

# Cubic Maps and the Mandelbrot Set

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**Stony Brook Dynamics Seminar**  
**April 21, 2023**

# Definitions

1.

Let  $\mathcal{S}_p$  be the space of all monic centered cubic polynomial maps  $F$  with a **marked critical point** of period  $p \geq 1$ .

Setting  $F(z) = z^3 - 3a^2z + b$ , the critical points are  $\pm a$ .

Here  $+a$  will always be the marked critical point.

If  $v = F(a)$  is the corresponding marked critical value, we can solve for  $b = 2a^3 + v$ .

Identify  $\mathcal{S}_p$  with the smooth affine curve consisting of all pairs  $(a, v) \in \mathbb{C}^2$  such that  $a$  has period exactly  $p$  under iteration of  $F$ .

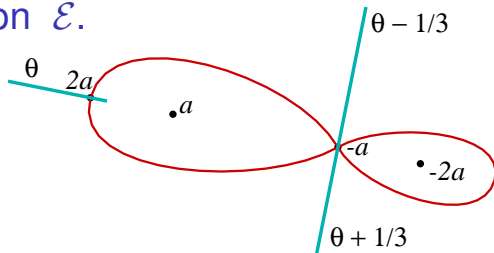
Theorem of Arfeux and Kiwi: Every  $\mathcal{S}_p$  is connected.

$p$ :	1	2	3	4	5	6
genus	0	0	1	15	93	393
# punctures	1	2	8	20	56	144

The **connectedness locus**, consisting of all  $F \in \mathcal{S}_p$  such that  $J(F)$  is connected, is a compact and connected subset of  $\mathcal{S}_p$ .

Its complement consists of finitely many **escape regions**, each biholomorphic to  $\mathbb{C} \setminus \overline{\mathbb{D}}$ .

Cartoon of the dynamic plane for a map  $F$  in any escape region  $\mathcal{E}$ . 2.



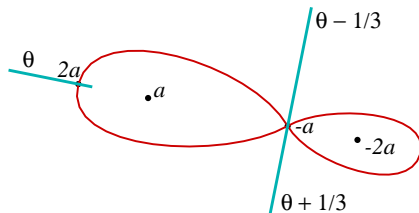
By definition,  $\theta$  is the **parameter angle** for the parameter ray which passes through this map  $F$ .

**Definition.** *If either  $\theta + 1/3$  or  $\theta - 1/3$  has period  $q$  under tripling, then we will say that  $\theta$  is **co-periodic of co-period  $q$** .*

**Theorem.** A parameter ray of angle  $\theta$  lands at a parabolic map if and only if  $\theta$  is co-periodic. The cycle of parabolic basins has period  $q$  if and only if  $\theta$  has co-period  $q$ .

# The Kneading Invariant

3.



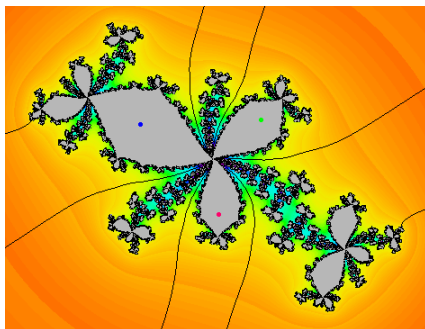
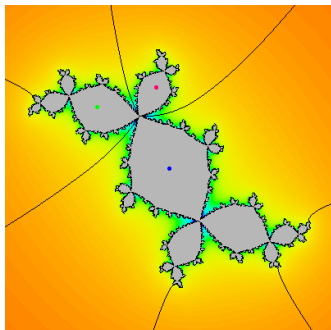
*The **kneading invariant**  $(i_1, \dots, i_{p-1}, 0)$  of  $\mathcal{E}$  describes the way in which the orbit of  $a$  bounces back and forth between the two lobes of the figure eight.*

In particular, the kneading invariant is  $(0, 0, \dots, 0)$  if and only if the entire orbit of  $a$  is contained in the left hand lobe.

