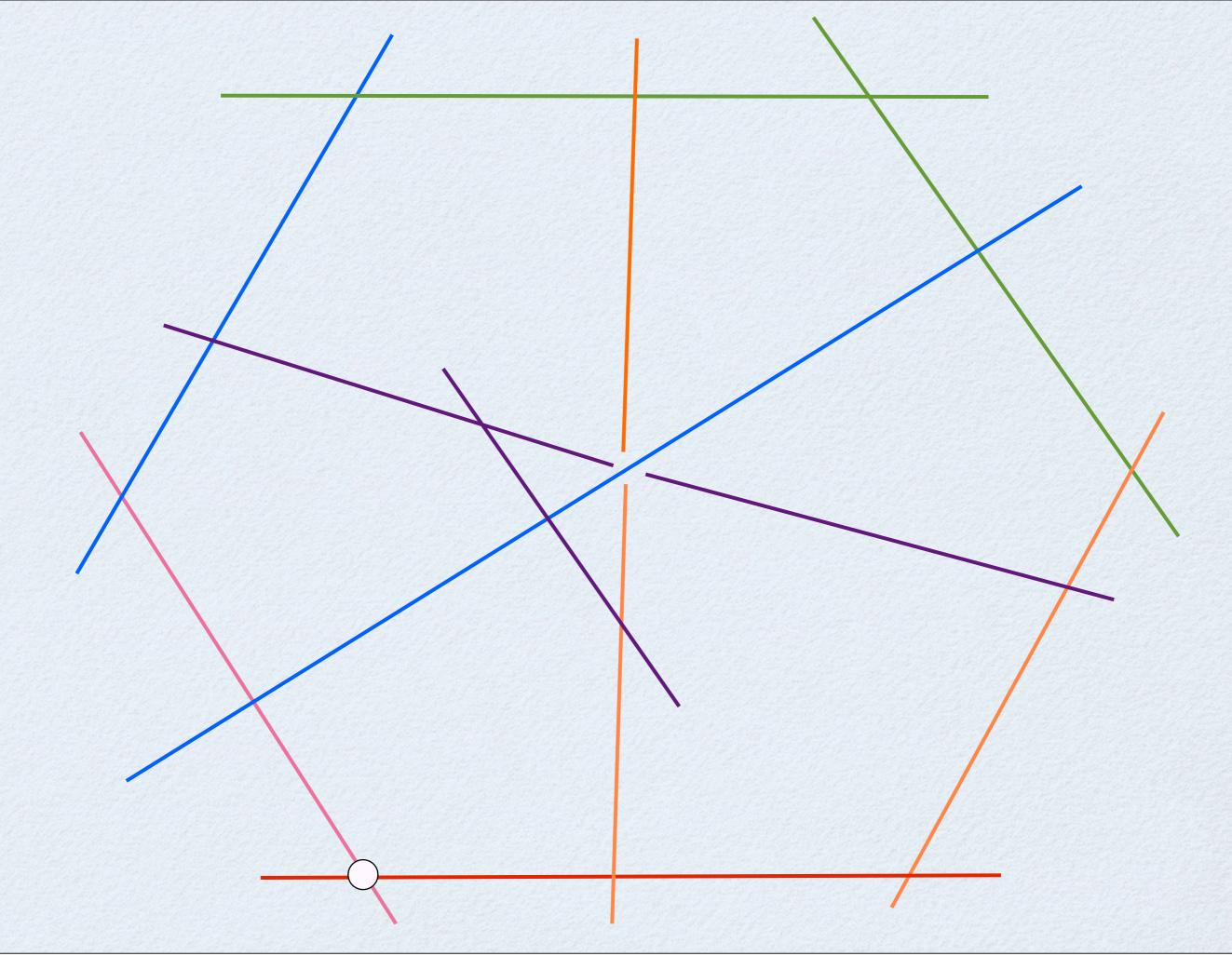


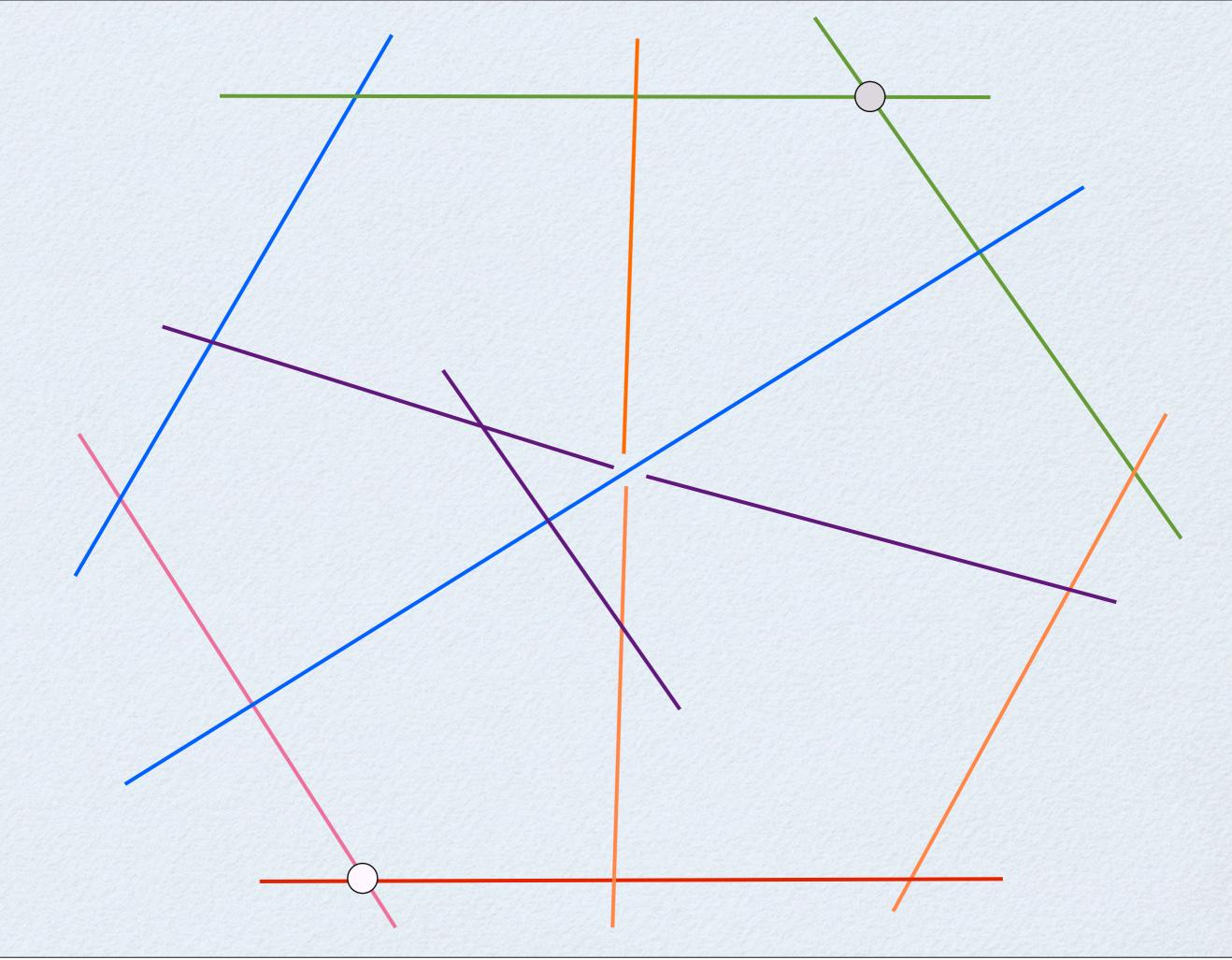


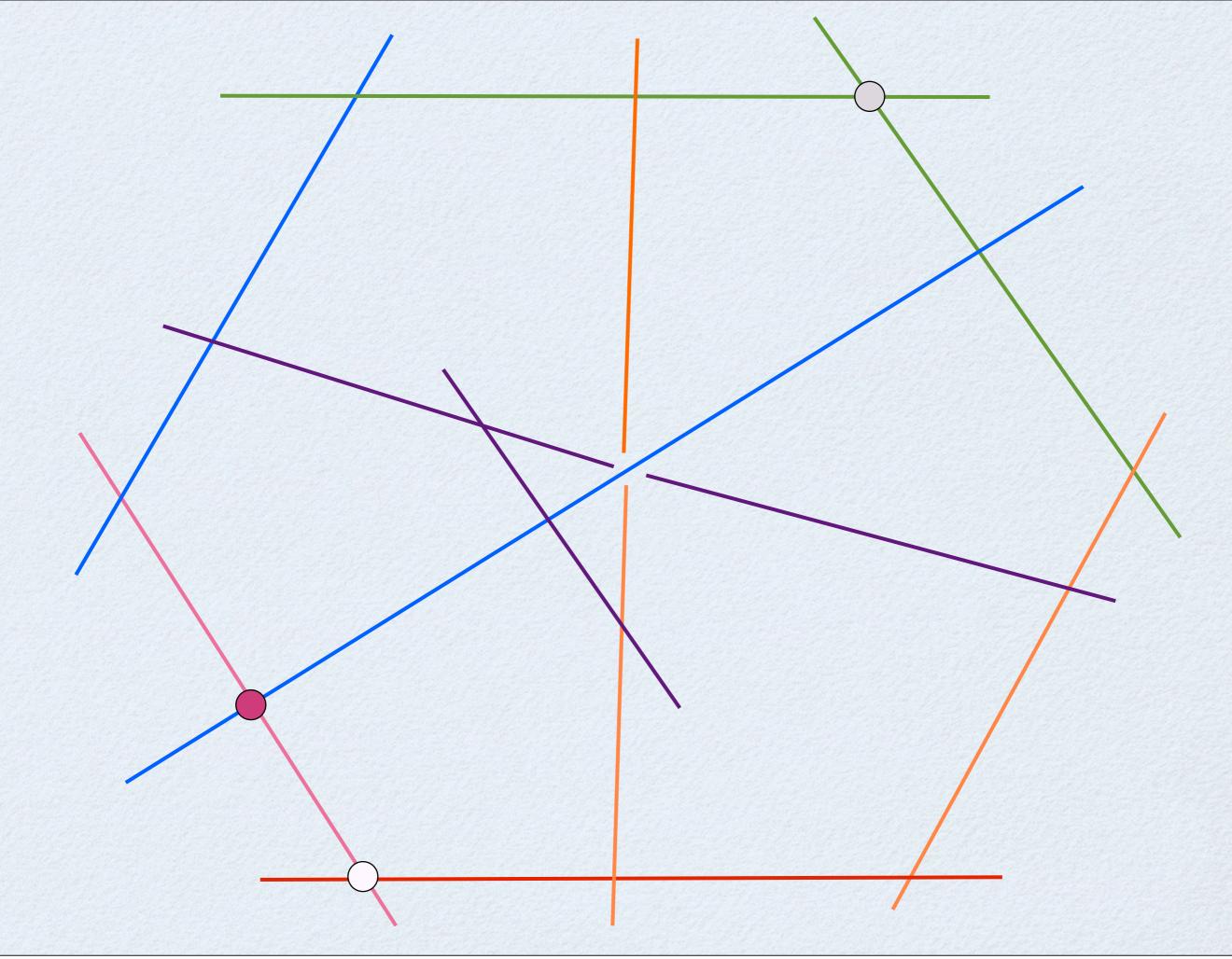


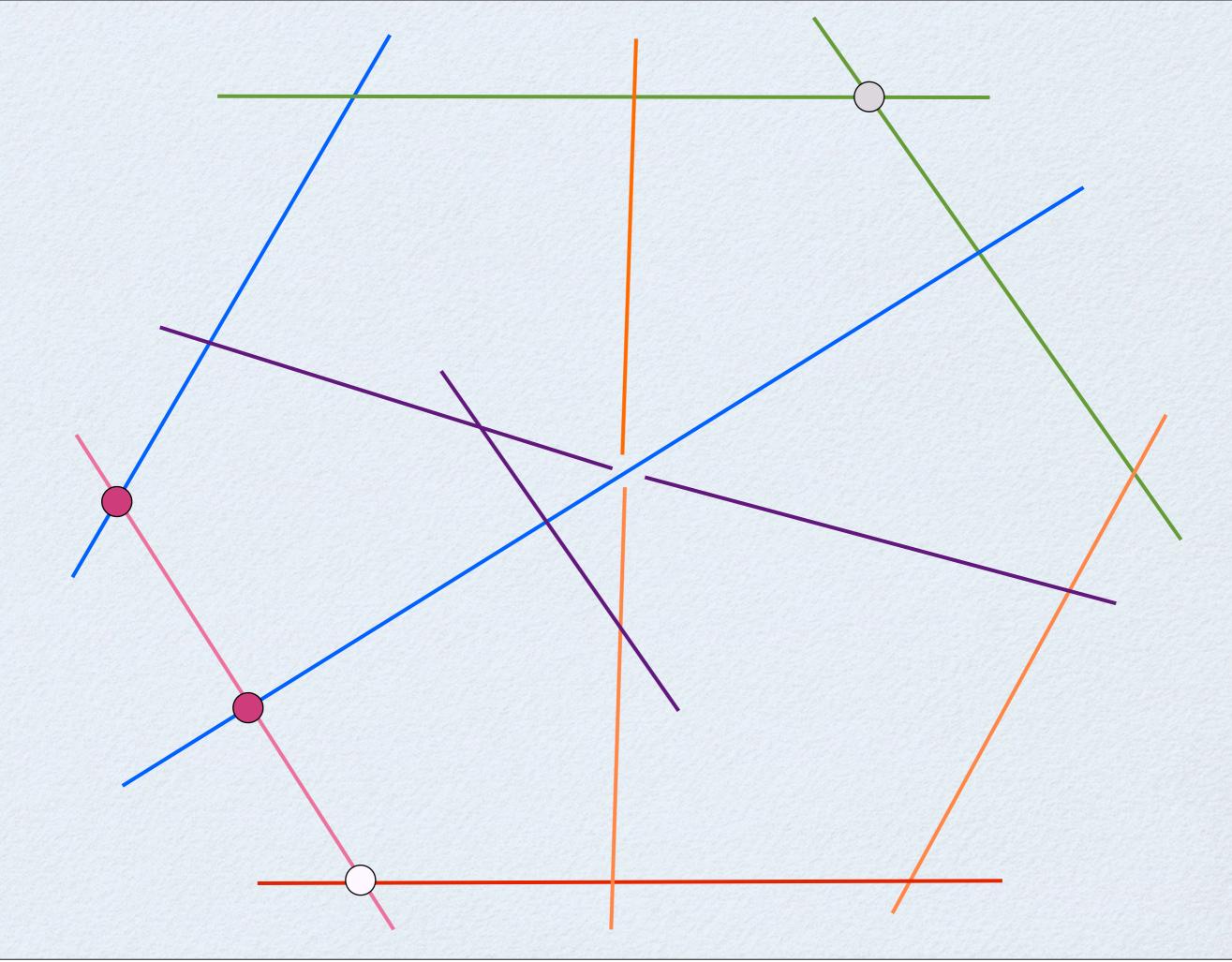


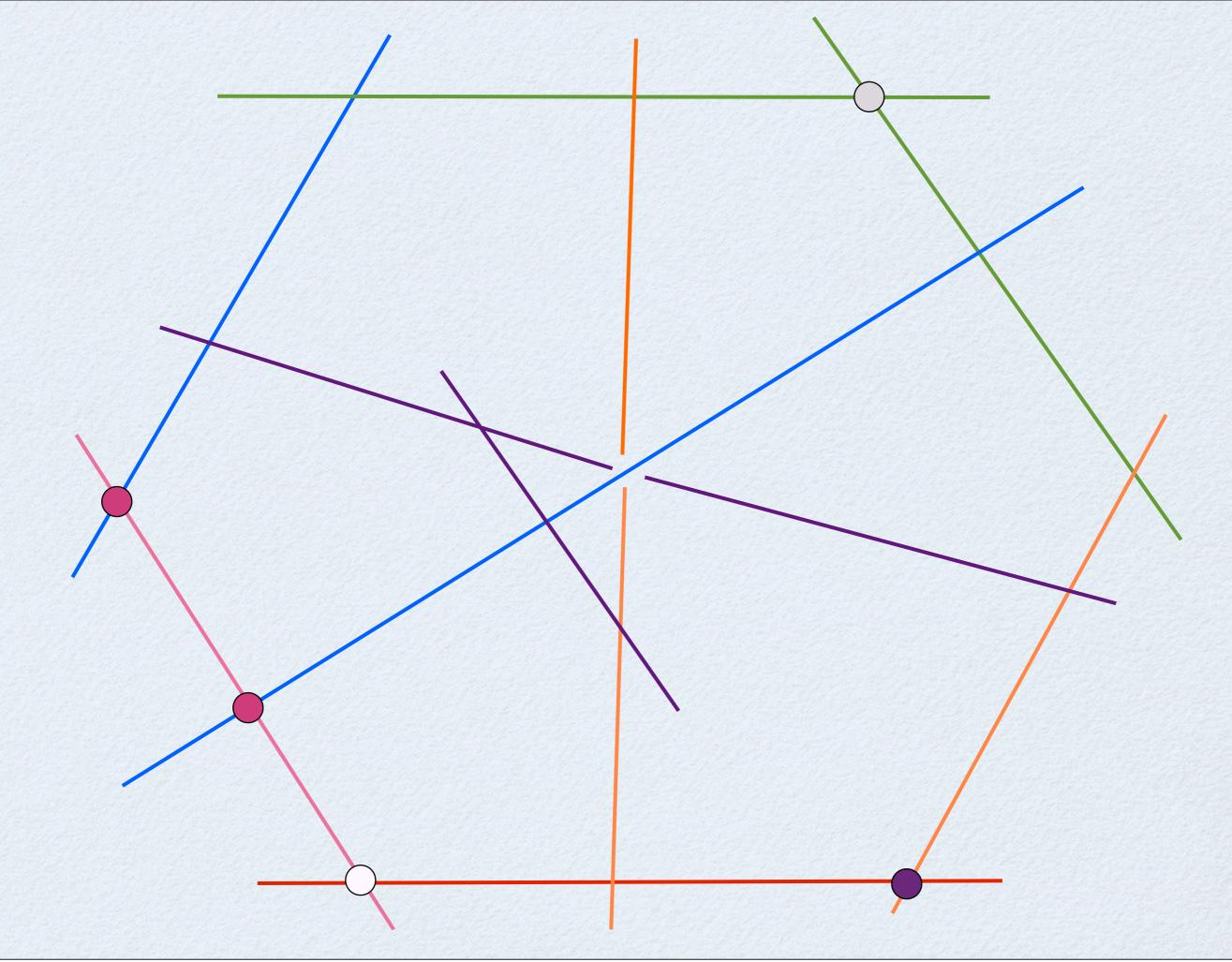


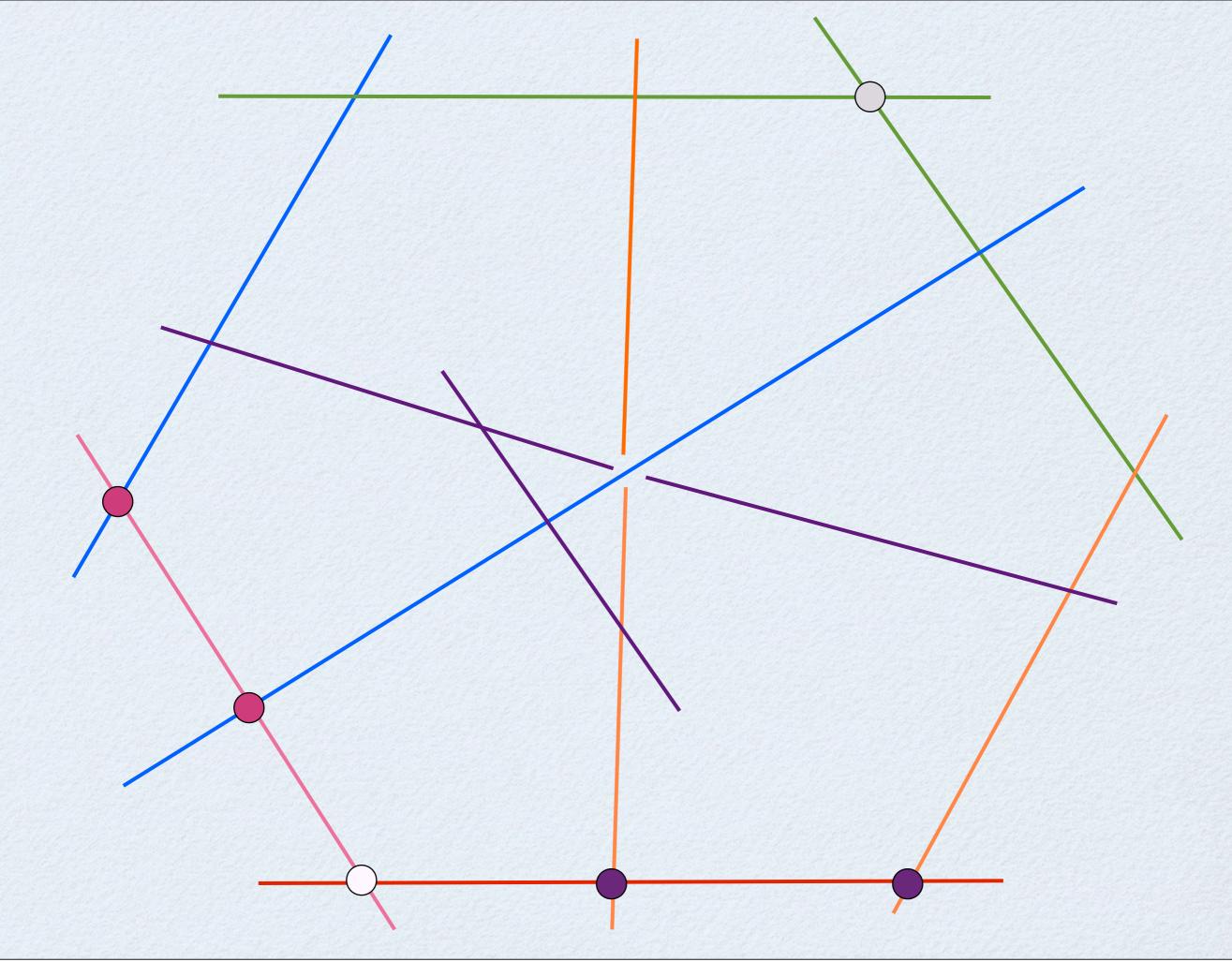


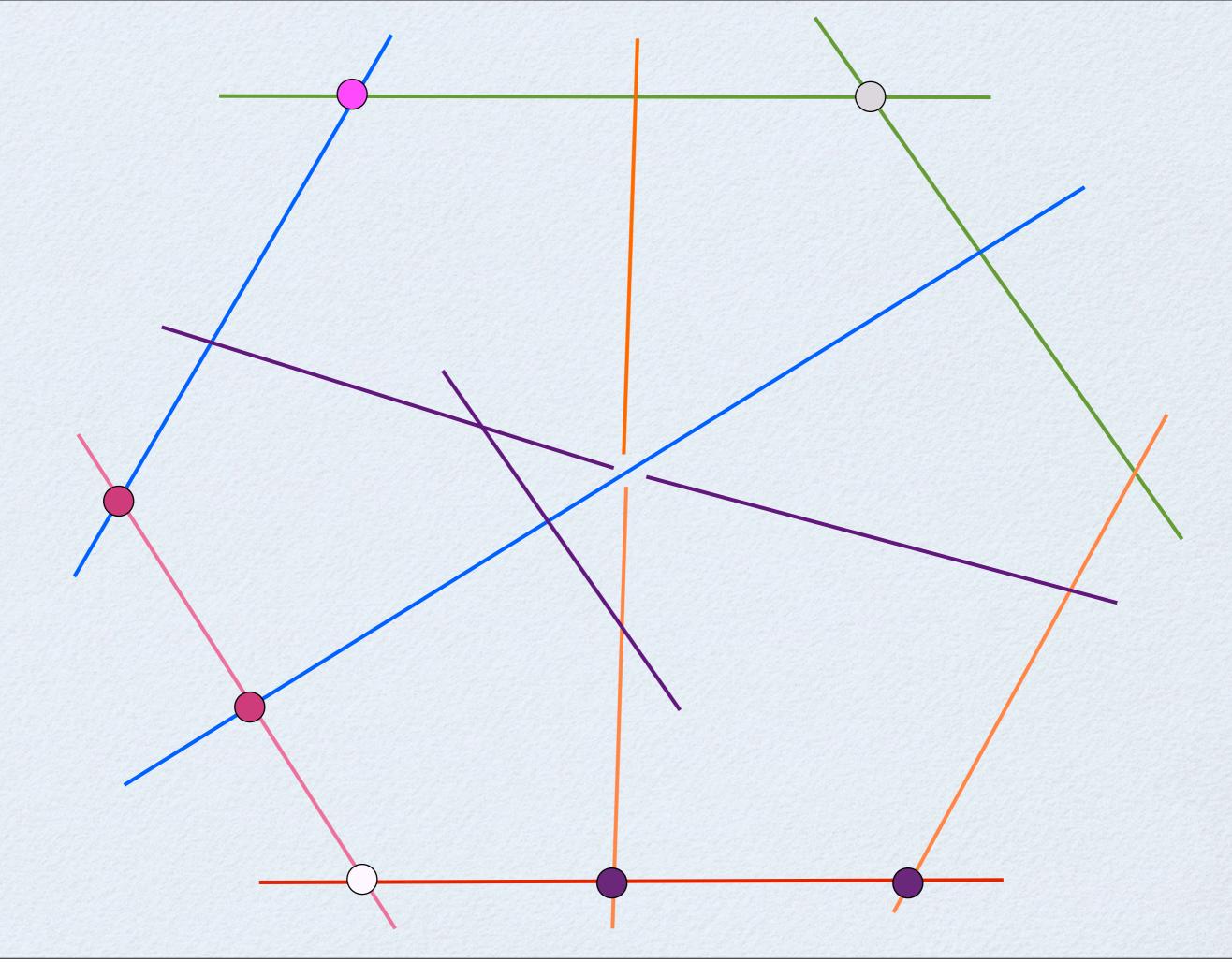


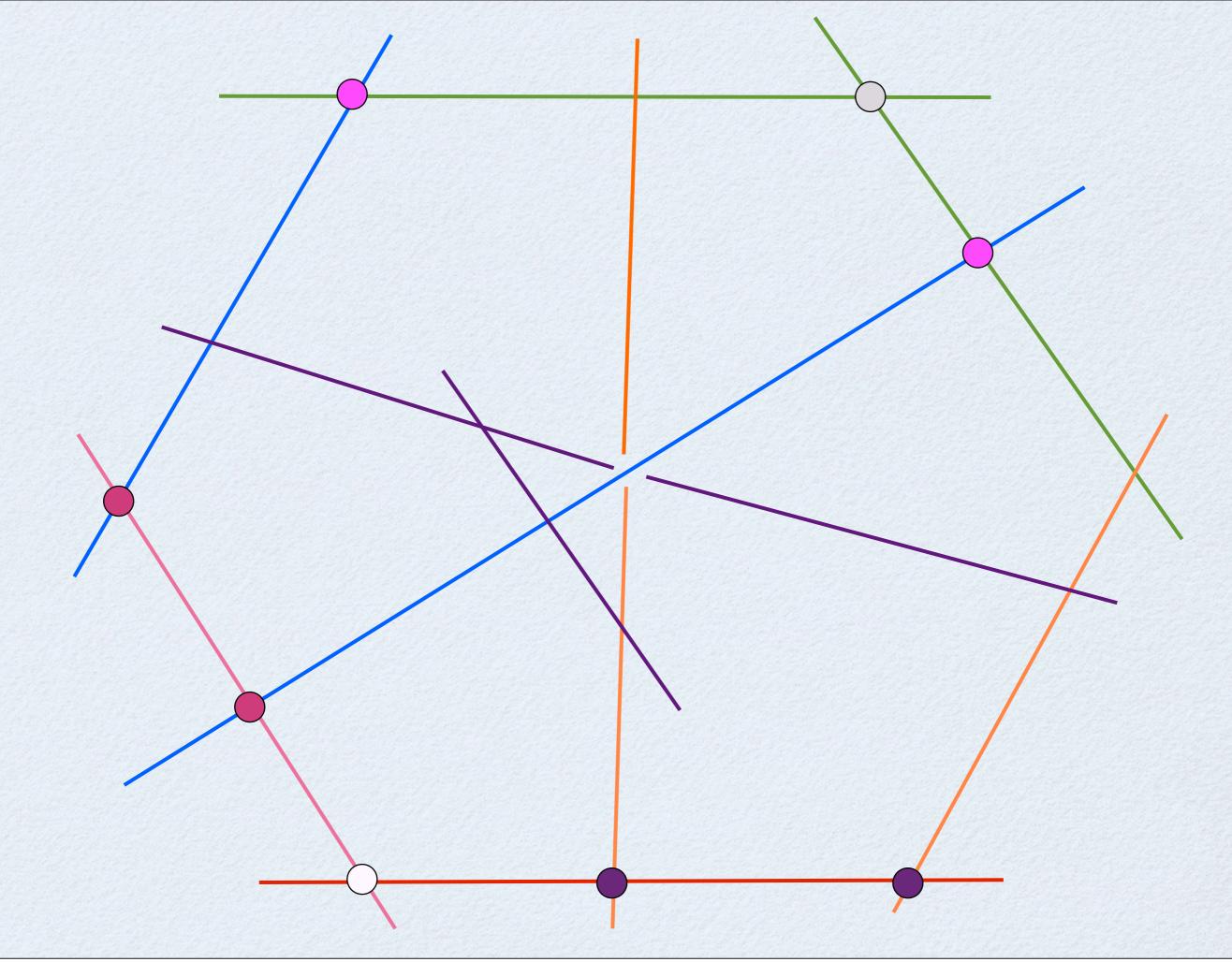


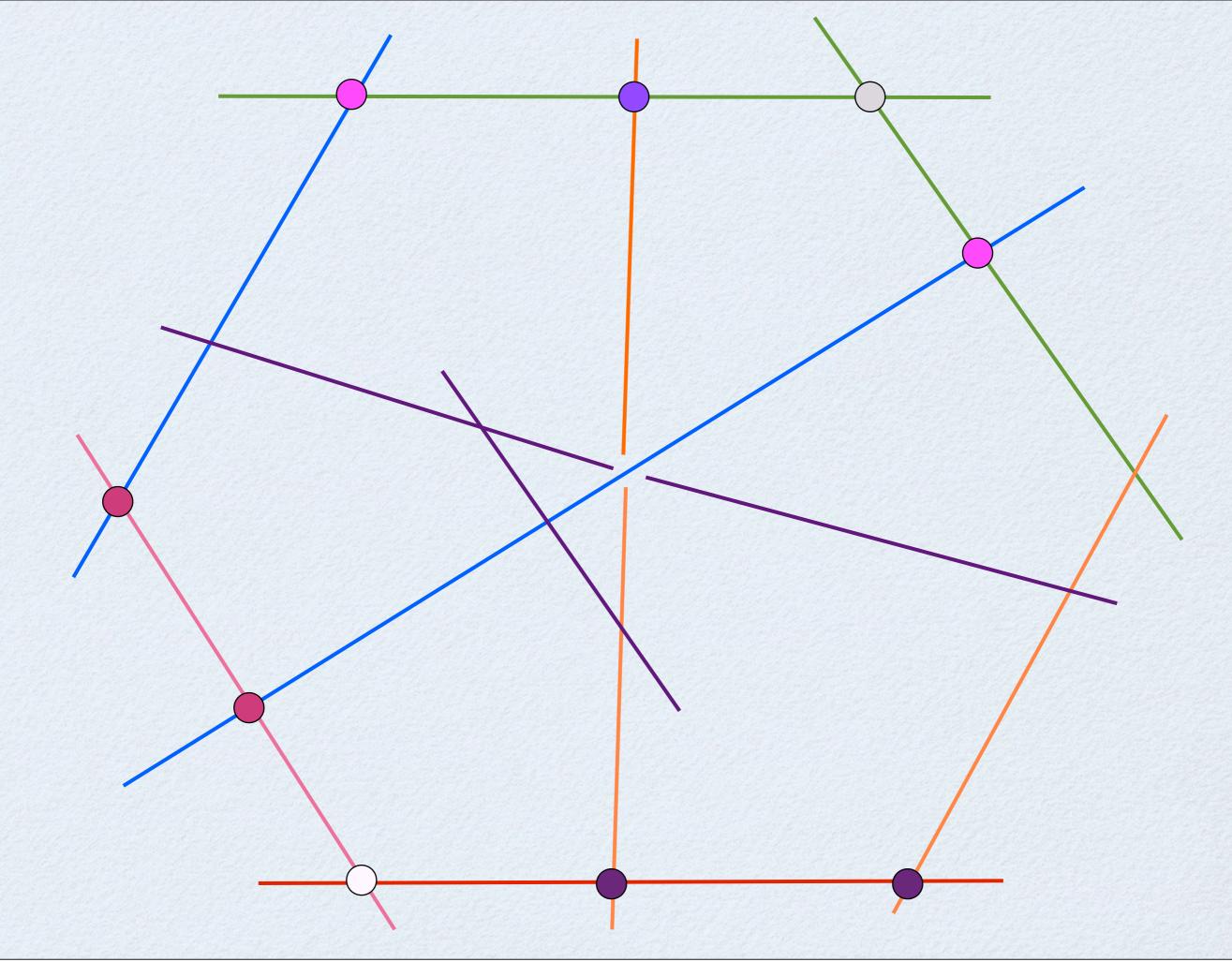


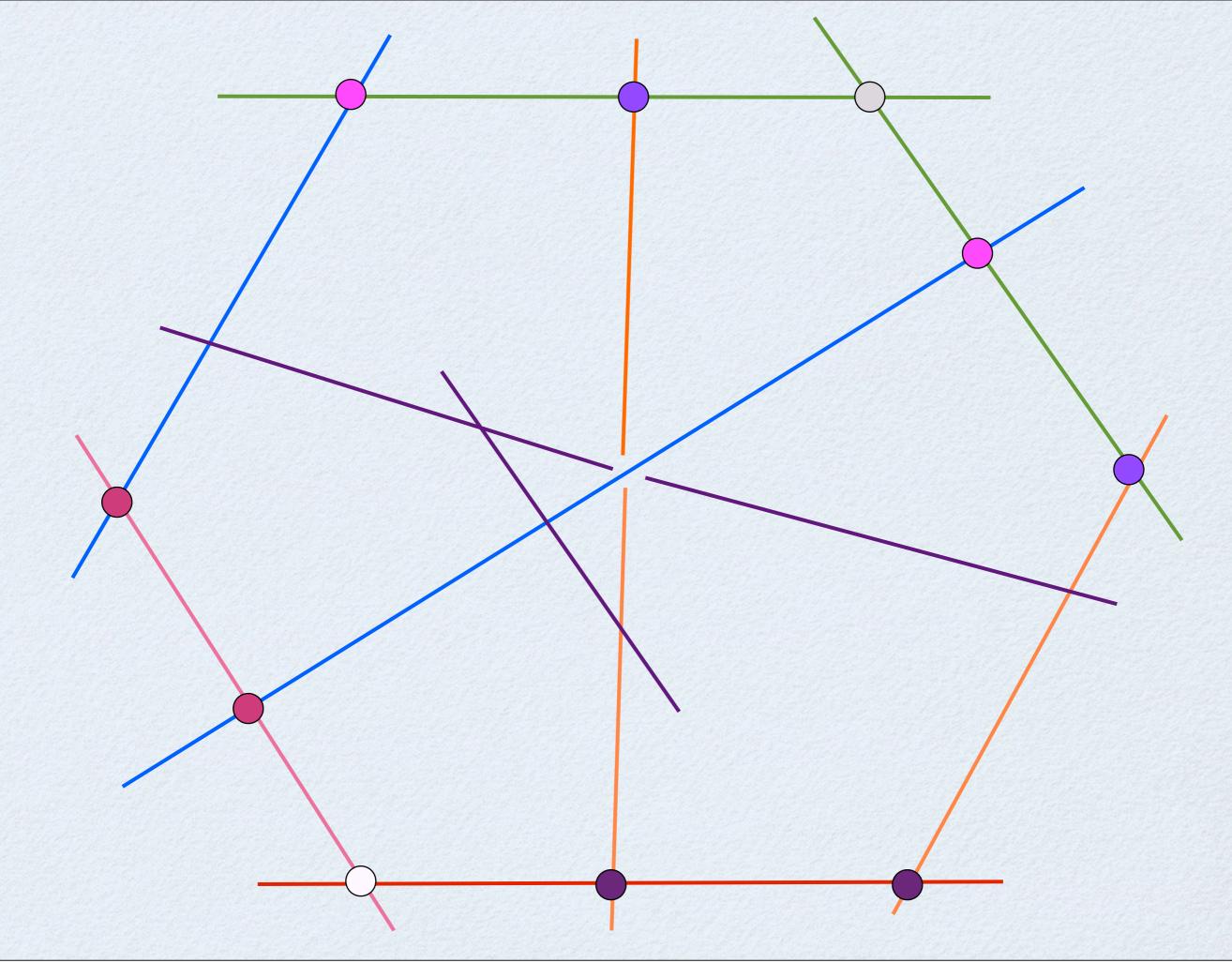






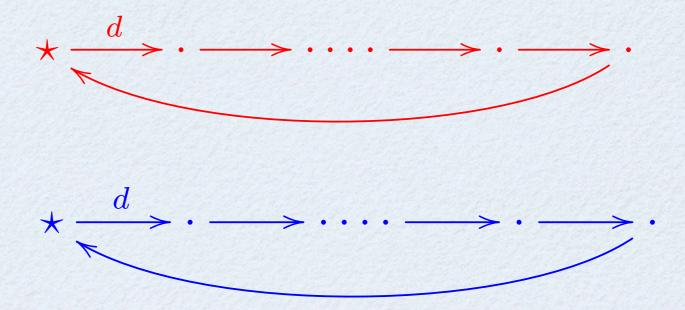






### In general....

Let  $f: (S^2, P) \to (S^2, P)$  be the formal mating of two critically finite hyperbolic polynomials which are unicritical.



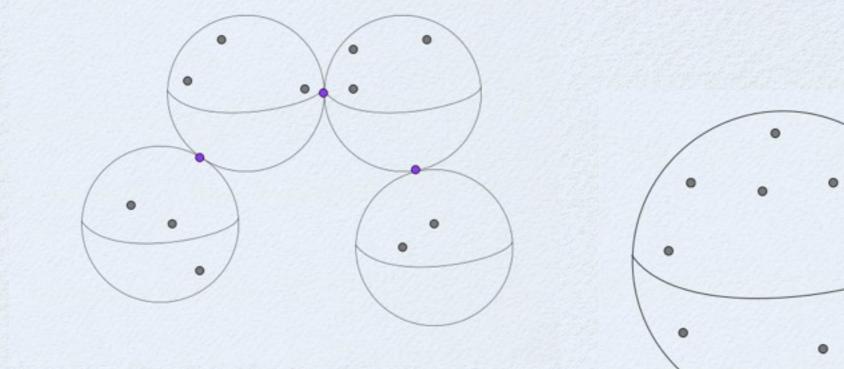
Then a moduli space map exists  $g: \mathcal{M}_P \dashrightarrow \mathcal{M}_P$ , and we examine the associated skew product

$$G: \mathbb{C}^n \to \mathbb{C}^n$$
 given by  $G: \begin{pmatrix} t \\ \mathbf{x} \end{pmatrix} \mapsto \begin{pmatrix} F_{\mathbf{x}}(t) \\ g(\mathbf{x}) \end{pmatrix}$ 

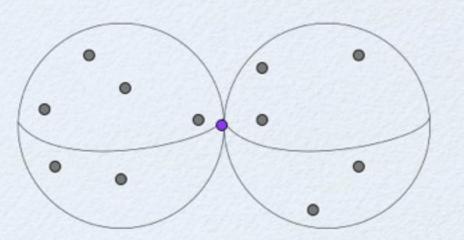
**Proposition.** The map  $G = \mu \circ s$ , where  $s : \mathbb{P}^n \to \mathbb{P}^n$  is the *d*th power map, and  $\mu : \mathbb{P}^n \dashrightarrow \mathbb{P}^n$  is a birational transformation of  $\mathbb{P}^n$  induced by the permutation of *P* coming from the ramification portrait.

