

# Some Homology and Cohomology Theories for a Metric Space

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## Coauthors

Thierry De Pauw (Paris VII) -H.,  
*Rectifiable and Flat  $G$  Chains in a Metric Space* Amer.J.Math.2011

De Pauw, -H., Washek Pfeffer (UC Davis, Emeritus)  
*Homology of Normal Chains and Cohomology of Charges* In preparation.

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- \* For  $M$  triangulated, use simplicial theory.
- \* For  $M$  a smooth manifold and for real coefficients, use differential forms and De Rham theory.
- \* For  $M$  semi-algebraic, use semi-algebraic chains, etc.

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## Rectifiable Chains

**Theorem.** (H.Federer - W.Fleming, 1959) *Integer-multiplicity rectifiable chains give the ordinary integral homology for pairs of compact Euclidean Lipschitz neighborhood retracts (ELNR). Homology classes of such pairs contain mass-minimizing rectifiable chains.*

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What are rectifiable chains?

## Rectifiable Sets

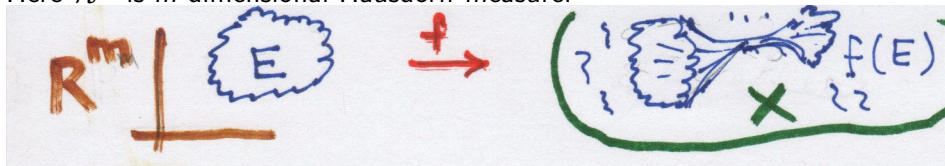
A subset  $M$  of a metric space  $X$  is  $\mathcal{H}^m$  *rectifiable* if  $\mathcal{H}^m(X \setminus f(E)) = 0$  for some Lebesgue measurable  $E \subset \mathbb{R}^m$  and Lipschitz  $f : E \rightarrow M$ .

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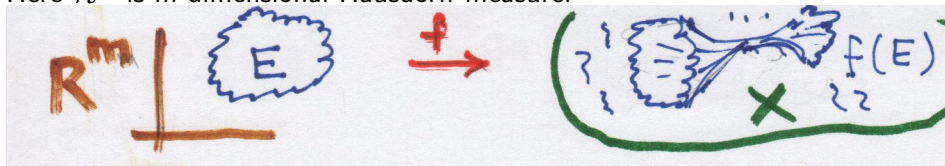
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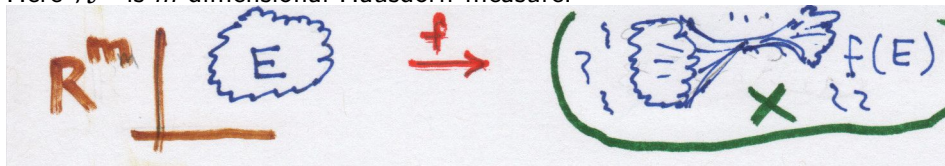


**Parameterization Theorem.** *There exist disjoint compact  $A_i \subset \mathbb{R}^m$  and an injective map  $\alpha : A = \bigcup_{i=1}^{\infty} A_i \rightarrow M$  such that  $\mathcal{H}^m[M \setminus \alpha(A)] = 0$ ,  $\text{Lip } \alpha \leq 1$ , and  $\text{Lip}(\alpha \upharpoonright A_i)^{-1} \leq 2\sqrt{m}$ .*

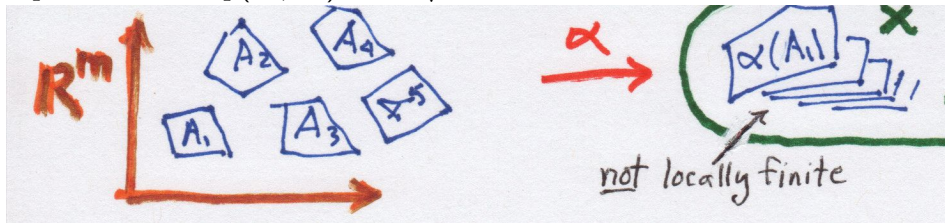
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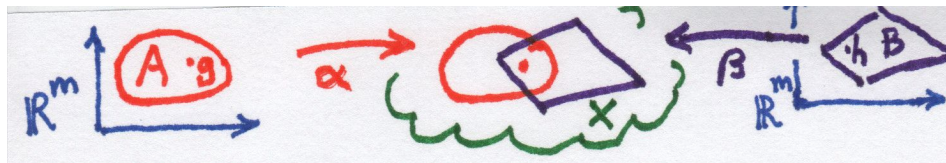
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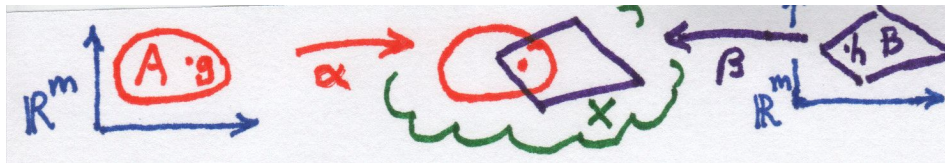
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$\mathcal{R}_m(X; G) = \{m \text{ dimensional rectifiable } G \text{ chains } T \text{ in } X\}.$

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In particular, the standard space  $\ell^\infty$  of bounded sequences essentially *contains* any separable metric space.