## The Mandelbrot set and Escape Regions of $\mathcal{S}_p$ .

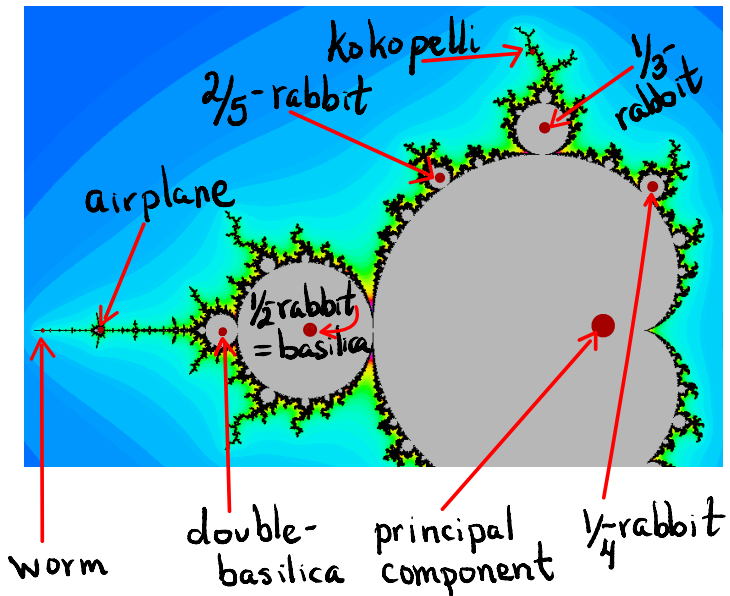
4.

There is a one-to-one correspondence between period  $p$  hyperbolic components in the classical Mandelbrot set  $\mathbb{M}$ , and escape regions in  $\mathcal{S}_p$  with trivial kneading invariant.



On the left: the Douady rabbit. On the right: a Julia set from the corresponding escape region in  $\mathcal{S}_3$ . **Every non-trivial connected component is hybrid equivalent to the rabbit.**

(The proof depends on the Branner-Hubbard puzzle.)



## The Landing Together Conjecture.

6.

We will say that two parameter rays **land together** if they have the same landing point in  $\mathcal{S}_p$ .

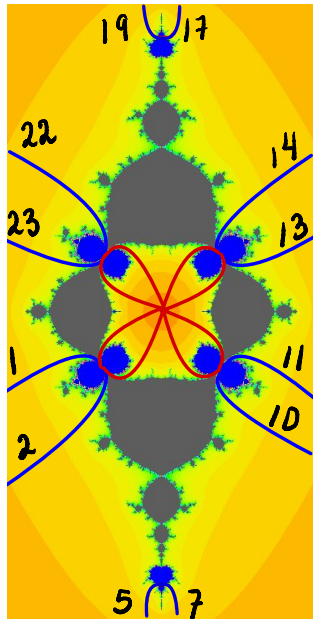
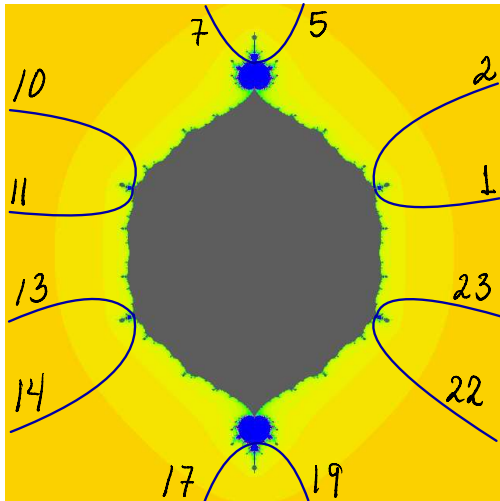
**Conjecture.** In any zero-kneading region  $\mathcal{E}$  and for any positive integer  $q$ , the parameter rays with angles of co-period  $q$  land together in pairs.