#### A sufficient compactification

Suppose |P| = n, and consider  $\mathcal{M}_P$ .

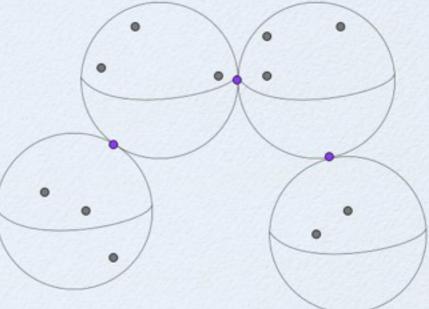


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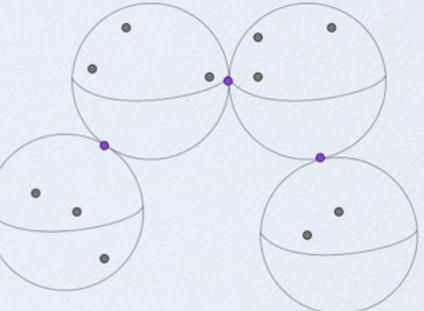
Instead of a  $\mathbb{P}^1$  with marked points, we have a *stable curve* with marked points and nodes.

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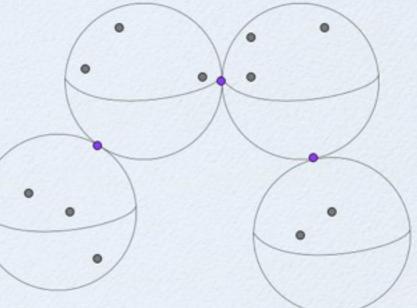
Instead of a  $\mathbb{P}^1$  with marked points, we have a *stable curve* with marked points and nodes.

This is known as the Deligne-Mumford compactification of  $\mathcal{M}_P$ , which we denote as  $\overline{\mathcal{M}}_n$ .



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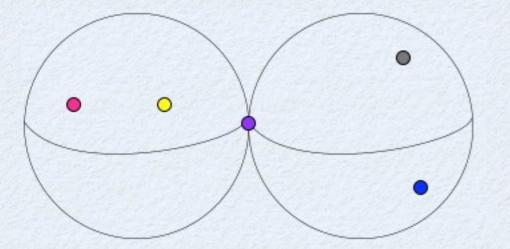


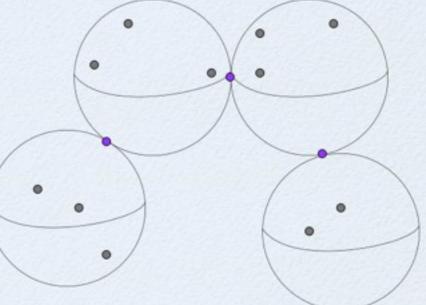
Example: Suppose |P| = 4, and normalize, identifying  $\mathcal{M}_P \approx \mathbb{P}^1 - \Delta$ ,

 $\Delta = \{0, 1, \infty\}$ 

Instead of a  $\mathbb{P}^1$  with marked points, we have a *stable curve* with marked points and nodes.

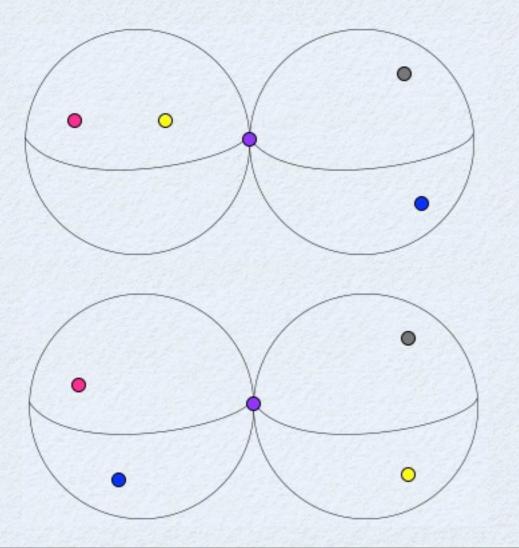