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A *polyhedral G chain* in  $Y$  is simply a finite sum  $P = \sum_{i=1}^l [\gamma_i, \Delta_i, g_i]$  where  $\gamma_i : \mathbb{R}^m \rightarrow Y$  is affine,  $\Delta_i$  is an  $m$  simplex, and  $g_i$  is *constant* on  $\Delta_i$ .

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As the Koch snowflake in the plane shows, the boundary of a rectifiable chain is not expected to be rectifiable in general. So defining it requires completion of Lipschitz chains with respect to a weaker norm.

## Flat Norm and Flat Chains

Note that in the space  $\mathbb{R}$  the points  $1/i$  approach the point 0, but the corresponding 0 dimensional chains  $\llbracket 1/i \rrbracket$  do not approach  $\llbracket 0 \rrbracket$  in *mass norm* because  $\mathbb{M}(\llbracket 1/i \rrbracket - \llbracket 0 \rrbracket) = 2$ .

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Whitney defined the *flat norm*, which we adapt. For a Lipschitz chain  $T \in \mathcal{L}_m(Y; G)$ , let

$$\mathcal{F}(T) = \inf\{\mathbb{M}(S) + \mathbb{M}(T - \partial S) : S \in \mathcal{L}_{m+1}(Y, G)\} .$$

Then the flat norm  $\mathcal{F}(\llbracket 1/i \rrbracket - \llbracket 0 \rrbracket) \leq 1/i \rightarrow 0$  because  $\llbracket 1/i \rrbracket - \llbracket 0 \rrbracket = \partial \llbracket 0, 1/i \rrbracket$ .

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Since  $\mathcal{F}$  is a norm on  $\mathcal{L}_m(Y; G)$ , we can define the group of *flat chains*  $\mathcal{F}_m(Y; G)$  is the  $\mathcal{F}$  completion of  $\mathcal{L}_m(Y; G)$ . (or alternately of  $\mathcal{P}_m(Y; G)$ )



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Since  $\mathcal{F} \leq \mathbb{M}$ , a rectifiable chain  $T \in \mathcal{R}_m(Y; G)$  is flat and so now has a well-defined boundary  $\partial T \in \mathcal{F}_{m-1}(Y; G)$ .

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denote the subgroup of *normal chains*.

# Flat, Rectifiable, and Normal Chains Homology

We now have the closed subgroups of cycles

$$\mathcal{Z}_m^{\mathcal{F}}(X; G) = \{T \in \mathcal{F}_m(Y; G) : \text{spt } T \subset X, \partial T = 0\} \quad \text{for } m \geq 1,$$

$$\mathcal{Z}_0^{\mathcal{F}}(X; G) = \{T \in \mathcal{F}_0(Y; G) : \text{spt } T \subset X, \chi(T) = 0\},$$

where  $\chi(\sum_{i=1}^{\infty} g_i \llbracket x_i \rrbracket) = \sum_{i=1}^{\infty} g_i$ , and the *flat chains homology* groups

$$\mathcal{H}_m^{\mathcal{F}}(X; G) = \mathcal{Z}_m^{\mathcal{F}}(X; G) / \{\partial S : S \in \mathcal{F}_{m+1}(Y; G), \text{spt } T \subset X\}.$$

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denote the subgroup of *normal chains*. Then working with either rectifiable chains having rectifiable boundaries or with normal chains, one can similarly define *rectifiable chains homology*

$$\mathcal{H}_m^{\mathcal{R}}(X; G)$$

and *normal chains homology*

$$\mathbf{H}_m(X; G).$$

## An Example

For  $X$  being the standard fractal boundary of the Koch snowflake in  $\mathbb{R}^2$ ,

$$\mathbf{H}_1(X; \mathbb{Z}) = 0, \mathcal{H}_1^{\mathcal{R}}(X; \mathbb{Z}) = 0, \text{ and } \mathcal{H}_1^{\mathcal{F}}(X; \mathbb{Z}) = \mathbb{Z}$$

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Let's look at a few examples relevant to *mass-minimizing*  $G$  chains.