Furthermore if the rays of co-periodic angle  $\theta$  and  $\theta'$  land together in one zero-kneading region, then the corresponding rays land together in **every** zero-kneading region.

(In the special case of the zero-kneading regions in  $\mathcal{S}_1$  and  $\mathcal{S}_2$ , the second part of this conjecture has been proved by Bonifant, Estabrooks and Sharland.)

# Example: Rays of Co-period 2 in $\mathcal{S}_1$ and $\mathcal{S}_2$ .

7.

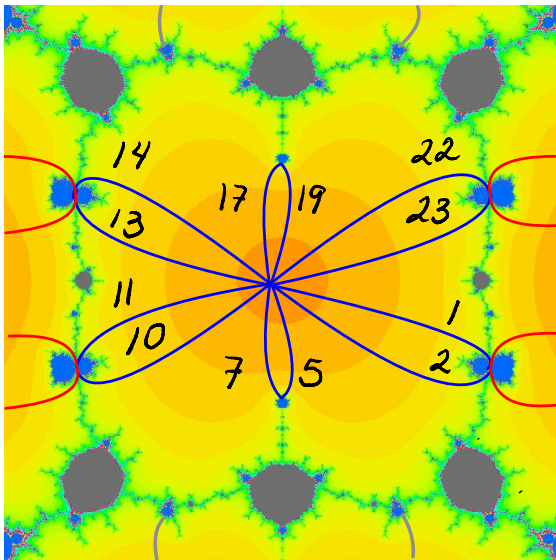


Here the common denominator  
is  $3(3^q - 1) = 24$ .



# Example: The Airplane Region in $\mathcal{S}_3$ .

8.



Showing all rays of co-period two.

## The Three or Four Conjecture.

9.

In any zero-kneading region of  $\mathcal{S}_p$ , the parameter rays of co-period  $p$  play a very special role.

*If two such rays in  $\mathcal{E}$  land at a boundary point of  $\mathcal{E}$  which is shared with one or more other escape regions, then we conjecture that there are **either one or two** rays from outside of  $\mathcal{E}$  which land at the same point, making a total of either three or four.*

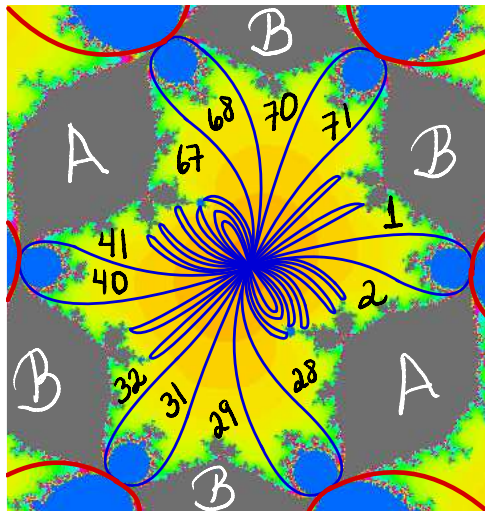
Hyperbolic components in  $\mathbb{M}$  come in two types:

They are either **primitive** (with a cusp),  
or a **satellite** (with no cusp).