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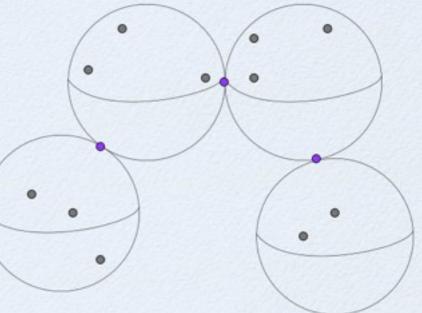




Instead of a  $\mathbb{P}^1$  with marked points, we have a *stable curve* with marked points and nodes.

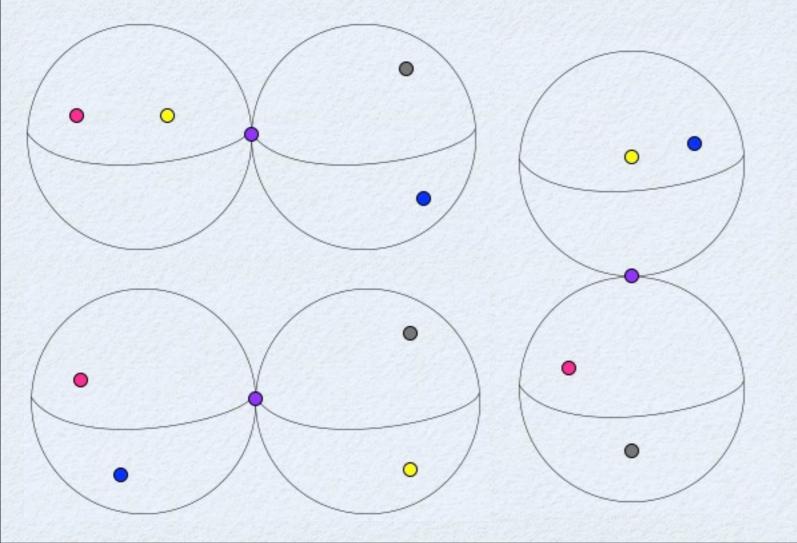
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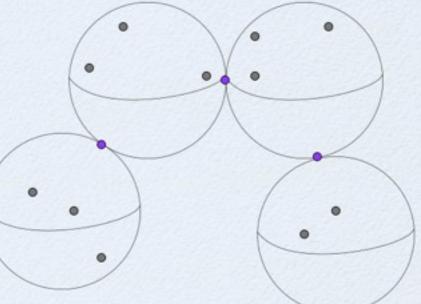




Instead of a  $\mathbb{P}^1$  with marked points, we have a *stable curve* with marked points and nodes.

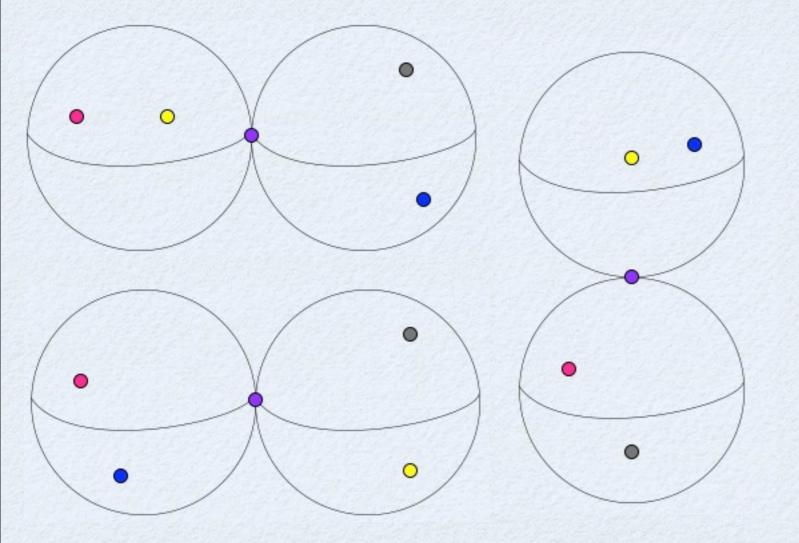
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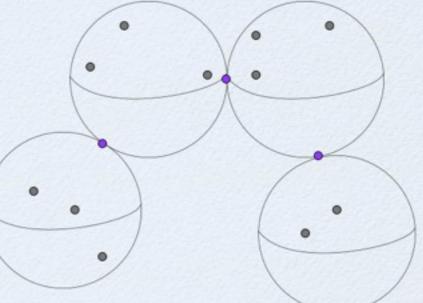




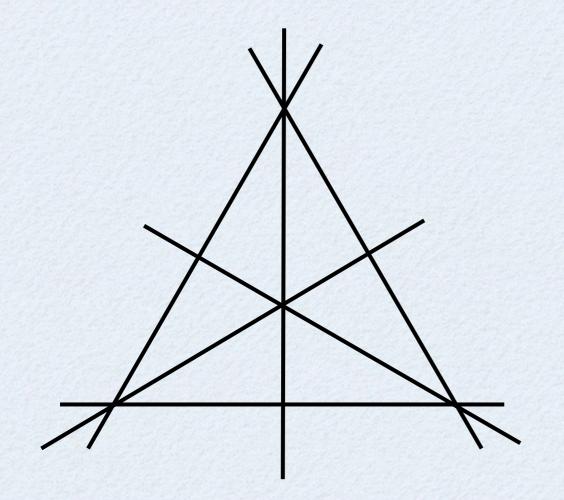
Instead of a  $\mathbb{P}^1$  with marked points, we have a *stable curve* with marked points and nodes.

This is known as the Deligne-Mumford compactification of  $\mathcal{M}_P$ , which we denote as  $\overline{\mathcal{M}}_n$ .

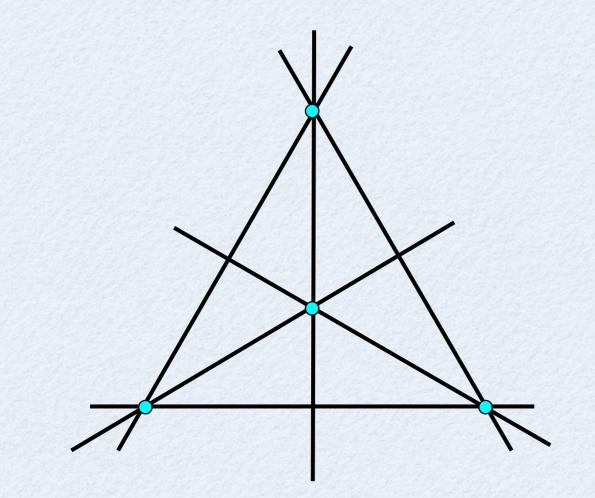




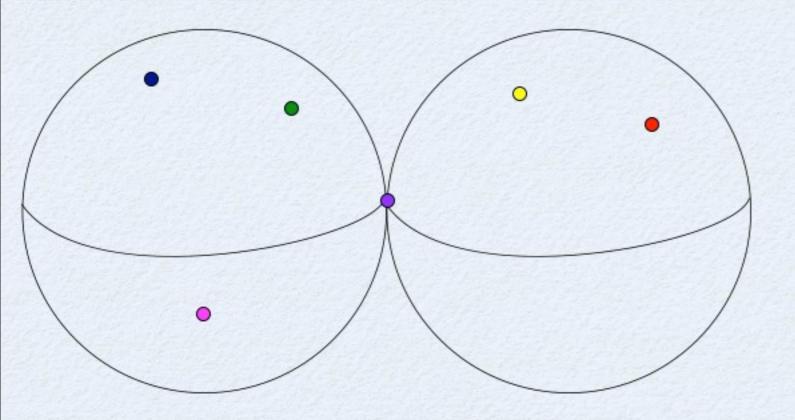
 $\mathcal{M}_4 pprox \mathbb{P}^1$ 

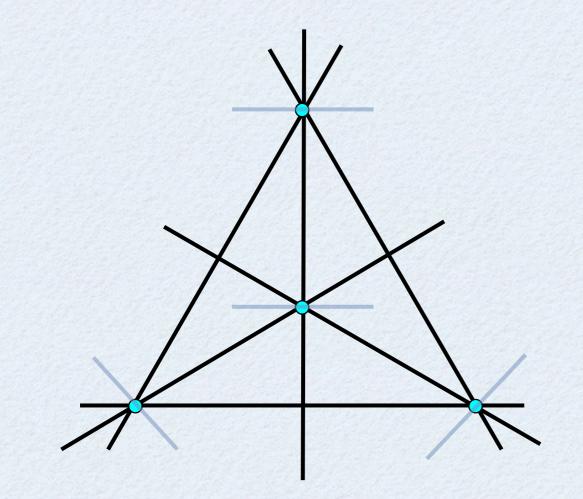


 $\mathcal{M}_P \approx \mathbb{P}^2 - \Delta,$ 

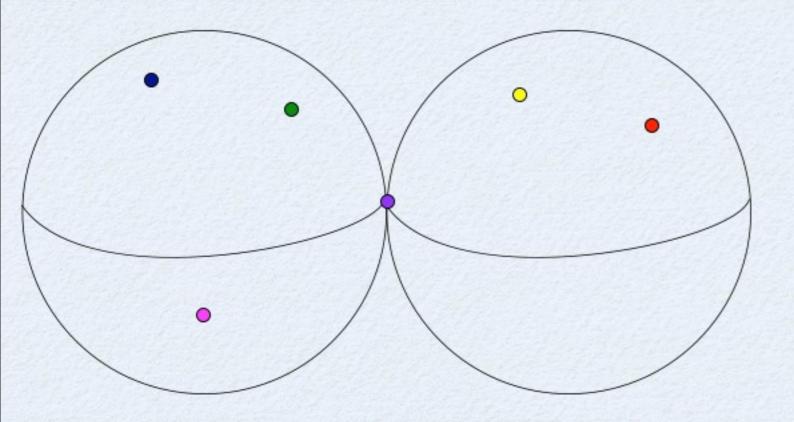


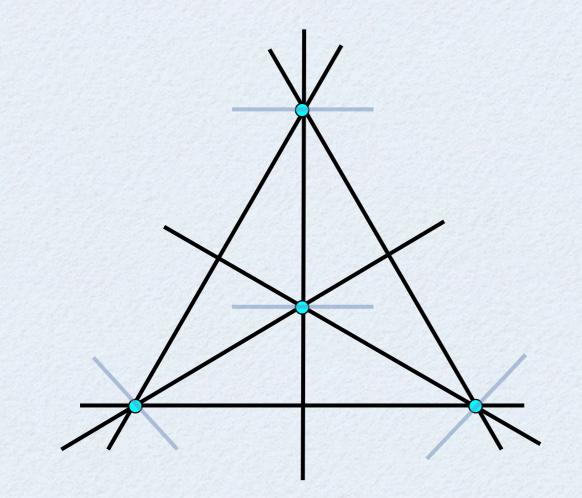
 $\mathcal{M}_P \approx \mathbb{P}^2 - \Delta,$ 