## Very Short History

**1960** *H. Federer-W. Fleming* used chains with  $\mathbb{R}$  or  $\mathbb{Z}$  coefficients in  $\mathbb{R}^n$ . Here the chains are *currents*, i.e. linear functionals on differential forms.

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Example 2.  $B$  is three (similarly-oriented) semi-circles bounding  $A$  which is three half-disks. Here  $\partial B = 0$  and  $\partial A = B$  as  $\mathbb{Z}/3\mathbb{Z}$  chains.



## Short History Cont'd

**1999** *B. White* treated general normed abelian coefficient groups with new proofs. *White's* and *Fleming's* chains are obtained by *completing* groups of elementary chains with respect to suitable metrics.

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An important property relevant to the *existence* of mass-minimizing chains is a suitable compactness theorem. Our version is the following:



# Compactness Theorem

**Theorem.** [DHP] *Suppose  $X$  is a compact metric space and  $G$  is a complete normed group with closed balls being compact. For  $R > 0$ ,*

*(I)  $K_R = \{T \in \mathcal{F}_m(X; G) : \mathbb{M}(T) + \mathbb{M}(\partial T) \leq R\}$  is  $\mathcal{F}$  compact.*

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The *rectifiability* in (B) give the desired geometric character to the Plateau problem solutions. While this rectifiability is *not true* for  $G = \mathbb{R}$  with the usual absolute value norm  $|\cdot|$ , it is true for another norm on  $\mathbb{R}$ :

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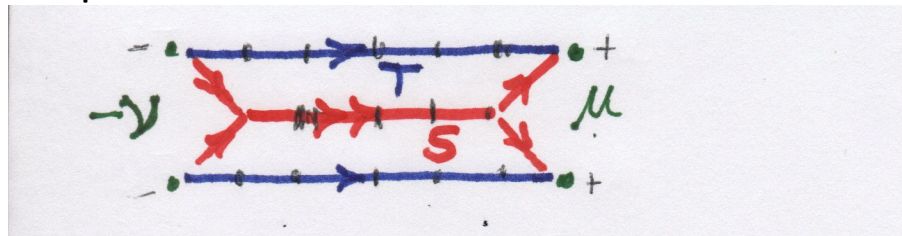
For  $0 < \alpha < 1$ , we define the norm  $\|r\|_\alpha = |r|^\alpha$  for  $r \in \mathbb{R}$ . Then  $(\mathbb{R}, \|\cdot\|_\alpha)$  does satisfy condition \*. Also “merging” paths in  $T$  may reduce the corresponding mass  $\mathbb{M}_\alpha(T)$ .

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### Example.



$$\mathbb{M}_{\frac{1}{2}}(T) = 1 \cdot (6 + 6) > 1 \cdot 4\sqrt{2} + \sqrt{2} \cdot 4 = \mathbb{M}_{\frac{1}{2}}(S).$$

## $\mathbb{M}_\alpha$ Minimizers

**Corollary.** (Q. Xia) *There exists a  $\mathbb{M}_\alpha$  minimizing  $T \in \mathcal{R}_1(\mathbb{R}^n, \mathbb{R})$  with  $\partial T = \mu - \nu$ .*



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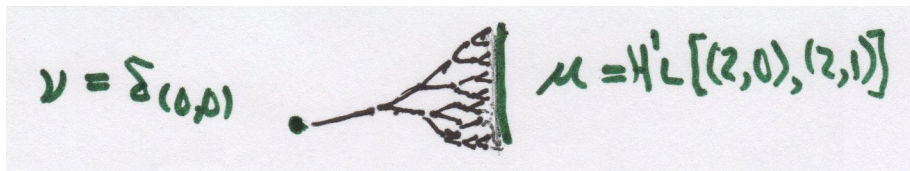
**Regularity Theorem.** (Q. Xia)  *$\text{spt } T \setminus (\text{spt } \mu \cup \text{spt } \nu)$  is locally a polygon.*



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**Higher Dimensions.** (H.-De Pauw, In progress) *For  $m \geq 1$ ,  $\dim(\text{spt } T \setminus \text{spt } \partial T) \leq m - 1$  for any  $\mathbb{M}_\alpha$  minimizing  $T \in \mathcal{R}_m(X, \mathbb{Z})$ .*