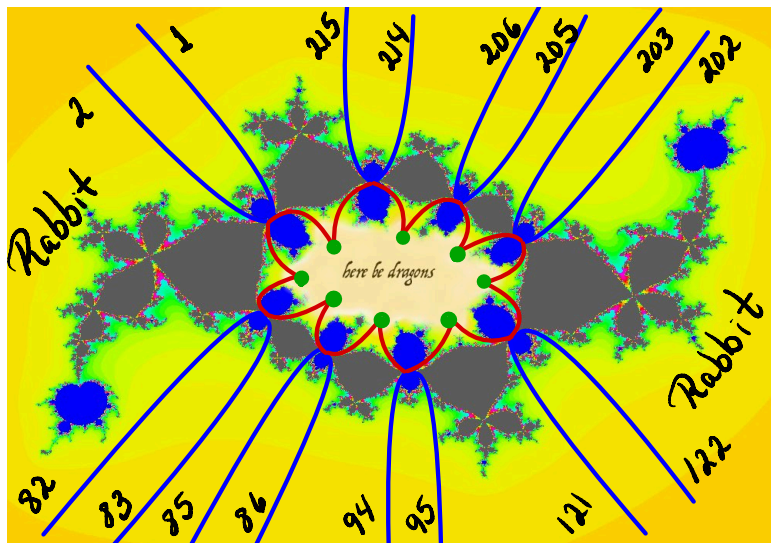
## The Three or Four Conjecture (Satellite Case).

10.

In this case, there are **four rays** landing at each shared boundary point, and  $2p$  such boundary points.



Example: The  $(1/3)$ -rabbit region (denominator 78).

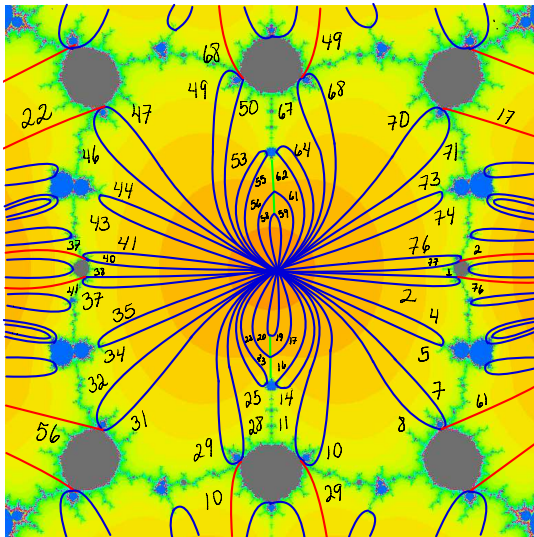


(with denominator 240)

# The Three or Four Conjecture (Primitive Case).

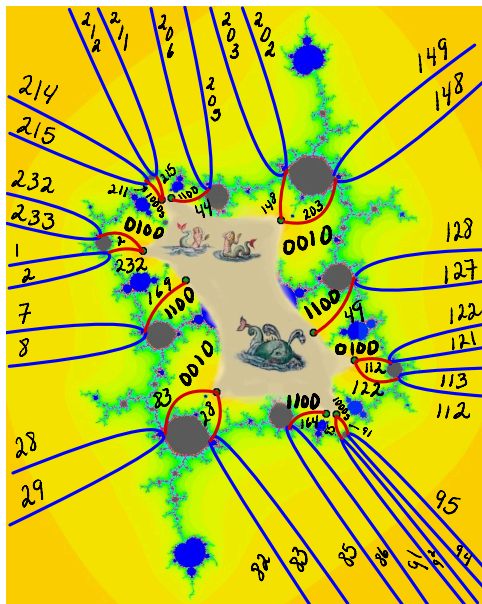
12.

In this case, there are **three rays** landing at each shared boundary point, and  **$4p$**  such boundary points.



# The Kokopelli Region in $\mathcal{S}_4$

13.



For each  $p \geq 1$  and each  $q \geq 1$ , the parameter rays of co-period  $q$  and their parabolic landing points divide the Riemann surface  $\mathcal{S}_p$  into connected open sets which we call the **faces** of the **tessellation**  $\text{Tes}_q(\mathcal{S}_p)$ .