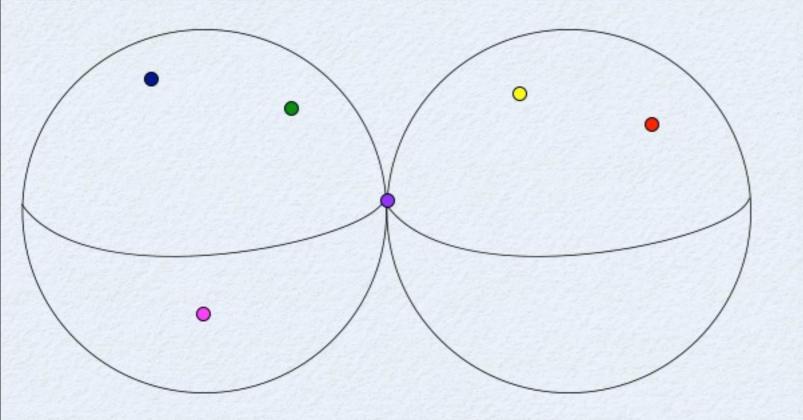


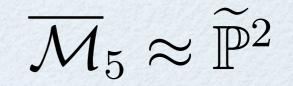
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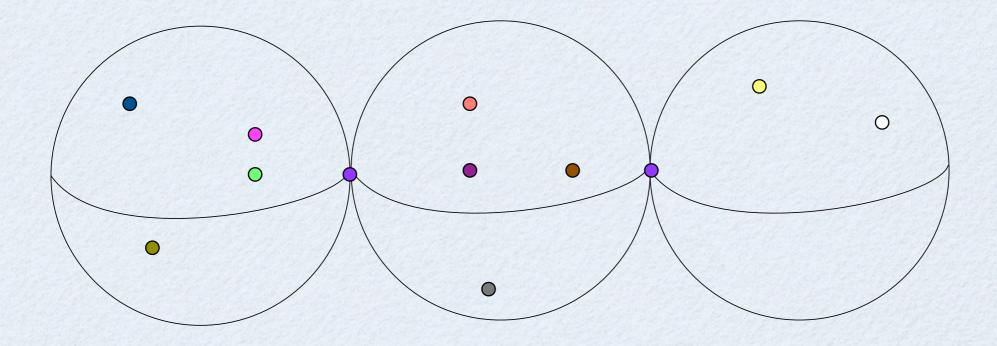


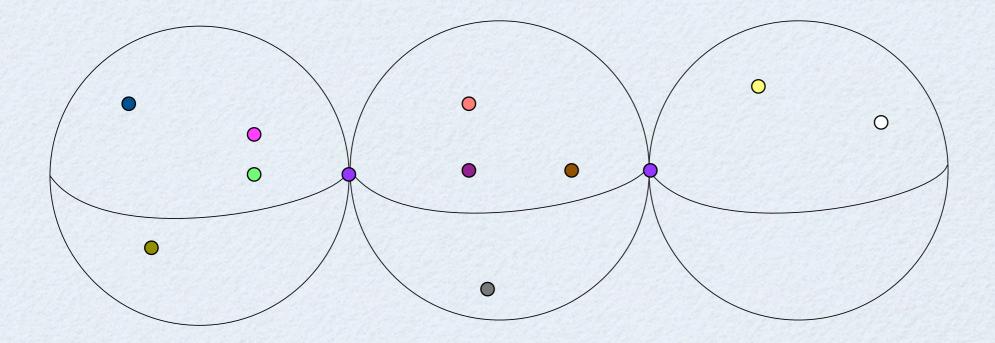
**Proposition.**  $\overline{\mathcal{M}}_6$  is isomorphic to the space obtained by

- first blowing up 5 points in  $\mathbb{P}^3$  in general position
- then blowing up the proper transforms of the 10 lines between pairs of these points.

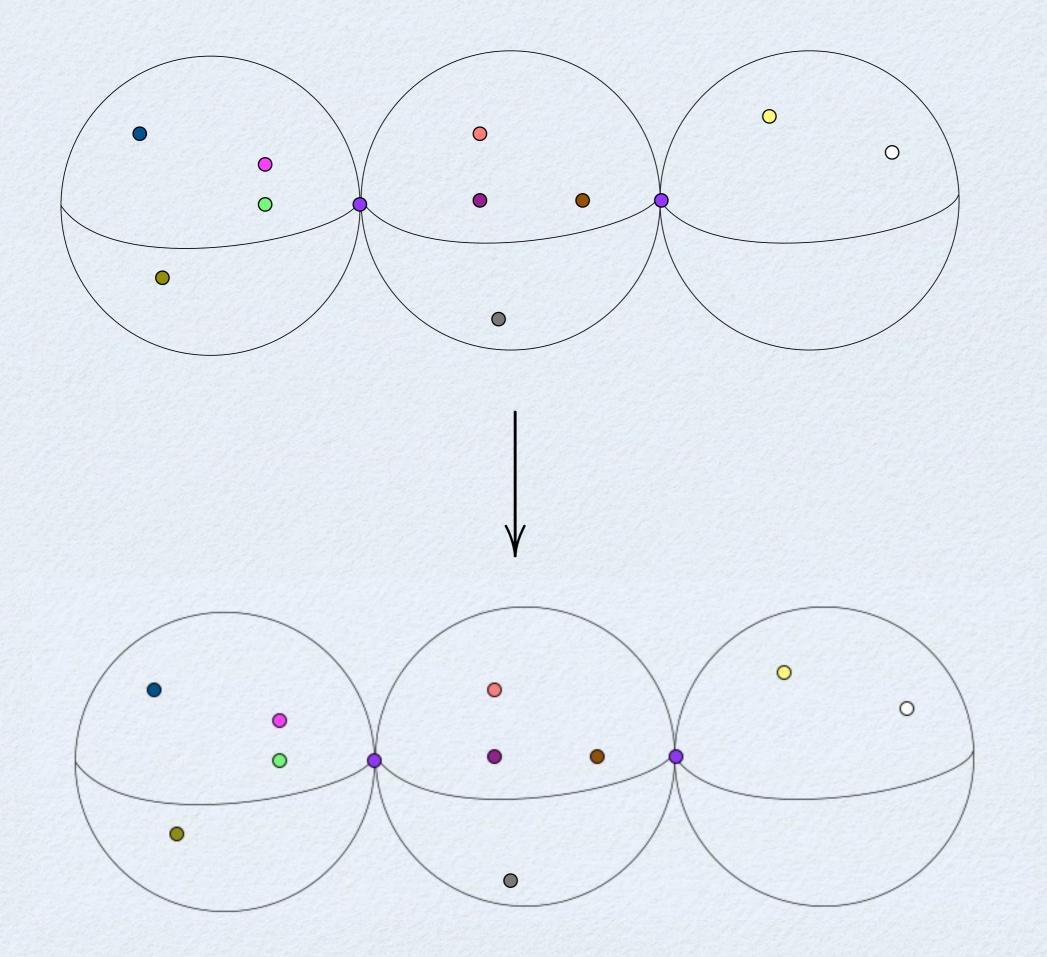
Consider the general case: |P| = n. Take n - 1 points in general position in  $\mathbb{P}^{n-3}$ . Blow these points up, then blow up the proper transforms of the lines between pairs of these points, and continue...

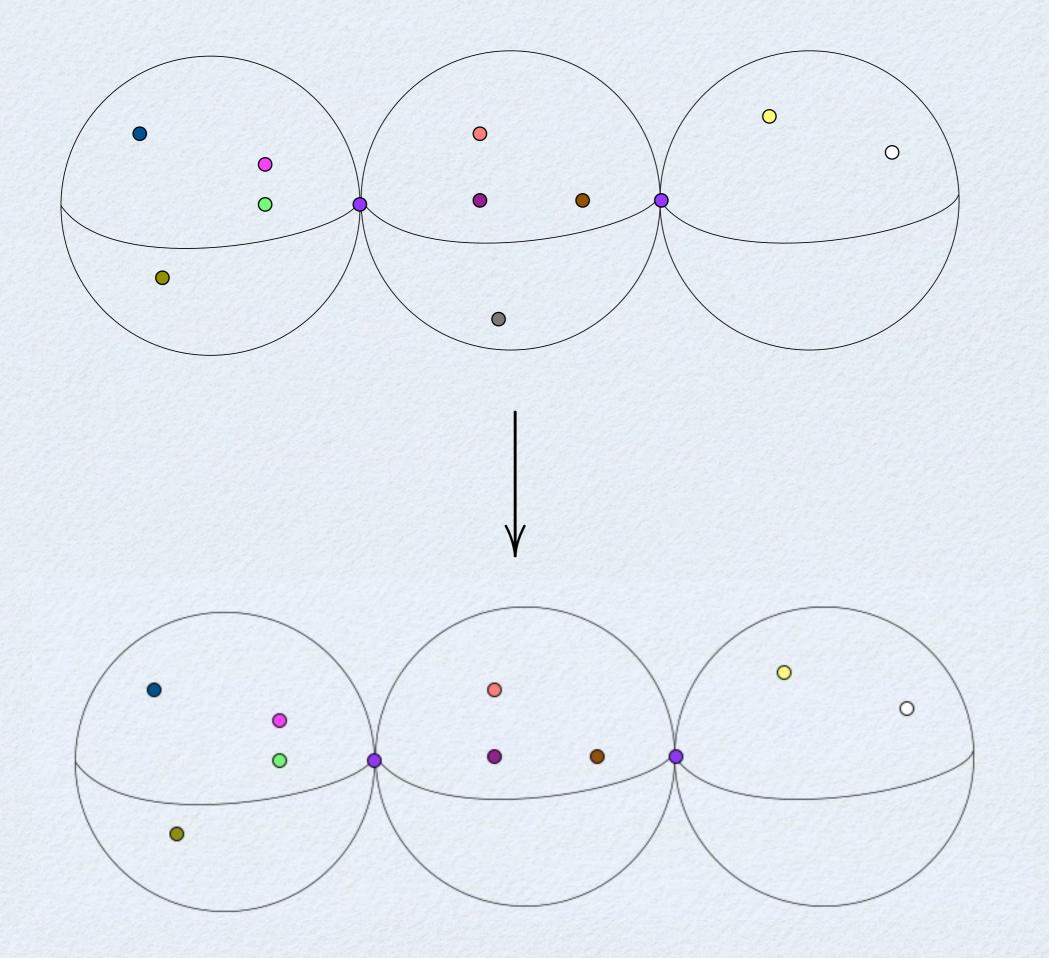
**Theorem.** (Lloyd-Philipps) The space  $\overline{\mathcal{M}}_n$  is isomorphic to the "sequential blow up" of  $\mathbb{P}^{n-3}$  described above.