## Proof of (I)

$K_R$  is  $\mathcal{F}$  complete by the lower semicontinuity of  $\hat{\mathbb{M}}$ . So we need only show that  $K_R$  is also *totally bounded*. For this, it suffices to find, for each  $\varepsilon > 0$ , a compact subset  $C_\varepsilon$  of  $\mathcal{F}_m(Y; G)$  so that

$$K_R \subset \{T \in \mathcal{F}_m(Y; G) : \text{dist}_{\mathcal{F}}(T, C_\varepsilon) < 2\varepsilon R\} .$$

## Continuation of Proof of (I)

By the MAP (Metric Approximation Property) of  $Y = \ell^\infty(D)$  there is a Lipschitz 1 linear projection  $p$  of  $Y$  onto some finite  $n$  dimensional  $W \subset Y$  so that  $\|p(x) - x\| < \varepsilon$  for all  $x$  in the compact set  $X$ .  $W$  is equivalent to  $\mathbb{R}^n$  (with bounds only depending on  $X$  and  $\varepsilon$ ). So we assume  $W = \mathbb{R}^n$  and use the Deformation Theorem of B. White.

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First, note that

$$C_\varepsilon = \left\{ \sum_{i=1}^I g_i Q_i : Q_i = m \text{ cube of a size } \varepsilon \text{ subdivision,} \right.$$

$$\left. Q_i \cap p(X) \neq \emptyset, \text{ and } \sum_{i=1}^I \|g_i\| \varepsilon^m \leq cR \right\}$$

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is  $\mathcal{F}$  compact. Now, for any  $T \in K_R$ , an affine homotopy shows that  $\mathcal{F}(p\#T - T) \leq \varepsilon R$ . Next the Deformation Theorem implies that  $\mathcal{F}(p\#T - Q) \leq \varepsilon R$  for some  $Q \in C_\varepsilon$ . So  $\text{dist}_{\mathcal{F}}(T, C_\varepsilon) < 2\varepsilon R$ .

## Proof of (II), $m = 0$

For the case  $m = 0$ , we follow the argument of White and note that  $T$  is a  $G$  valued Borel measure, which we wish to show is purely atomic. First we verify the general

**Lemma.** *For any positive Borel measure  $\mu$  without atoms on  $X$ , there exists a  $\mu$  measurable function  $f : X \rightarrow [0, 1]$  so that  $\mu[f^{-1}\{t\}] = 0$  for every  $t \in [0, 1]$ .*

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Then we apply the lemma with  $\mu = |\nu_T|$  where  $\nu_T$  is the nonatomic part of  $T$ . For nonzero  $\mu$ , we get, in the group  $G$ , the nonconstant continuous curve  $\gamma(t) = \nu_T[f^{-1}[0, t]]$  of finite length  $\leq \mathbb{M}(T)$ , contradicting (\*).

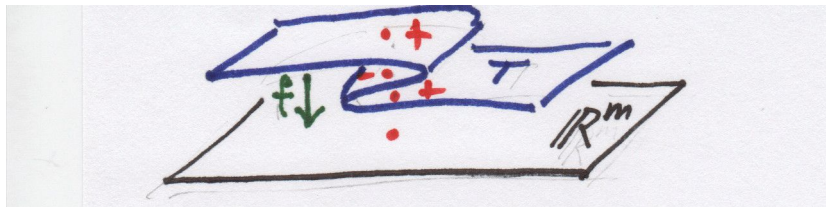


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For the case  $m > 0$  we generalize Jerrard's observation showing that, for any Lipschitz map  $f : X \rightarrow \mathbb{R}^m$ , the slice function

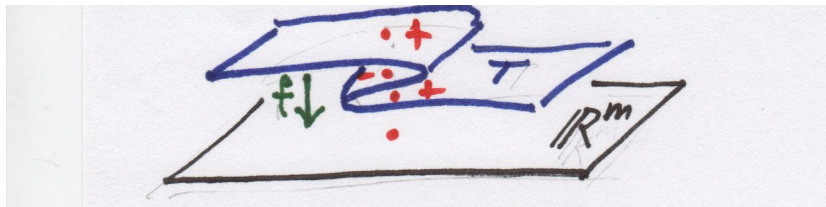
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By an argument of Ambrosio-Kirchheim, this may be approximated by a Lipschitz function, leading to the rectifiability of  $T$ .

## Real Normal Chains and Dual Cochains

For simplicity, we will, for the rest of the lecture, assume that

$X$  is a compact metric space,  $G$  is the coefficient group  $\mathbb{R}$  with the standard norm  $|\cdot|$ , and drop the  $\mathbb{R}$  symbol.