*A basic invariant associated with each face is its **period  $q$  orbit portrait**.*

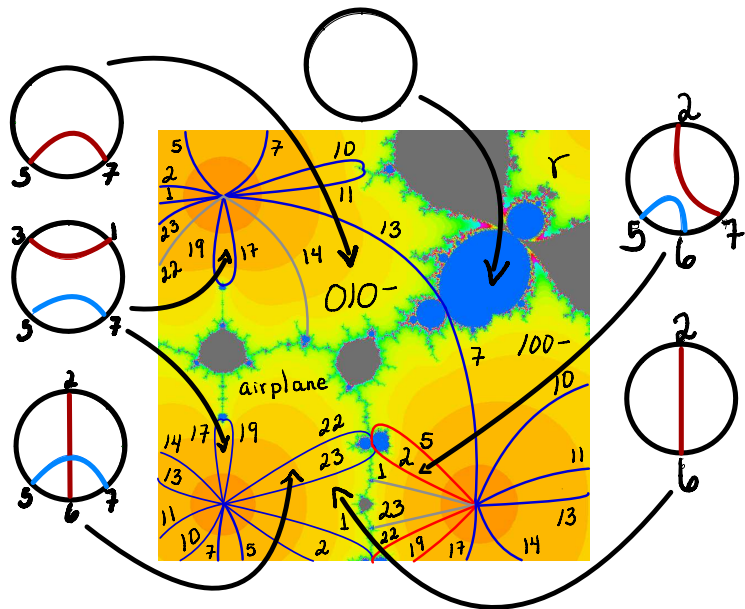
**Definition.** The **orbit portrait** of a map  $F$  is the following equivalence relation between angles of period  $q$  under tripling:

*Two angles  $\theta$  and  $\theta'$  are **equivalent** if and only if the dynamic rays of angle  $\theta$  and  $\theta'$  for  $F$  land at a common point in the Julia set.*

**Theorem.** Two maps in the same face always have the same orbit portrait.

Example: Part of the tessellation  $\text{Tes}_2(\mathcal{S}_3)$ .

15.



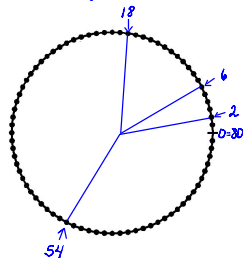
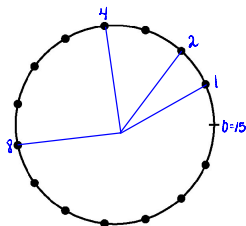
(with denominators 8 or 24)



For any zero-kneading escape region  $\mathcal{E} \subset \mathcal{S}_p$ , we conjecture that there is a close relationship between:

(1) the orbit portrait for the root point of the associated Mandelbrot component, and

(2) the period  $p$  orbit portrait for **any one** of the shared faces around the boundary of  $\mathcal{E}$ .

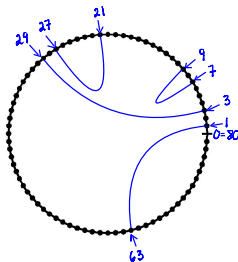
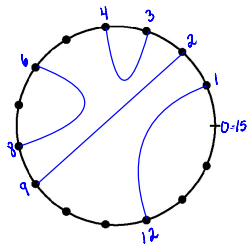
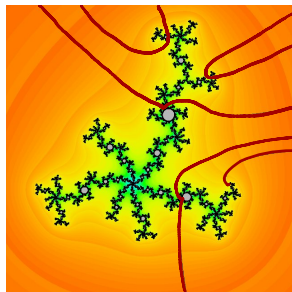
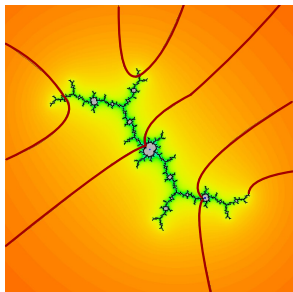


Left: Orbit Portrait for the root point of the  $(1/4)$ -rabbit in  $\mathbb{M}$ .

Right: Orbit portrait for one of the eight shared faces around the  $(1/4)$ -rabbit region in  $\mathcal{S}_4$ . (Denominators 15 and 80.)

# Another Example

17.