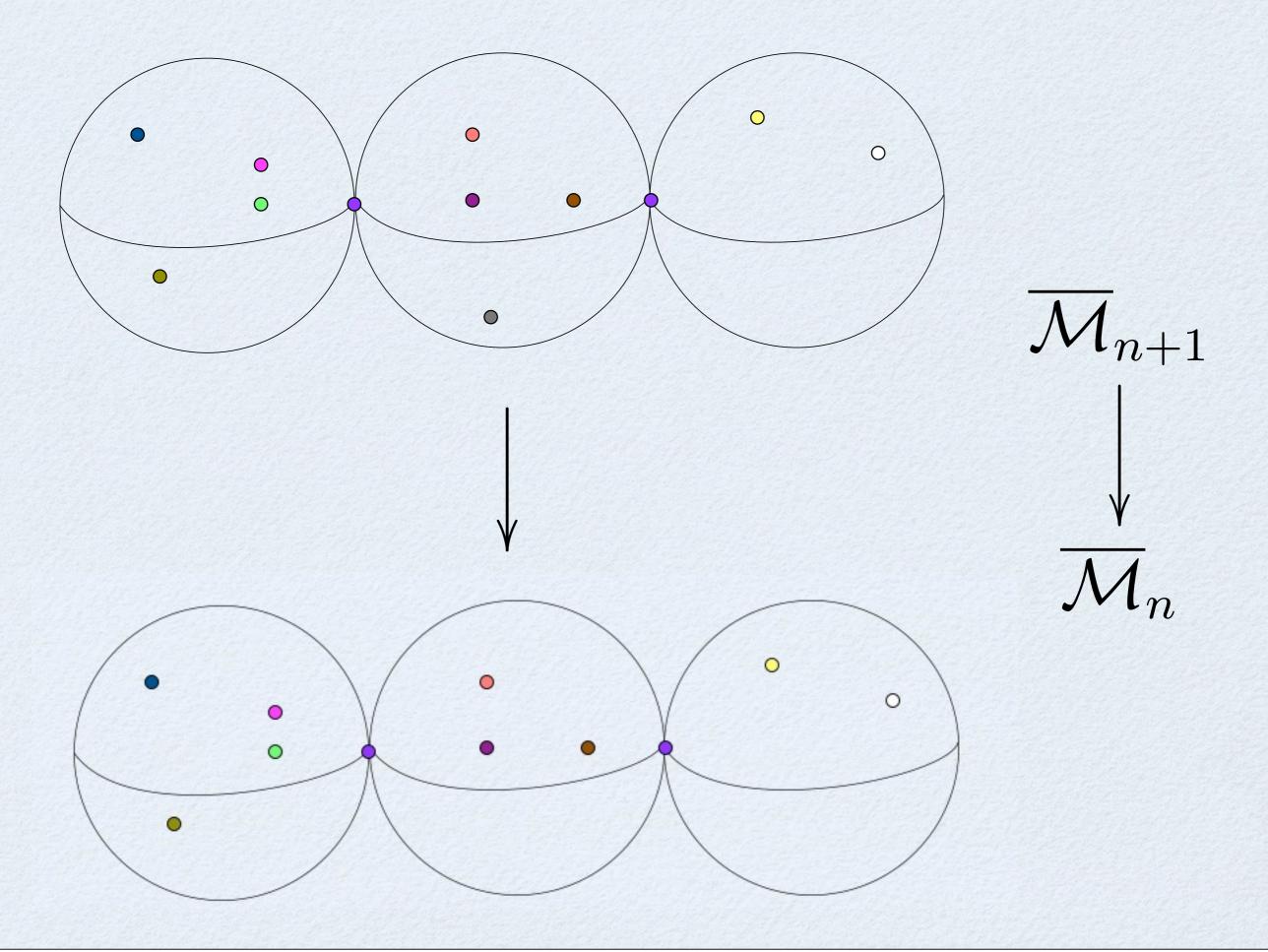


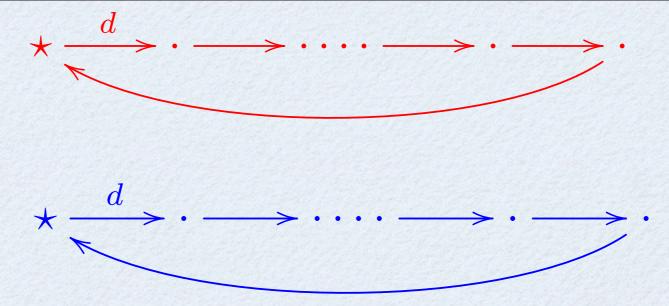


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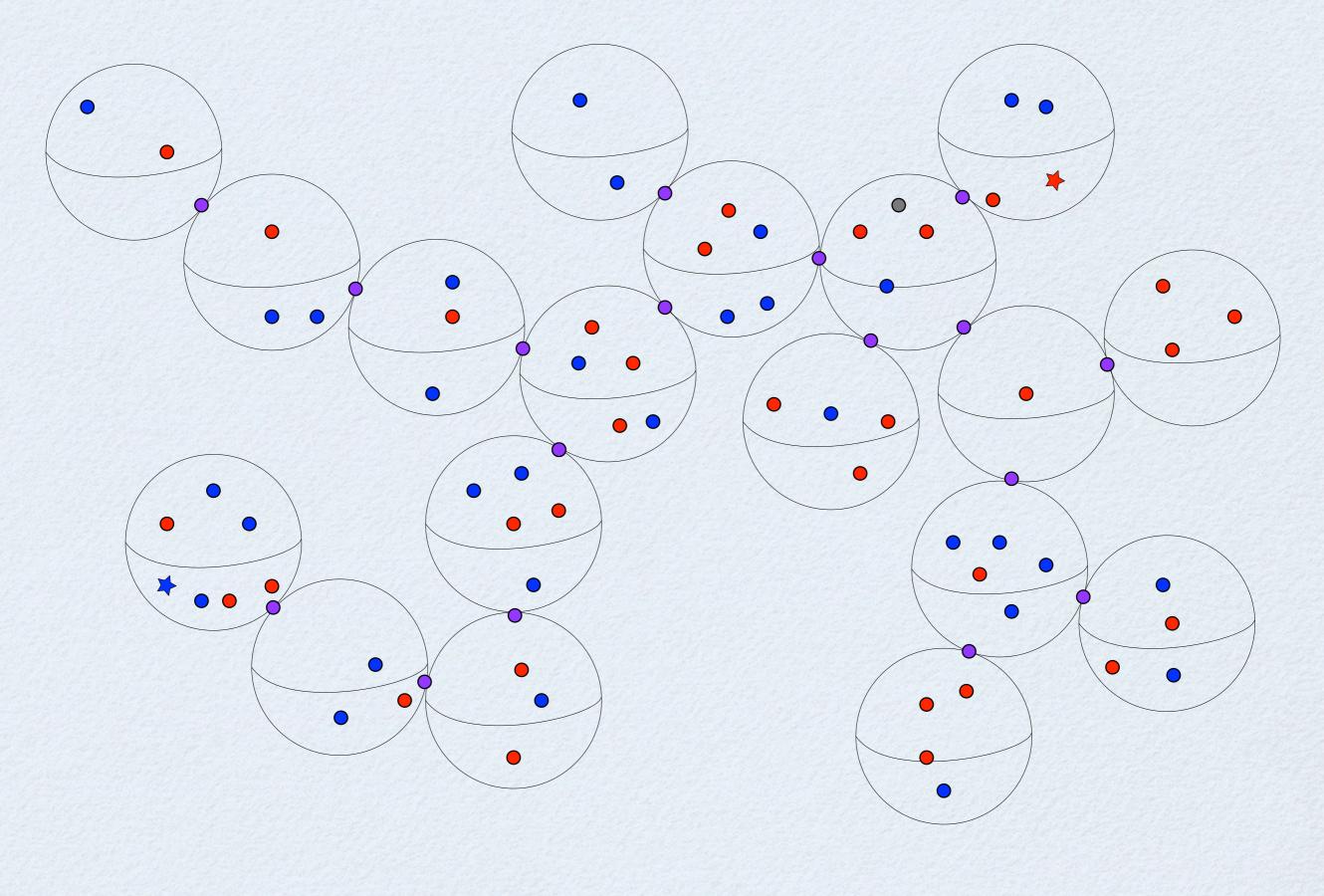


Then a moduli space map exists  $g: \mathcal{M}_P \dashrightarrow \mathcal{M}_P$ , and we examine the associated skew product

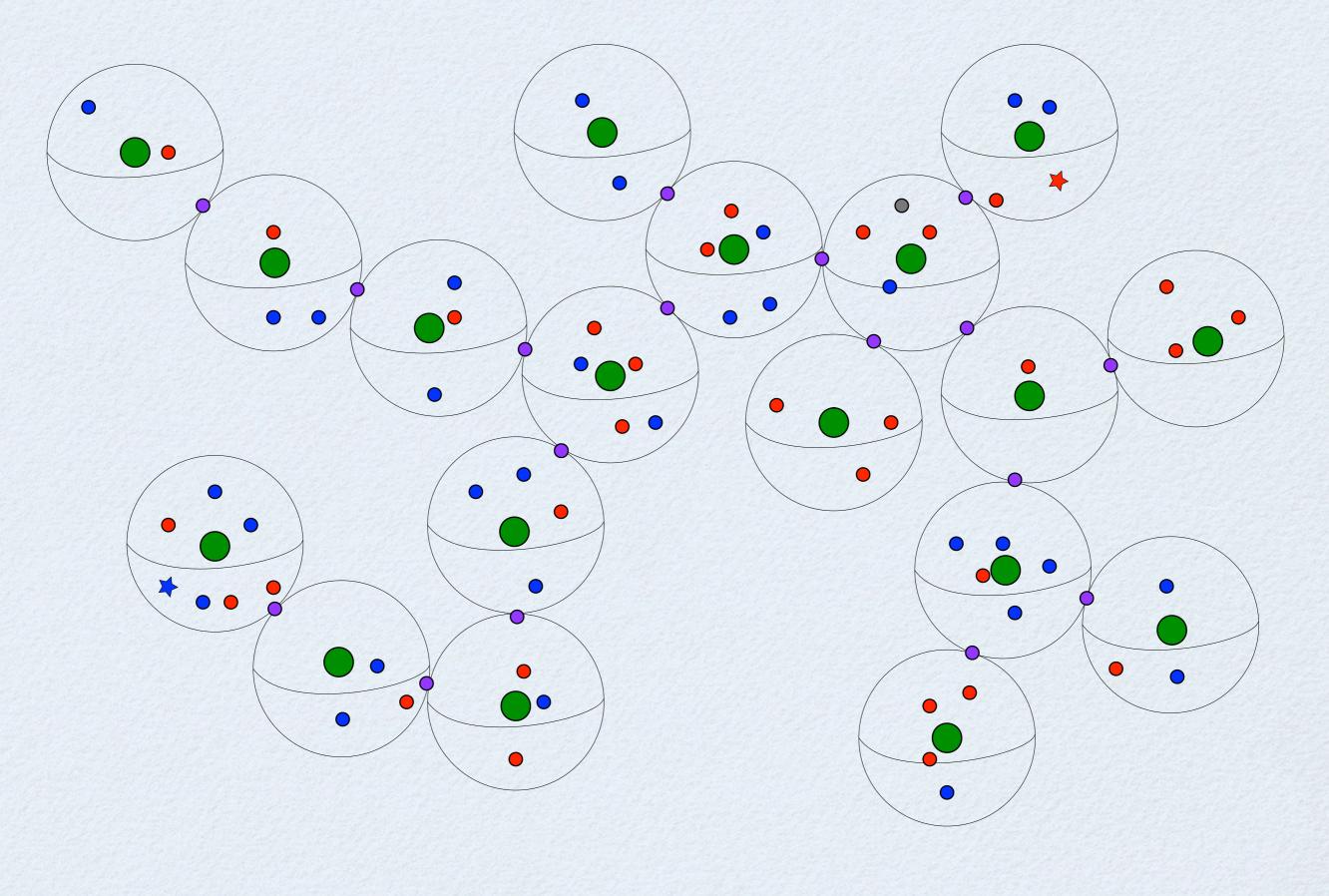
$$G: \mathbb{C}^n \to \mathbb{C}^n$$
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$$G: \mathbb{P}^n \dashrightarrow \mathbb{P}^n \qquad \qquad \widetilde{G}: \widetilde{\mathbb{P}}^n \dashrightarrow \widetilde{\mathbb{P}}^n$$

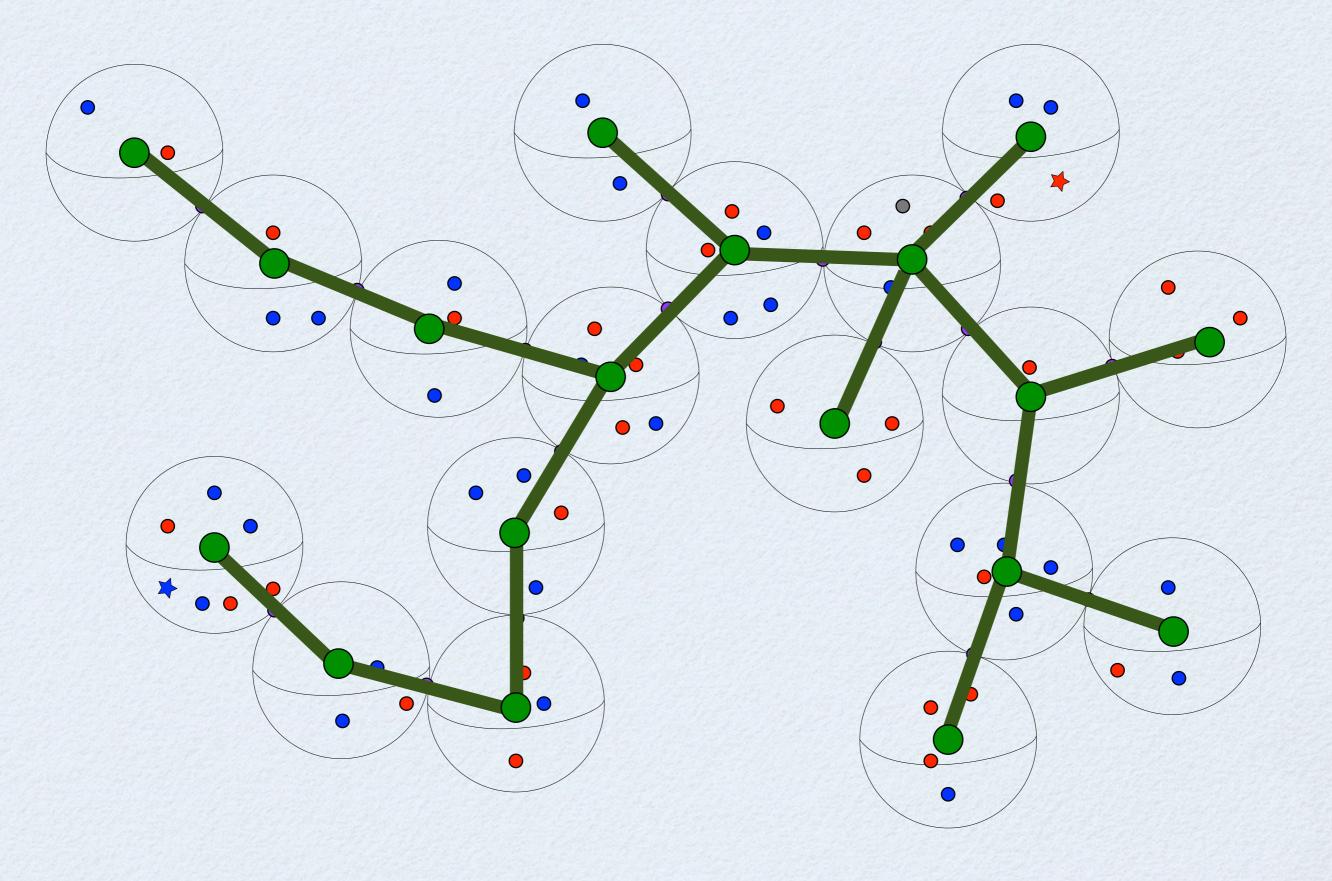
## Trees of spheres in $\widetilde{\mathbb{P}}^n$



## Trees of spheres in $\widetilde{\mathbb{P}}^n$



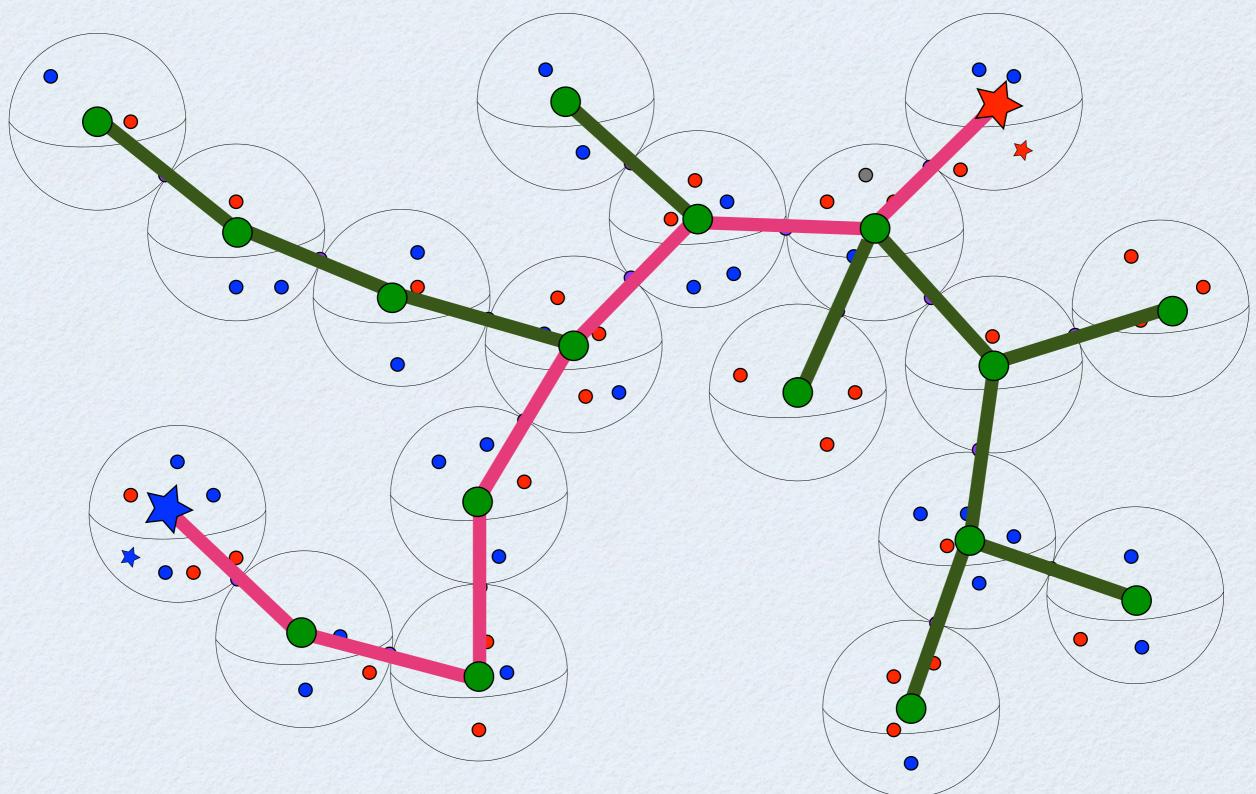
# Trees of spheres in $\widetilde{\mathbb{P}}^n$



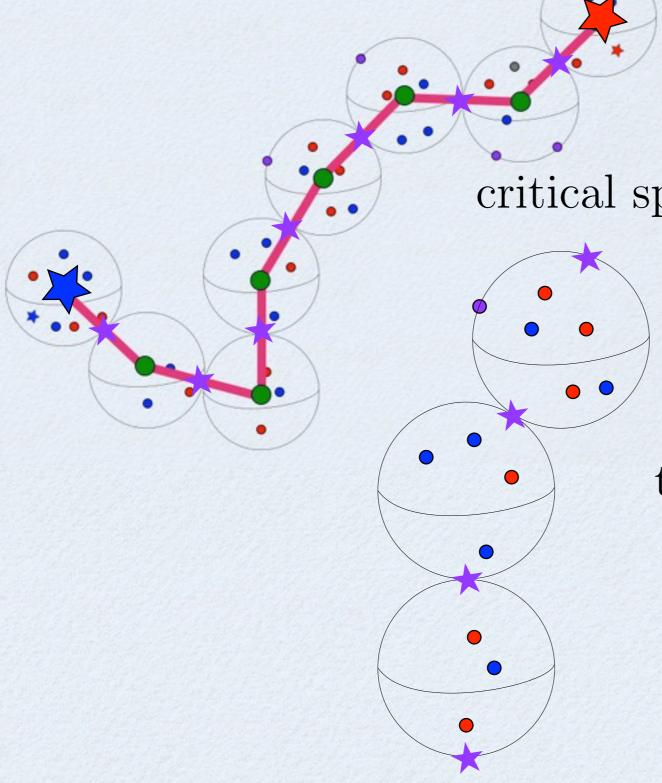
#### Define critical trunk:

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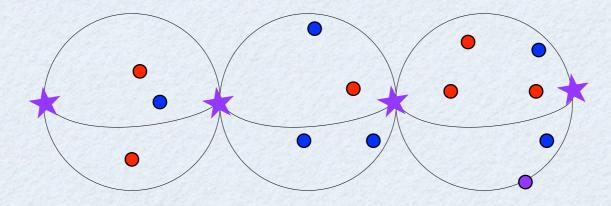
Every sphere in the critical trunk is called a *critical sphere*.