Thus we have the vector space

$$\mathbf{N}_m(X) = \{T \in \mathcal{F}_m(X, \mathbb{R}) : \mathbb{M}(T) + \mathbb{M}(\partial T) < \infty\}$$

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# Cochains

Whitney also studied the dual space  $\mathcal{F}_m(\mathbb{R}^n; \mathbb{R})^*$  of *flat cochains*, and his student J. Wolfe (1957) showed that any flat cochain comes from a bounded Borel  $m$  form  $\omega$  where  $d\omega$  is a bounded Borel  $m + 1$  forms. This means  $\alpha(T) = T(\omega)$  for  $T \in \mathcal{F}_m(\mathbb{R}^n; \mathbb{R})$ .

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*Charges*, which act on normal chains, were defined by De Pauw to study solutions of  $\operatorname{div} v = F$  by using the terms of  $\int_{\partial\Omega} v \cdot \nu = \int_{\Omega} F$  as functionals of the set  $\Omega$  of finite perimeter.

De Pauw, Moonens, Pfeffer (2009) showed that charges in  $\mathbb{R}^n$  correspond to  $\omega + d\eta$  for some *continuous*  $\omega, \eta$ .

## Charges

The *localized topology*  $\mathcal{T}_{\mathbf{N}}$  on  $\mathbf{N}_m(X)$  has the property that

$$T_j \rightarrow T \text{ in } \mathcal{T}_{\mathbf{N}} \iff \mathcal{F}(T_j - T) \rightarrow 0 \text{ and } \sup_j \hat{\mathbb{M}}(T_j) + \hat{\mathbb{M}}(\partial T_j) < \infty .$$

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A *charge* is a continuous linear  $\alpha : (\mathbf{N}_m(X), \mathcal{T}_{\mathbf{N}}) \rightarrow \mathbb{R}$ . Let

$$\mathbf{CH}^m(X) = \{m \text{ dimensional charges in } X\} .$$

We have the continuous operators

$$\delta : \mathbf{CH}^m(X) \rightarrow \mathbf{CH}^{m+1}(X), \quad (\delta\alpha)(S) = \alpha(\partial S)$$

$$\phi^\# : \mathbf{CH}^m(Y) \rightarrow \mathbf{CH}^m(X), \quad (\phi^\#\alpha)(T) = \alpha(\phi_\# T)$$

for Lipschitz  $\phi : X \rightarrow Y$ .

## Vanishing of $\mathbf{H}_0(X)$ , $\mathbf{H}^0(X)$

**Theorem.** Consider the following three conditions.

(A)  $\mathbf{H}_0(X) = 0$ .

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**Theorem.** (C) implies (A) if  $X$  satisfies the linear isoperimetric condition

$$c_0(X) = \inf\{\mathbf{M}(S)/\mathbf{M}(\partial S) : S \in \mathbf{N}_1(X)\} < \infty.$$

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Then (A) implies (B) and (B) implies (C).

**Example.**  $X = \cup_{i=1}^{\infty} X_i$  where  $X_i$  are embedded curves in  $\mathbb{R}^3$  joining points  $a_i$  to 0, disjoint away from 0, and with  $\text{length}(X_i) = 2^i$ . Then  $X$  is Lipschitz path connected, but  $T = \llbracket 0 \rrbracket - \sum_{i=1}^{\infty} 2^{-i} \llbracket a_i \rrbracket$  has  $\chi(T) = 0$  although  $T$  bounds no one chain of finite mass in  $X$ . So (B) does not imply (A) in general.

**Theorem.** (C) implies (A) if  $X$  satisfies the linear isoperimetric condition

$$c_0(X) = \inf\{\mathbf{M}(S)/\mathbf{M}(\partial S) : S \in \mathbf{N}_1(X)\} < \infty.$$

**Definition.**  $X$  is  $m$  bounded  $\iff \mathbf{M}(S) \leq c_m(X)\mathbf{M}(\partial S)$  for all  $S \in \mathbf{N}_{m+1}(X)$ . This linearly isoperimetric condition has been studied by many people (Gromov, ..., Wenger).

## Duality

**Theorem.**  $X$  is  $m$  bounded  $\iff \{\partial S : S \in \mathbf{N}_{m+1}(X)\}$  is  $\mathcal{T}_{\mathbf{N}}$  closed.  
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In 1974 Federer proved a duality theory using real flat chains and flat cochains for the category of Euclidean Lipschitz neighborhood retracts. Our goal with normal chain homology and charge cohomology is to understand *metric properties* of more general spaces such as varieties, fractals, or Gromov-Hausdorff limits of manifolds.

## Questions

- (1) Determine when various specific spaces are  $m$  bounded.
- (2) Interpret  $\mathbf{H}_m(X)$  and  $\mathbf{H}_m(X)$  (as Banach spaces) for  $m \geq 1$ .
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**HAPPY BIRTHDAY BLAINE !**