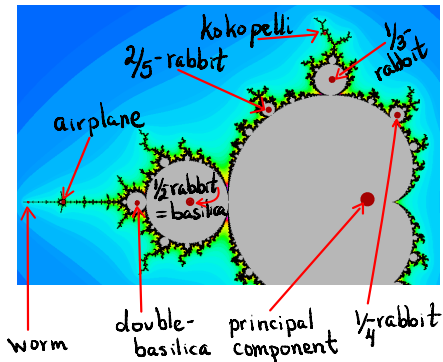
The Kokopelli root point in  $\mathbb{M}$ .

One of 16 shared faces around the Kokopelli region in  $\mathcal{S}_4$ .

# The Mandelbrot Vein Conjecture.

18.

By a **vein** in the Mandelbrot set we mean a connected path which starts in the central region, then passes through some rabbit region and continues outward, crossing many components.



**Conjecture.** For any fixed  $q$ , as we follow any vein, the period  $q$  tessellation, “restricted” to each corresponding zero-kneading region, remains “isomorphic” except when we cross into a component of period  $q$ . Then it becomes “more complicated”.

The orbit portraits associated with a tessellation will be considered as an essential part of the tessellation.

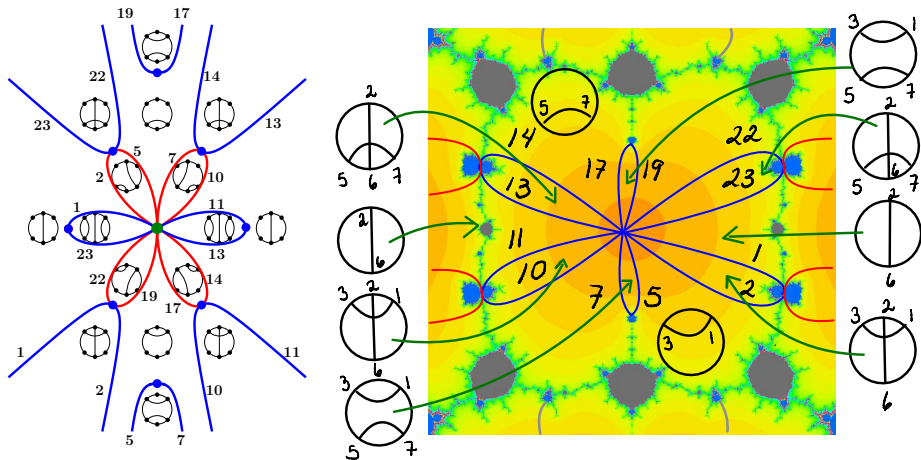
Let  $\mathcal{E} \subset \mathcal{S}_p$  and  $\mathcal{E}' \subset \mathcal{S}_{p'}$  be two escape regions.

**Definition.**  $\text{Tes}_q(\mathcal{E})$  is **isomorphic** to  $\text{Tes}_q(\mathcal{E}')$  if:

*There is a one-to-one correspondence between the faces of  $\text{Tes}_q(\mathcal{S}_p)$  intersecting  $\mathcal{E}$  and the faces of the  $\text{Tes}_q(\mathcal{S}_{p'})$  intersecting  $\mathcal{E}'$ , preserving orbit portraits, and preserving the angles of the parameter rays within  $\mathcal{E}$  or  $\mathcal{E}'$  which lie on the boundary of each such face.*

# Example: $Tes_2$ for Basilica and Airplane

20.



The outer part of the left hand figure represents the basilica region of  $S_2$ .  $Tes_2(\text{basilica})$  is **isomorphic** to  $Tes_2(\text{airplane})$ .

(Denominators: 8 for dynamic angles, 24 for parameter angles.)

Again consider two escape regions  $\mathcal{E} \subset S_p$  and  $\mathcal{E}' \subset S_{p'}$ .