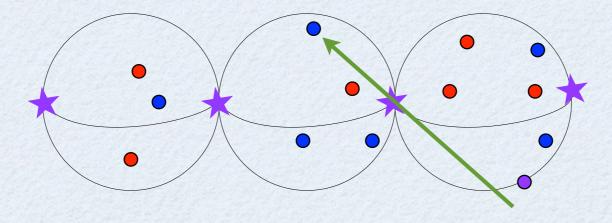


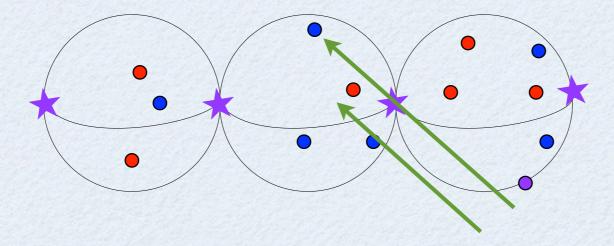
critical spheres: two distinguished points

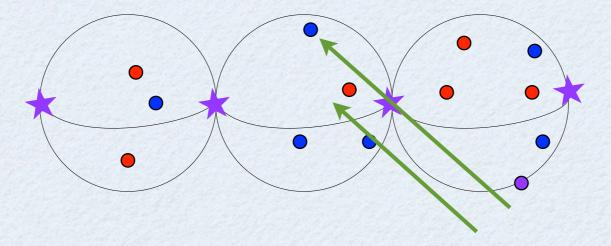
order d automorphism,  $\sigma_d$ 

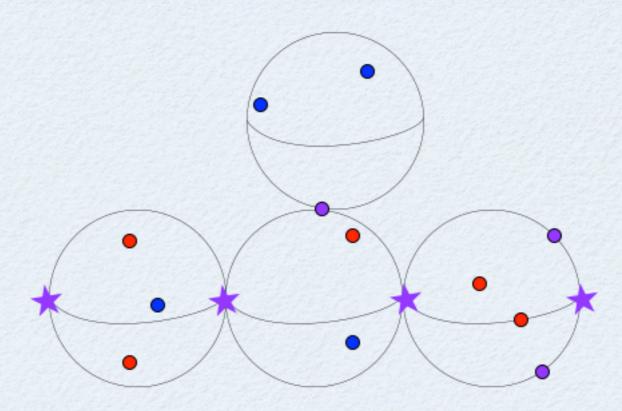
take quotient (critical trunk)/ $\sigma_d$ 

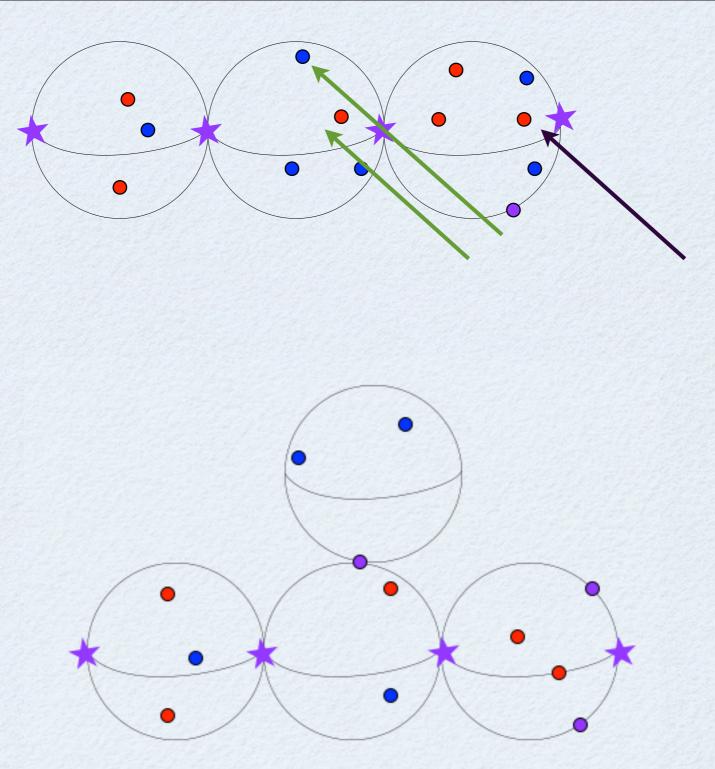


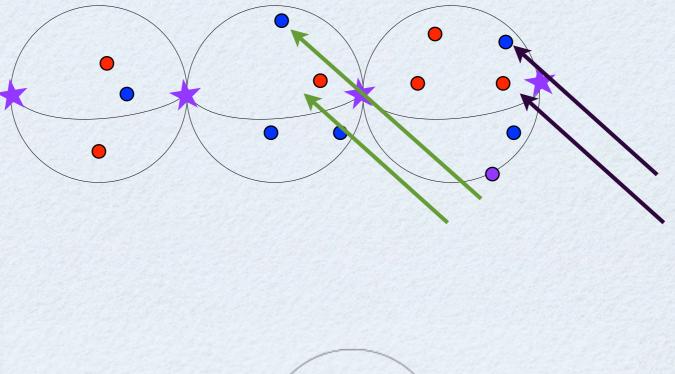


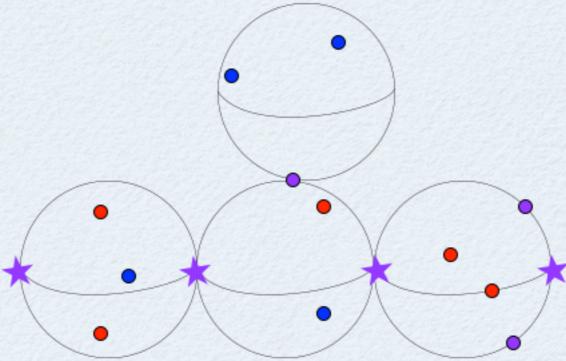


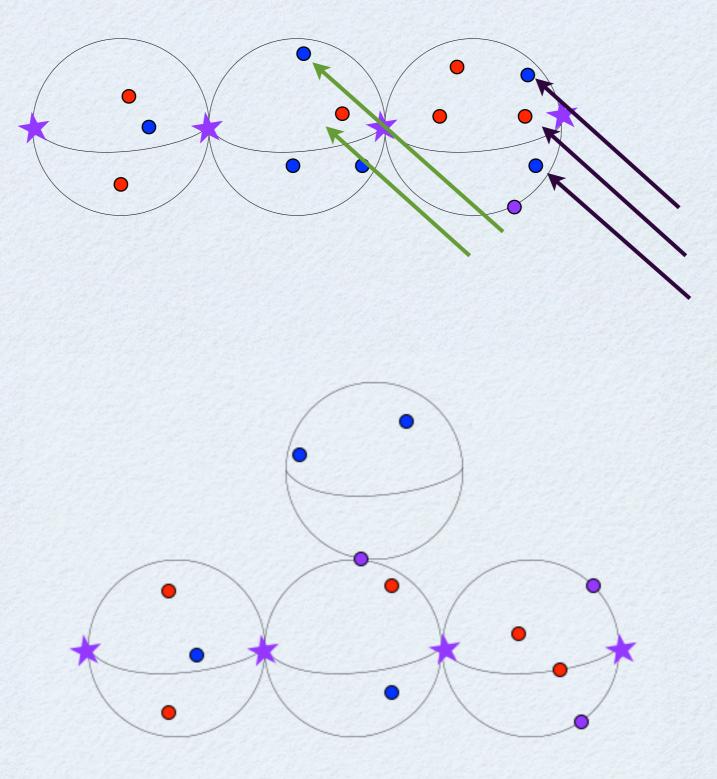


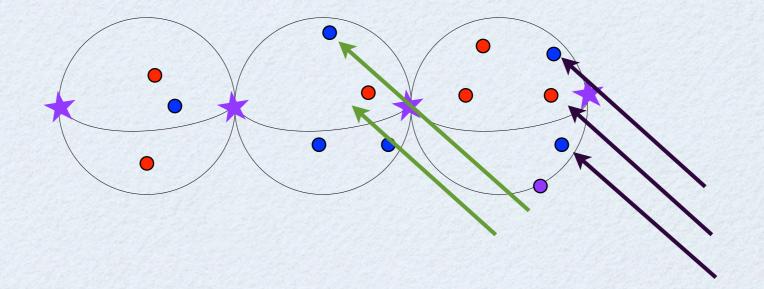


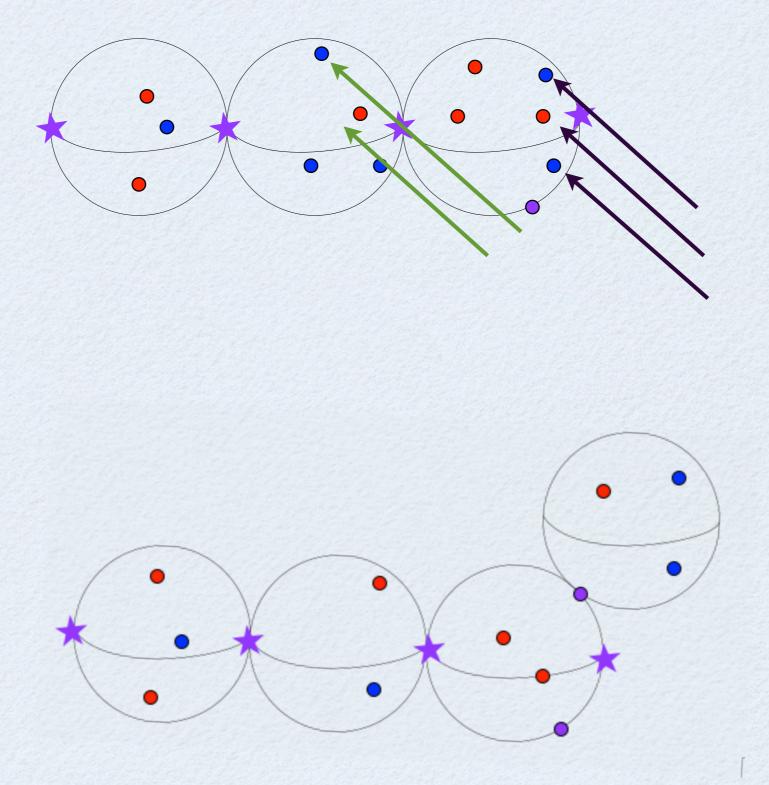


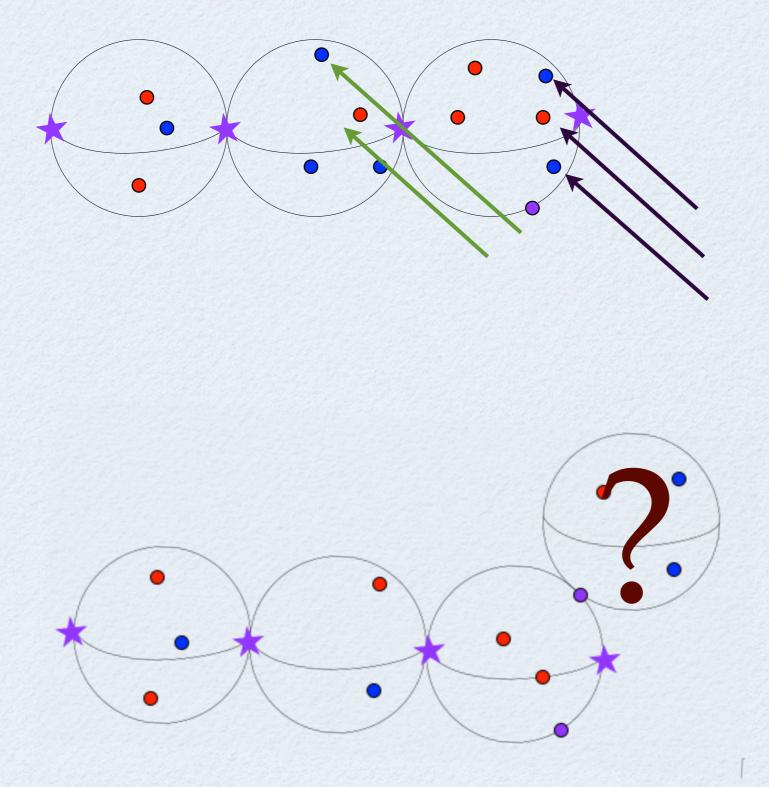


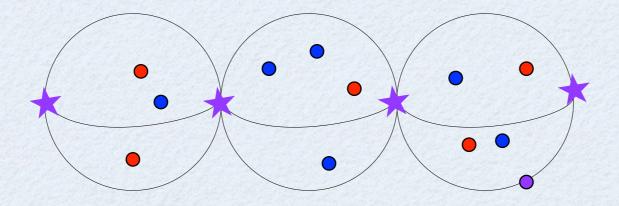


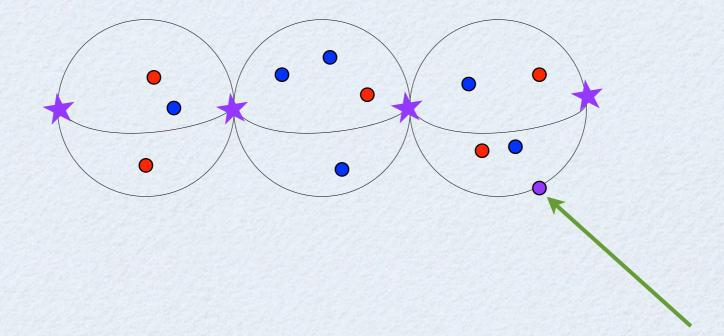


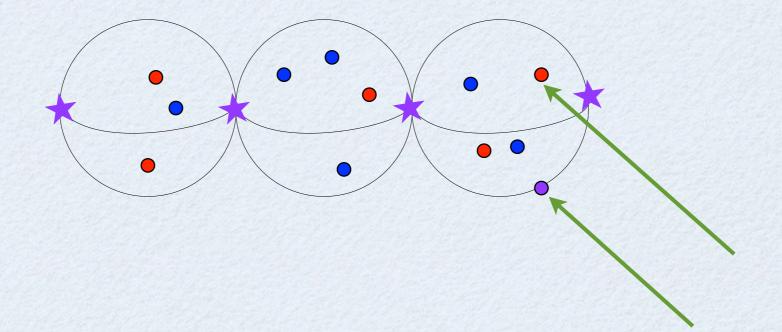


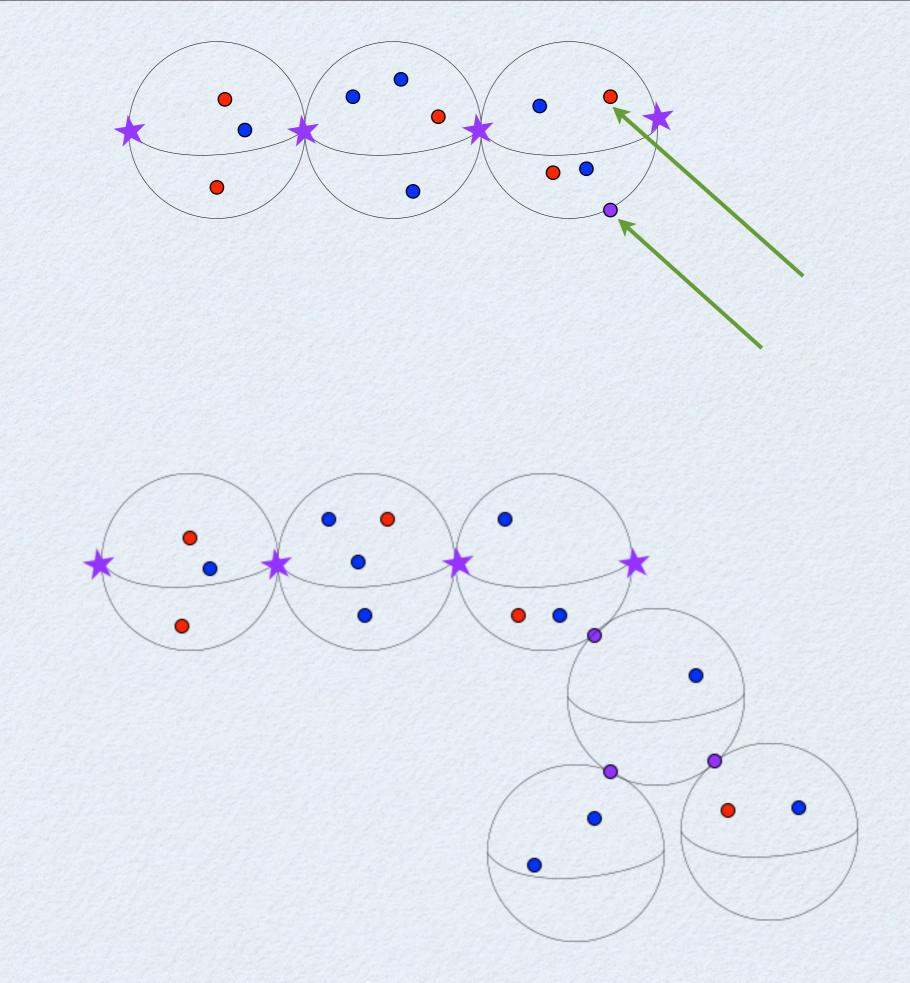


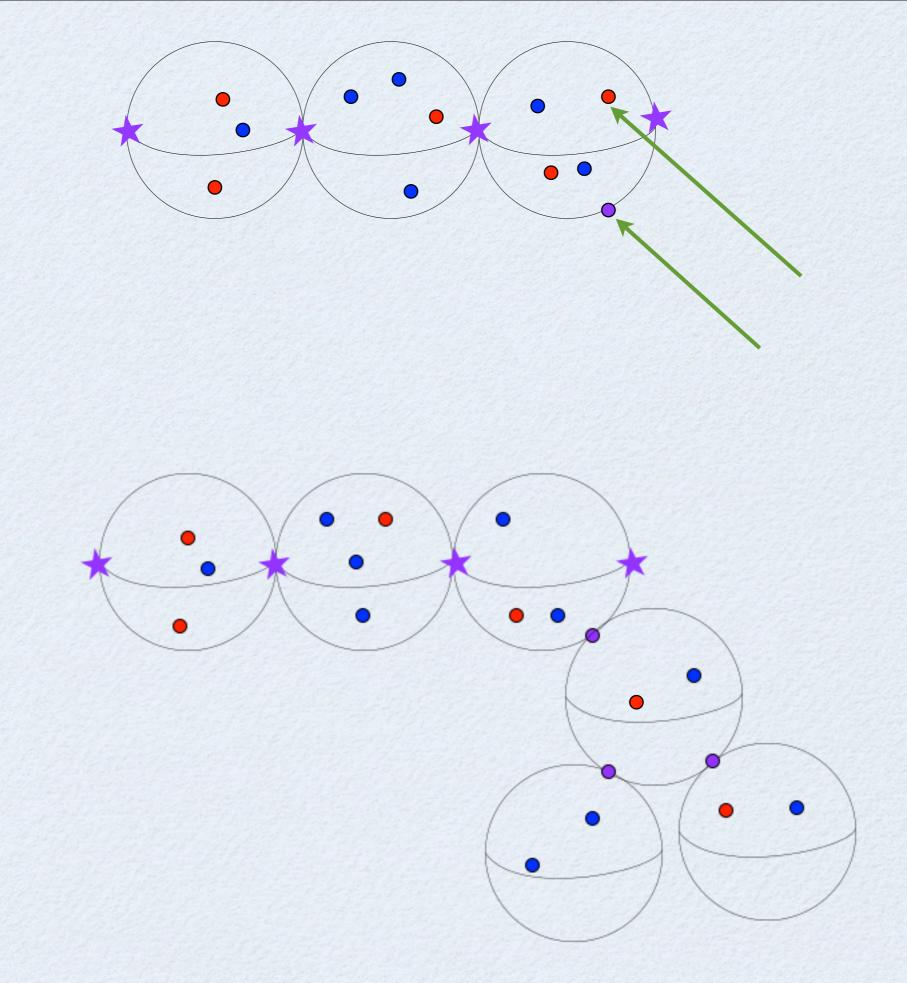


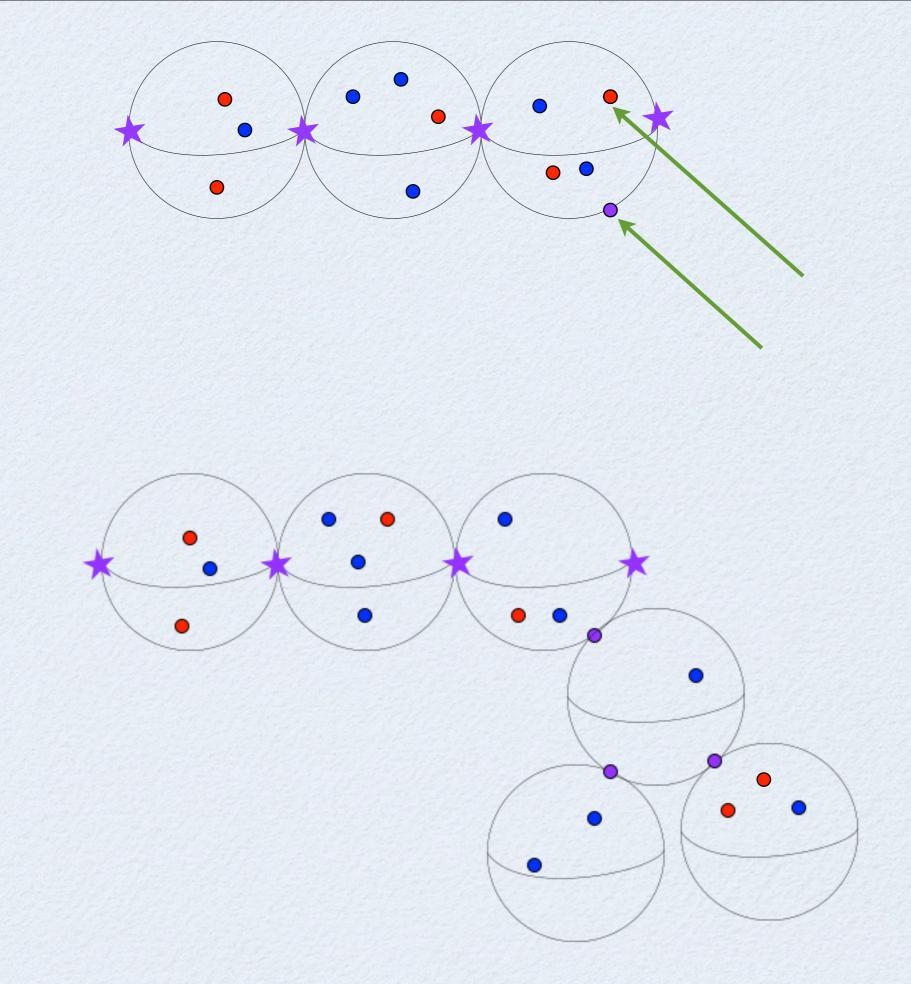


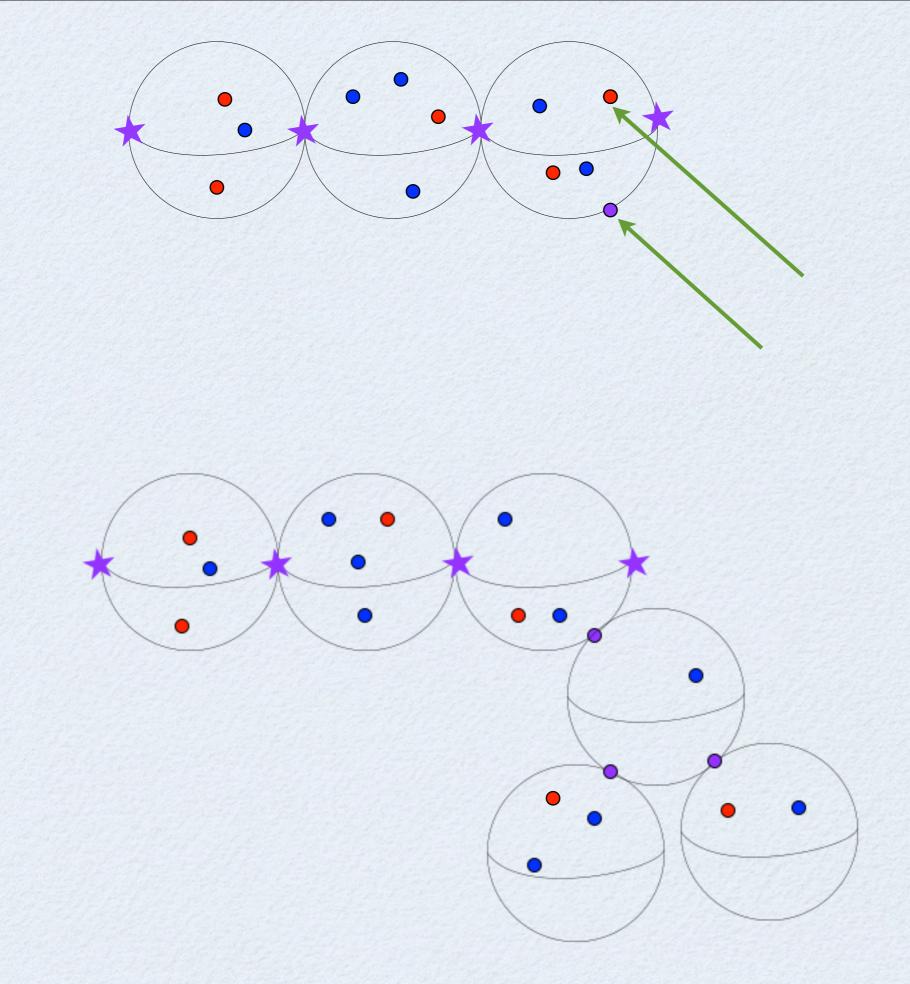


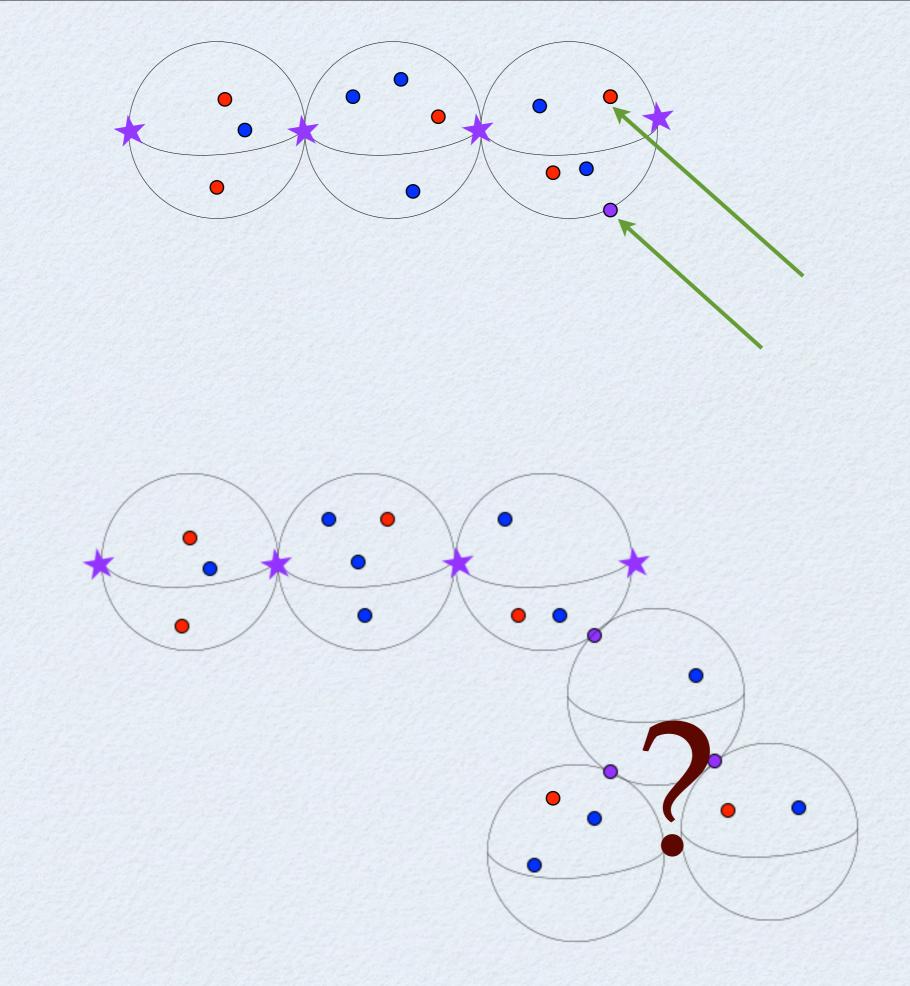


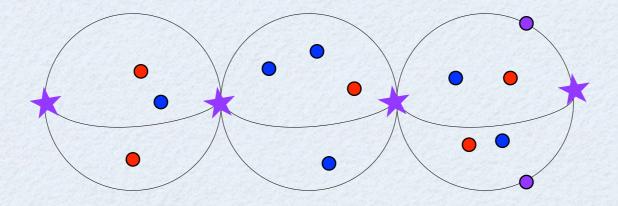


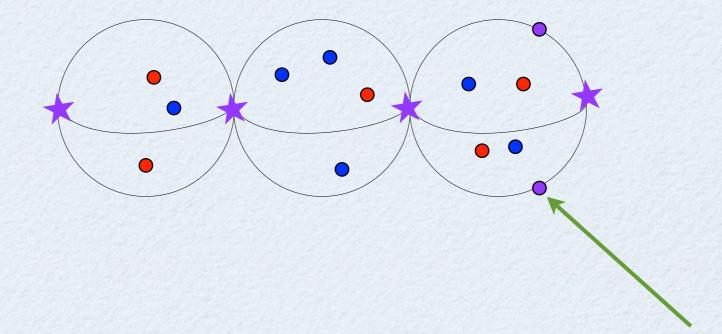


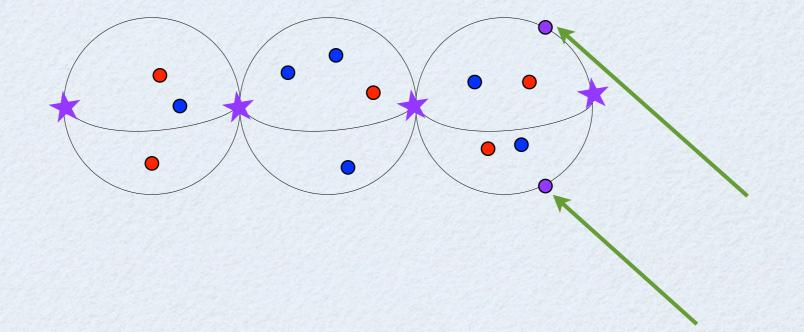


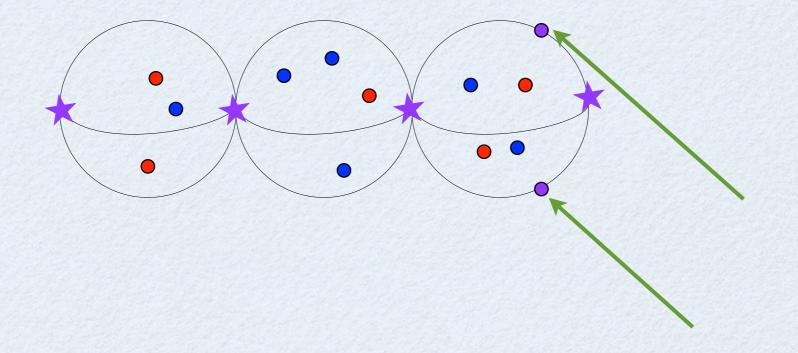


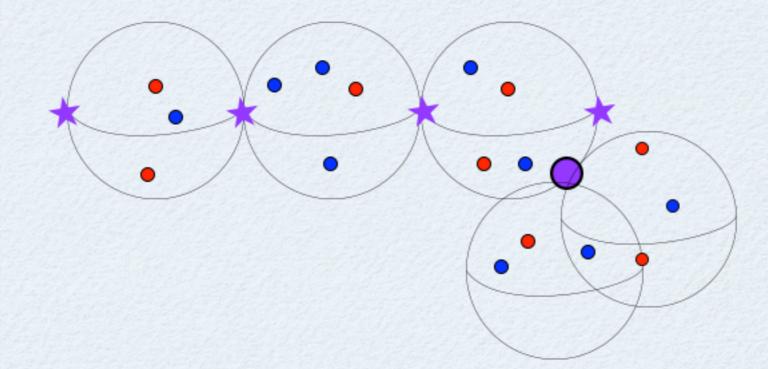


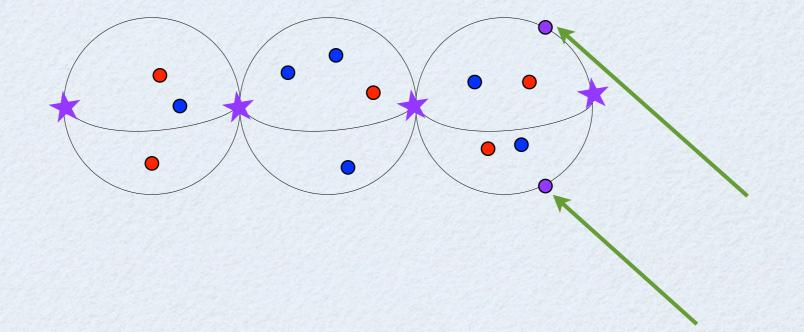


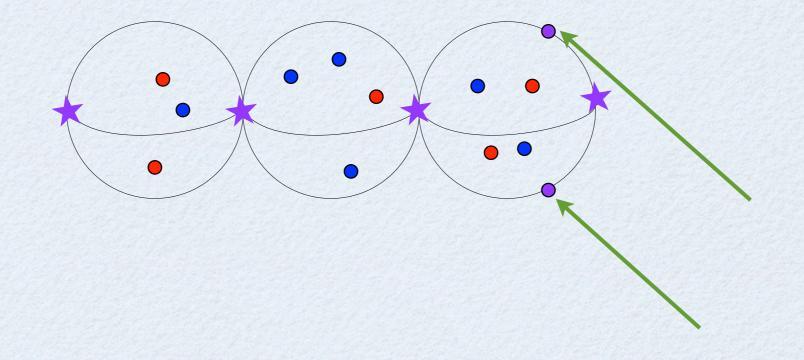


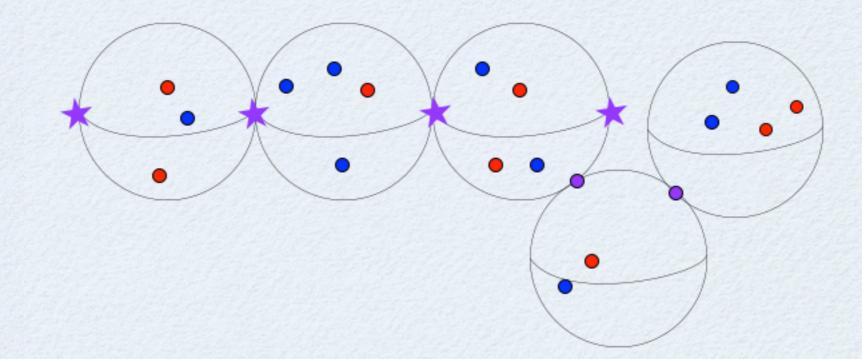


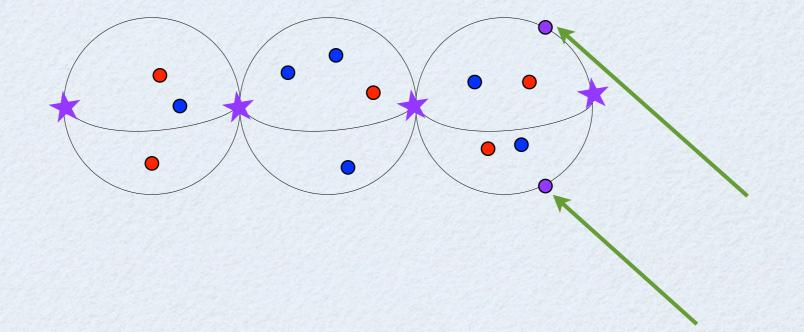


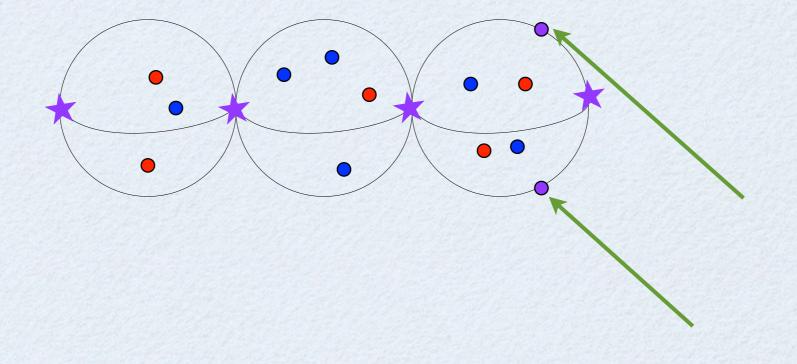


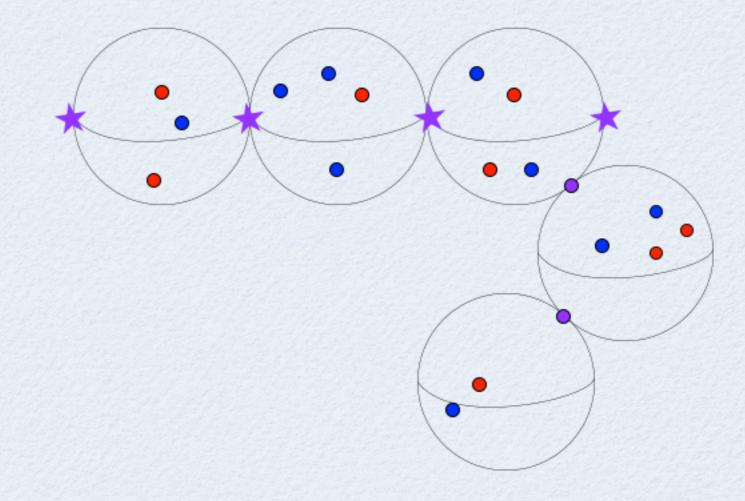


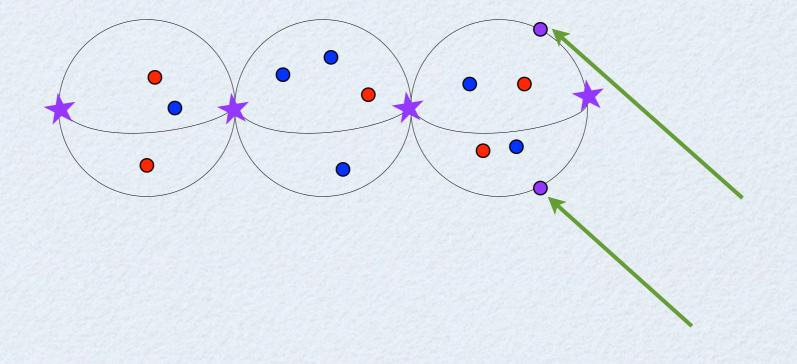


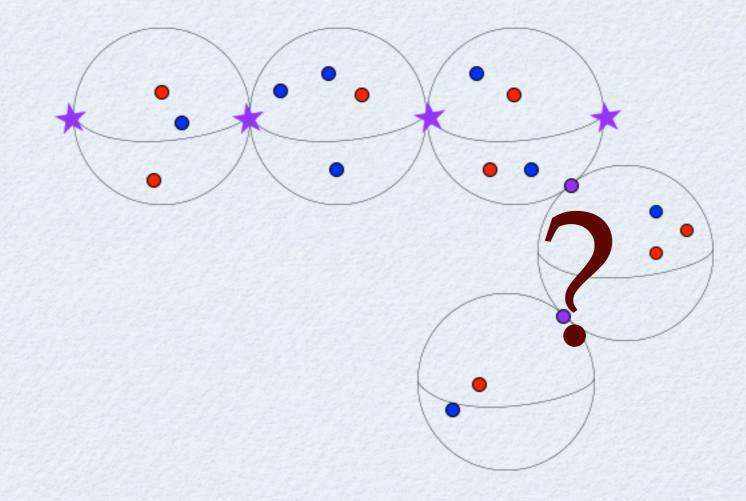












# In summary...

critical trunk two distinguished points (critical trunk)/ $\sigma_d$ points of indeterminacy relative positions Finally,  $\mu : \mathbb{P}^n \dashrightarrow \mathbb{P}^n$ 

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**Proposition.** This procedure defines a rational map  $\widetilde{G}: \widetilde{\mathbb{P}}^n \dashrightarrow \widetilde{\mathbb{P}}^n$ which extends the map  $G = \mu \circ s: \mathbb{P}^n \dashrightarrow \mathbb{P}^n$ 