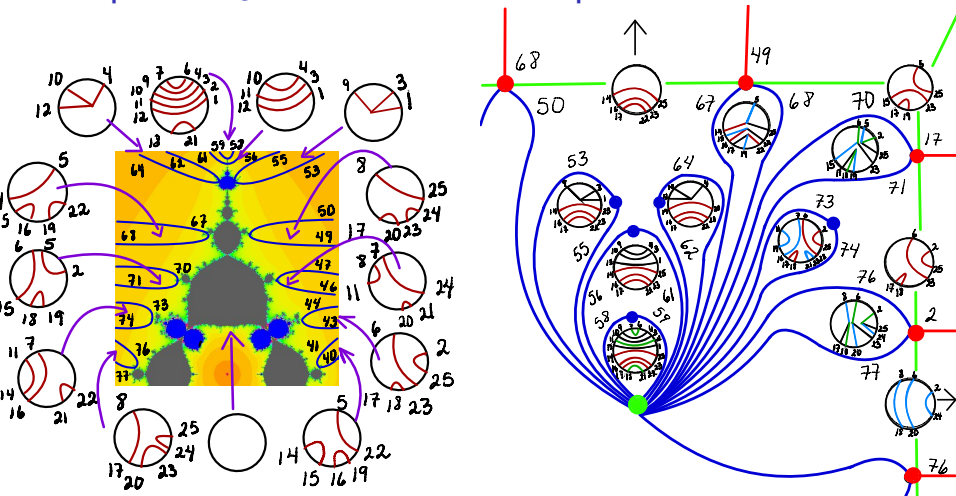
**Definition.**  $\text{Tes}_q(\mathcal{E}) \ll \text{Tes}_q(\mathcal{E}')$  if:

*$\text{Tes}_q(\mathcal{E}')$  has **more faces** than  $\text{Tes}_q(\mathcal{E})$ , and each face of  $\text{Tes}_q(\mathcal{E}')$  is a subset of some face of  $\text{Tes}_q(\mathcal{E})$ .*

*Furthermore the orbit portrait for each face of  $\text{Tes}_q(\mathcal{E}')$  is **bigger** than the orbit portrait for the corresponding face of  $\text{Tes}_q(\mathcal{E})$ .*

# Example: $Tes_3$ for basilica and airplane.

22.



(Denominators 26, 78.) **The unique shared face on the left has trivial orbit portrait.** The twelve on the right are all non-trivial. **Between rays 67 and 68 on the left, the orbit portrait has three simple arcs.** On the right it has three tripods.

Imitating Douady and Hubbard, a map in  $\mathcal{S}_p$  will be called a **Misiurewicz map** if the free critical point  $-a$  is eventually periodic repelling.

Tan Lei proved the following:

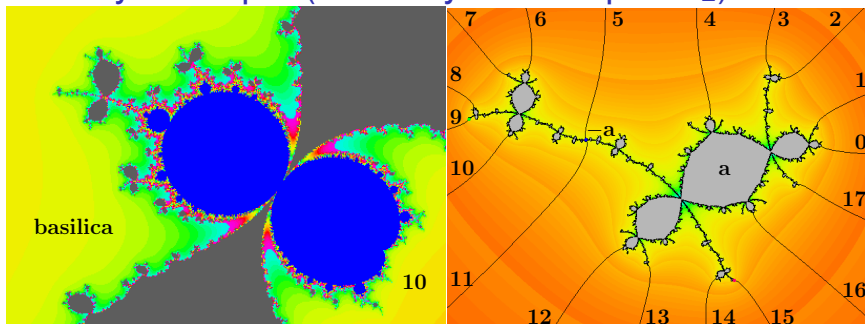
*If  $f(z) = z^2 + c$  is a quadratic Misiurewicz map, then under iterated magnification, the parameter plane near  $f$  looks more and more like the dynamic plane near  $c$  (up to a fixed scale change).*

**Conjecture.** For a Misiurewicz map  $F \in \mathcal{S}_p$ , under iterated magnification, the parameter space near  $F$  looks more and more like the dynamic plane near  $2a_F$  (up to a fixed scale change and rotation).