*Proof.* Let  $\eta$  be a tree of spheres in the image of G. Only the critical trunk is relevant as the preimages of all noncritical spheres are just these spheres themselves.

Critical trunk: Marked points and nodes.

Marked points: There are only d possibilities for the inverse image of each.

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**Theorem.** The map  $\widetilde{G}: \widetilde{\mathbb{P}}^n \dashrightarrow \widetilde{\mathbb{P}}^n$  is algebraically stable.

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**Theorem.** The map  $\widetilde{G}: \widetilde{\mathbb{P}}^n \dashrightarrow \widetilde{\mathbb{P}}^n$  is algebraically stable.

We can compute dynamical degrees.

Basilica-Basilica,  $\lambda_1 = 2$ 

Basilica-Rabbit,  $\lambda_1$  is the largest real root of

$$p(\lambda) = \lambda^4 + \lambda^3 - 2\lambda^2 - 8\lambda - 8,$$
$$\lambda_1 \approx 2.229209$$

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What do these numbers mean for the mating maps? What about  $\sigma_f: \overline{\mathcal{T}}_P \to \overline{\mathcal{T}}_P$ ?

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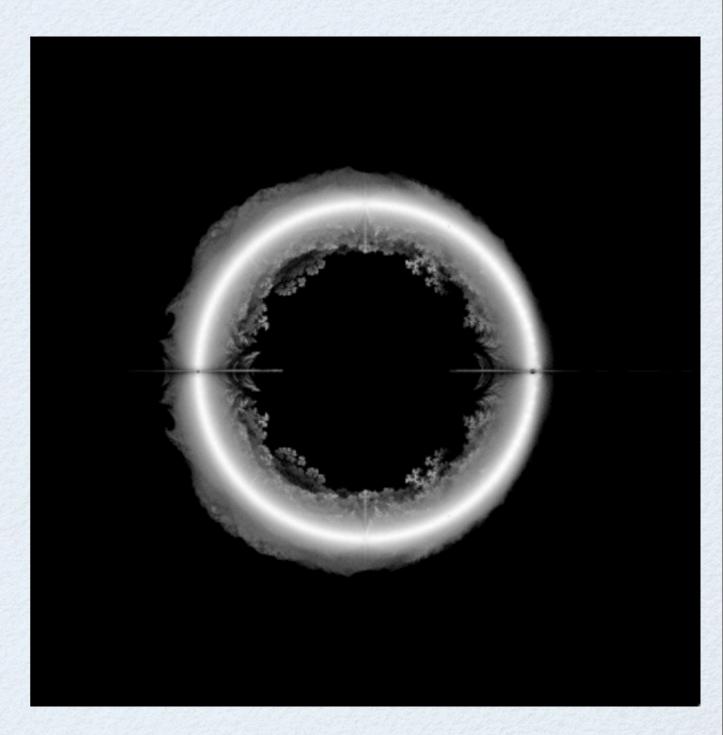
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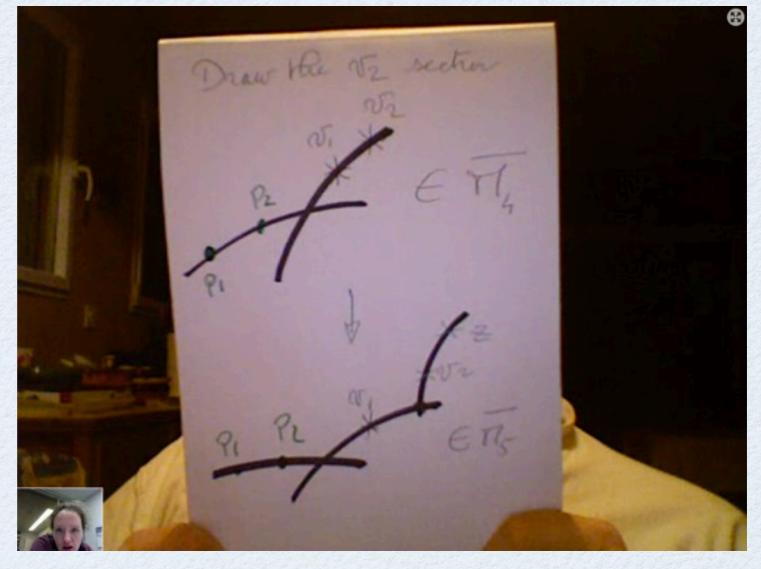
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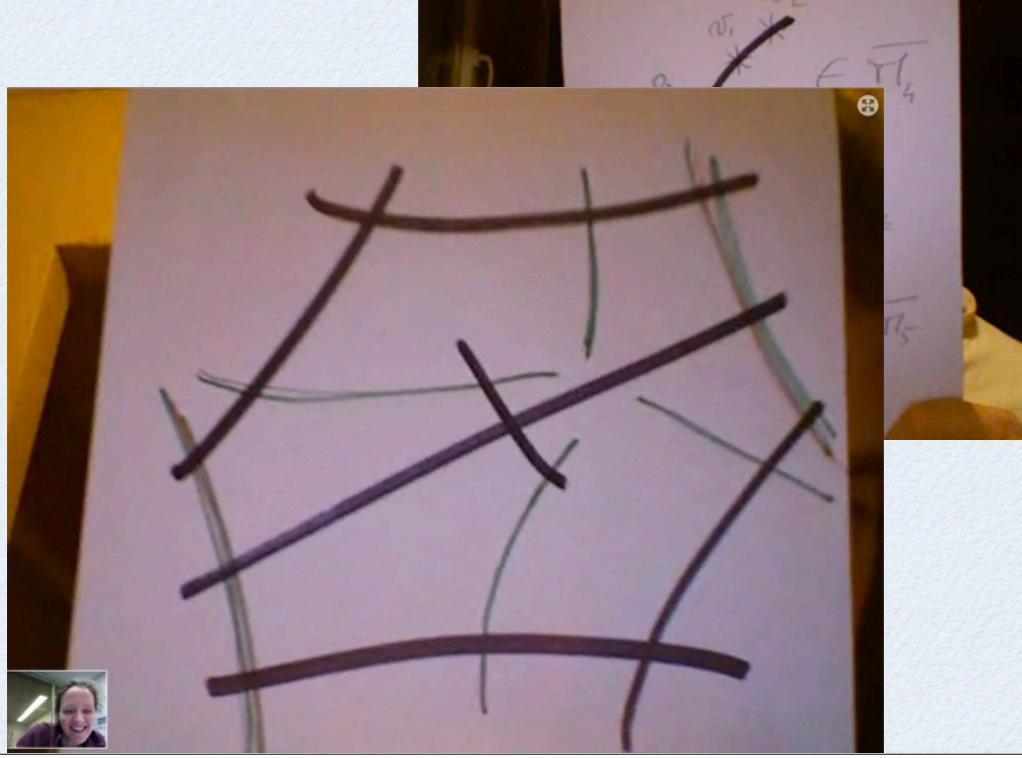
Conjecture. No.

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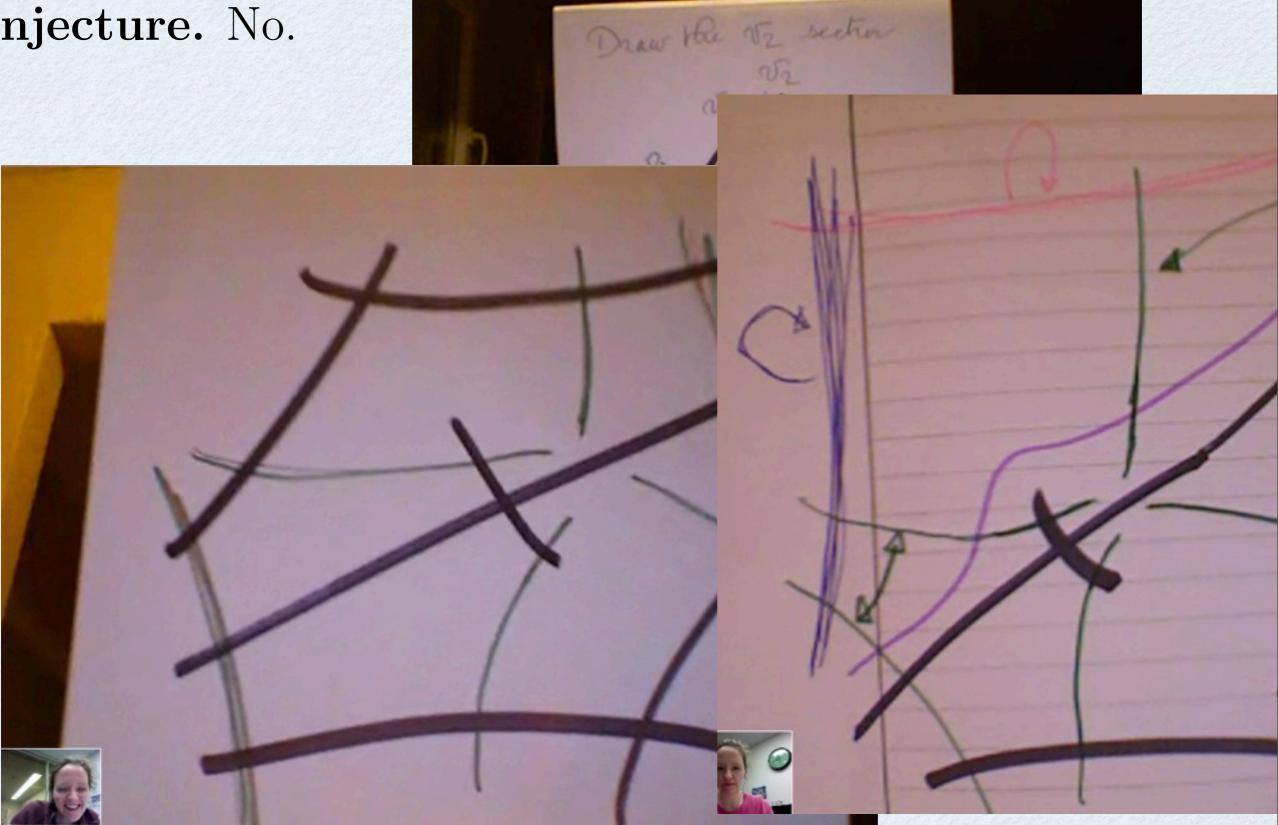
Draw the V2 section

Conjecture. No.

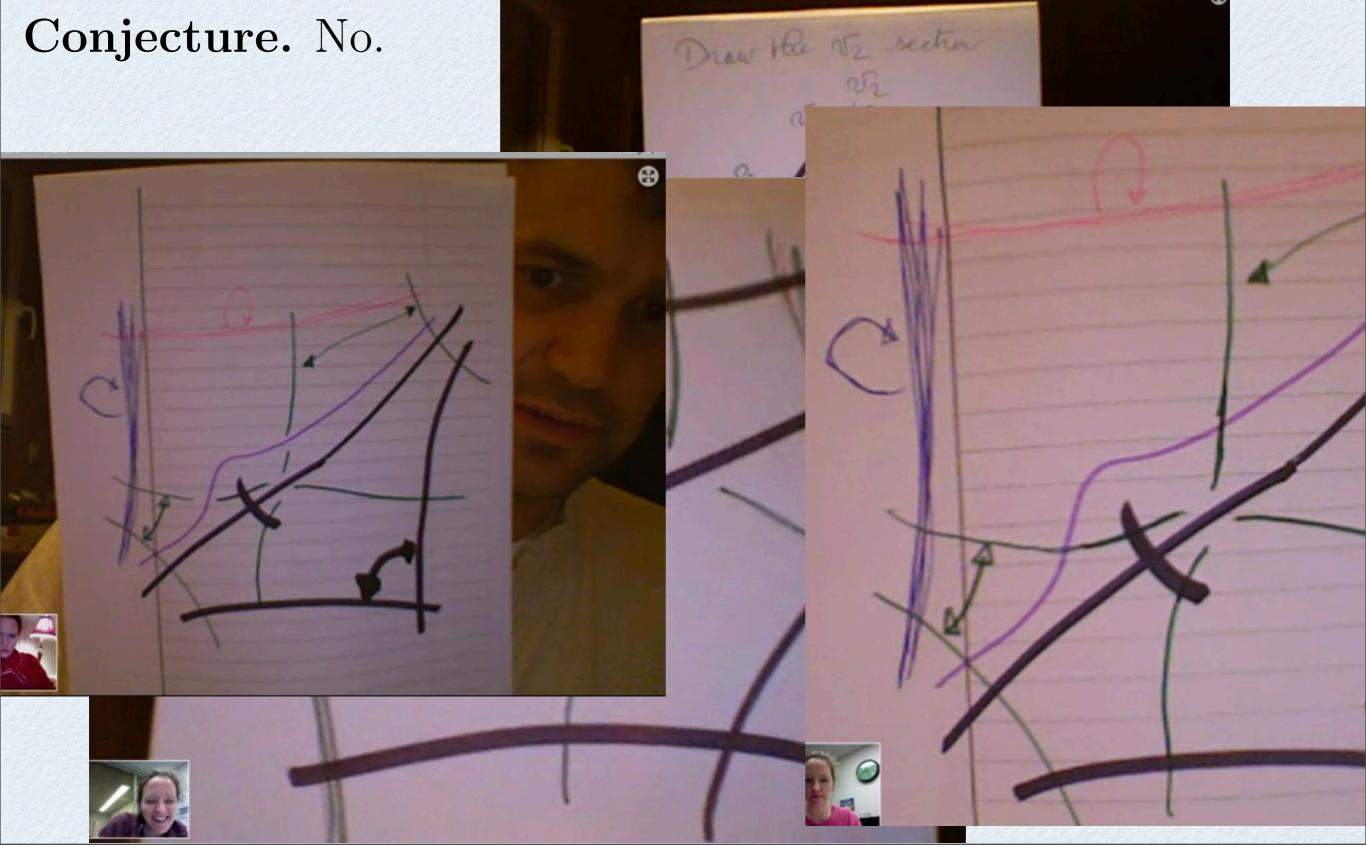


Thursday, February 24, 2011

Conjecture. No.



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## thank you for your attention!