# Similarity Example (A Chebyshev map in $\mathcal{S}_2$ ).

24.



On the left: a copy of  $\mathbb{M}$  in  $\mathcal{S}_2$ . The Chebyshev point at the left tip of this copy, is the landing point of the  $17/18$  parameter ray.

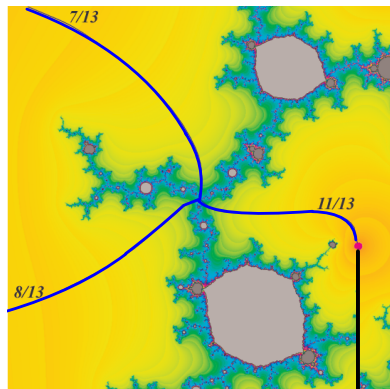
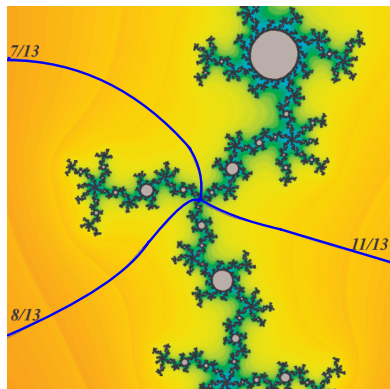
On the right: Julia set for this Chebyshev point.

Note that  $\{5, 11, 17\} \mapsto 15 \mapsto 9 \pmod{18}$ .

Here  $2a$  is at the landing point of the  $17/18$  ray.

The  $9/18 = 1/2$  ray is fixed under tripling.

## Similarity Example (between Kokopelli and 0010). 25.



On the left: Julia set picture centered at  $2a$  for a Misiurewicz map  $F_0 \in \mathcal{S}_4$ . In this example,  $2a$  is a fixed point of rotation number  $1/3$ . On the right: Corresponding parameter space picture, centered at  $F_0$  and suitably rotated and magnified, with the Kokopelli region to the left and a 0010 region to the right.

## Canonical Coordinates.

26.

Let  $\mathcal{S} \subset \mathbb{C}^2$  be an arbitrary smooth affine curve, defined by a polynomial equation  $\Phi(z, w) = 0$ .

Then there is a canonical closed 1-form on  $\mathcal{S}$ ,

$$\Phi_z dw + \Phi_w dz .$$

Near any point of  $\mathcal{S}$  we can integrate this 1-form to obtain a **canonical coordinate**  $g$ ,

well defined up to an additive constant,

which maps a neighborhood biholomorphically into  $\mathbb{C}$ .

But in general  $g$  cannot be extended to a global coordinate.






Zero-Kneading Case:

$\mathcal{E}$  corresponds to a neighborhood of infinity.

Non-Zero Kneading:

The puncture point maps to the finite plane, and  $\mathcal{E}$  is locally a branched covering of the canonical plane.

**THE END!**

-  M. Arfeux and J. Kiwi, Irreducibility of periodic curves in cubic polynomial moduli space, [arXiv:2012.14945](https://arxiv.org/abs/2012.14945) .
-  A. Bonifant, C. Estabrooks, and T. Sharland, Relations Between Escape Regions in the Parameter Space of Cubic Polynomials *Arnold Mathematical Journal*, (2022)  
DOI [10.1007/s40598-022-00211-4](https://doi.org/10.1007/s40598-022-00211-4)
-  B. Branner and J. H. Hubbard, The Iteration of Cubic Polynomials II, Patterns and Parapatterns, *Acta Math.*, **169** (1992) 229–325.
-  Tan Lei, Similarity between the Mandelbrot set and Julia sets, *Comm. Math. Phys.* **134** (1990) 587–617.
-  Cubic Polynomial Maps with Periodic Critical Orbit:  
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Part III: External rays, A. Bonifant and J. Milnor, *Work in Progress*.